

17. Transformers: Part 1 Generative Music Al







Pre-requisites

- Familiar with linear algebra
- Familiar with basic DL

You'll leave these videos understanding transformers

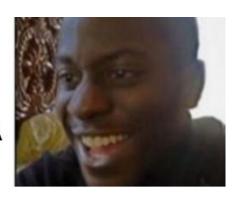
Getting Started with Google BERT

Build and train state-of-the-art natural language processing models using BERT

Overview

- 1. Context
- The intuition + architecture
- 3. Encoder block
- 4. Self-attention
- 5. Multi-head attention
- 6. Positional encoding
- 7. Feedforward layer
- 8. Add and norm component
- 9. Encoder step-by-step

RNN-LSTM ARE GREAT FOR SEQUENTIAL DATA



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THEY STRUGGLE WITH LONG-TERM DEPENDENCIES

Attention Is All You Need

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Abstract

The dominant sequence transduction models are based on complex recurrent or convolutional neural networks that include an encoder and a decoder. The best performing models also connect the encoder and decoder through an attention mechanism. We propose a new simple network architecture, the Transformer, based solely on attention mechanisms, dispensing with recurrence and convolutions entirely. Experiments on two machine translation tasks show these models to be superior in quality while being more parallelizable and requiring significantly less time to train. Our model achieves 28.4 BLEU on the WMT 2014 Englishto-German translation task, improving over the existing best results, including ensembles, by over 2 BLEU. On the WMT 2014 English-to-French translation task, our model establishes a new single-model state-of-the-art BLEU score of 41.8 after

Transformers' applications

- NLP
- Image processing
- Large language models
- Generative AI
- Generative music
- ...

Transformers' applications



Transformers' applications



• Sequential data

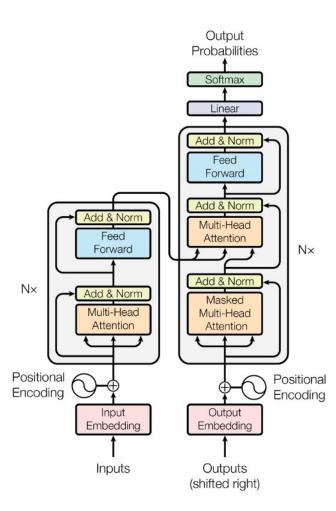
- Sequential data
- Capture long-term dependencies

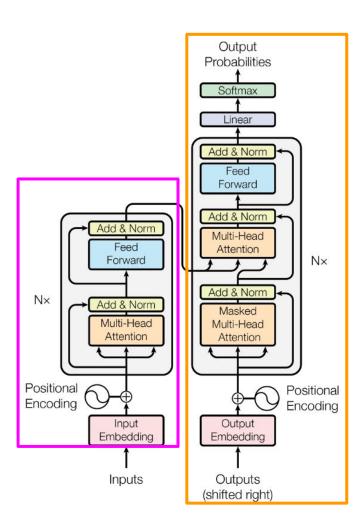
- Sequential data
- Capture long-term dependencies
- Get rid of recurrence

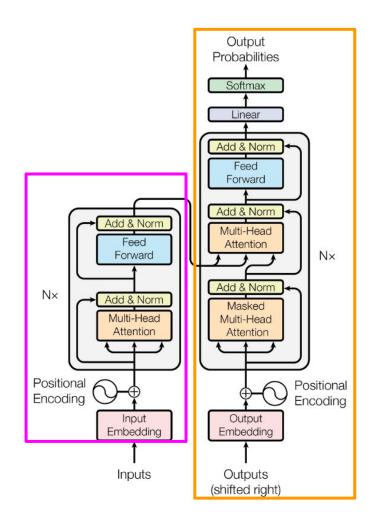
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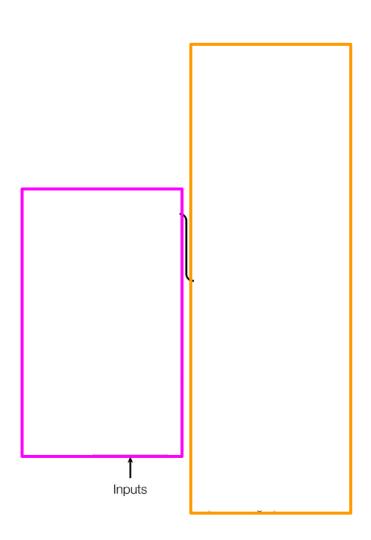


