# Positron Emission Tomography: Its 65 years

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Summary. — Positron Emission Tomography (PET) is a well-established imaging technique for *in vivo* molecular imaging. In this review after a brief history of PET there are presented its physical principles and the technology that has been developed for bringing PET from a bench experiment to a clinical indispensable instrument. The limitations and performance of the PET tomographs are discussed, both as for the hardware and software aspects. The status of art of clinical, preclinical and hybrid scanners (*i.e.*, PET/CT and PET/MR) is reported. Finally the actual trend and the recent and future technological developments are fully illustrated.

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## 1. – History of PET

Positron Emission Tomography (PET) is an imaging technique where a positron emitting radiotracer is injected into the patient and spreads physiologically within the body: the radioisotope activity distribution is proportional to the drug concentration. The emitted positron annihilates with an electron in tissue, thus producing two back-to-back 511 keV photons. These two photons are detected in electronic (time) coincidence by using opposing pairs of detectors. The 3D image of the activity distribution is obtained by means of analytical or statistical reconstruction algorithms.

PET is based on several building blocks that are strictly related to various Nobel Prize Laureates in Physics, Chemistry, Physiology and Medicine. The discovery of the positron (C. D. Anderson: 1936 Nobel Laureate in Physics "for his discovery of the positron") did not only experimentally confirm the prediction of antimatter made by Dirac, but, together with the fundamental theory of the radioactive  $\beta$  decay, forms the theoretical basis of the PET technique. However, in order to have the proper radioisotopes to be used in medical applications it was necessary to invent a suitable accelerator to produce

the so-called "physiological radioisotopes" such as <sup>11</sup>C, <sup>13</sup>N, <sup>15</sup>O and <sup>18</sup>F that are the most used  $\beta^+$  emitters in PET. In this respect Ernest Orlando Lawrence received the Nobel prize in Physics in 1939 "for the invention and development of the cyclotron and for results obtained with it, especially with regard to artificial radioactive elements". The next step was to understand the principle of a radiotracer, i.e., to validate the concept that "the changing of an atom in a molecule with its radioisotope will not change its chemical and biological behavior significantly". For this discovery György Hevesy was awarded the 1943 Nobel prize in Chemistry. Because of this principle the movement, the distribution and the concentration of a molecule can be measured by loading the molecule with a radioisotope and detecting the product of its  $\gamma$  or  $\beta$  decay. The availability of an appropriate photon detector is another fundamental step for the PET technique and this gap was filled by the discovery of the inorganic scintillator NaI:Tl made by Robert Hofstadter (Nobel Laureate in Physics in 1961). Finally to produce the 3D images PET makes use of the reconstruction principles theoretically described by Radon, the so-called Radon transform [1]; these image reconstruction method was the same one utilized by Godfrey N. Hounsfield and Allan M. Cormack, who both received the Nobel prize in Physiology or Medicine in 1979 "for the development of computer assisted tomography" (CAT), now called CT.

Positron Emission Tomography was born 65 years ago, when William Sweet presented the first preliminary idea of PET at the dedication of the Research Building of the Massachusetts General Hospital on May 16, 1951 [2]. In 1952 Gordon L. Brownell and William Sweet [3] built the very first prototype of a PET brain scanner that was making use of two opposite NaI:Tl crystals coupled to two photomultipliers as detectors and of an ink plotter as imaging device (figs. 1 and 2).

The next developments were carried out in the early '70 by James Robertson at Brookhaven, Chris Thompson and collaborators at Montreal Neurological Institute [4], who built the first tomograph called Positome [5], (fig. 3), but especially by Ed Hoffman and Michael Phelps at UCLA, who built the first tomograph based on 48 NaI:Tl detectors that showed the potentiality of PET in Neurological studies and in Functional brain imaging (fig. 4). The UCLA group also wrote a series of papers on "quantitation" of PET images that are still a fundamental reference [6-11] for everyone who wants to learn about PET

In 1974 the Lawrence Berkeley Laboratory (LBL) group was the first one to suggest that the Bismuth Germanate (BGO) could be an excellent crystal for PET due to its high density and high effective atomic number ( $Z_{\rm eff}=74$ ). Soon, the BGO replaced NaI:Tl and became the crystal of election for PET for the following 20 years. The next breakthrough in PET technology was due to Mike Casey and Ronald Nutt (1986) [12] who suggested that instead of using the 1:1 coupling between one crystal and one photomultiplier (PMT) it was much more effective to use a single crystal with cuts of various depths seen by  $2 \times 2$  photomultipliers (see sect. 4'3). This detector arrangement, called block detector has been in use in almost all PET clinical tomographs until few years ago.

The last technological breakthrough was the discovery of a new scintillator, the Lutetium Oxyorthosilicate (LSO:Ce) that has a similar density and  $Z_{\rm eff}$  as BGO, but with a much greater photon yield and faster decay time, down to 40 ns from the 300 ns of BGO (see sect. 4·1). This provides an increased spatial, energy and time resolution with a reduction of scatter and random coincidence contribution that has allowed to move from a 2D to a 3D reconstruction over the entire Field of View (FOV) of the tomograph. Finally the invention of the PET/CT scanner [13] was the final improvement of PET that has now become an indispensable instrument for diagnosis, staging and prognosis

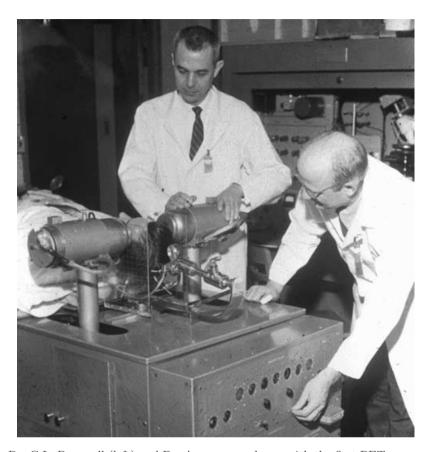


Fig. 1. – Dr. G.L. Brownell (left) and Dr. Aronow are shown with the first PET scanner (reproduced from [3]).

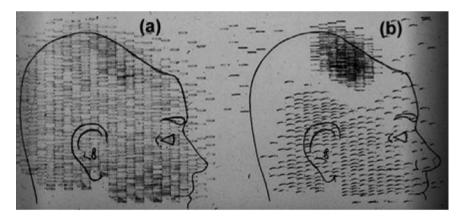


Fig. 2. – Coincidence and unbalance scans of patient with recurring brain tumor, obtained with the first PET scanner. Coincidence scan (a) of a patient showing recurrence of tumor under previous operation site, and unbalance scan (b) showing asymmetry to the left (reproduced from [3]).

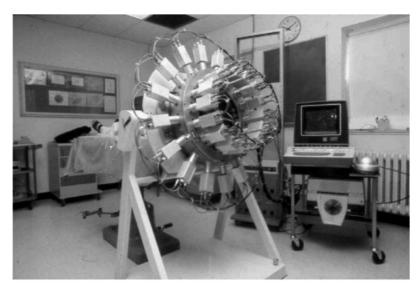


Fig. 3. – Photo of the original PET scanner developed by Chris Thompson and collaborators at Montreal Neurological Institute, called Positome (courtesy of Christopher J. Thompson, McGill University, Ca, 2015).

of cancer. The PET/CT, attributed to David Townsend and Ronald Nutt, was named by TIME Magazine as the medical invention of the year in 2000. The next steps are the PET story of today with the introduction of the Position Sensitive Photomultipliers (PSPMT), Solid-State Photodetectors (APD and SiPM) and even faster scintillators, but its current and future improvements will be properly illustrated in the sections of this review paper.

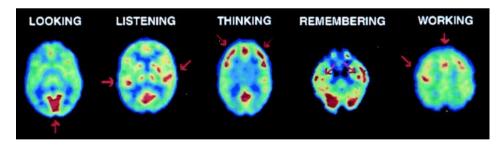


Fig. 4. – PET studies of glucose metabolism (by means of <sup>18</sup>F-FDG) to map human brain's response in performing different tasks. From Left to right:(LOOKING) Subjects looking at a visual scene activated visual cortex; (LISTENING) Listening to a mystery story with language and music activated left and right auditory cortices; (THINKING) Counting backwards from 100 by sevens activated frontal cortex; (REMEMBERING) Recalling previously learned objects activated hippocampus bilaterally; (WORKING) Touching thumb to fingers of right hand activated left motor cortex and supplementary motor system. Images are transaxial cross-sections of brain with front at top. Highest metabolic rates are in red, with lower values from yellow to blue (courtesy of Drs. Michael Phelps and John Mazziotta, UCLA School of Medicine, 2015).

Table I. – A list of the most common Imagi	g techniques with the	eir main performance related
to molecular imaging.		

Imaging technique	Source of signal	Spatial resolution	Sensitivity (mol/l)	Quantitative/Morphological information
PET	$\gamma$ -rays (511 keV)	1–4 mm	$10^{-11} - 10^{-12}$	+++/+
SPECT	$ \gamma -rays $ (< 300 keV)	$0.310\mathrm{mm}$	$10^{-10} - 10^{-11}$	++/+
Optical bioluminescence	Visible light	$3-5\mathrm{mm}$	$10^{-15} - 10^{-17}$ (theoretical)	+(++)/n.a.
Optical fluorescence	Visible light and NIR	$2$ – $3\mathrm{mm}$	$10^{-9} - 10^{-12}$ (probable)	+(++)/n.a.
MRI	Radio waves	$25100\mu\mathrm{m}$	$10^{-3} - 10^{-5}$	++/+++
CT	$\begin{array}{c} \text{X-rays} \\ (40\text{-}120\text{keV}) \end{array}$	$10200\mu\mathrm{m}$	n.a	n.a./+++

### 2. - Molecular imaging

Molecular imaging is a discipline of biomedical research that has been growing rapidly in recent years. It can be defined as "the visual representation, characterization and quantification of biological processes that take place in a living being at the cellular and sub-cellular level" [14]. Therefore the images obtained reflect cellular and molecular pathways, as well as mechanisms of evolution of a pathology. To achieve this ambitious goal, molecular imaging needs the convergence of various methods of imaging of molecular and cellular biology, chemistry, medicine and pharmacology, medical physics, mathematics and computer science in a new field of research highly interdisciplinary.

Molecular imaging requires high sensitivity and high spatial resolution. The molecular processes must be monitored quantitatively and qualitatively in vivo over time. In this respect each imaging modality presents its unique set of advantages and drawbacks. Table I presents a spectrum of the most common molecular imaging techniques: Positron Emission Tomography (PET), Single Photon Emission Computed Tomography (SPECT), Optical Bioluminescence, Optical Fluorescence, Magnetic Resonance Imaging (MRI) and X-ray Computed Tomography (CT).

The variety of optimal performance that are necessary cannot be furnished by a single technique. This suggests that more than one modalities should be used, either in series or combined in one hybrid modalities, e.g., PET/CT or PET/MR. These combined imaging system will be further described in sects. 7.1 and 9.4, respectively. Also because of this necessity of complementarity of information in medicine, a new term has been recently introduced of personalized medicine. "Personalised medicine can be broadly described as a customisation of healthcare that accommodates individual differences as far as possible at all stages in the process, from prevention, through diagnosis and treatment, to post-treatment follow-up" [15]. Although the full discussion of personalised medicine is beyond the scope of this paper it is important to briefly address the role of medical imaging and molecular imaging in this new conception of healthcare.

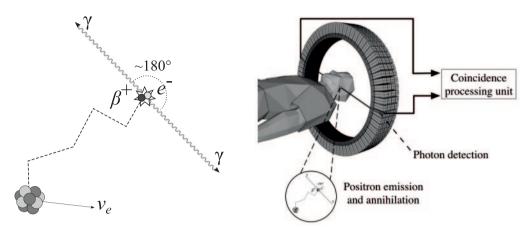


Fig. 5. – Principle of PET. Left: A positron  $(\beta^+)$  is emitted by a radioisotope together with an electron neutrino  $(\nu_e)$ . The positron slows down in tissue until it reaches thermal equilibrium and annihilates with an electron. Right: Detection of the photons in time coincidence by two opposing detectors.

Personalized medicine is based on the joint information deriving from genomic data, proteomics, pharmacogenomics, theranostic and radiogenomics, where the investigations by medical imaging and especially molecular imaging, *i.e.*, PET, are building blocks of this scenario. The European Science Foundation has recently suggested using the term of precision medicine (instead of personalized medicine) simply defined as "providing the right treatment to the right patient in the right time" [16]. Imaging is essential in personalized prevention, in the selection of treatment, in the evaluation of the treatment response, *i.e.*, in all steps of personalized medicine (prevention, diagnosis, therapy and follow-up). The capability of providing quantitative information and its very high sensitivity are the properties that makes PET so indispensable in this new field of medicine.

## 3. - Physical principles of PET

3.1. The PET measurement. – In Positron Emission Tomography (PET) a tracer labeled with a positron ( $\beta^+$ ) emitting radioisotope is injected into the patient. The emitted positron annihilates with an electron in tissue, thus producing two almost backto-back 511 keV photons. These two photons are detected in time coincidence by using opposing pairs of detectors. The activity distribution of the radioisotope represents an image of the tracer distribution/concentration that provides an insight of the physiology and/or pathology of the patient. The scheme of the PET principle is depicted in fig. 5.

The objective of a PET scan is the measurement of the activity distribution  $\rho(x, y, z)$  of a  $\beta^+$  emitting radioisotope. Thanks to the nearly-collinear emission of the  $\gamma$ -ray pair from the annihilation of the positron with an electron (sect. 3.3) it is possible to define the line L along which the annihilation occurred. L is usually called *Line-of-Flight* or LOF.

The activity distribution  $\rho(x, y, z)$  is measured in terms of projections  $(N_{\gamma})$  along lines L using the line integral operator:

(1) 
$$N_{\gamma} = k \int_{L} \rho(x, y, z) dL.$$

This is an ideal model, that assumes zero positron range (sect.  $3^{\circ}2$ ), no deviation from collinearity (sect.  $3^{\circ}3$ ) and an ideal behaviour of the detector (sect.  $5^{\circ}5$ ). In the practical situation, the lines L are defined by all the possible lines of response connecting a detector i to a detector j. Then, eq. (1) can be written as

(2) 
$$N_{ij} = k \int_{LOR_{ij}} \rho(x, y, z) dL.$$

**3**<sup>•</sup>2. Positron emission. – The positron emitting radioisotopes are atoms whose nuclei have an excess of protons with respect to the number of neutrons and decay to a stable configuration through  $\beta^+$  decay:

(3) 
$${}_{Z}X \rightarrow_{Z-1} Y^* + \beta^+ + \nu_e$$
.

The daughter nucleus can be in an excited state  $z_{-1}Y^*$  with a successive  $\gamma$  decay to the ground state  $z_{-1}Y$ . The  $\beta^+$  decay is a three-particle decay, but because of its mass the kinetic energy of the recoil nucleus can be neglected. Hence the released energy is shared between the  $\beta^+$  and the  $\nu_e$ . The  $\beta^+$  spectrum can be calculated by fundamental quantum mechanics, starting from the decay probability per second (for a full treatise see [17]):

(4) 
$$W = \frac{2\pi}{\hbar} \left| \Psi_{\beta^{+}}(0) \right|^{2} \left| \Psi_{\nu_{e}}(0) \right|^{2} \left| \mathcal{M} \right|^{2} \left| g \right|^{2} \frac{\mathrm{d}n}{\mathrm{d}E},$$

where  $|\Psi_{\beta^+}(0)|$  is the expectation value of the plane wave function  $\beta^+$  within the nucleus  $(i.e., \text{ at } r=0), |\Psi_{\nu_e}(0)|$  is the expectation value of the plane wave function of the  $\nu_e$  within the nucleus  $(i.e., \text{ at } r=0), \mathcal{M}$  is the probability amplitude of the decay, g is the coupling constant of the decay, dn/dE is the density of the final states and  $2\pi/\hbar$  is a normalization factor.

After a straightforward calculation one obtains the probability of emission of a  $\beta^+$  with a momentum between p and p + dp:

(5) 
$$P(p)dp = \frac{\mathcal{M}^2 g^2}{2\pi^3 \hbar^7 c^3} \left[ \sqrt{p_{\text{max}}^2 c^2 + m_e^2 c^4} - \sqrt{p^2 c^2 + m_e^2 c^4} \right] p^2 dp,$$

where  $p_{\text{max}}$  is the maximum momentum of the  $\beta^+$  and  $m_e$  is the mass of the  $\beta^+$ . The  $\beta^+$  spectrum vs. momentum is symmetric with respect to its mean value and goes to 0 as  $p^2$  both for  $p \to 0$  and  $p \to p_{\text{max}}$ . If one represents the same spectrum as a function of the kinetic energy and applies the proper Coulombian corrections one obtains the  $\beta^+$  kinetic energy spectrum as depicted in fig. 6 for some of the most used  $\beta^+$  emitter radioisotopes.  $T_{\text{max}}$  is the maximum kinetic energy of the  $\beta^+$ . The spectrum is now asymmetric. It is often adopted the approximation that  $T_{\text{mean}}$  is about 1/2  $T_{\text{max}}$ , as opposite to a  $\beta^-$  decay spectrum where  $T_{\text{mean}}$  is approximated as 1/3  $T_{\text{max}}$ .

The emission of a positron source is subjected to the standard exponential decay law:

$$(6) N(t) = N_0 e^{-\lambda t},$$

where  $N_0$  are the number of nuclei at t=0 and  $\lambda$  is the decay constant of the radioisotope, *i.e.*, the inverse of the mean-life of the decay ( $\lambda = 1/\tau$ ). The number of disintegrations

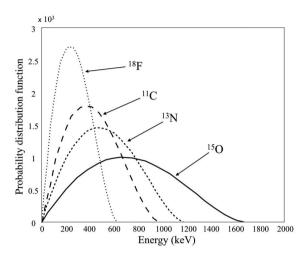


Fig. 6.  $-\beta^+$  spectrum of most used positron emitters radioisotopes as a function of the positron kinetic energy (from [18], chap. 8, p. 293).

per second is defined as the source activity A:

(7) 
$$A(t) = -\frac{\mathrm{d}N(t)}{\mathrm{d}t} = \lambda N(t) = \frac{1}{\tau} \cdot N(t).$$

The activity is measured in Becquerel (1 Bq = 1 disintegration/s), but a still much used unit is the Curie (1 Ci =  $3.7 \times 10^{10}$  Bq) and its sub-multiples. The time which is necessary to halve the number of initial radioactive nuclei is called half-life ( $T_{1/2}$ ) and is given by  $T_{1/2} = \ln 2/\lambda$ . After a number n of half-lives from t = 0, the number of remaining radioactive nuclei  $N_n$  is given by

$$(8) N_n = N_0 \cdot (1/2)^n.$$

Positron sources are not stable in nature, so they have to be artificially produced by bombarding stable isotopes with positively charged particles. Table II lists some of reactions to produce the most used PET radioisotopes. The accelerator of choice is a Cyclotron with a typical proton energy of  $10-20\,\mathrm{MeV}$ , *i.e.*, with enough energy to overcome the Coulomb repulsion of the target nuclei. The produced nucleus has a different Z value from the target nucleus; thus the two species are chemically separable.

The radioisotopes as listed in table II are called physiological radioisotopes because their corresponding stable isotopes are main constituents of the human body: <sup>11</sup>C, <sup>13</sup>N, <sup>15</sup>O are in fact isotopes of <sup>12</sup>C, <sup>14</sup>N, <sup>16</sup>O, respectively. On the other hand <sup>18</sup>F can very easily replace an oxydrile OH<sup>-</sup>; hence it can be used to label any organic molecule. All these radioisotopes have a short lifetime. This has the advantage of using most the activity injected to the patient during the PET examination, thus reducing the radioactive waste disposal problems and respecting the principle of a tracer that requires a minimal amount to be used. However, it has also some disadvantages especially for the very short life-time radioisotopes, e.g., <sup>15</sup>O: the cyclotrons for its production should be "on-site", where "on-site" means that the delivery time from production to the patient should be

Table II. – Reactions used for  $\beta$ + source production: the column of energies shows the energy of the incident projectiles; the last column refers to the number of atoms necessary to obtain an activity of 1 mCi; (adapted from [18], chap. 8, p. 295).

Produced radioisotope	Nuclear reaction	Energy (MeV)	Atoms/mCi
<sup>11</sup> C	$^{14}\mathrm{N}(\mathrm{p,}\alpha)^{11}\mathrm{C}$	10-20	$6.5\cdot10^{10}$
$^{13}\mathrm{N}$	$^{13}{\rm C(p,n)^{13}N}$ $^{16}{\rm O(p,\alpha)^{13}N}$	10-11 10-16	$3.2 \cdot 10^{10}$
<sup>15</sup> O	$^{14}{ m N}({ m d,n})^{15}{ m O}$ $^{15}{ m N}({ m p,n})^{15}{ m O}$ $^{16}{ m O}({ m p,pn})^{15}{ m O}$ $^{16}{ m O}(^{3}{ m He},\!lpha)^{15}{ m O}$	6-10 10 > 17 8	$6.6\cdot10^9$
<sup>18</sup> F	$^{20}{ m Ne}({ m d},lpha)^{18}{ m F}$ $^{16}{ m O}(^{3}{ m He,p})^{18}{ m F}$ $^{16}{ m O}(^{4}{ m He,pn})^{18}{ m F}$ $^{18}{ m O}({ m p,n})^{15}{ m O}$	> 6 > 8 > 25 > 10	$3.5\cdot 10^{11}$

Table III. – Physical properties of the so-called physiological radioisotopes.

