



Ciência de Dados Quântica 2021/22

QAOA:
Quantum **A**pproximate
Optimization **A**lgorithm

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Material de Consulta

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- ▶ [Schuld2021] – Sec. 3.6.5.2; Chap. 5
- ▶ Qiskit Textbook:
Solving combinatorial optimization problems using QAOA
<https://qiskit.org/textbook/ch-applications/qaoa.html>
- ▶ Quantum Approximate Optimization Algorithm explained
<https://www.mustythoughts.com/quantum-approximate-optimization-algorithm-explained>
- ▶ Quantum TSP tutorial
https://github.com/mstechly/quantum_tsp_tutorials

Combinatorial Optimization

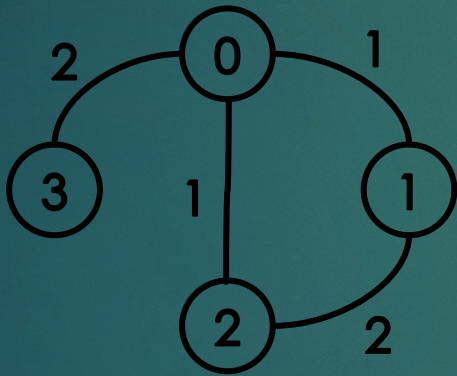
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- ▶ Combinatorial optimization problems:
finding an optimal object out of a finite set of objects.
- ▶ Our formulation:
finding optimal bit strings, $z = \{0,1\}^{\otimes n}$, out of a set of finite bitstrings

Combinatorial Optimization: MaxCut

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- Given a graph $G=(V,E)$ find the cut with maximum cost
A cut is a partitioning of the graph's nodes into 2 sets



$$A = \begin{bmatrix} 0 & 1 & 1 & 2 \\ 1 & 0 & 2 & 0 \\ 1 & 2 & 0 & 0 \\ 2 & 0 & 0 & 0 \end{bmatrix}$$

$z=z_0z_1z_2z_3$	Cut
0000	0
0001	2
0010	3
0011	5
0100	3
0101	5
0110	2
0111	4
1000	4
1001	2
1010	5
1011	3
1100	5
1101	3
1110	2
1111	0

Combinatorial Optimization: MaxSAT

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- Given Boolean statements on the bits z_i identify the bit strings which satisfy more statements

$$z = z_0 z_1 z_2$$

$$C_1(z) = z_0 z_1$$

$$C_2(z) = z_0 \bar{z}_2$$

$$C(z) = \sum_{k=1}^K C_k(z)$$

$z=z_0z_1z_2$	$C_1(z)$	$C_2(z)$	$C(z)$
000	0	0	0
001	0	0	0
010	0	0	0
011	0	0	0
100	0	1	1
101	0	0	0
110	1	1	2
111	1	0	1

Time Evolution

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- ▶ Let H be the Hamiltonian of a quantum system. Then the system time evolution, according to Schrodinger equation is:

$$i\hbar \frac{d}{dt} |\psi(t)\rangle = H |\psi(t)\rangle$$

$$|\psi(t)\rangle = e^{\frac{-iHt}{\hbar}} |\psi(0)\rangle$$

- ▶ The Hamiltonian is Hermitian ($H = H^\dagger$),

therefore $U_H(t) = e^{\frac{-iHt}{\hbar}}$ is unitary ($U_H^{-1}(t) = U_H^\dagger(t)$)

$$|\psi(t)\rangle = e^{\frac{-iHt}{\hbar}} |\psi(0)\rangle = U_H(t) |\psi(0)\rangle$$

Adiabatic Quantum Computing

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“A physical system remains in its instantaneous eigenstate if a given perturbation is acting on it slowly enough ...” [1]

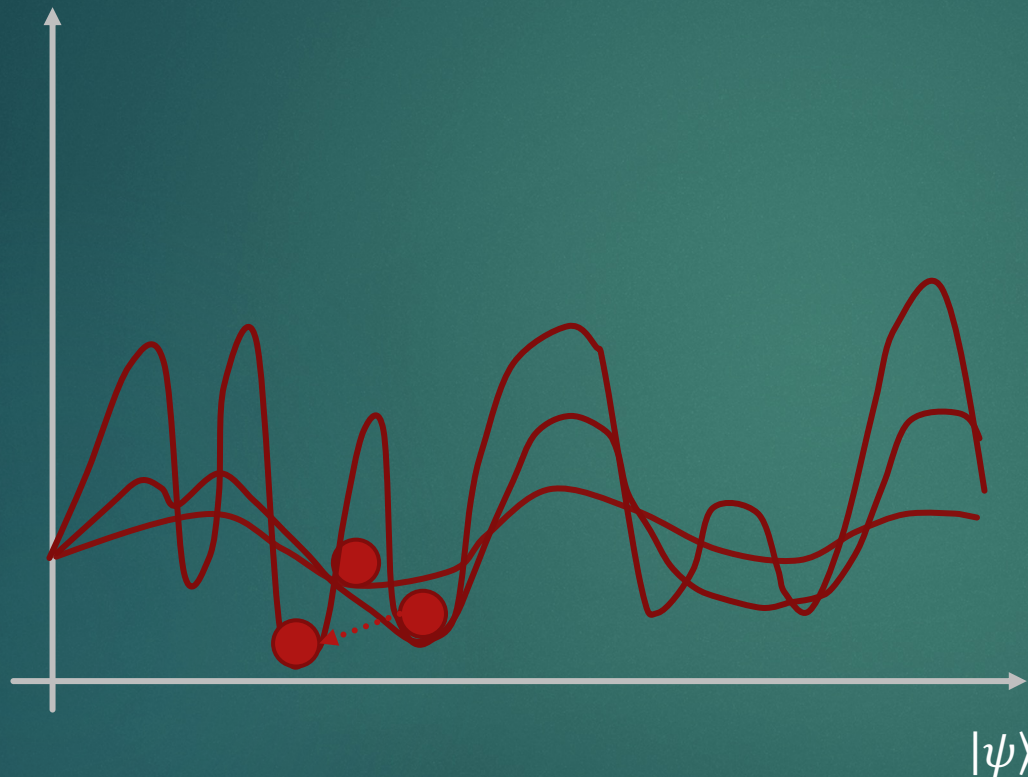
- ▶ Start from the **ground state** $|s\rangle$ of a quantum system with an **easily realisable Hamiltonian** H_0 , which corresponds to a **smooth landscape**
- ▶ Aim towards the **ground state of the Hamiltonian** H_p , which describes the quantum system of interest
- ▶ Then the **Hamiltonian** used by the **adiabatic approach** is:

$$H(t) = (1 - t) H_0 + t H_p$$

[1] - M. Born and V. A. Fock (1928). "Beweis des Adiabatenatzes". Zeitschrift für Physik A. **51** (3–4): 165–180. [doi:10.1007/BF01343193](https://doi.org/10.1007/BF01343193)

