



Ciência de Dados Quântica
2021/22

Kernel Based Methods: **Fundamentals**

LUÍS PAULO SANTOS

Material de Consulta

2

- ▶ [Schuld2021] – Secs. 2.5.4, 3.6.1; Chap. 6
- ▶ “Quantum Unsupervised and Supervised Learning on Superconducting Processors”; A. Sarma, R. Chatterjee, K. Gili, and T. Yu
arXiv: quantum-ph, 2022
<https://arxiv.org/pdf/1909.04226.pdf>
- ▶ “Building a quantum kNN classifier with Qiskit: theoretical gains put to practice”; D.J. Kok; MsC Thesis RadBoud University, 2021
https://www.ru.nl/publish/pages/913395/daniel_kok_4_maart_2021.pdf
- ▶ “Quantum k-nearest neighbor machine learning algorithm”; Afham, Afrad Basheer and Sandeep K. Goyal ; In: arXiv: 2003.09187 [quant-ph]
<https://arxiv.org/pdf/2003.09187.pdf>

Kernel Methods: concept

3

- ▶ Kernel methods are based on a **similarity measure** between data points
- ▶ **Definition:** for a data domain \mathcal{X} a kernel is a positive semi-definite bivariate function $\kappa : \mathcal{X} \times \mathcal{X} \rightarrow \mathbb{R}$
 - ▶ positive semi-definite means:
 - ▶ $\kappa(x, x') \geq 0$
 - ▶ $\kappa(x, x') = \kappa(x', x)^*$

Examples of classical kernels

4

| Name | Kernel |
|--------------------|--|
| Linear | $\mathbf{x}^T \mathbf{x}'$ |
| Gaussian | $e^{-\ x-x'\ ^2}$ |
| Sigmoid | $\tanh(\mathbf{x}^T \mathbf{x}' + c)$ |
| Euclidean distance | $\sqrt{\sum_{i=1}^N (x_i - x'_i)^2}$ |
| Hamming distance | Different bits $(\mathbf{x}, \mathbf{x}')$ |

Quantum Kernel: states overlap

5

- ▶ $|\langle \varphi | \phi \rangle|^2$ - The absolute square value of the inner product of two quantum states, called the **overlap**, can be used as a **measure of similarity** between φ and ϕ
 - ▶ $\varphi = \phi \Rightarrow |\langle \varphi | \phi \rangle|^2 = 1$
 - ▶ $\varphi \perp \phi \Rightarrow |\langle \varphi | \phi \rangle|^2 = 0$
- ▶ The SWAP test is commonly used to measure the overlap

SWAP test

6

- ▶ Let $|\varphi\rangle$ and $|\phi\rangle$ be two n qubits quantum states and consider an additional single qubit ancilla: $|0\rangle|\varphi\rangle|\phi\rangle = |0\rangle \otimes |\varphi\rangle \otimes |\phi\rangle$

- ▶ Apply an Hadamard to the ancilla:

$$(H \otimes \mathbb{I}^n \otimes \mathbb{I}^n) |0\rangle |\varphi\rangle |\phi\rangle = \frac{1}{\sqrt{2}} (|0\rangle + |1\rangle) |\varphi\rangle |\phi\rangle = \frac{1}{\sqrt{2}} (|0\rangle |\varphi\rangle |\phi\rangle + |1\rangle |\varphi\rangle |\phi\rangle)$$

- ▶ Swap $|\varphi\rangle$ and $|\phi\rangle$ conditioned on the ancilla being $|1\rangle$:

$$CSWAP \left(\frac{1}{\sqrt{2}} (|0\rangle |\varphi\rangle |\phi\rangle + |1\rangle |\varphi\rangle |\phi\rangle) \right) = \frac{1}{\sqrt{2}} (|0\rangle |\varphi\rangle |\phi\rangle + |1\rangle |\phi\rangle |\varphi\rangle)$$

- ▶ Applying another Hadamard to the ancilla results in :

$$\begin{aligned} |\psi\rangle &= (H \otimes \mathbb{I}^n \otimes \mathbb{I}^n) \left(\frac{1}{\sqrt{2}} (|0\rangle |\varphi\rangle |\phi\rangle + |1\rangle |\phi\rangle |\varphi\rangle) \right) \\ &= \frac{1}{2} |0\rangle \otimes (|\varphi\rangle |\phi\rangle + |\phi\rangle |\varphi\rangle) + \frac{1}{2} |1\rangle \otimes (|\varphi\rangle |\phi\rangle - |\phi\rangle |\varphi\rangle) \end{aligned}$$

SWAP test

7

$$|\psi\rangle = \frac{1}{2}|0\rangle \otimes (|\varphi\rangle|\phi\rangle + |\phi\rangle|\varphi\rangle) + \frac{1}{2}|1\rangle \otimes (|\varphi\rangle|\phi\rangle - |\phi\rangle|\varphi\rangle)$$

- ▶ Let $p_0(|\psi\rangle)$ (respectively $p_1(|\psi\rangle)$) be the probability of measuring $|0\rangle$ (respectively $|1\rangle$) in the ancilla. It can be shown that:
 - ▶ $p_0(|\psi\rangle) = \frac{1}{2} + \frac{1}{2}|\langle\varphi|\phi\rangle|^2$ and $p_1(|\psi\rangle) = \frac{1}{2} - \frac{1}{2}|\langle\varphi|\phi\rangle|^2$
- ▶ Therefore $|\langle\varphi|\phi\rangle|^2 = p_0(|\psi\rangle) - p_1(|\psi\rangle)$