Radioisotope	Half-life (min)	Positron average kinetic energy (MeV)	Positron kinetic energy endpoint (MeV)	Positron average range in water (mm)
<sup>11</sup> C	20.4	0.385	0.960	1.2
$^{13}\mathrm{N}$	10.0	0.491	1.198	1.6
$^{15}O$	2.0	0.735	1.732	2.8
<sup>18</sup> F	109.8	0.242	0.633	0.6

order of the radioisotope half-life. Table III presents the main physical properties of these radioisotopes.

3.3. Annihilation of the positron. – Positrons are emitted with a kinetic energy spectrum and they lose their energy mostly through multiple Coulomb interactions in the biological tissue. This process can be described by the so called continuous slowing down approximation (c.s.d.a). A competing process is bremsstrahlung that is more important at high energy. Finally the positron reaches thermal equilibrium with the medium and then annihilation with an electron occurs. The total path of the positron is called path length, whereas the distance between the emission point and the positron where thermal equilibrium is reached is called range [19]. The range of the positron depends on the density and Z of the medium. In water, the average range of the positrons emitted from most PET radionuclides is about 1–2 mm, as reported in table III. When the positron annihilation occurs with an electron of the medium in first approximation it is assumed that both the positron and the electron are at rest. In this case because of energy and momentum conservation, the annihilation can only generate two  $\gamma$ -rays of 511 keV each

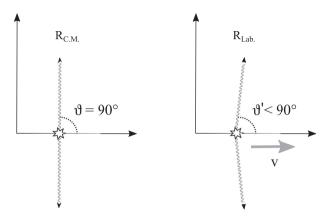


Fig. 7. – Two  $\gamma$ 's annihilation. Left: In the center-of-mass reference frame. Right: In the laboratory frame.

that are produced back-to-back. However, this is not entirely true because even if the positron has a thermal energy  $(3/2KT = 1/40 \,\mathrm{eV})$  at  $27\,^\circ\mathrm{C}$  that can be considered negligible, the electron is bound to the atom with an energy that cannot be ignored. If we consider the annihilation in the center of mass of the system, the collinearity and the equal sharing of energy is maintained. Since in the laboratory reference frame the center of mass is not at rest, the collinearity of the two photons will be lost due to the angle transformation from one reference frame to the other, the so-called *Lorentz boost*. This non-collinearity results in a Gaussian dispersion centered at  $180^\circ$  of about  $0.5^\circ$  FWHM when a positron annihilates in water. More precisely, let us consider a reference frame  $R_{C.M.}$  moving with velocity v in the x direction with respect to a laboratory reference frame  $R_{lab}$  (fig. 7).

The Lorentz transformation for an angle  $\theta$  is given by

(9) 
$$\tan \theta' = \frac{\sin \theta}{\gamma(\cos \theta + \beta)},$$

where  $\theta'$  is the angle between the velocity v of  $R_{C.M.}$  with respect to  $R_{lab}$  and the direction of the emitted photon as seen in the laboratory reference and  $\theta$  is the angle between the emitted photon and the velocity v as seen from the center of mass reference frame;  $\gamma$  is the relativistic factor  $1/\sqrt{1-\beta^2}$  and  $\beta=v/c$ . A complete derivation of this formula can be found for instance in ref. [20].

The maximum value of  $\theta'$  is for  $\theta = \pi/2$ . In this case

(10) 
$$\tan \theta'_{\min} = \frac{1}{\gamma \beta}.$$

As an example, if we consider an electron bound to the hydrogen atom, we obtain  $\beta \simeq 1/137$  and  $\gamma \simeq 1$  resulting in  $\tan \theta'_{\rm min} = 137$  and therefore  $\theta'_{\rm min} = 89.6^{\circ}$  ( $\theta = 90^{\circ}$ ). In this situation both photons undergo the same aberration and the minimum angle between them is no longer 180° but 179.2°.

There is also a non-negligible probability of annihilation in flight, *i.e.*, before the positron is thermalized. This process depends mostly upon the positron energy and the

surrounding medium property (density, Z). The cross-section for the annihilation of a positron in flight via  $2\gamma$  emission was given by Dirac [21].

(11) 
$$\sigma_{2\gamma} = Z \cdot \pi r_0^2 \cdot \frac{1}{\gamma + 1} \left[ \frac{\gamma^2 + 4\gamma + 1}{\gamma^2 - 1} \log \left( \gamma + \sqrt{\gamma^2 - 1} \right) - \frac{\gamma + 3}{\sqrt{\gamma^2 - 1}} \right],$$

where  $r_0$  is the classic radius of the electron, and,  $\gamma = E/m_ec^2$  with E the positron energy given by the kinetic energy plus the rest mass. For PET radioisotopes and water as medium (approximately 70% of the human body is made of water) the in-flight annihilation is about 2% of the entire annihilation process. An annihilation via  $3\gamma$  emission is also possible, but it is usually not considered, because has a much lower probability, with a cross section:

(12) 
$$\sigma_{3\gamma} = \frac{\sigma_{2\gamma}}{372} \ll \sigma_{2\gamma}.$$

An extensive experimental study of the distribution of the non-collinearity of the annihilation  $\gamma$ -rays in water has been done by Colombino and Fiscella in 1965 [22]. The experiment shows that the distribution is not a single Gaussian curve, but is the convolution of two Gaussian components with a different  $\sigma$ . The data were taken in a temperature range from  $+22\,^{\circ}\mathrm{C}$  to  $-144\,^{\circ}\mathrm{C}$ . The two components have a different behavior with temperature:

- a narrower component,  $|\Delta\theta|<4\,\mathrm{mrad}$  at  $\simeq300\,\mathrm{K}$ , which is dependent on the temperature,
- a broader component,  $|\Delta\theta| > 4 \,\mathrm{mrad}$ , which is temperature-independent.

The narrower component width is consistent with the calculation based on the Fermi momentum of the bound electron [23]. The broader component can only be explained with the existence of a particle in a status with a higher momentum, thus suggesting the presence of an additional phenomenon of annihilation, the decay of the positronium, a bound quantum states of the electron and positron orbiting around their center of mass. The existence of an electron-positron bound state was predicted by Stjepan Mohorovicic in 1934 [24], but the first theoretical calculations were only published by J. Pirenne in 1946 [25]. Martin Deutsch reported the first experimental evidence of its formation in gas in 1951 and named it positronium [26]. An extensive review on positronium can be found in [27].

The broader component of the non-collinearity distribution of the two annihilation  $\gamma$ 's is due to the higher momentum of the upper bound state of the positronium. In fact, the electron-positron system has two minimum energy configurations: para-positronium, a singlet state  ${}^1S$  with opposite spin  $(J=0,\uparrow\downarrow)$ , and half-life in vacuum  $T_{1/2}\simeq 0.1$  ns; ortho-positronium, a triplet state  ${}^1P$  with parallel spin  $(J=1,\uparrow\uparrow)$  with a half-life in vacuum  $T_{1/2}\simeq 100$  ns in inorganic substance. Higher level states such as  ${}^2S$  are formed very seldom. Hence, because of J=1 the ortho-positronium constitutes about 3/4 of the bound states.

The para-positronium has a leading self-decay for self-annihilation (the bound system collapses) in two  $\gamma$ 's, but the annihilation could also happen with a free electrons (pick-off) that has a lifetime in water of about 1 ns. The ortho-positronium main self-annihilation is in three photons; decays in five photons have been observed with a negligible probability. However, because its natural decay time is so long ( $\simeq 100 \, \mathrm{ns}$ ) the 1 ns

Table IV. – Summary of the positron annihilation processes (adapted from ref. [18], chapt. 8, p. 301).

State	Annihilation process	Comments	Lifetime	Ang. dev.
non-bound	in-flight via $2\gamma$ emission	of the order of 2%, coulomb interactions and bremsstrahlung preferred	$\sim 1\mathrm{ps}$	narrow
	at rest via $2\gamma$ emission	at rest via $2\gamma$ emission — standard PET situation		narrow
	at rest via $3\gamma$ emission	improbable		
	at rest via more than $3\gamma$ emission	more and more improbable		
Positronium	para-positronium self-annihilation	1/4 of the bound states, preferred annihilation for para-positronium	$\sim 100\mathrm{ps}$	narrow
	para-positronium pick-off	improbable	$\sim 1\mathrm{ns}$	narrow
	ortho-positronium self-annihilation	via $3\gamma$ , it is anticipated by pick-off	$\sim 100\mathrm{ns}$	narrow
	ortho-positronium pick-off	3/4 of the bound states	$\sim 1\mathrm{ns}$	large

pick-off process with free electrons is preferred. A summary of the annihilation process, their characteristic, lifetime and angular deviation from collinearity in water is shown in table IV. In summary the dominant process is  $2\gamma$ 's decay with two non-collinearity behaviors: the narrow one coming from the annihilation at rest from non-bound states of the positron and from the para-positronium decay, whereas the broader deviation derives from the ortho-positronium decay via electron pick-off.

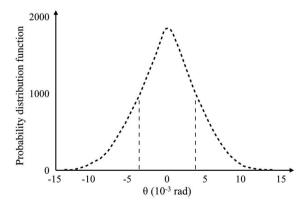


Fig. 8. – Distribution of the deviation from  $180^{\circ}$  for the two  $\gamma$ 's annihilation in water at  $4^{\circ}$ C. The FWHM is about 8 mrad. However the curve has two Gaussian components: a narrow one and a large one (see text) [22].

It is usually assumed that this non-collinearity of the two photons in PET (i.e. in water) has a FWHM of about 8 mrad or approximately 5° (fig. 8). Its effect on the PET spatial resolution has been parametrized by the empirical formula [28]

(13) 
$$FWHM = 0.0022 \times D,$$

where D is the distance between the pair of detectors that detect the two  $\gamma$ -rays in coincidence (see sect. 5.5).

## 4. - Radiation detectors for PET

The basic step in a PET measurement is to obtain the spatial coordinates of the line of response where the count, corresponding to a positron emission and the further annihilation, is detected.

This can be achieved by measuring, for both  $\gamma$  rays, the coordinates P(x,y,z) of the first interaction in a detector. Hence, the ideal PET detector must be able to: identify the position of the first interaction of a 511 keV  $\gamma$ -ray in the detector itself, measure the energy released in the interaction or in the series of interactions and carry information on the arrival time (at least for making the coincidence measurement possible). Various detector technologies can be used to provide all of these information. Many technological solutions borrowed from other fields of physics have been adapted for the use in PET, from wire chambers to solid state detectors.

For example conventional wire chambers with some sort of gamma converter were proposed for PET applications. One of the most successful solution was the so-called HIDAC camera [29].

The HIDACs are multi-wire proportional gas chambers with the addition of a conversion/multiplication structure made up of a laminated plate containing interleaved lead and insulating sheets and drilled so as to form a dense honeycomb structure. Incoming photons interact in lead layers producing Compton- and photo-electrons. If the produced electrons enter the holes they are drifted in gas by a high electric field with a velocity higher than the breakdown speed. In this way a first avalanche multiplication is induced in gas. Further multiplication is at the arrival of the electrons in proximity of the anode wires, where the electric field is extremely intense. X and Y coordinates of the position of the photon interaction are extracted by determining the centroid of anode and cathode wire signals which are orthogonal to each other.

These systems have the capability of achieving a high spatial resolution. They were used to build a pre-clinical PET system called Quad-HIDAC PET with an extremely high spatial resolution [30]. On the other hand, the low detection efficiency and the poor time resolution result in unacceptable limitations for the design of an effective clinical PET system.

As of today, the most reliable solution is the use of a scintillating material coupled to a photodetector.

4.1. Scintillation detectors. – Scintillation detectors consist of a dense crystalline material that acts as an interacting medium. When a photon of enough energy interacts inside it and releases all or part of its energy, the scintillator emits visible light isotropically. Scintillators are available in the form of organic or inorganic compounds and can be in solid or liquid state. The most common form in radiation detectors for nuclear medicine is a solid inorganic material. The intensity of the emitted light (e.g., the number

Table V. – Properties of scintillating materials used in PET. Values adapted from [31,32] and other sources.

Material	Density (g/cm <sup>3</sup> )	Light yield	Decay time (ns)	$\mu_{511 \text{ keV}} \ (\text{cm}^{-1})$	Photofraction at 511 keV
Sodium iodide (NaI:Tl)	3.67	41000	230	0.34	17%
Bismuth germanate (BGO)	7.13	8200	300	0.96	40%
Lutetium oxyorthosilicate (LSO:Ce)	7.40	30000	40	0.87	32%
Lutetium yttrium oxyorthosilicate (LYSO:Ce) $$	7.10	32000	40	0.82	30%
Gadolinium oxyorthosilicate (GSO:Ce)	6.71	8000	60	0.70	25%
Yttrium aluminum perovskite (YAP:Ce)	5.37	$\sim 21000$	27	0.46	4.2%
Lutetium aluminum perovskite (LuAP:Ce)	8.3	12000	18	0.95	30%
Barium fluoride $(BaF_2)$	4.89	1400 (fast) 9500 (slow)	0.6 (fast) 630 (slow)	0.43	
Lanthanum bromide (LaBr <sub>3</sub> :Ce)	5.08	63000	16	0.47	15%

of low-energy light photons) is usually proportional to the released energy. The measure of the proportionality constant is the so-called light yield and is usually given in photons/MeV. Thanks to an appropriate doping material that creates energy traps between the valence band and the conduction band, the scintillator acts as a wavelength shifter from one photon of high energy (very short wavelength) to a large number of longer wavelength photons with an emission spectrum that is characteristic for each scintillator but always in the visible or near-visible range. The emission spectrum of the scintillator has a minimal overlap with its own absorption spectrum. This means that the material is transparent to the scintillation light, thus maximizing the actual light yield that not only considers the  $\gamma$ -ray energy to light photons conversion efficiency but also the capability to extract the produced light. The main advantage of the scintillator approach stays in the fact that these low energy photons can be easily detected by standard photodetector such as photomultiplier tubes and converted to an electric current pulse. In this process, the original information on the energy released by the interacting photon is preserved. For this reason and for the relatively high stopping power  $\mu_{511\,\mathrm{keV}}$  (linear attenuation coefficient in the range of 0.3–1 cm<sup>-1</sup> at 511 keV, for inorganic crystals) scintillators are widely used  $\gamma$ -ray detectors for almost all PET scanners.

Important considerations when choosing the most appropriate scintillator for PET stay in the compromise among different features: detection efficiency (expressed by the linear attenuation coefficient at  $511\,\mathrm{keV}$  and related to the crystal density and effective

atomic number of the material), conversion efficiency (expressed by the light yield), the output spectrum (usually indicated by the peak wavelength) and the time over which the light is emitted (the light is usually emitted with a fast light flash followed by an exponentially decaying intensity with a decay time characteristic for each scintillator, from tens to hundreds of ns). For measuring the original energy of the incident  $\gamma$ -ray it is essential that all the energy is released inside the scintillator. This can be done in a single photoelectric interaction followed by a fluorescence emission or in a multiple Compton scattering process. The occurrence of one or the other modality may affect the capability of measuring the position of the first interaction as required for PET. For this reason the relative probability between photoelectric and total interaction in the scintillating material (photofraction) is also an essential feature when choosing a PET scintillator. Table V reports the most widely used scintillators for PET.

For the high cost of photomultiplier tubes, solutions based on photosensitive proportional wire chambers using a gas mixture based on tetrakis-(dimethylamino)-ethylene (TMAE) were proposed in combination with BaF<sub>2</sub> scintillator [33]. With the advent of more cost-affordable photomultipliers and the refinement of the block detector concept (see sect. 4.3) the configuration scintillator/photomultiplier tube has represented for years the favorite solution for PET detectors.

4.2. Photomultiplier tubes. – The use of photomultipliers is the most common way to detect and measure the light produced in a scintillating material following the  $\gamma$ -ray interaction. A PhotoMultiplier Tube (PMT) is a device capable of converting visible or near-visible light into an electric signal. In its simplest form the PMT is made of a vacuum glass envelope containing a series of electrodes called dynodes. The inner surface of the glass entrance window is coated with a thin layer of a material that easily liberates electrons as energy is deposited on it (photoelectric emission). This part of the PMT is called photocatode since it is kept at a negative potential so that electrons are accelerated away from it. The probability of an electron to be emitted for each light photon reaching it (quantum efficiency or QE) is about 15–25%. An electrostatically focussing structure drives the emitted electrons to the first dynode. Each dynode is held to a higher potential than the previous one by a voltage divider resistor chain. When stroke by an electron dynodes emit 3-4 secondary electrons that are in turn accelerated to the next dynode. After 8-12 acceleration steps, depending on the number of dynodes, each generated electron produces around 10<sup>6</sup> secondary electrons that are collected by an anode on the opposite side of the photocatode. The anode is connected to an output wire exiting the glass envelope. The number 10<sup>6</sup> represents then the typical amplification factor of a PMT. Thus, the PMT can be usually schematized as a source of current.

In general, when used in PET, photodetectors have to provide information on the position of the light source, *i.e.*, the spatial coordinates of the point where the interaction occurs, on the total amount of light produced (proportional to the energy released by the  $\gamma$ -ray) and also on the time of the interaction.

Photomultipliers are well suited for this task having a relative high quantum efficiency to preserve the energy information. They are fast enough with sub-ns electron transit time and are easy to assemble in compact position sensitive structures such as the so-called *block detector* that was introduced for PET about 30 years ago and it is still the implemented solution for the majority of clinical PET systems.

4.3. The block detector. – The block detector was introduced by Casey and Nutt in 1986 [12]. Figure 9 shows a scheme of a typical block detector. A scintillator block of

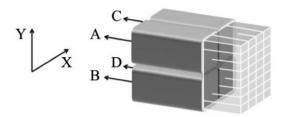


Fig. 9. – Scheme of a block detector.

about 40 mm side, 20 mm thickness, originally made of BGO, is sawed up to a certain depth, into smaller elements (usually, and improperly, called pixels). The empty space between elements is filled with a white reflective material to optically separate them and to ensure the maximum efficiency in light transport toward the photodetector. The light emerging from the scintillator is sampled by four square (in its latest form) single channel PMTs arranged on a  $2 \times 2$  matrix. The position of the crystal element where the interaction occurs can be derived from the four signals produced by the PMTs using a simple formulas for X and Y:

(14) 
$$X = \frac{(S_A + S_B) - (S_C + S_D)}{(S_A + S_B + S_C + S_D)}; \qquad Y = \frac{(S_A + S_C) - (S_B + S_D)}{(S_A + S_B + S_C + S_D)},$$

where  $S_i$  is the signal produced by the  $i_{th}$  PMT and  $(S_A + S_B + S_C + S_D)$  is proportional to the total energy released in the scintillator. To ensure a good spatial linearity the saw cuts are deeper near the block sides and progressively more superficial as the cuts are close to the center.

X and Y are then the coordinates in the PMTs space and are stored in a 2D histogram (usually called  $flood\ histograms$ ) that results in a series of peaks each corresponding to a certain pixel. This representation suffers from significant space distortion with respect to the real positions due to the poor spatial sampling. In addition, peaks are broadened due to the statistical fluctuation of the PMT signals and the possibility of having multiple interactions in the scintillator block (inter-crystal scatter events), thus resulting in some overlaps with the adjacent ones. However, pixel positions can be normally identified and well separated when pixels are not too small. The process of assigning a pair of X and Y coordinates to an event occurring in a certain pixel is called  $pixel\ identification$  and it is performed through the generation of a look-up-table, i.e., a series of regions are drawn around the peak positions, filling the whole histogram space. Each region is then assigned to a pixel according to its position. Events generating a X-Y coordinate within a certain region are, in turn, assigned to that pixel.

### 5. – The PET system

5.1. Coincidence detection. – In the previous sections we have seen how it is possible to detect and measure the position of the interaction of  $\gamma$ -rays. Thus, the positions of the interactions of the two  $\gamma$ -rays generated in a positron-electron annihilation define a Line-of-Response (LOR). The position of the annihilation is then supposed to occur along this line. This process is called *electronic collimation* for the analogy with the passive

collimation used in other nuclear medicine imaging techniques such as scintigraphy and Single Photon Emission Computed Tomography (SPECT).

