

A *JAVA* FRAMEWORK AND DOMAIN-SPECIFIC LANGUAGE FOR  
MANIPULATING SOUNDS

By

LUIS F. VIEIRA DAMIANI

A THESIS PRESENTED TO THE GRADUATE SCHOOL  
OF THE UNIVERSITY OF FLORIDA IN PARTIAL FULFILLMENT  
OF THE REQUIREMENTS FOR THE DEGREE OF  
MASTER OF SCIENCE

UNIVERSITY OF FLORIDA

2018

# TABLE OF CONTENTS

	<u>page</u>
CHAPTER	
ABSTRACT . . . . .	3
1 THEORETICAL FRAMEWORK . . . . .	4
1.1 How <i>Scandal</i> Manages Sound . . . . .	4
1.2 The Structure of the Compiler . . . . .	7
1.2.1 The Linking Process . . . . .	8
1.2.2 The Scanning Process . . . . .	9
1.2.3 The Parsing Process . . . . .	11
1.2.4 Decorating the AST . . . . .	12
1.2.5 Generating Bytecode . . . . .	15
1.2.6 Running a <i>Scandal</i> Program . . . . .	17
1.3 The Syntax of <i>Scandal</i> . . . . .	18
1.3.1 Top-Level Productions . . . . .	18
1.3.2 Subclasses of Declaration . . . . .	23
1.3.2.1 The ParamDeclaration Class . . . . .	25
1.3.2.2 The LambdaLitDeclaration Class . . . . .	25
1.3.2.3 The FieldDeclaration Class . . . . .	26
1.3.2.4 The AssignmentDeclaration Class . . . . .	27
1.3.3 Subclasses of Statement . . . . .	30
1.3.3.1 The ImportStatement Class . . . . .	32
1.3.3.2 Assignment Statements . . . . .	34
1.3.3.3 Control Statements . . . . .	36
1.3.3.4 Framework Statements . . . . .	38
1.3.4 Subclasses of Expression . . . . .	42
1.3.4.1 The BinaryExpression Class . . . . .	45
1.3.4.2 Derived Expressions . . . . .	48
1.3.4.3 Literal Expressions . . . . .	50
1.3.4.4 Array Expressions . . . . .	53
1.3.4.5 Framework Expressions . . . . .	56
1.3.4.6 Parsing Lambda Expressions . . . . .	59
1.3.4.7 Lambda Literal Expressions . . . . .	61
1.3.4.8 Lambda Applications and Compositions . . . . .	66

Abstract of Thesis Presented to the Graduate School  
of the University of Florida in Partial Fulfillment of the  
Requirements for the Degree of Master of Science

A *JAVA* FRAMEWORK AND DOMAIN-SPECIFIC LANGUAGE FOR  
MANIPULATING SOUNDS

By

Luis F. Vieira Damiani

August 2018

Chair: Dr. Beverly Sanders

Major: Computer Science

Abstract goes here.

## CHAPTER 1

### THEORETICAL FRAMEWORK

In this chapter, we present and discuss the tools and methodology utilized to build *Scandal*. We start discussing how to produce sound with the *Java* sound API, and particularly how it handles real-time audio after a thin layer has been added on top of it. We then give a thorough formal presentation on how the domain-specific language was designed, including the machinery involved in building its compiler.

#### 1.1 How *Scandal* Manages Sound

The JRE System Library provides a very convenient package of classes that handle the recording and reproduction of real-time audio, namely the `javax.sound.sampled` package. In it, we find two classes, `TargetDataLine` and `SourceDataLine`, that deal respectively with capturing audio data from the system's resources, and playing back buffers of audio data owned by the application. An instance of `SourceDataLine` provides a `write` method that takes three arguments: an array of bytes to be written to a `Mixer` object, an integer offset, and an integer length. In *Scandal*, we do not specify a `Mixer` object, hence we make use of one provided by the System. There are two main aspects of the `write` method that need to be addressed. Firstly, it blocks the thread in which it lives until the given array of bytes has been written, from offset to length, to the `Mixer` its `SourceDataLine` contains; secondly, if nothing is done, it returns as it has no more data to write. In order to have real-time audio, one then needs to be constantly feeding this `write` method with audio samples, for as long as one wants continuous sound output, even if these buffers of audio samples contain only zeros, i.e., silence. It immediately follows that one must specify exactly how many samples are sent at a time, naturally with consequences to the system's performance. This parameter is commonly referred in the industry as the *vector size*. The trade-off is measured in terms of latency: a large vector size helps slower systems perform better, or can allow more complex processing, or even increase polyphony. Latency, however, is bad for any live application, including the generation of MIDI notes, and the recording of

live sound from a microphone. A good, low-compromise vector size is usually set to 512 samples, and normally these sizes will be powers of two. In order to specify the preferred vector size, as well as many other environment settings, *Scandal* refers to a static class named `Settings`, which contains a static property `Settings.vectorSize`.

The aforementioned two characteristics of the `write` method within a `SourceDataLine` are managed by *Scandal* by the class `AudioFlow`. In order to prevent `write` from prematurely returning, an instance of `AudioFlow` contains a boolean property named `running`, which is set to `true` for as long as real-time audio is desired. The fact that `write` blocks its thread, however, is managed by any class that contains itself an instance of `AudioFlow` as a property. The latter are in *Scandal* the implementors of the `RealTimePerformer` interface, which is a contract that contains four abstract methods: `startFlow`, `stopFlow`, `getVector`, and `processMasterEffects`. The role of the `startFlow` is to merely embed an `AudioFlow` within a new `Thread` object and start this new thread. This guarantees the thread that manages audio is different than the main `Application` thread, hence resolving the thread-blocking issue. Once a new `Thread` is started in *Java*, however, one cannot in general interrupt it. In order to stop the audio thread, we set the property `running` inside an `AudioFlow` to `false` via the `stopFlow` method, which causes the `write` method inside the `AudioFlow` to return. Hence one cannot resume an audio process in *Scandal* at this point, even though doing so is perfectly possible in *Java*. The reason for that is not that of a design choice, but rather the fact that the domain-specific language is at its infancy, and many important features that go beyond a proof-of-concept are yet to be implemented. The `getBuffer` method is called by the `AudioFlow` every time it needs to write another vector of audio samples. It is the responsibility then of any `RealTimePerformer` to timely compute the next `Settings.vectorSize` samples of audio data. Finally, the `processMasterEffects` routine is called from within `getVector` to further process the buffer of audio samples. This is usually done while the samples are still represented as floats, hence before converting them to raw bytes.

The constructor of an `AudioFlow` takes, in addition to a reference to a `RealTimePerformer`, a reference to an `AudioFormat` object. The latter is part of the `javax.sound.sampled` package and is how we ask the `AudioSystem` for a `SourceDataLine`. Instead of constructing `AudioFormat` objects, however, the `Settings` class contains static members `Settings.mono` and `Settings.stereo` that are instances of `AudioFormat` defining a mono and stereo format, respectively. In addition to a channel count argument, `AudioFormat` instances are constructed by specifying a sampling rate, and a bit depth (word length) for audio samples. Those are, too, static properties in the `Settings` class, namely `Settings.samplingRate` and `Settings.bitDepth`. Listing 1.1 gives the specifics of maintaining a `SourceDataLine` open inside an instance of `AudioFlow`. The latter implements, in turn, the `Runnable` interface, hence needs to override a `run` method. Inside this `run` method, we call the private `play` subroutine that is given below:

Listing 1.1. Writing buffers of audio data inside the `play` subroutine.

```
private void play() throws Exception {                                1
    SourceDataLine sourceDataLine = AudioSystem.getSourceDataLine(format); 2
    sourceDataLine.open(format, Settings.vectorSize * Settings.bitDepth / 8); 3
    sourceDataLine.start();                                                  4
    while (running) {                                                        5
        ByteBuffer buffer = performer.getVector();                        6
        sourceDataLine.write(buffer.array(), 0, buffer.position());        7
    }                                                                        8
    sourceDataLine.stop();                                                  9
    sourceDataLine.close();                                                 10
}                                                                            11
```

Inside the `play` subroutine, we acquire a `SourceDataLine` object from the `AudioSystem` with the specific format that the `RealTimePerformer` passed while constructing this `AudioFlow`. In order to open the data line, we need specify a buffer size in bytes, hence we multiply the vector size by the word length in bits, divided by eight, as there are eight bits per byte. We then start the data line and keep writing to it for as long as the `RealTimePerformer`

maintains the running property inside its `AudioFlow` set to `true`. At each call to `write`, we ask the performer for a new vector. Filling the vector causes its position to advance until its length, hence the `position` method inside the `ByteBuffer` class will in fact return the length value we desire. The rest of the `play` subroutine simply releases resources before returning, at which point the audio thread is destroyed.

## 1.2 The Structure of the Compiler

In a broad perspective, the compilation process of *Scandal*'s DSL has the following steps:

1. A path to a *.scandal* file is passed as an argument to the constructor of the compiler and a linker subroutine is called, in order to resolve any dependencies;
2. The code is passed through a scanner, which removes white space and comments while converting strings of characters to tokens. Any illegal symbol will cause the scanner to throw an error, interrupting the compilation process;
3. The tokens are parsed and converted into an abstract syntax tree, during which many tokens are discarded. If the order of the tokens does not match any of the constructs that *Scandal* understands, the parser throws an error and interrupts the compilation process;
4. The root of the AST begins the process of *decorating* the tree, in which name references are resolved, types are checked, and variable slot numbers are assigned, whenever applicable. To keep track of names, a LeBlanc-Cook symbol table is kept. If types do not match, or names cannot be referenced, the offending node in the AST throws an error, aborting the compilation;
5. Again starting from the root of the AST, each node generates its corresponding bytecode, making use of the `org.objectweb.asm` library as a facilitator. For any node that is a subroutine, its body is added following its declaration. No errors are thrown in this phase, and the root node returns an array of bytes containing the program's instructions in *Java* bytecode format;

6. Every *Scandal* program implements the `Runnable` interface. After the compiler receives the program's bytecode, it dynamically loads that bytecode as a *Java* class on the current (main) thread, causing the *Scandal* program to be executed.

### 1.2.1 The Linking Process

The main entry point to the compilation process is given by the `Compiler` class, whose constructor requires a path to a *.scandal* file. This class contains a `link` routine that is called before each compilation to resolve dependencies, and which is given in Listing 1.2 below. The `Compiler` class has a property named `imports`, which is an array of paths to other *.scandal* files upon which the program at hand depends. It also holds a `path` property, which was passed to its constructor, and which is used as an argument to `link`'s first call. A *Scandal* program may have at its outermost scope import statements, which take a single string as a parameter, which in turn represents a path to a *.scandal* file in the file system. Any code contained in the file may depend on this imported path's content. Similarly, the imported path's content may depend itself on other imports, and so on, provided there is no circularity, that is, nothing imports something that depends on itself. We may regard then the linking process as a directed graph, in which arrows point toward dependencies. Since we do not allow cycles, this is a directed acyclic graph. It may very well be the case that more than one import depend on a particular file, in which case we certainly do not want to import that code twice. In order to import each dependency exactly once in an order that will satisfy every node of the DAG that points to it, we need to somehow sort the array of imports. It is easy to see that this is no different than the problem of donning garments, in which one must have her socks on before putting her shoes, and where some items may call for no particular order, such as a watch [1, 612]. The solution for this problem is to topologically sort the array of imports. Since it is a DAG, however, that is very easily accomplished by a depth-first search of the graph, which is exactly what Listing 1.2 accomplishes recursively.

Listing 1.2. The linking process of a *Scandal* program.



```

private void link(String inPath) throws Exception {           1
    if (imports.contains(inPath)) return;                         2
    Program program = getProgram(getCode(inPath));              3
    for (Node node : program.nodes)                              4
        if (node instanceof ImportStatement)                   5
            link(((ImportStatement) node).expression.firstToken.text); 6
    imports.add(inPath);                                         7
}                                                                 8

```

The if-statement in line 2 of the link routine deals with the base case of the recursion, namely the case in which we have already discovered that vertex. If we are seeing a vertex for the first time, line 3 converts the code into an AST, so we can check for any import statements therein. That is, in turn, accomplished by the for-loop in line 4, which checks each node in the AST's outermost scope for import statements. For each one it finds, line 6 recursively calls the link routine with the path extracted from that import statement. Since any code upon which we might depend needs to appear *before* our own, the first vertex that is finished needs to go in front of the list, and so on. To be precise, this is a *reverse* topological order. If the chain of imports given by the user contains a cycle, then no topological order exists, and the *Scandal* program will throw a runtime error. This is not ideal, and future versions of *Scandal* will throw a compilation error instead. In order to do so, however, more structure needs to be added to the compiler, so that we may check for backward edges in the linking process, although this feature remains unimplemented.

### 1.2.2 The Scanning Process

The design of the entire compiler takes full advantage of *Java*'s object-oriented paradigm. In order to convert strings of characters from the input file into tokens, we first define a particular *type* of token for each individual construct in the DSL. This is accomplished by the Token class, which contains a static enumeration Kind, that in turn defines a type for each string of characters the DSL understands. The constructor of Token takes a Token.Kind as input, and each instance of Token contains, in addition, a text

property, which holds the particular string of characters for that token's kind, as well as other properties that are convenient when throwing errors, namely that token's line number, position within the input array of characters, position within the line, and length. The Token class also contains methods for converting strings into numbers, as well as convenience methods for determining whether the kind of a particular instance of Token belongs to a particular *family* of tokens, i.e., whether a token is an arithmetic operator, or whether it is a comparison operator, and so on.

What the Scanner class accomplishes is the conversion of an array of characters into an array of instances of Token. The mechanism is conceptually very simple: we scan the input array from left to right and, whenever we see a string of characters that matches one of the DSL's constructs, we instantiate a new Token and add it to the array of tokens we hold, in order. In the process, we skip any white space found. These can be tab characters, space characters, new lines, hence *Scandal*, unlike *Python* or *Make*, makes no syntactical use of line breaks or indentation. The only role white spaces play in a *Scandal* program is that of improved readability. *Scandal* also supports two kinds of comments: single-line, which are preceded by two forward slashes, and multi-line, where a slash *immediately* followed by a star character initiates the comment, and a start immediately followed by a slash terminates it. Unlike *Java* or *Swift*, comments are not processed as documentation, and are thus completely discarded. Their only purpose is to document the *.scandal* file in which they are contained. String literals in *Scandal* are declared by enclosing the text between quotes, and single apostrophe are neither allowed, nor in the language's alphabet anywhere. Besides token kinds that bear syntactical relevance, there is an additional *end-of-file* kind that exists for convenience, and is placed at the end of the token array right before the *scan* method returns. Checking for illegal characters, or combinations thereof, such as a name that begins with a number, for example, is all the checking the Scanner class does. All syntactical checking is delegated to the parsing stage of compilation.