Adiabatic Quantum Computing

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Slow time evolution from the ground state of H_0 to the ground state of H_p

QAOA operator

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- ▶ Up to now:

- ▶ $|\psi(t)\rangle = e^{\frac{-iHt}{\hbar}}|\psi(0)\rangle$

- ▶ $H(t) = (1 - t) H_0 + t H_p$

- ▶ The circuit based QAOA substitutes $(1 - t)$ and t by parameters β and α , which are variationally optimized:

- ▶ $|\psi(t)\rangle = e^{\frac{-i\beta H_0}{\hbar} + \frac{-i\alpha H_p}{\hbar}}|\psi(0)\rangle$

QAOA operator: trotterization

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- ▶ if \mathbf{H}_0 and \mathbf{H}_p do not commute (and they can't commute for QAOA to converge^[2]), then:

$$e^{(-i\beta\mathbf{H}_0)+(-i\alpha\mathbf{H}_p)} \neq e^{-i\beta\mathbf{H}_0} * e^{-i\alpha\mathbf{H}_p}$$

- ▶ Lie product formula states that: $e^{A+B} = \lim_{p \rightarrow \infty} (e^{A/p} * e^{B/p})^p$

- ▶ The Trotter Suzuki formula allows truncation (trotterization) of the above product:

$$e^{A+B} = (e^{A/p} * e^{B/p})^p + \mathcal{O}(p^{-1}), \text{ for finite } p \in \mathbb{N}$$

[2] – for an explanation of the implications of commutation see:

<https://physics.stackexchange.com/questions/9194/what-is-the-physical-meaning-of-commutation-of-two-operators>

QAOA operator: trotterization

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$$e^{(-i\beta H_0)+(-iH_p)} = (e^{-i\beta H_0/p} * e^{-i\alpha H_p/p})^p + \mathcal{O}(p^{-1}), \text{ for finite } p \in \mathbb{N}$$

- ▶ Let $U(\beta, H_0) = e^{-i\beta H_0}$ and $U(\alpha, H_p) = e^{-i\alpha H_p}$, where $\frac{1}{p}$ has been absorbed into the parameters α and β

- ▶ Furthermore, allow α and β to be different for each term of the product:

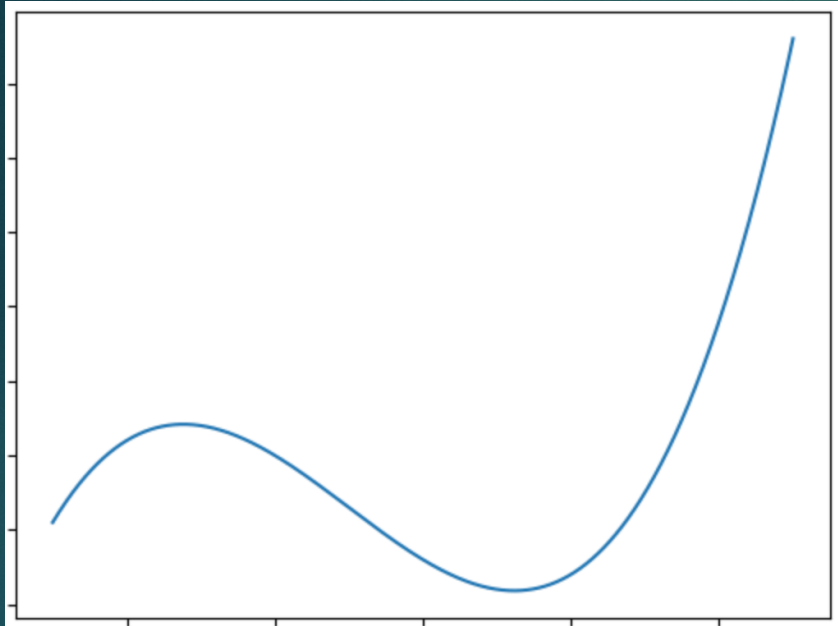
$$e^{(-i\beta H_0)+(-i\alpha H_p)} \approx \prod_{j=1}^p \left(U(\beta_j, H_0) U(\alpha_j, H_p) \right)$$

- ▶ Finally we get:

