# CNN's

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# **Brief Business Understanding**

#### About STL-10

Inspired by the CIFAR-10 dataset, STL-10 is a dataset containing a combination of images (gathered from ImageNet) of animals and transportation objects.

Within the dataset there are 6 animal & 4 transportation object classes:

- Animals : bird, cat, deer, dog, horse, monkey
- Transportation Objects : airplane, car, ship, truck

The dataset contains 3 folders that will be used at specified times:

- Train: 5000 images used to train the algorithm
- Test: 8000 images used to test an algorithm (800 images per class)
- Unlabeled: 100,000 unlabeled image files

Aside from having not having identical classes, another difference between the datasets, is that the images in STL-10 are 3x's the resolution of CIFAR-10's images (96x96 versus 32x32).

STL-10 is specifically an image recognition dataset. The dataset is intended to be used for developing unsupervised feature learning, deep learning, self-taught algorithms. That being said, the primary prediction task is to determine the type of animal or transportation object found in each of the pictures in the Unlabeled folder. Something that should be noted about the "Unlabeled" folder, aside from it containing the the classes mentioned above, it additionally includes other types of animals (bears, rabbits, etc.) and transportation objects[trains, buses, etc.).

#### <u>Measuring Success</u>

One reason this data is important is if trained correctly & the prediction task is achieved, third parties that use image captcha's for their websites, networks, etc. could use this data as a way to visualize how captcha's can be bypassed by **unsupervised** feature learning, which essentially defeats the purpose of having a captcha test.

In order for this data to be of use to third parties using captcha's, I believe the prediction algorithm will have to render at least an 80% accuracy. The reason it isn't 90% is because if the prediction algorithm selects a wrong image, or doesn't recognize an image, often times captcha test's will let you get away with about 2 or less errors.

- Choose & explain what metric(s) I will use to evaluate my algorithm's performance.
- I should give a detailed argument for why this (these) metric(s) are appropriate on your data.
- That is, why is the metric appropriate for the task (e.g., in terms of the business case for the task).
- (accuracy is RARELY the best evaluation metric to use. Think deeply about an appropriate measure of performance.)

Dataset : STL-10 Kaggle Dataset

Question Of Interest : Identify the type of animal or transportation object shown in the picture

# 1.1 Data Preparation

```
In [1]:
```

```
import glob
import numpy as np
import pandas as pd
import seaborn as sns
import matplotlib.pyplot as plt

from PIL import Image
from tkinter import Tcl
from skimage.io import imshow
from sklearn import metrics as mt
from sklearn import preprocessing

%matplotlib inline

le = preprocessing.LabelEncoder()

# Load list of labels/classes
with open('../data/stllo_binary/class_names.txt', 'r') as f:
    labels = [line.rstrip() for line in f]
```

```
print("======== Classes =======")
for i in range(len(labels)): print(i,":", labels[i])
```

\*\* Note: The dataset is found to be composed of 3 primary folders: Train, Test, & Unlabeled. Because of this, the way we load in our data, will also be the way we 'split' our data into training and testing sets.

```
In [2]:
        """ Reading in the TRAINING dataset (sorted by filename) into a numpy array """
        file_train = list(Tcl().call('lsort', '-dict', glob.glob('../data/STL10/Train/*.png')))
         rgb_train_matrix = np.array([np.array(Image.open(file)) for file in file_train])
         _, h, w, c = rgb_train_matrix.shape
        """ Gather label's indices # (Training | y)"""
        with open('.../data/stl10_binary/train_y.bin', 'rb') as f:
            yTrain_temp = np.fromfile(f, dtype=np.uint8)
            le.fit(yTrain_temp)
            y train = le.transform(yTrain_temp)
        """ Create new numpy array w/ re-colored greyscaled images | TRAINING """
        greyscale_train_matrix = np.array([np.array(Image.open(file).convert("L")) for file in file_train])
        print
        df_train = pd.DataFrame({'' : ['# of Samples','# of Features','Image Resolution','# of Channels','Image
        Size']})
        df_{train['Original Data']} = [\_, h*w*c, '{} x {}'.format(h,w), c, str(h*w*c) + 'px\'s']
        df_{train['Greyscale\ Data']} = [_, h*w, '{} x {}'.format(h,w), 1, str(h*w) + 'px\'s']
        rgb_train_vec = rgb_train_matrix.reshape((_,h*w*c))
        greyscale_train_vec = greyscale_train_matrix.reshape((_,h*w))
        X_train = greyscale_train_vec
        print("Training Data")
        df_train
```

# Training Data

Out[2]:

		Original Data	Greyscale Data
0	# of Samples	5000	5000
1	# of Features	27648	9216
2	Image Resolution	96 x 96	96 x 96
3	# of Channels	3	1
4	Image Size	27648nx's	9216nx's

```
In [3]:
         """ Reading in the TESTING dataset (sorted by filename) into a numpy array """
         file_test = list(Tcl().call('lsort', '-dict', glob.glob('../data/STL10/Test/*.png')))
         rgb_test_matrix = np.array([np.array(Image.open(file)) for file in file_test])
         _, h, w, c = rgb_test_matrix.shape
         """ Gather label's indices # (Testing | y)"""
         with open('../data/stl10_binary/test_y.bin', 'rb') as f:
            yTest_temp = np.fromfile(f, dtype=np.uint8)
            le.fit(yTest_temp)
            y_test = le.transform(yTest_temp)
         """ Create new numpy array w/ re-colored greyscaled images | TESTING """
         greyscale_test_matrix = np.array([np.array(Image.open(file).convert("L")) for file in file_test])
         df_test = pd.DataFrame({'' : ['# of Samples','# of Features','Image Resolution','# of Channels','Image Size']})
         df_{test['Original Data']} = [\_, h*w*c, '{} x {}'.format(h,w), c, str(h*w*c) + 'px\'s']
         df_{test['Greyscale\ Data']} = [\_,\ h*w,\ '\{\}\ x\ \{\}'.format(h,w),\ 1,\ str(h*w)\ +\ 'px\'s']
         rgb_test_vec = rgb_test_matrix.reshape((_,h*w*c))
         greyscale_test_vec = greyscale_test_matrix.reshape((_,h*w))
```

```
X_test = greyscale_test_vec
print("Test Data")
df_test
```

#### Test Data

Out[3]:

		Original Data	Greyscale Data
0	# of Samples	8000	8000
1	# of Features	27648	9216
2	Image Resolution	96 x 96	96 x 96
3	# of Channels	3	1
4	Image Size	27648px's	9216px's

```
In [4]: print("\n[Train] Original Matrix Shape : Before", rgb_train_matrix.shape, "----> After",
    rgb_train_vec.shape)
    print("[Train] Greyscale Matrix Shape : Before", greyscale_train_matrix.shape, " ---->
    After",X_train.shape)

print()

print("\n[Test] Original Matrix Shape : Before", rgb_test_matrix.shape, "----> After", rgb_test_vec.shape)
    print("[Test] Greyscale Matrix Shape : Before", greyscale_test_matrix.shape, " ----> After",
    X_test.shape)

[Train] Original Matrix Shape : Before (5000, 96, 96, 3) ----> After (5000, 27648)
    [Train] Greyscale Matrix Shape : Before (5000, 96, 96, 3) ----> After (8000, 27648)
    [Test] Original Matrix Shape : Before (8000, 96, 96, 3) ----> After (8000, 27648)
    [Test] Original Matrix Shape : Before (8000, 96, 96, 3) ----> After (8000, 27648)
    [Test] Original Matrix Shape : Before (8000, 96, 96, 3) ----> After (8000, 27648)
    [Test] Original Matrix Shape : Before (8000, 96, 96) ----> After (8000, 27648)
```

We begin by reading the dataset's (train & test) into numpy array's, but because they contain colored images, it would be optimal to turn these array's into only containing grayscale values so we are able to compute faster.

Then after doing so, the shape's of the original matrices and grayscale's are outputted to display the initial dimensions. To the right of these shapes, are the concatenated versions of those matrices.

The output of *cell 10* is created to better understand the differences between the 4 matrices, two containing *Original* color pictures, and the other two containing *Greyscaled* colored images. Here is where we notice the large distance between the image sizes for each matrix. Notice how each *Greyscaled* picture is 3 times smaller than the *Original* color pictures.

### 1.2 Visualizing Images



Here we visualize 18 images within the training (greyscale) numpy array. This function will be helpful later on to output certain images given a certain certain array.

# 1.3 Creating Training & Test Data

Although the dataset that is being used (STL-10: Kaggle) has already split the data into training & test set's, let's briefly see how this was done. The [original STL-10 dataset], uses 10 pre-defined folds on 500 training images, & 800 images for the test class, thus rendering out a total of 5,000 training images & 8000 test images.

The code below, heavily based on the code written by Martin Tutek, displays how the 5,000 training images are created.

```
In [6]:
         # Don't run: For demonstration purposes only
         from __future__ import print_function
         from imageio import imsave
         import os, sys, tarfile, errno, urllib
         def read_labels(labels_path):
              0.000
             :param labels_path: path to the binary file containing labels from the STL-10 dataset
              :return: an array containing the labels
              0.000
             with open(labels_path, 'rb') as f:
                 labels1 = np.fromfile(f, dtype=np.uint8)
                 return labels1
         def read_all_images(data_path):
              0.000
             :param data_path: the file containing the binary images from the STL-10 dataset
              :return: an array containing all the images
             with open(data_path, 'rb') as f:
                 # read file in uint8 chunks
                 f = np.fromfile(f, dtype=np.uint8)
                 # Force the data into 3x96x96 chunks, & the -1 is because of the size of the pictures heavily
                 # depends on the input file so numpy is able to determine the size
                 images = np.reshape(f, (-1, 3, 96, 96))
                 # Transpose the images into a standard image format so that they can be display in python
                 # Comment this line out if wanting to use a learning algorithm like CNN, since they like their channels
         separated.
                 images = np.transpose(images, (0, 3, 2, 1))
                 return images
         def save_images(images, labels1):
             i = 0
             for image in images:
                 label = labels1[i]
```

```
directory = '../data/img/' + str(label) + '/'
        os.makedirs(directory, exist ok=True)
        filename = directory + str(i)
        imsave("%s.png" % filename, image, format="png")
        i += 1
# Path to the binary train file: image + labels
DATA_PATH, LABEL_PATH = '../data/stl10_binary/train_X.bin', '../data/stl10_binary/train_y.bin'
# Save new images
# save_images(read_all_images(DATA_PATH), read_labels(LABEL_PATH))
```

zoom\_range=0.,

cval=0.,

channel\_shift\_range=0., fill\_mode='nearest',

horizontal\_flip=True,

```
2. Modeling
             2.1 Training w/ Data Expansion
In [7]:
         # Import Keras libraries
         import tensorflow.keras as keras
         from tensorflow.keras.utils import plot_model
         from tensorflow.keras.models import Sequential, Model
         from tensorflow.keras.layers import average, Reshape, Input, Add
         from tensorflow.keras.layers import Conv2D, MaxPooling2D, concatenate
         from tensorflow.keras.layers import SeparableConv2D, BatchNormalization
         from tensorflow.keras.layers import Dense, Dropout, Activation, Flatten
         from tensorflow.keras.callbacks import EarlyStopping
         from tensorflow.keras.regularizers import l2
         from tensorflow.keras.preprocessing.image import ImageDataGenerator
In [8]:
         # Setup the training to use data expansion in Keras.
         print("===== OLD Shapes =====")
         print('Train :', X_train.shape, '\nTest :', X_test.shape)
         X_{\text{train}}, X_{\text{test}} = X_{\text{train}/255.0} - 0.5, X_{\text{test}/255.0} - 0.5
         NUM_CLASSES = 10
         # Fixing the sizes
         X_train = np.expand_dims(X_train.reshape((-1, h, w)), axis=3)
         X_{\text{test}} = \text{np.expand\_dims}(X_{\text{test.reshape}}((-1, h, w)), axis=3)
         # One hot encoding the output values
         y_train_ohe = keras.utils.to_categorical(y_train, NUM_CLASSES)
         y_test_ohe = keras.utils.to_categorical(y_test, NUM_CLASSES)
         print("\n===== NEW Shapes ======")
         print('Train :', X_train.shape, '\nTest :', X_test.shape)
         ===== OLD Shapes ===
        Train: (5000, 9216)
        Test : (8000, 9216)
         ===== NEW Shapes =====
        Train: (5000, 96, 96, 1)
        Test: (8000, 96, 96, 1)
In [9]:
         datagen = ImageDataGenerator(
             featurewise_center=False,
              samplewise center=False,
              featurewise_std_normalization=False,
             samplewise_std_normalization=False,
             zca_whitening=False,
              rotation_range=5,
                                    # used, Int. Degree range for random rotations.
             width shift range=0.1, # used, Float (fraction of total width). Range for random horizontal shifts.
             height_shift_range=0.1, # used, Float (fraction of total height). Range for random vertical shifts.
                                  # Float. Shear Intensity (Shear angle in counter-clockwise direction as radians)
             shear range=0.,
```