The problem is then to recognise a pair of  $\gamma$ -rays as being generated by the same annihilation process. For this purpose, the information on the time of arrival of a  $\gamma$ ray into the detector can be used. The two  $\gamma$ -rays are simultaneously generated and then, apart from some delay that may occur due to a difference in distance from the annihilation point to the two detectors, they are simultaneously detected. The selection of the annihilation  $\gamma$ -ray pair using the arrival time information is called detection in time coincidence or, in brief, coincidence detection. The event associated with the occurrence of a detection in time coincidence of two  $\gamma$ -rays is called *coincidence event*, while in a single event only one  $\gamma$ -ray is detected. For this reason, it is important to be able to determine when a photon has struck a detector. In this way, the time of all detected events can be compared to determine which ones arrived closely enough in time to be identified as an annihilation pair. The ability of a pair of detectors to determine the time difference in arrival of the annihilation photons is known as the timing resolution or  $\tau$ and is typically on the order of few nanoseconds. Using a scintillator the time resolution  $\tau$  is ultimately limited by the stochastic process in the emission of light. This uncertainty depends on the scintillator decay time and light yield. Other important parameters are the time structure of the pulse (e.g., scintillation rise time) and light transport properties of the scintillating material (including the reflection properties of the material used to separate crystal elements) affecting both light yield and pulse shape. There are various theories for the dependence of  $\tau$  from the decay time  $(1/\lambda)$  of the scintillator and the number of light photons detected  $(N_{\rm ph})$  [34-36]. There is in general consensus that

$$au \propto rac{(1/\lambda)^{lpha}}{\sqrt{N_{
m ph}}} \, ,$$

where  $\alpha$  is a constant in the  $0 < \alpha < 1$  range, whose value is commonly assumed to be  $\alpha = 1/2$ . Hence to have a short  $\tau$  it is necessary to have a short decay time and a high light yield of the scintillator [37].

The maximum difference in time for a pair of detected  $\gamma$ -rays to be identified as a coincidence event is called  $time\ window$ . In order to avoid missing coincidence events, the time window should be at least 2 times  $\tau$ . Typically for a BGO based PET systems  $\tau$  is approximately 5–6 ns FWHM while it is reduced using LSO down to 2–3 ns. In addition, it must be considered that the minimum time window must be at least larger than the maximum delay that may occur due to the finite travelling speed. For example, for a typical clinical PET size, the maximum travelling distance is 70 cm that corresponds to 2.3 ns of maximum time delay. A typical timing window that is used in PET scanners is typically between 4 and 20 ns.

**5**<sup>•2</sup>. Data acquisition system. – A typical PET data acquisition system, can be described as a two branch structure. On one side there is the timing circuitry that provides the coincidence information and enables the data acquisition of the involved detectors, while on the other side position and energy signals are converted into digital values.

Figure 10 illustrates the PET data acquisition for a two detector system. Along the timing branch, the PMT signal is preamplified with a fast amplifier (to preserve the time information) and fed into a discriminator that produces a digital signal when a  $\gamma$ -ray is detected. In order to improve the time resolution the discriminator could be a CFD (constant fraction discriminator), thus minimizing the uncertainty on the measure of the

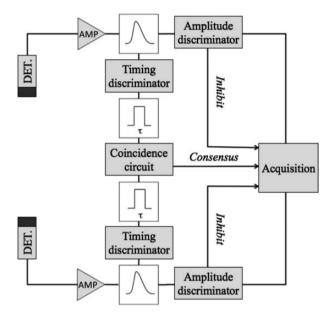


Fig. 10. – Scheme of a simplified data acquisition system for a PET with two detectors only.

arrival time due to pulse height variations. The width of the digital signal generated by the CFD is set to be equal to the time window  $\tau$ . The timing pulses are amplified and fed into a coincidence circuitry. In this simplified example the coincidence circuitry can be a logic AND between the two logic signals that generates a coincidence signal when the two signals have a certain overlap. In this way a coincidence is accepted when the time difference in the  $\gamma$ -ray arrival is within  $\pm \tau$ . Hence, the effective time coincidence window is actually 2  $\tau$ .

Along the other branch, signals from the PMTs (four in the case of a block detector) are digitized only if enabled by the coincidence signal. The extension of this concept to a multiple detector system can be obtained by implementing a more complex coincidence circuitry that is able to detect the pair of blocks where the coincidence occurred, thus providing a trigger signal to enable the data acquisition for the involved blocks only.

5.3. PET geometries. – The core of a PET system is the set of detectors that are positioned around the object under study to detect pairs of annihilation  $\gamma$ -rays. The tomographic acquisition requires the collection of a full set of line integrals defined by the possible lines of response sampling the object along the spatial and angular coordinates. There are several detector arrangements that are able to properly sample the LORs. For example, similarly to single photon emission tomography (SPECT), the detectors can rotate around the object. In this case at least a pair of detectors positioned at opposite locations are necessary to perform the electronic collimation. This configuration may be convenient only when a limited number of detector is available. A much more convenient detector arrangement is the *ring geometry*. This geometry allows many different LOR to be sampled simultaneously without any detector movement. Each detector can acquire data when in coincidence with any detector belonging to an opposite arc of detectors, thus defining a sort of wedge (fig. 11). The intersection of all the similarly defined wedges is the field-of-view (FOV) of the PET system. More formally the FOV is the region of space

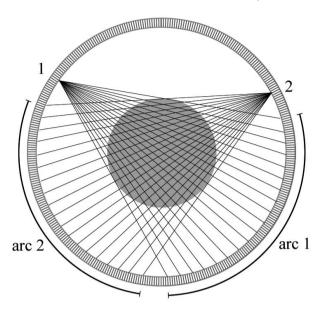


Fig. 11. – Pictorial view of the detectors in coincidence with a single block, e.g., detector 1 (2) is in coincidence with all detectors in the arc 1 (2). The subtended arc defines the borders of the field-of-view. The full FOV is given by the intersections of all arcs.

that is sampled enough to provide a full set of LORs for the tomographic reconstruction. In ring geometry it is a circle centered on the scanner axis. Thus, a single ring PET provides images of slices of the object with an axial extension equal to the detector size along the ring axis.

In order to increase the FOV size along the axial directions modern PET systems comprise more rings of detector with a typical axial extension of 15–20 cm. As of today, all clinical PET systems features a multi-ring geometry.

5'4. From 2D to 3D PET. - Multi-ring PET systems are classified in two categories: 2D and 3D scanners. In 2D PET coincidences among detectors belonging to two different rings are not allowed and thus a single ring records data coming from a single slice of object/patient. This simplification makes image reconstruction process easier (see sect. 6.6). In addition, in order to limit the number of single events reaching a single detector, each ring is physically separated from the adjacent one with a septum made of a high-Z material. On the other hand, 3D PET scanners are also able to record inter ring coincidences (fig. 12). Only with the advent of more advanced reconstruction algorithms and more powerful hardware resources the management of this 3D data has become possible [38]. The 3D modality is a big step forward in terms of system sensitivity (a maximum increase of about the number of rings) when compared to intrinsically 2D systems. In practice, it is possible to limit the maximum distance among rings (usually called ring difference) for a coincidence to be acceptable. In spite of the quality of 3D image reconstruction technique, the spatial resolution, especially along the axial direction, degrades as the ring difference increases. In the past, some PET systems were available with retractable septa in order to allow switching between 2D and 3D modalities, since the 2D mode has the capability to better reject scattered events, as well

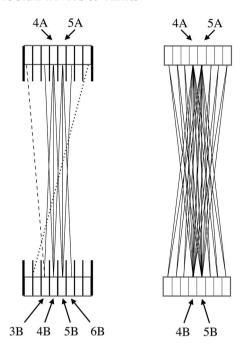


Fig. 12. – Axial section of a 2D and 3D PET showing the limited angle acceptance for LORs inclined along the scanner axis in 2D PET. Left: 2D mode with septa. In this case, detectors of a ring are in coincidence with the same ring or lying in the neighbouring rings, e.g., block 4A is in coincidence with 3B, 4B and 5B only. The picture only shows all the acceptable LORs involving detectors 4A, 5A, 4B and 5B. The LOR indicated by dashed line is rejected by the 2D mode coincidence system, while the dotted LOR is blocked by the septum between block 2B and 1B. Right: 3D mode. All possible LOR are accepted. For example the block 4A is allowed to record coincidence with all rings. The picture shows all the acceptable LORs involving detectors 4A, 5A, 4B and 5B.

as it is more insensitive to the activity outside the FOV. Such features were important in the past for some specific clinical cases but, as of today, novel technologies make it possible to have intrinsically 3D PET systems as the clinical standard.

**5**.5. Spatial resolution issues: technological aspects. – The limitations in spatial resolution of PET due to the physics of the  $\beta$ + have been already discussed in sect. **3**. The best achievable spatial resolution is also limited by other factors related to the detection process and the technology in use.

In general, the spatial resolution of a PET system is not constant along the whole FOV and the three-dimensional Point Spread Function (PSF) is not isotropic. This fact is related to the geometry of a PET system that does not sample all the lines of flight in the same way. Assuming a ring geometry, radial, tangential and axial directions are naturally defined and the FWHM of the PSF is normally not the same along the three directions. The degradation of the spatial resolution is essentially due to the uncertainty in the determination of the line-of-flight (LOF) that depends upon factors related either to the detector geometry or to the physics of the detection process. The following considerations are elaborated for a pixellated detector block as it is the most common configuration. When a coincidence is detected a pair of crystals defines the line-of-response (LOR). For

the finite size of the detectors the LOR is not actually a line but a region (sometimes called tube of response) where the annihilation has a certain non-zero probability to have occurred. This probability is described by a coincidence response function. For example, let us consider a pair of facing square crystals. The domain of the coincidence response function is a parallelepiped with bases defined by the crystals faces. At equal distances from the two detectors and along the radial direction the coincidence response function is triangular in shape. From simple geometrical considerations there is a higher probability for the  $\gamma$ -ray pair to have been generated at the center while the probability goes linearly to zero at the borders of the tube. In this mid-plane the FWHM of the coincidence response function is then equal to half the size of the crystal (d) along the same direction. With similar considerations one can understand that the FWHM of the coincidence response function worsens by moving closer to one of the two detectors as the function starts to be trapezoidal in shape. Thus, the contribution (in terms of FWHM) to the spatial resolution due to the finite crystal size is minimum at the center of the FOV and equal to d/2. When crystals in a matrix are separated by a reflective material of non-negligible thickness the value d is actually the crystal pitch, *i.e.*, the crystal size plus the thickness of the separation material. The previous considerations are true only for facing detectors or, in general, for pair of detectors with negligible thickness. In fact, as the crystals defining the LOR are not aligned, the finite thickness of the crystals comes into play enlarging the domain of the response function. If there is no information on the depth of interaction (DOI) in the crystal the coincidence response function is not negligible along the enlarged tube of response, thus introducing a further contribution to the FWHM on top of the d/2 term. This new term is usually indicated with the letter p and is called parallax error. In PET with ring geometry the parallax error has a significant effect along the radial direction as the point spread function of the reconstructed image experiences a sort of radial elongation. The PSF worsens by moving far from the centre of the FOV where the parallax error still has some effect only along the axial direction (and for 3D PET only).

The contribution to the FWHM of the PSF along the radial direction can be approximated by the following equation:

$$(15) p = \alpha \frac{r}{\sqrt{r^2 + R^2}},$$

where r is the radial position where the PSF is derived, R is the radius of the PET ring and  $\alpha$  is a term that depends on the material and thickness of the scintillating crystals. For example  $\alpha=12.5$  for a 30 mm thick BGO crystal [39]. The crystal pitch d and the parallax error p are both related to the geometry of the scintillator. Assuming that both crystal elements have been correctly identified as the region of space where the first interaction of the two  $\gamma$ -rays occurred they are the two only contributions to the spatial resolution. However, some errors may also occur in the identification of the crystal. When the crystal position is identified via light sharing technique, i.e., by calculating the centroid of the light spot emerging from the crystal, there is a non-negligible, position-dependent error. The source of this error is twofold: there could be a possible error in the pixel identification process as discussed in sect. 4.3 and there is the possibility of a multiple interaction in the scintillator to occur. When the multiple interaction occurs in more that one crystal element the event is usually called an inter-crystal scatter or ICS event. As a consequence, more than one crystal produces a light spot. When using a photodetector that is able to provide the position of the centroid of the light spot only,

the event may wrongly be assigned to a crystal element that is not the one where the first interaction occurred. The contributions of both *pixel identification* and ICS effects are included in the so called *coding error* term that is usually indicated by the letter b.

Taking into account both physical effects (described in sect. 3) and the technological limitations here described, the best achievable spatial resolution in PET can be summarized with the following formula [28,39]:

(16) 
$$\text{FWHM} = 1.25\sqrt{(d/2)^2 + b^2 + (0.0022D)^2 + r^2 + p^2},$$

where 1.25 is a term related to the further degradation of the PSF due to the non-uniform sampling of the LOR in the FOV and the image reconstruction process. This value is estimated assuming an analytical reconstruction algorithm such as the *filtered backprojection* (see sect.  $6^{\circ}6$ ). The factors in the quadrature sum are due to the detector size (d), coding error (b), non-collinearity (where D is the scanner diameter), the positron range (r) and the parallax effect (b).

Various techniques have been introduced to reduce the effect of the technology-related spatial resolution degradation terms. For example, detectors able to estimate the depth of interaction have been proposed, especially in the field of pre-clinical systems (see sect. 8.2.2).

**5**<sup>•</sup>6. Noise in PET events. – The FWHM estimation in the spatial resolution equation (16) is defined assuming infinite statistics, *i.e.*, it does not include effects from noise. Noise characteristics have important implications for quantitation and detection performance in PET imaging, especially in high-resolution scanners.

Detection efficiency refers to the efficiency with which a radiation measuring instrument converts emissions from the radiation source into useful signals from the detector. Maximum detection efficiency is desirable to obtain maximum information (minimum statistical noise) with a minimum amount of radioactivity (dose reduction).

The detection efficiency D of a radiation counting system can be defined as: D=R/A, where R is the counting rate and A is the source activity. D is affected by several factors and can be written as a product of individual contributions:  $D=g\times\varepsilon\times f\times F$ , where g is the geometrical efficiency (solid angle coverage of the PET ring),  $\varepsilon$  is the intrinsic detection efficiency (obtained as the product of the intrinsic efficiencies for 511 keV  $\gamma$ -rays of the two detectors involved in the coincidence), f is the electronic recording efficiency and F is a factor that takes into account the absorption and scattering in the object.

The geometrical efficiency g can be increased by reducing the scanner diameter and/or increasing the axial extension. However, the minimum scanner diameter is limited by patient size and by parallax effect that worsens as scanner radius is reduced. In turn, axial extension is limited by the cost of the building material (mainly the scintillating crystal) and by a higher scatter fraction (defined by eq. (17)) for long axial extension systems. On the other hand,  $\varepsilon$  can be increased by using thicker scintillating crystals, but, once again, spatial resolution would be degraded by the increased parallax effect. In addition to statistical noise due to the counting rate limitations of a PET system there are several sources of noise that are, similarly to the spatial resolution limitations, caused by both the physics of the  $\gamma$ -ray interaction with matter and the adopted technology. The term *noise* in PET is often inappropriately used to describe the fluctuations in space in the measurement of a uniform activity (uniformity measurement). A more appropriate definition is the *image roughness* that is usually measured in terms of the standard deviation of the measured value.

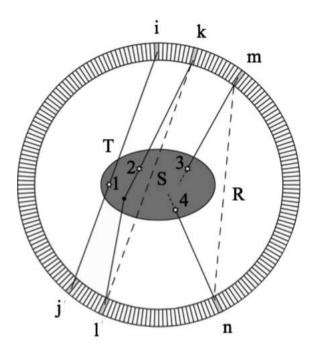


Fig. 13. – Pictorial example of true(T), scattered(S) and random(R) counts (see text).

Apart from the already discussed statistical limitations, uniformity is also limited by the presence of LOR not appropriately recorded. A recorded LOR is meaningful only when generated by a true count. A *true* count is a coincidence event where the  $\gamma$ -ray pair is generated by a single annihilation event and both  $\gamma$ -rays are detected without experiencing any other interaction along their path. In fact, only under these conditions, the LOR passes by, or more correctly, the tube-of-response (TOR) contains the annihilation point.

Actually, not all the LORs generated by the coincidence detection pass through (with all limitations discussed in sects. 3 and 5.5) the annihilation point. Hence, not all the LORs generated by a coincidence detection of two  $\gamma$ -rays give true counts. Figure 13 shows four possible types of events that can be recorded by a PET as a coincidence. The annihilation occurring in location 1 corresponds to a true count (usually indicated by the letter T) between detectors i and j. In the event originating from position 2, at least one of the two  $\gamma$ -rays experiences a scattering along its path. The LOR generated in this way, recorded by detectors k and l in this case, does not pass through the annihilation point. Such type of event is called scattered (S) count.  $\gamma$ -ray emissions from points 3 and 4 occur simultaneously. The two  $\gamma$ -rays, although detected in time coincidence by m and n detectors are not generated by the same annihilation. They are accidentally detected in coincidence and this type of event is usually called accidental or random (R) events. Also in this case the generated LOR is not correlated to any annihilation point. The sum of T, S and R events is called prompt (P) counts where only true counts contain useful information for the image reconstruction. Another possible type of event is the so-called multiple count where more than two single events are recorded within the coincidence time window. This event generates an ambiguity on deciding which is the  $\gamma$ -ray pair

actually generated in a single annihilation, and thus this type of multiple event must be discarded. Note that the definition of *true*, random, scattered and multiple counts assumes perfect detectors.

The measured true and scattered counts rates vary linearly with the activity present in the FOV (for a given activity distribution). On the other hand, the ratio between T and S can be usually considered constant. An important figure indicating the relative weight of the effect of scattered events onto the reconstructed image is the scatter fraction (SF), that is defined as

(17) 
$$SF = \frac{R_S}{(R_T + R_S)}.$$

Scattered counts generate a low spatial frequency background that reduces the contrast in the reconstructed image. The spatial distribution of the intensity of the background generated by scattered counts depends on the activity distribution and the shape and size of the patient. However, a significant fraction of scattered counts can be discarded. In the first instance, a scattered event can be intrinsically discarded because the pair of hit detectors are not geometrically in coincidence. This happens when large scattering angle occurs. Once a prompt event is acquired it is still possible to exclude a fraction of the scattered events using the energy information. In addition to the angular deviation, the Compton scattering also entails a loss of energy for the  $\gamma$ -ray. For a given  $\gamma$ -ray with energy  $E_{\gamma}$ , the relation between the scattering angle  $\theta$  and the residual energy  $E'_{\gamma}$  is given by

(18) 
$$E'_{\gamma}(\theta) = \frac{E_{\gamma}}{1 + \left(\frac{E_{\gamma}}{m_e c^2}\right)(1 - \cos \theta)},$$

where  $m_e$  is the mass of the electron at rest. According to eq. (18) the scattered photon has as energy  $1/3 \times 511 \,\mathrm{keV} < E_\gamma' < 511 \,\mathrm{keV}$ . Figure 14, left, shows the behavior of the residual energy  $E_\gamma'$  versus the scattering angle  $\theta$ . Thus, measuring the energy of the incoming  $\gamma$ -ray, those that have been scattered can be recognized being  $E < 511 \,\mathrm{keV}$ . It is important to note that the angular distribution of scattered photons at 511 keV is peaked in the forward direction (small  $\theta$  values), i.e., residual energies close to 511 keV are more probable than the others. In fact, the differential cross section  $\mathrm{d}\sigma/\mathrm{d}\Omega$  for the Compton scattering is given by the Klein-Nishina formula, expressed in cm<sup>2</sup> sr<sup>-1</sup> electron<sup>-1</sup>

$$(19) \frac{\mathrm{d}\sigma_c}{\mathrm{d}\Omega}(\theta) = r_e^2 \frac{1 + \cos^2 \theta}{2} \frac{1}{[1 + E_{\gamma}^2 (1 - \cos \theta)]^2} \left\{ 1 + \frac{E_{\gamma} (1 - \cos \theta)^2}{(1 + \cos^2 \theta) [1 + E_{\gamma} (1 - \cos \theta)]} \right\},$$

where  $d\Omega$  is an infinitesimal solid angle element,  $E_{\gamma}$  is the energy of the photon,  $r_e$  is the classical electron radius.

The relationship between the scattering angle and the  $(d\sigma_c/d\Omega)_N$  differential cross section, normalized to the maximum value, for a 511 keV  $\gamma$ -ray is reported in fig. 14, right. In this case, 50% of all Compton interactions are characterized by a scattering angle of 60° or less. Using equations 18 and 19 it is possible to establish a relationship between  $E'_{\gamma}$  and  $(d\sigma_c/d\Omega)_N$  that is actually the energy spectrum of an object-scattered  $\gamma$ -ray (fig. 15).