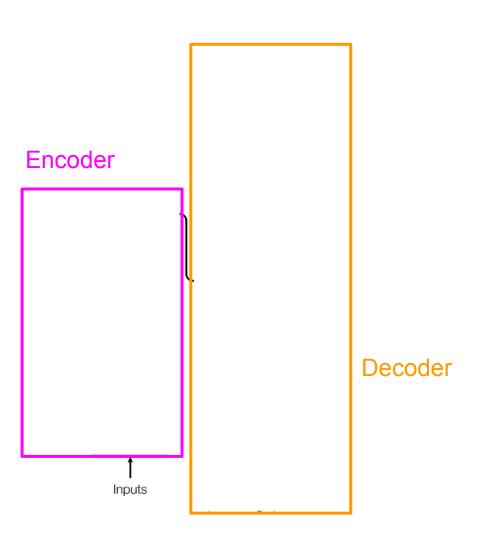










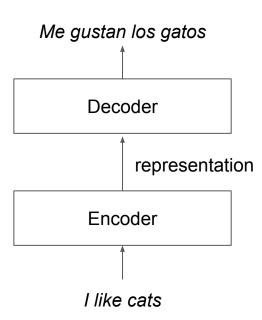


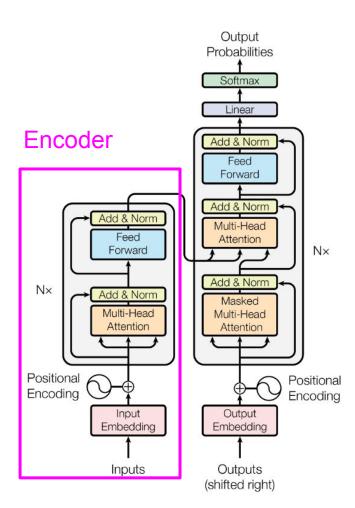
1. Feed sentence to encoder

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- 2. Encoder outputs representation of sentence

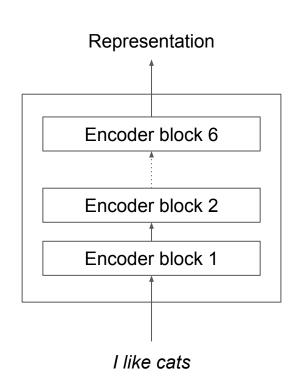
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- 1. Feed sentence to encoder
- 2. Encoder outputs representation of sentence
- 3. Representation is fed to decoder
- 4. Decoder generates translation

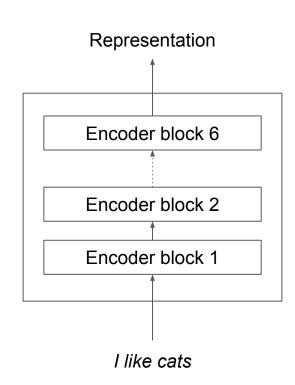




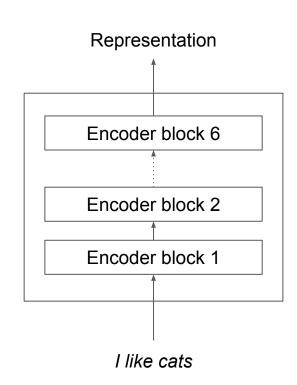
Stack of N encoder blocks



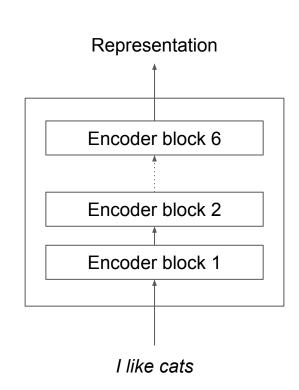
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- Attention Is All You Need:6 encoders



Why stacking encoder blocks?

Complex representations

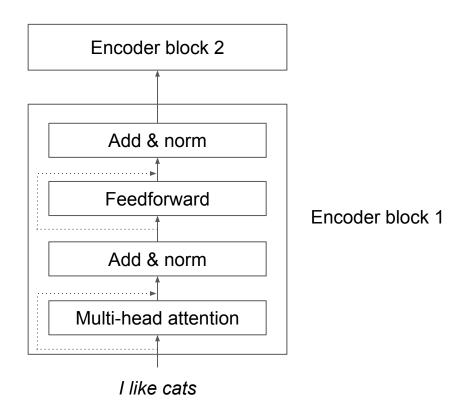
Why stacking encoder blocks?

- Complex representations
- Learning different aspects at each layer

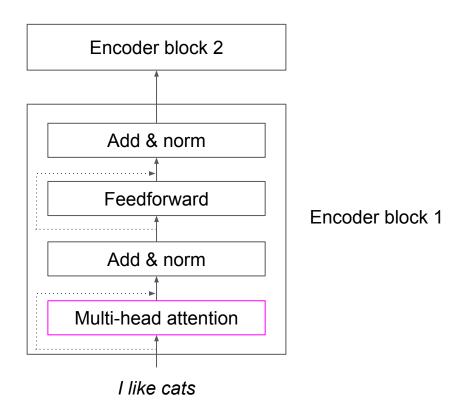
Why stacking encoder blocks?

- Complex representations
- Learning different aspects at each layer
- Improved contextualization

Encoder block



Encoder block





A reference problem

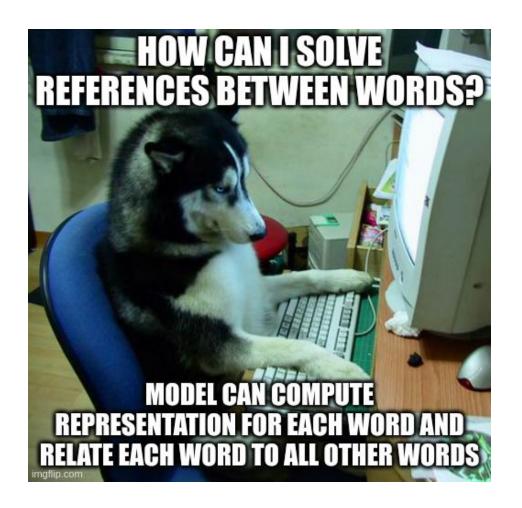
My friends like tomatoes because they are tasty