### 1.2.3 The Parsing Process

The main purpose of the parsing stage is to convert the concrete syntax of a *Scandal* program into an abstract syntax tree, where constructs are hierarchically embedded in one another. An instance of the Parser class is constructed by passing a reference to a Scanner object. The process is unraveled by invoking the parse method, which returns an instance of the Program class. A Program is a subclass of Node, an abstract class that provides basic structure for every node in the AST. In particular, Program is the node that lies at the root of the AST. For each construct specified by the concrete syntax of *Scandal*, there is a corresponding construct specified by its abstract syntax. More often than not, the abstract construct will be simpler, sometimes with many tokens removed. The job of the parser is to facilitate the process of inferring meaning from a given program, and it does so by going, from left to right, through the array of tokens passed by the scanner and, whenever it sees a sequence of tokens that matches one of the constructs in the concrete syntax, it consumes those tokens and creates a subclass of Node that corresponds to the construct at hand. It follows, for every acceptable construct in the DSL, there is a subclass of Node that defines it. Some nodes are nested hierarchically in others, and ultimately all nodes are nested in an instance of Program, hence why the parsing stage ultimately constructs a tree.

Structuring a program hierarchically is essential for inferring the meaning of complex expressions that have some sort of precedence relation among its sub-expressions. That is the case of arithmetic operations, in which, say, multiplication has precedence over addition, and exponentiation has precedence over multiplication. As an example, *Supercollider* evaluates  $3 + 3 * 3$  to 18, since it parses the expression from left to right without regard for the precedence relations among arithmetic operators. This is counterintuitive, and does not correspond to how mathematical expressions are evaluated in general. We would like, instead, the expression  $3 + 3 * 3$  to evaluate to 12, in which case we cannot take it from left to right. Rather, we must first evaluate  $e_2 = 3 * 3$ , then evaluate  $e_1 = 3 + e_2$ . It is easy to see that, no matter how complex the expression might be, we can always represent it as a

*binary* tree by taking the leftmost, highest-precedence operator and splitting the expression in half at that point. We then look at each sub-expression and do the same, until we reach a leaf. Note that the AST is not, in general, a binary tree. If two operators have the same precedence, we associate from left to right, that is,  $1 - 2 + 3 = (1 - 2) + 3 = 2$ , which also corresponds to how mathematical expressions associate. Complex expressions are dealt in *Scandal* by the `BinaryExpression` class, and the fact that instances of `BinaryExpression` may contain other instances of `BinaryExpression` simply means we must construct them recursively. We shall discuss in detail each syntactical construct of *Scandal* in the sections that follow, along with their concrete and abstract syntax definitions, and parsing routines.

#### 1.2.4 Decorating the AST

The idea of representing a program as a tree has many advantages, chief among them being the fact we can traverse the tree to infer its meaning. This is often non-trivial, and is necessary as many constructs are name-references to other constructs, and require that we look back to how they were originally declared if we are to make sense of them. In *Scandal*, every subclass of `Node` overrides the abstract method `decorate`, which in turn takes an instance of `SymbolTable` as an argument. The latter is a class that implements a LeBlanc-Cook symbol table [2]. Several nodes in the DSL define new naming scopes, `Program` being the node that holds the zeroth scope. These nodes are namely those that have `Block`, or its subclass `LambdaBlock` as members. `IfStatement` and `WhileStatement` both have `Block` as a child node, whereas `LambdaLitBlock` points to a `LambdaBlock`, who differs from `Block` in that it has a return statement. `LambdaBlock` only exist in the context of a lambda literal expression, however instances of `Block`, inside if or while-statements or on their own, may exits arbitrarily, always defining new naming scopes. Every time we enter a new scope in *Scandal*, we have access to variables that were declared in outer scopes, but the converse is not true. Also, every time we enter a new scope, we have the opportunity of re-declaring variables' names without the risk of clashing with names already declared

in outer scopes. For each scope, we hold a hash table whose keys are the variables' names, and whose values are subclasses of the abstract type `Declaration`. In order to *remember* as we enter new scopes, and *forget* as we leave them, an instance of `SymbolTable` holds a `Stack` of name-declaration hash tables, since stacks are exactly the kind of data structure that gives us this last-in, first-out behavior. In order to trigger the whole process of decorating the AST, the `Compiler` class instantiates a `SymbolTable`, and passes that as an argument to the instance of `Program` that was returned by the parser. Listing 1.3 shows how this is done in the `compile` method inside `Program`. Since every node overrides the `decorate` method, this instance of `SymbolTable` is passed down along the entire tree. Nodes that introduce new naming scopes have the responsibility of pushing a new hash table onto the stack, then popping it before returning from the `decorate` method.

In addition to resolving names, the decoration process is crucial for type-checking expressions and statements in the DSL. Even though the *Java* bytecode instructions are explicitly typed, languages that compile to bytecode do not need to be. That is the case of *Scala* and *Groovy*, in which types can be inferred, or declared explicitly. Furthermore, there is a degree of latitude to which types can actually *change* in the bytecode implementation. The JVM only cares that, once a variable is stored in a certain slot number as, say, a float, that is, using the instruction `FSTORE`, that it be retrieved too as a float, that is, using the instruction `FLOAD`. It is perfectly possible to use the same slot number to, say, `ISTORE` an integer value. The only consistency the JVM requires is that, for as long as that slot holds an integer, the value can only be retrieved by an `ILOAD` instruction. This requirement naturally extends to method signatures, which are also explicitly typed in the JVM. Hence, like *JavaScript*, one can theoretically change the type of a variable attached to a name after it has been declared; unlike *JavaScript*, however, types have to be assigned to arguments when declaring a method, and that method signature is immutable. It is still possible to overload a method to accept multiple signatures, but overloaded names are still *different* methods, with altogether different

bodies. The same applies to non-primitive types, that is, types that are instances of a class in the JVM. To store or retrieve non-primitive types, we use the `ASTORE` and `ALOAD` JVM instructions, respectively. Hence it is also theoretically possible to overwrite non-primitive types. However, method signatures that take non-primitives require a fully-qualified class name, hence are immutable as above. A fully-qualified name is the name of the class, preceded by the names of the packages in which it is contained, separated by forward slashes. For `Compiler`, for example, we have `language/compiler/Compiler`.

Listing 1.3. Triggering the compilation process of a *Scandal* program.

```

public void compile() throws Exception {
    imports.clear();
    code = "";
    link(path);
    for (String p : imports) code += getCode(p);
    symtab = new SymbolTable(className);
    program = getProgram(code);
    program.decorate(symtab);
    program.generate(null, symtab);
}

```

*Scandal* is, by design choice, statically typed. There are many reasons for that. The main reason is that the only kind of method it supports is that of a lambda expression, even though *Scandal* is not a pure functional language. These lambda expressions define themselves their own parametrized sub-types, hence a lot of what the language *is* hinges on type safety. It is also a design choice to make *Scandal* accessible as an entry-level language, that is, directed toward an audience interested in learning audio signal processing in more depth, without the implementation hiding inherent to the unit-generator concept. Having types explicitly defined can help inexperienced programmers better debug their code, as well as help them understand the underlying implementation of the language. Type inference is, in essence, another way of hiding implementation, which has advantages,

but also drawbacks. It is notoriously difficult to report errors and debug large projects in an IDE with languages that are dynamically typed. That is certainly the case with *JavaScript*, of which *TypeScript* is a typed superset aimed exactly at facilitating development within an IDE. *Scandal* is fully integrate into its IDE, where reporting compilation errors to the programmer is a lot more informative, hence educational, than throwing runtime errors and aborting execution. For all these reasons, type-checking is one of the main jobs the decoration process accomplished. It can become rather involved, especially when it comes to composing partial applications of lambda expressions. We shall describe the intricacies of type checking alongside each of the DSL's constructs in the sections that follow.

### 1.2.5 Generating Bytecode

Similarly to the decoration process, bytecode generation is triggered from the root of the AST, that is, an instance of `Program` received from the parser, and which has been already decorated, and passed down to every node of the tree by a common abstract method each subclass of `Node` overrides. In this case, this common method is called `generate`, and it takes two arguments. The first is an instance of `org.objectweb.asm.MethodVisitor`, and the second is the the decorated instance of `SymbolTable`. `MethodVisitor` is part of the ASM library, which is a convenient set of tools aimed at facilitating the generation of *Java* bytecode. As the name suggests, it visits a method within the bytecode class and adds statements to it. As can be seen in line 9 of Listing 1.3, a null pointer is passed to the very first call to `generate`, since at that point we have not created any methods in the bytecode class yet. Every *Scandal* program compiles to a *Java* class, which in turn implements the `Runnable` interface. Inside the class, there are three methods: `init`, where we create the method bodies of lambda literal expressions, which are always fields in the *Java* class; `run`, which is a required override of the `Runnable` interface, and where we create all *Scandal* local variables and statements; and `main`, where we instantiate the class and

call `run`. Inside `Program`, the `generate` method creates three instances of `MethodVisitor`, one for each aforementioned method.

If and only if a child node is an instance of `LambdaLitDeclaration`, a `Node` used to declare a name and assign to it a lambda literal expression, this child node is passed an instance of `MethodVisitor` that lives inside the `init` method. The immediate implication of this design choice is that lambda literal expressions are always global variables in a *Scandal* program, thus accessible everywhere. However, they must be declared at the outermost scope of the program, and will throw a compilation error if declared elsewhere. A similar design pattern applies to nodes that are instances of `FieldDeclaration`, a `Node` used to declare field variables in the *Java* class, which in turn correspond to global variables in the *Scandal* program. For both `LambdaLitDeclaration` and `FieldDeclaration` nodes, we need to add field declarations in the *Java* class, which is accomplished by instantiating, for each of these nodes, a `org.objectweb.asm.FieldVisitor`. This is only ever done inside `Program` hence, as a consequence, global variables in a *Scandal* program must always be declared at the outermost scope. Similarly to instances of `LambdaLitDeclaration`, instances of `FieldDeclaration` in inner scopes throw a compilation error. Every descendant of the root node that is *not* an instance of `LambdaLitDeclaration` receives as a parameter to its `generate` method an instance of `MethodVisitor` that lives inside the `run` method of the *Java* class. This includes instances of `FieldDeclaration`, which are only declared by a `FieldVisitor`, and whose assignment is done inside the `run` method, along with all other declarations and statements.

Unlike instances of `FieldDeclaration`, instances of `LambdaLitDeclaration` are marked as *final* in the *Java* class, hence cannot be reassigned. The reason is simple: once reassigning a variable that points to a method body, the latter may become inaccessible. In *Scandal*, one can create references to lambdas inside the `run` method, which are not instances of `LambdaLitDeclaration`, that is, which do not specify a method body. These references are rather instances of the superclass `AssignmentDeclaration`, and can be freely reassigned,



even to lambdas that have different parameters, i.e., method signatures, that that of the original assignment. Reassigning references to lambdas come allow for great code re-usability. There is a third subclass of Node which can only be used at the outermost scope, namely `ImportStatement`. The reason is, besides clarity and organization of *Scandal* code, because the link routine inside `Program` only looks for import statements within the outermost scope of a program's AST. In all three such nodes, checking whether that particular instance lives in the outermost scope is a simple matter of asking the passed instance of `SymbolTable` whether the current scope number is zero.

### 1.2.6 Running a *Scandal* Program

Every `Program` node holds an array of bytes corresponding to a binary representation of the compiled *Scandal* program. This array is created right before the `generate` method returns. The `Compiler` class naturally holds a reference to an instance of `Program`, and utilizes the latter's `bytecode` property to dynamically instantiate the *Scandal* program as a *Java* class, that is, from an array of bytes stored in memory, rather than from a *.class* in the file system. Within the IDE, a path to a *Scandal* program is used to instantiate a `Compiler`. After calling the `compile` method, the resulting bytecode is used to define a subclass of `java.lang.ClassLoader`, namely `DynamicClassLoader`, which is capable of dynamically instantiating a byte array as a *Java* class, as opposed to the instance returned by the static method `ClassLoader.getSystemClassLoader()`, which can only load classes from the file system. Once defined, we construct and instantiate the program, finally casting the result to `Runnable`, as illustrated in Listing 1.4. The `getInstance` method is called from the IDE by the tab that currently holds the program's text editor, which is an instance of `ScandalTab`. After retrieving the instance of `Runnable`, the `ScandalTab` simply puts it on a new `Thread`. Starting the thread then causes the *Scandal* program to execute.

Listing 1.4. Obtaining an instance of a *Scandal* program.

```
public Runnable getInstance() throws Exception { 1
    ClassLoader context = ClassLoader.getSystemClassLoader(); 2
```

DynamicClassLoader loader = <b>new</b> DynamicClassLoader(context);	3
<b>return</b> (Runnable) loader	4
.define(className, program.bytecode)	5
.getConstructor()	6
.newInstance();	7
}	8

### 1.3 The Syntax of *Scandal*

In this section, we describe in detail every syntactical construct of *Scandal*. For each of them, we state their concrete and abstract syntax definitions, how one is converted into the other in the parser, as well as the particularities of type-checking and generating bytecode. We omit some constructs that, either have trivial implementations, or whose implementations are, *mutatis mutandis*, identical to other constructs, in which case we describe only a representative. In the discussion that follows, terminal symbols are in all-capital letters, productions in the concrete syntax begin with a lower-case letter, and their counterparts in the abstract syntax begins with an upper-case letter. We here present terminal symbols in the syntactical context in which they appear.

#### 1.3.1 Top-Level Productions

At the topmost level of a *Scandal* program, there are basically only two kinds of constructs that are allowed, namely declarations and statements. The legal declarations at this level are further subdivided into three: assignment declarations, field declarations, and lambda literal declarations. In the productions that follow, the star symbol represents a *Kleene* star, and or-symbols and parenthesis are not tokens in the language. As a rule, terminal symbols will be given by their names, like **OR**, to avoid confusion with symbols in the language's grammar. Below are the production rules for program:

- types := KW\_INT | KW\_FLOAT | KW\_BOOL | KW\_STRING | KW\_ARRAY | KW\_LAMBDA
- declaration := assignmentDeclaration | fieldDeclaration
- declaration := lambdaLitDeclaration | paramDeclaration

- `program := (assignmentDeclaration | fieldDeclaration)*`
- `program := (lambdaLitDeclaration | statement)*`

In the AST, Declaration is an abstract class that has two subclasses: AssignmentDeclaration, and ParamDeclaration. The former has two subclasses, FieldDeclaration, and LambdaLitDeclaration. The primary difference between the two subclasses of Declaration is that the latter defines a type and a name without binding any value to that name at the time of declaration, while the former requires that some expression be given at the moment the variable is declared. It follows every variable declaration in *Scandal* must be initialized, except when they are parameters of a lambda literal, in which case they actually cannot be initialized. A program can contain any number of assignmentDec, fieldDec, or lambdaLitDec, in any order, while a paramDec only exist in the context of a lambda literal. As will be seen below, every declaration begins with a type token, or with a field flag, followed by a type token. After parsed, types are converted into an enumeration case, according to the keyword at hand. The abstract syntax of Program is then:

- `Types := INT | FLOAT | BOOL | STRING | ARRAY | LAMBDA`
- `Declaration := AssignmentDeclaration | FieldDeclaration`
- `Declaration := LambdaLitDeclaration | ParamDeclaration`
- `Program := (LambdaLitDeclaration | FieldDeclaration)*`
- `Program := (AssignmentDeclaration | Statement)*`

The parsing routine for program is very simple, and constructs an instance of Program by checking whether the next token in the array of tokens produced by the scanner is in the FIRST set of declaration. If it is, we attempt to construct an instance or subclass of AssignmentDeclaration, consuming in the process all the tokens therein. If not, we attempt to construct a subclass of the abstract type Statement. That is done much that same way, by looking at the set FIRST(statement). Listing 1.5 shows how a concrete program is converted into a Program node in the AST.

