

# Sampling People, Records, & Networks

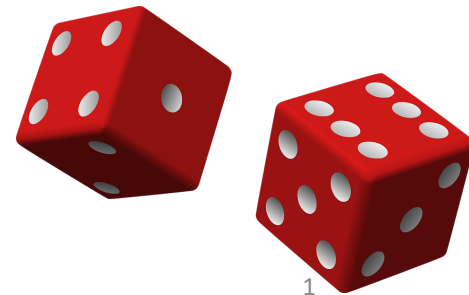
Jim Lepkowski, PhD

Professor & Research Professor *Emeritus*

Institute for Social Research, University of Michigan

Research Professor,

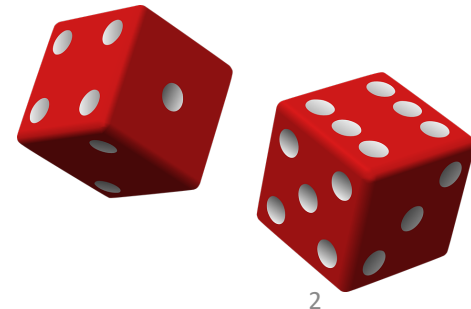
Joint Program in Survey Methodology, University of Maryland



### Unit 6

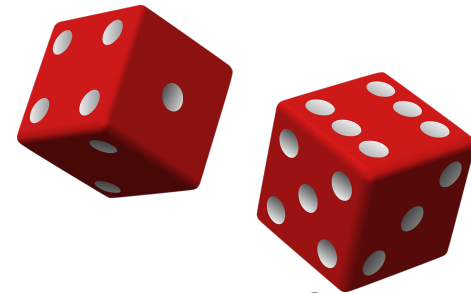
1. Stratified multistage sampling
2. Weights for over/under sampling
3. Nonresponse & noncoverage weighting
4. Variance estimation and software
5. Statistical software for sample selection
6. Sampling networks: multiplicity weighting

- Unit 1: Sampling as a research tool
- Unit 2: Mere randomization
- Unit 3: Saving money
- Unit 4: Being more efficient
- Unit 5: Simplifying sampling
- Unit 6: Some extensions & applications



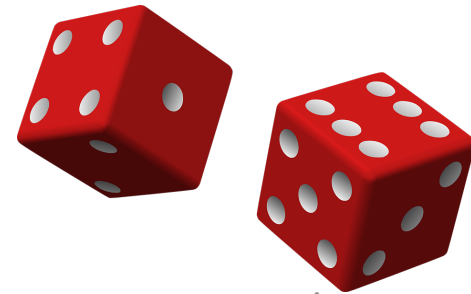
## Unit 6

- 1. Stratified multistage sampling
  - 2. Weights for over/under sampling
  - 3. Nonresponse & noncoverage weighting
  - 4. Variance estimation and software
  - 5. Statistical software for sample selection
  - 6. Sampling networks: multiplicity weighting
- Unit 1: Sampling as a research tool
  - Unit 2: Mere randomization
  - Unit 3: Saving money
  - Unit 4: Being more efficient
  - Unit 5: Simplifying sampling
  - **Unit 6: Some extensions & applications**
    - 1. Statistical software for sample selection
    - 2. Stratified multistage sampling
    - 3. Weights for over/under sampling
    - 4. Nonresponse & noncoverage weighting
    - 5. Sampling networks: multiplicity weighting
    - 6. Non-probability sampling

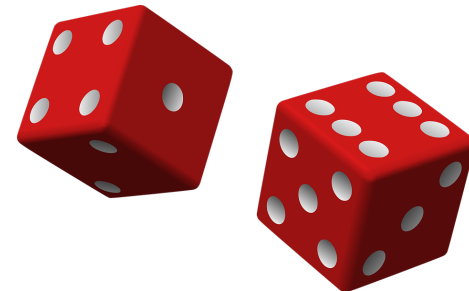


## Unit 6

- 1. **Statistical software for sample selection**
  - 2. Stratified multistage sampling
  - 3. Weights for over/under sampling
  - 4. Nonresponse & noncoverage weighting
  - 5. Sampling networks: multiplicity weighting
  - 6. Non-probability sampling
- Unit 1: Sampling as a research tool
  - Unit 2: Mere randomization
  - Unit 3: Saving money
  - Unit 4: Being more efficient
  - Unit 5: Simplifying sampling
  - **Unit 6: Some extensions & applications**
    - 1. **Statistical software for sample selection**
    - 2. Stratified multistage sampling
    - 3. Weights for over/under sampling
    - 4. Nonresponse & noncoverage weighting
    - 5. Sampling networks: multiplicity weighting
    - 6. Non-probability sampling



