

## section 03: elliptic curves and secp256k1

### elliptic curves

elliptic curves are described by this general equation:

$$y^2 = x^3 + ax + b$$

### infinite vs finite fields

first, what is a field?

a field is nothing more than a set where both the additive and multiplicative operations are defined.

ok, so a infinite field is an infinite set of numbers where  $+$  and  $*$  are defined, like the naturals, integers, rationals. . .

but what is a finite field?

well, it must follow that a finite field is a set that is **finite** where  $+$  and  $*$  are defined, but not in the way traditional arithmetic, over an infinite field, is defined. the number of elements in the field is called it's *order*, denoted by  $p$ .

as an example, here's a finite field with  $p=4$ :

[1, 2, 3, 4]

let  $a$  and  $b$  be any element of the field above.

addition is defined such that

$$a + b = (a + b) \bmod p$$

visually, you can imagine that when field overflows, it wraps back to the beginning. so what the modulo operator does is removes multiples of  $p$  from the sum and returns only the rest.

ok, so let's add 2, 3 and 4 in this field. addition is defined as the mod  $p$  of the sum:

$$(2 + 3 + 4) \bmod 4 \Rightarrow 9 \bmod 4 \Rightarrow 1$$

### elliptic curves over finite fields

an elliptic curve:

$$y^2 = x^3 + ax + b$$

over the finite field  $F_p$ :

[1, . . . p]

with parameters:

$$a = ?$$

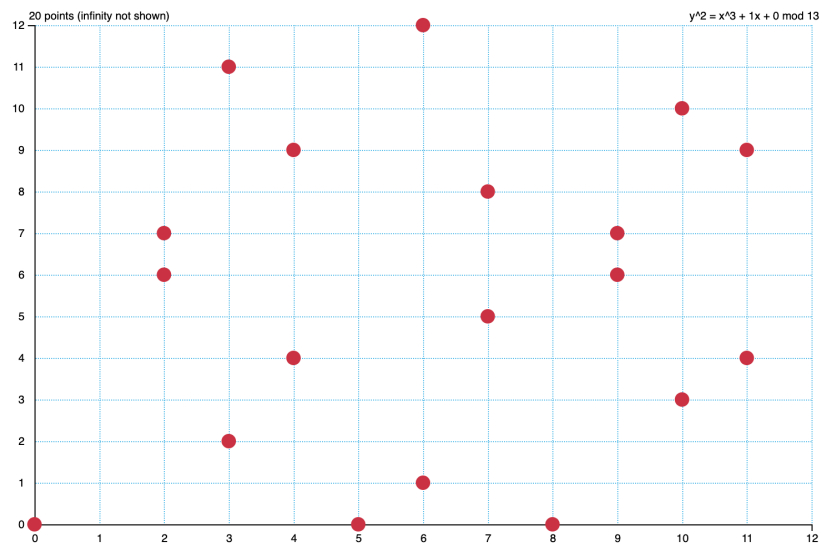
b = ?

p = ?

when you plot an EC over a finite field, it ceases being continuous and becomes a discrete curve. it ceases to be symmetric about the x-axis and becomes symmetric about  $p/2$ .

below is an EC with  $a=1$ ,  $b=0$  and  $p=13$ :

Draw the elliptic curve  $y^2 = x^3 + ax + b \pmod r$ , where  $a$ :   $b$ :   $r$ :



notice how the axis of symmetry is at  $y=6.5$ , which is exactly  $13/2$

## secp256k1

the EC bitcoin uses is called secp256k1. it's parameters are defined in this paper by Certicom Research, dated Jan 2010. satoshi probably worked off of a preprint, given that the whitepaper dates Oct 2008.

in the paper, secp256k1 has it's parameters set to:

$p =$  FFFFFFFF FFFFFFFF FFFFFFFF FFFFFFFF  
FFFFFFFF FFFFFFFF FFFFFFFE FFFFFC2F

$= 2^{256} - 2^{32} - 2^9 - 2^8 - 2^7 - 2^6 - 2^4 - 1$

$a =$  00000000 00000000 00000000 00000000  
00000000 00000000 00000000 00000000

```

b = 00000000 00000000 00000000 00000000
    00000000 00000000 00000000 00000007

// base point (compressed form)
G = 02 79BE667E F9DCBBAC 55A06295 CE870B07
    029BFCDB 2DCE28D9 59F2815B 16F81798

// base point (uncompressed form)
G = 04 79BE667E F9DCBBAC 55A06295 CE870B07
    029BFCDB 2DCE28D9 59F2815B 16F81798
    483ADA77 26A3C465 5DA4FBFC 0E1108A8
    FD17B448 A6855419 9C47D08F FB10D4B8

// order n of G
n = FFFFFFFF FFFFFFFF FFFFFFFF FFFFFFFE
    BAAEDCE6 AF48A03B BFD25E8C D0364141

    = 11579208923731619542357098500868790
    78528375642790749043826051631415181
    61494337

// cofactor
h = 01

so the EC in specific form will be  $y^2 = x^3 + 7$ 
but what does G and n mean?

```

## generator point G, and order n of G

given a generator point G, and a private key k, the corresponding public key is derived by this equation:  $P = k * G$ .

but what about n?

n is the number of times you can add G to itself before the finite field *runs out*, such that  $n * G = G$ . therefore, n must be smaller than the *order* of the finite field p, such that  $n < p$ .

note that the privkey k is a scalar, but the public key kG is a point (x, y) on the EC.

## compressed and uncompressed point encodings

### uncompressed form

given the generator point G defined in secp256k1:

```
G = 04 79BE667E F9DCBBAC 55A06295 CE870B07
      029BFCDB 2DCE28D9 59F2815B 16F81798
      483ADA77 26A3C465 5DA4FBFC 0E1108A8
      FD17B448 A6855419 9C47D08F FB10D4B8
```

the first byte (04) indicates uncompressed form (versus 02 indicating compressed form).

the other 64 bytes are split between x and y coordinates:

```
x: 79BE667E F9DCBBAC 55A06295 CE870B07
    029BFCDB 2DCE28D9 59F2815B 16F81798

y: 483ADA77 26A3C465 5DA4FBFC 0E1108A8
    FD17B448 A6855419 9C47D08F FB10D4B8
```

### compressed form

in compressed form, only the x coordinate is present:

```
G = 02 79BE667E F9DCBBAC 55A06295 CE870B07
      029BFCDB 2DCE28D9 59F2815B 16F81798
```

parity: 02

```
x: 79BE667E F9DCBBAC 55A06295 CE870B07
    029BFCDB 2DCE28D9 59F2815B 16F81798
```

```
x: 55066263022277343669578718895168534326250603453777594175500187360389116729240
```

since the EC over  $F_p$  is symmetric, every point has a sort of “conjugate”, like in complex numbers, except that the axis of symmetry is  $y=p/2$ . so in order to find the value of y, we need to figure out which point the compressed form is refers to. that’s where the parity value comes in. it can have values of 02 or 03:

02: the point will be the “conjugate” with an even value of y

03: the point will be the “conjugate” with an odd value of y

but how do we calculate values of y?

simple, just use the EC equation from above:  $y^2 = x^3 + 7$

$y^2$  will yield:

```
 $y^2 = 55066263022277343669578718895168534326250603453777594175500187360389116729240$ 
```

but remember, we’re doing finite field math, so:  $y^2 = (y^2) \bmod p$

this will yield:

```
 $y^2 = 32748224938747404814623910738487752935528512903530129802856995983256684603122$ 
```

but we want y, and we can’t just do  $\sqrt{y^2}$ , since we’re on a finite field.

to calculate  $p$  on *secp256k1* specifically, we use this trick.

in python, this translates to:

```
y = pow(y2, (p+1)//4, p)
```

in our case, this yields

```
y = 32670510020758816978083085130507043184471273380659243275938904335757337482424,
```

which is even and matches our 02 parity byte.

but what if the parity byte had a value of 03?

to do that, we find the additive inverse on the finite field:  $y = p - y$

the other  $y$  value will be

```
y = 83121579216557378445487899878180864668798711284981320763518679672151497189239
```

that's it.