A reference problem

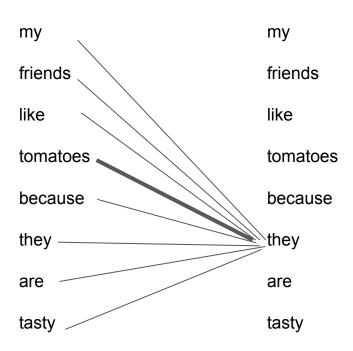
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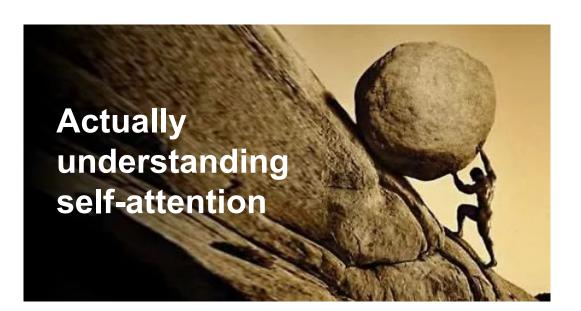
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Self-attention: Intuition





Self-attention: Protagonists

- Input embedding matrix (I)
- Query matrix (Q)
- Key matrix (K)
- Value matrix (V)

Input embedding matrix

• # of words x embedding dimension

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- Each row is a word vector

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I like cats
$$\longrightarrow$$
 like $\begin{bmatrix} 0.2 & 1.2 \\ 0.5 & 4.1 \\ \text{cats} \begin{bmatrix} 2.1 & 0.4 \end{bmatrix}$

Query, key, value matrices

Query (Q)				Key (K)			Value (V)		
1	1.3	0.8	1	0.6	2.4	i	$\lceil 0.4 \rceil$	1.0	
like	0.7	0.8 3.5 0.1	like	$\begin{bmatrix} 0.6 \\ 0.8 \\ 2.5 \end{bmatrix}$	1.7	like	1.2	$\begin{bmatrix} 1.0 \\ 2.8 \\ 0.2 \end{bmatrix}$	
cats	1.9	0.1	cats	2.5	0.3	cats	$\lfloor 1.7$	0.2	

How do we derive Q, K, V?

Multiply input matrix by 3 weight matrices

$$IW_Q = Q$$
$$IW_K = K$$
$$IW_V = V$$

How do we derive Q, K, V?

- Multiply input matrix by 3 weight matrices
- Learn weights during training

$$IW_Q = Q$$
$$IW_K = K$$
$$IW_V = V$$

BUT WHY DO WE HAVE 7 MATRICES?



Relation of a word with all other words is computed through Q, K, V

Self-attention: Formalisation

$$Z(Q, K, V) = \operatorname{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$