Listing 1.5. Parsing topmost-level constructs in *Scandal*.

```
public Program parse() throws Exception { 1
    Token firstToken = token; 2
    ArrayList<Node> nodes = new ArrayList<>(); 3
    while (token.kind != EOF) { 4
        if (token.isDeclaration()) nodes.add(assignmentDeclaration()); 5
        else nodes.add(statement()); 6
    } 7
    matchEOF(); 8
    return new Program(firstToken, nodes); 9
} 10
```

In Listing 1.5, we construct an instance of `Program` by first creating an array of nodes. These nodes, however, must be either a subclass of `AssignmentDeclaration`, or a subclass of the abstract class `Statement`. Line 5 checks whether the next unconsumed token is in the `FIRST` set of a declaration. If so, further parsing is delegated to the `assignmentDeclaration` routine. If not, the only other option is that the next token initiates a statement, and parsing thereof is delegated to the `statement` routine in line 6. Nodes are added in the exact order in which they appear in the *Scandal* program, regardless whether they are declarations or statements. An end-of-file token was included in the scanning process for convenience, and here we make use of it by checking the next available token against the `EOF` kind. As soon as we find it, we know we have reached the end of the token array, and can thus stop looking for declarations and statements. If we were expecting a particular token, but `EOF` appeared prematurely, we throw an error.

Inside an instance of `Program`, type-checking is completely delegated to each node in the node array. More precisely, inside the `decorate` routine, we iterate over the node array and, for each node, we call `node.decorate`, passing along the symbol table instantiated by the compiler. Generating bytecode, on the other hand, is a lot more complex, since we need to provide the overall structure for the entire *Java* class. That is accomplished inside

the generate method by creating an instance of `org.objectweb.asm.ClassWriter`. The latter, which we call `cw`, manages the creation of the *Java* class itself, including the generation of the byte array used to instantiate and run the *Scandal* program. In particular, we set the JRE to version 1.8, make the access to the class public, and define it as a subclass of `java/lang/Object` that implements the `java/lang/Runnable` interface. We then create three instances of `MethodVisitor` by calling `cw.visitMethod`, one for each method in the *Java* class. The methods are namely `init`, `run`, and `main`. In `init`, we basically go through the node array and, if the particular node is an instance of `LambdaLitDeclaration`, we call `node.generate`, passing the appropriate instance of `MethodVisitor` and our symbol table as parameters. What the generate method does inside a `LambdaLitDeclaration` is somewhat complicated, and we defer its explanation to the moment we discuss the `LambdaLitExpression` class. Before visiting `run`, we go once again over all nodes in the node array and, if they are either an instance of `LambdaLitDeclaration` or an instance of `FieldDeclaration`, we call `cw.visitField`. This method creates fields in the Java class, which correspond to field variables in the *Scandal* program. Every field is marked as `static`, since we make no use of *Java*'s object-oriented paradigm. In addition, lambda fields are marked as `final`, as previously discussed, and we take the opportunity to pass `cw` along to the instances of `LambdaLitExpression` for which we are creating field declarations, and ask them to create a method body for the lambda literal expression. This is accomplished inside each lambda literal expression by an overloaded generate method, which takes, instead of a `MethodWriter`, the instance of `ClassWriter`, namely `cw`, and uses that to create its own `MethodWriter`, which will correspond to the lambda's method. The instances of `LambdaLitExpression` are accessed through the `lambda` property inside the `LambdaLitDeclaration`, and the particularities of creating method bodies for lambdas will be discussed momentarily. The next step is to add a body for the *Java* class' `run` method. To do so, we go yet once more over the array of nodes, and this time we generate any node that is *not* an instance of `LambdaLitDeclaration`, for obvious reasons. We do visit instances of `FieldDeclaration`, since `cw.visitField` only created the field,

but never assigned any value to it. Since we only allow unassigned declarations in *Scandal* when declaring lambda parameters, we have something to assign to that field, and generate inside `FieldDeclaration` takes care of that.

Listing 1.6. Using the ASM framework to construct a `main` method.

```
private void addMain(ClassWriter cw, SymbolTable symtab) { 1
    MethodVisitor mv = 2
        cw.visitMethod(ACC_PUBLIC + ACC_STATIC, "main", "([Ljava/lang/String;)V", null, null); 3
    mv.visitTypeInsn(NEW, symtab.className); 4
    mv.visitInsn(DUP); 5
    mv.visitMethodInsn(INVOKEVIRTUAL, symtab.className, "<init>", "()V", false); 6
    mv.visitMethodInsn(INVOKEVIRTUAL, symtab.className, "run", "()V", false); 7
    mv.visitInsn(RETURN); 8
    mv.visitMaxs(0, 0); 9
} 10
```

Finally, we visit the `main` method in the *Java* class, which is shown in Listing 1.6. This is the standard `main` method in *Java*, which is always public and static, takes an array of strings, and returns nothing. Line 3 uses `cw` to create an instance of `MethodVisitor`, namely `mv`, with exactly these properties. Bytecode syntax for method signatures is given by a parenthesized list of argument types, followed by the return type. Hence a void method that takes a `String[]` in *Java* becomes `([Ljava/lang/String;)V`, where the left bracket means we have an array of whatever type follows, and the colon separates argument types. Naturally, `java/lang/String` is a string, and `V` stands for the void type. The JVM is stack-based, so in line 4 we create a new instance of the *Java* class, whose name is stored in our symbol table, and leave it on top of the stack. In line 5 we duplicate whatever is on top of the stack, since we will need to use our newly created *Java* class twice, namely to call on it `init`, and then `run`. These two calls are made in lines 6 and 7, respectively. Notice both method signatures take no arguments and return nothing, hence are equivalent to `()V` in bytecode. Finally, we add a return statement to the `main` method's body, which is omitted in void *Java* methods, but required in bytecode. A bytecode method requires that we compute the maximum number of elements the stack will have, as well as the total

number of local variables in the method. ASM does that for us, and we asked it to do so by passing a `ClassWriter.COMPUTE_FRAMES` as an argument while constructing `cw`. The two arguments to `mv.visitMaxs` are the maximum stack size, and the total local variables. We pass zeros since we are not computing them, but the call must be made nonetheless.

### 1.3.2 Subclasses of Declaration

The class `Declaration` is an abstract type that extends `Node` by adding three instance variables, namely a `Token` to hold the name we are declaring, an integer to hold its slot number, and a boolean property to distinguish whether this is a field or not. Slot numbers are not necessary for fields, hence are only used in the context of the *Java* class' run method. `Declaration` branches out into two non-abstract subclasses, `ParamDeclaration` and `AssignmentDeclaration`. The latter has itself two other subclasses, `FieldDeclaration` and `LambdaLitDeclaration`. As discussed above, the difference is that `ParamDeclaration` only occurs inside a `LambdaLitDeclaration`; `FieldDeclaration` and `LambdaLitDeclaration` only occur at the outermost scope; and `AssignmentDeclaration` occurs anywhere. Below are the production rules for the concrete syntax of declarations in *Scandal*.

- `paramDeclaration := types IDENT`
- `assignmentDeclaration := types IDENT ASSIGN expression`
- `fieldDeclaration := KW_FIELD types IDENT ASSIGN expression`
- `lambdaLitDeclaration := KW_LAMBDA IDENT ASSIGN lambdaLitExpression`
- `lambdaLitDeclaration := KW_LAMBDA IDENT ASSIGN lambdaLitBlock`

As shown in line 5 of Listing 1.5, we parse declarations by looking at the very first token at hand. In particular, we have  $\text{FIRST}(\text{declaration}) = \text{type} \cup \text{KW\_FIELD}$ . Observing that `FieldDeclaration` is the only subclass of `Declaration` that may make use of a field flag, we can begin parsing declarations by first checking whether the first token of a declaration is indeed a field flag, setting a local boolean property accordingly, and consuming the `KW_FIELD` token, as shown in line 2 of Listing 1.7. We then store the type and identifier

tokens, consume the equals sign and delegate the expression rule to the expression routine. Based on which type of expression we receive, we create the corresponding subclass of AssignmentDeclaration. Even though lambda literal declarations are always fields in the *Java* class, the field flag is not necessary, but *can* be used without errors, since all that determines an instance of LambdaLitDeclaration is that the expression it contains is a subclass of LambdaLitExpression. Unassigned declarations, on the other hand, will fail the match(ASSIGN) call in line 6, and will cause a compilation error. Below are the abstract syntax rules for declarations. In these abstract rules, an IDENT has a different meaning than its concrete counterpart. Here it is an instance of Token, rather than a character string.

- ParamDeclaration := Types Token.IDENT
- AssignmentDeclaration := Types Token.IDENT Expression
- FieldDeclaration := Types Token.IDENT Expression
- LambdaLitDeclaration := Types.LAMBDA Token.IDENT LambdaLitExpression
- LambdaLitDeclaration := Types.LAMBDA Token.IDENT LambdaLitBlock

Listing 1.7. Parsing Top-Level Declarations.

```

public AssignmentDeclaration assignmentDeclaration() throws Exception {           1
    boolean isField = token.kind == KW.FIELD;                                   2
    if (isField) consume();                                                       3
    Token firstToken = consume();                                                 4
    Token identToken = match(IDENT);                                              5
    match(ASSIGN);                                                                6
    Expression e = expression();                                                  7
    if (e instanceof LambdaLitExpression)                                       8
        return new LambdaLitDeclaration(firstToken, identToken, (LambdaLitExpression) e); 9
    if (isField) return new FieldDeclaration(firstToken, identToken, e);        10
    return new AssignmentDeclaration(firstToken, identToken, e);                11
}                                                                                12

```



### 1.3.2.1 The ParamDeclaration Class

ParamDeclaration is basically an unchanged implementation of the abstract type Declaration. It adds no new properties, thus consisting of basically a type Token and an identifier Token. Since it is not abstract, it must override decorate and generate, the two abstract methods in Node that provide functionality to all nodes in the AST. Parsing a parameter declaration is absolutely straightforward, and done in the context of a LambdaLitExpression. We simply store and consume the type and identifier tokens, then use those to instantiate a ParameterDeclaration.

When a lambda literal expression is decorated, a new scope in the symbol table is introduced, so that parameter names do not clash with local variables in the *Java* class' run method. Thus inside an instance of ParamDeclaration, the decorate method checks only the symbol table at the top of the stack of symbol tables to see whether any two parameters have the same identifier, in which case it throws a compilation error. If not, it inserts the identifier into the topmost scope of the symbol table, associating to the identifier the instance of Declaration at hand. Since parameter declarations are local variables inside a lambda body, they need to have the slotNumber property set so they can be accessed. This is accomplished, however, by the lambda literal expression class before the decorate method is called on a parameter declaration, as we shall see momentarily. In the generate method, we do nothing, since there is no value we can bind to the declaration's identifier at the moment. Naturally, these values will exist in the context of a lambda application.

### 1.3.2.2 The LambdaLitDeclaration Class

The LambdaLitDeclaration class is a particular case of AssignmentDeclaration where the expression is of type LambdaLitExpression. By defining a new type, we are able to separate more easily instances of lambda literals from other nodes inside a Program, as previously seen. As we shall see, having a specific type for lambda declarations is also invaluable when the underlying implementation of a lambda is obscured by partial applications and

compositions, in which case we need to unravel an entire chain of bindings until we find a name that is bound to an expression of type `LambdaLitDeclaration`. We construct a lambda literal declaration by taking a `LambdaLitExpression`, and passing it to the constructor of the superclass. It follows the superclass' expression property is just a reference to this lambda literal expression property, which we call `lambda`. Naturally, every `LambdaLiteralExpression` inherits from `Expression`. At the time we construct a lambda literal declaration, we immediately set the `isField` boolean property to true, as discussed above.

Lambda literal declarations have very different implementations of the `Node` abstract methods than those of `AssignmentDeclaration`, hence both `decorate` and `generate` are overridden. The bodies of these methods are substantially simpler than the superclass' implementation, given the restricted nature of this type. Inside `decorate`, we check the entire stack of symbol tables to see whether we are not being redeclared, in which case an error is thrown. Checking only the topmost scope symbol table would work exactly the same, since lambda literal declarations are only allowed at the outermost scope, hence the stack only contain a single symbol table when we call `decorate`. If we are being declared for the first time, then we insert the identifier into the symbol table, associating to it the instance of `LambdaLitDeclaration` we have. Unlike parameter declarations, we now have an expression to `decorate`, which we do by calling `lambda.decorate`, hence delegating decoration to the `LambdaLitExpression` instance. Similarly, the `generate` method calls `lambda.generate`, which causes a lambda literal expression to be left on top of the JVM stack. We then bind this expression to the `identToken.text` property and return.

### 1.3.2.3 The `FieldDeclaration` Class

Field declarations are possibly the simplest type of declaration. They mostly exist for convenience, in order not to clutter its superclass `AssignmentDeclaration`, which has a somewhat more involved implementation. We construct them by calling the superclass' constructor with exactly the same arguments, but in addition we set the `isField` boolean property to true. We also override both `decorate` and `generate`. In the former, and similarly

to `LambdaLitDeclaration`, we check all symbol table scopes to see if we are not being redeclared. Naturally, there is only ever one scope to check. If all is fine, we insert ourselves into the symbol table with a key given by `identToken.text` and a value of `this`. We then call `expression.decorate`, after which the expression will be decorated with a non-null type, a property that is common to every node. Unlike `LambdaLitDeclaration`, in which the declaration and lambda always had the same type by construction, here we can have runtime errors if the program tries to store, say, a float into an integer slot. We then simply check whether the expression's type is the same as the declaration's. The latter never needed to be decorated, and was set when the constructor of `Node` was called, since it could be inferred from the declaration's first token alone. The overridden `generate` method is identical to that of `LambdaLitDeclaration`.

#### 1.3.2.4 The `AssignmentDeclaration` Class

Assignment declarations are the most general and common type of declaration in *Scandal*. They correspond to all variable declarations that are not *special*, neither in the sense of declaring a method body, nor in the sense of being global to a *Scandal* program. In other words, they live inside the Java class' run method, as well as inside a lambda's body. Since the `isField` property is false by default, we construct a `AssignmentDeclaration` by passing a type token and an identifier token to the superclass, then storing our own expression property, the latter being what differentiates us from the abstract type `Declaration`. The decoration process is similar to those of the two subclasses of `AssignmentDeclaration`, but a bit trickier. We start by checking the top of the stack of symbol tables to see if there is no name clash, then insert into the symbol table a key of `identToken.text` with value `this`. We then assign a slot number to this variable, which we do by maintaining a property `slotCount` inside `SymbolTable`. We assign our slot number to the current slot count, then increase the latter. We call `expression.decorate`, similarly to what we do in `field` and `lambda` literal declarations, to decorate the expression, which will cause it to have a non-null type in most cases, except when the expression is an instance of `LambdaAppExpression`, in which

case we hit a small roadblock in the type-checking process. To understand the situation, we provide in Listing 1.8 a small snippet of *Scandal* code.