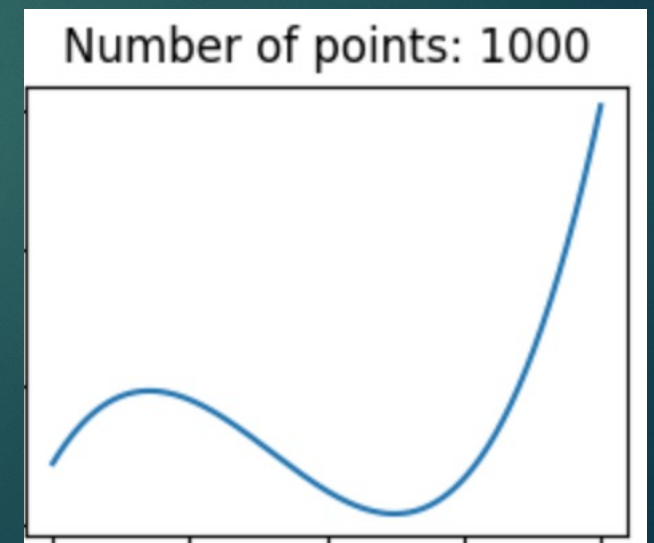
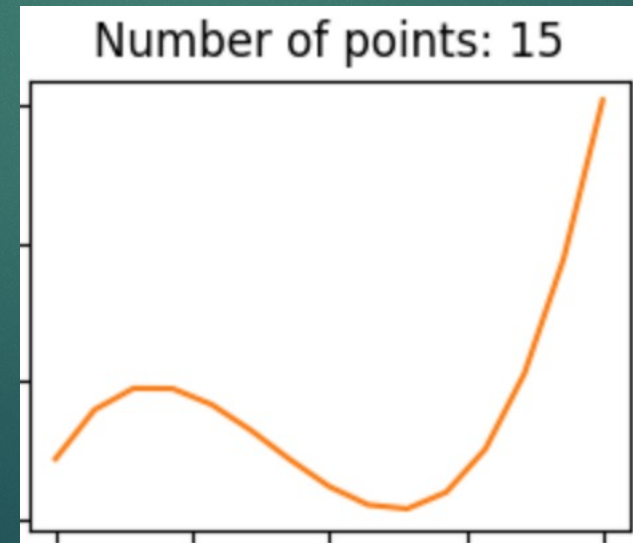
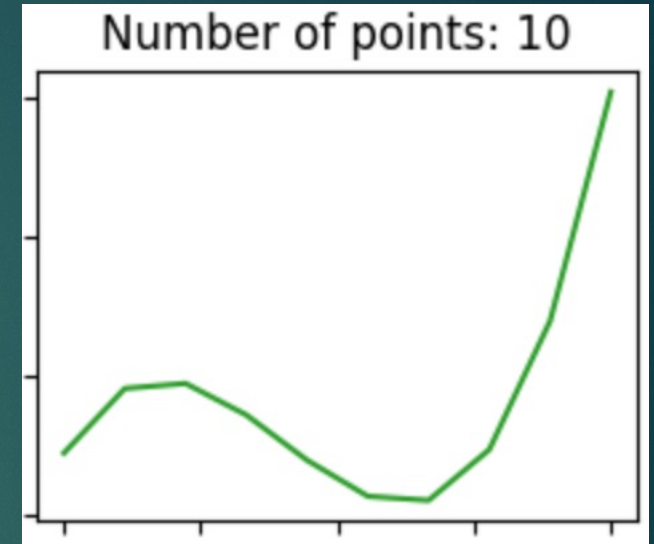
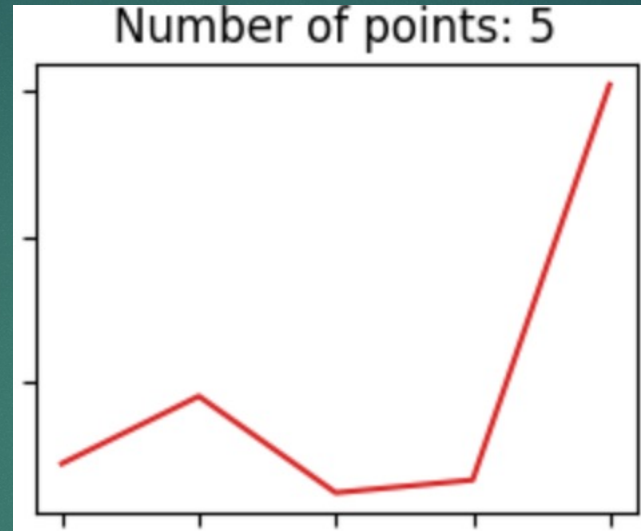
$$|\psi(\alpha, \beta)\rangle = U(\beta_p, H_0) U(\alpha_p, H_p) \cdots U(\beta_1, H_0) U(\alpha_1, H_p) |\psi(0)\rangle$$

QAOA operator: trotterization

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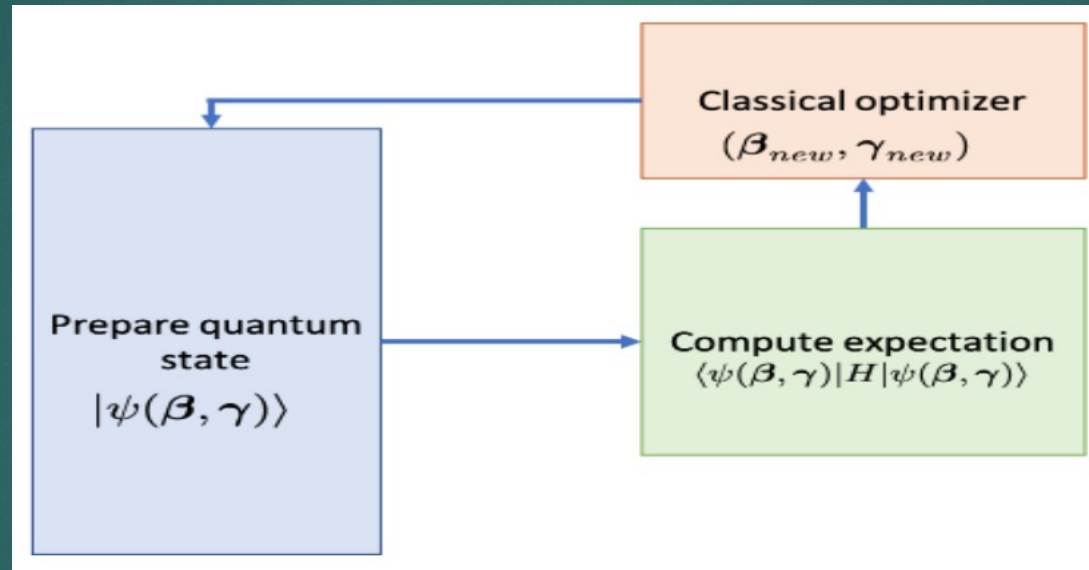
trotterization:
discretization of the time
evolution



QAOA: overall

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- find (α^*, β^*) such that the expectation of H_p is minimized:
$$|\psi(\alpha^*, \beta^*)\rangle = \underset{\alpha, \beta}{\operatorname{argmin}} \langle \psi(\alpha, \beta) | H_p | \psi(\alpha, \beta) \rangle$$



- sample basis states $|z\rangle$ from $|\psi(\alpha^*, \beta^*)\rangle$ to find a solution