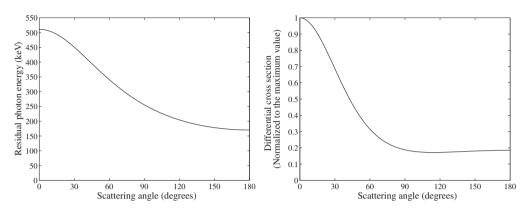


Fig. 14. – Left: plot of the energy of the Compton scattered photon  $(E_{\gamma})$  as a function of the scattering angle  $\theta$ . Right: relationship between the scattering angle and the differential cross section  $(\mathrm{d}\sigma_c/\mathrm{d}\Omega)_N$ , normalized to the maximum value. Both plots are calculated for a 511 keV incident  $\gamma$ -ray.

The ability of the detector to determine the energy of the photon is known as the energy resolution. Typical values for the energy resolution of LSO read out by PMTs is 15-20% at  $511\,\mathrm{keV}$ . Due to the finite value of the detector energy resolution it is not possible to set a fine energy threshold at  $511\,\mathrm{keV}$  but a wider energy window EW can be set.

Increasing the width of the EW increases the fraction of scattered counts (larger SF) and the accepted scattered counts may be subjected to larger and larger scattering angles. The higher is the energy resolution, the narrower the energy window can be set and a smaller fraction of scattered events are included. This energy selection is critical especially for the large number of scattered photons with an energy close to 511 keV as shown in fig. 15. Typical scatter fraction values in PET may range between 15–20% up

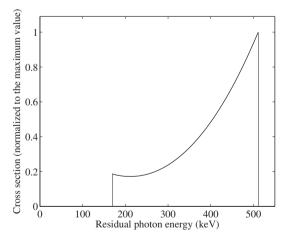


Fig. 15. – Energy spectrum of a 511 keV  $\gamma$ -ray after a single Compton scattering in an object normalized to the maximum value. Energy values ranges between 173.3 keV (i.e., 511/3 keV) and 511 keV.

to 50% in obese patients.

It must also be noted that what a PET detector measures is not the  $\gamma$ -ray energy but the energy of the photo-electron plus fluorescence photon (photoelectric interaction), recoil-electrons (Compton interaction) or the sum when multiple interactions in the detector occur. On one side, since the energy of the incoming  $\gamma$ -ray is a critical information only full energy interactions are acceptable. On the other side, single interactions are preferred for the better spatial information provided. Hence, only photoelectric interaction in the detector are adequate to provide useful information for image reconstruction. For this reason, a scintillator with a high photofraction (at 511 keV) is required for PET (LSO has a photofraction of 32%).

As already discussed, when setting an energy window around the full energy peak (typically 450–650 keV in clinical PET) a fraction of scatter events is still included. For this reason, a number of methods to correct the effect of the *scattered* events in the degradation of image quality have been developed and are applied before or during the reconstruction process. They are usually classified with the term *scatter* correction and used to restore the object contrast in the final image (see sect. 6.3).

While scattered events typically generate a structured background, random events generate a more uniform background. Nevertheless, their importance becomes significant as the activity, and then the single count rate, increases. In fact, to obtain quantitative data in PET it is necessary to estimate the rate of random coincidences in the measured data in each LOR. The random count rate can be expressed as [40]

$$(20) R_{ij} = C_i \times C_j \times 2\tau,$$

where  $C_i$  and  $C_j$  are the singles count rate on detector i and j and  $\tau$  is the coincidence time window. Hence,  $R_{ij}$  is proportional to the square of the activity in the field of view.

A narrow time window is then necessary to reduce random counts.

The Noise equivalent count rate (NECR) is the figure of merit that quantifies the amount of background and statistical noise characteristic of a given PET scanner, thus evaluating the effect of the presence of *scattered* and *random* counts.

The formulation of NECR is as follows:

(21) 
$$R_{\text{NEC}} = \frac{R_T^2}{R_{\text{TOT}}},$$

where  $R_{\text{TOT}}$  is the sum of true  $(R_T)$ , random  $(R_R)$  and scatter  $(R_S)$  count rates:

$$(22) R_{\text{TOT}} = R_T + R_S + kR_R,$$

and where k is a factor that takes into account the method used for estimating random counts, usually k > 1, while k = 1 for noiseless random counts.

Figure 16 shows an example of True, Scatter, Random and NECR curves as a function of the activity concentration.

As described above, the capability of a PET system to reject scattered and random counts is related to the energy and timing resolution of the detector, respectively. Both features can be improved with a careful selection of the scintillator characteristics. In particular, a high light yield, increasing both timing and energy resolution is required. In addition, the time characteristics of the scintillation pulse (scintillation decay time) is also critical for time resolution. Although LSO:Ce and LYSO:Ce are a well-established

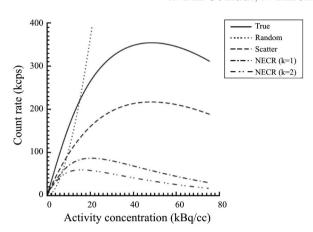


Fig. 16. – Example of True, Scatter, Random and NECR curves as a function of the activity concentration obtained following NEMA NU 2 (2001) procedure. NECR data are reported for both extreme cases of k=1 and k=2. Data are taken with the GE Discovery SRX PET/CT system (data courtesy of IFC-CNR Pisa, Italy).

solution for PET, research in this field is still an active topic especially for time-of-flight applications (see sect. **7**<sup>.</sup>5).

#### 6. - Software

**6**<sup>1</sup>. Data representation: the sinogram. – Raw data in PET are usually stored in list mode format where each entry of the list contains the coordinates of the LOR, i.e., indicated by the physical coordinates of the two pixels that have been hit, energy, time and any other information that may be appropriate. This type of data representation is not convenient either for storage (it can easily reach tens of GB in file size) or for image reconstruction and some pre-processing and reformatting is necessary.

First of all, it should be considered that events are recorded at different times. As time passes the radioisotope decays and the activity is decreasing. A decay correction is then necessary to ensure that the measurement of the activity density  $\rho(x, y, z)$  is relative to a precise time point (typically the beginning of the acquisition or the time of the injection). An event n collected at a certain time point  $t_n$  should then be corrected by a (multiplicative) decay correction factor  $C_{\text{decay}}$ :

$$(23) C_{\text{decay}} = e^{\frac{t_n - t_0}{\tau}},$$

where  $t_0$  is the time the reconstructed image is referred to and  $\tau$  is the decay constant of the radioisotope in use.

In addition, the decay of the radioisotope has also to be considered when *dead time correction* is performed. Any acquisition system is affected by dead time. When the count rate remains constant the dead time correction factor is a constant multiplicative factor. However, in our case, as the count rate is varying, dead time correction must be performed on an event by event basis.

After both decay and dead time corrections have been performed, events can be stored in *histogram mode data format*. In this case, data are stored indicating the corrected

count rate recorded in each line-of-response  $LOR_{ij}$ . Ideally, the  $LOR_{ij}$  values are now proportional to the line integrals along the LOR itself. For example, histogram data can be stored in a 2D matrix, where each element (i, j) of the matrix contains the count rate recorded by a particular pair of detector. However, for image reconstruction purpose there is a more convenient way to store line integrals. This representation is called sinogram. In the simplification of a 2D scanner with one ring only, the coordinates of the matrix are given by two physical coordinates of the LOR, namely s and  $\phi$ : s represents the geometrical distance of the LOR from the scanner axis and  $\phi$  is the angle of inclination of the LOR. The name sinogram is due to the fact that the sinogram representation of a point source located at polar coordinates  $r, \theta$  in the field of view is a sinusoidal function with amplitude r and phase  $\theta$ . This representation is pretty natural in ring geometries, even if some rebinning is necessary in the LOR index to  $(s,\phi)$  coordinates transformation when s and  $\phi$  are uniformly sampled. The information loss during the rebinning is widely compensated by the convenience of the sinogram representation from the matematical point of view, especially when analytic image reconstruction algorithms are used (see sect. 6.6).

6.2. From 2D to 3D data acquisition modes. – The singgram representation can be easily extended to multi-ring 2D PET where data from each plane are stored in a separate sinogram. The plane id can be seen then as the third coordinate of a sinogram 3D matrix. With the advent of 3D PET the problem of representing LOR with a non-zero axial inclination, i.e., involving detectors belonging to different rings has to be considered. For example, the id of the ring can be replaced by the id's of the two rings involved, thus making the sinogram a 4D matrix. The problem of image reconstruction of 3D sinograms is much more complicated than 2D sinograms. For that reason, numerous algorithms for rebinning 3D sinograms to 2D sinograms have been introduced. The simplest one is the so-called *single slice rebinning* or SSRB where oblique singerams are assigned to the mid plane between the two rings involved. In this way, in a PET with n rings 2n-1 2D sinograms are generated. The consequence of the SSRB rebinning is a severe degradation of the axial spatial resolution especially at the radial edges of the FOV. For this reason the SSRB is usually applied on a limited number of oblique singgrams. Only those relative to rings that are not too axially far away are considered, i.e., there is a maximum difference in ring id that is acceptable (called ring difference).

There are also other techniques for rebinning 3D sinograms into 2D sinograms. One of the most popular is the *Fourier Rebinning* or FORE that aims at the reduction of axial spatial resolution degradation [41]. In last years, with the increase of the available computational resources, intrinsecally 3D reconstruction algorithms became accessible in such a way that 2D sinogram rebinning is not necessary anymore and the full potential of 3D PET can be unleashed.

6.3. Normalization and scatter correction. – The efficiency of each LOR, i.e., the probability of a certain coincidence count emitted from a point (x, y, z) in the FOV to be detected in a LOR<sub>ij</sub> mainly depends on geometrical and physical factors plus the contribution of the data acquisition system. The line integral model assumes a uniform behaviour in the efficiency of the various LORs. However, non-uniformities of individual detectors due to the uncertainties, e.g., in crystal size, geometry and light yield and in detector electronics behaviour (as, for example, detector thresholds), may introduce inter-LOR efficiency variation with respect to the ideal value. Data normalization in PET is the process for compensating these non-uniformities through a multiplication factor for each

LOR. Normalization values are experimentally derived from the scan of a geometrically uniform object, such as a uniform cylinder. The main challenge of this procedure is to collect enough counts per each LOR so as to obtain low noise normalization factors. The necessity of using relatively low activity density in the normalization phantom to limit both excessive dead time data loss and high random event count rate makes a normalization scan quite long to be performed. Furthermore the relatively fast decay of <sup>18</sup>F, when used, limits the number of counts that can be collected. For that reason, rotating rod sources of <sup>68</sup>Ge, mimicking an annular source, are used instead.

Data normalization are usually applied at the level of the sinogram, *i.e.*, a normalization sinogram is generated from the LOR normalization values. In this case, the data normalization results in a multiplication of sinograms. Due to the relatively low statistics of normalization data, component-based variance reduction methods are implemented in order to reduce noise in the final image [6].

In sect. 5.6, the use of an energy window has been described as a way to reduce the scatter fraction on PET data. As already discussed a very tight energy window around 511 keV cannot be used. Although it would be optimal for the rejection of scattered events, this approach would be also accompanied by a severe reduction in sensitivity for the large number of rejected true counts. After geometrical and energy discrimination, the residual scatter events present in PET data are indistinguishable from true counts. The spatial contribution of scatter counts along the FOV has a fairly low spatial frequency distribution. However, it is not totally flat but results in a "spatially structured image. This is mainly due to the forward-peaked *Klein-Nishina formula* (eq. (19)) at 511 keV. To minimize the effect of scatter counts in the reconstructed image that would limit image contrast and the accuracy of quantification, a procedure called *scatter correction* must be performed. It is particularly important in 3D PET, being the 2D mode using septa (now obsolete) relatively insensitive to scatter counts.

The scatter correction consists in estimating the number of scatter counts contributing to a given  $LOR_{ij}$  as a result of a Compton interaction. It is important to notice that many different Line-of-flights (all LOFs that are geometrically compatible) may generate a scatter count assigned to  $LOR_{ij}$ . In addition, the original LOF may either i) correspond to an acceptable LOR (the emission point is in within the FOV) or ii) may be generated from the activity outside the FOV. The previous consideration is made under the assumption that only one Compton scattering occurs to only one of the two coincidence  $\gamma$ -rays along their travel. This assumption, called *single scattering approximation* is particularly relevant for scatter correction as it is the simplest and easily modellable situation. In case i) the Compton scattering acts as an attenuation process (see sect. 6.5), being the count removed from its original LOR. Thus, it results evident how scatter and attenuation are strictly correlated being the result of the same phenomenon. Hence, it is important to understand the order in which scatter correction and attenuation correction are performed. Scatter correction should be performed first as it removes unwanted events from a LOR. After that, the attenuation correction restores the proper number of counts  $N_{ij}$  collected along a LOR<sub>ij</sub>.

Methods for scatter correction can be classified according to the way the scatter count distributions are estimated. These include analytical methods [42,43], Monte Carlo simulation techniques [44], multiple (e.g., dual [45] or triple [46]) energy window methods and model-based scatter correction algorithms [47,48]. Analytical methods consists of fitting with a Gaussian profile the tails of the object as they appear at the edge of each projection, e.g., in the sinogram. This method assumes a uniform behaviour of the scatter component along the FOV. In practice, the Gaussian fitting is applicable when the

scattering object is nearly uniform and have a regular shape, e.g., a cylinder or a sphere. For example it was demonstrated to work well in brain studies [49], but not in whole-body scanning where the object is more irregular and occupies most of the FOV (the tails are shorter and can be hardly fitted). Monte Carlo based scatter correction utilizes the 3D reconstructed image volume (non-scatter corrected) as the source intensity distribution for a photon-tracking Monte Carlo simulation [44]. This method has the potential to be rather accurate but it is time consuming due to the necessity to perform the Monte Carlo simulation after a first image reconstruction. Methods for scatter correction using dual energy window work under the assumption that PET data selected using the standard energy window (e.g., 380-850 keV, as in [45]) are a combination of a true counts data set plus a scatter counts data set, while data in a lower energy window (e.g., 200–380 keV) are assumed to contain scatter data only. The scatter contribution in the upper energy window data is assumed to be a fraction of the scatter data obtained from the lower energy window. Hence, the idea is to estimate the scatter contribution from the lower energy window data and then to subtract this contribution after having multiplied for a proper scaling factor. The scaling parameter can be derived from measurements on dedicated phantoms, e.g., from the ratios of counts from line sources due to scattered and unscattered events in the two energy windows in head-sized phantoms [45]. In the model-based scatter correction algorithm the estimation of the scatter contribution is calculated combining the information from emission data with the image obtained from the transmission scan. Thus, the number of scatter event contributing to a  $LOR_{ij}$  is calculated using the Klein-Nishina formula 19 together with a model of the detection system, assuming the non-scatter corrected image as the "source" and the transmission image as the "scattering" object. In general all these methods perform well when all the activity is within the FOV, i.e., when the condition i) above is fully satisfied. When scatter events are generated from out-FOV activity, like in case ii), these methods are less accurate but still sufficient to significantly improve image signal-to-noise ratio and quantification capability.

6.4. Random correction. - Apart from limiting the occurrence of random counts as described in sect. 5.6, methods for their correction in the reconstructed image are also available. This process is called random correction. It is based on a statistical estimation of the random counts distribution that may be either subtracted from prompt events online, or stored as a separate histogram (with an entry for each LOR) for later processing. The estimation of the random count rate can be indirect or direct. In the first case, formula (20) is used to derive  $R_{ij}$  from the *single* count rate recorded in each detector. This method is in principle precise but may be not very accurate for the systematic error due to the a-priori estimation of  $\tau$ . To directly derive the random count rate the so-called delayed window technique [19] can be used instead. In this case, an additional coincidence processor is used. The logic pulse from one detector is delayed in such a way that it has no more correlation with the other photon of the annihilation pair. When a coincidence between a delayed pulse from detector i and a prompt pulse from detector jis detected  $R_{delayed,ij}$  is increased by one. At the end of the acquisition  $R_{delayed,ij}$  can be considered a good estimation of  $R_{ij}$ . The advantage is a more accurate estimation of the random counts even if it is characterized by a noisier distribution.

6.5. Attenuation correction. – At 511 keV, the attenuation coefficient  $\mu$  in soft tissues is about  $0.096 \,\mathrm{cm^{-1}}$  while in bone is about  $0.17 \,\mathrm{cm^{-1}}$ . Hence, there is a relatively high probability for a  $\gamma$ -ray to interact in patient tissues before reaching the surrounding PET

detectors, mainly via Compton scattering or photoelectric absorption. Scattered counts are events that adversely affect the image quality (as seen in sect. 5.6), by assigning a count to a wrong LOR. When a photoelectric interaction occurs along an acceptable LOF, *i.e.*, corresponding to a LOR, the count is simply removed from that LOR. As discussed in sect. 6.3 this may also happen as a consequence of a Compton scattering (or for multiple interactions) in the object. Formally, the line integral introduced in sect. 3.1 should be modified as follows as a consequence of the  $\gamma$ -ray attenuation along a LOR<sub>ij</sub>:

(24) 
$$N_{ij} = k \int_{\text{LOR}_{ij}} \rho(x, y, z) dL \cdot P_i \cdot P_j,$$

where  $N_{ij}$  is the number of counts recorded along LOR<sub>ij</sub> and

(25) 
$$P_i = e^{-\int_{L_i} \mu(x) dx}; \quad P_j = e^{-\int_{L_j} \mu(x) dx}$$

are the probabilities for the two  $\gamma$ -rays to reach detectors i and j, respectively and  $L_i$  and  $L_j$  are the lines connecting the annihilation point to detectors i and j. Thus, the probability P for both  $\gamma$ -rays to reach the corresponding detectors, and then contributing to  $LOR_{ij}$ , is

(26) 
$$P = P_i \cdot P_j = e^{-\int_{L_i} \mu(x) dx} \cdot e^{-\int_{L_j} \mu(x) dx} = e^{-\int_{L_i + L_j} \mu(x) dx},$$

 $N_{ij}$  can be then written as

(27) 
$$N_{ij} = k \int_{\text{LOR}_{ij}} \rho(x, y, z) dL \cdot e^{-\int_L \mu(x) dx},$$

where  $L = L_i + L_j$ . It must be noted here that the probability for a count to be detected does not depend by the position of the annihilation point long the LOR but only by the line integral of the attenuation coefficient along the LOR itself. The quantity

(28) 
$$\frac{1}{P} = e^{\int_L \mu(x) dx}$$

is usually called attenuation correction factor (ACF).

To have an idea of the relative weight of the ACF is must be noted that  $\mu_{softtissue} = 0.096 \,\mathrm{cm}^{-1}$  and then with a 40 cm diameter patient the ACF is about 50.

The effect of attenuation on the image is two-fold: i) counts are underestimated, thus highly affecting quantification; ii) image artifacts may also occur especially when strong non-uniformities of tissues are present, such as in the human body with bone and soft tissue. However, even in the case of a uniform cylinder the reconstructed image would show significant attenuation artifacts in the form of an underestimation of activity density at the center of the object with respect to the edges. In fact, lines crossing the center of the object are more attenuated than lines crossing the borders tangentially, thus generating a typical *cupping* artifact that is clearly visible along image profiles.

Similarly to *scatter* correction, *attenuation* correction is a necessary step in image recostruction for PET and can be performed using eq. (28) above. There are several ways to derive the ACFs for each LOR. The most common approach consists in a direct

or indirect estimation of the ACF through the measurement of the distribution of the attenuation coefficients  $\mu(x,y,z)$  at 511 keV. A typical way to directly measure  $\mu(x,y,z)$ is the use of the so-called transmission scan [50] that can be applied with coincident 511 keV photons (for example, from the 273 days half life <sup>68</sup>Ge isotope). The method consists in measuring the attenuation of a  $\gamma$ -ray beam along a certain LOR in the presence of the object. The attenuation is measured by comparing the counts recorded along a certain line in the presence of the object (transmission scan) to those obtained without the object (blank scan). Attenuation data along all the LORs are collected, for example, by rotating the source around the object, thus creating a sort of transmission scan and blank scan sinograms. The LOR is thus defined by the coincidence betwen the near side and the opposite side detectors. Thanks to the coincidence collimation of the beam a linear source (rod) can be used. The ratio between the two sinograms gives the attenuation correction sinograms containing the ACFs to be used as a multiplication factor for the emission sinogram. The advantage of this approach stays in its relatively low technological complexity and in the accuracy of the results. However, there are several constraints: long scan times, noisy attenuation sinograms due to low statistics, needs for replacement of the transmission source every 12 or 18 months. In addition, since a post-injection transmission scan is more desirable in clinical settings because it increases patient comfort and scanner throughput, the transmission scan acquired after the injection of the PET tracer would suffer from contamination by 511 keV photons emitted from the PET tracer. To overcome the latter limitation single 662 keV photons from the long half-life  $(30.17\,\mathrm{y})^{-137}\mathrm{Cs}$  can be used instead. However, the measurement is less accurate due to the difference between attenuation coefficients at 511 keV and 662 keV and a point source must be used for the lack of electronic collimation with the consequence of a further increase in transmission scan time. Before the advent of PET/CT systems (see sect. 7.4) almost all clinical PET system were featuring some sort of transmission scan technique based on radioactive sources to perform attenuation correction.

