

Interactive Brokers Hong Kong Limited, Suite 1512, Two Pacific Place, 88 Queensway, Admiralty, Hong Kong

## Account Information

|                              |                                                                                                                             |
|------------------------------|-----------------------------------------------------------------------------------------------------------------------------|
| Name                         | Ji Shi                                                                                                                      |
| Account                      | U6911572                                                                                                                    |
| Address of Account Holder(s) | 3004 SUNGANG STREET WEST<br>1410 - Yinghua Building<br>SHENZHEN, 518035<br>Hong Kong Special Administrative Region of China |
| Account Type                 | Individual                                                                                                                  |
| Customer Type                | Individual                                                                                                                  |
| Account Capabilities         | Margin                                                                                                                      |
| Base Currency                | USD                                                                                                                         |

## Net Asset Value

|                                     | December 31, 2018 | November 15, 2019 |             |             | Change             | Change in NAV               |  | Total       |
|-------------------------------------|-------------------|-------------------|-------------|-------------|--------------------|-----------------------------|--|-------------|
|                                     | Total             | Long              | Short       | Total       |                    |                             |  |             |
| Cash                                | 382,043.17        | 0.00              | 0.00        | 0.00        | -382,043.17        | Starting Value              |  | 325,933.49  |
| Stock                               | -56,204.45        | 0.00              | 0.00        | 0.00        | 56,204.45          | Mark-to-Market              |  | 28,797.02   |
| Interest Accruals                   | 270.69            | 0.00              | 0.00        | 0.00        | -270.69            | Deposits & Withdrawals      |  | 29,684.11   |
| Dividend Accruals                   | -175.91           | 0.00              | 0.00        | 0.00        | 175.91             | Position Transfers          |  | -384,983.00 |
| <b>Total</b>                        | <b>325,933.49</b> | <b>0.00</b>       | <b>0.00</b> | <b>0.00</b> | <b>-325,933.49</b> | Dividends                   |  | 2,363.69    |
| <b>Time Weighted Rate of Return</b> |                   |                   |             |             | <b>-100.00%</b>    | Withholding Tax             |  | -761.90     |
|                                     |                   |                   |             |             |                    | Change in Dividend Accruals |  | 175.66      |
|                                     |                   |                   |             |             |                    | Interest                    |  | 2,056.72    |
|                                     |                   |                   |             |             |                    | Change in Interest Accruals |  | -270.73     |
|                                     |                   |                   |             |             |                    | Other Fees                  |  | -204.58     |
|                                     |                   |                   |             |             |                    | Commissions                 |  | -2,519.17   |
|                                     |                   |                   |             |             |                    | Transaction Fees            |  | -271.62     |
|                                     |                   |                   |             |             |                    | Other FX Translations       |  | 0.29        |
|                                     |                   |                   |             |             |                    | Ending Value                |  | 0.00        |

## Mark-to-Market Performance Summary

| Symbol | Quantity |         | Price   |         | Mark-to-Market P/L |             |             |       |         | Total | Code |
|--------|----------|---------|---------|---------|--------------------|-------------|-------------|-------|---------|-------|------|
|        | Prior    | Current | Prior   | Current | Position           | Transaction | Commissions | Other |         |       |      |
| Stocks |          |         |         |         |                    |             |             |       |         |       |      |
| 12     | -2,000   | 0       | 39.0000 | --      | -867.83            | 108.43      | -19.57      | 0.00  | -778.97 |       |      |