The mixer Hamiltonian: H_0

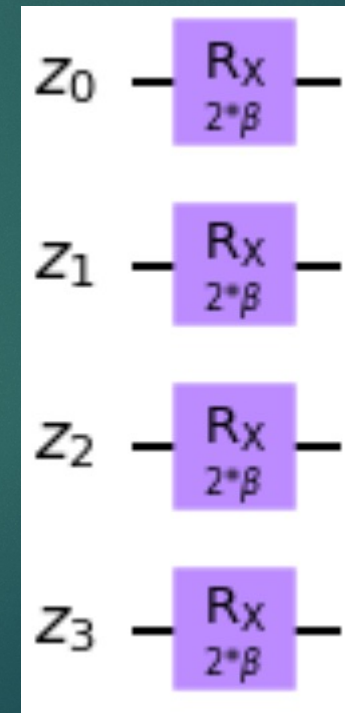
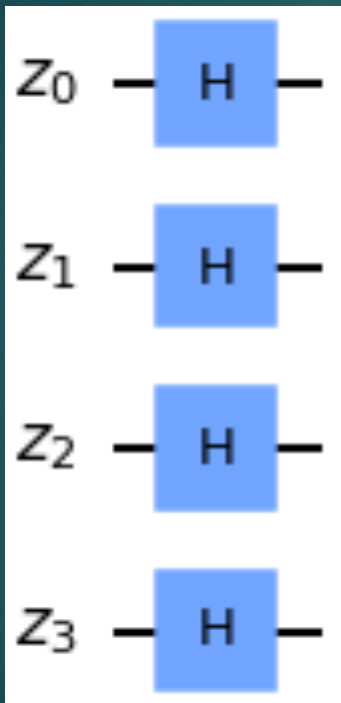
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- ▶ H_0 must:
 - ▶ have a smooth landscape
 - ▶ be easy to implement
 - ▶ have a ground state easy to prepare
 - ▶ not commute with H_p
- ▶ A good option is using a Pauli-X (σ_j^x) based Hamiltonian:
 - ▶ $H_0 = \sum_j^n \sigma_j^x$; $U(\beta, H_0) = e^{-i\beta H_0} = R_X^{\otimes n}(\beta)$, i.e, a X-rotation for all n qubits
 - ▶ The ground state of H_0 is $|+\rangle^{\otimes n} = H^{\otimes n}|0\rangle$, where H is an Hadarmard gate
 - ▶ H_p will be based on Pauli-Z operators (σ^z), which do not commute with σ^x

The mixer Hamiltonian: H_0

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$$|\psi(0)\rangle = |s\rangle = |+\rangle^{\otimes n} = H^{\otimes n}|0\rangle \quad ; \quad H_0 = \sum_j^n \sigma_j^x; \quad U(\beta, H_0) = e^{-i\beta H_0} = R_X^{\otimes n}(\beta);$$



The mixer Hamiltonian: H_0

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- ▶ What is the role of H_0 ?
 - ▶ Suppose there is no H_0 . Then the circuit would repetitively apply $U(\alpha_j, H_p)$
 - ▶ Once an eigenstate of H_p is reached the state won't evolve any further. Applying an operator to its eigenvector can change its length, but not direction.

The same would apply if H_0 would commute with H_p .
 - ▶ H_0 allows the quantum system to escape from local minima and search for the ground state;
- ▶ Imagine you're in a forest full of traps and you want to find a treasure. H_p allows you to feel the treasure and move towards it, while H_0 provides you with a set of rules on how to move to avoid the traps.
Without H_p you have no idea which direction to go and without H_0 you cannot make any moves.

[<https://www.mustythoughts.com/quantum-approximate-optimization-algorithm-explained>]

The problem Hamiltonian: H_p

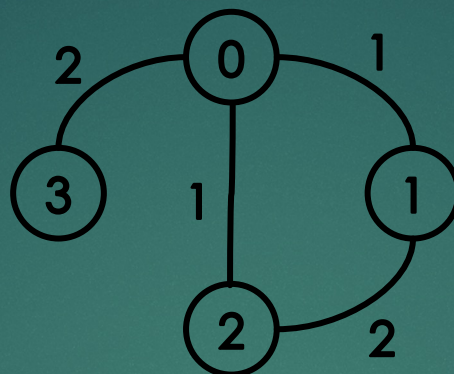
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- ▶ H_p is built from the problem cost function $C(z)$, where $z \in \{0,1\}^n$ is a bit string
- ▶ Let $z = z_0 z_1 \cdots z_n$, where $z_i = \{0,1\}$ refers to the i^{th} bit in the string
- ▶ Using Pauli-Z (σ^z) operators to develop H_p (σ^z does not commute with σ^x) we can map:
 - ▶ $z_i \rightarrow \frac{1-\sigma_i^z}{2}, \quad \sigma_i^z = 1 \Rightarrow z_i = 0; \quad \sigma_i^z = -1 \Rightarrow z_i = 1$

H_p : MaxCUT

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$G=(V,E)$



$$A = \begin{bmatrix} 0 & 1 & 1 & 2 \\ 1 & 0 & 2 & 0 \\ 1 & 2 & 0 & 0 \\ 2 & 0 & 0 & 0 \end{bmatrix}$$

- ▶ $C(z) = \sum_{(i,j) \in E} \left[w_{i,j} \left(z_i(1 - z_j) + z_j(1 - z_i) \right) \right] \quad z_i \rightarrow \frac{1 - \sigma_i^z}{2}$
- ▶ $H_p = \frac{1}{2} \sum_{(i,j) \in E} \left[w_{i,j} (1 - \sigma_i^z \sigma_j^z) \right]$
- ▶ $H_p = \frac{1}{2} \left[(1 - \sigma_0^z \sigma_1^z) + (1 - \sigma_0^z \sigma_2^z) + 2(1 - \sigma_1^z \sigma_2^z) + 2(1 - \sigma_0^z \sigma_3^z) \right]$
- ▶ $H_p = (\sigma_0^z \otimes \sigma_1^z \otimes \mathbb{I}_2 \otimes \mathbb{I}_3) + (\sigma_0^z \otimes \mathbb{I}_1 \otimes \sigma_2^z \otimes \mathbb{I}_3) + 2(\mathbb{I}_0 \otimes \sigma_1^z \otimes \sigma_2^z \otimes \mathbb{I}_3) + 2(\sigma_0^z \otimes \mathbb{I}_1 \otimes \mathbb{I}_2 \otimes \sigma_3^z)$
- ▶ $U(\alpha, H_p) = e^{-i\alpha(\sigma_0^z \otimes \sigma_1^z \otimes \mathbb{I}_2 \otimes \mathbb{I}_3)} e^{-i\alpha(\sigma_0^z \otimes \mathbb{I}_1 \otimes \sigma_2^z \otimes \mathbb{I}_3)} e^{-i2\alpha(\mathbb{I}_0 \otimes \sigma_1^z \otimes \sigma_2^z \otimes \mathbb{I}_3)} e^{-i2\alpha(\sigma_0^z \otimes \mathbb{I}_1 \otimes \mathbb{I}_2 \otimes \sigma_3^z)}$