**6**.6. Image reconstruction in PET. – After the sinograms with all the necessary corrections is generated, the number of counts in each bin is proportional to the line integral of the activity distribution along the line L defined by  $(s, \phi)$  coordinates. A sinogram is usually represented with the s coordinate along the abscissa and  $\phi$  along the ordinate. Each row, corresponding to a certain angle is called projection described by  $p(s, \phi)$ . The mathematical process of transforming the object into its projections to form a sinogram is called radon transform [1]. In general, the problem of image reconstruction (here explained for the 2D case only) is to recover the activity distribution  $\rho(x, y)$  from projections  $p(s, \phi)$ , i.e., it is sufficient to find the inverse operation of the Radon transform to be able to obtain a tomographic image. Various methods have been developed for this purpose both relying on analytical or iterative algorithms.

A full description of the mathematics behind and of the implementation of the various methods is beyond the scope of the present paper. However, two of them are briefly described for completeness. A comprehensive description of methods for image reconstruction in PET can be found in [51] or [52].

The simplest method is an analytical algorithm called Filtered Back-Projection, in brief FBP, introduced in the field of medical imaging by L. A. Shepp and B. F. Logan in 1974 [53]. FBP is easy to be implemented and it is usually fast, but is characterized by an image noise amplification especially when applied in low statistic acquisitions. It is the most commonly used algorithm for 2D reconstruction. A derivation of the 2D activity distribution  $\rho(x,y)$  can be obtained with a process called Back-Projection. The value

of each projection  $p(s,\phi)$  is added to all image elements crossed by the line defined by the coordinates  $(s,\phi)$ . For the different coordinate systems in use for the image and the *projection* operator a weighting factor is applied to account for the path length of the line through the pixel. The result of the back-projections process is an image I(x,y) affected by a strong blurring. It can be demonstrated that, for an infinite number of angular projections and an infinite spatial sampling, the back-projection image is equivalent to the original image  $\rho(x,y)$  convolved with a 1/r function, *i.e.* 

(29) 
$$I(r,\theta) = \rho(r,\theta) \otimes \left| \frac{1}{r} \right|,$$

where polar coordinates are now used for simplicity. Both members of eq. (29) can be Fourier transformed and by applying the convolution theorem for Fourier transform eq. (29) can be written as

(30) 
$$F(I(r,\theta)) = F\left(\rho(r,\theta) \times \left|\frac{1}{r}\right|\right)$$

and then, considering that  $F(|1/r|) = |1/\nu|$ :

(31) 
$$\rho(r,\theta) = F^{-1}(F(I(r,\theta) \times |\nu|)).$$

Equation (31) implies that  $\rho(x,y)$  can be obtained by back projecting the filtered projections. This explains the name Filtered Back-Projection. The frequency filter  $|\nu|$  is called ramp filter or Ram-Lak filter.

Summarizing, the sequence of operations that have to be performed to reconstruct the image is:

- 1. Unidimensional Fourier transform of each projection.
- 2. Filtering each projection in the unidimensional Fourier space by multiplying by the function  $|\nu|$ .
- 3. Inverse unidimensional Fourier transform of each filtered projection.
- 4. Projecting backward the filtered projections.

The considerations above are not exact in case of limited angular and spatial sampling. When angular sampling is not infinite, the image is affected by a typical star artefact since, during back-projection, counts are accumulated preferably along the angles of projection. On the other hand, the limited spatial sampling requires a cut-off frequency to be applied to  $|\nu|$ . For Shannon's sampling theorem this frequency is equal to the Nyquist frequency 1/2d, where d is the sampling step. However, the use of the ramp filter still amplifies high-frequency components during the back-projection step and in particular the high-frequencies caused by statistical limitations of the projections. To reduce the noise the filtering is further modified. The most common filters are the Hamming and the Shepp-Logan filters that both result in a smoother image. FBP is a linear operator and, apart from noise problems, it is considered excellent for quantitative imaging. However, when looking an image in detail there could be regions where values are underestimated or even negative. This effect is related to the  $|\nu|$  filtering that in the space domain

is equivalent to a convolution with the sinc function. This can cause undershoot fluctuations in the image in regions where the source distribution has high gradients that are represented by high values in the frequency domain. For this reason, together with the difficulties in including the physics of the detection process within analytical image reconstruction, other solutions based on iterative algorithm have been proposed.

6.7. Iterative and PSF based reconstruction. – Iterative algorithms offer an alternative approach to the analytic techniques improving the image quality by the use of a realistic model of the imaging system. This model is represented by a probability matrix, called System Matrix (P) which correlates positron emission and coincidence  $\gamma$ -ray detection. Several physical parameters which describe the imaging process, such as system geometries, detector properties, photon emission and interactions, positron range and 27's non-collinearity can be included. Moreover, iterative algorithms have the potential to account for the stochastic nature of the PET events, making it possible to reduce noise in the reconstructed image. The cost of this improvement is a higher computational complexity. However, recent advances in computing resources and novel reconstruction algorithms allow using iterative methods in the clinical practice. The Maximum Likelihood Expectation Maximization or ML-EM algorithm is one of the most widely used reconstruction algorithms for PET and represents the foundation for many other algorithms. It was introduced by L. A. Shepp and Y. Vardi in 1982 [54]. As for the FBP the first implicit step of the algorithm is the discretisation of the image domain: the FOV is usually subdivided into N volume elements (voxels). Once again the problem is to derive from LOR counts  $n_i$  the value of the activity distribution in every single voxel  $(\lambda_i)$  defined by

(32) 
$$\lambda_j = \int_{\text{voxel } j} \rho(x, y, z) \cdot dx \cdot dy \cdot dz.$$

Note that the number of counts in each LOR were previously indicated as  $N_{ij}$  as the LOR was defined by the two detectors. Now it is expressed simply as  $n_i$  with just one index indicating the LOR. The idea behind the ML-EM algorithm is to find the activity values  $\lambda_j$  which maximise the probability of the measured values  $(n_i)$ ; this probability is represented by a likelihood function. In order to compute this function we need the  $System\ Matrix\ P$  that can be calculated totally, or in part, either analytically or via Monte Carlo simulations and with different levels of approximation. The size of the  $System\ Matrix$  is one of the most demanding issue for ML-EM as it can easily exceed hundreds of Gigabytes especially when physical components are included. Symmetries and the sparsity of P are useful features to compress the  $System\ Matrix$ , but the computation and compression of P remains yet a challenging step in iterative reconstruction.

The single element of P,  $p_{ij}$  is defined as the probability that a  $\gamma$ -ray pair emitted from voxel j with (j=1;2;3;...;N) is detected in the LOR i with (i=1;2;3;...;M). It can be demonstrated that an iterative relation approaching to the value of maximum likelihood is given by

(33) 
$$\lambda_j^{\text{new}} = \frac{\lambda_j^{\text{old}}}{\sum_{i=1}^M p_{ji}} \sum_{i=1}^M \frac{n_i p_{ij}}{\sum_{j=1}^N \lambda_j^{\text{old}} p_{ij}}.$$

The initial distribution  $\lambda_j^0$  is usually chosen uniform and non-negative. This iteration procedure is stopped when the reconstructed image is the best trade-off between spatial

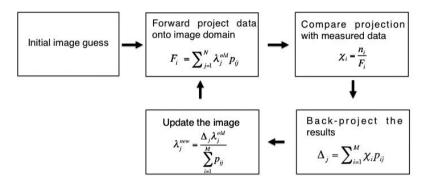


Fig. 17. – Representation of the four steps in a single ML-EM iteration.

resolution and noise, *i.e.*, the stopping point is determined empirically. In summary, the ML-EM algorithm can be seen as the iteration of four circular steps (fig. 17) in the image and the data domains:

- 1. Forward-project image values into data domain.
- 2. Compare projection with measured data.
- 3. Back-project the ratio between measured data and projected data for all LORs.
- 4. Update image weighted by  $p_i$ .

The ML-EM algorithm is intrinsically LOR based. Despite this, sinogram based implementations are typically used in clinical practice to reduce the computational cost of the algorithm. Even with the advent of modern computers the iterative approach to image reconstruction still remains a computationally intensive job. The introduction of increasingly more sophisticated algorithms and the use of realistic system matrix models have been slowed down by the increasing complexity of PET systems. In fact, it has been observed over the last two decades that the number of lines of response in PET scanners has grown at a rate that outpaces Moores Law, which describes the increase of the packing density of transistors onto a microchip. Processing algorithms must carefully be optimized in order to be able to run very fast. The speeding up of iterative algorithms is an active line of research, especially after the introduction of techniques able to exploit the potential of Graphical Processing Units (GPUs) in heavy load computational task such as image reconstruction [55].

An example of a successful speeding up method is the Ordered Subsets Expectation Maximization algorithm or OS-EM [56]. The idea behind OS-EM for reducing the computational load is to divide the task to be performed into more simple groups of operations. OS-EM is nothing else that a ML-EM algorithm where the set of projections is divided into M equipollent subsets. Many different criteria for filling these subset, which are usually disjoint, have been proposed. The most used approach is to subdivide data into equally angularly spaced projections. A certain ML-EM iteration i to be performed on the entire dataset is subdivided into M sub-iterations in which the value of  $\lambda_j^{i,m}$  is computed using only the data in one subset m and then it is updated to  $\lambda_j^{i,m+1}$  using the data in the subset m+1. Once the subsets have been all considered a new iteration is applied on the first subset and so on. The power of this method stays in the fact that a complete

iteration  $k \to k+1$  of OS-EM is equivalent to M effective iterations of ML-EM, while the time required for each sub-iteration is approximately 1/M of the ML-EM iteration because of the reduced number of terms on the summation. This makes the convergence in OS-EM M times faster than ML-EM. On the other hand it must be considered that with increasing the number of subsets the robustness of the method, and so the quality of the final image, is degraded due to the fact that the image may be compared with insufficient data.

Although OS-EM provides a significant speed upgrade for iterative reconstruction, the incorporation of a realistic image model still remains challenging. In principle, the physics of the detection process should be included in the term  $p_{ij}$  together with geometrical effects. However, this usually makes the System Matrix P really big and, apart from storage problems, it further increases the number of the summation terms in the ML-EM iteration with the consequence of slowing down the reconstruction process. An alternative approach is the so-called PSF-based reconstruction. The method assumes the knowledge of the Point Spread Function (PSF) of the specific PET system. The derivation of the PSF can be obtained through analytical derivations [57], Monte Carlo simulations [58, 59] or experimental measurements [60]. The inclusion of the PSF in ML-EM has been shown to improve the spatial resolution and to generate less noisy images. There are several ways to include such term in the ML-EM. For example, a common approach is to implement PSF as a convolution either with the image or with the singgram during the reconstruction process. In principle both approaches are acceptable since some of the resolution loss effects are characteristics of the sinogram space (such as resolution blurring to the detector) while others are more conceptually related to the image space such as the positron range.

# 7. - Clinical scanners: state of the art

7.1. Hybrid imaging. – Hybrid imaging can be defined as the use of information derived from images obtained with different techniques to provide the answer of a single clinical question. The power of hybrid imaging increases when the two techniques do not only provide complementary information but they also help each other in improving the quality of the images with respect to a stand alone technique. When two techniques are used in combination there could be significant advantages in merging the two imaging systems in a single scanner. In this case we refer to them as hybrid systems.

One brilliant example of hybrid imaging is the combination of PET and X-ray Computed Tomography (CT) [13].

Computerized Tomography or CT is a medical imaging technique able to measure the distribution of linear attenuation coefficients  $(\mu(x,y,z))$  in sections of an object or body. The clinical information that can be derived from  $\mu(x,y,z)$  is essentially an anatomical map of different tissues or cavities within the body. In some cases a contrast agent (in the form of a highly attenuating material, such as iodine or barium) is injected or inserted into the digestive apparatus to enhance contrast.

The information derived from a CT scan is then highly complementary to the PET information since the CT provides an anatomical reference to the activity density map  $\rho(x,y,z)$ . In addition, tissues are well characterized in possible anatomical abnormalities as a consequence of diseases while PET is able to provide functional or molecular information only. For example, in oncology, CT can provide the size and location of a tumour while PET provides the metabolic characterization of the lesion. Information from CT images can be further used to improve PET image quality for aiding its quantification

process. For example, the knowledge of the real size of lesions (especially when small) provides useful information for correcting the measured activity in a certain volume with the most appropriate recovery coefficient, thus correcting for the partial volume effect.

This perfect marriage gave soon birth to the most successful hybrid system: the PET/CT. The main advantage consists in obtaining morphological and functional information almost simultaneously and without moving the patient. Images obtained in this way can be considered as intrinsecally fused with minimal distortions or motion blurring. A further advantage of the hybrid PET/CT is the possibility to obtain attenuation coefficients from CT to be used, after some corrections, for the attenuation correction of PET data (see sect. 7'4), thus further improving the quantification capability of PET. The combination of PET and CT has brought to such a great innovation, especially in oncology, that PET/CT can be considered an imaging system itself. Since then, the scientific and clinical success has become a commercial success, too. As of today, no stand alone PET systems are available on the market anymore.

7.2. PET/CT instrumentation. – A PET/CT hybrid system comprises a PET scanner and a CT scanner axially juxtaposed and sharing the same geometrical axis. From the engineering point of view the major difficulty stays in the precise mechanical integration needed. The two scans, even if separated, must perform in a well-known relative reference frame so as to be able to fuse the two images. Possible misalignments may be due to non-optimal translational motion of the patient bed along the axis or, e.g., because of the flexion of the bed itself.

The PET component of a typical PET/CT is not different from a stand alone PET. Bigger differences can be observed in the CT component. In principle, the quality of CT images to complement the PET information can be lower than that of diagnostic CT systems where a higher spatial resolution is required. However, to fully exploit the potential of PET/CT a high quality multi slice CT component is mounted in high-end commercial PET/CT.

7.3. CT technology in brief. – A comprehensive description of a modern CT system is beyond the scope of the present paper. However, some of the technological features of CT systems are described for a better comprehension of the potential of PET/CT. The reader is referred to ref. [61,62] for a full description of a CT system.

Computerized Tomography is based on the measurement of the trasmission property of a x-ray beam. Similarly to the tomographic acquisition already described for PET, a CT system is able to collect line integrals of  $\mu(x, y, z)$  at different angles and then to reconstruct the image through algorithms that are typically analytical.

To perform this task, a CT system comprises an x-ray source and a x-ray detection system located at the opposite sides of the object/patient. This entire system is able to rotate around the object so as to collect enough information for image reconstruction. The line integral is measured along a direction defined by the position of the x-ray source and the opposite detector. For this reason, the detector is finely pixellated and extends along the necessary arc so as to cover the whole diameter of the field of view. The rotation is performed continuously as the acquisition is performed at about one round per second or less. X-ray sources for PET are high power x-ray tube with an output energy spectrum ranging between 80 and 140 kVp. Hence, it must be noted that the measured  $\mu(x,y,z)$  distribution is obtained with a continuous spectrum of photon energies.

X-ray detectors for CT are based on ceramic scintillators coupled to solid-state photodetectors. The detector is subdivided in a sort of matrix. The size of each element of

the matrix affects the spatial resolution that can be obtained in the reconstructed image. On the other hand, when elements become too small statistical limitations in the number of counts may affect noise properties of the image, especially when a low dose is a clinical constraint. Modern CT systems feature detectors with 600–900 columns and 1–64 rows (the latter placed along the axial axis). Each element is about  $0.6\,\mathrm{mm}\times1\,\mathrm{mm}$ . The number of rows is correlated to the number of sections (or slices) of the patient that can be obtained in a single detector rotation (without any axial motion). Scanners with a single slice of detectors are today obsolete. Scanners with more that one slice (Multi-slice CT) are classified according to the number of slices. The greater is the number of slices the larger is the section of the patient that can be scanned with a single rotation and the shorter is the scan time required. A lower dose could be delivered to the patient with the use of a multi-slice CT. In order to further reduce the dose to the patient at the expenses of the spatial resolution, CT detector pixels can be grouped together to mimick larger pixels. As of today, all CTs feature the possibility to perform helical scanning (spiral CT), i.e., the patient is translated during detector rotation [61]. Tomographic data obtained during the scan are then interpolated to create missing projections. The advantages of this approach are essentially a reduction in scan time for whole-body acquisition and a reduced dose delivered to the patient with respect to sequential scans, where images from standard circular acquisitions (taken at different axial positions of the patient) are stitched together to create the whole image volume.

7.4. CT-based attenuation correction for PET. – The limitation of attenuation correction methods based on rotating sources transmission scans has been described in sect. 6.5. These types of transmission scans produce noisy attenuation maps. Such noise is easily transferred to PET images when AC is performed, thus significantly increasing image roughness and degrading uniformity. Such limitation can be overcome with the use of the attenuation information obtained from a CT scan [63]. Thanks to the high flux of x-ray used in CT scanning, low noise attenuation maps can be derived in a fast and precise way. On the other hand, the values of the attenuation coefficients are far from being accurate. In fact, the attenuation coefficients  $\mu_{CT}(x,y,z)$  obtained at the CT energies are far from the values of those at 511 keV  $\mu_{511\,\mathrm{keV}}(x,y,z)$  that should be used for PET attenuation correction. The CT based attenuation correction must then pass through an energy conversion step (called energy scaling) where  $\mu_{511\,\mathrm{keV}}(x,y,z)$  are derived from  $\mu_{\mathrm{CT}}(x,y,z)$ . Firstly, to make the  $\mu_{\mathrm{CT}}(x,y,z)$  nearly independent (at least for mixtures of air and water) from the used CT energy, the Hounsfield Units (HU) are used:

(34) 
$$HU = \frac{\mu_{\text{tissue}} - \mu_{\text{water}}}{\mu_{\text{water}}} \times 1000.$$

In such a way the attenuation coefficient of a given tissue ( $\mu_{\rm tissue}$ ) is always referred to the value measured for water ( $\mu_{\rm water} = 0\,{\rm HU}$ ), while, for example,  $\mu_{\rm air} = -1000\,{\rm HU}$ .

Energy scaling cannot be performed with a simple multiplicative factor. In fact, for the energies in use, the relative probability for the various interactions to occur (Compton or photoelectric) varies significantly between CT energies and 511 keV for the various tissues in the human body. In particular strong variations can be observed between bones and soft tissues. For that reason, in its simplest form, energy scaling is obtained with a linear scaling with a coefficient from  $-1000\,\mathrm{HU}$  up to a given HU, and with a different coefficient (typically lower) above that value of HU. In the lower range of HU soft tissues are included while bone lays in the upper range of HU. Conversion coefficients and threshold values

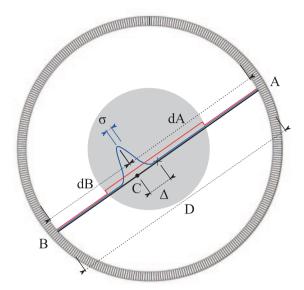


Fig. 18. – The Time-of-Flight PET concept. The displacement of the annihilation point along the LOR ( $\Delta S$ ) is obtained by measuring the difference in arrival time  $\Delta T$  (see text). Blue and red lines show how data are distributed along the LOR during the retroprojection step. Non-TOF data (red) are uniformly distributed along the LOR while TOF-data are distributed around the emission point thus increasing SNR in the reconstructed image.

are usually suggested by the scanner manufacturer. Some more complex scaling are also used sometimes but are all based on some form of linear conversion. The linear scaling approximation fails in the presence of high-Z materials that cannot be approximated as a mixture of air, water and bone. This may occur in the presence of metal implants or when CT contrast agents are used.

7.5. Time-of-flight PET. – Some noise is also introduced by the reconstruction algorithm due to the ill-posedness of the line integral model [52]. As described in sect. 6.6, the image reconstruction in PET is based on the Line of Response (LOR) determined by the two elements that detect the two  $\gamma$ -rays in coincidence. The positron annihilation point is assigned an equal probability along the chord that is the intersection of the LOR with the human body. In principle from the difference of arrival time of the two photons onto the opposing elements it would be possible to determine the exact position of the annihilation point as described in fig. 18. The time of arrival of the two photons emitted from point C to detector A and B are given by  $T_A = \mathrm{d}A/c$  and  $T_B = \mathrm{d}B/c$ , where D is the total distance between the two detectors,  $\mathrm{d}A$  and  $\mathrm{d}B$  the distance from the annihilation point to the corresponding detector for photon A and B, respectively, and C is the speed of light.  $\mathrm{d}A$  and  $\mathrm{d}B$  are related by the constraint  $\mathrm{d}A + \mathrm{d}B = D$ . The difference in arrival time is then given by

(35) 
$$\Delta T = T_A - T_B = \frac{\mathrm{d}A - \mathrm{d}B}{c} = \frac{2 \cdot \Delta S}{c},$$

where  $\Delta S$  is the displacement of the annihilation point from the center. Inverting eq. (35),  $\Delta S$  can be then estimated as

(36) 
$$\Delta S = \frac{c \times \Delta T}{2} \,.$$

Hence, if one measures  $\Delta T$  with an infinite precision one obtains the exact difference  $\Delta S$  and the values  $\mathrm{d}A$  and  $\mathrm{d}B$ , thus exactly locating the positron annihilation in 3D. However, because of the finite precision on  $\Delta T$ ,  $\sigma_T$ , the associate uncertainty on  $\Delta S$  is

(37) 
$$\sigma_S = \frac{c \cdot \sigma_T}{2} \,.$$

This technique is called *Time of Flight* PET (TOFPET), because it makes use of the difference in the time of arrivals of the photons onto the opposing detectors. This technique is based on a very high time resolution. As discussed in sect.  $5^{\circ}1$  the time resolution depends upon many factors, mainly the scintillator decay time and the photodetector time jitter. In standard clinical PET a time resolution of about  $1-2\,\mathrm{ns}$  (FWHM) is obtained, which provides a spatial resolution along the chord of  $15-30\,\mathrm{cm}$ , *i.e.*, does not give a useful information for the third coordinate along the LOR. In TOFPET scanners that have a time resolution of about  $500\,\mathrm{ps}$  it would be possible to measure the position of the annihilation point with a precision of  $\sim 7.5\,\mathrm{cm}$  (FWHM).