- **Frame**
  - **SRS WOR**
  - **SRS WR**
  - **Systematic**
  - **PPS**
- Unit 1: Sampling as a research tool
  - Unit 2: Mere randomization
  - Unit 3: Saving money
  - Unit 4: Being more efficient
  - Unit 5: Simplifying sampling
  - Unit 6: Some extensions & applications
    1. **Statistical software for sample selection**
    2. Stratified multistage sampling
    3. Weights for over/under sampling
    4. Nonresponse & noncoverage weighting
    5. Sampling networks: multiplicity weighting
    6. Non-probability sampling



- **Frame**
- SRS WOR
- SRS WR
- Systematic
- PPS

seqno	rent	own
1	196	118
2	327	0
3	331	1
4	266	81
5	334	23
6	354	34
7	395	3
8	397	7
9	145	278
10	383	91
11	584	75
12	1	0
13	0	1
14	1	0
15	0	1
16	1	0
17	1	0
18	0	1
19	0	1
20	1	0
21	0	1
22	0	1
23	0	1
24	0	1
25	1	0
26	1	0
27	0	1
28	0	1
29	0	1
30	0	1

- **Frame**
- SRS WOR
- SRS WR
- Systematic
- PPS

seqno	rent	own
1	196	118
2	327	0
3	331	1
4	266	81
5	334	23
6	354	34
7	395	3
8	397	7
9	145	278
10	383	91
11	584	75
12	1	0
13	0	1
14	1	0
15	0	1
16	1	0
17	1	0
18	0	1
19	0	1
20	1	0
21	0	1
22	0	1
23	0	1
24	0	1
25	1	0
26	1	0
27	0	1
28	0	1
29	0	1
30	0	1

$$N = 975$$

$$n = 20$$

$$f = \frac{1}{48.675}$$

- **Frame**
- SRS WOR
- SRS WR
- Systematic
- PPS

seqno	rent	own
1	196	118
2	327	0
3	331	1
4	266	81
5	334	23
6	354	34
7	395	3
8	397	7
9	145	278
10	383	91
11	584	75
12	1	0
13	0	1
14	1	0
15	0	1
16	1	0
17	1	0
18	0	1
19	0	1
20	1	0
21	0	1
22	0	1
23	0	1
24	0	1
25	1	0
26	1	0
27	0	1
28	0	1
29	0	1
30	0	1

$$N = 975$$

$$n = 20$$

$$f = \frac{1}{48.675}$$

- SRS (WOR)
- SRS WR
- Systematic
- PPS
- (Stratified)



- **Frame**
- SRS WOR
- SRS WR
- Systematic
- PPS

**# Change directory**

**setwd("M:\\Coursera sampling methods")**

- **Frame**
- SRS WOR
- SRS WR
- Systematic
- PPS

**# Change directory**

```
setwd("M:\\Coursera sampling methods")
```

**# Open data file**

```
frame <- read.table(file = "frame.txt", header = TRUE, sep = "\t")
```

- **Frame**
- SRS WOR
- SRS WR
- Systematic
- PPS

**# Change directory**

```
setwd("M:\\Coursera sampling methods")
```

**# Open data file**

```
frame <- read.table(file = "frame.txt", header = TRUE, sep = "\t")
```

**# View data in a spreadsheet**

```
edit(frame)
```

- **Frame**
- SRS WOR
- SRS WR
- Systematic
- PPS

```
>setwd("//Users/Jim/Dropbox/Teaching/JPSM short course/Coursera sampling methods")
> frame <- read.table(file = "frame.txt", header = TRUE, sep = "\t")
> frame
```

	seqno	rent	owner
1	1	196	118
2	2	327	0
3	3	331	1
4	4	266	81
5	5	334	23
6	6	354	34
7	7	395	3
8	8	397	7
9	9	145	278
10	10	383	91
11	11	584	75
12	12	1	0
13	13	0	1
14	14	1	0
15	15	0	1
16	16	1	0
17	17	1	0
18	18	0	1
19	19	0	1
20	20	1	0
21	21	0	1
22	22	0	1
23	23	0	1
24	24	0	1
25	25	1	0
26	26	1	0
27	27	0	1
28	28	0	1
29	29	0	1
30	30	0	1
...			