SWAP test

8

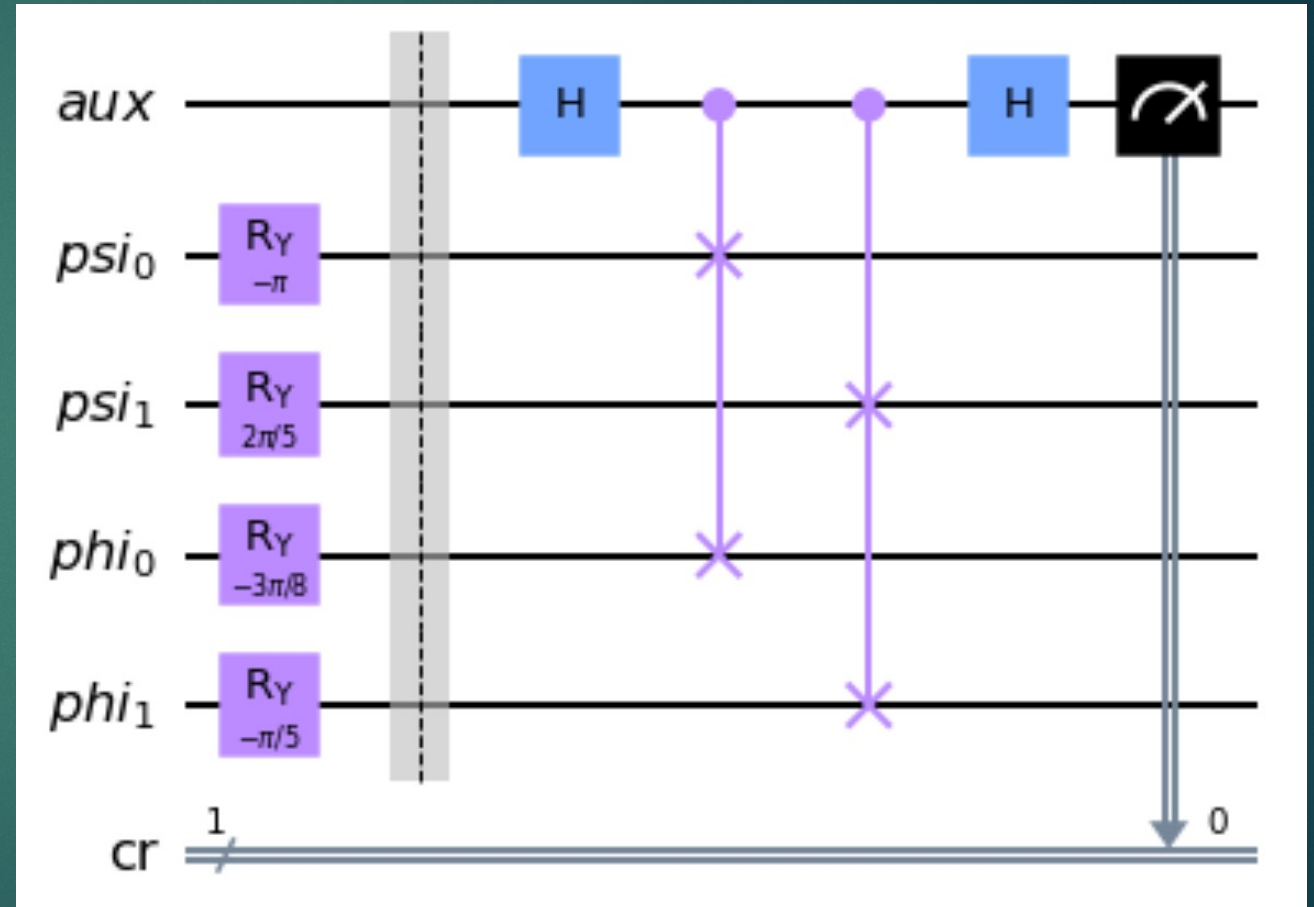
```
def overlap:
```

```
    counts = execute_swap (shots)
```

```
    probs[0] = counts[0]/shots
```

```
    probs[1] = counts[1]/shots
```

```
    return probs[0] - probs[1]
```



Clustering

9

- ▶ **clustering** is an unsupervised learning algorithm **partitioning** N data points (or feature vectors) x^i into subsets, or **clusters**.

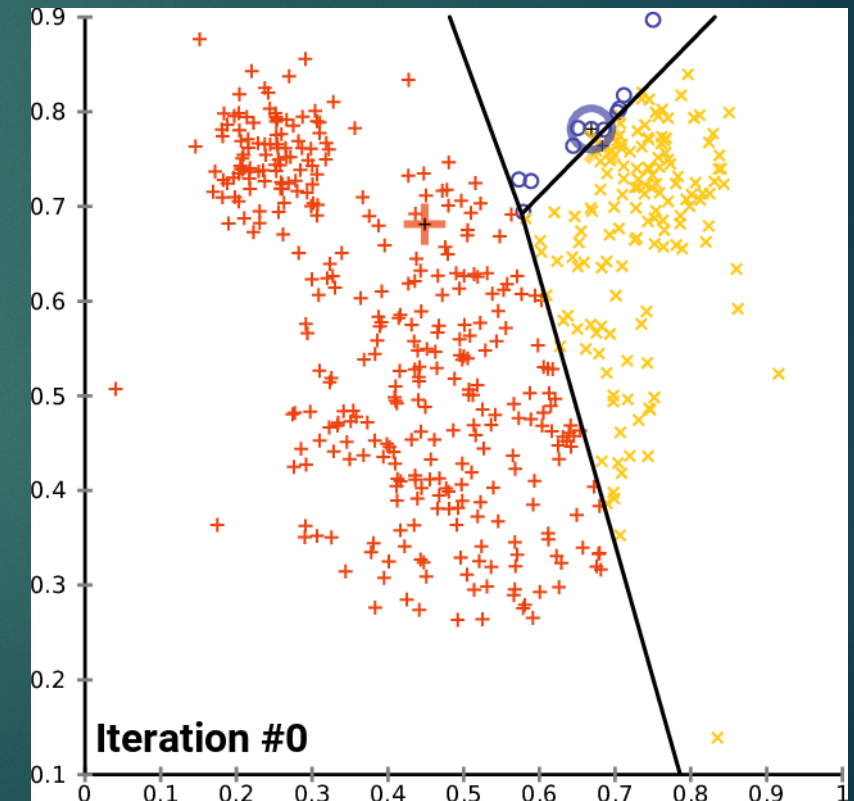
The algorithm seeks to find the clusters which **minimize the dissimilarity** between each cluster's members.

K-means clustering

10

- ▶ Given a data non-labelled data set $\mathcal{D} = \{\mathbf{x}^1, \dots, \mathbf{x}^M\}$ of M data points and the number K of desired clusters, partition \mathcal{D} into K subsets, minimizing the distance among cluster members.

1. randomly select K centroids \mathcal{C}^k from $\mathcal{D} = \{\mathbf{x}^1, \dots, \mathbf{x}^M\}$
2. while not stop
 1. for each $\mathbf{x}^i \in \mathcal{D}$
 1. for each cluster with centroid $\mathcal{C}^k, k = 1 \dots K$
 1. $\text{distance}_{i,k} = 1 - \kappa(\mathcal{C}^k, \mathbf{x}^i)$ ← **QUANTUM**
 2. assign \mathbf{x}^i to cluster k with minimum $\text{distance}_{i,k}$
 2. for each cluster $k = 1 \dots K$ compute the new centroid as the mean of all the cluster members
 3. if (new centroids == previous centroids) stop = True