## Mark-to-Market Performance Summary

| Symbol | Quantity |         | Price    |         |  | Mark-to-Market P/L |             |             |         | Total     | Code |
|--------|----------|---------|----------|---------|--|--------------------|-------------|-------------|---------|-----------|------|
|        | Prior    | Current | Prior    | Current |  | Position           | Transaction | Commissions | Other   |           |      |
| 2689   | -3,000   | 0       | 7.2500   | --      |  | -164.43            | 38.25       | -5.33       | -173.88 | -305.39   |      |
| 27     | -5,000   | 0       | 49.8000  | --      |  | 402.62             | -242.15     | -83.22      | 0.00    | 77.24     |      |
| 2888   | -200     | 0       | 59.4500  | --      |  | -64.44             | 5.74        | -6.42       | 0.00    | -65.12    |      |
| 700    | -500     | 0       | 314.0000 | --      |  | -156.45            | -219.33     | -102.68     | -1.65   | -480.12   |      |
| AAPL   | 100      | 0       | 157.7400 | --      |  | 2,994.00           | 244.68      | -19.80      | 51.10   | 3,269.98  |      |
| ABBV   | 0        | 0       | --       | --      |  | -644.00            | -352.60     | -21.38      | 0.00    | -1,017.98 |      |
| ABEV   | 0        | 0       | --       | --      |  | -196.00            | -25.92      | -28.58      | 0.00    | -250.50   |      |
| ADBE   | 0        | 0       | --       | --      |  | -1,060.00          | 413.00      | -2.35       | 0.00    | -649.35   |      |
| AMGN   | 0        | 0       | --       | --      |  | 1,077.00           | -96.88      | -2.47       | -145.00 | 832.65    |      |
| ASHR   | 0        | 0       | --       | --      |  | -332.00            | -14.23      | -15.82      | 0.00    | -362.05   |      |
| AXP    | 0        | 0       | --       | --      |  | 1,264.00           | 132.81      | -6.96       | 54.60   | 1,444.45  |      |
| BABA   | 0        | 0       | --       | --      |  | 279.00             | -350.92     | -17.80      | 0.00    | -89.72    |      |
| BBL    | 0        | 0       | --       | --      |  | 940.00             | -33.76      | -16.31      | 110.00  | 999.93    |      |
| BDX    | 0        | 0       | --       | --      |  | 886.00             | -203.00     | -19.46      | 53.90   | 717.44    |      |
| BGNE   | 0        | 0       | --       | --      |  | -3,321.00          | 1,775.38    | -9.00       | 0.00    | -1,554.62 |      |
| BHP    | 0        | 0       | --       | --      |  | 577.00             | -229.53     | -17.29      | 110.00  | 440.18    |      |
| BIDU   | 0        | 0       | --       | --      |  | -421.00            | -833.42     | -5.04       | 0.00    | -1,259.46 |      |
| BIIB   | 0        | 0       | --       | --      |  | 0.00               | -146.25     | -2.50       | 0.00    | -148.75   |      |
| BILI   | 0        | 0       | --       | --      |  | -344.00            | -333.59     | -39.85      | 0.00    | -717.44   |      |
| BK     | 0        | 0       | --       | --      |  | 1,218.00           | -275.53     | -23.34      | 0.00    | 919.13    |      |
| BUD    | 0        | 0       | --       | --      |  | -501.00            | 159.00      | -3.63       | 0.00    | -345.63   |      |