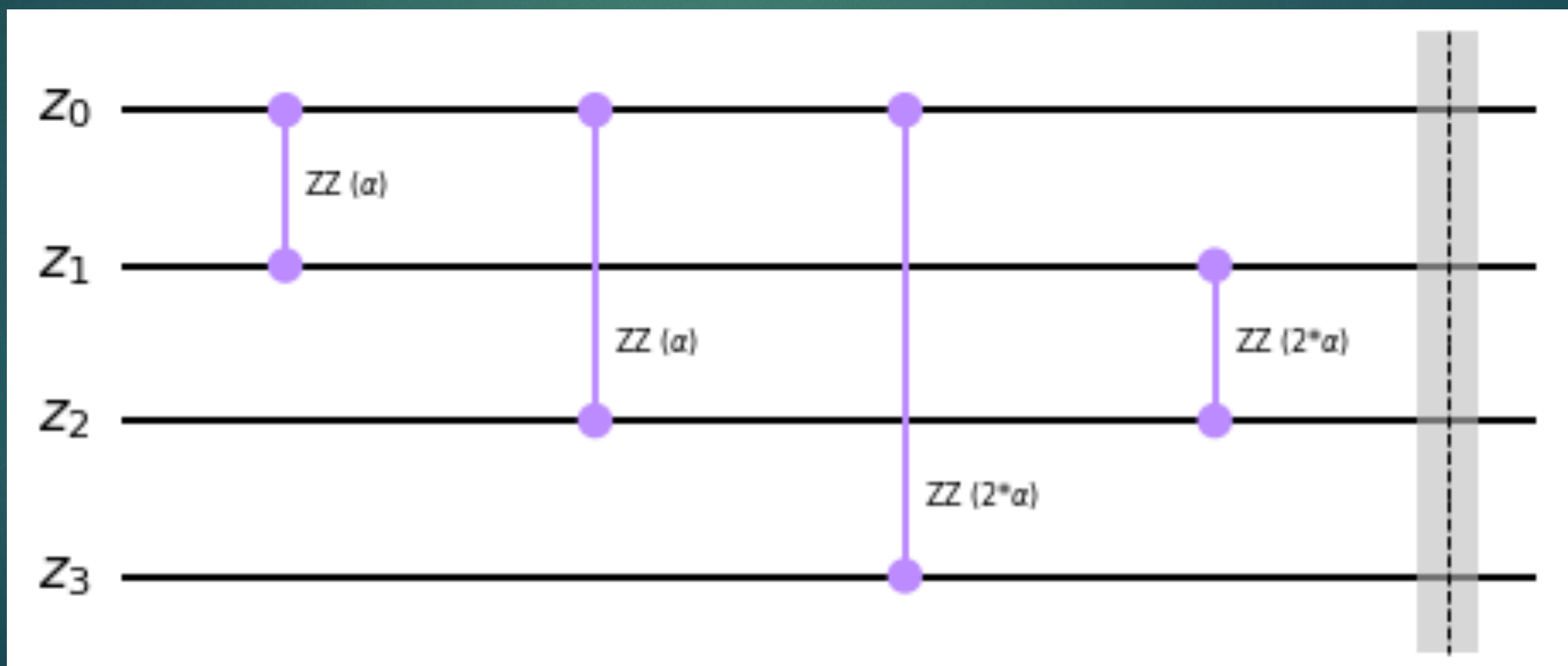
See section 4 of <https://arxiv.org/pdf/1001.3855.pdf> for details on how to develop the Hamiltonian and the respective circuit

H_p : MaxCUT

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$$U(\alpha, H_p) = e^{-i\alpha(\sigma_0^Z \otimes \sigma_1^Z \otimes \mathbb{I}_2 \otimes \mathbb{I}_3)} e^{-i\alpha(\sigma_0^Z \otimes \mathbb{I}_1 \otimes \sigma_2^Z \otimes \mathbb{I}_3)} e^{-i2\alpha(\mathbb{I}_0 \otimes \sigma_1^Z \otimes \sigma_2^Z \otimes \mathbb{I}_3)} e^{-i2\alpha(\sigma_0^Z \otimes \mathbb{I}_1 \otimes \mathbb{I}_2 \otimes \sigma_3^Z)}$$

$$U(\alpha, H_p) = R_{0,1}^Z(2\alpha) R_{0,2}^Z(2\alpha) R_{1,2}^Z(4\alpha) R_{0,3}^Z(4\alpha)$$



MaxCUT: cost and loss functions

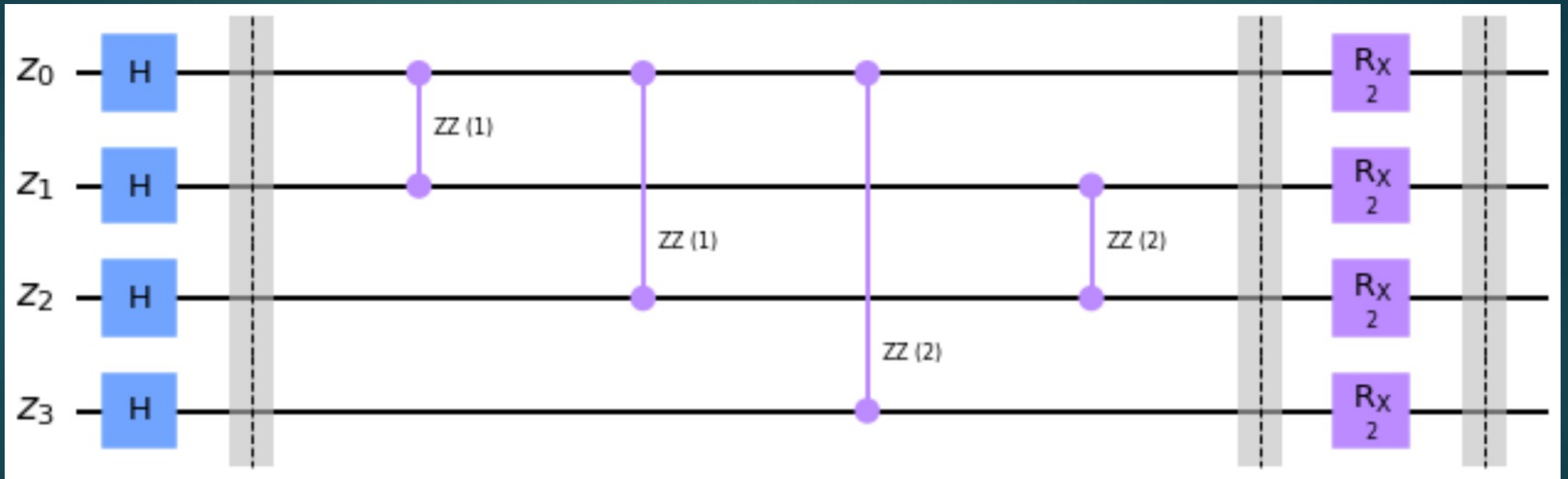
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```
def loss_function(z, counts):
    loss=0
    for i in range(n_qubits):
        for j in range(i+1, n_qubits):
            if z[i] != z[j] and A[i,j]:
                loss -= A[i,j]
    loss *= counts
    return loss