K-means clustering

11

```
K = ...                                # set K

centroids = [xi for i in random.sample(range(len(D)),k=K)]  # initialize the centroids by randomly select K points within D

stop = False
iterations = 0
while not stop:
    iterations += 1
    clusters = [ [] for _ in range(K)]
    for x in D:
        distances = []
        for centroid in centroids:
            distances.append(1-SWAP_test(centroid,x))

        # compute the distance of x to each centroid
        # ← QUANTUM

        # find which centroid is at minimum distance
        index_min = np.argmin(distances)
        clusters[index_min].append(x)

    # compute new centroids
    centroid_change = False
    for ndx, cluster in enumerate(clusters):
        new_centroid = []
        for f in range(n_features):
            avg_f = sum(member[f] for member in cluster)/len(cluster)
            new_centroid.append(avg_f)

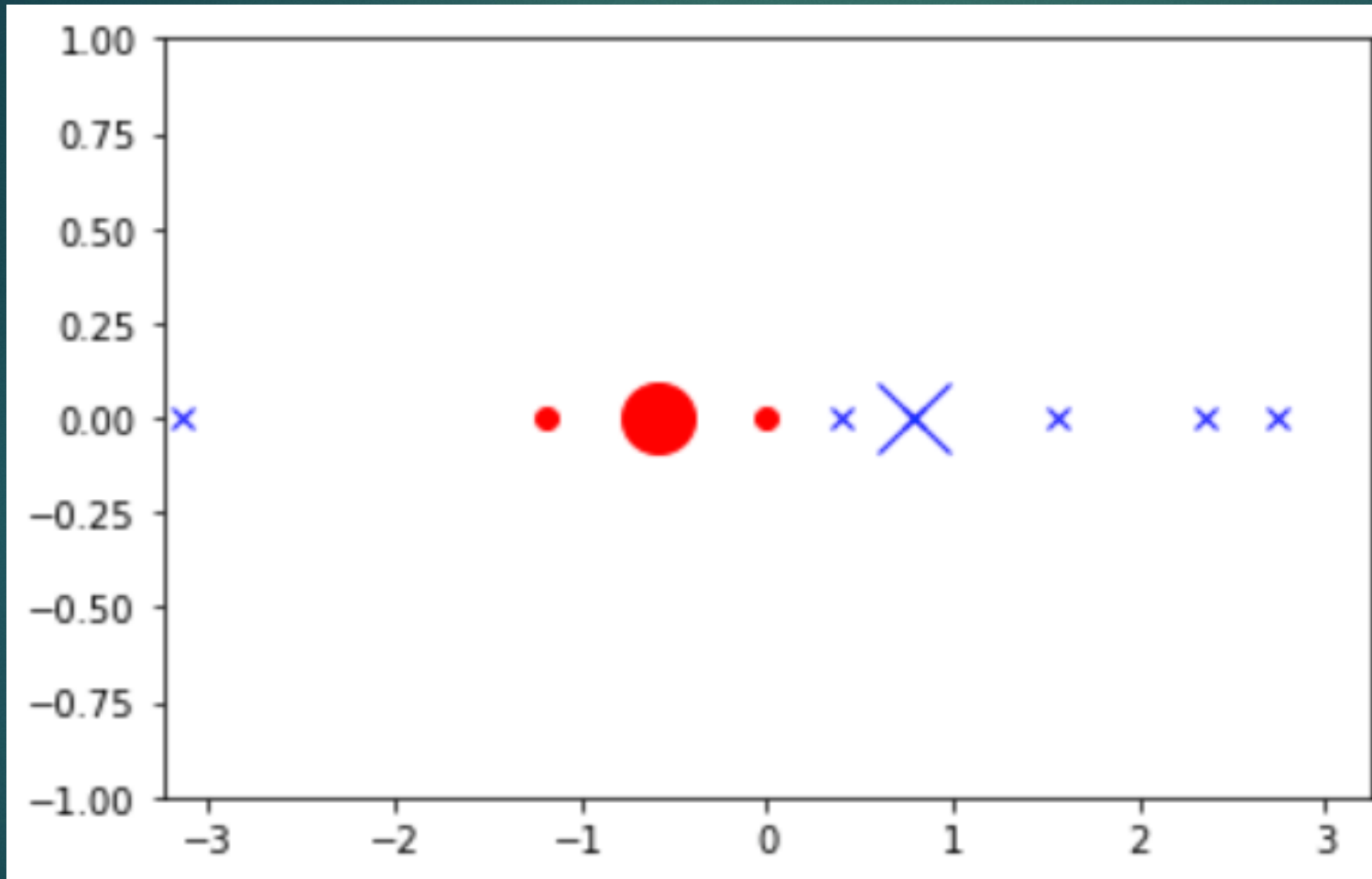
        # average each feature across all points in the current cluster

        if new_centroid != centroids[ndx]:
            centroids[ndx] = new_centroid
            centroid_change = True

    if not centroid_change: stop = True
```