Listing 1.8. Type inference in *Scandal*.

```
lambda id = float x -> x 1
lambda higherOrder = float x -> lambda f -> { 2
    float val = f(x) 3
    return val 4
} 5
```

When a lambda expression in *Scandal* has a parameter of type lambda, we have no mechanism in the language to tell what parameter types pertain to said lambda. The corresponding construction in *Java* is an instance of the `Function` interface, which is a parameterized type. A lambda expression in *Java* that takes a float and returns a float has type `Function<Float, Float>`, for example. It has been a design choice so far in *Scandal* not to introduce parameterized types, and attempt instead at some yet crude type inference mechanism. It is a long-term goal to actually make *all* types inferred. In Listing 1.8, we have an instance of `ParamDeclaration` that defines a variable `f` of type lambda. Inside the block, the mechanism of choice to in fact *declare* what parameter types `f` has is through an assignment declaration. In line 3, we apply `x` to `f` and store the result in `val`. Since both `x` and `val` have type `float`, we are now at a position to determine with that `f` takes a single argument of type `float`, and returns also a float. Note that omitting line 3 and putting `return f(x)` instead would cause a compilation error, exactly because we cannot infer parameter types of a lambda expression inside a lambda literal if there is no application thereof.

Given the discussion above, whenever an assignment declaration calls `expression.decorate`, and this expression happens to be a lambda application inside a lambda literal, instead of expecting the call to `expression.decorate` to define a type for the expression, we actually decorate the expression ourselves. The process is very simple: after calling

`expression.decorate`, we check if the expression is an instance of `LambdaAppExpression`. If so, we look for the declaration of that application's expression. If the declaration is an instance of `ParamDec`, we know our expression is an application of a lambda is a parameter of lambda literal expression, since parameter declarations only ever exist in this context. We then trust the programmer will make use of the lambda literal expression correctly, by applying to it a lambda expression that has the same parameter types as those inferred inside the block. If not, a runtime error will occur. This is obviously not a complete implementation and, as discussed previously, future versions of *Scandal* will throw a compilation error instead. Finally, the expression property will have to have a type, which we check against the declaration type. Naturally, in the special case we decorate the expression ourselves, this test never fails. If it does, we throw a compilation error. Overriding the `generate` method inside an assignment declaration is straightforward. We make a call to `expression.generate`, which causes the expression value to be left on top of the JVM stack, after which we switch over the expression type, using the appropriate JVM instruction to store the variable. We end this section with a summary of the type-checker rules for all subclasses of `Declaration`.

- `AssignmentDeclaration`:
  - + Must not be declared more than once in the same scope
  - + `Type = Expression.type`
- `FieldDeclaration`:
  - + Must be declared in the outermost scope
  - + Must not be declared more than once
  - + `Type = Expression.type`
- `LambdaLitDeclaration`:
  - + Must be declared in the outermost scope
  - + Must not be declared more than once
  - + `Type = Types.LAMBDA`

- ParamDeclaration:
- + Must not be declared more than once

### 1.3.3 Subclasses of Statement

Statements and declarations are the only types of top-level constructs that *Scandal* supports. Even blocks are not allowed on their own, and may only occur in the context of a statement or lambda literal expression. That said, statements can occur freely inside any scope, and are essential for the language in that they provide much its core functionality. In many cases, statements are what allow *Scandal* to remain restricted to its domain, providing hooks to *Java* routines that, if ported to the DSL, would challenge its very purpose. In this sense, much of what it means to improve the language's domain, like its ability to produce user-interface elements, for example, will depend on how new types of statements are introduced. As a language designer, one cannot help but wonder about the trade-offs of such endeavor. A good balance between introducing new features while maintaining scalability is always delicate. On one hand, new features are necessary to provide more functionality. On the other, every bit of functionality that *can* be achieved in terms of the language alone, even if more difficult to implement, must be given priority. If the language only grows in terms of its *Java* underlying implementation, the structure of the compiler and its production rules become increasingly harder to maintain. This philosophy has been the main driving force behind giving *Scandal* a functional flavor. It is also diametrically opposite to the concept of unit generators, although one can still hide implementation in *Scandal*, but the converse is true in general for strict unit-generator languages. As seen above in Listing 1.5, parsing statements is accomplished much the same way we parse declarations, by looking at the set FIRST set of statement. Below are the productions for the concrete syntax of *Scandal* statements.

- statement := importStatement | assignmentStatement | indexedAssignStat
- statement := ifStatement | whileStatement | printStatement

- `statement := playStatement | plotStat | writeStat`
- `importStatement := KW_IMPORT LPAREN expression RPAREN`
- `assignmentStatement := IDENT ASSIGN expression`
- `indexedAssignStat := IDENT LBRACKET expression RBRACKET ASSIGN expression`
- `ifStatement := KW_IF expression block`
- `whileStatement := KW_WHILE expression block`
- `block := LBRACE (assignmentDeclaration | statement)* RBRACE`
- `printStatement := KW_PRINT LPAREN expression RPAREN`
- `playStatement := KW_PLAY LPAREN expression COMMA expression RPAREN`
- `plotStat := KW_PLOT LPAREN expression COMMA expression COMMA expression RPAREN`
- `writeStat := KW_WRITE LPAREN expression COMMA expression COMMA expression RPAREN`

Except for assignment statements, whose first token is an identifier, every statement in the language begins with a keyword. The statement routine in the parser contains a switch with cases for `IDENT` and every statement keyword, and defaults to throwing an error if the first token given does not match any of these kinds. Depending on the keyword, the parser instantiates one of the particular subclasses of `Statement`. There are basically four varieties of statements in *Scandal*: compiler statements, assignment statements, control statements, and framework statements. Compiler statements are restricted to import statements at the moment. As the language grows in terms of itself, likely there will be need in the future for compiler statements that aid in API documentation, such as double-star comments that are used to generate a web page, say. Assignments simply bind a new expression to a previously declared variable. Control statements are if and while-loops. Switches, repeat-while-loops, and for-loops are not yet included, but intended. Framework statements define the specific domain of the language, and consist of four hooks to the *Java* audio engine, namely routines to print to the console, playback a buffer of audio data with a given number of channels, plot a

decimated array of floats, and write a *.wav* file to disk. Framework statements may be regarded as void methods, since they never return anything. There exist other hard-wired routines in *Scandal* that do return some expression, and are thus treated as such and discussed later. The `Statement` class extends `Node` but is itself abstract. It is constructed with an instance of `Token`, that in turn is used to call the superclass' constructor, and an instance of `Expression`. Every statement in *Scandal* contains at least one expression, but their purposes vary according to the statement type. In converting from concrete rules into abstract ones, assignments, parenthesis, commas, and braces are all discarded, and most statements are in essence a collection of expressions. The exceptions are conditional statements, which also contain blocks. Below are the abstract syntax rules for statements.

- `Statement := ImportStatement | AssignmentStatement | IndexedAssignStat`
- `Statement := IfStatement | WhileStatement | PrintStatement`
- `Statement := PlotStatement | PlayStatement | WriteStatement`
- `ImportStatement := Expression`
- `AssignmentStatement := Token.IDENT Expression`
- `IndexedAssignStat := Token.IDENT Expression_0 Expression_1`
- `IfStatement := Expression Block`
- `WhileStatement := Expression Block`
- `Block := (AssignmentDeclaration | Statement)*`
- `PrintStatement := Expression`
- `PlotStatement := Expression_0 Expression_1 Expression_2`
- `PlayStatement := Expression_0 Expression_1`
- `WriteStatement := Expression_0 Expression_1 Expression_2`

#### 1.3.3.1 The `ImportStatement` Class

Import statements are the simplest type of statement in *Scandal*. The `ImportStatement` class extends `Statement`, but adds no properties to it. Even though they belong to the AST,



no bytecode is ever generated for import statements. We still need to implement `generate`, but we simply do nothing there. The decoration phase begins by checking the current scope number in the symbol table is zero, since we can only import in the outermost scope. If not, we throw an error. Next, it calls `expression.decorate` and, after the expression has been decorated with a type, we check to see whether that is indeed a string, throwing an exception if not, and return. Import statements are used by the compiler as described in Listing 1.2, wherein all import statements in a chain of linked programs form a DAG. We depth-first search the DAG by pre-compiling every program, that is, creating an AST for them without decorating or generating bytecode. Paths are then extracted from import statements in order, which effectively creates a reverse topological sorting of the graph. If the chain of programs contains a cycle, then execution will fail at runtime. As discussed above, future versions of Scandal will check for backward edges in the DAG, and throw a more informative compilation error instead.

At the moment, each import statement accepts a single expression of type `string`, but extending this implementation to allow comma-separated expressions would be fairly trivial. In designing a language, one must consider whether such implementation would *actually* improve the language. *Java* only supports single import statements and that can cause some clutter in the document header. Good IDE's will collapse import statements, which mitigate the cluttering somehow. With *Go*, on the other hand, one is allowed to have multiple import statements, declared as space-separated strings. More often than not, though, these strings are quite long, and eventually are broken into multiple lines. In *Scandal*, import statements take paths to files in the file system, which may be fairly long strings. The syntax is very similar to *Go*, however only single import statements are allowed, like *Java*, and the ability to collapse import statements in the IDE will likely take precedence over multiple import statements in the future.

### 1.3.3.2 Assignment Statements

The `AssignmentStatement` class extends `Statement` by including a `declaration` property and, naturally, overriding both `decorate` and `generate`. In the decoration phase, we look for a declaration value whose key corresponds to the identifier we hold in `firstToken.text`, starting from the innermost (topmost) scope, and descending to the bottom of the stack of symbol tables, as needed. Contrary to assignment declarations, if *no* declaration is found, we throw an error. We then delegate decoration of the `expression` property to the corresponding subclass of `Expression`. The exact same caveat with type inference in instances of `LambdaAppExpression` applies here, so we check whether we are a lambda application of a lambda parameter inside a return block, and decorate the lambda application expression with our declaration's type. After that, we check if the type of our declaration corresponds to the type of our expression, and throw an error if there is a mismatch.

The `generate` method is somewhat more complicated, since the `Function` interface in *Java* only handles classes and not primitive types. This restriction applies in *Scandal* to integers, floats, and booleans, which are implemented as primitives, and must therefore be wrapped in a *Java* class, namely `Integer`, `Float`, and `Boolean`, in order to be assigned to parameters in a lambda literal expression. The other three types in *Scandal*, namely strings, arrays, and lambdas, all correspond to class types in *Java*, hence do not require any conversion. Before assigning to any variable, we always leave an expression value on top of the JVM stack. Whenever assigning to a parameter variable inside a lambda block, if the expression's type correspond to a primitive in *Scandal*, we wrap the primitive on top of the JVM stack into the appropriate *Java* class. We check that by testing whether our declaration property is an instance of `ParamDeclaration`, which immediately tells us we are inside a lambda block. Otherwise, we test if the declaration is an instance of `FieldDeclaration`, in which case we use the correct bytecode instruction to assign to the variable. If both tests fail, then we must be a local variable, either in the context of run or local to a

lambda body, hence we need to make the correct store call, and provide a slot number. The former is determined by the type property, while the latter is retrieved from the declaration property.

AssignmentDeclaration has a subclass that specializes in assigning float values to an array at particular indices. Naturally, we need to extend AssignmentDeclaration by including an expression property that holds the index at which we are assigning. We parse indexed assignment statements alongside its superclass by observing that  $\text{PREDICT}(\text{indexedAssignmentStatement}) = \text{PREDICT}(\text{assignmentStatement})$ , and differentiate between indexed and non-indexed assignments by consuming the identifier, then checking if the next token is a left bracket. An instance of IndexedAssignmentStatement is constructed by passing constructing the superclass, then storing the the index expression. Decoration is similar to the superclass in it checks the symbol table to see whether the identifier has been declared, throwing an error if not. In addition, we must check if the declaration associated to the identifier has indeed type array, otherwise an error is thrown. We then delegate the decoration of the index property to the appropriate subclass of Expression, as usual. Contrary to most descendants of *C*, however, we do allow arrays to be indexed by expressions of type float in *Scandal*, in which case we simply take the integer part of the number in the generation phase. Hence we check the type of index, throwing an error if its neither an integer nor a float. We decorate the expression property in a similar way, however here too care must be taken with higher-order functions. We check for those exactly the same way we do in the superclass, decorating the expression property as needed. Like indices, we overload the expression property to accept integers, as well, converting to float in the generation phase before storing. When decorating a lambda application inside a lambda block, we naturally always set it to Types.FLOAT.

Generating an indexed assignment statement is done a little differently from the superclass in it involves loading the array first on top of the JVM stack, which we do by asking the declaration property for a slot number. After that, we ask index to generate

itself. If the index is a float, we call the F2I instruction, which pops the float and pushes its integer part on top of the JVM stack. Similarly, we ask expression to generate itself and, if needed, convert it to float by calling the I2F JVM instruction. Finally, the FASTORE assigns the value on top of the stack to the array at the desired index, eventually popping the three topmost elements from the JVM stack.

### 1.3.3.3 Control Statements

Both control statements in *Scandal* extend Node by including a block property. The basic functionality of a block is to introduce a new scope of declarations and statements, that are executed once or more times, depending on the type of conditional, should the test following the conditional keyword succeed. The Block class, in turn, extends Node by including an array of nodes, similarly to the Program class. Like the latter, these nodes may be declarations or statements, but unlike the zeroth scope, we disallow import statements, since the compiler only ever looks for those in the topmost scope, as well as lambda literals and fields. The restriction for fields is aimed at forcing clarity among declarations. Again here, it would be trivial to allow them, but it is arguably confusing to declare a global variable inside an inner scope, so we forbid it. The restriction for lambda literals, however, is not deliberate, but rather the result of an incomplete implementation. A fair amount of bookkeeping goes into allowing lambda literal declarations into an inner scope. For one, these would need to be local variables, and currently all lambda literals are global. Moreover, local lambdas can always be achieved by copying a field, hence no additional functionality would be achieved, thus we omit their implementation in this proof of concept.

Parsing blocks is very similar to parsing top-level constructs. The basic difference is that a block is surrounded by braces, thus we start by consuming the left brace. We then look for declarations or statements until we reach the right brace. The parser routines that create these declarations and statements are exactly the same that create top-level constructs. If a global variable declaration or an import statement is given to a block,

the parser will take no notice of it. The error will be thrown by the respective AST nodes upon decoration, when the node itself asks the symbol table for its current scope number, throwing an error if the latter is not zero. Decorating and generating the block is similarly delegated to each node in the nodes array. While decorating, we introduce a new scope by calling `syntab.enterScope`, ask each node in the nodes array to decorate itself, then call `syntab.leaveScope`. While generating we iterate over the nodes array, asking each node to generate itself.

We parse conditional statements by consuming the first token, asking the expression method in the parser for an expression, and finally asking the block method for a block. Decorating if and while-statements is identical. We first ask the expression property to decorate itself, then check whether it is of type boolean, otherwise we throw an error. Finally, we ask the block to decorate itself. The generate method naturally differs between both types of conditional statement. For an if-statement, we first ask the expression to generate itself, after which its value will be on top of the JVM stack. Next, we load a constant of value true on top of the stack. Interestingly, integer constants are used in the bytecode implementation of conditional statements, but not in *Java*. Nor in Scandal, mostly for clarity. We then create an instance of `org.objectweb.asm.Label` which, upon calling `mv.visitLabel` creates a label instruction in bytecode. Before visiting the label, though, we compare both elements on top of the stack by calling creating an `IF_CMPNE` jump, and giving it the label we have just created as an argument. When called, it will pop both topmost elements and jump to the label if these elements are not equal. Since we know one of them has value true, we perform the jump only if the condition is false. What we jump over is exactly the contents of the block, thus we generate the block before we visit the label. The entire process is described in Listing 1.9.