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```
\begin{array}{c} \text{Key (K)} \\ \text{I} & \begin{bmatrix} 0.6 & 2.4 \\ 0.8 & 1.7 \\ \text{cats} & \begin{bmatrix} 2.5 & 0.3 \end{bmatrix} \end{array}
```

Key (K)
$$\begin{bmatrix} 0.6 & 2.4 \\ 0.8 & 1.7 \\ 2.5 & 0.3 \end{bmatrix}$$

$$K^T = \begin{bmatrix} 0.6 & 0.8 & 2.5 \\ 2.4 & 1.7 & 0.3 \end{bmatrix}$$

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$$K^T = \begin{bmatrix} 0.6 & 0.8 & 2.5 \\ 2.4 & 1.7 & 0.3 \end{bmatrix}$$

$$QK^T = \lim_{\substack{\text{like} \\ \text{cats}}} \begin{bmatrix} 1.3 & 0.8 \\ 0.7 & 3.5 \\ 1.9 & 0.1 \end{bmatrix} \begin{bmatrix} 1 & \text{like} & \text{cats} \\ 0.6 & 0.8 & 2.5 \\ 2.4 & 1.7 & 0.3 \end{bmatrix}$$

$$Q \qquad K^T$$

$$QK^T = \lim_{\text{like cats}} \begin{bmatrix} 1.3 & 0.8 \\ 0.7 & 3.5 \\ 1.9 & 0.1 \end{bmatrix}^{\mathbf{q_1}} \begin{bmatrix} 1 & \text{like cats} \\ 0.6 & 0.8 & 2.5 \\ 2.4 & 1.7 & 0.3 \end{bmatrix}$$

$$Q \qquad K^T$$

$$QK^T = \lim_{\begin{subarray}{c} | like | cats \\ like | cats \\ cats \end{subarray}} \begin{bmatrix} 1.3 & 0.8 \\ 0.7 & 3.5 \\ 1.9 & 0.1 \end{bmatrix}_{\begin{subarray}{c} q_1 \\ q_2 \\ q_3 \end{subarray}} \begin{bmatrix} 0.6 & 0.8 & 2.5 \\ 2.4 & 1.7 & 0.3 \\ \mathbf{k_1} & \mathbf{k_2} & \mathbf{k_3} \end{bmatrix}$$

$$QK^{T} = \lim_{\substack{\text{like} \\ \text{cats}}} \begin{bmatrix} 1.3 & 0.8 \\ 0.7 & 3.5 \\ 1.9 & 0.1 \end{bmatrix}_{\substack{\mathbf{q}_{1} \\ \mathbf{q}_{3}}} \begin{bmatrix} 0.6 & 0.8 & 2.5 \\ 2.4 & 1.7 & 0.3 \\ \mathbf{k}_{1} & \mathbf{k}_{2} & \mathbf{k}_{3} \end{bmatrix} = \begin{bmatrix} q_{1}k_{1} & q_{1}k_{2} & q_{1}k_{3} \\ q_{2}k_{1} & q_{2}k_{2} & q_{2}k_{3} \\ q_{3}k_{1} & q_{3}k_{2} & q_{3}k_{3} \end{bmatrix}$$

$$Q \qquad K^{T}$$

$$QK^T = \lim_{\text{cats}} \begin{bmatrix} 1.3 & 0.8 \\ 0.7 & 3.5 \\ 1.9 & 0.1 \end{bmatrix} \mathbf{q}_1 \begin{bmatrix} 0.6 & 0.8 & 2.5 \\ 2.4 & 1.7 & 0.3 \\ \mathbf{k}_1 & \mathbf{k}_2 & \mathbf{k}_3 \end{bmatrix} = \begin{bmatrix} q_1k_1 & q_1k_2 & q_1k_3 \\ q_2k_1 & q_2k_2 & q_2k_3 \\ q_3k_1 & q_3k_2 & q_3k_3 \end{bmatrix} = \lim_{\text{cats}} \begin{bmatrix} 2.7 & 2.4 & 3.49 \\ 8.82 & 6.51 & 2.8 \\ 1.38 & 1.69 & 4.78 \end{bmatrix}$$

$$QK^T = \lim_{\substack{\text{like} \\ \text{cats}}} \begin{bmatrix} 1.3 & 0.8 \\ 0.7 & 3.5 \\ 1.9 & 0.1 \end{bmatrix}_{\substack{\mathbf{q}_2 \\ \mathbf{q}_3}} \begin{bmatrix} 0.6 & 0.8 & 2.5 \\ 2.4 & 1.7 & 0.3 \\ \mathbf{k}_1 & \mathbf{k}_2 & \mathbf{k}_3 \end{bmatrix} = \begin{bmatrix} q_1k_1 & q_1k_2 & q_1k_3 \\ q_2k_1 & q_2k_2 & q_2k_3 \\ q_3k_1 & q_3k_2 & q_3k_3 \end{bmatrix} = \lim_{\substack{\mathbf{like} \\ \mathbf{q}_2k_1 \\ \mathbf{q}_3k_1 & q_3k_2 & q_3k_3}} \begin{bmatrix} 1 & \text{like} & \text{cats} \\ 2.7 & 2.4 & 3.49 \\ 8.82 & 6.51 & 2.8 \\ 1.38 & 1.69 & 4.78 \end{bmatrix}$$

Similarity score between *cats* and *like*

 QK^T

Similarity score between all words

What are Q and K really?

What are Q and K really?

 Q is the current item in the sequence that you're processing

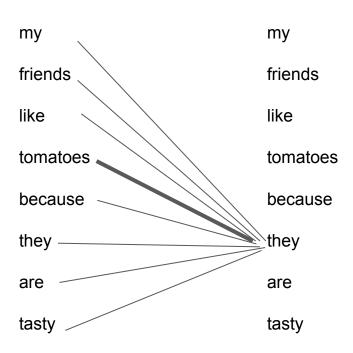
What are Q and K really?

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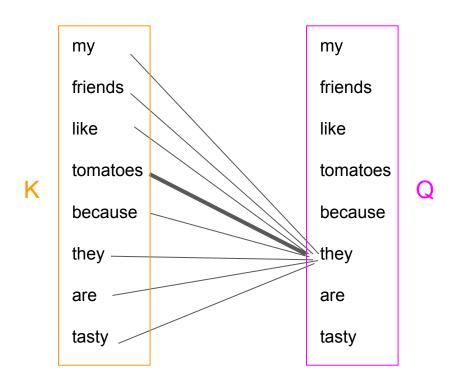
