

# Quantum Approximate Optimization Algorithm

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## 1. Steps of QAOA

The Quantum Approximate Optimization Algorithm (QAOA) is an algorithm for solving combinatorial optimization problems; unfortunately, QAOA only provides an approximate solution. I used [1] to create the steps.

### 1.1. Preparing the Cost Function

Before implementing QAOA, we need to pose our optimization problem as a Quantitative Unconstrained Binary Optimization (QUBO) problem. That means we need to define a cost function  $C(X)$  for our problem, where  $X = (x_1, \dots, x_n)$  is a binary vector with  $x_i \in \{0, 1\}$ , and  $C(X)$  is of the form,

$$C(X) = \sum_{i,j} Q_{ij} x_i x_j + \sum_{i=1}^n c_i x_i. \quad (1)$$

Our objective is to maximize the Cost function, but QUBO can also be defined as minimization problems instead of maximization ones by simply inverting the sign of the cost function  $C(X)$ .

### 1.2. Cost Hamiltonian and Mixer Hamiltonian

Now we can convert this into a Hamiltonian by using a map ( $x_i \rightarrow \frac{Z_i - 1}{2}$ ) that maps the binary variable  $x_i$  to a Pauli string  $Z_i$ . This Hamiltonian is called the cost Hamiltonian  $H_C$  because it represents our objective function.

$$H_C = \sum_{i,j} \frac{1}{4} Q_{ij} Z_i Z_j - \sum_j \frac{1}{2} \left( c_j + \sum_i Q_{ij} \right) Z_j \quad (2)$$

We also need to define the mixer Hamiltonian  $H_M$ ,

$$H_M = \sum_i X_i \quad (3)$$

### 1.3. State Preparation

We prepare the state as an eigenstate of the mixer Hamiltonian  $H_M$  with the highest energy.

$$|s\rangle = |+\rangle^{\otimes n} = \frac{1}{\sqrt{2^n}} \sum_{X \in \{0,1\}^n} |X\rangle \quad (4)$$

$n$  is the number of qubits, and it is equal to the length of the binary vector  $X$ .

### 1.4. Circuit Ansatz

We must define unitaries representing the Hamiltonian's ( $H_C$  and  $H_M$ ).

$$\hat{U}_C(\gamma) = e^{-i\gamma H_C} \quad (5a)$$

$$\hat{U}_M(\beta) = e^{-i\beta H_M}, \quad (5b)$$

where  $\gamma$  and  $\beta$  are variational parameters of the circuit. We call  $\hat{U}_C(\gamma)$  and  $\hat{U}_M(\beta)$  the cost and mixer layers, respectively. A single QAOA layer comprises one cost and one mixer layer, which can be further stacked to build a deeper circuit with more layers. Each element in the mixer layer can be implemented with a single rotation gate  $R_X$ .

$$\hat{U}_M(\beta) = e^{-i\beta H_M} = \prod_{i=1}^n R_{X_i}(2\beta) \quad (6)$$

$$\hat{U}_C(\gamma) = \prod_{i=1, j < i}^n R_{Z_i Z_j} \left( \frac{1}{4} Q_{ij} \gamma \right) \prod_{i=1}^n R_{Z_i} \left( \frac{1}{2} \left( c_i + \sum_{j=1}^n Q_{ij} \right) \gamma \right) \quad (7)$$

(7) and (6) is the gate decomposition of the unitaries in (5).

### 1.5. Layers

Define the total number of QAOA layers,  $p \geq 1$ . Initialize the  $2p$  variational parameters  $\gamma = (\gamma_1, \gamma_2, \dots, \gamma_p)$  and  $\beta = (\beta_1, \beta_2, \dots, \beta_p)$  such that  $\gamma_k \in [0, 2\pi)$  and  $\beta_k \in [0, \pi)$  for  $k = 1, \dots, p$ .

The final state output by the circuit is, therefore, given by

$$|\psi_p(\gamma, \beta)\rangle = e^{-i\beta_p H_M} e^{-i\gamma_p H_C} \dots e^{-i\beta_1 H_M} e^{-i\gamma_1 H_C} |s\rangle. \quad (8)$$

### 1.6. Measurement and Expectation Value

The expectation value of the Hamiltonian  $h_C$  with respect to the ansatz state  $|\psi_p(\gamma, \beta)\rangle$ , which corresponds to the cost obtained by the quantum algorithm for the underlying problem, is calculated

through repeated measurements of the final state in the computational basis:

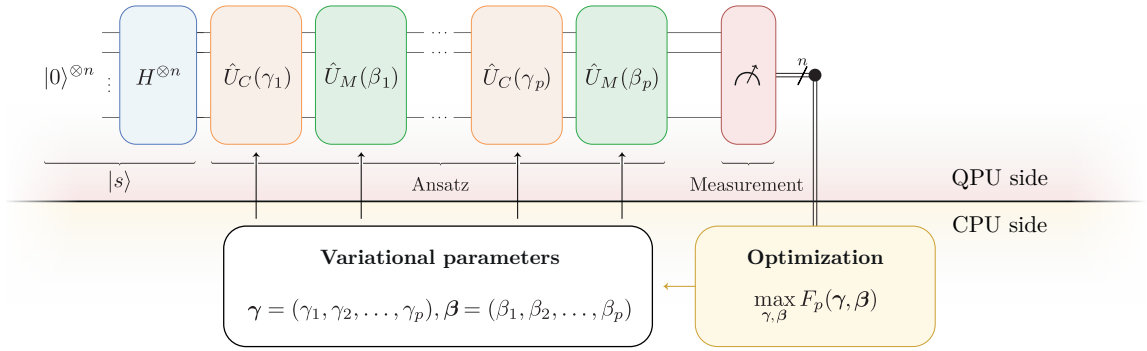
$$F_p(\gamma, \beta) = \langle \psi_p(\gamma, \beta) | H_C | \psi_p(\gamma, \beta) \rangle \quad (9)$$

### 1.7. Optimizer

A classical (could be quantum) optimization algorithm is employed to iteratively update the parameters  $\gamma$  and  $\beta$ . The goal of the aforementioned routine is to find the optimal set of parameters  $(\gamma^*, \beta^*)$  such that the expectation value  $F_p(\gamma, \beta)$  is maximized:

$$(\gamma^*, \beta^*) = \arg \max_{\gamma, \beta} F_p(\gamma, \beta) \quad (10)$$

An example of a QAOA circuit instance is shown in Figure 1.



**Figure 1.** Schematic of the hybrid workflow of QAOA with  $p$  layers.

## References

- [1] K. Blekos, D. Brand, A. Ceschini, *et al.*, “A review on quantum approximate optimization algorithm and its variants”, *Physics Reports*, vol. 1068, pp. 1–66, Jun. 2024, ISSN: 0370-1573. DOI: [10.1016/j.physrep.2024.03.002](https://doi.org/10.1016/j.physrep.2024.03.002). [Online]. Available: <http://dx.doi.org/10.1016/j.physrep.2024.03.002>.