Hence TOF measurements do not improve directly the spatial resolution of a PET reconstruction, which is of the order of 4 mm in clinical PET, but contribute to increase the SNR of the image (fig. 18).

The concept of TOFPET was introduced in early '80s [64]. The first prototypes were based on CsF and BaF<sub>2</sub> scintillator that have a very fast decay time. Although the obtained time resolution on the bench was about 200 ps, it was about a factor 3 worse on the full system [65,66]. Additionally, the light photon yield produced by these scintillators (with a privileged emission in the UV for BaF<sub>2</sub>) was rather low, so was their density and Z, thus impairing efficiency and increasing statistical noise. More recently, faster and lower noise photodetectors have allowed to reach a FWHM of about 316 ps on clinical TOFPET (Philips) [67]. In this latter case, the related indetermination on the third coordinate will then be of  $\sim 4.5 \, \mathrm{cm}$ . Although this does not allow a sufficient spatial resolution to immediately obtain a 3D reconstruction it has been proved that this information improves the signal-to-noise ratio as

$$\mathrm{SNR}_{\mathrm{TOF}} = \sqrt{\frac{D}{c\Delta T}} \cdot \mathrm{SNR}_{\mathrm{no-TOF}}.$$

A lot of research is going on in this field, also for PET dedicated devices where the TOF technique could be even more beneficial, e.g., combined PET-Ultrasound (see sect. 9.5.1) and PET in Hadrontherapy (see sect. 9.5.4). A full review of the TOFPET technique can be found in ref. [68].

**7**<sup>.</sup>6. *Image reconstruction in TOFPET*. – Also for the case of TOFPET image reconstruction is applied on data stored in sinograms. In the case of TOF data, the sinogram-based approach consists in dividing the maximum allowed time difference between the

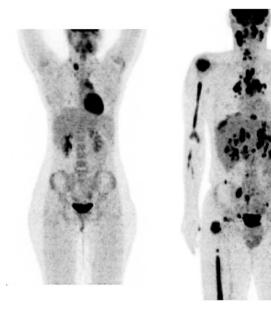


Fig. 19. – <sup>18</sup>F-FDG PET/CT for the staging of Hodgkin Lymphoma patients. 2D sagittal images. Left: tumor at stage II. Right: tumor at stage IV (courtesy of Paola Erba, University of Pisa, 2014).

arrival of the photons into  $n_{TOF}$  intervals and thus generating an equal number of sinograms, each corresponding to a specific time interval. All TOF sinograms are then used in the reconstruction process by introducing a proper weight to take the TOF information into account.

7.7. Clinical value of PET. – The starting point towards the clinical use of PET was in 1978, when <sup>18</sup>F-FDG was synthesized by Wolf and Fowlers group at Brookhaven [69]. The importance of <sup>18</sup>F-FDG derives from the fact that its uptake into a living body can be immediately interpreted as the glucose metabolic rate by using the Sokoloff model, originally validated with <sup>14</sup>C-DG [70]. This compartment model only requires the measurement of the time course of radioactivity in arterial blood to obtain the Standard Uptake Value (SUV) of FDG in the target, thus providing a quantitative measurement of the local cellular activity. Anomalous SUV values can be an indication of a malfunctioning of an organ or of a presence of a tumor. The capability of <sup>18</sup>F-FDG was immediately proved on human volunteers by the UCLA group in 1979 [71]. It was soon clear that this procedure could allow imaging and quantification of metabolic disturbances in cardiology, oncology, and in neurological diseases or disorders. Since then important studies were done on myocardial viability both using  $^{13}\mathrm{N}$ -ammonia and  $^{18}\mathrm{F}$ -FDG tracer at UCLA [72], but the "killer application" was the use of PET in oncology. The UCLA group presented the first whole-body oncology images in 1992 [73] and Food and Drug Administration (FDA) in 1997 approved <sup>18</sup>F-FDG as a radiotracer. Finally PET reimbursement was approved in 1998 in USA for lung cancer and cardiovascular diseases. It is now estimated that approximately 90% of PET scans worldwide make use of <sup>18</sup>F-FDG. Almost all PET exams are now made with a PET/CT (see sect. 7.3), so that the CT provides the anatomy of the patient and allows applying the attenuation correction to the PET images.

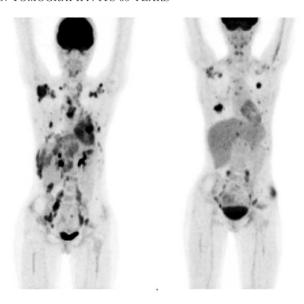


Fig. 20. - <sup>18</sup>F-FDG PET/CT for the evaluation of the response to chemotherapy in a patient with Hodgkin Lymphoma, see text (courtesy of Paola Erba, University of Pisa, 2014).

We will just provide here two examples of typical oncology applications of <sup>18</sup>F-FDG that show the <sup>18</sup>F-FDG PET capability of tumor staging and of evaluating the results of a treatment, as an indication for the prognosis of Hodgkin lymphoma.

In fig. 19 the staging of two patients with Hodgkin lymphoma imaged with <sup>18</sup>F-FDG PET are presented. The left and right patients have been diagnosed with a tumor stage II and IV, respectively. In fig. 20 a case of Hodgkin lymphoma response to chemotherapy is shown: images of the patient before (left) and after treatment (right) show an example where there has been no response to chemotherapy. It is important to underline that having the possibility of evaluating the effect of a therapy by PET imaging without waiting for clinical changes gives a great advantage in terms of modifying/tailoring an effective therapy for treating the tumor. Many other radiotracers in oncology are <sup>18</sup>F-based, e.g., <sup>18</sup>F-FLT for measuring tumor cell proliferation and <sup>18</sup>F-MISO for measuring tumor oxygenation. Both these information together with the <sup>18</sup>F-FDG metabolism are extremely useful in modern radiotherapy for optimizing treatment planning.

<sup>18</sup>F-FDG PET is also helpful in making differential diagnosis, for instance between inflammation and tumor, notwithstanding the fact that the tracer is a-specific and accumulates in all the cells that are avid of glucose, and in confirming an Alzheimer clinical diagnosis. Recently, a specific <sup>11</sup>C radiotracer for amyloid imaging has been developed at the University of Pittsburgh, called *Pittsburgh compound B* [74]. This new radiotracer allows visualizing the amyloid plaques in Alzheimer patients. Several radiotracers both <sup>18</sup>F and <sup>11</sup>C based have been developed for neurology and psychiatry, *i.e.*, neuroreceptors, dopamine D2/D3 receptors and serotonin transporters. One of the most notable applications is the F-Dopa tracer and L-Dopa drug for Parkinson disease. Additional specific radiotracers are labeled with other radioisotopes, *e.g.*, <sup>13</sup>N, <sup>68</sup>Ga and <sup>64</sup>Cu. All provide ample opportunities for diagnosis, prognosis and follow-up of neurological, cardiological and oncological diseases.

Positron Emission Tomography is now used in most of the sub-specialties of medicine and is considered an indispensable instrument in prevention, diagnosis, treatment planning and treatment response, and complementary to all-omics investigations. Since this review paper is mostly addressing the basic science of PET and its technological development, the interested reader is referred to [75,76] for a thorough review of the clinical applications of PET.

### 8. - Pre-clinical scanners: state of the art

8.1. Introduction. – From the '90s, a fast and intensive development of high-resolution detectors has opened the possibility to build PET scanners with unprecedented spatial resolution. This made it possible the construction of PET systems dedicated to preclinical studies on small animal. Initially, most of the scanners were built as research prototypes using a wide range of technologies, from a sort of miniaturized block-detectors to high-density avalanche gas chambers and using rotating or non-rotating detectors. Soon, the strong scientific interest on small animal systems encouraged the development of commercial product. The first two on the market were the MicroPET, designed and developed at UCLA [77], Los Angeles, and produced and commercialized by Concorde Microsystems Inc. (USA) and HIDAC PET, produced by Oxford Positron Systems Ltd. (UK) [30].

In the following years, with the consolidation of detector technologies and the increasing interest in molecular imaging (see sect. 2) major players entered the pre-clinical PET instrumentation market. Among the others, SIEMENS, GE Healthcare, Mediso Medical Imaging Systems launched their own products usually as a result of the technological transfer from Universities. As of today, many other companies, such as, for example, Inviscan, Sedecal, TriFoil Imaging or PerkinElmer, are manufacturing and distributing small animal PET systems.

8.2. Beyond the block detector: high-resolution PET detectors. – The relatively small size of the animal under study in pre-clinical imaging makes it difficult the use of imaging instruments developed for human subjects. The spatial resolution of the available clinical PET scanners, as of today not better that 3–4 mm FWHM, is not satisfactory for the quantitative and qualitative imaging on rats and mice. Molecular small animal imaging requires instruments with a finer spatial resolution. In order to obtain the same detail visualization as for human scanners it would be necessary to have instruments with a sub-millimetric spatial resolution. However, it is usually acceptable to work with a spatial resolution better than 2 mm FWHM for rats, whilst for mice it is recommendable to use instruments with a resolution close to 1 mm FWHM.

After the first attempt to develop high resolution PET detectors using avalanche gas chambers (see sect. 4) it was clear that such kind of technology, even if offering unsurpassed intrinsic spatial resolution, was affected by two major disadvantages: a poor sensitivity and a high complexity. For example, the HIDAC-PET was offering a spatial resolution of  $1.2\,\mathrm{mm}$  FWHM by using an unconventional detector technology, based on multistacks of hybrid high-Z converter-proportional gas chamber planes, but it offered a very limited sensitivity of 0.89%.

For this reason, the solution based on finely pixellated scintillating crystals coupled to photomultiplier tubes was the most widely used, mainly for its robustness and simplicity. When using matrices of scintillating crystals as interacting media for PET detectors, the precision of localization is related to the size d of the detector element (more precisely the

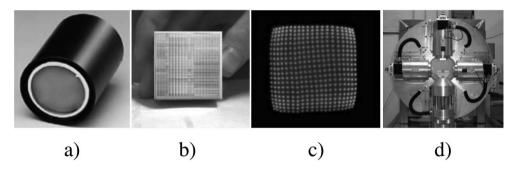


Fig. 21. – Example of the application of a Hamamatsu R2486 PSPMT. a) Picture of the R2486. b) A YAP:Ce matrix of  $20 \times 20$  crystals with a 2.0 mm pitch. c) Flood field image of the YAP:Ce pixel (irradiated with 511 keV  $\gamma$ -rays) as obtained with a R2486 PSPMT with a resistive chain readout. d) The combination of the R2486 and the YAP:Ce matrix was used as the building block for the detection system of the YAP-(S)PET pre-clinical scanner featuring four rotating heads [80].

detector pitch). In this case, the pixel size contribution in the spatial resolution formula (see sect. 5.5) is d/2, while the coding factor is  $b \ge 0$ . Due to the available technology and a pixel pitch down to 1.1 mm, present small animal systems have a typical spatial resolution of about 1.3–1.6 mm FWHM at the center of the field-of-view [78].

8.2.1. Position Sensitive Photomultipliers. Because of its moderate sampling the standard four PMTs configuration of block detector is not adequate for reading out such finely pixellated matrices and position sensitive PMTs have to be used, instead. The first large-area position-sensitive PMT (Hamamatsu R2486, 3 inches diameter) was developed in 1985 [79] and represented a strong technological advance for gamma-ray imaging. The first generation was based on proximity mesh dynodes by means of which the charge was multiplied around the original position of the light photon striking the photocathode. In this kind of tubes, the charge shower has a wide but controlled intrinsic spread and is collected by a crossed wire anode structure.

Such a family of position sensitive tubes was characterized by a large detection area (up to 5 inches diameter) but the round geometry and the large peripheral dead area (1 cm or more) prevented these tubes to be tightly assembled in arrays. The Hamamatsu R2486 was used for the construction of a small animal PET scanner (YAP-PET) in 1998 [80] (fig. 21). In its latest evolution [81] the YAP-(S)PET was made up of four rotating detector heads each composed by a R2486 tube and a matrix of  $27 \times 27$  elements of YAP:Ce crystal (1.5 mm×1.5 mm×20 mm size). Due to the planar detector configuration and the use of the YAP:Ce scintillator, the YAP-(S)PET has also SPECT capability by simply adding a parallel hole lead collimator in front of each crystal, and can even perform simultaneous PET/SPECT [82]. In years 2003-2006 the YAP-(S)PET was commercially available from ISE s.r.l., Pisa, Italy.

The second PSPMT generation was based on metal channel dynode for charge multiplication by which the intrinsic spatial resolution is reduced to 0.5 mm FWHM. A further technological improvement consists of a metal housing that allows very compact size (about 1 inch). In 1997 the first version of these tubes (model R7600-C8) was employed for the construction of the MicroPET at UCLA [77]. In the R7600-C8 the position sensitive detection is performed with a special anode made of 4(X) + 4(Y) crossed plates.

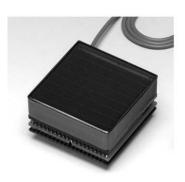




Fig. 22. – Left: picture of the Hamamatsu H8500 MA-PMT. Right: picture of the detector systems of the PET component of the IRIS PET/CT. The picture shows the arrangement of the 16 detectors in two octagonal rings.

The shape is now square, with an active area of  $22 \, \text{mm} \times 22 \, \text{mm}$ . The overall dimensions are  $26 \, \text{mm} \times 26 \, \text{mm}$  (area)  $\times 20 \, \text{mm}$  thick.

In the MicroPET's implementation the R7600-C8 tubes were used to read out small LSO matrices with 2 mm pitch crystal elements, arranged in a ring geometry. However, the dead area around this tube still prevents a ring configuration with negligible gap size between adjacent scintillating matrices. In order to overcome the packing limitations a bundle of square fibers  $(1 \text{ mm}^2)$  section was used as a light guide between the scintillators and the PSPMTs.

The evolution of this photodetector was then used in the second and third generations of the MicroPET family (MicroPET Focus and Inveon by Siemens). For example, the MicroPET Focus 120 was using the Hamamatsu R5900-C12 (with 6(X)+6(Y) crossed plates) to read out a  $12\times12$  array of LSO crystal elements coupled to the tube via a bundle of  $8\times8$  optical fibers. Each LSO crystal measured  $1.51\,\mathrm{mm}\times1.51\,\mathrm{mm}\times10.00\,\mathrm{mm}$ . The Siemens Inveon was, in turn, using a further evolution of this PS-PMT now called R8900-C12.

Hamamatsu H8500 Flat Panel PMT [83] is the latest generation (usually indicated as the third) of position-sensitive PMTs (fig. 22, left). Its main feature is the extreme compactness with minimum peripheral dead zone, resulting in an active area of 89% of the whole surface and a reduced height (12 mm), still maintaining the performance as close as possible to the second generation tubes. The H8500 tube consists of a 12-stage metal channel dynode for charge multiplication and  $8\times 8$  anodes for charge collection and position calculation. For the different anode structure from those of the first (crossed wire) and second (crossed plates) generation this kind of tubes is usually indicated as Multi Anode PMT (MA-PMT). It is designed to be assembled into an array to cover a large detection area. This tube has a very compact package with metal envelope thickness of just 0.25 mm. The external size is  $52\,\mathrm{mm}\times52\,\mathrm{mm}\times28\,\mathrm{mm}$  and the active area is  $49\,\mathrm{mm}\times49\,\mathrm{mm}$ . Each individual anode is  $5.8\,\mathrm{mm}\times5.8\,\mathrm{mm}$  in size with a 0.28 mm

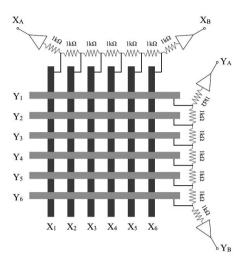


Fig. 23. – Example of the resistive readout of 6(X) + 6(Y) crossed plate anodes.

inter-anode spacing, corresponding to an anode pitch of 6.08 mm. This kind of tube allows the design of densely packed detection systems with minimal detector-to-detector gaps. For example, Hamamatsu H8500 mod. C MA-PMT are utilized in the construction of the IRIS PET/CT pre-clinical system distributed by Inviscan s.a.s. (France). In this case The PET component of the scanner consists of 16 modular detectors arranged in two octagonal rings (fig. 22, right). Each detector module is in coincidence with the 6 opposing modules (three in the same ring and three in the other ring). The corresponding field-of-view has 95 mm axial coverage and a diameter of 80 mm. The H8500 is used to read out a LYSO:Ce matrix of 702 crystals of  $1.6\,\mathrm{mm} \times 1.6\,\mathrm{mm} \times 12\,\mathrm{mm}$  with a pitch of about  $1.7\,\mathrm{mm}$ .

Finer sampling PMTs are also available from Hamamatsu. For example, the H9500 model has  $16\times16$  independent anodes. Thanks to these characteristics the Hamamatsu H8500/H9500 line is the preferred choice for the design of PMT-based small animal PET detectors, featuring either multi-ring or planar geometries.

To fully exploit the performance of MA-PMTs each anode should be independently acquired (multi-anode readout) like the four outputs of a block detector. However, in order to simplify the complexity of the readout system, resistive chains [84, 85] can be used to reduce the number of output channels.

For example, with the C12 family, the 6(X) + 6(Y) anodes can be read out via a simple resistive chain. Each anode can be connected to the adjacent one with a  $1 \text{ k}\Omega$  resistor, as shown in fig. 23. The two X position signals  $(X_A \text{ and } X_B)$  are then given by the expressions:

(38) 
$$X_A = \sum_{n=1}^6 X_n \frac{7-n}{7} \,,$$

(39) 
$$X_B = \sum_{n=1}^{6} X_n \frac{n}{7} \,,$$

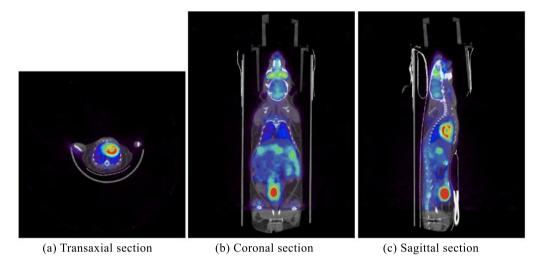


Fig. 24. – Co-registered PET/CT image of a mouse obtained with a pre-clinical system. The mouse was injected with 4 MBq of <sup>18</sup>F-FDG, intraperitoneal injection. Images obtained with the IRIS PET/CT system (courtesy of P. Salvadori, P. Iozzo, IFC-CNR Pisa, Italy).

where  $X_n$  is the current in the *n*th wire along the X direction. Similar expressions determine the  $Y_A$  and  $Y_B$  signals. In this way, the position information of the scintillation point can be obtained by means of a center of mass calculation, similar to that used with crossed wire PS-PMT. Thus, the four signals give the X position measurements by using the following formula:

(40) 
$$X = \frac{X_A - X_B}{X_A + X_B} \,.$$

A similar formula is used for the Y coordinate.

The position encoding readout of the H8500 tube, is slightly more complicated. For example, a circuit based on the Symmetric Charge Division (SCD) scheme can be used. The SCD circuit is based on the orthogonal positioning algorithm used in multi-wire position-sensitive photomultiplier tubes. Each anode is connected to any other belonging to the same row and column is such a way that the anode structure is similar to a 8+8 crossed wire structure. Each row and column is then connected to a pre-amplifier. The eight outputs of both row (X) and column (Y) are then connected with a simple resistive chain to obtain two X and two Y positioning signals using eq. (40).

An example of the imaging capabilities of the IRIS PET/CT pre-clinical system is shown in fig. 24. A mouse is injected with <sup>18</sup>F-FDG and the three typical sections are presented from left to right: transaxial, coronal and sagittal, respectively. The color coding goes from red (high uptake) down to blue (low FDG uptake). The left ventricle of the heart is clearly visible. The high uptake region at the bottom of the coronal and sagittal sections is the bladder.

