

# Finite-state Automata and Regular Languages

## 1 Formal Definition

A Deterministic Finite-State Automaton is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$  where

1.  $Q$  is a finite set of states
2.  $\Sigma$  is a finite alphabet
3.  $\delta : Q \times \Sigma \rightarrow Q$  is the transition function
4.  $q_0 \in Q$  is the start state, and
5.  $F \subseteq Q$  is the set of accept states

Let  $M = (Q, \Sigma, \delta, q_0, F)$  to be a DFA and let  $w = w_1w_2\dots w_n$  be a word over  $\Sigma$ .  $M$  accepts  $w$  if there is a sequence of states  $r_0, r_1, r_2, \dots, r_n$  satisfying the following conditions

1.  $r_0 = q_0$
2.  $\delta(r_i, w_{i+1}) = r_{i+1}$  for every  $i, 0 \leq i \leq n-1$
3.  $r_n \in F$

## 2 Regular Languages

The DFA  $M$  recognises the language  $L$  if  $L = \{w | M \text{ accepts } w\}$

### Definition: Regular language

A language is called a regular language if some DFA recognises it

## 3 Regular Operations

Boolean (set-theoretic):

Union  $A \cup B = \{x | x \in A \text{ or } x \in B\}$

Intersection  $A \cap B = \{x | x \in A \text{ and } x \in B\}$

Difference  $A \setminus B = \{x | x \in A \text{ or } x \notin B\}$

Complement  $\overline{A} = \Sigma^* \setminus A$

Language theory specific

Concatenation  $A \circ B = \{xy | x \in A \text{ and } y \in B\}$

Star  $A^* = \{x_1x_2\dots x_k | k \geq 0 \text{ and } x_i \in A \text{ for every } i, 1 \leq i \leq k\}$

## 4 Regular expression

A Regular Expression (RE)  $R$  defines a regular language  $L(R)$ . We shall eventually prove that  $RE \equiv DFA$  (i.e. REs define exactly class of the regular languages)

The definition is inductive (recursive), i.e. there are initial RE, and new REs can be obtained from old ones by means of Regular Operations

**Definition:**  $R$  is a Regular expression over the alphabet  $\Sigma$  if  $R$  is

1.  $a$  for some  $a \in \Sigma$
2.  $\epsilon$
3.  $\emptyset$
4.  $(R_1 \cup R_2)$ , where  $R_1$  and  $R_2$  are REs

5.  $(R_1 \circ R_2)$ , where  $R_1$  and  $R_2$  are REs, or
6.  $(R_1^*)$ , where  $R_1$  is an RE

Note that by convention the concatenation symbol may be omitted, i.e.  $R_1R_2$  means  $R_1 \circ R_2$ . Parentheses may also be omitted, bearing in mind the precedence order

## 5 Combining Automata

Given  $L_1$  recognised by  $M_1 = (Q_1, \Sigma, \delta_1, q_1, F_1)$  and  $L_2$  recognised by  $M_2 = (Q_2, \Sigma, \delta_2, q_2, F_2)$ ; want to combine  $M_1$  and  $M_2$  into a new automaton  $M$  that would recognise  $L_1 \cup L_2$

**Naive idea:** Simulate first  $M_1$  on the input and then simulate  $M_2$  on the same input; accept if either  $M_1$  or  $M_2$  or both accept. This does not work as after  $M_1$  has run on the input, the input is exhausted and there is no way to "rewind" it in order to run  $M_2$

The solution is to run  $M_1$  and  $M_2$  on the input in parallel