Listing 1.9. Generating If-Statements.

```
public void generate(MethodVisitor mv, SymbolTable syntab) throws Exception { 1
    expression.generate(mv, syntab);                                           2
```

```

mv.visitInsn(ICONST_1);
Label label = new Label();
mv.visitJumpInsn(IF_ICMPNE, label);
block.generate(mv, symtab);
mv.visitLabel(label);
}

```

Generating while-loops is analogous, but requires two labels, since we jump back to the first label while the condition is true. Naturally, the first thing we do is visit the first label, to establish that jump location. We then load the expression and a constant of value true, call IF\_CMPNE, as with if-statements, passing as an argument the second label, which is yet to be visited. We then visit the block and add a GOTO instruction, giving it the first label as an argument, which causes the condition to be re-evaluated. If still true, we repeat the block. If not, we jump to the second label, whose visit is the last step in generating a while-loop. The actual bytecode implementation often involve more instructions, the generation thereof we gladly delegate to the ASM library. A more complete implementation of these two types of control statement would include handling the cases where, instead of a block, we allow a single statement to be executed should the condition succeed. Adding this functionality is trivial, but would improve readability since we would be able to drop the surrounding brackets. A similar type of syntactic sugar has been implemented for lambda literal expressions. A `LambdaLitExpression` takes an array of parameters and returns an expression, whereas its subclass `LambdaLitBlock` contains, in addition, a `ReturnBlock`. When the body of a lambda literal consists of a return expression alone, we are able to drop the surrounding brackets and possibly express the entire lambda in a single line.

### 1.3.3.4 Framework Statements

Print statements provide the functionality of printing to the IDE's console the value of strings, floats, integers, and booleans. They extend `Statement` by implementing both `decorate` and `generate`. As with import statements, print statements take a single expression

as input, hence one needs a print statement for each variable one wishes to see posted on the console. Print statements are parsed by consuming and converting the print keyword into a Token, then asking the parser's expression routine for a subclass of Expression. We then use the token and expression to instantiate a PrintStatement, whose constructor simply calls to the superclass' constructor. Decoration asks the expression to decorate itself, after which we check if the expression type is either array or lambda, in which case we throw an error.

Generating a print statements depends on whether we are running inside the IDE, or as a command-line tool, so we call `Platform.isFxApplicationThread` to check. In the latter case, we use `mv` to load `System.out`, then ask the expression to generate itself on top of the JVM stack, and finally use `mv` again to call `println` on `System.out`. In the former case, we use `mv` to load `language.ide.MainView.console`, which is an instance of `javafx.scene.control.TextArea`, and duplicate the top of the stack, for reasons we will explain momentarily. We then generate the expression on top of that, and test to see if the expression is of type `string`, in which case we call `String.valueOf`, since `TextArea` can only append to its contents a value of type `java.lang.String`. To actually append the string to whatever the console is displaying at the moment, we call `TextArea.appendText`, which pops the two topmost elements, namely the expression and the duplication of the console. We then push a new-line character and call `TextArea.appendText`, in order to create a nice line break. This causes the top of the stack to be popped twice, hence why we duplicated the console.

Plot statements are absolutely fundamental to any digital signal processing development environment. Currently, Scandal supports static linear plots but, as the language evolves, there will be need for many other types of plots. These include logarithmic plots, plots of the complex plane, three-dimensional plots, spectrograms, as well as dynamic plots such as oscilloscopes. The `PlotStatement` class extends `Statement` by including two more expressions. The three expression properties are namely a string to display a title for the plot, an array of points to be plotted, and an integer defining the number of points to be

plotted. Since arrays of audio samples can be quite long, the last parameter is used to decimate an array if it is longer than the specified number of points. If it is shorter, the `PlotTab` class will oversample the array to have the specified length.

We parse plot statements by consuming the keyword and a left parenthesis, then calling `expression` on the parser three times, consuming the commas in between. We next consume the right parenthesis, and return an instance of `PlotStatement`, whose constructor uses the keyword token and first expression to construct the superclass. Decoration of a plot statement is accomplished simply by asking each expression to decorate itself. We then type-check the first to be a string, the second to be an array, and overload the third to accept integers and floats. The generation phase checks, like we did with print statements, if we are running on the IDE. If not, we do not generate any bytecode, hence one cannot plot when running a *Scandal* program from the command line. Otherwise, we use `mv` to push a new instance of `language.ide.PlotTab`, and push all three expressions on top of it. If the last expression has type float, we give the `F2I` instruction, and finally call `init` on the `PlotTab`, causing it to be displayed in the main view. We provide a thorough discussion of *Scandal*'s IDE in the next chapter.

Play statements take an array of audio samples and an integer number of channels, hence extend `Statement` by adding to `id` a second expression property. Parsing is done exactly the same way it is for plot statements, only we have here one less expression to parse. Decoration follows the same pattern, and requires that the first expression be an array, and the second be an integer. We here choose not to overload the integer expression, although it may be useful in the future to use floats in order to define a surround configuration, such as 5.1, or 8.4 speakers, where the decimal part corresponds to the number of sub-woofers in a speaker configuration. Like before, we check in the generation phase whether we are running inside the IDE. If not, we use `mv` to push a new instance of `AudioTask` onto the stack, then duplicate it, since we are using it twice. We then call `init` on the `AudioTask`, which pops the topmost of the two. We then generate



both expressions, call `play` on the `AudioTask`, and return. If we are on the IDE, however, we push instead a new instance of `language.ide.WaveTab`. We then push a string containing the class name, which the symbol table holds, generate both expressions, and call `init` on the `WaveTab`. The string containing the class name is used as the tab's label. The `WaveTab` class in the IDE is a subclass of `PlotTab`, hence displays a plot of the array of samples, decimated to 1000 samples, in addition to playing it back, hence there one never needs to plot and play in a *Scandal* program.

The last type of framework statement in the language deals with saving a *.wav* file to disk. `Write` statements take three arguments, namely the array containing audio samples, a string containing a path in the file system, and an integer number of channels. Parsing follows the same methodology of other framework statements, so does decoration. We do not overload the channel count, but we might in the future to support surround configurations, similarly to `play` statements. The code-generation routine uses `mv` to push a new instance of `framework.generators.AudioTask`, which we duplicate. We will discuss the audio engine's framework package in the next chapter. After calling `init` on the `AudioTask`, we push all expressions and `export` on `AudioTask`, which effectively saves the audio buffer as a *.wav* at the specified path. We summarize below the type-checker rules for all subclasses of `Statement`.

- `ImportStatement`:
  - + Must be stated in the outermost scope
  - + `Expression.type = Types.STRING`
- `AssignmentStatement`:
  - + Must have been declared in some enclosing scope
  - + `Declaration.Type = Expression.type`
- `IndexedAssignmentStatement`:
  - + Must have been declared in some enclosing scope

- + Declaration.Type = Types.ARRAY
- + Expression\_0.type = Types.INT | Types.FLOAT
- + Expression\_1.type = Types.INT | Types.FLOAT
- IfStatement:
  - + Expression.type = Types.BOOL
- WhileStatement:
  - + Expression.type = Types.BOOL
- PrintStatement:
  - + Expression.type != Types.ARRAY | Types.LAMBDA
- PlotStatement:
  - + Expression\_0.type = Types.STRING
  - + Expression\_1.type = Types.ARRAY
  - + Expression\_2.type = Types.INT | Types.FLOAT
- PlayStatement:
  - + Expression\_0.type = Types.ARRAY
  - + Expression\_1.type = Types.INT
- WriteStatement:
  - + Expression\_0.type = Types.ARRAY
  - + Expression\_1.type = Types.STRING
  - + Expression\_2.type = Types.INT

#### 1.3.4 Subclasses of Expression

Expressions in *Scandal* cannot be evaluated on their own, rather existing only in the context of a declaration or statement. The abstract class `Expression` has a very diverse family of subclasses, and extends `Node` by adding three static methods. These methods are type converters and will be discussed in the context of lambda expressions. `Expression` neither implements `decorate` or `generate`, nor adds any properties to `Node`, hence remaining a

fairly general type of node. Given their diversity, and the fact that often expressions come as binary sub-trees of the AST, expressions are by very far the most difficult construct in the language to parse. The expression routine in the parser works by always looking for operator tokens, then forming a binary expression whenever applicable. Hence even when a simple literal expression is given, parsing thereof goes through several steps before delegating to the specific parsing routine for that particular type of literal. The concrete syntax rules for the various types of expressions reflect this fact in the sense that *every* subclass of Expression is treated while parsing as a binary tree, even if it eventually turns out to be a trivial, single-leaf tree. The leaves are called factors in the production rules below. Above factors, there can be summands, and above summands there can be comparisons, depending on the precedence of the connecting operators. In *Scandal*, we do not allow carets or double-stars for exponentiation. Should we do allow them in the future, they would need to be introduced in the rules below with a higher precedence than factors, that is, powers would need to replace factors as leaves.

- expression := comparison (comparisonOp comparison)\*
- comparisonOp := LT | LE | GT | GE | EQUAL | NOTEQUAL
- comparison := summand (summandOp summand)\*
- summandOp := PLUS | MINUS | OR
- summand := factor (factorOp factor)\*
- factorOp := TIMES | DIV | MOD | AND
- factor := derivedExpression | literalExpression | arrayExpression
- factor := frameworkExpression | lambdaExpression

We begin parsing expressions by assuming the expression given is a binary expression containing a lowest-precedence comparison operator. Inside the expression routine in the parser, we create two expressions, one for each node of the binary sub-tree whose root we are attempting to create. We then ask the comparison routine to provide us with the

left-hand side expression and test in a while-loop to see whether the next token is a comparison operator. If so, we ask comparison for the right-hand side expression and create an instance of `BinaryExpression` with the two expressions. While the next token is still a comparison operator, we keep asking comparison for a new right-hand side, then substitute our instance of `BinaryExpression` with another in which the left-hand side is the entire binary expression we parsed last, and the right-hand side is the last expression returned from the comparison method. In this fashion, we always associate equal-precedence operations from left to right. The expression routine is given below in Listing 1.10.

Listing 1.10. Parsing Expressions.

```

public Expression expression() throws Exception {           1
    Token firstToken = token;                                2
    Expression e0;                                           3
    Token operator;                                          4
    Expression e1;                                           5
    e0 = comparison();                                       6
    while (token.isComparison()) {                             7
        operator = consume();                                8
        e1 = comparison();                                   9
        e0 = new BinaryExpression(firstToken, e0, operator, e1); 10
    }                                                         11
    return e0;                                               12
}                                                             13

```

Inside comparison, we do exactly the same we do inside expression, only we check whether the operator token is at the precedence level of sums. We instantiate expressions inside comparison by calling the summand routine. The summand routine, in turn, does also exactly the same as expression and comparison, only checking whether operator tokens are at precedence level of products, which is the highest level of precedence among operator tokens in *Scandal*. Inside summand, we instantiate expressions by calling the factor routine. The latter returns a leaf in the binary tree by looking at the FIRST set of the expression

rule, similarly to how we parse declarations and statements. Some factors, however, require more than one token of look-ahead, namely some of those that begin with an identifier. Inside the `factor` routine, then, whenever we see a token of kind `IDENT`, we look for the next token to determine whether we should parse the factor as an indexed array, a lambda application, a lambda composition, or simply an identifier expression. It turns out two tokens of look-ahead is enough to parse factors, which thus have a LL(2) grammar. We have the following abstract syntax rules for expressions, wherein the rules for operators are the same as the concrete rules, except that instead of strings of characters, we have instances of `Token`.

- `Expression := DerivedExpression | LiteralExpression | ArrayExpression`
- `Expression := FrameworkExpression | LambdaExpression | BinaryExpression`
- `BinaryExpression := Expression_0 Operator Expression_1`
- `Operator := ComparisonOperator | SummandOperator | FactorOperator`

#### 1.3.4.1 The BinaryExpression Class

Binary expressions extend `Expression` by overriding its abstract methods and adding three properties, namely a left-hand side expression, an operation token, and a right-hand expression. Decorating and generating binary expressions is somewhat involved, mostly due to the great variety of operations and their supported types. In addition, operators in *Scandal* are overloaded to a certain extent, as to provide type polymorphism in binary expressions, which adds to the number of cases with which we must deal in decorating and generating expressions, but also make the DSL more concise and readable. Decorating a binary expression begins with asking both expressions to decorate themselves, after which their types will be non-null. Given the binary-tree nature of these expressions, this is accomplished recursively, hence we may be calling `decorate` on ourselves if one of the sub-expressions is itself a binary expression. Hence we need to have a type before returning from `decorate`. As a matter of fact, almost all we do next inside `decorate` is go over the different combinations of operations and types to determine what the overall type of

the binary expression is. If we are given two expressions in any combination of integers or floats, we can perform arithmetic on those numbers. Note that arithmetic operators do not always have the same precedence amongst themselves. We then have two sub-cases. If both expressions are of type integer, then we decorate the resulting binary expression with an integer type. Otherwise, if at least one of the expressions has type float, the whole binary expression will have type float. If instead we are given any combination of integers, floats, or booleans, then we can use logical or comparison operators, remembering that booleans are implemented in bytecode as integers, and that logical operators also have different precedence levels. In both cases, the binary expression will be of type boolean. Before returning, we need to deal with the caveat of having applications of lambdas that were declared as parameters of another lambda. Given that there is no parameterization of types in *Scandal*, these lambdas must be applied alone first in an assignment declaration, which is the current inference mechanism for such parameterized types. So we check both expressions to see whether their declaration is a subclass of `ParamDeclaration`, and throw an error if that is the case. The very last thing we do while decorating a binary expression is check if our type is still null. If so, all test above have failed. Since we must decorate the expression with a type, an error is thrown whenever type-checking fails.

Generating binary expressions is even more involved, given that operators are *not* overloaded in bytecode at all, hence we need to do all the work. The basic mechanism is to load the left expression, then create a case for each kind of operator. The procedure for all arithmetic operators is very similar. After pushing the left expression, we check if the binary expression has type float. If so, we know at least one of the expressions must have type float. So while the left expression is still on top of the stack, we check if it is *not* a float, and if so call the `I2F` instruction. We then push the right expression and do the same check. The last step is to call the appropriate JVM instruction to deal with the float case of the arithmetic operator at hand. If, on the other hand, the binary expression has integer type, then we know *both* expressions also have integer type, so we just push the

second expression and call the appropriate JVM instruction. In the cases where we have a logical operator, we observe that the JVM can only perform logical operations on integers, including naturally integer representations of booleans. So here we load the left expression and cast it to integer if it is a float, do the same for the right expression, and call the logical operator's instruction. Comparison operators are more tricky, since we cannot count on the overall type of the binary expression to make necessary conversions, and the JVM does have separate instructions for integers and floats, provided both sides have the same type. Every binary expression whose operator is a comparison has type boolean, but still, JVM instructions are not overloaded, hence we need to have agreeing types on top of the stack before calling a particular instruction. However, observing that after calling the operator instruction, we are always left with an expression of type boolean on top of the stack, we work around testing every possible case by casting both expressions to float, as needed, and only resorting to float comparisons.