def cost_function():
    counts = execute_circuit(full_qaoa_circuit, shots=2048)
    cost = 0
    for (z, c) in counts.items():
        cost += loss_function(z, c)
    cost /= shots
    return cost
```

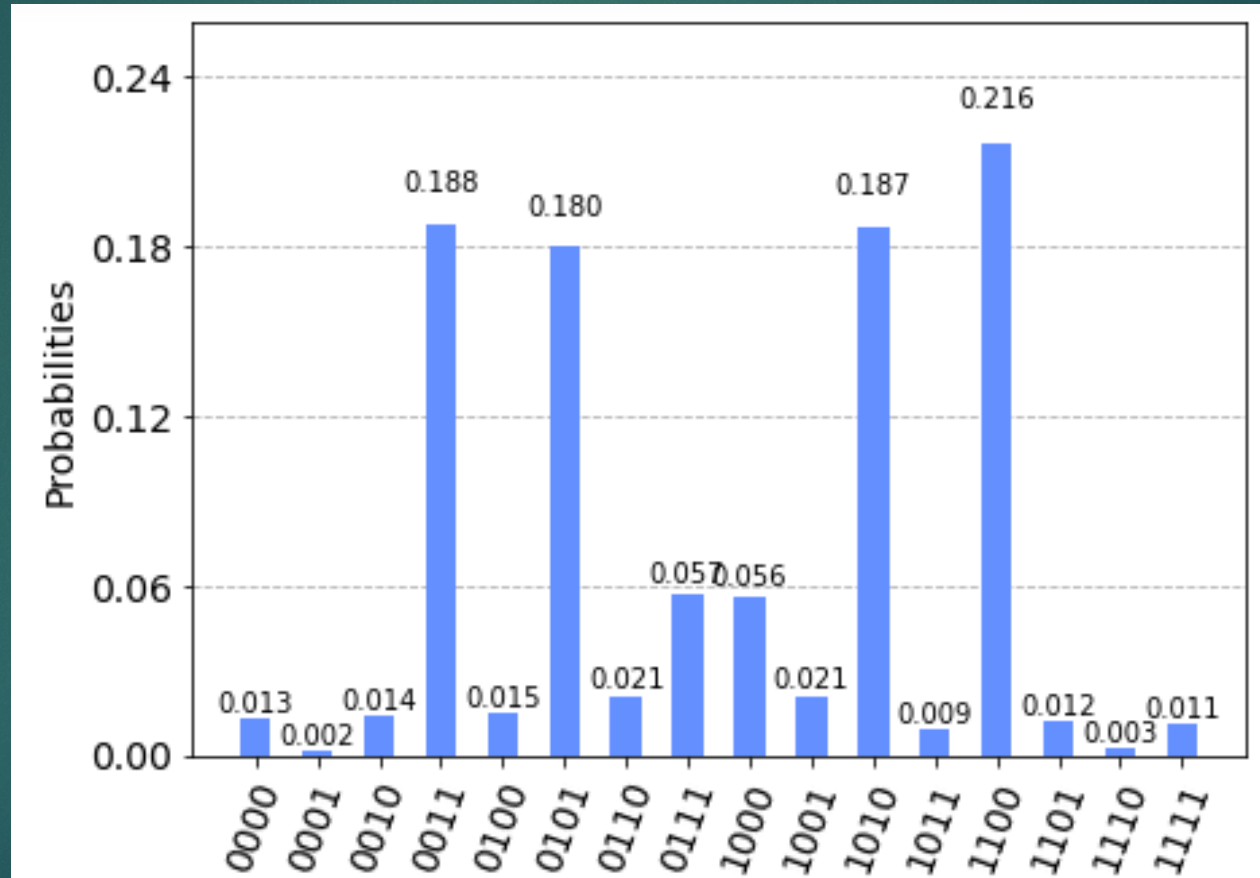
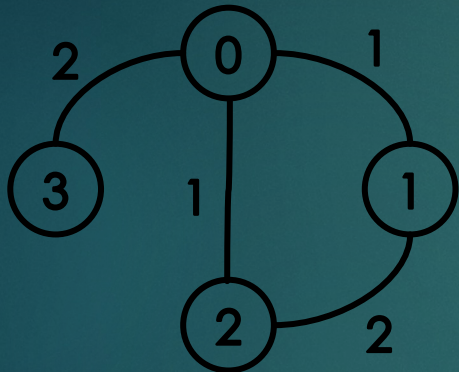

MaxCUT: $p=1$

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MaxCUT: $p=1$

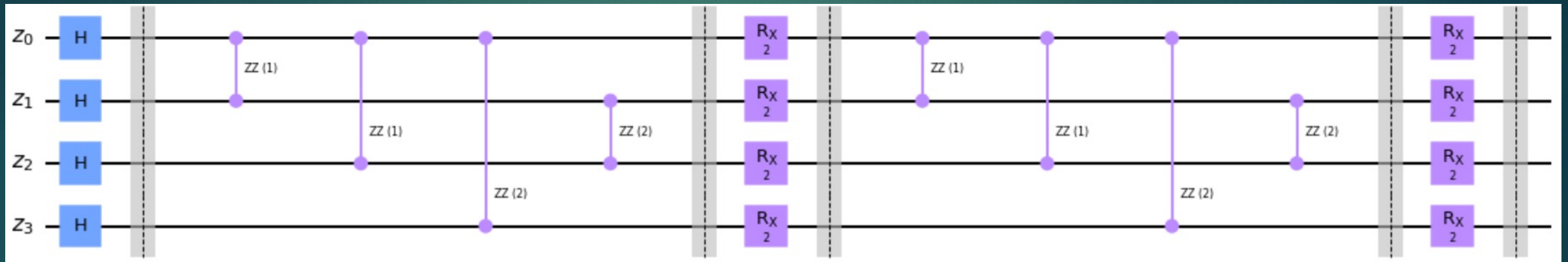