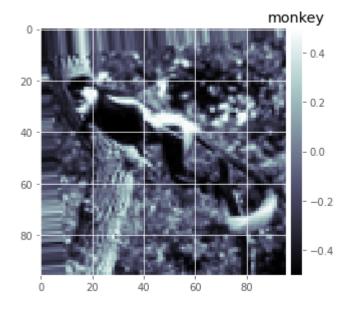
```
vertical_flip=False,
  rescale=None)

datagen.fit(X_train)

idx = 0
```

```
In [10]:
    tmps = datagen.flow(X_train, y_train_ohe, batch_size=1)

for imgs in tmps:
    imshow(imgs[0].squeeze(),cmap='bone')
    plt.title(labels[np.argmax(imgs[1])])
    break
```



#### 2.2 Exploring Convolutional Architectures

```
In [11]:
          shpe = (h, w, 1)
          l2_{ambda} = 0.000001
          input_holder = Input(shape=shpe)
          shpe = (h, w, 1)
          input_holder = Input(shape=shpe)
          # Graph comparing Training & Validation Vs. Accuracy & Training loss
          def getChart(h):
              plt.figure(figsize=(10,4))
              plt.subplot(2,2,1)
              plt.plot(h.history['accuracy'])
              plt.ylabel('Accuracy %')
              plt.title('Training')
              plt.subplot(2,2,2)
              plt.plot(h.history['val_accuracy'])
              plt.title('Validation')
              plt.subplot(2,2,3)
              plt.plot(h.history['loss'])
              plt.ylabel('Training Loss')
               plt.xlabel('epochs')
              plt.subplot(2,2,4)
              plt.plot(h.history['val_loss'])
              plt.xlabel('epochs')
          # Graph comparing Training & Validation Vs. Accuracy & Training loss
          def getCharts(h1, h2, h3, h4):
              plt.figure(figsize=(10,8))
              plt.subplot(2,2,1)
              ax1 = sns.lineplot(data=h1.history['accuracy'], label='LeNet - AdaM', color='blue')
              sns.lineplot(data=h2.history['accuracy'], label='LeNet - RMSProp', color='red')
              sns.lineplot(data=h3.history['accuracy'], label='Xception - AdaM', color='green')
              sns.lineplot(data=h4.history['accuracy'], label='Xception - RMSProp ', color='darkorange')
              plt.ylabel('Accuracy %')
              plt.title('Training')
              plt.subplot(2,2,2)
              ax2 = sns.lineplot(data=h1.history['val_accuracy'], label='LeNet - AdaM', color='blue')
              sns.lineplot(data=h2.history['val_accuracy'], label='LeNet - RMSProp', color='red')
```

```
sns.lineplot(data=h3.history['val accuracy'], label='Xception - AdaM', color='green')
sns.lineplot(data=h4.history['val_accuracy'], label='Xception - RMSProp', color='darkorange')
plt.title('Validation')
plt.subplot(2,2,3)
ax3 = sns.lineplot(data=h1.history['loss'], label='LeNet - AdaM', color='blue')
sns.lineplot(data=h2.history['loss'], label='LeNet - RMSProp', color='red')
sns.lineplot(data=h3.history['loss'], label='Xception - AdaM', color='green')
sns.lineplot(data=h4.history['loss'], label='Xception - RMSProp', color='darkorange')
plt.ylabel('Training Loss')
plt.xlabel('epochs')
plt.subplot(2,2,4)
ax4 = sns.lineplot(data=h1.history['val_loss'], label='LeNet - AdaM', color='blue')
sns.lineplot(data=h2.history['val_loss'], label='LeNet - RMSProp', color='red')
sns.lineplot(data=h3.history['val loss'], label='Xception - AdaM', color='green')
sns.lineplot(data=h4.history['val_loss'], label='Xception - RMSProp', color='darkorange')
plt.xlabel('epochs')
```

#### 2.2.1 Method 1: LeNet Architecture

```
In [12]:
```

```
# Convolution Layer ========
x1 = Conv2D(filters=32, input_shape=shpe,
          kernel_size=(3,3),kernel_initializer='he_uniform',
          kernel_regularizer=12(l2_lambda), padding='same',
          activation='relu', data_format="channels_last")(input_holder)
x1 = MaxPooling2D(pool_size=(2, 2), data_format="channels_last")(x1)
# Convolution Layer =====
x1 = Conv2D(filters=32,
          kernel_size=(3,3), kernel_initializer='he_uniform',
          kernel_regularizer=12(12_lambda), padding='same',
          activation='relu', data_format="channels_last")(x1)
x1_split = MaxPooling2D(pool_size=(2, 2), data_format="channels_last")(x1)
# Convolution Layer ===============
x1 = Conv2D(filters=64,
          kernel_size=(1,1), kernel_initializer='he_uniform',
          kernel_regularizer=12(12_lambda), padding='same',
          activation='relu', data_format="channels_last")(x1_split)
# Convolution Layer ===
x1 = Conv2D(filters=64,
          kernel_size=(3,3),kernel_initializer='he_uniform',
          kernel_regularizer=12(12_lambda), padding='same',
          activation='relu', data_format="channels_last")(x1)
# Convolution Layer ====
x1 = Conv2D(filters=32,
          kernel_size=(1,1), kernel_initializer='he_uniform',
          kernel_regularizer=l2(l2_lambda), padding='same',
          activation='relu', data_format="channels_last")(x1)
# Now add back in the split layer, x_split (residual added in)
x1 = Add()([x1, x1 split])
x1 = Activation("relu")(x1)
x1 = MaxPooling2D(pool_size=(2, 2), data_format="channels_last")(x1)
x1 = Flatten()(x1)
x1 = Dropout(0.25)(x1)
x1 = Dense(256)(x1)
x1 = Activation("relu")(x1)
x1 = Dropout(0.5)(x1)
x1 = Dense(NUM_CLASSES)(x1)
x1 = Activation('softmax')(x1)
```