Overloading operators can be very beneficial for a DSL. *MATLAB* is perhaps one of the best examples, but also *Csound* operates almost exclusively on overloaded array operations. Expressions that resemble the way we write them mathematically are also fundamental in improving readability, as well as removing the clutter created by words that have a household symbolic representation. The future steps of *Scandal* shall improve even further the functionality of binary expressions. Given the nature of the language's domain, overloaded array operations are the next logical step. As a simple example, one could apply a scalar to an entire array in the same way we write such an expression mathematically. Let  $\alpha = 2$  and  $\vec{v} = [1\ 2\ 3]$ . Then  $\alpha \cdot \vec{v} = [2\ 4\ 6]$ . With overloaded array operations, one could write `a * [1, 2, 3]` and have it evaluated to `[2, 4, 6]`. Below are the type-checker rules for binary expressions.

- BinaryExpression:
  - + ArithmeticOp := MOD | PLUS | MINUS | TIMES | DIV
  - + INT ArithmeticOp INT  $\rightarrow$  INT

- + (INT | FLOAT) ArithmeticOp (INT | FLOAT)  $\rightarrow$  FLOAT
- + ComparisonOp := AND | OR | EQUAL | NOTEQUAL | LT | LE | GT | GE
- + (INT | FLOAT | BOOL) ComparisonOp (INT | FLOAT | BOOL)  $\rightarrow$  BOOL

#### 1.3.4.2 Derived Expressions

Derived expressions are those defined in terms of another expression. They exist in three contexts, namely when an expression is surrounded by parenthesis, when we wish to negate a number or logically *or* a boolean expression, and as name references to other expressions. Below are the concrete rules for derived expressions.

- derivedExpression := parenthesizedExpression | unaryExpression | identExpression
- parenthesizedExpression := LPAREN expression RPAREN
- unaryExpression := (MINUS | NOT) expression
- identExpression := IDENT

Parsing derived expressions takes place in the `factor` method, as discussed above. For parenthesized, we simply look for a left parenthesis, consume it, recursively call the `expression` method, then consume the right parenthesis. Parenthesized expressions are crucial in order to resolve ambiguities, or force the compiler to construct a binary tree for an expression in a certain way. The expression  $(1 + 1)/2$  will be parsed differently than  $1 + 1/2$ , however the AST has no knowledge of parenthesis, it is the way in which binary expression trees are constructed that determines how they are evaluated. Therefore there are no abstract syntax rules for parenthesized expressions, since what they define is not a subtype, but a specific branching of a binary expression tree. Naturally, this tree may be trivial, in which case the parenthesized expression is reduced to the contents surrounded by parenthesis. Such use of the parenthesized expression rule may have a purpose in improving readability of long mathematical expressions.

Unary expressions are parsed by consuming the operator token, then calling `expression` recursively. The `UnaryExpression` class extends `Node` by adding this referenced expression as a property. The operator is stored as the `firstToken` property, inherited from the superclass.



Decoration is simple, we ask the expression property to decorate itself, after which we type-check as follows. If we were given a `MINUS`, and the expression is neither an integer not a float, we throw an error. Else, if we were given a `NOT`, and the expression is not a boolean, we also throw an error. We note that unary operators are not overloaded in *Scandal*, and only apply to their specific types. Before returning, we decorate the unary expression with the same type as its referenced expression.

Code generation is surprisingly more involved for the boolean case. We start generating by pushing the expression on top of the stack. We then look at the expression type and cover the three cases. If it is an integer, we know from type-checking we were given a `MINUS`, hence we call the `INEG` instruction. For floats, we call the `FNEG` instruction. If we were given a boolean expression, then we need to negate it. We do so by creating two labels, visiting an `IFEQ` jump instruction, and giving it the first label as an argument, which causes the top of the stack to be popped. If the stack contained a zero, that is, if the expression evaluates to false, then we jump to the first label, where we push a `ICONST.1` onto the stack. If the stack contained a true instead, then we push a `ICONST.0`, and visit a `GOTO` jump, giving it as an argument the second label. At the second label location, we simply do nothing, and just leave the false value on top of the stack.

Identifier expressions by adding a declaration property, which is naturally a subclass of Declaration. Parsing is done in the `factor` method. Since the *Scandal* grammar for expressions is `LL(2)`, we first rule out all of the cases in which an expression may begin with an `IDENT` token, and construct an instance of `IdentExpression` as the default case. Type-checking is similar to assignment statements in that we check for a name that has already been declared, throwing an error if we find one that has not. We do that by asking the symbol table for the declaration value associated to our identifier, storing it in our declaration property. The last step is to set our type to be the same as our declaration's.

Generating identifier expressions resorts to three cases. The first case is when the declared variable is a field, which we know from the `isField` property every declaration

contains. If so, we use `mv` to get the value associated with the field's name. The second case deals with the possibility that the variable is a parameter inside a lambda, which happens whenever the declaration property is an instance of `ParamDeclaration`. If so, we can be certain the value of the expression is not a primitive, since the `Function` interface requires values to be passed as classes, as discussed previously. We then do two things, use `ALOD` to push the expression's value, then call `Expression.getTypeValue`, a static method that converts from classes left on top of the stack to their primitive values. Naturally, this only applies to integers, floats, and booleans. If neither a field, nor a parameter, then we load the variable normally. For integers and booleans, we use `ILOAD`, for floats `FLOAD`, and for all other types we use `ALOAD`. Except for fields, we always need a slot number to load variables, which we retrieve from the declaration property. Below are the abstract syntax rules for all derived expressions.

- `DerivedExpression` := `UnaryExpression` | `IdentExpression`
- `UnaryExpression` := (`Token.KW_MINUS` | `Token.KW_NOT`) `Expression`
- `IdentExpression` := `Token.IDENT`

We summarize this section with the type-checker rules for derived expressions.

- `UnaryExpression`:
  - + `Token.MINUS` `Types.INT`  $\rightarrow$  `Types.INT`
  - + `Token.MINUS` `Types.FLOAT`  $\rightarrow$  `Types.FLOAT`
  - + `Token.NOT` `Types.BOOL`  $\rightarrow$  `Types.BOOL`
- `IdentExpression`:
  - + Variable must have been declared in some enclosing scope
  - + `Type` = `Declaration.type`

### 1.3.4.3 Literal Expressions

Literal expressions are the simplest constructs in the language, since their values are not computed. They are naturally leaves in binary expression trees, and are thus parsed in

the factor method. Their concrete rules are very simple, thus instantiating an appropriate AST class simply requires we look for the appropriate token kind. The abstract syntax rules are identical, with an instance of `Token` instead of a character string, and are thus omitted. Below are the concrete rules for literal expressions.

- `literalExpression := intLitExpression | floatLitExpression`
- `literalExpression := boolLitExpression | stringLitExpression`
- `intLitExpression := INT_LIT`
- `floatLitExpression := FLOAT_LIT`
- `boolLitExpression := KW_TRUE | KW_FALSE`
- `stringLitExpression := STRING_LIT`

In the productions above, we note that non-zero integer literals are not allowed to start with a zero. In such a scenario, even though the scanner will create two tokens, one for the zero, and another for the rest of the number, since there are no productions in the language that take two integers separated by white space, the parser inevitably will throw a compilation error. The same applies to floating-point decimals, where only one zero can come before the dot. In this particular case, a zero actually *must* precede the `DOT` token, and such constructs as `x = .5` are not allowed. Doubles, shorts, or longs do not exist in *Scandal* as of yet, and there is no need to declare float literals the way they are in *Java*, with `1.1f` for float and `1.1` for double, say. In fact, that will cause an error. As previously mentioned, string literals are constructed by enclosing text within quotes, and the use of apostrophes will cause a scanning error. Even though quotes are in the language’s alphabet, they are discarded upon conversion into an instance of `Token`, as their only possible use is within a string literal. `DOT`, on the other hand, has uses besides separating the decimal part of a `FLOAT_LIT`, namely in composed lambdas. Hence the scanner simply instantiates a token and delegates the inferring of meaning to the parser, in this case. Boolean literals are quite self-explanatory, and we note booleans are not

allowed to replace numbers in arithmetic expressions. As seen in the discussion on binary expressions, arithmetic operators are not overloaded to accept booleans. For all other operators, though, numbers and booleans can be used in the same expression. Also, unlike *C*, numbers alone are not allowed to replace booleans in control statements.

All literal expressions extend `Expression` by adding no properties, since all we need is a value that we retrieve from the `firstToken` property. In all of them, we override `decorate` and do nothing, since all we need to do is decorate the expression with a type, which we always know, so we do that in each class' constructor. Code generation is also easy. For integers and floats, there is a risk the scanner will accept a number that is possibly too long. These cases are handled by the scanner at the earliest stage possible, and an informative error is thrown if a bad number is given. Therefore, we can call respectively `Integer.parseInt` and `Float.parseFloat` in the code generation phase without any risks, using the result as an argument to `mv.visitLdcInsn`, which effectively pushes the value onto the stack. For booleans, we test the given keyword, and load the appropriate constant, whereas for strings we use `mv` to load the `firstToken.text` property directly. Below are the type-checker rules for literal expressions.

- `IntLitExpression`:
  - + `Type = Types.INT`
- `FloatLitExpression`:
  - + `Type = Types.FLOAT`
- `BoolLitExpression`:
  - + `Type = Types.BOOL`
- `StringLitExpression`:
  - + `Type = Types.STRING`

#### 1.3.4.4 Array Expressions

Array expressions are fundamental to the DSL in that sound data is processed as arrays of floats. There are four types of array expression, namely literal ones, which are declared as comma-separated numbers surrounded by brackets, array items, which are floats retrieved from an array at a particular index, array sizes, which are integers corresponding to sizes of arrays, and lastly array constructors, which create arrays of zeros with a given integer size. Below are the concrete rules.

- `arrayExpression` := `arrayLitExpression` | `arrayItemExpression`
- `arrayExpression` := `arraySizeExpression` | `newArrayExpression`
- `arrayLitExpression` := `LBRACKET` `expression` (`COMMA` `expression`)\* `RBRACKET`
- `arrayItemExpression` := `identExpression` `LBRACKET` `expression` `RBRACKET`
- `arraySizeExpression` := `KW_SIZE` `LPAREN` `expression` `RPAREN`
- `newArrayExpression` := `KW_NEW` `LPAREN` `expression` `RPAREN`

For all but the `arrayItemExpression` rule, array expressions are parsed in the `factor` method by looking at their first token. In the case of array item expressions, we have that  $\text{FOLLOW}(\text{IDENT}) = \varepsilon \mid \text{LBRACKET} \mid \text{LPAREN} \mid \text{DOT}$ , hence we need one more token of look-ahead. The cases where we see a left parenthesis correspond to lambda applications, and those in which we see a dot correspond to lambda compositions. After consuming the first token, and possibly the second, parsing follows the same procedure as above, by calling the expression routine recursively, and abstracting away parenthesis, brackets, commas, and keywords. Instead of storing an `IDENT` token, we go ahead and instantiate an `IdentExpression` while constructing a `ArrayItemExpression`. The reason for that is to simplify decoration and code generation by delegating those tasks to the `IdentExpression`, rather than repeating code inside `ArrayItemExpression`. It should be immediately apparent that indexed arrays in *Scandal* must have the array necessarily bound to a name, and an

expression like  $[1, 2, 3][0] == 1$  is not allowed at all. Below are the abstract rules for array expressions.

- $\text{ArrayExpression} := \text{ArrayLitExpression} \mid \text{ArrayItemExpression}$
- $\text{ArrayExpression} := \text{ArraySizeExpression} \mid \text{NewArrayExpression}$
- $\text{ArrayLitExpression} := (\text{Expression})^+$
- $\text{ArrayItemExpression} := \text{IdentExpression Expression}$
- $\text{ArraySizeExpression} := \text{Expression}$
- $\text{NewArrayExpression} := \text{Expression}$

Array literal expressions extend `Expression` by adding an `ArrayList` of expressions, and naturally overriding its abstract methods. We set the type to `Types.ARRAY` in the constructor, similarly to how we handle literal expressions. Inside `decorate`, we iterate over the array of expressions, asking them to decorate themselves. For each of them, we check that they have type integer or float, throwing an error otherwise. Not requiring that all entries be floats greatly improves the language, as we can freely mix integers and floats while declaring them as a comma-separated list. Ultimately, though, all values are cast to floats when loading the array. Since we require expressions and not necessarily literal expressions, such an array as `[1, pow(2, 3.2), 3.5, pi]` would be perfectly legal. Inside `generate`, we construct the array, element by element, on the JVM stack. To do so, we use `mv` to push the size of the `ArrayList` onto the stack, then call the `NEWARRAY` instruction with a `T_FLOAT` argument. We then iterate over the `ArrayList` and, for each expression, duplicate the top of the stack, push the array's index onto the stack, ask the expression to generate itself, converting it to float as needed, and finally call the `FASTORE` instruction, which stores the expression's value onto the new array at the specified index. At the end of each iteration, the call to `FASTORE` pops the topmost two elements, hence at the end of the entire process, we leave the filled-up array on top of the stack. At the moment,

one cannot construct arrays of any type other than arrays of floats. Rather than a design choice, this is another incomplete implementation that shall be revised in the future.

Array item expressions extend `Expression` with two properties, namely an identifier expression that references the array, and an expression of type integer that stores the index information. Like with literal expressions, we always know the type here is `float`, so we set that accordingly in the constructor. Decoration asks the array property to decorate itself, then checks if it has type `array`, throwing an error if not. We then do the same for the index property, only we check that it indeed has type `integer` or `float`. Generation asks the array property to push itself onto the stack, then asks the index property to do the same. If the index has type `float`, we call the `F2I`, as usual. Finally, we use `mv` to call `FALOAD`, leaving a float on top of the stack.

Array size expressions extend the superclass by including an expression of type `array`. Like before, we set the type to `integer` in the constructor already. Decoration follows the traditional route, asking the array property to decorate itself, then checking it indeed has type `array`. Generation is also easy, asking the array property to load itself, then using `mv` to call the `ARRAYLENGTH` instruction. The last type of array expression deals with creating a new array of zeros with a specified length. An instance of `NewArrayExpression` extends `Expression` by adding to it a `size` expression of integer type. Like before, we set the type to `array` in the constructor. Decoration follows the usual pattern, asking the `size` expression to decorate itself, then checking it is either an `integer` or a `float`. Code generation loads the `size` on top of the stack, and converts it to `integer`, as needed, finally calling the `NEWARRAY` instruction with a `T_FLOAT` argument, similarly to array literal expressions. Below are the type-checker rules for all array expressions.

