

# Set Theory

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## 1 Background Material

### 1.1 The Axioms of Set Theory

We work in predicate logic with  $\mathcal{L} = \{\in\}$ .

**Axiom 1. Extensionality.**

$$\forall z(z \in x \leftrightarrow z \in y) \rightarrow x = y$$

**Axiom 2. Foundation.**

$$\exists y(y \in x) \rightarrow \exists y(y \in x \wedge \neg \exists z(z \in x \wedge z \in y))$$

**Axiom 3. Comprehension Scheme.** For each formula,  $\varphi$ , without  $y$  free

$$\exists y \forall x(x \in y \leftrightarrow x \in v \wedge \varphi(x))$$

**Axiom 4. Pairing.**

$$\exists z(x \in z \wedge y \in z)$$

**Axiom 5. Union**

$$\exists A \forall Y \forall x(x \in Y \wedge Y \in \mathcal{F} \rightarrow x \in A)$$

**Axiom 6. Replacement Scheme.** For each formula  $\varphi$ , without  $B$  free,

$$\forall x \in A \exists! y \varphi(x, y) \rightarrow \exists B \forall x \in A \exists y \in B \varphi(x, y)$$

On the basis of Axioms 1,3,4,5, define  $\subseteq, \emptyset, S, \cap$  and  $\text{SING}(x)$  ( $x$  is a singleton) by

$$\begin{aligned} x \subseteq y &\Leftrightarrow \forall z (z \in x \rightarrow z \in y) \\ x = \emptyset &\Leftrightarrow \forall z (z \notin x) \\ y = S(x) &\Leftrightarrow \forall z (z \in y \Leftrightarrow z \in x \vee z = x) \\ y = v \cap w &\Leftrightarrow \forall x (x \in y \Leftrightarrow x \in v \wedge x \in w) \\ \text{SING}(x) &\Leftrightarrow \exists y \in x \forall z \in x (z = y) \end{aligned}$$

**Axiom 7. Infinity.**

$$\exists x (\emptyset \in x \wedge \forall y \in x (S(y) \in x))$$

**Axiom 8. Power Set.**

$$\exists y \forall z (z \subseteq x \rightarrow z \in y)$$

**Axiom 9. Chioce, or AC.**

$$\emptyset \notin F \wedge \forall x \in F \forall y \in F (x \neq y \rightarrow x \cap y = \emptyset) \rightarrow \exists C \forall x \in F (\text{SING}(C \cap x))$$

- $ZFC = \text{Axioms 1-9.}$        $ZF = \text{Axioms 1-8}$
- $ZC$  and  $Z$  are  $ZFC$  and  $ZF$ , respectively, with the Replacement Scheme deleted
- $X^{-1}$  denotes  $X$  without Foundation Axiom
- $X - P$  denote  $X$  without the Power Set Axiom
- $X - inf$  denotes  $X$  without Axiom of Infinity

**Definition 1.1.** BST (“Basic Set Theory”) denotes the axioms of Extensionality, Foundation, Comprehension, Pairing and Union, plus the disjunction: the Power Set Axiom holds or the Replacement Axioms holds.

**Definition 1.2.**  $AC^+$  is the statement that every set can be well-ordered

## 1.2 Extensionality, Comprehension, Pairing, Union

**Definition 1.3.**  $\text{int}(v, w, y) \leftrightarrow \forall x(x \in y \leftrightarrow x \in v \wedge x \in w)$

Introducing a defined relation such as  $\text{int}(v, w, y)$  requires no justification, although defining  $v \cap w$  to be *the*  $y$  such that  $\text{int}(v, w, y)$  *does* require a justification, namely

**Lemma 1.4.**  $\forall v, w \exists! y \text{int}(v, w, y)$

*Proof.* To prove  $\exists y \text{int}(v, w, y)$ , use Comprehension, with  $\varphi$  the formula  $x \in v \wedge x \in w$ :

$$\forall v, w [\exists y \forall x (x \in y \leftrightarrow x \in v \wedge x \in w)]$$

To prove that  $y$  is unique, observe, from the definition of  $\text{int}(v, w, y)$ , that

$$\text{int}(v, w, y_1) \wedge \text{int}(v, w, y_2) \rightarrow \forall x (x \in y_1 \leftrightarrow x \in y_2)$$

so that  $y_1 = y_2$  by Extensionality □

This justifies:

**Definition 1.5.**  $v \cap w$  is the unique  $y$  s.t.  $\text{int}(v, w, y)$

Before giving a name to an object satisfying some property, we must prove that property really is held by a unique object

**Definition 1.6.** For any formula  $\varphi(x)$

- $\{x : \varphi(x)\}$  is, informally, called a **class**
- if there is a set  $A$  s.t.  $\forall x[x \in A \leftrightarrow \varphi(x)]$ , then  $A$  is unique by Extensionality, and we denote this set by  $\{x : \varphi(x)\}$ , and we say that  $\{x : \varphi(x)\}$  exists
- if there is no such set, then we say that  $\{x : \varphi(x)\}$  doesn't exist, *or* forms a proper class
- $\{x \in v : \varphi(x)\}$  abbreviates  $\{x : x \in v \wedge \varphi(x)\}$

Comprehension asserts that sets of the form  $\{x : x \in v \wedge \varphi(x)\}$  always exists

**Definition 1.7.** Given  $v, w$

$$v \cap w := \{x \in v : x \in w\}$$

$$v \setminus w := \{x \in v : x \notin w\}$$

**Definition 1.8.**  $\text{emp}(y)$  iff  $\forall x(x \notin y)$

**Definition 1.9.**  $\emptyset$  denotes the (unique)  $y$  s.t.  $\text{emp}(y)$

*Justification.* Fix any  $v$  and form  $y = \{x \in v : x \neq x\}$ , which is empty, so  $\exists y \text{emp}(y)$ . Then  $y$  is unique by Extensionality.  $\square$

**Definition 1.10.** The **universe**,  $V := \{x : x = x\}$

**Lemma 1.11.**  $V$  doesn't exist, and neither does  $R := \{x : x \notin x\}$

*Proof.* For  $R$ , we need no axioms at all; if we had an  $R$  s.t.  $\forall x[x \in R \leftrightarrow x \notin x]$ , then  $R \in R \leftrightarrow R \notin R$ , a contradiction. For  $V$ , we use Comprehension; if we had a  $V$  with  $\forall x[x \in V]$ , then we could form  $R$  as  $\{x \in V : x \notin x\}$   $\square$

**Definition 1.12.**

$$\{x, y\} = \{w : w = x \vee w = y\}$$

$$\{x\} = \{x, x\}$$

$$\langle x, y \rangle = (x, y) = \{\{x\}, \{x, y\}\}$$

**Lemma 1.13.**  $\langle x, y \rangle = \langle x', y' \rangle \rightarrow x = x' \wedge y = y'$

**Definition 1.14.**  $0 = \emptyset, 1 = \{0\} = \{\emptyset\}, 2 = \{0, 1\} = \{\emptyset, \{\emptyset\}\}$

The axioms so far don't let us construct any sets with more than two elements. To do that we use the Union Axiom; then we can get  $3 = 2 \cup \{2\} = \{0, 1, 2\}$ . The Union Axiom can be used to justify infinite unions as well.

$$\forall \mathcal{F} \exists A \forall Y \forall x [x \in Y \wedge Y \in \mathcal{F} \rightarrow x \in A]$$

**Definition 1.15.**  $\bigcup_{Y \in \mathcal{F}} Y = \{x : \exists Y \in \mathcal{F} (x \in Y)\}$