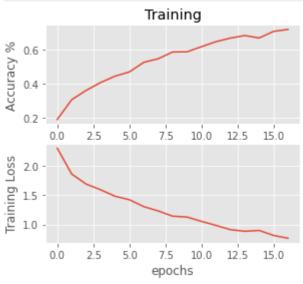
```
resnet_1 = Model(inputs=input_holder,outputs=x1)
#plot_model(resnet_1,show_shapes=True,show_layer_names=True,rankdir='LR',expand_nested=False,dpi=96)
resnet_1.summary()
```

ayer (type)	Output Shape	Param #	Connected to
========================= nput_2 (InputLayer)	[(None, 96, 96, 1)	0	
onv2d (Conv2D)	(None, 96, 96, 32)	320	input_2[0][0]
ax_pooling2d (MaxPooling2D)	(None, 48, 48, 32)	0	conv2d[0][0]
onv2d_1 (Conv2D)	(None, 48, 48, 32)	9248	max_pooling2d[0][0]
ax_pooling2d_1 (MaxPooling2D)	(None, 24, 24, 32)	0	conv2d_1[0][0]
onv2d_2 (Conv2D)	(None, 24, 24, 64)	2112	max_pooling2d_1[0][0]
onv2d_3 (Conv2D)	(None, 24, 24, 64)	36928	conv2d_2[0][0]
onv2d_4 (Conv2D)	(None, 24, 24, 32)	2080	conv2d_3[0][0]
dd (Add)	(None, 24, 24, 32)	0	conv2d_4[0][0] max_pooling2d_1[0][0]
tivation (Activation)	(None, 24, 24, 32)	0	add[0][0]
x_pooling2d_2 (MaxPooling2D)	(None, 12, 12, 32)	0	activation[0][0]
atten (Flatten)	(None, 4608)	0	max_pooling2d_2[0][0]
ropout (Dropout)	(None, 4608)	0	flatten[0][0]
ense (Dense)	(None, 256)	1179904	dropout[0][0]
tivation_1 (Activation)	(None, 256)	0	dense[0][0]
opout_1 (Dropout)	(None, 256)	0	activation_1[0][0]
ense_1 (Dense)	(None, 10)	2570	dropout_1[0][0]
ctivation_2 (Activation)	(None, 10)	0	dense_1[0][0]

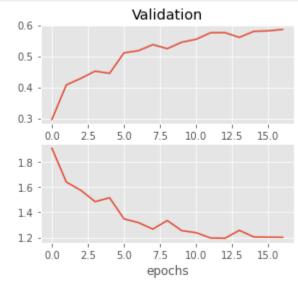
Total params: 1,233,162 Trainable params: 1,233,162 Non-trainable params: 0

```
Epoch 1/50
                     =========] - 23s 406ms/step - loss: 2.5983 - accuracy: 0.1485 - val_loss: 1.9087 -
40/40 [========
val_accuracy: 0.2966
Epoch 2/50
                   ==========] - 7s 176ms/step - loss: 1.9117 - accuracy: 0.2940 - val loss: 1.6405 - v
40/40 [======
al accuracy: 0.4076
Epoch 3/50
40/40 [=
                                ==] - 7s 178ms/step - loss: 1.7057 - accuracy: 0.3479 - val_loss: 1.5752 - v
al_accuracy: 0.4285
Epoch 4/50
40/40 [====
               al_accuracy: 0.4520
Epoch 5/50
40/40 [====
                    :===========] - 7s 175ms/step - loss: 1.5224 - accuracy: 0.4216 - val_loss: 1.5157 - v
al_accuracy: 0.4449
Epoch 6/50
                    =========] - 7s 176ms/step - loss: 1.4729 - accuracy: 0.4437 - val loss: 1.3478 - v
40/40 [=====
al_accuracy: 0.5109
Epoch 7/50
40/40 [====
                    :===========] - 7s 178ms/step - loss: 1.3121 - accuracy: 0.5255 - val_loss: 1.3184 - v
al_accuracy: 0.5178
Epoch 8/50
40/40 [====
                    =========] - 7s 177ms/step - loss: 1.2457 - accuracy: 0.5422 - val_loss: 1.2671 - v
al_accuracy: 0.5372
Epoch 9/50
40/40 [====
                   ==========] - 7s 175ms/step - loss: 1.1787 - accuracy: 0.5774 - val_loss: 1.3349 - v
al_accuracy: 0.5244
Epoch 10/50
40/40 [=====
                    ==========] - 7s 176ms/step - loss: 1.1575 - accuracy: 0.5763 - val_loss: 1.2549 - v
al accuracy: 0.5450
Epoch 11/50
40/40 [========
                     =========] - 7s 178ms/step - loss: 1.0561 - accuracy: 0.6109 - val_loss: 1.2385 - v
al_accuracy: 0.5545
```

```
Epoch 12/50
40/40 [====
                             ======] - 7s 178ms/step - loss: 0.9941 - accuracy: 0.6423 - val_loss: 1.1965 - v
al accuracy: 0.5755
Epoch 13/50
40/40 [=====
                          ========] - 7s 174ms/step - loss: 0.9113 - accuracy: 0.6647 - val_loss: 1.1944 - v
al_accuracy: 0.5757
Epoch 14/50
40/40 [====
                               ====] - 8s 199ms/step - loss: 0.8925 - accuracy: 0.6763 - val_loss: 1.2573 - v
al accuracy: 0.5606
Epoch 15/50
40/40 [====
                                  ==] - 8s 214ms/step - loss: 0.9501 - accuracy: 0.6511 - val_loss: 1.2045 - v
al_accuracy: 0.5800
Epoch 16/50
40/40 [=====
                              al_accuracy: 0.5816
Epoch 17/50
40/40 [==
                                ====] - 8s 194ms/step - loss: 0.7513 - accuracy: 0.7263 - val_loss: 1.2019 - v
al_accuracy: 0.5863
                          recall f1-score
             precision
                                            support
          0
                  0.81
                            0.76
                                      0.79
                                                800
                  0.74
                                                800
          1
                            0.29
                                      0.42
          2
                  0.81
                            0.70
                                      0.75
                                                800
          3
                  0.52
                            0.34
                                      0.41
                                                800
          4
                  0.69
                            0.39
                                      0.50
                                                800
          5
                  0.44
                                      0.18
                                                800
                            0.11
          6
                  0.71
                            0.49
                                      0.58
                                                800
                                                800
          7
                  0.63
                            0.24
                                      0.35
          8
                  0.79
                                      0.74
                                                800
                            0.69
                  0.70
                                                800
                            0.62
                                      0.66
                            0.46
                                      0.56
                                               8000
                  0.71
  micro avg
                  0.68
                            0.46
                                      0.54
                                               8000
  macro avg
                  0.68
                            0.46
                                      0.54
                                               8000
weighted avg
samples avg
                  0.46
                            0.46
                                      0.46
                                               8000
CPU times: user 1min 20s, sys: 11.7 s, total: 1min 31s
Wall time: 2min 25s
```



