

# Part 4

## 1 Breadth-First Search

### 1.1 Graphs

- A graph  $G=(V,E)$  is a pair of sets: vertices  $V$  and edges  $E$
- To give an adjacency list representation of a graph, for each vertex  $v$  list all the vertices adjacent to  $v$
- To give an adjacency matrix representation of a graph create a square matrix  $A$  and label the rows and columns of the vertices: the entry in row  $i$  column  $j$  is 1 if vertex  $j$  is adjacent to vertex  $i$  and 0 if it is not

### 1.2 Breadth-First Search

- BFS maintains a queue that contains vertices that have been discovered but are waiting to be processed
- BFS colours the vertices:
  - **White** indicates that a vertex is undiscovered
  - **Grey** indicates that a vertex is discovered but unprocessed
  - **Black** indicates that a vertex has been processed
- The algorithm maintains an array  $d$  (distance)
  - $d[s]=0$  where  $s$  is the source vertex
  - If we discover a new vertex  $v$  while processing  $u$ , we set  $d[v]=d[u]+1$

Listing 1: BFS( $G,s$ )

```

1  for each vertex  $u \in V[G] - \{s\}$ 
2      do colour[u] ← WHITE
3          $d[u] \leftarrow \infty$ 
4          $\pi[u] \leftarrow \text{NIL}$ 
5  colour[s] = GREY
6   $d[s] \leftarrow 0$ 
7   $\pi[s] \leftarrow \text{NIL}$ 
8   $Q \leftarrow \emptyset$ 
9  ENQUEUE( $Q, s$ )
10 while  $Q \neq \emptyset$ 
11     do  $u \leftarrow \text{DEQUEUE}(Q)$ 
12        for each  $v \in \text{Adj}[u]$ 
13            do if colour[v] = WHITE
14                then colour[v] = GREY
15                    $d[v] \leftarrow d[u] + 1$ 
16                    $\pi[v] \leftarrow u$ 
17                   ENQUEUE( $Q, v$ )
18     colour[u] ← BLACK

```

### 1.3 Analysis of running time

- We want an upper bound on the worst-case running time
- Assume that it takes constant time for each operation such as to test and update colours, to make changes to distance and to enqueue and dequeue
- Initialisation takes time  $O(V)$
- Each vertex enters (and leaves) the queue exactly once. So queueing operations take  $O(V)$

- In the loop the adjacency lists of each vertex are scanned. Each list is read once, and the combined lengths of the lists is  $O(E)$
- This the total running time is  $O(V + E)$

## 2 Depth-First Search

- Initialize: source vertex grey, others white; source discovered at time 1
- Repeat:
  - Increment the time
  - If there is a white neighbour of the current vertex, then it is coloured grey and its discovery time noted and it becomes current
  - Else colour the current vertex black, not its finish time and return to its predecessor or jump to an undiscovered vertex

Listing 2: DFS(G)

```

1 for each vertex  $u \in V[G]$ 
2   do colour[u] ← WHITE
3      $\pi[u] \leftarrow \text{NIL}$ 
4 time ← 0
5 for each vertex  $u \in V[G]$ 
6   do if colour[u] = WHITE
7     then DFS-VISIT(u)

```

Listing 3: DFS-VISIT(u)

```

1 colour[u] ← GREY                                [vertex u has just been discovered]
2 time ← time + 1
3 d[u] ← time
4 for each vertex  $v \in \text{Adj}[u]$                     [explore edge (u,v)]
5   do if colour[v] = WHITE
6     then  $\pi[v] \leftarrow u$ 
7           DFS-VISIT(v)
8 colour[u] ← BLACK                                [u has been processed]
9 f[u] ← time ← time + 1

```

- Initialisation takes time  $O(V)$
- Time  $O(V)$  spent on incrementing time, colouring vertices and updating d and f
- Each vertex in each adjacency list is considered at most once. This takes time  $O(E)$
- Total time is  $O(V + E)$

### 2.1 Classification of the edges

- **Tree** edges are those edges in the DFS-forest
- **Back** edges are edges that join a vertex to an ancestor
- **Forward** edges are edges not in the tree that join a vertex to its descendant
- **Cross** edges: all other edges

The classification is ambiguous for undirected graphs (back edges and forward edges are the same thing)

Let us redefine the definition: suppose that  $e$  is an edge that joins a vertex  $u$  to its descendant  $v$

- $e$  is a forward edge if DFS first considers  $e$  from  $u$
- $e$  is a back edge if DFS first considers  $e$  from  $v$

*In an undirected graph, every edge is a tree edge or a back edge*

## 2.2 Using depth first search

- Every edge in an undirected graph is either a tree edge or a back edge
- A graph is connected if each pair of vertices is joined by a path
- A cycle is a sequence of edges that start and end at the same vertex
- An articulation point is a vertex whose removal disconnects the graph

## 3 Minimum Spanning Trees

The minimum spanning tree problem

*Find a tree that spans the vertices and has minimum cost*

Basic properties of MSTs

- Have  $|V| - 1$  edges
- Have no cycles
- Might not be unique

### 3.1 Kruskal's algorithm

1. Sort the edges by weight
2. Let  $A = \emptyset$
3. Consider edges in increasing order of weight. For each edge  $e$ , add  $e$  to  $A$  unless this would create a cycle

Running time is  $O(E \log V)$

### 3.2 Prim's algorithm

1. Let  $U = \{u\}$  where  $u$  is some vertex chosen arbitrarily
2. Let  $A = \emptyset$
3. Until  $U$  contains all vertices: find the least-weight edge  $e$  that joins a vertex  $v$  in  $U$  to a vertex  $w$  not in  $U$  and add  $e$  to  $A$  and  $w$  to  $U$

Running time is  $O(V \log V + E)$