8.2.2. Depth encoding detectors. In order to maximize the efficiency of the PET system, the PET heads should be positioned close to the object and the thickness of the photon absorber should be at least one attenuation length at 511 keV.

In this case the PET system is exposed to a severe parallax error, which is due to the lack of the depth of interaction information (see sect. 5.5). A number of techniques for designing detectors with the capability to estimate the depth of interaction (DOI) have been proposed. These solutions are based either on the direct measurement of the DOI within the crystal (in this case the DOI information is continuous) [86, 87] or by segmenting the crystal into two layers, so that the photodetection system is able to discriminate in which layer the event occurs (discrete DOI information) [88, 89].

Although the high potential advantages in using DOI capability only few present commercial small animal PET systems are actually implementing it. Two systems are using 2-layers phoswich crystal matrices of LYSO/LGSO scintillators, namely the Argus system (distributed by Sedecal) and the LabPET system (included in various systems distributed by TriFoil Imaging). Another small animal PET system with DOI capability is the Albira system, distributed by Bruker Corporation. The Albira is using monolithic crystals instead of pixellated matrices. Using this solution, the precision of localization is related to the positioning performance of the photodetector. The pixel size contribution is d=0 while the coding factor is b>0. The DOI is estimated considering the width of the light spot illuminating the PMT: the smaller it is the deeper the interaction occurred and viceversa.

In summary, the latest generation of multi anode PMTs has contributed to the spatial resolution of small animal PET systems to reach values very close to the ultimate limit of PET (close to 1 mm FWHM). However, while the PMT technology still represents the state of the art for high resolution PET, solid-state photodetectors are now the preferred solution for PET mainly thanks to the consolidation of the Silicon Photomultiplier technology (see sect. 9.2.2). Solid state photodetectors are not a novelty in this field. Various attempts to use Avalanche Photodiodes (APD) (see sect. 9.2.1) have been explored in the past. The major advances in this case were the fine granularity of these devices that allowed the one-to-one coupling configuration to fine scintillating pixels. For example the LabPET, originally developed at the University of Sherbrooke (Canada), was using an array of APDs with 2 mm pitch coupled to equally spaced crystal pixels. Conversely to the monolithic crystal case, in the one-to-one coupling the coding factor is nearly zero while the pixel contribution is still d/2. Today, a major interest in the solid-state photodetector solution (see sect. 9.2) stays in the intrinsic compatibility of such devices with magnetic fields, thus opening the possibility to develop integrated PET/Magnetic Resonance (MR) devices (see sect. 9'4). Latest systems, incorporating the high granularity detectors and in some cases DOI capability, offer a volumetric spatial resolution of about 1 mm<sup>3</sup> that is very close to the theoretical limit.

# 9. – Actual trends and future development

9.1. New photodetectors. – Scintillator crystals coupled with photomultipliers tube (PMT) have been the basic choice for most PET detectors since the late '80s of last century [90]. Given the long history of developments of PMTs and scintillators, the technology is nowadays mature in all the aspects comprehending also the electronics and fabrication techniques. PMT is the most common photodetector in use in PET thanks to the combination of advantageous features as high gain (typically of the order of  $\approx 10^6$ ), low noise, fast response and rather low cost. Nevertheless, new developments of the PET technique impose requirements on the photodetectors that cannot be completely satisfied by the vacuum tube technology. Although an individual coupling of the scintillators to the photodetectors would be desirable, it becomes more and more difficult to achieve

it with PMTs as the size of the crystal is reduced down to 1 mm to improve spatial resolution. Position-sensitive photomultiplier tubes (PS-PMT) with resistive anode for charge collection or multi-channel photomultiplier tubes with multi-anode readout (MA-PMT) can be used to multiplex several small crystal pixels into a reduced number of readout channels. Although the position of the interacting photons on the PS-PMT or MA-PMTs can be obtained with high precision, light cross-talk between adjacent crystals and PMT glass envelope, as well as photon statistics, can affect the crystal identification accuracy, thus degrading the spatial resolution. Other effects like multiple Compton events can be difficult to be identified with light- or charge-sharing detection systems with a consequent loss of contract resolution. Another limitation of the multiplexed systems is the pulse pile-up and dead-time that limit the performance for PET applications where a high count rate is expected (see for instance on-line PET monitoring in hadrontherapy, sect. 9 5.4).

The actual trend in medical imaging is the multimodality that is a combination of different techniques to provide fused images with improved diagnostic information. The latest frontier of multimodality is the hardware integration of PET and MR scanners in a unique device. With the PMT technology such integration would be extremely complicated due to the large sensitivity of the vacuum tubes to magnetic fields. Very weak magnetic fields have an effect on the PMT signals due to the deflection of the electron trajectories between the photocathode and dynodes. Significant variations of gain and of energy resolution have been observed for PMTs as the magnetic field exceeds  $\approx 10\,\mathrm{mT}$  ( [91]) and the decoding of the crystals is totally compromised for magnetic field strengths typical of the MR clinical devices. Most of the aforementioned issues have been successfully addressed by solid-state photodetectors as an alternative to PMTs both in commercial PET scanners and in research applications. Next subsections describe the working principles of the photodetectors most used in PET and present a review of pre-clinical and clinical devices based on the solid-state technology.

9.2. Solid-state photodetectors. – Solid-state photodetectors are first produced in the early forties with the invention of the p-n junction in silicon and the study of its optical properties [92]. They received a major boost in the sixties when the p-i-n (PIN) photodiode was developed and successfully used in several applications. The development of devices with internal gain, avalanche photodiodes (APD) first and then Geiger-mode avalanche photodiodes, named single photon avalanche diode (SPAD), lead to a substantial improvement in sensitivity and allowed single photon detection. Later on, thousands of SPADs have been assembled in arrays of few millimeters squared (named silicon photomultiplier, SiPM) with single photon resolution. The high internal gain of SiPMs, together with other features peculiar of the silicon technology like compactness, speed and compatibility with magnetic fields, promoted SiPMs as the principal photodetector competitor of photomultipliers in PET [93]. They can have a signal risetime shorter than one nanosecond being suitable for applications requiring good time resolution as TOF-PET. Moreover, silicon detectors can be fabricated in miniaturized sizes (of the order of few mm<sup>2</sup>), ideal for applications requiring high spatial resolution (pre-clinical PET) or compactness (intra-operative probes). Finally, APDs (and now SiPMs) enabled the construction of hybrid PET/MR systems. Silicon photodetectors are in fact insensitive to magnetic fields and do not require shielding. Moreover, the compactness of these devices has allowed the full integration of the two imaging techniques.

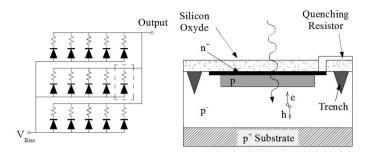


Fig. 25. – Electrical scheme of a SiPM. The SPADs are connected on one side to the bias voltage end  $(V_{\text{bias}})$  and on the other side, through the individual quenching resistors, to the output end (left). Basic structure of a single micro-cell (right).

9.2.1. Avalanche photodiodes. An avalanche photodiode (APD) is a p-n device with internal gain due the high electric field at the junction that gives to a photoelectron enough energy to create an e/h pair by impact ionization. The original photoelectron and the additional one can generate further e/h pairs providing a charge multiplication. Thus, in the APDs the signal generated by the incident light is internally amplified, typically by a factor of few hundreds. Even though the signal of an APD is amplified by the multiplication process, it is not high enough to be used without an external amplification. The noise level of the device is dependent on the bias voltage and on the temperature. APDs are usually connected to a cooling system to stabilize the signal, thus improving the signal-to-noise ratio. APDs have been also designed to collect the charge at different anodes, typically placed at the four corners of a square device, to obtain information on the position of the interaction of the detected photon. Using this kind of device, called position sensitive APD (PS-APD), a matrix of scintillating crystals has been decoded [94,95].

9.2.2. Silicon photomultipliers. If the bias voltage of an APD is greater than the junction breakdown voltage, the charge multiplication is a diverging self-sustaining process (Geiger regime). A quenching resistor, connected in series with the junction, is used to interrupt the avalanche: when the current in the junction is high enough to generate a voltage drop across the resistor close to the applied overvoltage (i.e., the difference between the bias voltage and the breakdown voltage), the current flowing becomes low enough that statistically the avalanche can be quenched and the junction is recharged. The device based on this working mechanism is called Single Photon Avalanche Diodes (SPADs) [96, 97]. A SiPM (also known as Geiger-mode avalanche photodiode, G-APD or Multi Pixel Photon Counter, MPPC) is a device obtained by connecting in parallel several miniaturized SPADs (few tens of  $\mu m^2$ ) belonging to the same silicon substrate so that the output signal of the SiPM is the sum of the SPADs outputs [98]. The small SPADs in the SiPM are named microcells. An electrical scheme of the SiPM (left) and the structure of a single micro-cell (right) are shown in fig. 25. The SiPMs represent an effective alternative to the current detectors used in PET scanners, since they have a very fast rise time due to the Geiger mechanism [99], are insensitive to magnetic fields [100] and show high gain at few tens of bias voltage. Furthermore, arrays of SiPMs composed of single sensors as small as 1 mm<sup>2</sup> can be produced, either by assembling several devices in a matrix or by fabricating replicas of the same sensor on a common silicon substrate [101]. Both approaches have allowed the development of high spatial resolution detectors. A single photon time resolution close to 80 ps FWHM [102] has been obtained

by irradiating two SiPMs in coincidence with a laser source, while a standard deviation of about 20 ps has been achieved by increasing the number of micro-cells triggered simultaneously. This demonstrates that such photosensors can be applied in high timing resolution PET detectors. The noise in SiPM devices is mainly due to the dark count rate: an e/h pair can be thermally generated, triggering an avalanche in a micro-cell without an optical photon impinging on it. The dark noise rate depends on the working temperature and on the overvoltage, and it is directly proportional to the active area of the device. Newest SiPM technologies guarantee a dark count rate of about  $100\,\mathrm{kHz/mm^2}$  at room temperature [103].

Digital versions of SiPMs have also been produced [104, 105]. Digital SiPMs consist of an arrays of SPADs each one equipped with a locally integrated active quenching circuit. Moreover additional circuits for digital processing, acquisition and read-out of the optical signal are integrated in the sensor. For instance the implementation of enabling a logic to mask noisy SPADs allows reducing the Dark Count Rate of the whole device. Furthermore the digital signal processing circuit integrated in a dSiPM can be optimized for a specific application. For instance in TOFPET a high speed trigger network and an integrated TDC can be implemented to extract the time information in digital form. A comprehensive review of these devices is given in [106].

9.3. Pre-clinical and clinical PET and PET/CT systems based on solid-state photode-tectors. – The capability of PS-APD to give continuous information about the position of interaction of the optical photons and the high granularity of APDs and SiPMs make these devices particularly attractive for applications requiring high spatial resolution. In pre-clinical imaging, due to the different dimensions of the anatomical structures of small animals with respect to humans, a spatial resolution below the millimeter is needed to obtain in mice the same functional information that is provided by clinical scanners based on pixellated crystals of 4 mm pitch. To achieve such a high spatial resolution, the capabilities of both pixellated (with pitch of 2 mm or smaller) and continuous crystals have been investigated, showing the advantages and limitations of both solutions.

Arrays of SiPMs with a pitch of 1.5 mm or less [101] have been produced and tested to decode arrays of scintillators with the same pitch. As mentioned earlier, monolithic arrays of SiPMs have been produced with minimal dead area among photosensors. An array of thin crystals (as small as 0.7 mm in size) has been decoded using an array of SiPMs with a  $3 \times 3 \text{ mm}^2$  active area and using light sharing between pixels [107]. However, a degradation of the energy resolution has been observed when using thin crystals coupled to SiPMs, due to the limited light output efficiency of crystals with high aspect ratio and to the saturation effect in SiPMs with a small number of micro-cells facing each scintillator. Results show an energy resolution between 15% and 17% for LYSO crystals of  $1.5 \,\mathrm{mm} \times 1.5 \,\mathrm{mm}$  section coupled to a SiPM array [108]. PS-APDs have been used to decode arrays of thin crystals with a pitch of 1 mm [94,95], reading out the scintillator from both sides to maximize the light collection and to identify the depth of interaction position of the photon in the crystal. A spatial resolution close to the millimetre at the centre of the field of view has been reached in pre-clinical PET, using arrays of thin LYSO scintillating crystals [109]. Furthermore, position-sensitive SiPMs have been developed. These devices collect the signal of the SPADs at four anodes and the SPADs connections are such that an array of scintillators can be decoded with a single device using the centre of gravity algorithm [110, 111].

The adoption of monolithic scintillating crystals coupled to photodetectors for high spatial resolution in pre-clinical systems [112, 113] had already been proposed using

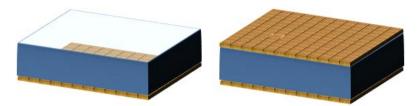


Fig. 26. – Scheme of a monolithic crystal read-out by photodetectors on one side (left, reflecting coating on top not shown) and on both sides (right).

PMTs, but the availability of the compact solid-state photodetectors with small pitch allows increasing the spatial resolution thanks to a finer sampling of the light distribution. Monolithic crystals can provide the depth of interaction of the photon since the light response depends also on this parameter. PET detectors composed of a slab of LYSO scintillator coupled to an array of SiPMs or APDs on one side [114,115] or on two sides [116] have been developed. In these configurations, the scintillating photons are shared between several detectors. Therefore the light collection needs to be maximized using a white coating on the top surface of the crystal (read-out on one side) and adopting arrays of photodetectors with a high fill-factor. The lateral sides of the scintillator, instead, are usually painted black to minimize edge effects. Furthermore, new bright crystals like LaBr<sub>3</sub>:Ce [117] have been investigated [118]. Two detector architectures with depth of interaction capability implemented using SiPMs are represented in fig. 26. The scheme of a monolithic crystal read-out by photodetectors on one side (left) and on both sides (right) is shown. The partial sampling of the light distribution close to the edges of monolithic crystals usually does not allow to reconstruct the interaction position of the 511 keV photon using an Anger-logic scheme. Several methods have been studied to reach a high three-dimensional spatial resolution over the entire volume of the crystal, using statistical-based methods [119,120], non-linear least square methods based on empirical models of light distribution [121, 122] and artificial neural networks [123]. Even though a spatial resolution close to the millimetre has been reached in monolithic detectors, their application to commercial systems is still limited by the complexity of the calibration process. The development of a fast and handy calibration method could represent a breakthrough in the use of monolithic crystals in pre-clinical systems.

In the last five years there has also been an outstanding progress in the application of digital SiPM to PET both in pre-clinical and in clinical scanners. In 2012 Degenhardt et al. first published the performance of a small PET scanner prototype based on dSIPM arrays [124]. This ring consists of 10 modules and has a trans-axial and axial field of view of 20 cm and 6.5 cm, respectively. Each module contains 4 detectors, each detector consisting of an  $8 \times 8$  array of  $4 \times 4 \times 22$  mm LYSO:Ce crystals coupled one-to-one to the pixels of a dSiPM array. The system coincidence time resolution (CRT) was found to be 266 ps, the energy resolution 10.7% FWHM and the spatial resolution 2.4 mm (reconstructed with an OSEM algorithm).

Recently the first commercial PET/CT clinical scanner based on the digital SiPM technology has been released by Philips. Performance evaluation and first clinical trials of the whole-body TOFPET/CT system have been reported in [125-127]. The system comprises LYSO crystals  $4\times4\times19\,\mathrm{mm}$  coupled 1:1 to dSiPM sensors. The trans-axial FOV is 676 cm and the axial FOV is 164 mm. The spatial resolution reported is 4 mm FWHM (both axial and transverse at 1 cm from the FOV center). The energy resolution is

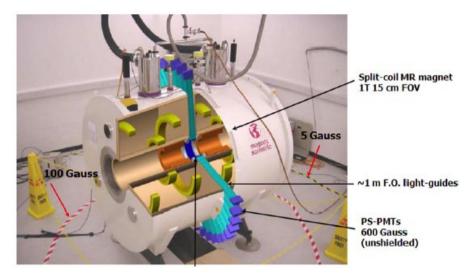


Fig. 27. – Schematic of PET/MR system with split-magnet. The cut-away shows scintillating crystal ring (dark blue), fiber bundles (light blue), and screened PMTs (dark blue outside magnet cryostat) and split gradient coil (grey) [131].

11% FWHM and the CRT is  $345\,\mathrm{ps}$  FWHM, which represents a significant improvement with respect to commercial TOFPET/CT based on PMT technology, where CTR is comprised between 500 and  $700\,\mathrm{ps}$ . All these figures have been proved to be almost independent from the count rate.

9'4. PET/MR Hybrid Systems. – Magnetic Resonance (MR) reveals structure and functions through the interaction of a strong magnetic field primarily with the protons present in water and tissues and their chemical environment. This modality has also a good sensitivity  $(10^{-3}-10^{-5} \text{ mol/l})$  and an excellent spatial resolution ( $\approx 1 \text{ mm}$  isotropic for clinical systems). The fusion of this anatomical MRI information with the nanomolar functional information given by PET provides a whole spectrum of information that can be used to understand new aspects of the anatomy and the physiology of a disease [128]. The principal applications of the PET/MR hybrid systems are diagnosis, treatment and follow-up of tumours, mainly of head and neck, and of abdomen and prostate, for which the superior imaging capabilities of MR for soft tissues over computed tomography (CT) are more relevant [129]. Furthermore PET/MR opens new fields of neurology research thanks to the capability to provide co-registered images and to monitor time-dependent metabolic processes. The development of new bi-modal tracers can be particularly useful in the study of neurological diseases and in pre-clinical applications for pharmaceutical research.

Hybrid PET/MR scanners have been initially developed using bundles of optical fibres or light-guides to convey the light from the scintillator PET ring positioned inside the bore of the MR scanner to a region with low magnetic field where photomultiplier tubes read out the light without distortion of the signal due to the magnetic field [130]. Figure 27 shows the schematic of a PET/MR system with a split-magnet and PET ring scintillators connected to PMT through light-guides as described in [131]. The cut-away shows scintillating crystal ring (dark blue), fiber bundles (light blue), and screened PMTs

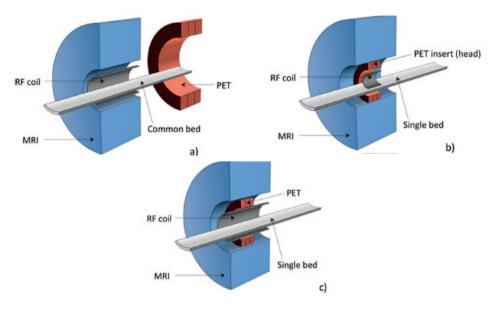


Fig. 28. – Artistic cross-view of various designs of combined PET/ MR systems: (a) tandem: The two scanners are mounted together back-to-back allowing sequential (like PET/CT) rather than simultaneous acquisition, (b) insert: The PET scanner is inserted between the RF-coil and gradient set of the MR system, (c) full integration: the two systems are fully integrated within the same gantry [91].

(dark blue outside magnet cryostat) and split gradient coil (grey). The main limitations of these methods are the signal attenuation in the fibers and the complexity of the optical cabling for a PET system with a large field of view.

Scanners composed of a PET/MR tandem, in which the patient-bed is automatically moved from the MR to the PET scanner have been also developed using shielded PMT detectors [132]. The main disadvantage of this configuration is that the two images need to be acquired separately and then merged together. The availability of the new generation of photodetectors based on semi-conductor materials has allowed the development of PET systems fully integrated inside the MR bore and of PET inserts that can be employed in existing MR facilities. The possibility to employ silicon detectors also with ultra-high magnetic fields without worsening the performances has been shown [133]. Large efforts have been invested in the development of a PET/MR scanner; hybrid systems for simultaneous bimodal acquisitions are now available both for clinical [134] and pre-clinical applications [135]. Figure 28 shows an artistic cross-view of various designs of combined PET/MR systems: (a) tandem: The two scanners are mounted together back-to-back allowing sequential (like PET/CT) rather than simultaneous acquisition, (b) insert: The PET scanner is inserted between the RF-coil and gradient set of the MR system, (c) full integration: the two systems are fully integrated within the same gantry.

The Siemens mMR whole-body integrated simultaneous PET/MR was the first commercial clinical scanner. The PET detector, based on the previous brainPET technology [136], is composed of 8 rings of 56 detector blocks. The block is composed of  $8\times8$  LSO crystals ( $4\times4\times20$  mm), coupled to  $3\times3$  APDs arrays, for a total of 4032 channels. The PET assembly is installed between the gradient and the body coils of a 3T whole-body

MR scanner. The time resolution is 2.93 ns, that prevents the TOF application. Despite the relatively small PET ring diameter (transaxial field of view of 59.4 cm), large axial FOV (25.8 cm) and large time coincidence window, the performance of this systems in terms of NEC is better than most PET/CT systems, demonstrating that the integration and operation of the PET system in the MR scanner does not impact its performance.