What are Q and K really?

- Q is the current item in the sequence that you're processing
- "What other words in the sequence are relevant to me, and how relevant are they?"
- K correspond to all the items in the sequence that the query can attend to

What are Q and K really?



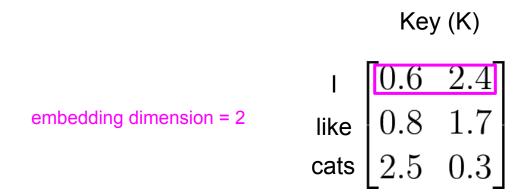
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Key (K)
$$\begin{bmatrix} 0.6 & 2.4 \\ \text{like} & 0.8 & 1.7 \\ \text{cats} & 2.5 & 0.3 \end{bmatrix}$$



$$\frac{QK^T}{\sqrt{d_k}} = \frac{1}{\sqrt{2}} \times \begin{bmatrix} 2.7 & 2.4 & 3.49 \\ 8.82 & 6.51 & 2.8 \\ 1.38 & 1.69 & 4.78 \end{bmatrix} = \begin{bmatrix} \frac{2.7}{\sqrt{2}} & \frac{2.4}{\sqrt{2}} & \frac{3.49}{\sqrt{2}} \\ \frac{8.82}{\sqrt{2}} & \frac{6.51}{\sqrt{2}} & \frac{2.8}{\sqrt{2}} \\ \frac{1.38}{\sqrt{2}} & \frac{1.69}{\sqrt{2}} & \frac{4.78}{\sqrt{2}} \end{bmatrix}$$

- Divide QK^T by square root of embedding dimension of K
- Scaling -> more stable gradients

$$\frac{QK^T}{\sqrt{d_k}} = \frac{1}{\sqrt{2}} \times \begin{bmatrix} 2.7 & 2.4 & 3.49 \\ 8.82 & 6.51 & 2.8 \\ 1.38 & 1.69 & 4.78 \end{bmatrix} = \begin{bmatrix} \frac{2.7}{\sqrt{2}} & \frac{2.4}{\sqrt{2}} & \frac{3.49}{\sqrt{2}} \\ \frac{8.82}{\sqrt{2}} & \frac{6.51}{\sqrt{2}} & \frac{2.8}{\sqrt{2}} \\ \frac{1.38}{\sqrt{2}} & \frac{1.69}{\sqrt{2}} & \frac{4.78}{\sqrt{2}} \end{bmatrix}$$

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Normalize similarity scores

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softmax
$$\left(\frac{QK^T}{\sqrt{d_k}}\right) = \begin{cases} 1 & \text{like cats} \\ 0.7 & 0.2 & 0.1 \\ 0.2 & 0.6 & 0.2 \\ 0.4 & 0.1 & 0.5 \end{cases}$$

*values in the matrix completely made up

- Normalize similarity scores
- Apply softmax
- Each word vector (row) adds up to 1 (probability)

softmax
$$\left(\frac{QK^T}{\sqrt{d_k}}\right) = \begin{bmatrix} 0.7 & 0.2 & 0.1 \\ 0.2 & 0.6 & 0.2 \\ 0.4 & 0.1 & 0.5 \end{bmatrix}$$
 1

*values in the matrix completely made up

softmax
$$\left(\frac{QK^T}{\sqrt{d_k}}\right)$$

Attention score

Relevance of different parts of the sequence to each other

$$Z(Q, K, V) = \operatorname{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$

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 Multiply attention score by value matrix V

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- Attention matrix Z holds relationship of each word with each other

$$Z = \begin{array}{c|cccc} & \text{like} & \text{cats} \\ \hline Z = \begin{array}{c|cccc} & 0.7 & 0.2 & 0.1 \\ 0.2 & 0.6 & 0.2 \\ 0.4 & 0.1 & 0.5 \end{array} \end{array} \begin{array}{c|cccc} & I & \begin{bmatrix} 0.4 & 1.0 \\ 1.2 & 2.8 \\ 1.7 & 0.2 \end{bmatrix} \\ \hline & \text{softmax} \left(\frac{QK^T}{\sqrt{d_k}} \right) & V \end{array}$$

$$Z = \begin{array}{c|cccc} & \text{like} & \text{cats} \\ \hline Z = \begin{array}{c|cccc} & 0.7 & 0.2 & 0.1 \\ 0.2 & 0.6 & 0.2 \\ 0.4 & 0.1 & 0.5 \end{array} \end{array} \begin{array}{c|cccc} & \text{like} & \begin{bmatrix} 0.4 & 1.0 \\ 1.2 & 2.8 \\ 1.7 & 0.2 \end{bmatrix} \begin{array}{c} \mathbf{v_1} \\ \mathbf{v_2} \\ \mathbf{v_3} \end{array}$$
