

# Finite Automata

## 1 Nondeterministic Finite Automata

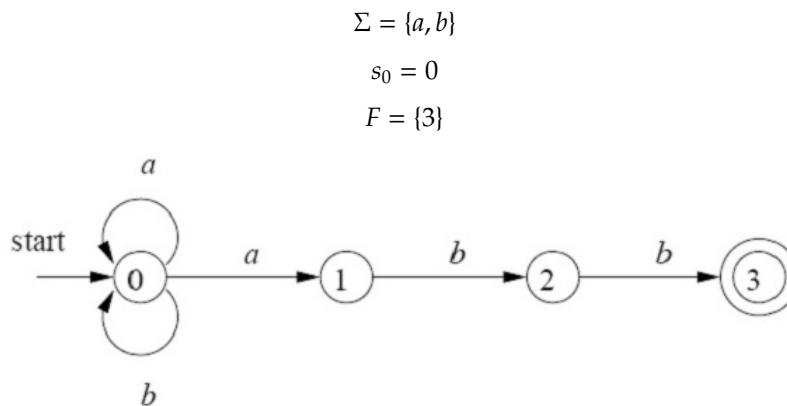
A nondeterministic finite automaton (NFA) consists of

- A finite set  $S$  of states
- The input alphabet  $\Sigma$  (the set of input symbols)
- A start state  $s_0 \in S$  (or initial state)
- A set  $F$  of final states (or accepting states)

Often we represent an NFA by a transition graph

- Nodes are possible states
- Edges are directed and labelled
- The same symbol can label edges from a state to many different other states

### 1.1 Representation



Alternative representation is a transition table

- Rows  $\rightarrow$  states
- Columns  $\rightarrow$  symbols in  $\Sigma \cup \{\epsilon\}$
- Entries  $\rightarrow$  Transitions between states

STATE	$a$	$b$	$\epsilon$
0	$\{0, 1\}$	$\{0\}$	$\emptyset$
1	$\emptyset$	$\{2\}$	$\emptyset$
2	$\emptyset$	$\{3\}$	$\emptyset$
3	$\emptyset$	$\emptyset$	$\emptyset$

Advantage of transition table: more visible transitions

Disadvantage of transition table: needs more space than the transition graph

## 1.2 Acceptance of NFA

An NFA accepts an input string  $x$  if there exists a path that:

- Starts at the start state  $s_0$
- Ends at one of the accepting states in  $F$
- Concatenation of the symbols on its edges gives exactly  $x$

A language accepted (or defined) by an NFA:

- The set of strings that this NFA accepts

## 2 Deterministic Finite Automata

A deterministic finite automaton (DFA) is a special case of a NFA, where:

- No edge is labelled by the empty string  $\epsilon$
- For each state  $s$  and each input symbol  $a$ , there is exactly one edge out of  $s$  labelled with  $a$

A direct algorithm to decide whether a given string  $x$  is accepted by a DFA:

- Start at the start state  $s_0$
- Iteratively follow the edges labelled by the characters of  $x$
- Check whether you reach a final state when  $x$  ends:
  - If yes, then the DFA accepts  $x$
  - Otherwise not

## 3 NFA vs DFA

**Theorem 1** *NFAs accept exactly the regular languages (i.e. the regular expressions)*

Therefore, simulation of an NFA can be used in the lexical analyser to recognise strings, identifiers etc

However the simulation of NFAs is not straightforward

- Many alternative outgoing edges from a state
- Transitions labelled with  $\epsilon$  are possible

**Theorem 2** *NFAs accept exactly the same languages as DFAs*

i.e. for every NFA, we can construct an equivalent DFA