- `ArrayLitExpression`:
  - + `Type = Types.ARRAY`
- `ArrayLitExpression`:

- + (Expression)<sup>+</sup>.type = Types.INT | Types.FLOAT
- + Type = Types.ARRAY
- ArrayItemExpression:
  - + Expression\_0.type = Types.ARRAY
  - + Expression\_1.type = Types.INT | Types.FLOAT
  - + Type = Types.FLOAT
- ArraySizeExpression:
  - + Expression.type = Types.ARRAY
  - + Type = Types.INT
- NewArrayExpression:
  - + Expression.type = Types.INT | Types.FLOAT
  - + Type = Types.ARRAY

#### 1.3.4.5 Framework Expressions

Framework expressions, like framework statements, provide functionality to *Scandal* that would be impractical to implement in terms of the language alone. In some cases, though, they are just conveniences, like providing a keyword for the number pi. As discussed previously, this practice always imposes a trade-off, as by not allowing the language to grow in terms of itself, we inevitably experience compiler bloat. Many framework statements and expressions will likely be bootstrapped into the language as it evolves, except perhaps those have a direct correspondence to a JVM instruction, rather than to a *Java* class. The primary difference between framework statements and expressions is that the latter leaves some value on top of the JVM stack to be consumed. Below are the concrete rules for framework expressions.

- frameworkExpression := piExpression | cosExpression | powExpression
- frameworkExpression := floorExpression | readExpression | recordExpression
- piExpression := KW\_PI



- `cosExpression := KW_COS LPAREN expression RPAREN`
- `powExpression := KW_POW LPAREN expression RPAREN`
- `floorExpression := KW_FLOOR LPAREN expression RPAREN`
- `readExpression := KW_READ LPAREN expression COMMA expression RPAREN`
- `recordExpression := KW_RECORD LPAREN expression RPAREN`

Parsing framework expressions is done in the `factor` method by simply looking at the first token and constructing the appropriate subclass of `Expression`. In all rules above, we abstract away parenthesis, commas, and keywords. We omit the abstract rules for framework expressions since they follow the usual pattern. The simplest case of them is that of `pi` expressions. They extend `Expression` only by implementing its abstract methods. Like with literal expressions, we set its type to `float` right at construction, and decoration therefore does nothing. Code generation simply asks `mv` to load *Java*'s `Math.PI` on top of the stack.

Cosine expressions are absolutely fundamental to audio signal processing, and are one of the cases where a *Scandal* implementation might not be worthwhile. They extend `Expression` by adding a `phase` property, which is a subclass of `Expression` of type `float`. Since we always leave a `float` on top of the stack, we set the type in the constructor. Decoration asks `phase` to decorate itself and accepts integers or floats, throwing an error otherwise. Generation asks `phase` to leave a value on top of the stack, then converts it to `double` using either the `F2D` or the `I2D` instruction, since the method signature for *Java*'s `Math.cos` takes a `double` and returns a `double`. We then use `mv` to invoke `Math.cos`, and finally cast the result back to `float` using `D2F`. At the moment, cosine is the only transcendental function built into *Scandal*, since we can compute other trigonometric functions in terms of the cosine. This is, again, not a design choice but a yet incomplete aspect of the language.

Power expressions provide a convenient way to compute exponential expressions, and extend `Expression` with two properties, `base` and `exponent`. Decoration and code generation is follows the same pattern as other framework expressions. The method signature for

`Math.pow` in Java takes two doubles and returns one, so we here convert back and forth, like we do with cosine expressions. Both base and exponent are overloaded to accept integers and floats, as usual. The syntax for power expression will likely change in the future to that of a caret operator inside a binary expression, which will require one more level of precedence among operators, as discussed previously. Floor expressions are identical to cosine expression, only naturally differing in functionality by invoking *Java*'s `Math.floor` method.

Read expressions provide a hook to the audio engine's `framework.generators.WaveFile` class, whose main purpose is to parse the contents of a `.wav` file in the file system into an array of floats. `ReadExpression` extends the superclass by including two properties, a string path, and an integer format, the latter specifying a channel count. We here do not overload the format property. Decoration follows the usual steps, and code generation asks `mv` to create a new instance of `WaveFile`, which we duplicate. We then generate the path property and call `init` on `WaveFile`. Next, we generate the format and call `WaveFile.get`, which leaves an array of floats on top of the stack.

Record expressions connect the DSL with the audio engine's `framework.generators.AudioTask` class. They extend `Expression` by adding a duration property, which has type integer but is overloaded to accept floats, as well. Decoration follows the usual steps, and code generation is very similar to read expressions, where we instantiate `AudioTask`, duplicate it, and call `init`. We then push the duration, which is given in milliseconds, onto the stack and cast it to integer, as needed, finally calling `AudioTask.record`. The latter will block execution of the Scandal thread for the entire duration, capture input from the preferred device in Settings, then leave an array of floats on top of JVM the stack. Below are the type-checker rules for the different types of framework expression.

- `PiExpression`:
  - + `Type = Types.FLOAT`
- `CosExpression`:

- + Expression.type = Types.INT | Types.FLOAT
- + Type = Types.FLOAT
- PowExpression:
  - + Expression\_0.type = Types.INT | Types.FLOAT
  - + Expression\_1.type = Types.INT | Types.FLOAT
  - + Type = Types.FLOAT
- FloorExpression:
  - + Expression.type = Types.INT | Types.FLOAT
  - + Type = Types.FLOAT
- ReadExpression:
  - + Expression\_0.type = Types.STRING
  - + Expression\_1.type = Types.INT
  - + Type = Types.ARRAY
- RecordExpression:
  - + Expression.type = Types.INT | Types.FLOAT
  - + Type = Types.ARRAY

#### 1.3.4.6 Parsing Lambda Expressions

In the spirit of saving the best for last, we now discuss lambda expressions. *Scandal* is not a pure functional language, however lambdas are the sole mechanism for defining methods in the language. Being that *Scandal* is a statically-typed scripting language where we do not explicitly define new types, lambdas, as well as applications and compositions thereof are in effect an essential part of the language in what regards encapsulation and code re-usability in general. As we shall see in the next chapter, one can write an entire musical composition in *Scandal* using only lambda expressions. There are four types of lambda expressions, of which two are literal. Literal lambda expressions differ from the other types in that they define a method body, either as a simple expression to

be returned, or as an entire block, which in turn contains a return expression as its last statement. As previously discussed, literal lambda expressions, for the moment, are always global variables. They can nonetheless be copied into local variables, and accessed as such. The other two types are applications and compositions. The former has a familiar method-like syntax, with a name reference preceding comma-separated arguments surrounded by parenthesis. The latter has two possible uses, one in which no arguments are given, in which case we have a list of name references connected by dots. The second use includes a list of arguments, and its syntax is that of a composition followed by a dot and an application. Below are the concrete rules for lambda expressions.

- `lambdaExpression := lambdaApp | lambdaComp | lambdaLit | lambdaBlock`
- `lambdaApp := identExpression LPAREN expression (COMMA expression)* RPAREN`
- `lambdaComp := identExpression (DOT identExpression)*`
- `lambdaComp := identExpression (DOT identExpression)* DOT lambdaApp`
- `lambdaLit := paramDeclaration ARROW (paramDeclaration ARROW)* expression`
- `lambdaBlock := paramDeclaration ARROW (paramDeclaration ARROW)* retBlock`
- `retBlock := LBRACE (assignmentDeclaration | statement)* retExpression RBRACE`
- `retExpression := KW_RETURN expression`

Parsing lambda literal expressions relies on looking at the first token at hand. For both variants, we have a list of parameters separated by arrow, and each parameter is an instance of `ParamDeclaration`, hence begins with a type token. Since these are the only two types of expression that begin with a type token, the first token is enough to determine a lambda literal expression. While creating an array of parameter declarations, we abstract away the arrows, until no more type tokens are given. At this point, we look for a left brace. In its presence, we instantiate a lambda block and construct an instance of `LambdaLitBlock`. Otherwise, we call `expression` and instantiate a `LambdaLitExpression`. In fact, `LambdaLitBlock` is a subclass of `LambdaLitExpression`. Parsing applications and

compositions requires a second token of look-ahead, since both expressions begin with an identifier token. As previously discussed, indexed arrays and identifier expressions and array expressions are the other two constructs that also begin with an identifier token. What distinguishes all four is the token that follows: for indexed arrays we look for a left bracket, for lambda applications we look for a left parenthesis, for lambda compositions we look for a dot, and for identifier expressions we look for the absence of brackets, parenthesis, or dots. Once found a parenthesis, we store the identifier in an instance of `IdentExpression`, abstract away both parenthesis and all commas, and instantiate a `LambdaAppExpression` with the identifier expression and a list of expressions, one for each given argument. If we see a dot, however, we abstract away all the subsequent dots while building a list of `IdentExpression`. When we stop seeing dots, we either have parenthesis or not. If we do, we instantiate a `LambdaAppExpression`, otherwise we pass a null pointer while constructing a `LambdaCompExpression`. Below are the abstract syntax rules for lambda expressions.

- `LambdaExpression` := `LambdaAppExpression` | `LambdaCompExpression`
- `LambdaExpression` := `LambdaLitExpression` | `LambdaLitBlock`
- `LambdaAppExpression` := `IdentExpression` `Expression`<sup>+</sup>
- `LambdaCompExpression` := `IdentExpression`<sup>+</sup>
- `LambdaCompExpression` := `IdentExpression`<sup>+</sup> `LambdaApp`
- `LambdaLitExpression` := `ParamDeclaration`<sup>+</sup> `Expression`
- `LambdaLitBlock` := `ParamDeclaration`<sup>+</sup> `ReturnBlock`
- `ReturnBlock` := (`AssignmentDeclaration` | `Statement`)\* `Expression`

#### 1.3.4.7 Lambda Literal Expressions

The `LambdaLitExpression` class extends `Expression` by including a list of parameter declarations and a return expression, as seen above. In addition to that, it holds an integer property named `lambdaSlot` which is used for naming the lambda in bytecode.

Every lambda necessarily has at least one parameter, and necessarily returns a non-void expression. While constructing a lambda literal with expression, we cannot rely on its first token to infer its type, a task that is automatic for every Node, hence we set it ourselves to `Types.LAMBDA`. Decorating lambda literal expressions is rather simple. Because we introduce new local variables in the list of parameters, we start decoration by pushing a new scope onto the symbol table stack. This allows us to declare names that will not clash with names already in the zeroth scope, however does not protect us from *seeing* the zeroth scope. Given that names in the bottom scope are local variables in the context of the *Java* class' run method, and lambda bodies are scoped in an altogether different method within the same *Java* class, any name declared in the zeroth scope is actually invisible to the lambda body. Of course, unless these names were declared as fields, but protecting against bad access remains problematic with the given table symbol configuration. One solution would be to instantiate a `SymbolTable` per lambda literal, copy all field declarations into it, and not bothering with introducing new scopes at all. This feature remains unimplemented, but is planned. The difference at the moment is that a bad access will cause a runtime error, whereas a properly implemented separate symbol table would fail at finding a the non-field declaration, throwing a much more useful compilation error.

After introducing a new scope, we iterate over the parameter list assigning to each parameter a slot number, and asking each parameter to decorate itself. The parameter slot numbers are needed for local variables and are assigned sequentially from zero to the size of the list minus one. After that, we ask the return expression to decorate itself, and ask the symbol table to leave the scope we introduced. Finally, we set the `lambdaSlot` property equal to the symbol table's `lambdaCount` property, and increment the latter by the size of out parameter list. The reason for assigning a lambda number to each lambda literal is because lambda expressions are accessed by name in bytecode, and the naming convention is the word *lambda* followed by a number. In addition, the `Function` interface naturally

curries the parameters in a lambda expression and, per our design choice, parameters in a *Scandal* lambda have a right-associative currying. This means there is a method body that responds to all parameters, another that responds to all but the first, and so on until we have a method body that responds only to the very last, rightmost parameter. Hence the leftmost body is associated to the `lambdaCount` slot, the one to its right is associated to the `lambdaCount+1` slot, and the rightmost body is associated to the `lambdaCount + params.size-1` slot. When pushing partial applications onto the JVM stack, that is how we access these methods, separately. At the moment, there is no simple mechanism to fix a parameter in the middle, given this enforced right-associativity. There is, however, a workaround where one declares a new lambda literal that returns an application of the lambda whose middle parameter we want to fix. The parameters in this new lambda literal are essentially the same, but reordered so that the fixed parameter is the leftmost, as seen in Listing 1.11.

Listing 1.11. Right associativity of lambda expressions.

```

field int w = 1                                     1
lambda f = int x -> int y -> int z -> x + y + z      2
lambda g = int x -> int z -> f(x, w, z)              3

```

Code generation is a lot more involved and is accomplished in two stages. In the first stage, we call the `generate` method overridden from `Node`, giving it as an argument the instance of `MethodVisitor` associated with the *Java* class' `init` method. The purpose of the code we generate inside `init` is to associate the lambda we declared as a field with a method body. Every lambda literal implements the `Function` interface, which is a *Java* functional interface, so called whenever they comprise a single abstract method, and any number of methods containing bodies. Thus we need to instantiate an object that implements the `Function` interface for each lambda literal we declare. This object will in turn implement the interface's abstract method, which we match with the expression or block we hold inside each `LambdaLitExpression`. We can then treat this object as a variable.

Whenever we apply or compose a lambda, we are basically calling methods on this class. We note that the one abstract interface method is however a static and synthetic method of the class that *defines* the implementor of the functional interface, and not part of the implementing object itself. For this reason, type-checking inside the JVM is deferred until runtime, hence we use the `INVOKEDYNAMIC` instruction to call `java.lang.invoke.LambdaMetafactory`, which does the heavy lifting for us, instead of doing all the above wiring explicitly. In *Java*, lambda expressions are essentially syntactic sugar for objects that implement functional interfaces.

Inside the first stage of code generation we form a string containing the lambda's method signature. This method signature consists of a single input type and a return type. The reason for a single input parameter, despite the size of the parameter list, is the right-associated currying of lambda expressions described above, in which a function of  $n$  variables that returns a type is seen as a function of one variable that returns a function of  $n - 1$  variables, and so on until we have a function of one variable that returns a type. It follows the method signature we require always has as input type the type of its very first argument, always given as a *Java* class. The return type depends on the size of the argument list, naturally. If only one argument is given, then we take the type of the return expression, otherwise the return type is `java.util.function.Function`. Having formed this string, we use it as an argument to `mv.visitInvokeDynamicInsn`, which effectively wires our lambda body to the `apply` method in the object that implements the `Function` interface, making use of `LambdaMetafactory`.

The second phase of code generation consists of writing the bytecode for the lambda body itself. The `LambdaLitExpression` class overloads the `generate` method in `Node` to take as parameters an instance of `SymbolTable` and an instance of `ClassWriter`. We use the latter to create an instance of `MethodVisitor` that includes our lambda body as a method in the *Java* class. If the lambda expression has more than one parameter, we actually need to instantiate a method visitor and include a method in the *Java* class for each parameter in



the list, as discussed previously. These methods all have three flags, private, static, and synthetic, and are named by incrementing `lambdaSlot`. In only the very last of the methods do we ask for the return expression to generate itself. For all the others, we push onto the stack all parameters up to the current, and call the `apply` inherited from the `Function` interface, which leaves an instance of `Function` on top of the stack. For all these methods, we give the `ARETURN` instruction and use ASM to compute the sizes of the JVM frame and local variable count.