In [14]:



```
# Convolution Layer ======
x2 = Conv2D(filters=32, input_shape=shpe,
       kernel size=(3,3), kernel initializer='he uniform',
       kernel_regularizer=l2(l2_lambda), padding='same',
       activation='relu', data_format="channels_last")(input_holder)
x2 = MaxPooling2D(pool_size=(2, 2), data_format="channels_last")(x2)
# Convolution Layer ===
x2 = Conv2D(filters=32,
       kernel_size=(3,3), kernel_initializer='he_uniform',
       kernel_regularizer=l2(l2_lambda), padding='same',
       activation='relu', data_format="channels_last")(x2)
x2_split = MaxPooling2D(pool_size=(2, 2), data_format="channels_last")(x2)
x2 = Conv2D(filters=64,
       kernel_size=(1,1), kernel_initializer='he_uniform',
       kernel_regularizer=l2(l2_lambda), padding='same',
       activation='relu', data_format="channels_last")(x2_split)
x2 = Conv2D(filters=64,
       kernel_size=(3,3),kernel_initializer='he_uniform',
       kernel_regularizer=12(l2_lambda), padding='same',
       activation='relu', data_format="channels_last")(x2)
```

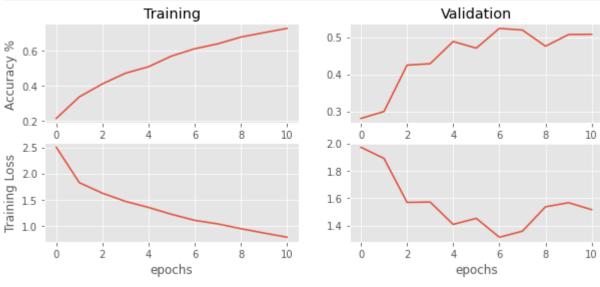
```
x2 = Conv2D(filters=32,
            kernel_size=(1,1), kernel_initializer='he_uniform',
            kernel_regularizer=12(l2_lambda), padding='same',
            activation='relu', data_format="channels_last")(x2)
# now add back in the split layer, x_split (residual added in)
x2 = Add()([x2, x2\_split])
x2 = Activation("relu")(x2)
x2 = MaxPooling2D(pool_size=(2, 2), data_format="channels_last")(x2)
x2 = Flatten()(x2)
x2 = Dropout(0.25)(x2)
x2 = Dense(256)(x2)
x2 = Activation("relu")(x2)
x2 = Dropout(0.5)(x2)
x2 = Dense(NUM_CLASSES)(x2)
x2 = Activation('softmax')(x2)
resnet_2 = Model(inputs=input_holder,outputs=x2)
#plot_model(resnet_2, show_shapes=True, show_layer_names=True, rankdir='LR', expand_nested=False, dpi=96)
resnet_2.summary()
```

Model: "model 1"

Layer (type)	Output Shape	Param #	Connected to
input_2 (InputLayer)	[(None, 96, 96, 1)]	0	
conv2d_5 (Conv2D)	(None, 96, 96, 32)	320	input_2[0][0]
max_pooling2d_3 (MaxPooling2D)	(None, 48, 48, 32)	0	conv2d_5[0][0]
conv2d_6 (Conv2D)	(None, 48, 48, 32)	9248	max_pooling2d_3[0][0]
max_pooling2d_4 (MaxPooling2D)	(None, 24, 24, 32)	0	conv2d_6[0][0]
conv2d_7 (Conv2D)	(None, 24, 24, 64)	2112	max_pooling2d_4[0][0]
conv2d_8 (Conv2D)	(None, 24, 24, 64)	36928	conv2d_7[0][0]
conv2d_9 (Conv2D)	(None, 24, 24, 32)	2080	conv2d_8[0][0]
add_1 (Add)	(None, 24, 24, 32)	0	conv2d_9[0][0] max_pooling2d_4[0][0]
activation_3 (Activation)	(None, 24, 24, 32)	0	add_1[0][0]
max_pooling2d_5 (MaxPooling2D)	(None, 12, 12, 32)	0	activation_3[0][0]
flatten_1 (Flatten)	(None, 4608)	0	max_pooling2d_5[0][0]
dropout_2 (Dropout)	(None, 4608)	0	flatten_1[0][0]
dense_2 (Dense)	(None, 256)	1179904	dropout_2[0][0]
activation_4 (Activation)	(None, 256)	0	dense_2[0][0]
dropout_3 (Dropout)	(None, 256)	0	activation_4[0][0]
dense_3 (Dense)	(None, 10)	2570	dropout_3[0][0]
activation_5 (Activation)	(None, 10)	0	dense_3[0][0]

