

UNIVERSIDAD EAFIT

OPERATING SYSTEMS

ST0257

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## Midterm 1: Large Datasets Processing in C++

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## Context and Approach

This project focuses on handling large datasets in C/C++ on Linux and evaluating how different design choices affect performance. The main objectives are:

- Generate and process datasets with millions of records.
- Compare using **values vs. pointers** to measure memory savings and runtime performance.
- Compare using **structs (C) vs. classes (C++)** to examine efficiency and memory layout.
- Record **execution time** and **memory usage** for each query with a custom monitor.

Each record contains: full name, date of birth, city of residence, assets, debts, ID number, and the assigned tax calendar group (A/B/C) based on the last digits of the ID.

## Queries

The required queries were:

### 1. Oldest person

- Across the entire dataset.
- Grouped by city.

### 2. Person with the most assets

- Overall.
- By city.
- By tax calendar group (A/B/C).

### 3. Tax filers

- Count people in each tax calendar group.
- Validate assignment according to ID termination rules.

Additional queries designed by the team:

1. Cities with the highest average assets.
2. Percentage of people older than 80 in each tax group.
3. Number of people in each city.

## How We Measured Performance

Two main configurations were tested:

- **By value vs. by pointer:** storing full records directly compared to storing only pointers to them.
- **struct vs. class:** using plain structs without constructors or virtual methods compared to full C++ classes with methods.

Performance was measured through:

- **Execution time** with `std::chrono`.
- **Memory usage** (RSS in KB) from `/proc/self/statm`.
- **Recorded statistics** saved by the monitor and summarized in console.

## Analysis

The results allow us to compare execution time and memory consumption across two main axes:

1. **Value vs. Pointer** — how records are stored and accessed.
2. **struct vs. class** — how records are defined in C/C++.

We ran four representative queries:

- Oldest person countrywide.
- Person with highest assets overall.
- Count people per tax calendar group.
- Cities with the highest average assets (one additional query).

Each query was executed under both storage modes (value and pointer) and with both record definitions (struct and class), for a total of 16 comparisons.

## 4.1 `class`-based Records

Query	Mode	Time (ms)	Memory (KB)
Oldest person (countrywide)	Value	328.069	6948
	Pointer	15.814	0
Highest assets (overall)	Value	328.628	6992
	Pointer	15.567	0
Count by tax group	Value	417.419	6804
	Pointer	99.432	0
Average assets (cities)	Value	151.271	0
	Pointer	147.434	0

**Table 1:** Performance of four queries using *class*-based records

## 4.2 `struct`-based Records

Query	Mode	Time (ms)	Memory (KB)
Oldest person (countrywide)	Value	294.506	7012
	Pointer	12.566	0
Highest assets (overall)	Value	296.899	7040
	Pointer	11.174	0
Count by tax group	Value	389.490	6952
	Pointer	98.951	0
Average assets (cities)	Value	148.053	0
	Pointer	143.120	0

**Table 2:** Performance of four queries using *struct*-based records

## 4.3 Observations

- The biggest factor is still **pointer vs. value**. For both `class` and `struct` definitions, pointer-based queries are consistently faster and avoid additional memory allocations.
- **Structs vs. classes** show similar numbers overall. Structs are slightly faster in some queries (e.g., oldest person, highest assets), which matches expectations due to simpler layout and no constructor overhead.
- The additional query *average assets per city* is relatively balanced. Both value and pointer versions run in similar time because this query is aggregation-heavy, not copy-heavy.
- Memory usage with value storage is consistently higher (6–7 MB extra) regardless of record type, while pointer storage incurs negligible extra cost.

In summary, pointer-based datasets dominate in query performance for both `struct` and `class` records, while `struct` definitions offer a small advantage in raw execution time. The main tradeoff lies in code organization (classes) versus raw efficiency (structs).

## Critical Thinking Questions

### 5.1 Why do pointers reduce memory usage when dealing with 10 million records?

Pointers reduce memory usage because they reference a shared memory location instead of duplicating entire data structures. In scenarios with millions of records, storing full copies of the same or large objects is inefficient. By storing pointers, only the address of the data (typically 4 or 8 bytes, depending on architecture) is maintained, avoiding redundant copies. This can drastically reduce memory consumption, though the actual savings depend on the structure size and whether the pointed-to data is reused.