K-means clustering

12



0 iterations

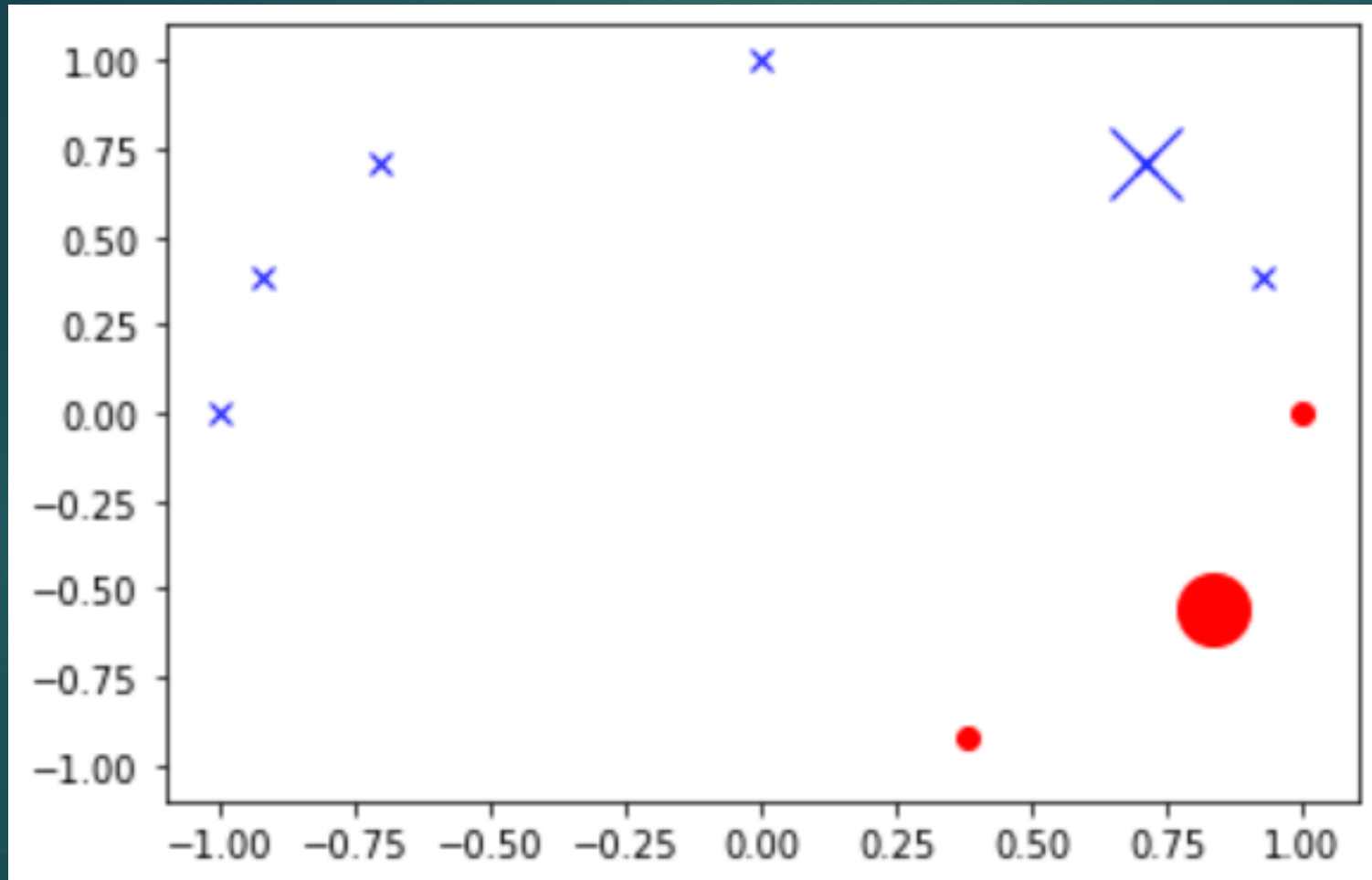
1 iterations

2 iterations

4 iterations

K-means clustering

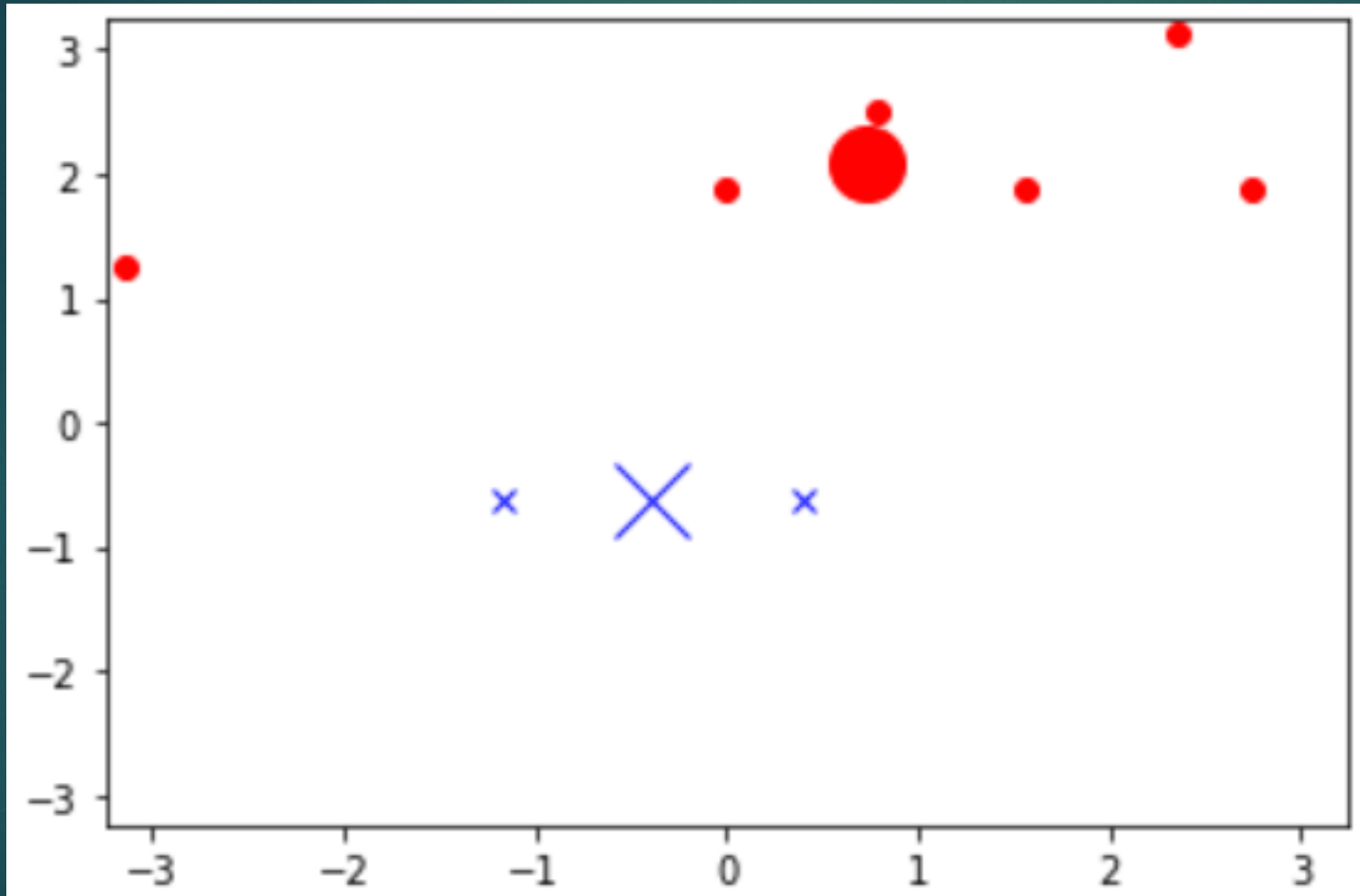
13



4 iterations

K-means clustering

14



0 iterations

1 iterations

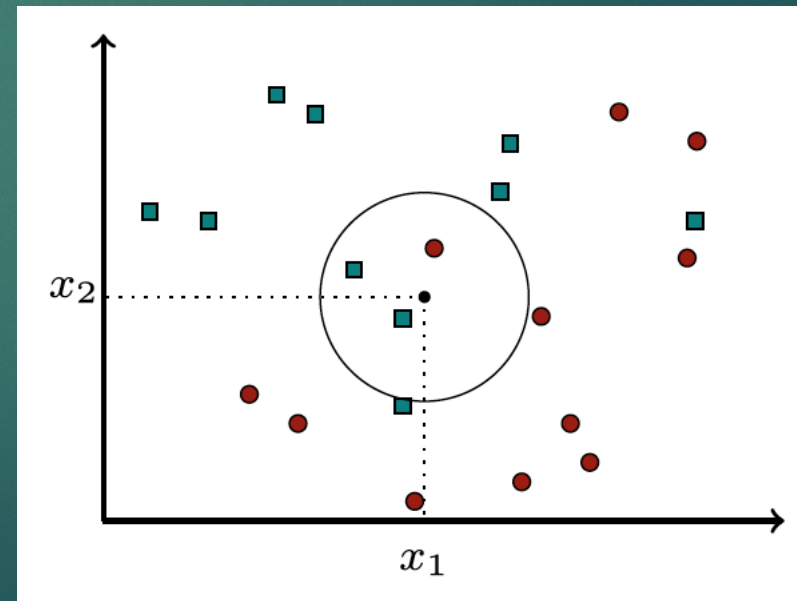
2 iterations

4 iterations

K-Nearest Neighbours

15

- ▶ Given a labelled dataset $\mathcal{D} = \{(\mathbf{x}^1, y^1), \dots, (\mathbf{x}^M, y^M)\}$, select the K closest training inputs relative to the new input \mathbf{x} according to the similarity metric of choice $= \kappa(\mathbf{x}, \mathbf{x}^i)$
- ▶ The respective class y can be chosen as the majority class among the neighbours for a classification task