The first commercial PET/MR system based on the SiPM technology has been produced by GE [137]. The PET system with TOF capability [138] has a transversal FOV of 60 cm and an axial one of 25 cm. The PET module thickness is less than 5 cm overall. The detector is based on a Lutetium-Based Scintillator, with similar density of LSO and dimensions  $4\times5.3\times25$  mm. The scintillators are coupled to analog SiPMs combined with UV transparent light guides for light sharing to reduce the number of readout channels. The MR scanner, based on the GE 3T discovery 750, maintained its full performance after the integration with the PET detectors. The reported specs of the PET system are 10.5% energy resolution and 390 ps FWHM time CTR for the whole system, in the range of the Philips PET/CT based on dSiPMs. The transverse spatial resolution is 4.2 mm and the sensitivity is 22 kcps/MBq (measured with a PET NEMA phantom with the source at the center). Comparable results have been achieved with and without the RF of the MR turned on.

In 2012 the first simultaneous PET/MR device equipped with digital PET detector modules based on digital SiPMs was presented [139, 140]. The main component of this device is the MRI-compatible sensor stack composed on a sensor and interface board. The sensor stack is connected to a digital read-out electronics to form a Single Detection Module (SDM). Ten of these SDM have been used to build the first simultaneous PET/MR scanner equipped with digital SiPM, called Hyperion IID [141]. It comprises  $3 \times 3 \times 10$  detector stacks for a FOV of 96 mm (transaxial)  $\times 209.6$  mm (axial). Based on this architecture, a pre-clinical system (3 rings of LYSO:Ce arrays of  $30 \times 30$  crystals of  $1 \times 1 \times 12 \,\mathrm{mm}^3$  each) and a clinical scanner configuration (1 ring of LYSO:Ce arrays of  $8 \times 8$  crystals  $4 \times 4 \times 10 \,\mathrm{mm}^3$  each) have been characterised in terms of energy, time and spatial resolution. The pre-clinical prototype has an energy resolution of 12.6% and a 3D spatial resolution of 0.73 mm. The time resolution was 260 ps FWHM obtained for a specific trigger configuration. The pre-clinical insert was tested in a MR system by developing dedicated MR sequences [142]. The authors reported a degradation of the PET performances of 10% in energy resolution and of 14% in time resolution. They also observed MR SNR degradation and B0 field distortion. Recently Schug et al. [143] assembled and tested a configuration with the clinical-like detectors and an updated version of the interface board. The paper reports an energy resolution of 11% and a CRT of 215 ps FWHM and the performance of the PET system was not degraded even under extreme MR conditions.

## 9.5. New horizons in PET systems

9.5.1. Organ specific PET systems. Recent years saw the development of PET systems dedicated to the imaging of specific organs [144]: breast imaging, neuroimaging, prostate and also imaging of extremities. The need to develop new dedicated systems stemmed from the limitations of the whole-body PET systems in terms of spatial resolution and sensitivity. The limited spatial resolution is primarily dominated by the a-collinearity effect for a detector separation of 80–100 cm (see sect. 5.5). Furthermore the detection efficiency is rather low in whole-body systems. For these reasons, PET systems are not able to detect lesions of low uptake smaller than 10 mm [144]. Organ-specific PET

systems, like pre-clinical ones, could have higher spatial resolution and sensitivity so as to be able to detect smaller details in the images.

One of the first examples of organ-dedicated system was the HRRT system designed for the imaging of the brain [145]. It consists of eight high resolution panel detectors arranged in an octagon. The detector separation is 46.9 cm and the FOV is 31.2 cm wide and 25.2 cm long. The detectors have DOI resolution capability with a resolution of 7.5 mm (with two detector layers of 5 mm each). The spatial resolution is 2.5 mm across the whole FOV. The first simultaneous human brain PET/MR system was presented in 2007 as a PET insert in the bore of a Siemens 3T MR scanner [136]. The Siemens brainPET insert, produced in a limited number of samples, was based on the same LSO/APD technology pioneered by the Tubingen group for the small animal PET insert and later on successfully applied to the whole-body siemens PET/MR scanner. The brain PET insert is composed of 32 modules each one comprising LSO arrays ( $12 \times 12$ pixels of  $2.5 \times 2.5 \times 20 \,\mathrm{mm}^3$  crystals) coupled to a  $3 \times 3$  array of  $5 \times 5 \,\mathrm{mm}^2$  APDs. The detectors and Front-End electronics are air-cooled and contained in 32 copper shielded cassettes and the insert is placed inside the MR body coil, that is disabled when the PET system is in place. A dedicated head coil is placed in the FOV of the PET system. The relatively low time resolution (4.9 ns) is nevertheless enough for brain imaging. The small diameter (37.6 cm) and a relatively long axial extent (19.1 cm) result in a very high sensitivity of 7% that combined with a spatial resolution as low as 3 mm FWHM provides excellent image quality. Furthermore, the ability to perform functional studies in MR (fMRI) and proton spectroscopy was demonstrated. A brainPET insert was recently installed in an ultra high field 9.4 T human brain MRI system at Julich [146, 147]. Such high field does not only provide augmented spatial resolution in structural images but also allows non-proton MR imaging and spectroscopy with a spatial resolution comparable to or better than PET.

Breast imaging with positron-emitting tracers is usually called Positron Emission Mammography. Several systems have been proposed but in general they can be grouped in two categories: partial and fully tomographic systems [148]. A partial tomographic system is generally composed of two flat detectors used for imaging the breast in a geometry similar to X-ray mammography [149]. The breast is mildly compressed between the two detectors and imaged to match the mammography. As a result of the limited angular sampling the spatial resolution is not isotropic but overall the spatial resolution is superior to whole-body PET systems and a significantly better sensitivity for subcentimeter lesions has been observed. The first commercial system approved by the US Food and Drug Aministration (FDA) was the Flex Solo II from NAVISCAN [150]. The system in plane resolution is about 2.4 mm. In the group of the fully tomographic systems, a commercially available system is the Mammography with Molecular Imaging (MAMMI, Oncovision, Valencia, Spain) [151]. This system is based on monolithic LYSO crystals coupled to position-sensitive PMTs and arranged in a full ring of detectors. The spatial resolution is of 1.6 mm. A meta-analysis conducted on 873 breast lesions showed a sensitivity of 85% and a specificity of 79% on a lesion basis, using <sup>18</sup>F-FDG with PEM in women with suspected breast malignancies.

Dedicated systems have been also developed for prostate imaging. This organ is a challenge to imaging with conventional whole-body PET systems due lo low tracer uptake in the organ and high uptake in the bladder. Prototype systems with higher sensitivity that clinical ones have been developed. Some of them are conceptually similar to breast devices in the sense they have a smaller detector separation to increase efficiency [152]. An alternative approach is the one followed in the EndoTOFPET-US project [153]: one



Fig. 29. – The ENDOTOFPET-US prostate probe based on SiPMs (top-left of the figure) and the external panel (bottom-right of the figure) (courtesy of M. Rolo, 2015).

of the two PET detectors is a scintillator array coupled to a SiPM array integrated in an endoscopic probe: this allows minimizing the distance between the region of interest and the detector, thus increasing the sensitivity. The miniaturized detector installed in the endoscopic probe is composed of an array of  $0.7 \times 0.7 \times 10 \,\mathrm{mm^3}$  LYSO crystals optically coupled to a digital SiPM array, while the external detector plate is composed of  $3 \times 3 \times 15 \,\mathrm{mm^3}$  scintillators read-out by analog SiPMs (fig. 29). The asymmetric configuration of the detectors, with the probe very close to the region of interest, requires a high time resolution to employ TOF in the reconstruction algorithm so as to remove the background of the surrounding tissue.

9.5.2. Whole-body PET. Despite significant advances in PET technology, both in the detectors field and in the reconstruction software, the length of the detector cylinder, or axial length, has remained the same since more than ten years. With an axial length of 15–18 cm only a small fraction of events are acquired (geometric acceptance of 0.2) [154]. Therefore to perform a whole-body study a series of static scans (from six to eight for a 80 cm whole-body examination) must be sequentially acquired and combined. This procedure presents major drawbacks. First, the limited extent of the sensitive volume imposes the need for multiple acquisitions, thus prolonging the overall duration of the examination and introducing artefacts in the reconstructed total image. Furthermore, the axial length limits the maximum LOR angle (with respect to the radial direction). As a matter of fact, PET sensitivity increases as the square of the LOR angle, so the system low global efficiency is essentially due to the limited acceptance angle (between 2 and 7% for a point source placed at the center of the FOV). PET systems with extended axial length (higher than 90 cm) would be the ideal solution for addressing present limitations. However the extended geometry poses other problems both from the physics and from the cost perspectives. Increasing the axial FOV means an increase of both true events and spurious events (random and scattered events) and also of the parallax effect.

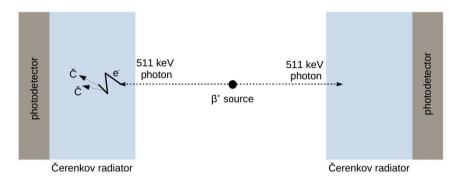


Fig. 30. – General working principle of the Cherenkov PET.

Detectors with DOI capability and fast read-out electronics are key elements for this kind of systems. The major problem is however the cost that in PET is largely determined (about 50%) by the volume of crystal scintillators used. The first prototypes for "total-body" scanners are from Siemens Medical Solutions [155] and from Hamamatsu [156]. The first system (axial length 53 cm) is based on large LSO flat panels arranged to form the sides of an hexagon in constant rotation. This prototype has been tested with two patients. The images showed low resolution and high noise. The second system (axial length of 68.5 cm) consists of 12 layers of block detectors rings stacked axially with metal septa to reduce the scatter fraction. This system too was tested with patients. The authors showed images with high resolution but artefacts due to the movement of the septa are clearly visible. A similar approach is the one followed by the Explorer PET scanner [157], based on modular block detectors of LYSO crystals and PMTs or SiPMs with DOI capability. The system will be composed of 40 rings, 48 detectors/ring, for an axial FOV of 215 cm, the longest ever conceived for a PET system.

9.5.3. Cherenkov PET. Cherenkov TOFPET has been recently suggested as an evolution of the conventional TOFPET to improve the time information. The general working principle is shown in fig. 30. The 511 keV photons produced in the annihilation of the positron emitted by the  $\beta^+$  source reach the Cherenkov radiators, interact in their volume and the secondary photoelectrons and Compton electrons that have a velocity greater than the speed of light (c/n) in the radiator emit Cherenkov radiation (CR). If the radiators are transparent, the CR can be detected by the photodetectors coupled with them. The arrival time of the Cherenkov photons in a determined coincidence time window can be used to limit the range of possible positions on the LOR for the annihilation event. Contrary to the delayed scintillation light, the CR is emitted promptly, therefore the time resolution in Cherenkov TOFPET is limited only by the spread in the optical photon path in the radiator (due to the different distance between the production point and the photodetector) and by the photodetector time performances.

The first proposal of Cherenkov TOFPET suggested to use silica aerogel with refractive index n of 1.2 as a Cherenkov radiator [158]. In fact, this material is transparent to the visible CR and the value of the refractive index allows detecting only photopeak events by automatically discarding the Compton ones. For a photoelectron (arising from the photoelectric interaction of a 511 keV photon in the radiator) an index of refraction of 1.156 is sufficient to produce CR, while a Compton electron (with energy  $E \leq 341 \, \mathrm{keV}$ ) needs at least an n of 1.25 for the Cherenkov effect to take place. This first study

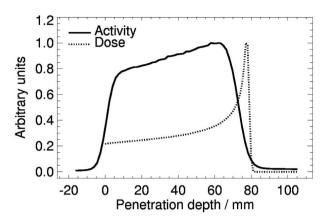


Fig. 31. – The comparison of the depth distributions of dose (dashed line, calculation) and  $\beta^+$  activity (solid line, measurement) induced by a proton beam of 110 MeV in lucite [168].

suggested also to use fast multi-channel plate photomultiplier tubes (MCP PMTs) as photodetectors coupled with the silica aerogel to further improve the TOFPET time resolution. More recent studies suggest the use of scintillators with high refractive index, high visible light transmission properties, high density and high effective atomic number coupled with MCP PMTs. In [159], a system with cylindrical symmetry was used, obtaining a FWHM time resolution of 1.2 ns in BaF<sub>2</sub> and of 170 ps in lead glass. In [160], PbF<sub>2</sub> and PWO crystals were used in the back-to-back layout of fig. 30. Crystals of 5 and 15 mm thickness were tested, and different surface treatments (Teflon wrapping and painted black surfaces) were evaluated. The best time resolution ( $\sigma$  of 68 ps) was obtained with the 5 mm thick PbF<sub>2</sub> crystal with painted black surfaces. In [161], the time resolution of PbF<sub>2</sub> was strongly improved ( $\sigma$  of 30 ps) for the 5 mm thick crystal with painted black surfaces and the performances of PbWO<sub>4</sub> were simulated and validated against those of PbF<sub>2</sub>, indicating worse time performances than PbF<sub>2</sub> (less transmission, optical photons with lower speed due to the higher refractive index and scintillation background). Simulations in [162] studied the Cherenkov TOFPET timing performances of LSO:Ce scintillators of thickness ranging from 1 mm to 30 mm, giving a FWHM comprised between 12 ps and 125 ps. The study also showed how the information on the light output and arrival time distribution can be used to improve time resolution (from a  $\sigma$ of 39 ps to 30 ps), and how the DOI estimation could improve it further. In [163], the simulation study was extended to other inorganic scintillators (LuAg:Ce, BGO, PWO and lead glass). It was concluded that the CR could be detected in combination with the scintillation radiation to reduce artifacts in the reconstructed PET images.

9.5.4. PET monitoring in particle therapy. Particle therapy is a technique employed in cancer treatment that makes use of heavy charged particles like protons or light ions [164,165]. In the last decades, the diffusion of the particle therapy has greatly increased, leading to a rapid growth of dedicated facilities. Currently there are 55 operating structures and 35 more are under construction. With respect to standard radiotherapy employing photons beams, hadrontherapy shows a superior physical selectivity, which allows delivering most of the dose specifically to the tumour volume while sparing as much as possible the surrounding healthy tissues. For this reason this kind of therapy requires a precise monitoring of the location of the delivered dose, both to ensure an effective

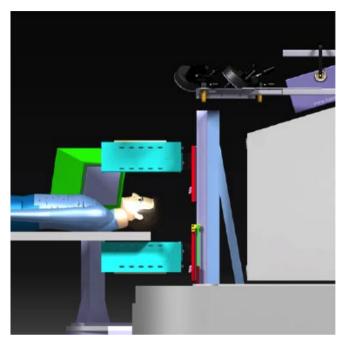


Fig. 32. – Pictorial view of the INSIDE system: the two PET detectors (in cyan). The mechanical structure has been designed to accommodate the existing system in the treatment room (in grey) of the National Centre for Oncological Hadron Therapy (CNAO, in Pavia, Italy).

irradiation of the tumour and to safeguard patients health, avoiding the development of severe side-effect due to the overdosing of radiosensitive organs [166]. Over the years, a number of particles range verification methods have been proposed: the most consolidated and mature for the clinical application is the one based on PET [167]. When passing through tissues, impinging particles undergo nuclear interactions with atomic nuclei, which result in the creation of  $\beta^+$  emitting isotopes along the beam path (mainly  $^{11}\mathrm{C}, \, ^{15}\mathrm{O}$  and  $^{13}\mathrm{N}).$  The detection by a PET scanner of the back-to-back photons, coming from the annihilation of the  $\beta^+$  with an atomic electron, allows obtaining the spatial distribution of the activity induced in the irradiated tissues. The activation profile is characterised by a constant or slowly rising trend with depth, followed by a sudden drop to zero few millimeters in front of the Bragg peak. The distal fall-off of the activity profile arises from the behaviour of the radioisotopes production cross-section that drops to zero when the proton energy goes below about 20 MeV. Figure 31 [168] shows the depth distributions of dose (dashed line, calculation) and the  $\beta$ + activity (solid line, measurement) induced by a proton beam of 110 MeV in lucite. The particles range is usually determined by comparison of the experimental activity profile with the one calculated with a Monte Carlo simulation.

The first clinical implementation of PET for radiotherapy monitoring started in 1997 at the GSI carbon ion-therapy facility, in Darmstadt, Germany. The PET detector was composed of two modules of a clinical PET scanner integrated into the irradiation site (in-beam monitoring), which could perform the activity measurements. Similar solutions have been later realised at the Heavy Ion Medical Accelerator in Chiba and at the

Kashiwa Center, both in Japan. In the last few years, a PET prototype, called DoPET (Dosimetry with a Positron Emission Tomograph), for the in-beam monitoring of proton therapy treatments has been developed at the University and INFN branch of Pisa. During several validation tests, it has been demonstrated that this scanner can reach a millimetric spatial resolution in the determination of the proton range [169,170]. Other systems, developed to be operated in-room after the treatment or based on commercial PET scanners installed in a nearby room, have also been implemented at Hyogo Ion Beam Medical Center in Japan, at the Massachusetts General Hospital in Boston, at the Proton Therapy Institute, University of Florida in Jacksonville and at the Heidelberg Ion-Beam Therapy Center (HIT), in Germany.

The aforementioned systems, although different in the geometry and readout electronics, are all based on the PMT technology. On the other hand, the compactness and the design flexibility of solid-state photodetectors are particularly suitable for a highly demanding application as in-beam PET. In this particular configuration the scanner needs to stay as close as possible to the patient, without hampering the patient-bed motion and the particle beam path. An example of SiPM-based in-beam PET scanner is the one being developed in the framework of the INSIDE project [171,172] and composed of two planar detectors, 10 cm wide (transaxially) ×25 cm long (axially, along the beam direction), each one to be placed at 25 cm from the isocenter. The PET heads are made of of 2 × 5 detection units, each one comprehending an array of Lutetium Fine Silicate (LFS) pixel crystals  $(3 \times 3 \times 20 \,\mathrm{mm}^3 \,\mathrm{each})$  coupled one to one to SiPMs. A custom designed electronics system is being developed to cope with the prompt particle rates typical of a therapeutic beam ( $\approx 10\,\mathrm{MHz/cm^2}$ ). The INSIDE system is depicted in fig. 32: the two PET detectors are enclosed in the two boxes above and below the patient (in figure colored in cyan). The mechanical structure has been designed to accommodate the system in the treatment room (in grey) of the National Centre for Oncological Hadron Therapy (CNAO, in Pavia, Italy).

### 10. – Conclusions

This review means to be a comprehensive compendium of the history, the basic physics principles, the current technologies, the most recent advancements and the major applications of PET in clinical and pre-clinical PET imaging. It includes also a description of the most recent hybrid types of tomograph, e.g., PET/MR, and of novel PET applications, such as quality assurance in hadrontherapy. Positron Emission Tomography was a newborn technique 65 years ago when the first proof-of-principle was made in 1951 at MGH (see sect. 1). In the next 30 years, PET had an impressive technological development in order to provide the performance of spatial resolution and sensitivity that were required for the new fields such as cardiology and neurology. Since early '90 PET has become the medical imaging technique of choice in oncology applications for diagnosis, staging and prognosis of cancer lesions.

The development of radiation detectors in the field of nuclear and particle physics has had a terrific impact on medical imaging and in particular on PET, which is one of the best example of successful technology transfer from fundamental physics research to applied Medical Physics. The massive use in Nuclear Physics and High Energy Physics of position-sensitive gas detectors, of high-Z and high-density scintillators coupled to Photomultiplier (PMT) and Position-Sensitive Photomultipliers (PSPMT) and of solid-state detectors has triggered during the last 30 years a series of novel applications, moving towards high-resolution/high-sensitivity pre-clinical devices and large field of view 3D

PET tomographs. The accelerated scientific progression in genetics and molecular biology has posed additional challenges not only in the technology of radiation detectors, but more and more in the ASIC electronics, fast digital readout and parallel software. The target is now to make clinical TOFPET systems with an increased coincidence time resolution (less than 100 ps FWHM), so as to improve the spatial resolution, the signalto-noise ratio and then the quantitation accuracy of a PET measurement. It is now clear that the next step in healthcare, the so-called personalized medicine (see sect. 2) requires a combination of various techniques. In this respect PET is and will continue to be fundamental due to its high sensitivity at picomolar level and its exquisite richness of information that can provide. The hybrid device PET/CT and the most recent one, PET/MR, are clear examples of the increased value of PET. New multi-modal tracers are being under study, which are labeled both with a positron emitter and a paramagnetic radioisotope, e.g., <sup>68</sup>Ga and <sup>67</sup>Ga, respectively. PET has now become a necessary clinical investigation to be done before any treatment planning system (TPS) in radiotherapy and could become a very useful instrument for Quality Assurance in Hadrontherapy. New developments are also in the field of dedicated organ PET and Long Axial whole-body PET. In the latter case an increased solid angle coverage and hence a reduction both in dose delivered to the patient and in the time of the exam is the target.

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