Total params: 1,233,162 Trainable params: 1,233,162 Non-trainable params: 0

```
Epoch 3/50
40/40 [===
                                :=====] - 7s 178ms/step - loss: 1.6728 - accuracy: 0.3885 - val_loss: 1.5684 - v
al_accuracy: 0.4244
Epoch 4/50
40/40 [===
                             =======] - 7s 179ms/step - loss: 1.4955 - accuracy: 0.4655 - val_loss: 1.5710 - v
al_accuracy: 0.4281
Epoch 5/50
40/40 [===
                                   :===] - 7s 179ms/step - loss: 1.3683 - accuracy: 0.5021 - val_loss: 1.4080 - v
al_accuracy: 0.4881
Epoch 6/50
                                        - 7s 179ms/step - loss: 1.2230 - accuracy: 0.5635 - val_loss: 1.4520 - v
40/40 [==
al_accuracy: 0.4701
Epoch 7/50
40/40 [===
                                        - 7s 178ms/step - loss: 1.1010 - accuracy: 0.6187 - val_loss: 1.3142 - v
al_accuracy: 0.5234
Epoch 8/50
40/40 [==
                                  ====] - 7s    180ms/step - loss: 1.0203 - accuracy: 0.6369 - val_loss: 1.3583 - v
al accuracy: 0.5190
Epoch 9/50
40/40 [===
                               :======] - 7s 180ms/step - loss: 0.9364 - accuracy: 0.6774 - val loss: 1.5363 - v
al accuracy: 0.4754
Epoch 10/50
40/40 [====
                            ========] - 7s    180ms/step - loss: 0.9145 - accuracy: 0.6847 - val_loss: 1.5663 - v
al_accuracy: 0.5069
Epoch 11/50
40/40 [=====
                                     ==] - 7s 179ms/step - loss: 0.7999 - accuracy: 0.7211 - val_loss: 1.5154 - v
al_accuracy: 0.5075
                            recall f1-score
                                                support
              precision
           0
                   0.75
                              0.74
                                        0.75
                                                    800
                                                    800
           1
                   0.60
                              0.31
                                        0.41
           2
                   0.73
                              0.64
                                        0.68
                                                    800
           3
                   0.77
                              0.04
                                        0.07
                                                    800
           4
                   0.93
                              0.14
                                        0.25
                                                    800
           5
                   0.31
                                                    800
                              0.45
                                        0.36
           6
                              0.48
                                                    800
                   0.73
                                        0.58
           7
                   0.74
                              0.08
                                        0.14
                                                    800
           8
                   0.70
                              0.70
                                        0.70
                                                    800
                   0.55
                              0.68
                                        0.60
                                                    800
                   0.61
                              0.43
                                        0.50
                                                   8000
  micro avg
                   0.68
                              0.43
                                        0.46
                                                   8000
  macro avg
                                                   8000
weighted avg
                   0.68
                              0.43
                                        0.46
                                                   8000
samples avg
                   0.43
                              0.43
                                        0.43
CPU times: user 52.3 s, sys: 3.17 s, total: 55.5 s
Wall time: 1min 22s
```



## 2.2.2 Method 2: Xception Style Architecture

```
In [16]:
        # Convolution Layer ==
        x3 = Conv2D(filters=32, input_shape=shpe,
                 kernel_size=(3,3), kernel_initializer='he_uniform',
                 kernel_regularizer=12(l2_lambda), padding='same',
                 activation='relu', data_format="channels_last")(input_holder)
        x3 = MaxPooling2D(pool_size=(2, 2), data_format="channels_last")(x3)
        # Convolution Layer =====
        x3 = Conv2D(filters=32,
                 kernel_size=(3,3), kernel_initializer='he_uniform',
                 kernel_regularizer=12(l2_lambda), padding='same',
                 activation='relu', data format="channels last")(x3)
        x3_split = MaxPooling2D(pool_size=(2, 2), data_format="channels_last")(x3)
        # Seperable Convoluation Layer ========
        x3 = SeparableConv2D(filters=32, input_shape=shpe,
                       kernel_size=(3,3), kernel_initializer='he_uniform',
                       kernel_regularizer=l2(l2_lambda), padding='same',
                       activation='relu', depth_multiplier = 1, # controls output channels
```

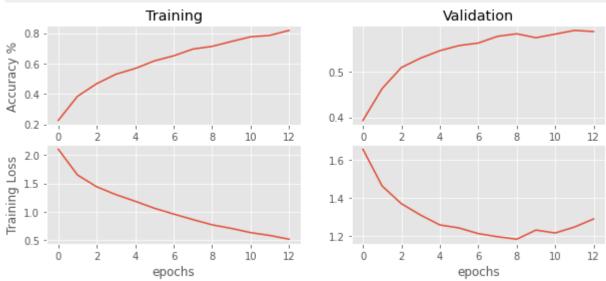
```
data_format="channels_last")(x3_split)
x3_{split} = Add()([x3, x3_{split}])
# Seperable Convoluation Layer ========
x3 = SeparableConv2D(filters=32, input_shape=shpe,
                    kernel_size=(3,3), kernel_initializer='he_uniform',
                    kernel_regularizer=12(l2_lambda), padding='same',
                    activation='relu', depth_multiplier = 1, # controls output channels
                    data_format="channels_last")(x3_split)
x3\_split = Add()([x3, x3\_split])
x3 = Activation("relu")(x3_split)
x3 = MaxPooling2D(pool_size=(2, 2), data_format="channels_last")(x3)
x3 = Flatten()(x3)
x3 = Dropout(0.25)(x3)
x3 = Dense(256, activation="relu")(x3)
x3 = Dropout(0.5)(x3)
x3 = Dense(NUM_CLASSES,activation="softmax")(x3)
xception_1 = Model(inputs=input_holder,outputs=x3)
#plot_model(xception_1,show_shapes=True,show_layer_names=True,rankdir='LR',expand_nested=False,dpi=96)
xception_1.summary()
```

Model: "model 2"

Layer (type)	Output Shape	Param #	Connected to
input_2 (InputLayer)	[(None, 96, 96,	1)] 0	
conv2d_10 (Conv2D)	(None, 96, 96,	32) 320	input_2[0][0]
max_pooling2d_6 (MaxPooling2D)	(None, 48, 48,	32) 0	conv2d_10[0][0]
conv2d_11 (Conv2D)	(None, 48, 48,	32) 9248	max_pooling2d_6[0][0]
max_pooling2d_7 (MaxPooling2D)	(None, 24, 24,	32) 0	conv2d_11[0][0]
separable_conv2d (SeparableConv	(None, 24, 24,	32) 1344	max_pooling2d_7[0][0]
add_2 (Add)	(None, 24, 24,	32) 0	<pre>separable_conv2d[0][0] max_pooling2d_7[0][0]</pre>
separable_conv2d_1 (SeparableCo	(None, 24, 24,	32) 1344	add_2[0][0]
add_3 (Add)	(None, 24, 24,	32) 0	separable_conv2d_1[0][0] add_2[0][0]
activation_6 (Activation)	(None, 24, 24,	32) 0	add_3[0][0]
max_pooling2d_8 (MaxPooling2D)	(None, 12, 12,	32) 0	activation_6[0][0]
flatten_2 (Flatten)	(None, 4608)	0	max_pooling2d_8[0][0]
dropout_4 (Dropout)	(None, 4608)	0	flatten_2[0][0]
dense_4 (Dense)	(None, 256)	1179904	dropout_4[0][0]
dropout_5 (Dropout)	(None, 256)	0	dense_4[0][0]
dense_5 (Dense)	(None, 10)	2570	dropout_5[0][0]