| C      | 0        | 0       | --       | --      |  | 1,326.00           | 387.97      | -11.73      | 0.00    | 1,702.24  |      |
| CAT    | 0        | 0       | --       | --      |  | -422.00            | 364.94      | -10.08      | 60.20   | -6.94     |      |
| CCI    | 0        | 0       | --       | --      |  | 121.00             | -114.24     | -11.47      | 78.75   | 74.04     |      |
| CEO    | 0        | 0       | --       | --      |  | -444.00            | 123.00      | -6.76       | 0.00    | -327.76   |      |
| CHL    | 0        | 0       | --       | --      |  | 830.00             | -186.42     | -10.32      | -267.05 | 366.21    |      |
| CHU    | 0        | 0       | --       | --      |  | -80.00             | -49.10      | -17.12      | 0.00    | -146.22   |      |
| CI     | 0        | 0       | --       | --      |  | -845.00            | -401.40     | -16.64      | 0.00    | -1,263.04 |      |
| CMCSA  | 0        | 0       | --       | --      |  | 1,067.80           | 20.63       | -14.22      | 0.00    | 1,074.21  |      |
| CNQ    | 0        | 0       | --       | --      |  | -272.00            | 96.05       | -19.34      | 0.00    | -195.29   |      |
| COP    | 0        | 0       | --       | --      |  | -150.00            | 134.61      | -16.02      | 0.00    | -31.41    |      |
| CRM    | 0        | 0       | --       | --      |  | 629.00             | -873.75     | -7.40       | 0.00    | -252.15   |      |
| CSCO   | 0        | 0       | --       | --      |  | 635.00             | 168.53      | -15.37      | 24.50   | 812.66    |      |
| CTRP   | 0        | 0       | --       | --      |  | -518.00            | 45.05       | -21.81      | 0.00    | -494.76   |      |
| DIS    | 0        | 0       | --       | --      |  | 1,312.00           | 269.00      | -3.98       | 61.60   | 1,638.62  |      |
| EA     | 0        | 0       | --       | --      |  | -915.00            | 292.55      | -8.93       | 0.00    | -631.38   |      |
| EDU    | 0        | 0       | --       | --      |  | 167.00             | -779.32     | -13.71      | 0.00    | -626.03   |      |
| EEM    | 0        | 0       | --       | --      |  | 146.00             | 23.64       | -16.10      | 65.40   | 218.94    |      |
| FB     | -100     | 0       | 131.0900 | --      |  | 2,009.00           | 1,031.94    | -13.16      | 0.00    | 3,027.78  |      |
| FOXA   | 0        | 0       | --       | --      |  | -576.00            | 398.67      | -13.85      | 0.00    | -191.18   |      |
| FXI    | 0        | 0       | --       | --      |  | -308.00            | 9.77        | -18.50      | 0.00    | -316.73   |      |
| GE     | 0        | 0       | --       | --      |  | -1,143.00          | 280.60      | -42.64      | 0.00    | -905.04   |      |
| GS     | 0        | 0       | --       | --      |  | -136.00            | 239.00      | -6.54       | 0.00    | 96.46     |      |
| HD     | 0        | 0       | --       | --      |  | 2,555.00           | 719.28      | -5.28       | 0.00    | 3,269.00  |      |
| HDB    | 0        | 0       | --       | --      |  | 677.00             | -248.74     | -12.25      | 65.22   | 481.23    |      |