- **Frame**
- SRS WOR
- SRS WR
- Systematic
- PPS

```
>setwd("//Users/Jim/Dropbox/Teaching/JPSM short course/Coursera sampling methods")  
> frame <- read.table(file = "frame.txt", header = TRUE, sep = "\t")
```

```
> frame
```

	seqno	rent	owner
1	1	196	118
2	2	327	0
3	3	331	1
4	4	266	81
5	5	334	23
6	6	354	34
7	7	395	3
8	8	397	7
9	9	145	278
10	10	383	91
11	11	584	75
12	12	1	0
13	13	0	1
14	14	1	0
15	15	0	1
16	16	1	0
17	17	1	0
18	18	0	1
19	19	0	1
20	20	1	0
21	21	0	1
22	22	0	1
23	23	0	1
24	24	0	1
25	25	1	0
26	26	1	0
27	27	0	1
28	28	0	1
29	29	0	1
30	30	0	1

...

- Frame
- **SRS WOR**
- SRS WR
- Systematic
- PPS

```
> ## Using functions of the 'sampling' package ##  
> library(sampling)  
>
```

- Frame
- **SRS WOR**
- SRS WR
- Systematic
- PPS

```
> ## Using functions of the 'sampling' package ##  
> library(sampling)  
>
```

- Frame
- **SRS WOR**
- SRS WR
- Systematic
- PPS

```
> ## Using functions of the 'sampling' package ##  
> library(sampling)  
> ## Simple Random Sample ##  
>     ## without replacement ##  
> sam.srswor <- srswor(n = 20, N = 975)
```



- Frame
- **SRS WOR**
- SRS WR
- Systematic
- PPS

```
> ## Using functions of the 'sampling' package ##  
> library(sampling)  
> ## Simple Random Sample ##  
>      ## without replacement ##  
> sam.srswor <- srswor(n = 20, N = 975)
```

- Frame
- **SRS WOR**
- SRS WR
- Systematic
- PPS

```
> ## Using functions of the 'sampling' package ##  
> library(sampling)  
> ## Simple Random Sample ##  
>     ## without replacement ##  
> sam.srswor <- srswor(n = 20, N = 975)  
> sample.srswor <- frame[which(x = (sam.srswor == 1)),]
```

- Frame
- **SRS WOR**
- SRS WR
- Systematic
- PPS

```
> ## Using functions of the 'sampling' package ##  
> library(sampling)  
> ## Simple Random Sample ##  
>      ## without replacement ##  
> sam.srswor <- srswor(n = 20, N = 975)  
> sample.srswor <- frame[which(x = (sam.srswor == 1)),]
```

- Frame
- **SRS WOR**
- SRS WR
- Systematic
- PPS

```
> ## Using functions of the 'sampling' package ##
> library(sampling)
> ## Simple Random Sample ##
>      ## without replacement ##
> sam.srswor <- srswor(n = 20, N = 975)
> sample.srswor <- frame[which(x = (sam.srswor == 1)),]
> sam.srswor
  [1] 0 0 0 0 0 0 1 0 0 0 0 0 0 0 0 0 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0
 [38] 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
 [75] 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0
...
```

- Frame
- **SRS WOR**
- SRS WR
- Systematic
- PPS

```
> ## Using functions of the 'sampling' package ##
> library(sampling)
> ## Simple Random Sample ##
>      ## without replacement ##
> sam.srswor <- srswor(n = 20, N = 975)
> sample.srswor <- frame[which(x = (sam.srswor == 1)),]
> sam.srswor
  [1] 0 0 0 0 0 0 1 0 0 0 0 0 0 0 0 0 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0 0
 [38] 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
 [75] 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0 0
...
```

- Frame
- **SRS WOR**
- SRS WR
- Systematic
- PPS

```
> ## Using functions of the 'sampling' package ##
> library(sampling)
> ## Simple Random Sample ##
>      ## without replacement ##
> sam.srswor <- srswor(n = 20, N = 975)
> sample.srswor <- frame[which(x = (sam.srswor == 1)),]
> sam.srswor
  [1] 0 0 0 0 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0
 [38] 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
 [75] 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0
...
```