Total params: 1,194,730 Trainable params: 1,194,730 Non-trainable params: 0

```
val_accuracy: 0.5089
Epoch 4/50
157/157 [=
                           =========] - 6s 41ms/step - loss: 1.3015 - accuracy: 0.5325 - val_loss: 1.3103 -
val_accuracy: 0.5294
Epoch 5/50
                             ========] - 6s 41ms/step - loss: 1.1779 - accuracy: 0.5663 - val_loss: 1.2585 -
157/157 [==
val_accuracy: 0.5456
Epoch 6/50
157/157 [==
                                   =====] - 6s 41ms/step - loss: 1.0686 - accuracy: 0.6200 - val_loss: 1.2425 -
val accuracy: 0.5569
Epoch 7/50
                              =======] - 6s 41ms/step - loss: 0.9531 - accuracy: 0.6561 - val_loss: 1.2127 -
157/157 [==
val_accuracy: 0.5624
Epoch 8/50
157/157 [==
                                      ==] - 6s 41ms/step - loss: 0.8398 - accuracy: 0.7019 - val_loss: 1.1964 -
val_accuracy: 0.5770
Epoch 9/50
157/157 [==
                                ======] - 6s 41ms/step - loss: 0.7494 - accuracy: 0.7254 - val loss: 1.1832 -
val_accuracy: 0.5826
Epoch 10/50
                             ========] - 6s 41ms/step - loss: 0.6960 - accuracy: 0.7447 - val_loss: 1.2313 -
157/157 [===:
val accuracy: 0.5740
Epoch 11/50
157/157 [====
                                   :=====] - 6s 41ms/step - loss: 0.6208 - accuracy: 0.7839 - val_loss: 1.2160 -
val_accuracy: 0.5819
Epoch 12/50
157/157 [===
                                      :==] - 6s 41ms/step - loss: 0.5746 - accuracy: 0.7905 - val_loss: 1.2472 -
val_accuracy: 0.5900
Epoch 13/50
                                         - 6s 41ms/step - loss: 0.5162 - accuracy: 0.8179 - val_loss: 1.2899 -
157/157 [====
val_accuracy: 0.5875
                            recall f1-score
              precision
                                               support
                   0.83
                                        0.80
                              0.77
           1
                   0.68
                              0.31
                                        0.42
                                                   800
           2
                   0.82
                             0.71
                                        0.76
                                                   800
           3
                   0.62
                             0.26
                                        0.36
                                                   800
           4
                   0.55
                                        0.56
                                                   800
                              0.56
           5
                   0.46
                              0.23
                                        0.30
                                                   800
           6
                                                   800
                   0.62
                              0.60
                                        0.61
           7
                   0.55
                              0.45
                                        0.49
                                                   800
           8
                   0.80
                              0.68
                                        0.73
                                                   800
           9
                   0.67
                              0.66
                                        0.67
                                                   800
  micro avg
                   0.67
                             0.52
                                        0.59
                                                  8000
                   0.66
                                        0.57
                                                  8000
  macro avg
                              0.52
                                                  8000
weighted avg
                   0.66
                              0.52
                                        0.57
                                                  8000
 samples avg
                   0.52
                              0.52
                                        0.52
```



```
In [18]:
        # Convolution Layer ======
        x4 = Conv2D(filters=32, input shape=shpe
                 kernel_size=(3,3), kernel_initializer='he_uniform',
                 kernel_regularizer=12(l2_lambda), padding='same',
                 activation='relu', data format="channels last")(input holder)
        x4 = MaxPooling2D(pool_size=(2, 2), data_format="channels_last")(x4)
        # Convolution Layer =======
        x4 = Conv2D(filters=32,
                 kernel_size=(3,3), kernel_initializer='he_uniform',
                 kernel_regularizer=12(l2_lambda), padding='same',
                 activation='relu', data_format="channels_last")(x4)
        x4_split = MaxPooling2D(pool_size=(2, 2), data_format="channels_last")(x4)
        # Seperable Convoluation Layer ==========
        x4 = SeparableConv2D(filters=32, input_shape=shpe,
                        kernel_size=(3,3), kernel_initializer='he_uniform',
                        kernel_regularizer=l2(l2_lambda), padding='same',
                        activation='relu', depth_multiplier = 1, # controls output channels
                        data_format="channels_last")(x4_split)
```

```
x4\_split = Add()([x4, x4\_split])
# Seperable Convoluation Layer =======
x4 = SeparableConv2D(filters=32, input_shape=shpe,
                     kernel size=(3,3), kernel initializer='he uniform',
                     kernel_regularizer=l2(l2_lambda), padding='same',
                     activation='relu', depth multiplier = 1, # controls output channels
                     data_format="channels_last")(x4_split)
x4\_split = Add()([x4, x4\_split])
x4 = Activation("relu")(x4_split)
x4 = MaxPooling2D(pool_size=(2, 2), data_format="channels_last")(x4)
x4 = Flatten()(x4)
x4 = Dropout(0.25)(x4)
x4 = Dense(256, activation="relu")(x4)
x4 = Dropout(0.5)(x4)
x4 = Dense(NUM_CLASSES,activation="softmax")(x4)
xception_2 = Model(inputs=input_holder,outputs=x4)
#plot_model(xception_2,show_shapes=True,show_layer_names=True,rankdir='LR',expand_nested=False,dpi=96)
xception_2.summary()
```

Model: "model 3"