## Mark-to-Market Performance Summary

| Symbol  | Quantity |         | Price   |         | Mark-to-Market P/L |             |             |        |           | Code |
|---------|----------|---------|---------|---------|--------------------|-------------|-------------|--------|-----------|------|
|         | Prior    | Current | Prior   | Current | Position           | Transaction | Commissions | Other  | Total     |      |
| HPE     | 0        | 0       | --      | --      | 419.16             | 113.86      | -21.63      | 63.00  | 574.39    |      |
| HSBC    | 0        | 0       | --      | --      | -162.00            | -12.41      | -5.19       | 105.00 | -74.60    |      |
| HUYA    | 0        | 0       | --      | --      | 0.00               | -124.92     | -7.71       | 0.00   | -132.63   |      |
| IBM     | 0        | 0       | --      | --      | 853.00             | 295.96      | -4.78       | 0.00   | 1,144.18  |      |
| INTC    | 0        | 0       | --      | --      | -1,143.00          | -90.18      | -28.11      | 0.00   | -1,261.29 |      |
| IP      | 0        | 0       | --      | --      | -774.00            | -5.51       | -28.72      | 0.00   | -808.23   |      |
| IQ      | 0        | 0       | --      | --      | -648.25            | -178.83     | -63.96      | 0.00   | -891.04   |      |
| JD      | 0        | 0       | --      | --      | -855.00            | -310.72     | -60.25      | 0.00   | -1,225.97 |      |
| JNJ     | 0        | 0       | --      | --      | 1,052.00           | -688.48     | -29.24      | 0.00   | 334.29    |      |
| JPM     | 0        | 0       | --      | --      | 670.00             | 414.12      | -17.02      | 112.00 | 1,179.10  |      |
| KO      | 0        | 0       | --      | --      | 165.00             | 269.84      | -50.78      | 84.00  | 468.06    |      |
| LFC     | 0        | 0       | --      | --      | 189.00             | 108.00      | -22.44      | 0.00   | 274.56    |      |
| LLY     | 0        | 0       | --      | --      | -953.00            | -1.28       | -23.74      | 0.00   | -978.02   |      |
| LOW     | 0        | 0       | --      | --      | 247.00             | 49.52       | -5.08       | 33.60  | 325.04    |      |
| LYB     | 0        | 0       | --      | --      | 1,550.00           | -398.17     | -9.25       | 0.00   | 1,142.58  |      |
| LYB.SPC | 0        | 0       | --      | --      | -245.00            | 308.00      | 0.00        | 0.00   | 63.00     |      |
| MCD     | 0        | 0       | --      | --      | 567.00             | -163.77     | -24.65      | 0.00   | 378.58    |      |
| MDT     | 0        | 0       | --      | --      | 681.00             | -388.72     | -7.36       | 43.20  | 328.12    |      |
| MO      | 0        | 0       | --      | --      | -608.00            | 208.87      | -10.44      | 112.00 | -297.57   |      |
| MRK     | 0        | 0       | --      | --      | -494.00            | 174.89      | -19.63      | 77.00  | -261.74   |      |
| MSFT    | 0        | 0       | --      | --      | 2,420.00           | 247.02      | -3.46       | 0.00   | 2,663.56  |      |
| NEE     | 0        | 0       | --      | --      | 361.00             | 531.78      | -13.85      | 0.00   | 878.93    |      |
| NFLX    | 0        | 0       | --      | --      | 513.00             | -1,665.22   | -12.37      | 0.00   | -1,164.59 |      |
| NIO     | 0        | 0       | --      | --      | 1,205.00           | -744.31     | -164.75     | 0.00   | 295.94    |      |
| NKE     | 0        | 0       | --      | --      | -0.88              | 394.04      | -12.23      | 0.00   | 380.94    |      |
| NKTR    | 0        | 0       | --      | --      | -2,406.00          | -945.89     | -72.86      | 0.00   | -3,424.75 |      |
| NTR     | 0        | 0       | --      | --      | -306.00            | -113.03     | -13.60      | 0.00   | -432.63   |      |
| NVDA    | 0        | 0       | --      | --      | 848.00             | 221.33      | -3.14       | 0.00   | 1,066.19  |      |
| NVS     | 0        | 0       | --      | --      | 1,406.00           | 196.24      | -26.50      | 0.00   | 1,575.74  |      |
| PDD     | -200     | 0       | 22.4400 | --      | -1,045.00          | -164.05     | -63.06      | 0.00   | -1,272.11 |      |
| PEP     | 0        | 0       | --      | --      | -195.00            | -173.84     | -9.69       | 0.00   | -378.53   |      |
| PFE     | 100      | 0       | 43.6500 | --      | -397.00            | -339.23     | -39.35      | -36.00 | -811.58   |      |
| PG      | 0        | 0       | --      | --      | 989.00             | 311.33      | -14.17      | 52.21  | 1,338.37  |      |
| PM      | 0        | 0       | --      | --      | -246.00            | -214.63     | -8.31       | 0.00   | -468.94   |      |
| PTR     | 0        | 0       | --      | --      | -346.00            | -161.36     | -13.38      | 0.00   | -520.74   |      |
| PYPL    | 0        | 0       | --      | --      | 572.00             | 153.18      | -7.05       | 0.00   | 718.13    |      |
| QCOM    | 0        | 0       | --      | --      | 3,110.00           | 2,592.20    | -24.29      | 86.80  | 5,764.71  |      |
| QQQ     | 0        | 0       | --      | --      | 3,129.00           | 18.26       | -12.77      | 51.78  | 3,186.27  |      |
| QTT     | 0        | 0       | --      | --      | 226.00             | -754.94     | -53.09      | 0.00   | -582.03   |      |
| RY      | 0        | 0       | --      | --      | 703.00             | 237.77      | -6.84       | 64.87  | 998.80    |      |
| SLB     | 0        | 0       | --      | --      | 150.00             | 153.85      | -28.51      | 0.00   | 275.34    |      |
| SPY     | 0        | 0       | --      | --      | -579.00            | -453.58     | -16.60      | 86.32  | -962.86   |      |
| T       | 0        | 0       | --      | --      | -333.00            | 463.88      | -31.40      | 249.90 | 349.38    |      |
| TLRY    | 0        | 0       | --      | --      | -429.00            | -427.86     | -11.69      | 0.00   | -868.55   |      |
| TM      | 0        | 0       | --      | --      | -91.00             | 11.12       | -2.17       | 0.00   | -82.05    |      |