### 5.2 If the Calendar depends on the person's ID, how can group searches be optimized?

If the calendar grouping is a deterministic function of the person's ID, it is unnecessary to store the group explicitly for each record. Instead, one can precompute or derive the mapping function between the ID and the calendar group (e.g., an enumeration such as `CalendarTaxGroup`). By indexing or hashing IDs directly to their groups, searches can be optimized, reducing both memory overhead and lookup time.

### 5.3 How does memory access differ between an array of structs and a vector of class objects?

The distinction arises primarily from data layout and object semantics:

- **Array of Structs (AoS):** Structs that are Plain Old Data (POD) types—meaning no user-defined constructors, destructors, or virtual functions—are stored contiguously in memory. This layout maximizes spatial locality and cache efficiency, which is advantageous in data-oriented design.
- **Vector of Class Objects:** While `std::vector` also stores elements contiguously, class objects often introduce overhead through constructors, destructors, and possible virtual table pointers. This makes them heavier than plain structs, potentially harming cache performance. If the class holds pointers to heap-allocated members, memory access becomes indirect and fragmented.

Thus, for performance-critical workloads requiring sequential traversal and predictable memory access, an AoS layout is typically more efficient.

### 5.4 How can `mmap` or virtual memory help when data exceeds physical RAM?

When datasets exceed available RAM, the operating system leverages virtual memory. Pages that cannot fit in RAM are stored in a swap area on disk, with the OS transparently paging them in and out. This allows programs to operate on data larger than physical memory, though with significant latency penalties due to disk access times.

The `mmap` system call provides more direct control. It can:

- Map files directly into memory space, enabling efficient I/O by allowing the OS page cache to handle reads and writes.
- Allocate large contiguous memory regions, bypassing some limitations of `malloc`.
- Enable shared memory between processes by mapping the same file or anonymous memory region into multiple address spaces.

In high-volume data processing, combining virtual memory and `mmap` can allow applications to handle datasets that far exceed the machine's physical memory capacity, though at the cost of higher latency for non-resident pages.

## Addendum: struct vs. class in C++

In the context of C++, the distinction between `struct` and `class` is almost entirely syntactic. Both declare a class type, share the same object model, and support the same features (constructors, destructors, inheritance, templates, operator overloading, virtual functions, etc.).

The only formal difference is the default access level:

- In a `struct`, members and base classes are **public** by default.
- In a `class`, members and base classes are **private** by default.

This is consistently stated across references:

- Stroustrup (The Design and Evolution of C++): both keywords map to the same underlying concept, introduced to allow a smooth transition from C-style structures to fully featured C++ classes.
- The C++ Programming Language (2013): a `struct` is a class with public members by default.
- Microsoft, IBM, Siemens documentation: same behavior, only default visibility differs.
- Stack Overflow discussions emphasize that the choice is purely stylistic and has no impact on generated code.

In practice:

- Many developers use `struct` for plain data aggregates (POD types) without significant encapsulation.
- `class` is often preferred for abstractions with private data and member functions.

This conclusion is consistent across references including Stroustrup, Microsoft, IBM, Siemens, and Stack Overflow discussions. In practice, developers often use `struct` for plain aggregates (POD types) and `class` for abstractions with encapsulation, but the compiler treats them identically apart from default visibility.

To confirm this, we compared the generated binaries of both versions of the program using `elf_diff` (v0.7.1). The results show no significant differences:

Listing 1: `elf_diff` comparison of binaries built with `class` vs. `struct`

```
1 Statistics of elf_diff comparison of files
2   old: build/census-class
3   new: build/census-struct
4
5 Difference in resource consumption:
6   code:      0 bytes
7   text:      0 bytes
8   data:      0 bytes
9   static ram: 0 bytes
10  bss:       0 bytes
11
12 Symbol statistics:
13   old:      1636
14   new:      1636
15   persisting: 1636
16   disappeared: 0
17   appeared:  0
18   similar:   0
19   migrated:  0
20
21 No significant differences.
```

This confirms empirically that the choice between `struct` and `class` has no effect on the generated machine code or resource usage, supporting the claim that the distinction is purely about default visibility and coding style.

For the experiments in this project, both forms compile down to the same representation and execution performance. Any differences observed in the results come from measurement noise, not from the keyword itself. What matters for performance is whether we use pointers or values, not whether the record is declared with `struct` or `class`.

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