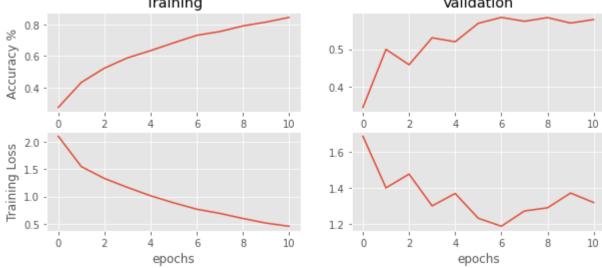
Layer (type)	Output Shape	Param #	Connected to
input_2 (InputLayer)	[(None, 96, 96, 1)	] 0	
conv2d_12 (Conv2D)	(None, 96, 96, 32)	320	input_2[0][0]
max_pooling2d_9 (MaxPooling2D)	(None, 48, 48, 32)	0	conv2d_12[0][0]
conv2d_13 (Conv2D)	(None, 48, 48, 32)	9248	max_pooling2d_9[0][0]
max_pooling2d_10 (MaxPooling2D)	(None, 24, 24, 32)	0	conv2d_13[0][0]
separable_conv2d_2 (SeparableCo	(None, 24, 24, 32)	1344	max_pooling2d_10[0][0]
add_4 (Add)	(None, 24, 24, 32)	0	<pre>separable_conv2d_2[0][0] max_pooling2d_10[0][0]</pre>
separable_conv2d_3 (SeparableCo	(None, 24, 24, 32)	1344	add_4[0][0]
add_5 (Add)	(None, 24, 24, 32)	0	separable_conv2d_3[0][0] add_4[0][0]
activation_7 (Activation)	(None, 24, 24, 32)	0	add_5[0][0]
max_pooling2d_11 (MaxPooling2D)	(None, 12, 12, 32)	0	activation_7[0][0]
flatten_3 (Flatten)	(None, 4608)	0	max_pooling2d_11[0][0]
dropout_6 (Dropout)	(None, 4608)	0	flatten_3[0][0]
dense_6 (Dense)	(None, 256)	1179904	dropout_6[0][0]
dropout_7 (Dropout)	(None, 256)	0	dense_6[0][0]
dense_7 (Dense)	(None, 10)	2570	dropout_7[0][0]

Trainable params: 1,194,730 Non-trainable params: 0

```
In [19]:
          # speed up by training by not using augmentation, perhaps there are faster ways??
          xception 2.compile(loss='categorical crossentropy', optimizer='rmsprop', metrics=['accuracy'])
          history_Xception2 = xception_2.fit(X_train, y_train_ohe, batch_size=32,
                                              epochs=50, verbose=1, validation_data=(X_test,y_test_ohe),
                                              callbacks=[EarlyStopping(monitor='val_loss', patience=4)])
          yhat = np.round(xception_2.predict(X_test))
          print(mt.classification_report(y_test_ohe, yhat, zero_division=0))
          getChart(history_Xception2)
```

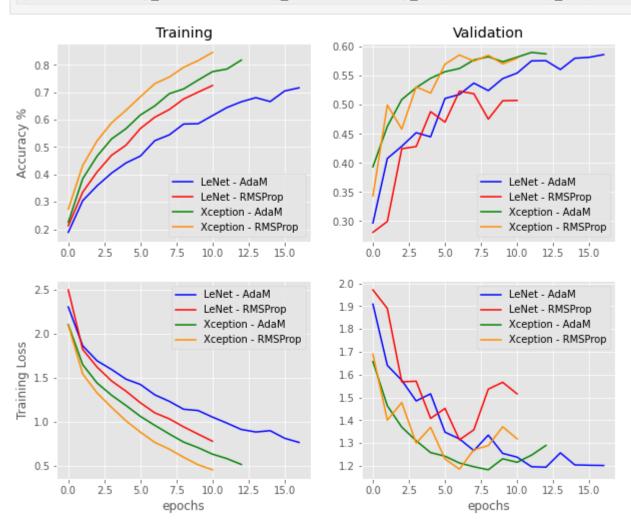
```
Epoch 1/50
val_accuracy: 0.3434
Epoch 2/50
157/157 [========
          :=============] - 7s 43ms/step - loss: 1.5989 - accuracy: 0.4165 - val_loss: 1.4003 -
val_accuracy: 0.4996
Epoch 3/50
val_accuracy: 0.4582
```

```
Epoch 4/50
157/157 [==
                             ========] - 7s 43ms/step - loss: 1.1468 - accuracy: 0.5899 - val_loss: 1.2996 -
val_accuracy: 0.5307
Epoch 5/50
157/157 [==
                             =======] - 7s 43ms/step - loss: 1.0230 - accuracy: 0.6283 - val_loss: 1.3691 -
val_accuracy: 0.5201
Epoch 6/50
157/157 [==
                                  =====] - 7s 43ms/step - loss: 0.8473 - accuracy: 0.7016 - val_loss: 1.2303 -
val_accuracy: 0.5698
Epoch 7/50
157/157 [=:
                                       ==] - 7s 43ms/step - loss: 0.7463 - accuracy: 0.7345 - val_loss: 1.1858 -
val_accuracy: 0.5856
Epoch 8/50
157/157 [==
                                          - 7s 43ms/step - loss: 0.6728 - accuracy: 0.7634 - val_loss: 1.2710 -
val_accuracy: 0.5751
Epoch 9/50
                                  =====] - 7s 43ms/step - loss: 0.5744 - accuracy: 0.8002 - val loss: 1.2890 -
157/157 [==
val accuracy: 0.5851
Epoch 10/50
157/157 [===
                             ========] - 7s 43ms/step - loss: 0.5197 - accuracy: 0.8159 - val_loss: 1.3714 -
val_accuracy: 0.5704
Epoch 11/50
157/157 [====
                                 ======] - 7s 43ms/step - loss: 0.4394 - accuracy: 0.8488 - val loss: 1.3185 -
val_accuracy: 0.5799
                            recall f1-score
                                                support
              precision
           0
                   0.90
                              0.69
                                        0.78
                                                    800
           1
                   0.64
                                        0.44
                                                    800
                              0.34
           2
                   0.84
                              0.66
                                        0.74
                                                    800
           3
                   0.55
                              0.26
                                        0.35
                                                    800
           4
                   0.50
                              0.67
                                        0.57
                                                    800
           5
                   0.50
                              0.19
                                        0.28
                                                    800
           6
                   0.70
                              0.56
                                        0.62
                                                    800
           7
                   0.50
                              0.46
                                        0.48
                                                    800
           8
                   0.77
                              0.70
                                        0.73
                                                    800
           9
                   0.63
                              0.72
                                        0.67
                                                    800
  micro avq
                   0.65
                              0.53
                                        0.58
                                                   8000
   macro avg
                   0.65
                              0.53
                                        0.57
                                                   8000
weighted avg
                   0.65
                              0.53
                                        0.57
                                                   8000
samples avg
                   0.53
                              0.53
                                        0.53
                                                   8000
                                                          Validation
                  Training
  0.8
%
                                           0.5
  0.6
```



### 2.3 Performance Analysis

In [20]: getCharts(history\_resNet1, history\_resNet2, history\_Xception1, history\_Xception2)



Kaggle. STL-10. https://www.kaggle.com/jessicali9530/stl10 (Accessed 5-1-2021)

Adam Coates, Honglak Lee, Andrew Y. Ng An Analysis of Single Layer Networks in Unsupervised Feature Learning AISTATS, 2011.