K-Nearest Neighbours

16

```
Classes = 2                # set number of classes
K = 3                      # set K

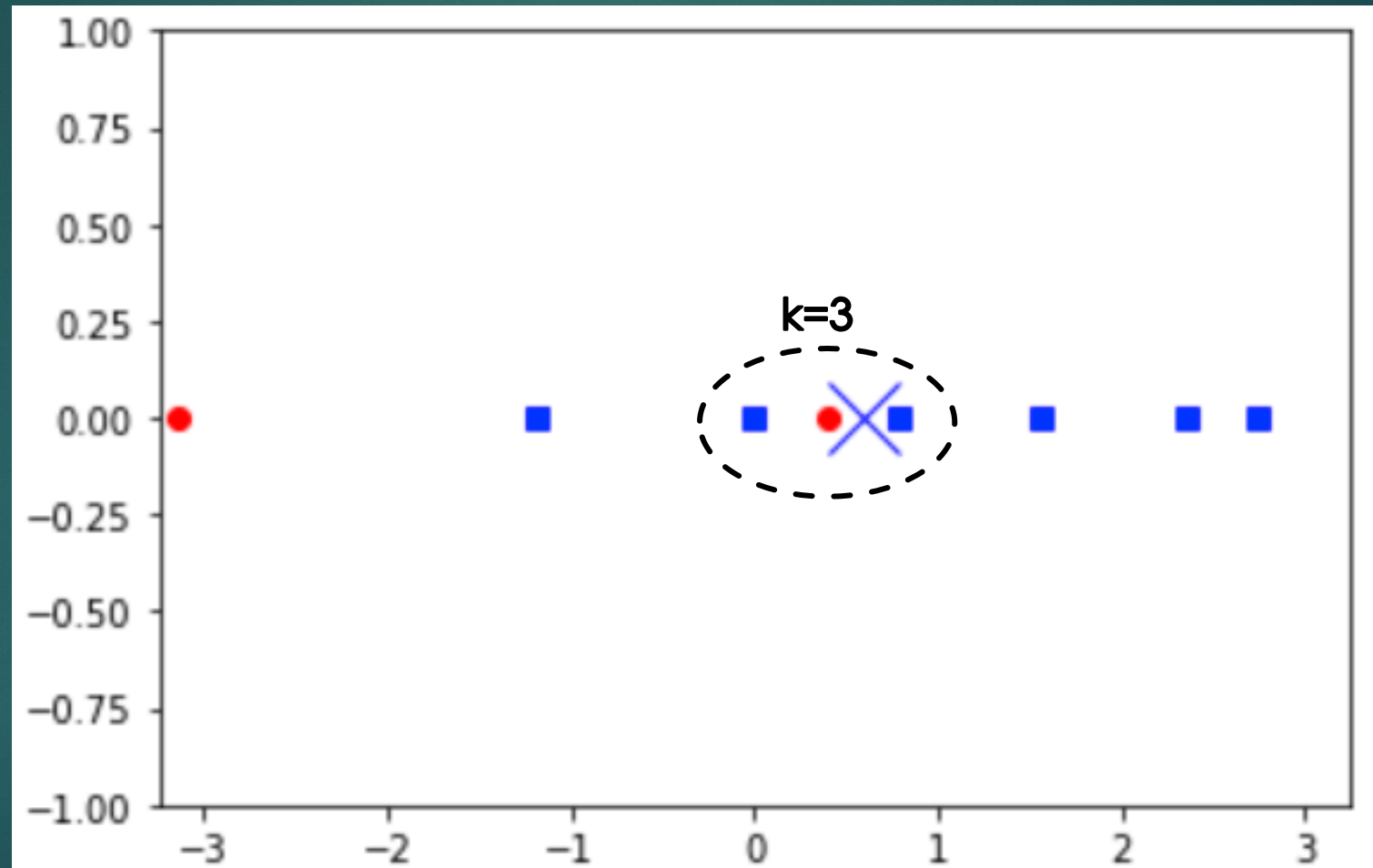
# p is the point being classified
distances = [1-SWAP_test(p, x) for x in X]

# sort the indexes and get the K smallest distances
indexes=np.argsort(distances)[:K]

count = [0] * Classes
K_neigh = [Y[ndx] for ndx in indexes]
for y in K_neigh:
    count[y] += 1
classification = np.argmax(count)
```