## Mark-to-Market Performance Summary

| Mark-to-Market Performance Summary |            |         |             |         |           |                    |             |          |           |  |      |
|------------------------------------|------------|---------|-------------|---------|-----------|--------------------|-------------|----------|-----------|--|------|
| Symbol                             | Quantity   |         | Price       |         |           | Mark-to-Market P/L |             |          |           |  | Code |
|                                    | Prior      | Current | Prior       | Current | Position  | Transaction        | Commissions | Other    | Total     |  |      |
| TOT                                | 0          | 0       | --          | --      | 42.00     | -166.39            | -174.49     | 151.24   | -147.64   |  |      |
| TSM                                | 0          | 0       | --          | --      | -293.00   | 328.59             | -15.16      | 0.00     | 20.43     |  |      |
| TTM                                | 0          | 0       | --          | --      | -1,016.00 | 88.00              | -6.35       | 0.00     | -934.35   |  |      |
| UAL                                | 0          | 0       | --          | --      | 1,314.00  | -55.70             | -21.76      | 0.00     | 1,236.54  |  |      |
| UBER                               | 0          | 0       | --          | --      | -999.00   | 350.78             | -13.91      | 0.00     | -662.13   |  |      |
| UNH                                | 0          | 0       | --          | --      | -483.00   | -2,112.21          | -15.65      | 0.00     | -2,610.86 |  |      |
| UNP                                | 0          | 0       | --          | --      | -351.00   | 514.04             | -7.20       | 0.00     | 155.84    |  |      |
| UPS                                | 0          | 0       | --          | --      | -311.00   | 255.35             | -7.96       | 0.00     | -63.61    |  |      |
| URI                                | 0          | 0       | --          | --      | 3,905.00  | 479.50             | -2.36       | 0.00     | 4,382.14  |  |      |
| V                                  | 100        | 0       | 131.9400    | --      | 3,690.00  | -22.84             | -13.82      | 17.50    | 3,670.84  |  |      |
| VIAB                               | 0          | 0       | --          | --      | 576.00    | -230.87            | -32.89      | 0.00     | 312.24    |  |      |
| VZ                                 | 0          | 0       | --          | --      | -846.00   | 662.15             | -23.98      | 84.35    | -123.48   |  |      |
| WB                                 | -100       | 0       | 58.4300     | --      | -1,056.00 | -85.78             | -12.59      | 0.00     | -1,154.37 |  |      |
| WDC                                | 0          | 0       | --          | --      | -1,182.00 | 608.46             | -8.74       | 0.00     | -582.28   |  |      |
| WFC                                | 0          | 0       | --          | --      | -512.21   | -622.78            | -62.81      | 0.00     | -1,197.80 |  |      |
| WIT                                | 0          | 0       | --          | --      | 464.00    | 87.00              | -21.39      | 0.00     | 529.61    |  |      |
| WMT                                | 0          | 0       | --          | --      | 600.00    | -169.64            | -7.74       | 0.00     | 422.62    |  |      |
| XOM                                | 0          | 0       | --          | --      | 458.00    | -61.06             | -23.50      | 0.00     | 373.44    |  |      |
| XOP                                | 0          | 0       | --          | --      | -237.00   | 232.53             | -12.61      | 15.33    | -1.75     |  |      |
| Total                              |            |         |             |         | 20,763.09 | 505.69             | -2,392.10   | 1,601.79 | 20,478.47 |  |      |
| Futures                            |            |         |             |         |           |                    |             |          |           |  |      |
| CNF19                              | -2         | 0       | 10,412.5000 | --      | 2,204.71  | 590.00             | -30.20      | 0.00     | 2,764.51  |  |      |
| CNG19                              | 0          | 0       | --          | --      | 3,352.95  | 2,085.00           | -66.44      | 0.00     | 5,371.51  |  |      |
| CNH19                              | 0          | 0       | --          | --      | -2,907.50 | 947.50             | -129.86     | 0.00     | -2,089.86 |  |      |
| CNJ19                              | 0          | 0       | --          | --      | 57.12     | -162.50            | -9.06       | 0.00     | -114.44   |  |      |
| CNK19                              | 0          | 0       | --          | --      | -115.00   | 27.50              | -6.04       | 0.00     | -93.54    |  |      |
| CNM19                              | 0          | 0       | --          | --      | 912.50    | -320.00            | -15.10      | 0.00     | 577.40    |  |      |
| MESM9                              | 0          | 0       | --          | --      | 0.00      | -21.25             | -0.94       | 0.00     | -22.19    |  |      |
| MNQM9                              | 0          | 0       | --          | --      | -2,597.00 | 2,175.00           | -25.38      | 0.00     | -447.38   |  |      |
| MNQU9                              | 0          | 0       | --          | --      | 0.00      | -25.00             | -0.94       | 0.00     | -25.94    |  |      |
| XBTK9                              | 0          | 0       | --          | --      | 2,568.91  | -218.91            | -23.68      | 0.00     | 2,326.32  |  |      |
| XBTM9                              | 0          | 0       | --          | --      | -982.50   | -77.50             | -59.05      | 0.00     | -1,119.05 |  |      |
| Total                              |            |         |             |         | 2,494.19  | 4,999.84           | -366.69     | 0.00     | 7,127.34  |  |      |
| Forex                              |            |         |             |         |           |                    |             |          |           |  |      |
| CAD                                | 0.00       | 0.00    | --          | --      | -0.02     | 45.84              | -4.00       | 0.00     | 41.82     |  |      |
| CNH                                | 17,392.05  | 0.00    | 0.14555     | --      | -12.09    | -0.30              | -2.00       | 0.00     | -14.39    |  |      |
| HKD                                | 673,436.05 | 0.00    | 0.12769     | --      | -8.40     | 31.09              | -22.00      | 0.00     | 0.69      |  |      |
| JPY                                | 153,774.00 | 0.00    | 0.009124    | --      | -22.48    | 0.58               | -4.00       | 0.00     | -25.89    |  |      |
| USD                                | 292,117.68 | 0.00    | 1.0000      | 1.0000  | 0.00      | 0.00               | 0.00        | 0.00     | 0.00      |  |      |
| Total                              |            |         |             |         | -42.99    | 77.21              | -32.00      | 0.00     | 2.22      |  |      |
| Total (All Assets)                 |            |         |             |         | 23,214.28 | 5,582.74           | -2,790.79   | 1,601.79 | 27,608.03 |  |      |
| Broker Interest Paid and Received  |            |         |             |         |           |                    |             |          | 2,056.72  |  |      |
| Other Fees                         |            |         |             |         |           |                    |             |          | -204.58   |  |      |
| Total P/L for Statement Period     |            |         |             |         |           |                    |             |          | 29,460.17 |  |      |

