# **Patents**

## 1 Intellectual Property

- An expression of ideas
  - Brand
  - Invention
  - Design
  - Song
  - Computer Program
- Governed by laws concerned with your right to control who has access to your ideas and what they can do with your ideas

### 2 Intellectual Property Rights (IPR)

- Copyright, Designs and Patents Act 1988 http://www.legislation.gov.uk/ukpga/1988/48/contents
  - Copyright
  - Design rights: protects 3-D & 2-D objects.
  - Patents (Today's Lecture)
- Trade Marks Act 1994 http://www.legislation.gov.uk/ukpga/1994/26/contents
  - Trademarks registration (e.g. domain name issues)
  - A domain name can qualify as a trademark, if it is used in connection with a website that offers services to the public
  - (yahoo.com, ebay.com, amazon.co.uk . . . )
    - \* must be a distinctive name
    - \* not "common" terms, as dictionary.com, healthanswers.com

## 3 Brief History of Patents in the UK

- 'Letters patent'
  - An open document where the Crown granted a monopoly
    - \* to skilled individuals with new techniques for the production / sale of goods
    - \* Weaving of woollen cloths (1331)
- 'Patent'
  - First recorded patent 1449 for the manufacture of coloured glass by John Utyman. Was first used in Eton College.
- Statutes of Monopolies 1624
  - An Act of the Parliament of England (to reduce the Crown abuse)
  - The basis for the UK's intellectual property law
  - Restricted patents to inventions
    - \* 14 years in length
    - \* stipulated that the patent should not cause harm to trade
    - \* https://www.uh.edu/engines/epi2002.htm

#### 4 Patent Law in the UK

- Patents Act 1977
  - The foundation of our current patent laws based on the European Patent Convention (1973)
    - \* Signed by 27 countries
  - https://www.epo.org/law-practice/legal-texts/html/epc/1973/e/ma1.html
- Copyright, Designs and Patents Act 1988
  - http://www.legislation.gov.uk/ukpga/1988/48/contents
- Regulatory Reform (Patents) Order 2004
  - http://www.legislation.gov.uk/uksi/2004/2357/pdfs/uksi\_20042357\_en.pdf
- Patents Act 2004
  - http://www.legislation.gov.uk/ukpga/2004/16/contents

#### 5 Modern Patents

- Most countries have patent laws for the protection of 'inventions'
  - New
    - \* Not been "thought" of before, whether previously patented or not
  - Non-obvious
  - Protection for a "reasonable" period of time
    - \* Use
    - \* Manufacture
    - \* Import
    - \* Sell
- A patent can be also:
  - an "improvement" of an existing patent
  - an innovation that provides a "new use" for an existing invention

#### 6 Patent laws in the UK

- In the UK, patents last for at most 20 years
  - To get the full 20 years, a renewal fee must be paid every year, starting 5 years after the filing date.
- An invention can be registered for a patent if it:
  - is new
  - involves an inventive step-invention-shouldn't be obvious
  - is capable of industrial application (technical effect)
    - \* not an idea or theory, a discovery, a work of art
  - is not in an area specifically excluded by the Patent Act 1977.

#### 6.1 Areas specifically excluded

- Scientific theories and mathematical methods
  - Gravity and floating point arithmetic
- Aesthetic creations
  - Literary, dramatic, musical or artistic work
- Presentation of information
- A newly discovered animal or plant
- A method of medical treatment or diagnosis
- A scheme / rule / method for performing a mental act, playing a game or doing business
- Some computer programs
- Against public policy or morality

### 7 Requirements for patent

- The inventor must not disclose information about the invention before the date of the patent application.
- Nobody, including the inventor, should use the invention before the patent application date.
- Officials at the Patent Office must search existing patent and literature.

## 8 Benefits of patent protection

- the right to stop others from copying / manufacturing / selling / importing your invention
- make money by selling / licensing your invention
- safely discuss your invention with others
- others gain advanced knowledge of technology and can prepare and develop new inventions / patents

## 9 Obtaining a patent

- Applying for patent can be expensive and time consuming. The World Intellectual Property Organisation (WIPO) offers a simplified process.
- The invention must be novel. The date of the patent application is crucial.
- Full patent specification must follow within 12 months of the initial application.
- Full patent specification needs to be prepared by a specialist patent attorney.
- Can take several years!
- Should you get a Patent? https://www.gov.uk/guidance/before-you-apply-for-a-patent
- Guidance to Patent: https://www.gov.uk/guidance/patents-step-by-step
- Before you apply for a patent:
  - check if your idea / invention can be called "truly novel" / if it has already been patented / can be considered
    as obvious
  - Novelty search (or: Patentability search)
    - \* done by you and/or professionals (specialized patent examiners)
  - UK Intellectual Property Office: https://www.gov.uk/government/organisations/intellectual-property-office
  - US Patent and Trademark Office: http://www.uspto.gov/

- Application for a patent to the Intellectual Property Office
  - Full description of the invention
  - Drawings
  - A set of claims defining your invention
  - Summary of all the technical features

## 10 Enforcing a patent

If people infringe on your patent, they may challenge you for many things

- Challenges:
  - no inventive step
  - prior art (background art, state of the art)
    - \* all information, in any form, publicly known before your application
  - information kept secret (usually) does not count as prior art ("trade secret", "confidential / classified information")
    - \* however, they may claim "prior user rights" been using before patent was granted,
  - and thus gain the right to continue using the invention
  - Resolving challenges
    - \* ask for a non-binding opinion (e.g. by the Intellectual Property Office)
    - \* mediation (professional patent attorneys)
    - \* hearings (to resolve a dispute between inventor and IPO or someone else)

#### 11 Software Patents

- In the USA patents are granted to software if:
  - it is part of a product that is itself eligible for a patent
  - it controls a process that has some physical effect
  - it processes data that arise from the physical world
- In Europe
  - European Patent Office (EPO) https://web.archive.org/web/20180312052803/https://www.epo.org/news-issues/issues/software.html
  - Under the EPC, a computer program claimed "as such" is not a patentable invention (Article 52(2)(c) and (3) EPC). Patents are not granted merely for program listings. Program listings as such are protected by copyright. For a patent to be granted for a computer-implemented invention, a technical problem has to be solved in a novel and non-obvious manner.
- Software patents have been controversial.
- The argument for and against software:
  - For: it is illegal and unfair that something is patentable if implemented in hardware but is not patentable if implemented in software
  - Against: the software industry has been very productive and successful mainly due to the efforts of small companies, where Patents are not helpful to them.
- Many patents that are granted are 'bad'. Much software was written before the software patents were thought possible.

## 12 A few Patent myths

- No point in getting a patent, can't sue big companies.
- Can get worldwide patent
- The patent police can protect my invention
- A patent will make me lots of money

### 13 You should be able to:

- Describe in detail patent rights and how to obtain protection in the UK
  - Include the influence of European directives
  - Include some discussion of how the USA approaches patenting of software
- Explain why there is confusion in the software development industry about when and when not to apply for patents.
- Useful videos & training material for Patents:
  - https://duo.dur.ac.uk/bbcswebdav/institution/E-tutorials/Intellectual%20Property%20Rights/index.html