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$z=z_0z_1z_2z_3$	Cut
0000	0
0001	2
0010	3
0011	5
0100	3
0101	5
0110	2
0111	4
1000	4
1001	2
1010	5
1011	3
1100	5
1101	3
1110	2
1111	0

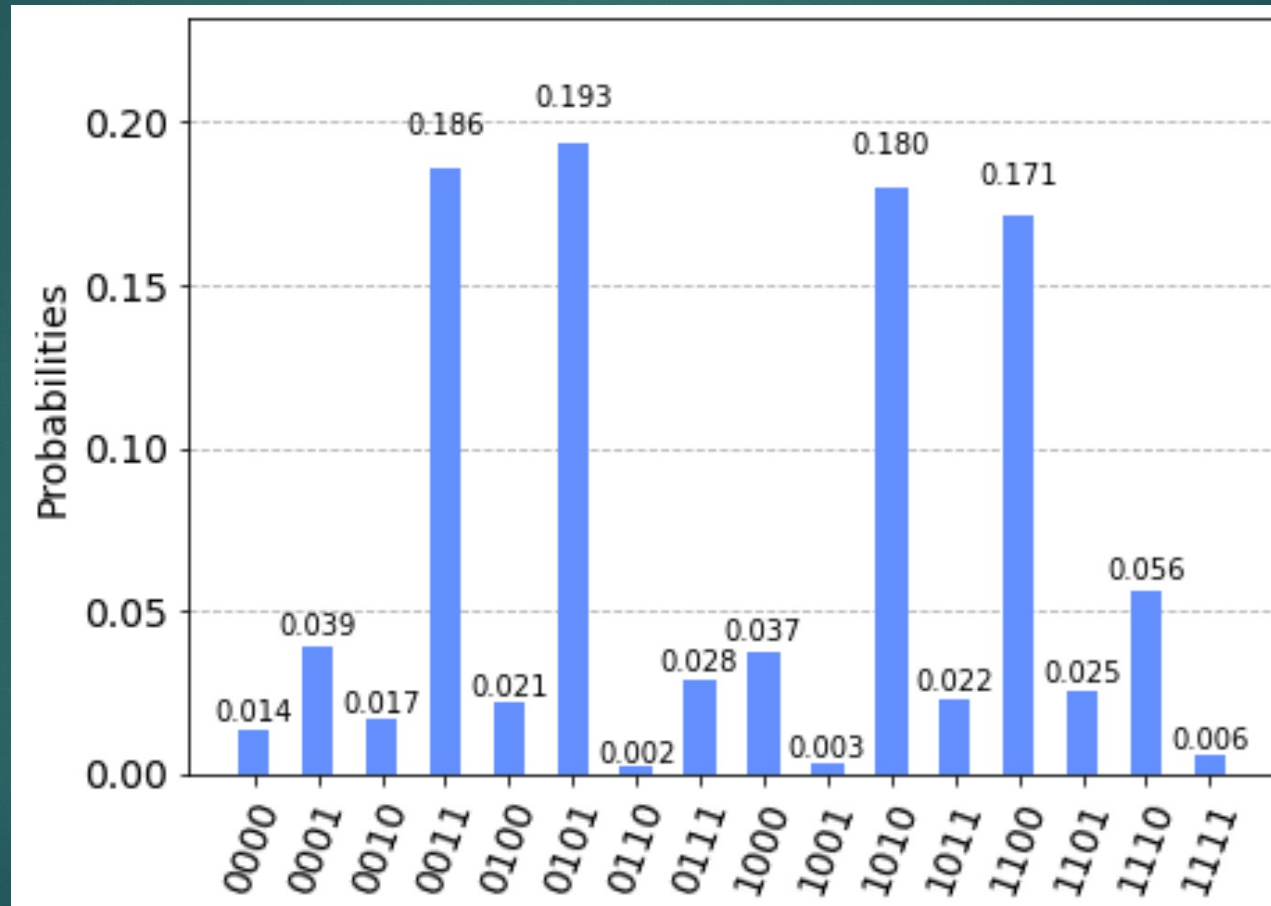
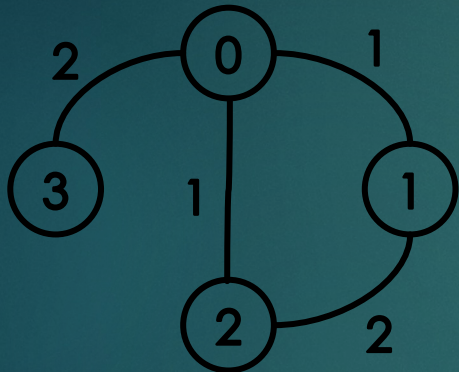
MaxCUT: $p=2$

23



MaxCUT: $p=2$

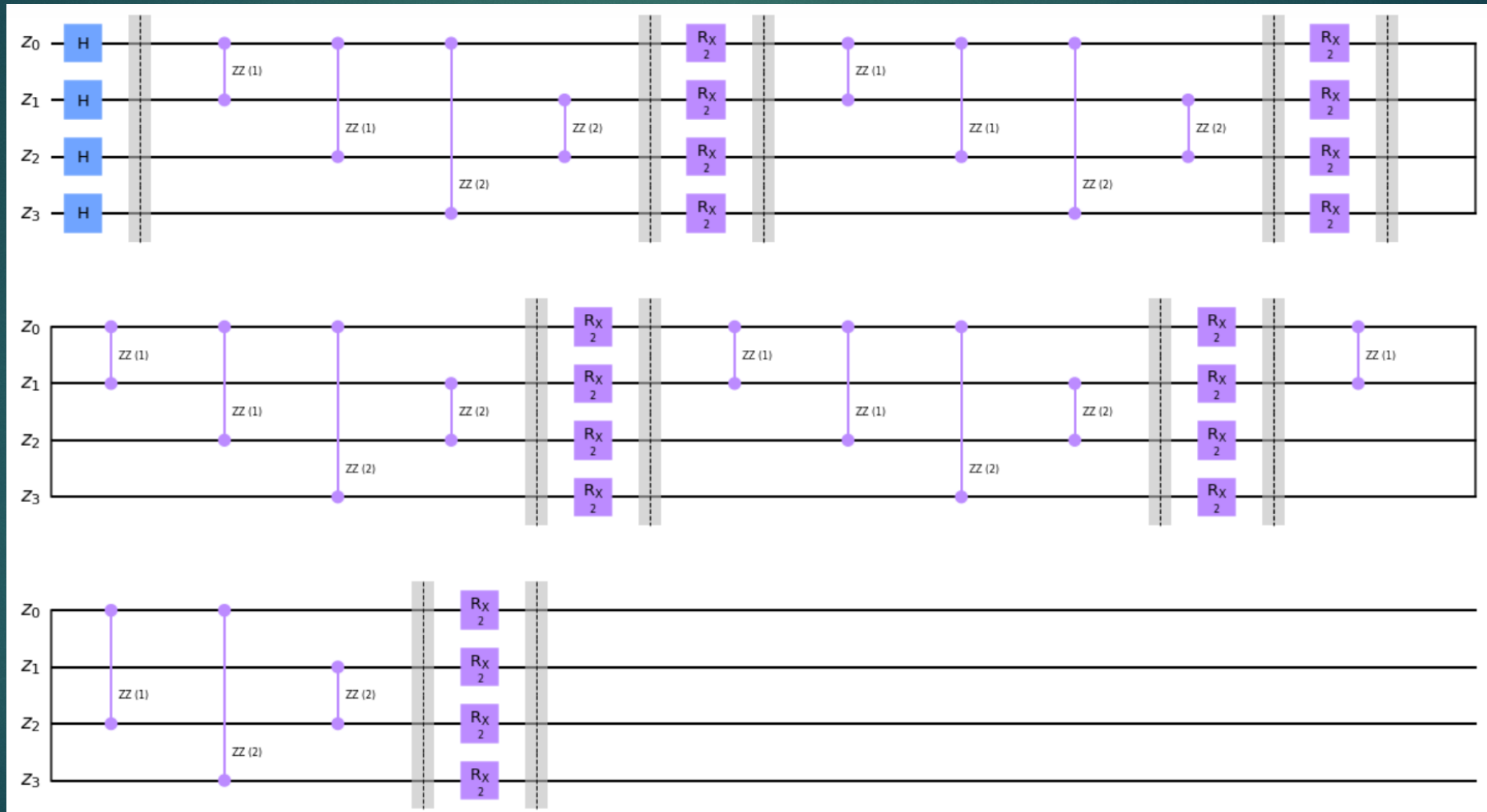
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$z=z_0z_1z_2z_3$	Cut
0000	0
0001	2
0010	3
0011	5
0100	3