## Realized & Unrealized Performance Summary

| Symbol |      | Cost Adj.  | Realized  |            |          |           | Unrealized |          |            |          |       |           |      |
|--------|------|------------|-----------|------------|----------|-----------|------------|----------|------------|----------|-------|-----------|------|
|        |      | S/T Profit | S/T Loss  | L/T Profit | L/T Loss | Total     | S/T Profit | S/T Loss | L/T Profit | L/T Loss | Total | Total     | Code |
| Stocks |      |            |           |            |          |           |            |          |            |          |       |           |      |
| 12     | 0.00 | 0.00       | -1,242.57 | 0.00       | 0.00     | -1,242.57 | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -1,242.57 |      |
| 2689   | 0.00 | 0.00       | -52.71    | 0.00       | 0.00     | -52.71    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -52.71    |      |
| 27     | 0.00 | 555.74     | -2,452.92 | 0.00       | 0.00     | -1,897.18 | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -1,897.18 |      |
| 2888   | 0.00 | 0.00       | -155.42   | 0.00       | 0.00     | -155.42   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -155.42   |      |
| 700    | 0.00 | 683.17     | -2,527.87 | 0.00       | 0.00     | -1,844.70 | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -1,844.70 |      |
| AAPL   | 0.00 | 5,024.13   | -7,969.42 | 0.00       | 0.00     | -2,945.29 | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -2,945.29 |      |
| ABBV   | 0.00 | 414.91     | -1,432.90 | 0.00       | 0.00     | -1,017.98 | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -1,017.98 |      |
| ABEV   | 0.00 | 0.00       | -250.50   | 0.00       | 0.00     | -250.50   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -250.50   |      |
| ADBE   | 0.00 | 0.00       | -649.35   | 0.00       | 0.00     | -649.35   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -649.35   |      |
| AMGN   | 0.00 | 1,009.37   | -31.72    | 0.00       | 0.00     | 977.65    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 977.65    |      |
| ASHR   | 0.00 | 0.00       | -362.05   | 0.00       | 0.00     | -362.05   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -362.05   |      |
| AXP    | 0.00 | 1,481.80   | -320.71   | 0.00       | 0.00     | 1,161.10  | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 1,161.10  |      |
| BABA   | 0.00 | 1,754.37   | -1,844.09 | 0.00       | 0.00     | -89.72    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -89.72    |      |
| BBL    | 0.00 | 1,538.37   | -323.94   | 0.00       | 0.00     | 1,214.43  | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 1,214.43  |      |
| BDX    | 0.00 | 1,256.60   | -593.07   | 0.00       | 0.00     | 663.54    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 663.54    |      |
| BGNE   | 0.00 | 29.72      | -1,584.34 | 0.00       | 0.00     | -1,554.62 | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -1,554.62 |      |
| BHP    | 0.00 | 1,159.94   | -503.26   | 0.00       | 0.00     | 656.68    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 656.68    |      |
| BIDU   | 0.00 | 0.00       | -1,259.46 | 0.00       | 0.00     | -1,259.46 | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -1,259.46 |      |
| BIIB   | 0.00 | 0.00       | -148.75   | 0.00       | 0.00     | -148.75   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -148.75   |      |
| BILI   | 0.00 | 225.31     | -942.75   | 0.00       | 0.00     | -717.44   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -717.44   |      |
| BK     | 0.00 | 1,146.88   | -227.75   | 0.00       | 0.00     | 919.13    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 919.13    |      |
| BUD    | 0.00 | 0.00       | -89.38    | 0.00       | 0.00     | -89.38    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -89.38    |      |
| C      | 0.00 | 1,574.44   | -33.71    | 0.00       | 0.00     | 1,540.74  | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 1,540.74  |      |
| CAT    | 0.00 | 862.16     | -929.31   | 0.00       | 0.00     | -67.14    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -67.14    |      |
| CCI    | 0.00 | 548.35     | -553.06   | 0.00       | 0.00     | -4.71     | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -4.71     |      |
| CEO    | 0.00 | 21.75      | -349.51   | 0.00       | 0.00     | -327.76   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -327.76   |      |
| CHL    | 0.00 | 975.44     | -342.18   | 0.00       | 0.00     | 633.26    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 633.26    |      |
| CHU    | 0.00 | 0.00       | -146.22   | 0.00       | 0.00     | -146.22   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -146.22   |      |
| CI     | 0.00 | 920.15     | -2,183.19 | 0.00       | 0.00     | -1,263.04 | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -1,263.04 |      |
| CMCSA  | 0.00 | 1,265.84   | -191.62   | 0.00       | 0.00     | 1,074.21  | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 1,074.21  |      |
| CNQ    | 0.00 | 139.63     | -334.91   | 0.00       | 0.00     | -195.29   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -195.29   |      |
| COP    | 0.00 | 553.45     | -584.85   | 0.00       | 0.00     | -31.41    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -31.41    |      |
| CRM    | 0.00 | 0.00       | -603.99   | 0.00       | 0.00     | -603.99   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -603.99   |      |
| CSCO   | 0.00 | 1,104.60   | -316.44   | 0.00       | 0.00     | 788.16    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 788.16    |      |
| CTRP   | 0.00 | 11.39      | -506.15   | 0.00       | 0.00     | -494.76   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -494.76   |      |
| DIS    | 0.00 | 1,211.20   | -24.94    | 0.00       | 0.00     | 1,186.27  | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 1,186.27  |      |
| EA     | 0.00 | 7.32       | -638.70   | 0.00       | 0.00     | -631.38   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -631.38   |      |
| EDU    | 0.00 | 32.13      | -658.16   | 0.00       | 0.00     | -626.03   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -626.03   |      |
| EEM    | 0.00 | 649.28     | -246.26   | 0.00       | 0.00     | 403.02    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 403.02    |      |
| FB     | 0.00 | 5,938.65   | -915.08   | 0.00       | 0.00     | 5,023.57  | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 5,023.57  |      |
| FOXA   | 0.00 | 11.38      | -202.57   | 0.00       | 0.00     | -191.18   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -191.18   |      |
| FXI    | 0.00 | 0.00       | -316.73   | 0.00       | 0.00     | -316.73   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -316.73   |      |
| GE     | 0.00 | 0.00       | -905.04   | 0.00       | 0.00     | -905.04   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -905.04   |      |
| GS     | 0.00 | 990.73     | -894.26   | 0.00       | 0.00     | 96.46     | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 96.46     |      |