- Frame
- **SRS WOR**
- SRS WR
- Systematic
- PPS

```
> sample.srswor
      seqno      rent      owner
7         7      395         3
21        21         0         1
93        93         5         0
185       185         0         9
222       222         0        11
228       228        10         1
246       246         0        12
301       301         0        14
306       306        14         0
347       347         0        17
467       467         6        16
472       472         8        14
495       495        12        12
506       506         6        18
538       538         0        26
705       705         6        33
778       778        51         0
804       804         1        57
809       809        10        49
879       879        64        20
```

- Frame
- SRS WOR
- **SRS WR**
- Systematic
- PPS

```
> ## with replacement ##  
> sam.srswr <- srswr(n = 20, N = 975)
```



- Frame
- SRS WOR
- **SRS WR**
- Systematic
- PPS

```
> ## with replacement ##  
> sam.srswr <- srswr(n = 20, N = 975)
```

- Frame
- SRS WOR
- **SRS WR**
- Systematic
- PPS

```
>          ## with replacement ##  
> sam.srswr <- srswr(n = 20, N = 975)  
> sample.srswr <- frame[which(x = (sam.srswr >= 1)),]
```

- Frame
- SRS WOR
- **SRS WR**
- Systematic
- PPS

```
>          ## with replacement ##  
> sam.srswr <- srswr(n = 20, N = 975)  
> sample.srswr <- frame[which(x = (sam.srswr >= 1)),]
```

- Frame
- SRS WOR
- **SRS WR**
- Systematic
- PPS

```
>          ## with replacement ##
> sam.srswr <- srswr(n = 20, N = 975)
> sample.srswr <- frame[which(x = (sam.srswr >= 1)),]
> sam.srswr
  [1] 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 1 2 0 0 0 0 0 0
 [38] 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 1
 [75] 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
...
```

- [illegible]

- Frame
- SRS WOR
- **SRS WR**
- Systematic
- PPS

```
>      ## with replacement ##
> sam.srswr <- srswr(n = 20, N = 975)
> sample.srswr <- frame[which(x = (sam.srswr >= 1)),]
> sam.srswr
  [1] 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 1 2 0 0 0 0 0 0
 [38] 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 1
 [75] 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
...
```

- Frame
- SRS WOR
- **SRS WR**
- Systematic
- PPS

```
> sample.srswr
      seqno      rent      owner
24         24         0         1
157        157         0         8
213        213         1        10
240        240         2        10
278        278         2        11
281        281         4        10
296        296         0        14
352        352         9         8
464        464         5        17
485        485         2        21
547        547         6        21
614        614         2        29
675        675        35         1
739        739        28        15
760        760        46         2
820        820        53         8
881        881        10        74
886        886        86         0
941        941       142        15
956        956       171        15
```

- Frame
- SRS WOR
- **SRS WR**
- Systematic
- PPS

```
> sample.srswr
      seqno    rent    owner    dup
24        24        0        1      1
157       157        0        8      1
213       213        1       10      2
240       240        2       10      1
278       278        2       11      1
281       281        4       10      1
296       296        0       14      1
352       352        9        8      1
464       464        5       17      1
485       485        2       21      1
547       547        6       21      1
614       614        2       29      1
675       675       35        1      1
739       739       28       15      1
760       760       46        2      1
820       820       53        8      1
881       881       10       74      1
886       886       86        0      1
941       941      142       15      1
956       956      171       15      1
...
```



- Frame
- SRS WOR
- SRS WR
- **Systematic**
- PPS

```
> ## Systematic samples ##  
>     ## Random start - equal size ##  
> prob.sys <- rep(x = 20/975, times = 975)
```

```
> ## Systematic samples ##  
>     ## Random start - equal size ##  
> prob.sys <- rep(x = 20/975, times = 975)
```

- Frame
- SRS WOR
- SRS WR
- **Systematic**
- PPS

```
> ## Systematic samples ##  
>     ## Random start - equal size ##  
> prob.sys <- rep(x = 20/975, times = 975)
```

- Frame
- SRS WOR
- SRS WR
- **Systematic**
- PPS

```
> ## Systematic samples ##  
>     ## Random start - equal size ##  
> prob.sys <- rep(x = 20/975, times = 975)  
> sam.sys <- UPsystematic(pik = prob.sys)
```

- Frame
- SRS WOR
- SRS WR
- **Systematic**
- PPS

```
> ## Systematic samples ##  
>      ## Random start - equal size ##  
> prob.sys <- rep(x = 20/975, times = 975)  
> sam.sys <- UPsystematic(pik = prob.sys)
```

- [illegible]

- [illegible]

- Interval =  $975/20 = 48.75$

- Gaps of 48 and 49 ...