0101	5
0110	2
0111	4
1000	4
1001	2
1010	5
1011	3
1100	5
1101	3
1110	2
1111	0

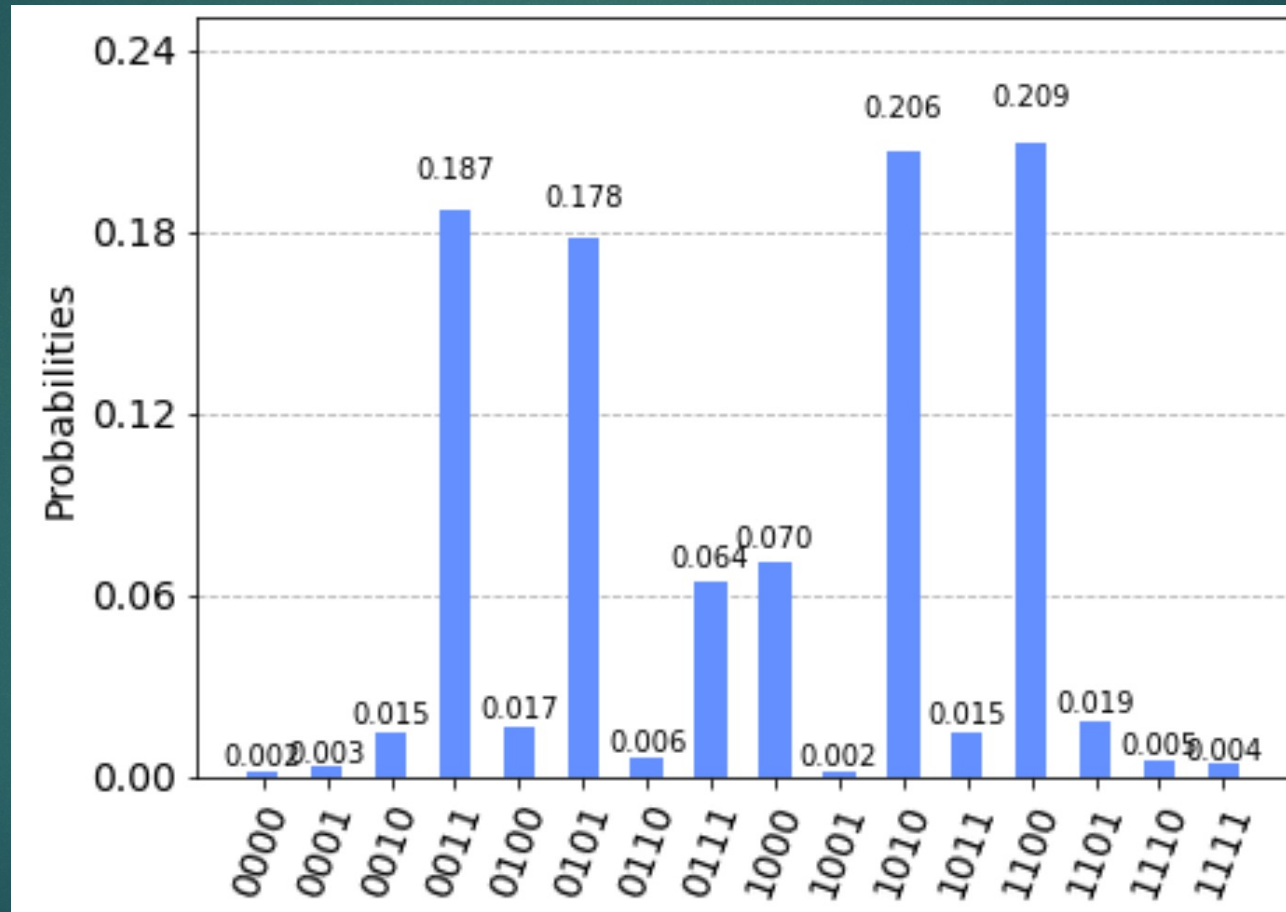
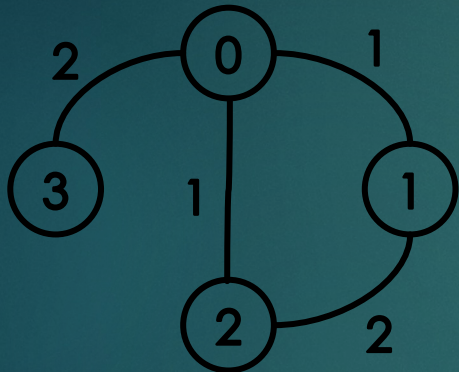
MaxCUT: $p=5$

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MaxCUT: $p=5$

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$z=z_0z_1z_2z_3$	Cut
0000	0
0001	2
0010	3
0011	5
0100	3
0101	5
0110	2
0111	4
1000	4
1001	2
1010	5
1011	3
1100	5
1101	3
1110	2
1111	0