## Realized & Unrealized Performance Summary

| Symbol  | Cost Adj. | Realized   |           |            |          |           | Unrealized |          |            |          |       | Total     | Code |
|---------|-----------|------------|-----------|------------|----------|-----------|------------|----------|------------|----------|-------|-----------|------|
|         |           | S/T Profit | S/T Loss  | L/T Profit | L/T Loss | Total     | S/T Profit | S/T Loss | L/T Profit | L/T Loss | Total |           |      |
| HD      | 0.00      | 2,458.00   | -68.84    | 0.00       | 0.00     | 2,389.16  | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 2,389.16  |      |
| HDB     | 0.00      | 1,519.44   | -539.18   | 0.00       | 0.00     | 980.26    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 980.26    |      |
| HPE     | 0.00      | 733.34     | -83.94    | 0.00       | 0.00     | 649.39    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 649.39    |      |
| HSBC    | 0.00      | 9.20       | -188.81   | 0.00       | 0.00     | -179.60   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -179.60   |      |
| HUYA    | 0.00      | 0.00       | -132.63   | 0.00       | 0.00     | -132.63   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -132.63   |      |
| IBM     | 0.00      | 869.73     | -59.30    | 0.00       | 0.00     | 810.43    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 810.43    |      |
| INTC    | 0.00      | 448.63     | -1,709.92 | 0.00       | 0.00     | -1,261.29 | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -1,261.29 |      |
| IP      | 0.00      | 142.19     | -950.42   | 0.00       | 0.00     | -808.23   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -808.23   |      |
| IQ      | 0.00      | 56.12      | -947.17   | 0.00       | 0.00     | -891.04   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -891.04   |      |
| JD      | 0.00      | 302.49     | -1,528.46 | 0.00       | 0.00     | -1,225.97 | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -1,225.97 |      |
| JNJ     | 0.00      | 1,182.17   | -847.89   | 0.00       | 0.00     | 334.29    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 334.29    |      |
| JPM     | 0.00      | 1,265.91   | -400.57   | 0.00       | 0.00     | 865.35    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 865.35    |      |
| KO      | 0.00      | 1,005.22   | -688.66   | 0.00       | 0.00     | 316.56    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 316.56    |      |
| LFC     | 0.00      | 306.80     | -32.23    | 0.00       | 0.00     | 274.56    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 274.56    |      |
| LLY     | 0.00      | 42.71      | -1,020.73 | 0.00       | 0.00     | -978.02   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -978.02   |      |
| LOW     | 0.00      | 0.00       | -258.31   | 0.00       | 0.00     | -258.31   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -258.31   |      |
| LYB     | 0.00      | 2,055.51   | -964.69   | 0.00       | 0.00     | 1,090.83  | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 1,090.83  |      |
| LYB.SPC | 0.00      | 114.75     | 0.00      | 0.00       | 0.00     | 114.75    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 114.75    |      |
| MCD     | 0.00      | 1,227.42   | -848.84   | 0.00       | 0.00     | 378.58    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 378.58    |      |
| MDT     | 0.00      | 524.38     | -341.22   | 0.00       | 0.00     | 183.17    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 183.17    |      |
| MO      | 0.00      | 8.57       | -418.14   | 0.00       | 0.00     | -409.57   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -409.57   |      |
| MRK     | 0.00      | 1,028.78   | -866.85   | 0.00       | 0.00     | 161.93    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 161.93    |      |
| MSFT    | 0.00      | 2,437.51   | -16.80    | 0.00       | 0.00     | 2,420.72  | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 2,420.72  |      |
| NEE     | 0.00      | 1,093.44   | -214.52   | 0.00       | 0.00     | 878.93    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 878.93    |      |
| NFLX    | 0.00      | 812.04     | -1,976.64 | 0.00       | 0.00     | -1,164.59 | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -1,164.59 |      |
| NIO     | 0.00      | 1,363.94   | -1,068.00 | 0.00       | 0.00     | 295.94    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 295.94    |      |
| NKE     | 0.00      | 319.97     | -356.78   | 0.00       | 0.00     | -36.81    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -36.81    |      |
| NKTR    | 0.00      | 273.64     | -3,698.38 | 0.00       | 0.00     | -3,424.75 | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -3,424.75 |      |
| NTR     | 0.00      | 0.00       | -432.63   | 0.00       | 0.00     | -432.63   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -432.63   |      |
| NVDA    | 0.00      | 1,332.15   | -265.96   | 0.00       | 0.00     | 1,066.19  | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 1,066.19  |      |
| NVS     | 0.00      | 2,365.17   | -414.86   | 0.00       | 0.00     | 1,950.31  | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 1,950.31  |      |
| PDD     | 0.00      | 450.40     | -2,157.59 | 0.00       | 0.00     | -1,707.18 | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -1,707.18 |      |
| PEP     | 0.00      | 173.05     | -551.58   | 0.00       | 0.00     | -378.53   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -378.53   |      |
| PFE     | 0.00      | 405.14     | -751.97   | 0.00       | 0.00     | -346.83   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -346.83   |      |
| PG      | 0.00      | 1,147.03   | -265.61   | 0.00       | 0.00     | 881.41    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 881.41    |      |
| PM      | 0.00      | 0.00       | -468.94   | 0.00       | 0.00     | -468.94   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -468.94   |      |
| PTR     | 0.00      | 1.71       | -522.44   | 0.00       | 0.00     | -520.74   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -520.74   |      |
| PYPL    | 0.00      | 970.93     | -252.79   | 0.00       | 0.00     | 718.13    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 718.13    |      |
| QCOM    | 0.00      | 6,894.67   | -1,216.77 | 0.00       | 0.00     | 5,677.91  | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 5,677.91  |      |
| QQQ     | 0.00      | 3,078.77   | -270.11   | 0.00       | 0.00     | 2,808.65  | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 2,808.65  |      |
| QTT     | 0.00      | 477.90     | -1,059.93 | 0.00       | 0.00     | -582.03   | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -582.03   |      |
| RY      | 0.00      | 964.02     | -83.59    | 0.00       | 0.00     | 880.43    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 880.43    |      |
| SLB     | 0.00      | 1,174.91   | -899.58   | 0.00       | 0.00     | 275.34    | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 275.34    |      |
| SPY     | 0.00      | 0.00       | -1,049.18 | 0.00       | 0.00     | -1,049.18 | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | -1,049.18 |      |
| T       | 0.00      | 552.96     | -468.48   | 0.00       | 0.00     | 84.48     | 0.00       | 0.00     | 0.00       | 0.00     | 0.00  | 84.48     |      |