- Frame
- SRS WOR
- SRS WR
- **Systematic**
- PPS

> **sample.sys**

	seqno	rent	owner
33	33	1	0
82	82	0	4
131	131	7	0
180	180	0	9
228	228	10	1
277	277	8	5
326	326	16	0
375	375	5	12
423	423	6	14
472	472	8	14
521	521	9	16
570	570	3	25
618	618	14	18
667	667	10	25
716	716	40	0
765	765	37	12
813	813	2	58
862	862	75	2
911	911	114	0
960	960	184	14



- Frame
- SRS WOR
- SRS WR
- Systematic
- **PPS**

```
> ## PPS samples ##  
>      ## random selections ##  
> prob.pps <- inclusionprobabilities(a = frame$owner_hu, n =  
20)
```

- Frame
- SRS WOR
- SRS WR
- Systematic
- PPS

```
> ## PPS samples ##  
>      ## random selections ##  
> prob.pps <- inclusionprobabilities(a = frame$owner_hu, n = 20)
```

**Warning message:**

**In inclusionprobabilities(a = frame\$owner\_hu, n = 20) :  
there are zero values in the initial vector a**

- Frame
- SRS WOR
- SRS WR
- Systematic
- **PPS**

```
> ## PPS samples ##
>      ## random selections ##
> prob.pps <- inclusionprobabilities(a = frame$owner_hu, n = 20)
Warning message:
In inclusionprobabilities(a = frame$owner_hu, n = 20) :
  there are zero values in the initial vector a

> sam.pps <- UPbrewer(pik = prob.pps)
> sample.pps <- frame[which(x = (sam.pps == 1)),]
```

- Frame
- SRS WOR
- SRS WR
- Systematic
- **PPS**

```
> ## PPS samples ##
>      ## random selections ##
> prob.pps <- inclusionprobabilities(a = frame$owner_hu, n = 20)
Warning message:
In inclusionprobabilities(a = frame$owner_hu, n = 20) :
  there are zero values in the initial vector a

> sam.pps <- UPbrewer(pik = prob.pps)
> sample.pps <- frame[which(x = (sam.pps == 1)),]
> sam.pps
[1] 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
[38] 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
[75] 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0
...
```

- Frame
- SRS WOR
- SRS WR
- Systematic
- PPS

> **sample.pps**

	seqno	rent	owner
149	149	1	6
236	236	0	12
252	252	2	10
403	403	6	13
410	410	0	19
447	447	0	21
491	491	5	19
569	569	12	16
576	576	0	28
620	620	0	32
639	639	10	23
687	687	1	36
693	693	8	29
738	738	3	40
762	762	12	36
920	920	52	71
923	923	5	121
944	944	18	140
962	962	87	120
975	975	41	257

- Frame
- SRS WOR
- SRS WR
- Systematic
- PPS

> **sample.ppssys**

	seqno	rent	owner
9	9	145	278
181	181	2	7
276	276	0	13
357	357	6	11
417	417	5	14
470	470	7	15
518	518	14	11
563	563	3	24
606	606	27	3
647	647	2	32
687	687	1	36
726	726	1	40
768	768	1	48
804	804	1	57
837	837	2	64
869	869	46	33
919	919	119	2
944	944	18	140
962	962	87	120
973	973	41	234

## Unit 6

- 1. Statistical software for sample selection
  - 2. Stratified multistage sampling**
  - 3. Weights for over/under sampling
  - 4. Nonresponse & noncoverage weighting
  - 5. Sampling networks: multiplicity weighting
  - 6. Non-probability sampling
- Unit 1: Sampling as a research tool
  - Unit 2: Mere randomization
  - Unit 3: Saving money
  - Unit 4: Being more efficient
  - Unit 5: Simplifying sampling
  - **Unit 6: Some extensions & applications**
    - 1. Statistical software for sample selection
    - 2. Stratified multistage sampling**
    - 3. Weights for over/under sampling
    - 4. Nonresponse & noncoverage weighting
    - 5. Sampling networks: multiplicity weighting
    - 6. Non-probability sampling