 softmax $\left(\frac{QK^T}{\sqrt{d_k}} \right)$

Self-attention for word "I"

$$ec{z}_1 = 0.7 ec{v}_1 + 0.2 ec{v}_2 + 0.1 ec{v}_3$$
 I like cats

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$$ec{z}_1 = 0.7 ec{v}_1 + 0.2 ec{v}_2 + 0.1 ec{v}_3 = 0.7 \begin{bmatrix} 0.4 & 1.0 \end{bmatrix} + 0.2 \begin{bmatrix} 1.2 & 2.8 \end{bmatrix} + 0.1 \begin{bmatrix} 1.7 & 0.2 \end{bmatrix}$$
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Self-attention for word "I"

$$ec{z}_1 = 0.7 ec{v}_1 + 0.2 ec{v}_2 + 0.1 ec{v}_3 = 0.7 \begin{bmatrix} 0.4 & 1.0 \end{bmatrix} + 0.2 \begin{bmatrix} 1.2 & 2.8 \end{bmatrix} + 0.1 \begin{bmatrix} 1.7 & 0.2 \end{bmatrix}$$
 like cats

Sum of the value vectors weighted by the scores

A reference problem: Solved

My friends like tomatoes because they are tasty

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My friends like tomatoes because they are tasty

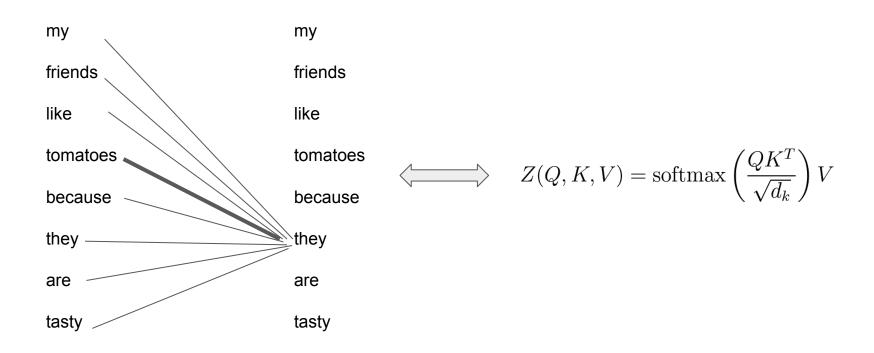
$$\vec{z}_{they} = 0.0\vec{v}_1 + 0.0\vec{v}_2 + 0.0\vec{v}_3 + 0.9\vec{v}_4 + 0.0\vec{v}_5 + 0.1\vec{v}_6 + 0.0\vec{v}_7 + 0.0\vec{v}_8$$
 my friends like tomatoes because they are tasty

A reference problem: Solved

My friends like tomatoes because they are tasty

$$\vec{z}_{they} = 0.0\vec{v}_1 + 0.0\vec{v}_2 + 0.0\vec{v}_3 + 0.9\vec{v}_4 + 0.0\vec{v}_5 + 0.1\vec{v}_6 + 0.0\vec{v}_7 + 0.0\vec{v}_8$$
 my friends like tomatoes because they are tasty

Intuition meets formalisation



Why step 4 is necessary?

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Attention score is about relevance

softmax
$$\left(\frac{QK^T}{\sqrt{d_k}}\right)$$

Why step 4 is necessary?

- Attention score is about relevance
- V holds content of the sequence

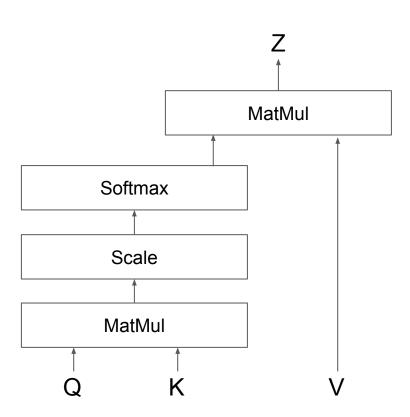
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- Attention score is about relevance
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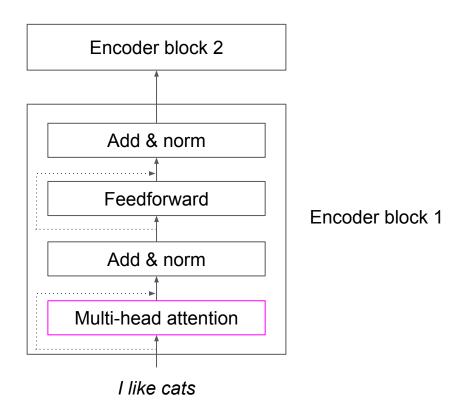
- Attention score is about relevance
- V holds *content* of the sequence
- Combine relevance with content
- Weigh content by its relevance

Self-attention: Visual recap





Encoder block



Multi-head attention

 Run multiple instances of the self-attention mechanism in parallel

Multi-head attention

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- Compute as many Q, K, V, Z matrices as the number of heads

Multi-head attention

- Run multiple instances of the self-attention mechanism in parallel
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$$Z = concatenate(Z_1, Z_2, Z_3, ..., Z_n)W_0$$

 Each attention head can focus on different parts of the input sequence

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- Increased representation power

- Each attention head can focus on different parts of the input sequence
- Increased representation power
- Reducing the risk of overfitting

RNN-LSTM vs transformer

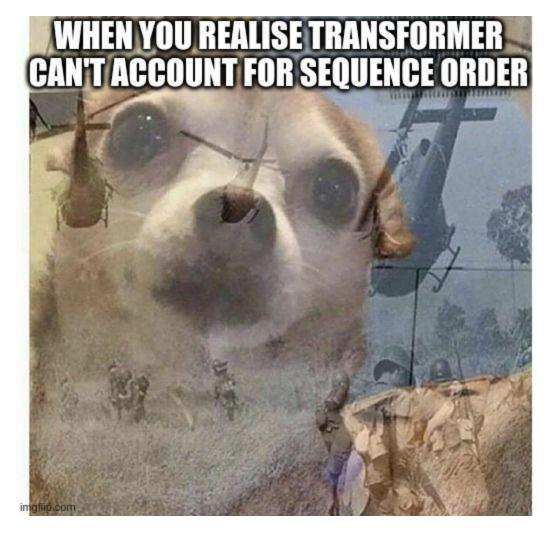
LSTM -> feed words sequentially

RNN-LSTM vs transformer

- LSTM -> feed words sequentially
- Transformer -> all words in parallel

RNN-LSTM vs transformer

- LSTM -> feed words sequentially
- Transformer -> all words in parallel
- Parallel:
 - decrease training time
 - help learning long-term dependency



Positional encoding

Positional encoding

Input matrix doesn't have order information

Positional encoding

- Input matrix doesn't have order information
- Goal: Find a strategy to encode positions in the embeddings

 Matrix P with same dimension as input matrix I

- Matrix P with same dimension as input matrix I
- Add P to I before feeding it to encoder

$$I' = \begin{bmatrix} 0.2 & 1.2 \\ 0.5 & 4.1 \\ 2.1 & 0.4 \end{bmatrix} + \begin{bmatrix} 0.5 & 1.0 \\ 2.5 & 1.3 \\ 1.1 & 0.3 \end{bmatrix} = \begin{bmatrix} 0.7 & 2.2 \\ 3.0 & 5.4 \\ 3.2 & 0.7 \end{bmatrix}$$
 I

I carries semantic information

$$I^{'} = \begin{bmatrix} 0.2 & 1.2 \\ 0.5 & 4.1 \\ 2.1 & 0.4 \end{bmatrix} + \begin{bmatrix} 0.5 & 1.0 \\ 2.5 & 1.3 \\ 1.1 & 0.3 \end{bmatrix} = \begin{bmatrix} 0.7 & 2.2 \\ 3.0 & 5.4 \\ 3.2 & 0.7 \end{bmatrix}$$
 I



$$P(pos, 2i) = \sin\left(\frac{pos}{10000^{2i/dimension_{model}}}\right)$$

$$P(pos, 2i + 1) = \cos\left(\frac{pos}{10000^{2i/dimension_{model}}}\right)$$



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word position (row index)

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word position (row index)

$$P(pos, 2i) = \sin\left(\frac{pos}{10000^{2i/dimension_{model}}}\right)$$

embedding position (column index)

$$P(pos, 2i + 1) = \cos\left(\frac{pos}{10000^{2i/dimension_{model}}}\right)$$

even embedding / column position

$$P(pos, 2i) = \sin\left(\frac{pos}{10000^{2i/dimension_{model}}}\right)$$

$$P(pos, 2i + 1) = \cos\left(\frac{pos}{10000^{2i/dimension_{model}}}\right)$$

$$P(pos, 2i) = \sin\left(\frac{pos}{10000^{2i/dimension_{model}}}\right)$$

odd embedding / column position

$$P(pos, 2i + 1) = \cos\left(\frac{pos}{10000^{2i/dimension_{model}}}\right)$$

$$P(pos, 2i) = \sin\left(\frac{pos}{10000^{2i/dimension_{model}}}\right)$$

$$P(pos, 2i + 1) = \cos\left(\frac{pos}{10000^{2i/dimension_{model}}}\right)$$

$$P = \begin{bmatrix} \sin\left(\frac{0}{10000^{2 \cdot 0/3}}\right) & \cos\left(\frac{0}{10000^{2 \cdot 1/2}}\right) & \sin\left(\frac{0}{10000^{2 \cdot 2/3}}\right) \\ \sin\left(\frac{1}{10000^{2 \cdot 0/3}}\right) & \cos\left(\frac{1}{10000^{2 \cdot 1/2}}\right) & \sin\left(\frac{1}{10000^{2 \cdot 2/3}}\right) \\ \sin\left(\frac{2}{10000^{2 \cdot 0/3}}\right) & \cos\left(\frac{2}{10000^{2 \cdot 1/2}}\right) & \sin\left(\frac{2}{10000^{2 \cdot 2/3}}\right) \\ \sin\left(\frac{3}{10000^{2 \cdot 0/3}}\right) & \cos\left(\frac{3}{10000^{2 \cdot 1/2}}\right) & \sin\left(\frac{3}{10000^{2 \cdot 2/3}}\right) \end{bmatrix}$$

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How do we compute P?

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Why a sinusoidal function?

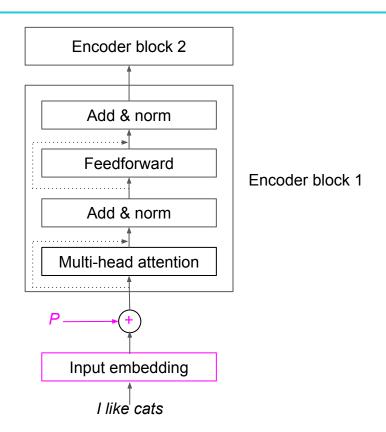
Why a sinusoidal function?

Different frequencies for each position

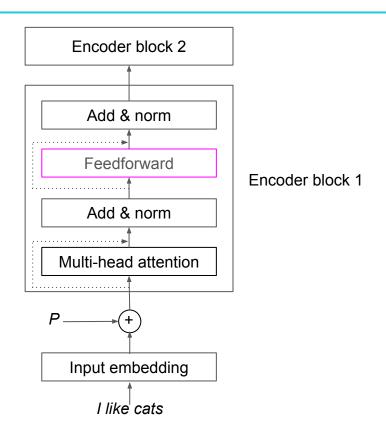
Why a sinusoidal function?

- Different frequencies for each position
- Helps model to learn relative positions

Encoder block: Revised



Encoder block: Revised



• 2 fully connected layers

- 2 fully connected layers
- Process each position data separately