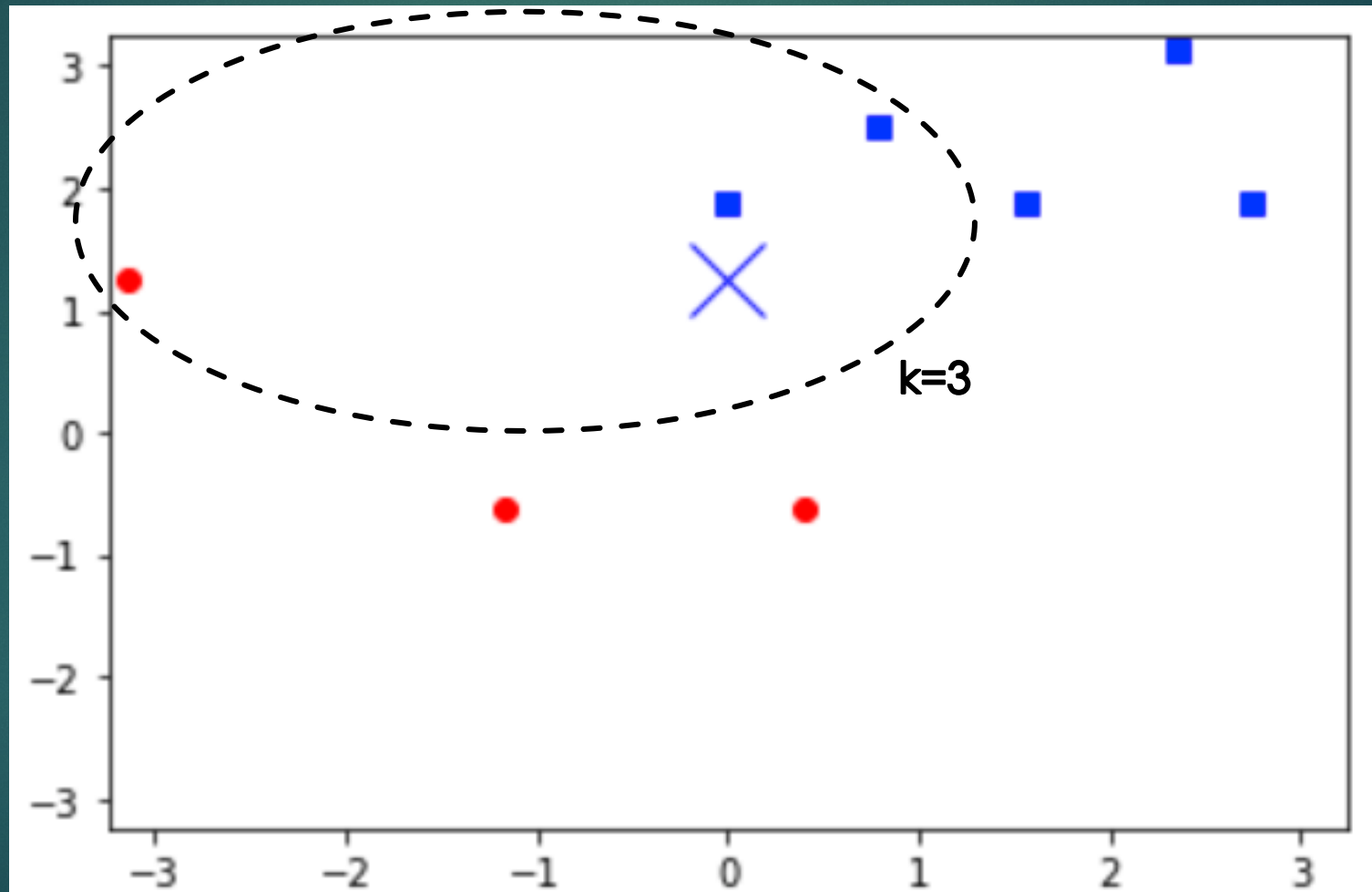

K-Nearest Neighbours

17



K-Nearest Neighbours

18



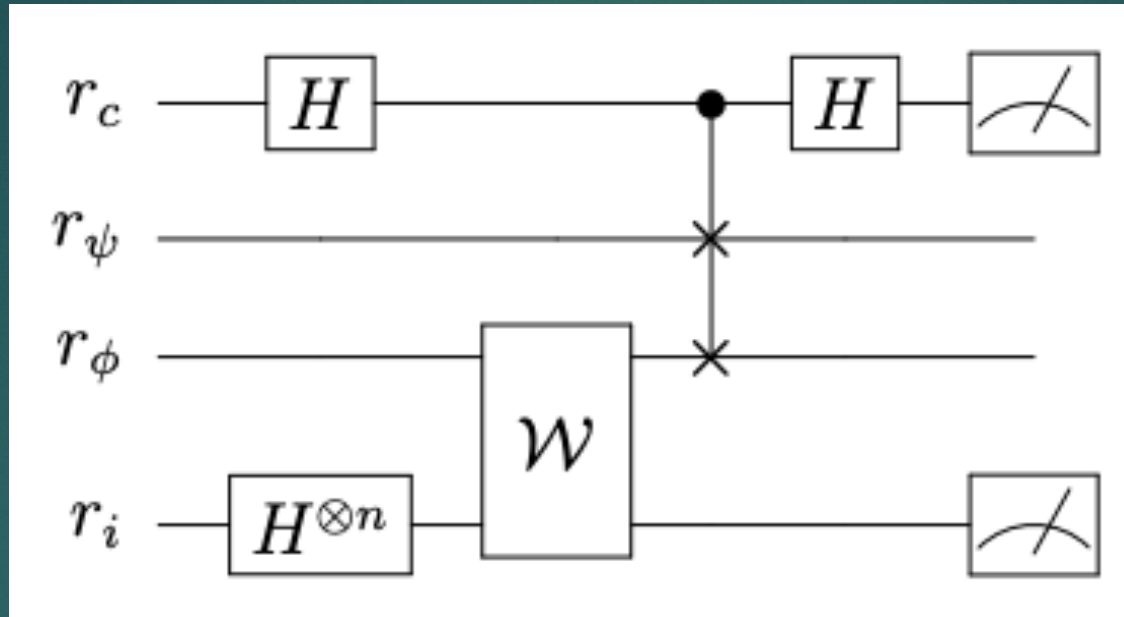
K-Nearest Neighbours

19

- ▶ The presented approach has a drawback:
it requires a different circuit for each different $\kappa(\mathbf{x}, \mathbf{x}^i), i = 1 \dots M$
- ▶ If all the \mathbf{x}^i can be loaded into a superposition is it possible to simultaneously compute all $\kappa(\mathbf{x}, \mathbf{x}^i), i = 1 \dots M$?

K-Nearest Neighbours

20

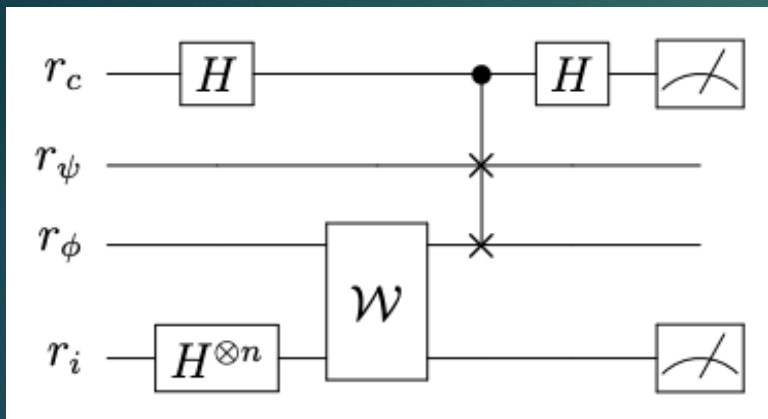


where:

- ▶ after the Hadamards, $|r_i\rangle = \frac{1}{\sqrt{M}} \sum_{i=0}^{M-1} |i\rangle$
- ▶ \mathcal{W} acts upon $|r_\phi\rangle$: $\mathcal{W}|i\rangle|0\rangle = \mathcal{W}|i\rangle|x^i\rangle$

K-Nearest Neighbours

21



$$\blacktriangleright p_0 = \frac{1}{2} + \frac{1}{2M} \sum_{i=1}^M \|\langle x | x^i \rangle\|^2 \quad ; \quad p_1 = \frac{1}{2} - \frac{1}{2M} \sum_{i=1}^M \|\langle x | x^i \rangle\|^2$$

$$\blacktriangleright p_0(i) = \frac{1 + \|\langle x | x^i \rangle\|^2}{M + \sum_{i=1}^M \|\langle x | x^i \rangle\|^2} \quad p_1(i) = \frac{1 - \|\langle x | x^i \rangle\|^2}{M - \sum_{i=1}^M \|\langle x | x^i \rangle\|^2}$$

$$\blacktriangleright q(i) = p_0(i) - p_1(i) = \frac{2(F_i - \langle F \rangle)}{M(1 - \langle F \rangle^2)}$$