The `LambdaLitBlock` class is a type of lambda literal expressions in which we define an entire block of declarations and statements before defining a return expression. Similarly to the superclass, we set the type to `Types.LAMBDA` in the constructor. Lambda literals with blocks subclass `LambdaLitExpression` by adding to it a block property, that in turn is an instance of `ReturnBlock`. Return blocks extend `Block` by adding to it a return expression property. Decoration of return blocks differ from the superclass in that they do not introduce a new scope, since that task is accomplished by the lambda literal that contains the return block. It also differs in that we also ask the return expression to decorate itself. For the time being, lambda expressions with blocks in *Scandal* are neither allowed to declare lambda literals inside their blocks, nor return expressions of type `lambda`. This remains one of the most critical shortcomings of the language, and should change in the near future. Lambdas can still be returned from lambdas by partial application, but being able to dynamically generate new functions will represent a major step in making *Scandal* even more of a functional language. Implementing this feature will require that not all lambda literal expressions be fields in the *Java* class, and will thus have a huge impact on how the language manages capturing the environment inside a method body. Code generation of return blocks also differ from the superclass implementation in that they ask the return expression to generate itself before returning.

Decorating lambda literals with blocks is similar, but a bit more complicated than decorating lambda literals with expressions. As before, we introduce a new scope and

iterate over all parameters assigning slot numbers and asking them to decorate themselves. Before asking the block to decorate itself, however, we must deal with the fact that the block may contain declarations, which are local variables to a method, hence need slot numbers. The symbol table we pass along has a running slot count for variables that are local to the run method, hence we need to temporarily change this value. Every local variable inside the lambda block is assigned an incremental slot number that is offset by the number of arguments given in a lambda application. If, for example, a lambda has three parameters and three local variables, the parameters will have slot numbers ranging from zero to two, and the local variables have slot numbers ranging from three to five. So we store the current slot count, change the latter to the size of the parameter list, generate the block, and finally set the running slot count in the symbol table back to its previous state, using the value we stored. After that, we do basically the same for lambda literals with expressions, that is, pop the topmost scope, and increase the lambda count in the symbol table by the size of the parameter list. The first stage of generation in a `LambdaLitBlock` is identical to the superclass', hence we do not override it. The second phase of code generation, the one in which we write to the bytecode class the redirected method body, is not identical but very similar to the superclass'. The only difference is that, instead of asking a return expression to decorate itself at the body of the lambda associated to the rightmost parameter, we ask for the block to generate itself.

#### 1.3.4.8 Lambda Applications and Compositions

An application of a lambda expression in *Scandal* corresponds in the AST to an instance of the `LambdaAppExpression` class, which extends `Expression` by overriding its abstract methods and including four properties, namely an identifier expression which we call `lambda`, a list of expressions which are the arguments we apply to this lambda, an immutable integer property named `count`, and a reference to an instance of `LambdaLitExpression`, which we call `lambdaLit`. While constructing a lambda application expression, we store the size of the argument list in the `count` property, as we might add parameters to this list

in the decoration phase. We also set the application type to `Types.LAMBDA`, although that too might change if the application is not partial. Decorating a lambda application is not at all straightforward. The main complication is finding the declaration of a lambda literal that contains the body of the method to which we wish to apply our list of parameters. In other words, the name reference we hold, that is, our lambda property, may not necessarily point to the declaration of a lambda literal, in which case we need to unravel a whole chain of name references until we find a declaration whose expression actually contains a method body. We start decorating by asking `lambda`, which is an identifier expression, to decorate itself, after which it will be decorated with a declaration. We then check if the declaration is a parameter declaration, in which case we can go no further, since we are an application of a lambda passed as an argument of another lambda. In this case, we let the type of the application expression, as well as the parameterized type of its declaration, be inferred by an assignment declaration, as discussed previously. All we do is iterate over the parameter list, asking for each parameter to decorate itself, and return.

If the lambda declaration is not a parameter declaration, it might still not point to the declaration of a lambda literal, but at least we know it either lives inside `run`, or is a field. What we do then is traverse the AST from the application node backwards to its parent until we reach a lambda literal. There are basically three cases. In the first, the declaration of `lambda` points to an identifier expression. This case happens whenever we copy a lambda expression locally to a variable. We then set the declaration to point to the declaration of *that* identifier expression and keep looking. In the second case, we find that the declaration points to a lambda composition. A lambda composition holds an entire list of identifier expressions, all of which may or may not point to the declaration of a lambda literal. In this case, we have many parents to a node, but we pick the very last. Whatever lambda literal to which it ultimately points, must have a parameter list that matches our argument list, which is exactly what we need in order to decorate our lambda application. If neither an identifier nor a composition, then the declaration must be pointing to another

application. In this case, we cannot know yet whether we are a partial application, but we can know for sure that the application to which our declaration points indeed is a partial application, for if it were total, we could not exist, as there would be no more parameters to be fixed. But given this situation, we also know that our argument list must be smaller than the parameter list of the lambda literal we seek, since some partial application already fixed some of its parameters, and we are a step further down the chain. We then iterate over the argument list of the application to which our declaration points from right to left, that is, from its last down to its first element, and prepend to our list of arguments any of those arguments that our list does not yet contain. We need to check we have not already added some of these arguments, because there might be copied lambdas while we traverse the AST backwards, in which case all copies might have the same number of fixed parameters.

After we finishing traversing the AST, we are guaranteed to have arrived at a declaration of a lambda literal, hence we store its expression in our own `lambdaLit` property. Only at this point do we actually decorate our argument list. We do so by iterating over the our argument list, however the original argument list might have grown in the traversal process. That is why we stored the size of the original list in the property `count`, so we can decorate *only* the parameters that were originally in the list. We do that by offsetting the iterator by the current size minus the original size, which gives us exactly the number of parameters we may have added. By prepending arguments to our argument list, we have in effect made it the same size as the parameter list in `lambdaLit`, but we do not actually care about decorating, and possibly redecorating, parameters that were not meant for us, hence why we offset. Moreover, the index of each original argument in the argument list now aligns properly with the corresponding parameter in the `lambdaLit` parameter list. So we go over the original parameters asking them to decorate themselves and check that their types are the same as the types of the corresponding parameters in `lambdaLit`. Finally, we need to check if the type of this lambda application expression

is indeed lambda. That we do by checking whether the current count of the argument list is the same as the parameter count in `lambdaLit`. If so, we know we have fixed all the parameters in `lambdaLit`, hence we set the type of the lambda application to the type of the `lambdaLit`'s return expression. If we have less arguments than `lambdaLit` has parameters, we are a partial application, hence we keep the current type definition.

The code generation phase of lambda application expressions is simpler. We start by asking lambda to generate itself. Next, we iterate over the argument list and, like before, we offset it by current size minus count. We ask each argument to generate itself. If the argument passed is a literal, we cast it to the corresponding *Java* class, since the `Function` interface does not accept primitive types. Also for each argument, we call `Function.apply`, which effectively calls the method associated to each parameter of the original lambda literal. The last step of generating each parameter consists of verifying that the returned value left on top of the JVM stack corresponds to what we were expecting, which we do by calling the `CHECKCAST` instruction. There are three possibilities. In the case we are the application of a lambda that is a parameter to another lambda, we simply trust that the assignment declaration that has us as its expression has already decorated us with a type, so we use the `this.type` property to check. Otherwise, we can use `lambdaLit.returnValue.type` if we are the very last argument being visit, or `Types.LAMBDA` if we are not the last. After generating and applying all arguments, we are left with a Java class on top of the stack, but we should leave a literal if our type corresponds to one of *Scandal*'s literal types. If so, we cast back to a primitive and return.

Lambda compositions correspond to instances of `LambdaCompExpression`, which extends `Expression` by including two properties, an array of identifier expressions, and a lambda application expression. The latter can be null, as explained in the parsing process. If so, we set the type of the composition expression to `Types.LAMBDA` while constructing, otherwise we wait until decoration to determine a type. The implementation of lambda compositions is at this moment very incomplete, and represents no more than a proof

of concept. The main reason for incompleteness is the fact we hold an array of identifier expressions, whereas we should hold an array of general expressions that could possibly partial lambda applications. It is impossible at the moment to, say, fix parameters in a composed lambda, except for the very last expression, which may be a lambda application. This missing functionality does not impair the language, as not being able to return lambdas from lambdas does, it only makes *Scandal* code more verbose than it needs to be. However, holding an array of identifiers alone poses a huge complication when it comes to type-checking. As exemplified by the quite complicated type-checking mechanism of lambda applications, type-checking lambda compositions that are given by name references alone would mean the same effort of a lambda application for *each* of the composed lambdas. What is worse, is that all this work would be a waste, since it would not provide the language the functionality of fixing partial applications in composed lambdas. For all these reasons, we simply ignore type-checking in lambda compositions until the time when they evolve to encompass partial applications of lambdas.

Decorating a lambda composition consists at the moment of just asking each composed lambda to decorate itself. In the future, we shall impose a type-checking rule in which the parameterized return type of the first expression equals the input type of the second, and so on until we fix the type of the composition expression to be the return type of the very last expression. It is easy to see that the ability to return lambdas from literal lambdas would play a crucial role here, since we would then have possibly *total* applications that would return functions, and those could further be composed to return anything, including other functions. The next step of decoration is to check whether the `lambdaApp` property is null and, if not, ask it to decorate itself. The lambda application will in turn decorate its parameters and check that input types are correct. This will work only for lambda compositions in which there is a single input type and a single output type. A complete implementation would require checking that the argument list given to the last lambda agrees with the expected parameters of the *first* lambda, given that we

*Scandal* does not compose lambdas in the mathematical sense. The last step is to set the type of the entire composition expression to the return type of its `lambdaApp`. As we shall see in the next chapter, these assumptions are enough to cover a multitude of musical applications, but pose limitations that should be overcome in future versions of *Scandal*.

Generating lambda compositions is, on the other hand, rather straightforward. We start by pushing the very first expression onto the stack. Next, for each lambda other than the first, we push it onto the stack and call `Function.andThen`, which consumes the top two elements and leaves the result on top of the stack. The `Function` interface has two non-abstract methods that deal with function composition, the other is `Function.compose`. The difference is the order in which we compose functions. `Function.compose` corresponds to the mathematical notation  $f \circ g(x) = f(g(x))$ , in which we evaluate  $g$  first. `Function.andThen` does the same in reversed order, and is preferred in *Scandal* for being more intuitive. The last step of code generation consists of checking whether `lambdaApp` is null. If not, we load its arguments, check-casting and applying each one, and convert the result to a primitive, as needed, exactly the same way we load and apply arguments to in `LambdaAppExpression`. To conclude this section, we present all the type-checker rules for the different types of lambda expressions.

- `LambdaLitExpression`:
  - + `Type = Types.LAMBDA`
- `LambdaLitBlock`:
  - + `Type = Types.LAMBDA`
- `LambdaAppExpression`:
  - + `Expression_0.type = Types.LAMBDA`
  - + The type of each argument must match the type of the corresponding parameter in the original lambda literal
  - + `Type = Types.LAMBDA` if the number of arguments given is less than the total number of parameters

- + Type = the original lambda's return type if the number of arguments matches the total number of parameters
- LambdaCompExpression:
  - + (Expression)<sup>+</sup>.type = Types.LAMBDA
  - + The return type of each expression must match the input type of the next
  - + Argument types must match the first expression's input types
  - + Type = Types.LAMBDA if no arguments are given
  - + Type = the return type of the last expression if arguments are given

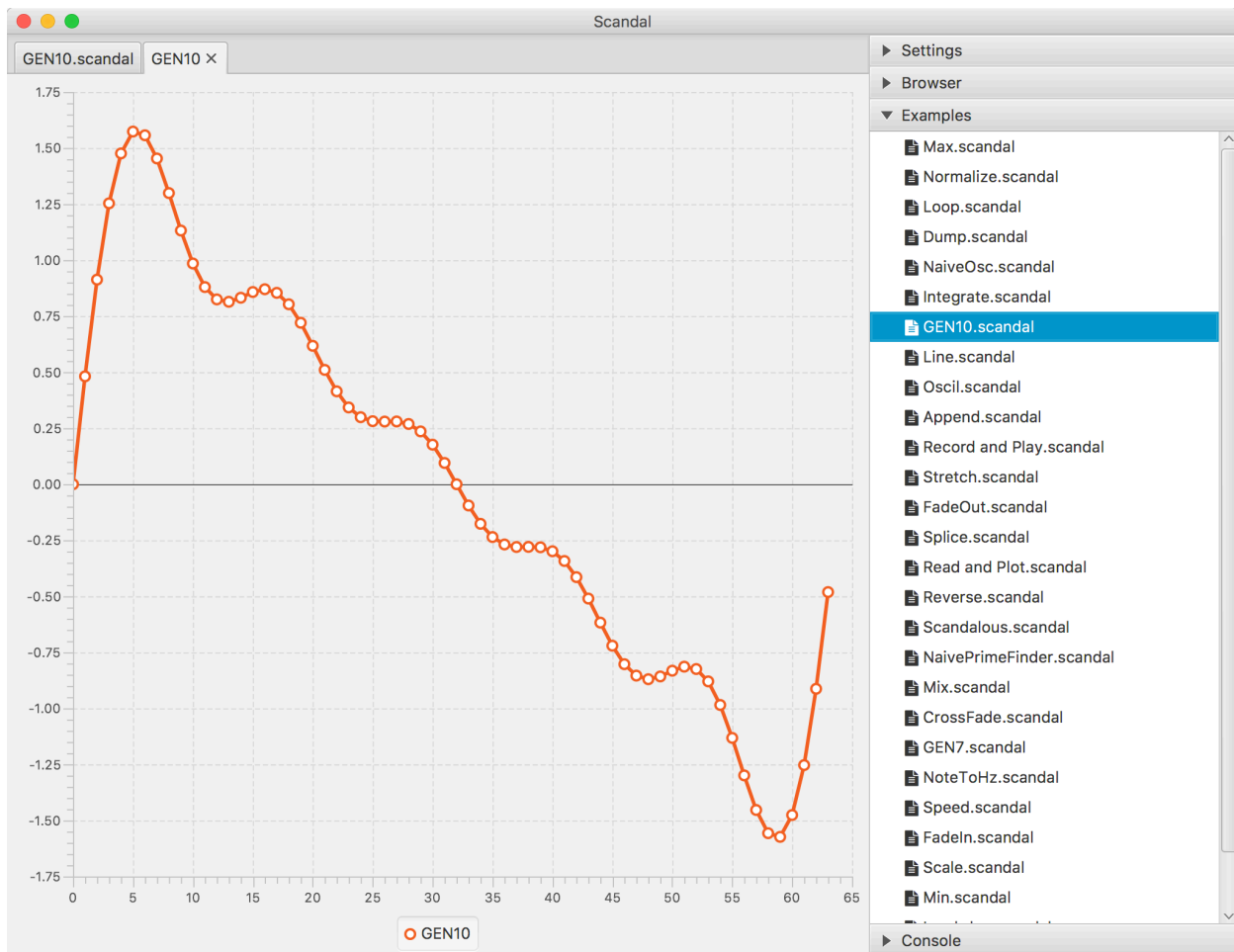


Figure 1-1. Plotting an array in *Scandal*.



## REFERENCES

- [1] T. H. Cormen, C. E. Leiserson, R. L. Rivest, C. Stein, *Introduction to Algorithms* (The MIT Press, 2009).
- [2] R. P. Cook, T. J. LeBlanc, *IEEE Transactions on Software Engineering* **9**, 8 (1983).  
Available from: <https://doi.org/10.1109/TSE.1983.236164>.