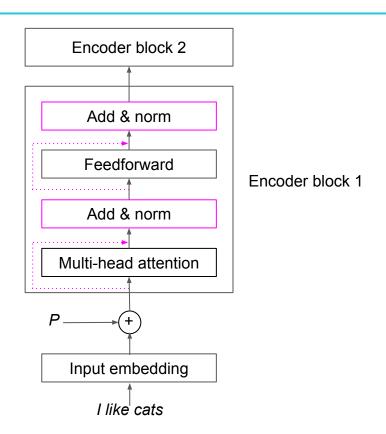
- 2 fully connected layers
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- ReLU activation

- 2 fully connected layers
- Process each position data separately
- ReLU activation
- Non-linear transformation increases complexity

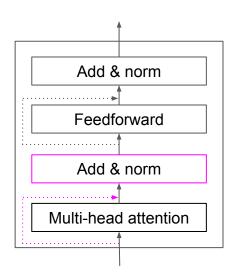
Why apply feedforward?

Learn more sophisticated features

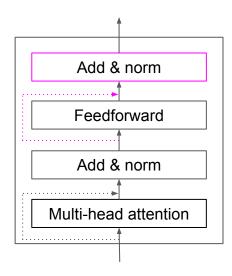
Encoder block



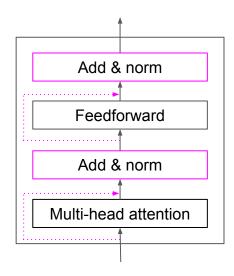
 Connects input of attention layer to its output



- Connects input of attention layer to its output
- Connects input of feedforward layer to its output

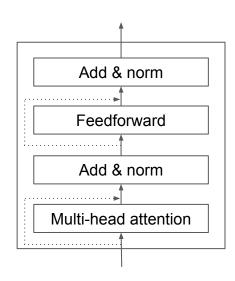


- Connects input of attention layer to its output
- Connects input of feedforward layer to its output
- Residual connections -> help with vanishing gradients

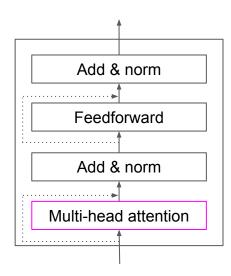


 Normalize data across features for each position (mean = 0, std dev = 1)

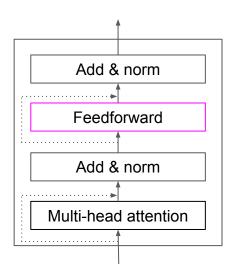
- Normalize data across features for each position (mean = 0, std dev = 1)
- Faster convergence by preventing values to change heavily



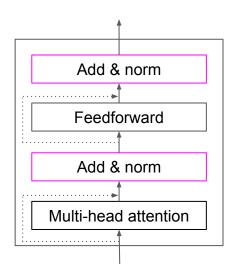
Multi-head attention -> provide context

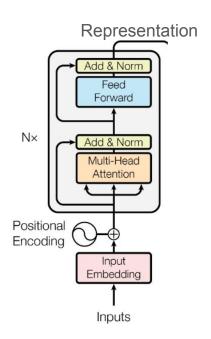


- Multi-head attention -> provide context
- Feedforward -> provide nuance

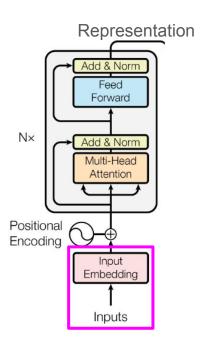


- Multi-head attention -> provide context
- Feedforward -> provide nuance
- Add & norm -> streamline learning

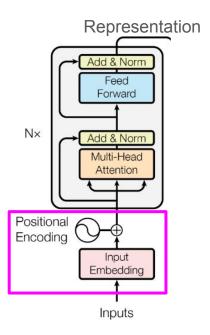




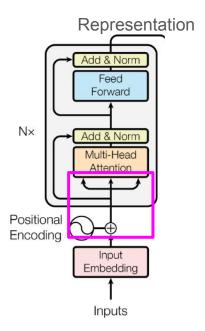
1. Create input embedding



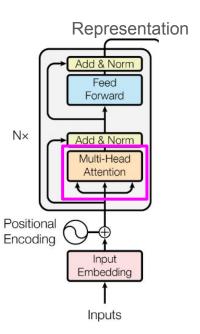
- 1. Create input embedding
- 2. Sum embedding and positional encoding



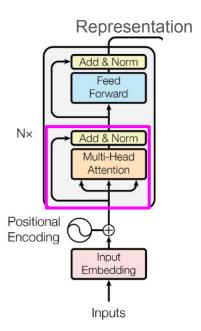
- 1. Create input embedding
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- 3. Compute Q, K, and V multiplying I and weight matrices



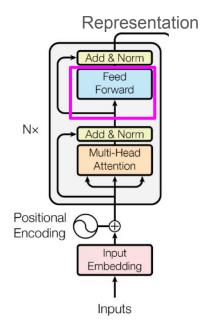
- Create input embedding
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- 4. Compute Z concatenating all the Zi matrices produced in different attention heads



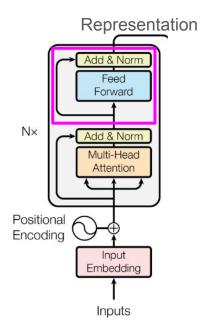
- 1. Create input embedding
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- 5. Add Z + input and normalize it



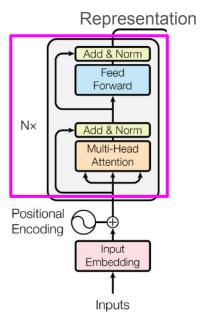
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- 6. Feed output of add & norm to feedforward layer



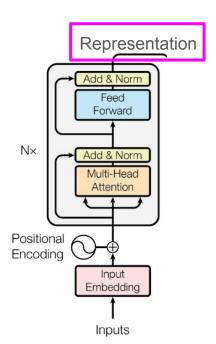
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- 7. Add output of feedforward layer to its input



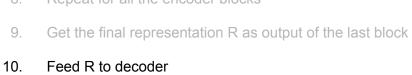
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- 8. Repeat for all the encoder blocks

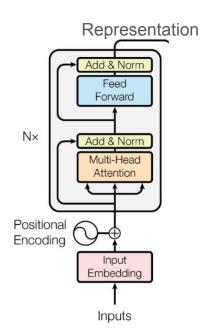


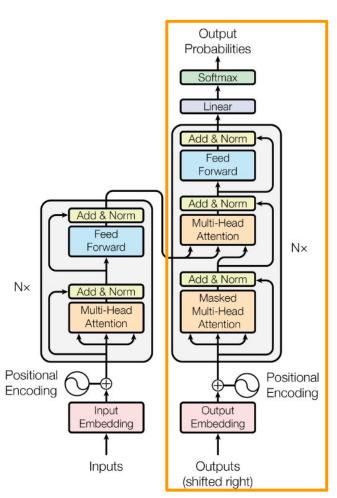
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- 8. Repeat for all the encoder blocks
- 9. Get the final representation R as output of the last block



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Decoder

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- Final representation is fed to decoder

What's up next?

Decoder + transformers for music generation