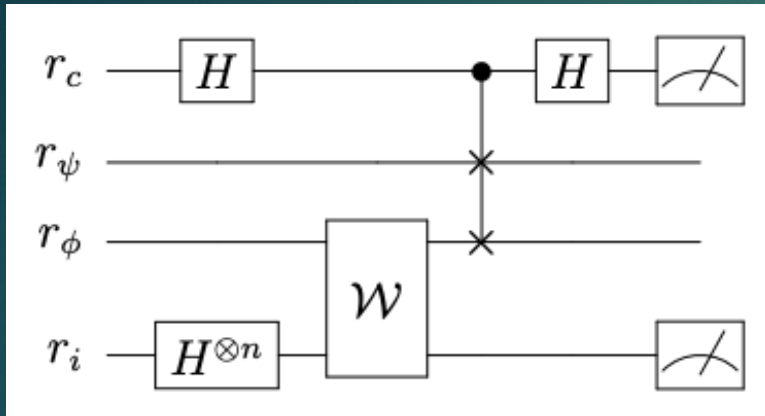
where $F_i = \|\langle x | x^i \rangle\|^2$ is the fidelity

$\langle F \rangle = \sum_{i=1}^M F_i / M$ is the average fidelity

$$\blacktriangleright F_i = \|\langle x | x^i \rangle\|^2 = \frac{M}{2} q(i) [1 - (p_0 - p_1)^2] + (p_0 - p_1)$$

K-Nearest Neighbours

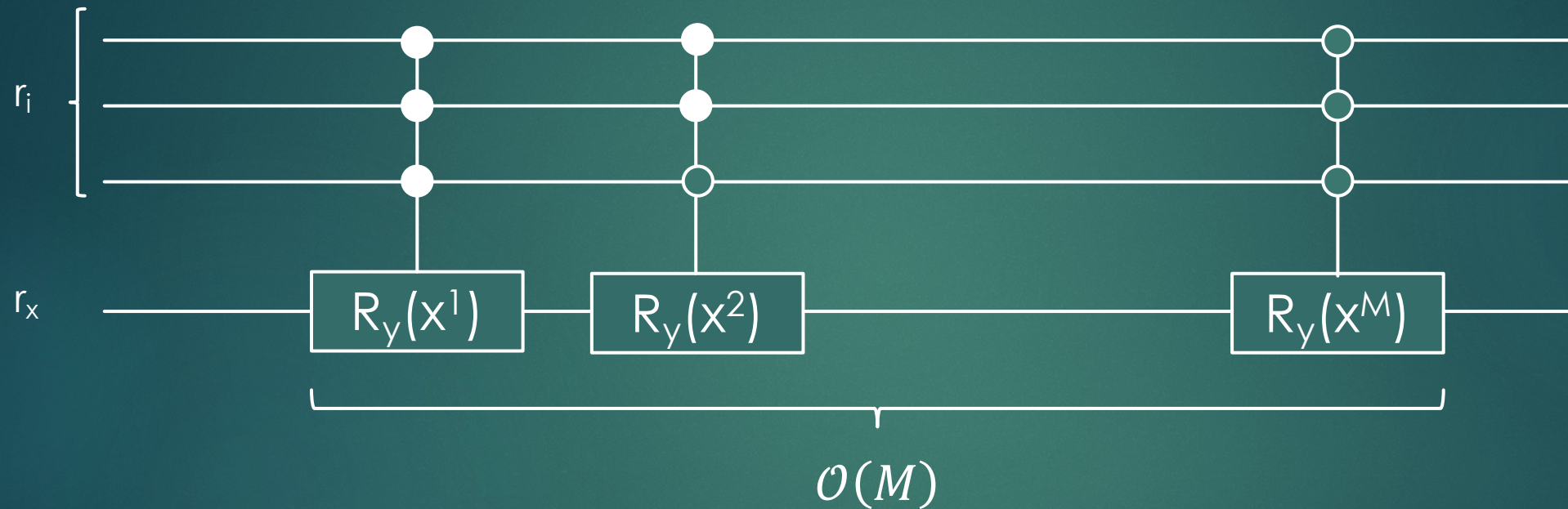
22



- ▶ Execute the circuit T times and let:
 - ▶ T_n be the count of $r_c = n$, $n \in \{0,1\}$
 - ▶ $c_n(i)$ be the count of $r_i = i$, given $r_c = n$, $n \in \{0,1\}$
- ▶ Then:
 - ▶ $p_n \approx \overline{p_n} = T_n/T$ $n \in \{0,1\}$
 - ▶ $p_n(i) \approx \overline{p_n}(i) = c_n(i)/T_n$ $n \in \{0,1\}$
 - ▶ $q(i) \approx \overline{q}(i) = \overline{p_0}(i) - \overline{p_1}(i)$
- ▶ $F_i = \|\langle x | x^i \rangle\|^2 \approx \frac{M}{2} \overline{q}(i) [1 - (\overline{p_0} - \overline{p_1})^2] + (\overline{p_0} - \overline{p_1})$

K-Nearest Neighbours – W operator

23

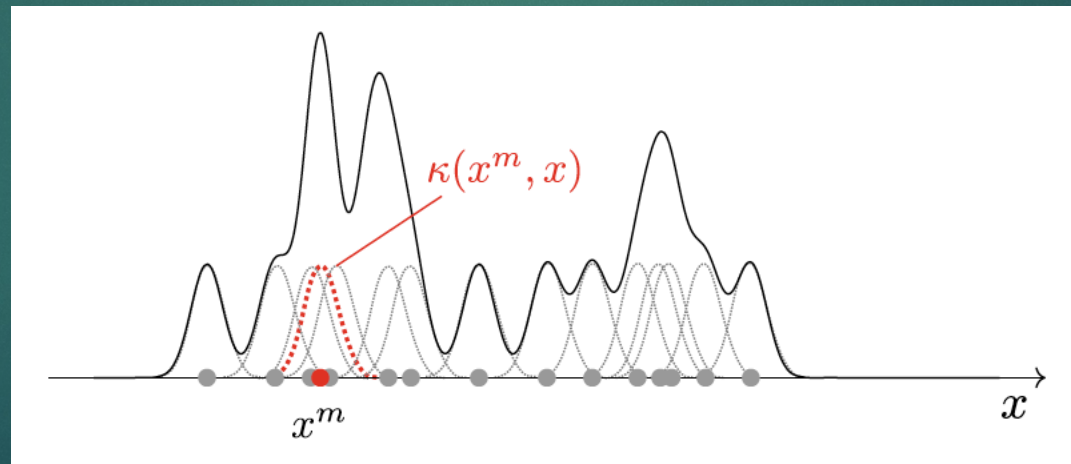


Kernel density estimation

24

- ▶ Kernel density estimation constructs a probabilistic model from data as the sum of a similarity measure κ between all M training inputs:

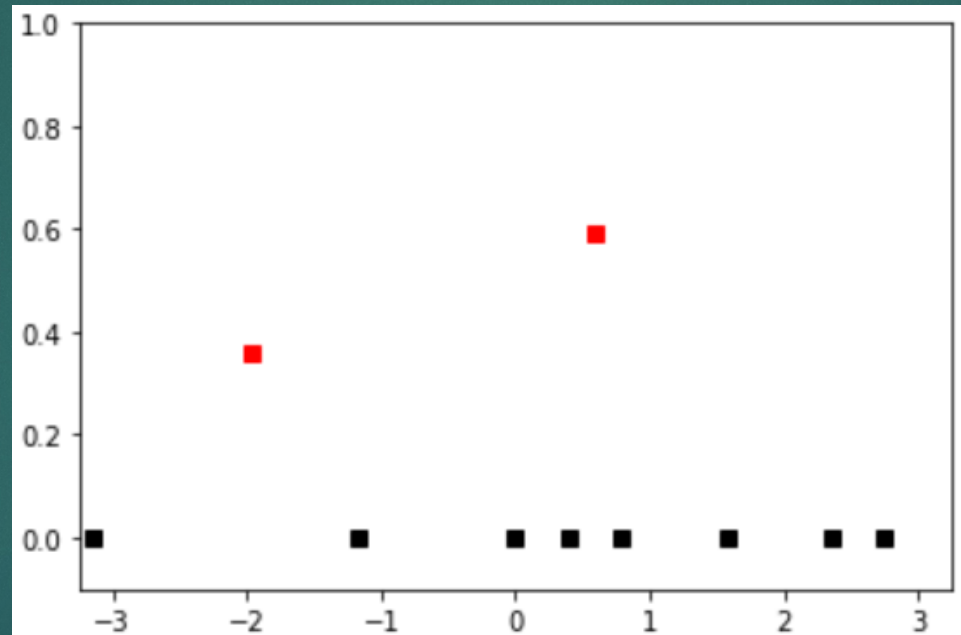
$$p(x) = \frac{1}{M} \sum_{m=1}^M \kappa(x, x^m)$$



Kernel Density Estimation

25

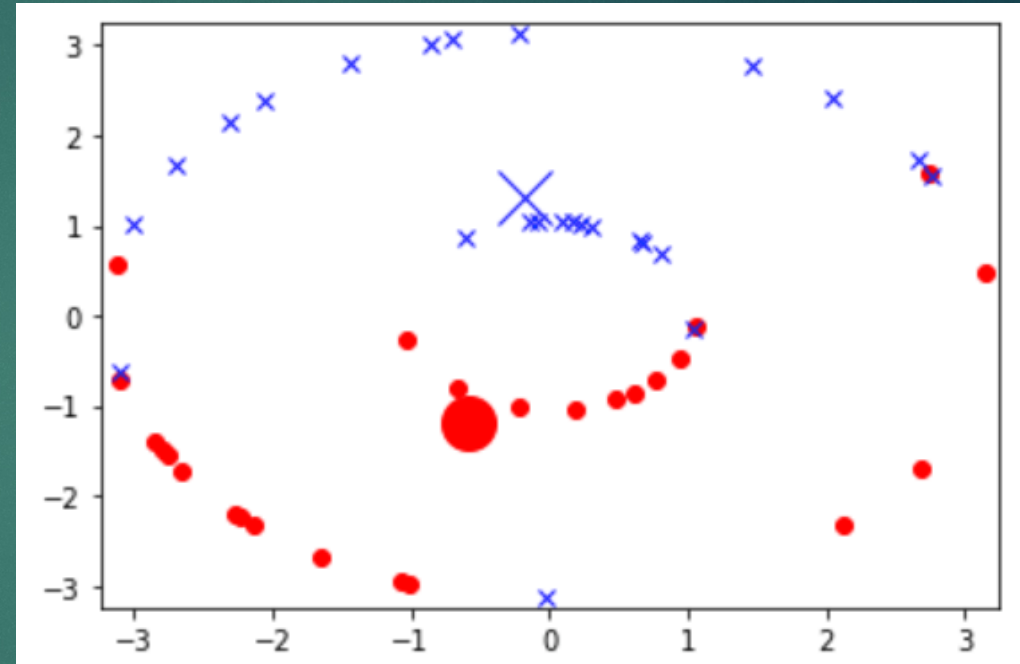
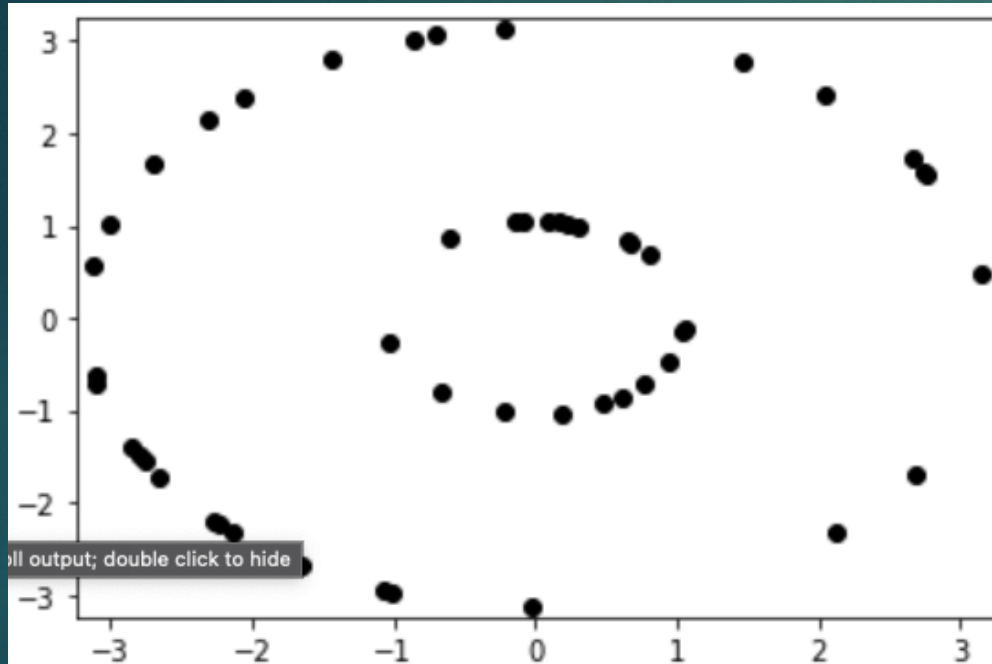
```
# q is the point whose probability we want  
summation = sum ( [SWAP_test(p, x) for x in X] )  
p_q = summation / M
```



$\mathcal{D} = [[-8], [-3], [0], [1], [2], [4], [6], [7]]$
 $q = [[1.5], [-5]]$

Data separability

26



Is this really the expected result?