## Realized & Unrealized Performance Summary

| Symbol                    | Cost Adj.   | Realized          |                   |             |             |                  | Unrealized  |             |             |             |             | Total            | Code |
|---------------------------|-------------|-------------------|-------------------|-------------|-------------|------------------|-------------|-------------|-------------|-------------|-------------|------------------|------|
|                           |             | S/T Profit        | S/T Loss          | L/T Profit  | L/T Loss    | Total            | S/T Profit  | S/T Loss    | L/T Profit  | L/T Loss    | Total       |                  |      |
| TLRY                      | 0.00        | 0.00              | -868.55           | 0.00        | 0.00        | -868.55          | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | -868.55          |      |
| TM                        | 0.00        | 0.00              | -82.05            | 0.00        | 0.00        | -82.05           | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | -82.05           |      |
| TOT                       | 0.00        | 513.54            | -661.75           | 0.00        | 0.00        | -148.20          | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | -148.20          |      |
| TSM                       | 0.00        | 879.44            | -859.01           | 0.00        | 0.00        | 20.43            | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | 20.43            |      |
| TTM                       | 0.00        | 0.00              | -934.35           | 0.00        | 0.00        | -934.35          | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | -934.35          |      |
| UAL                       | 0.00        | 1,505.17          | -529.47           | 0.00        | 0.00        | 975.70           | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | 975.70           |      |
| UBER                      | 0.00        | 344.44            | -1,006.57         | 0.00        | 0.00        | -662.13          | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | -662.13          |      |
| UNH                       | 0.00        | 3.25              | -2,614.11         | 0.00        | 0.00        | -2,610.86        | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | -2,610.86        |      |
| UNP                       | 0.00        | 270.10            | -335.01           | 0.00        | 0.00        | -64.91           | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | -64.91           |      |
| UPS                       | 0.00        | 353.54            | -417.15           | 0.00        | 0.00        | -63.61           | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | -63.61           |      |
| URI                       | 0.00        | 4,382.14          | 0.00              | 0.00        | 0.00        | 4,382.14         | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | 4,382.14         |      |
| V                         | 0.00        | 2,894.19          | -703.69           | 0.00        | 0.00        | 2,190.50         | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | 2,190.50         |      |
| VIAB                      | 0.00        | 234.92            | -518.04           | 0.00        | 0.00        | -283.12          | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | -283.12          |      |
| VZ                        | 0.00        | 313.59            | -504.93           | 0.00        | 0.00        | -191.33          | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | -191.33          |      |
| WB                        | 0.00        | 416.85            | -779.33           | 0.00        | 0.00        | -362.47          | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | -362.47          |      |
| WDC                       | 0.00        | 0.00              | -582.28           | 0.00        | 0.00        | -582.28          | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | -582.28          |      |
| WFC                       | 0.00        | 261.68            | -1,459.48         | 0.00        | 0.00        | -1,197.80        | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | -1,197.80        |      |
| WIT                       | 0.00        | 529.61            | 0.00              | 0.00        | 0.00        | 529.61           | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | 529.61           |      |
| WMT                       | 0.00        | 790.66            | -368.03           | 0.00        | 0.00        | 422.62           | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | 422.62           |      |
| XOM                       | 0.00        | 1,112.51          | -739.07           | 0.00        | 0.00        | 373.44           | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | 373.44           |      |
| XOP                       | 0.00        | 85.19             | -102.28           | 0.00        | 0.00        | -17.08           | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | -17.08           |      |
| <b>Total</b>              | <b>0.00</b> | <b>89,579.73</b>  | <b>-82,266.85</b> | <b>0.00</b> | <b>0.00</b> | <b>7,312.88</b>  | <b>0.00</b> | <b>0.00</b> | <b>0.00</b> | <b>0.00</b> | <b>0.00</b> | <b>7,312.88</b>  |      |
| <b>Futures</b>            |             |                   |                   |             |             |                  |             |             |             |             |             |                  |      |
| CNF19                     | 0.00        | 3,402.53          | -668.54           | 0.00        | 0.00        | 2,733.99         | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | 2,733.99         |      |
| CNG19                     | 0.00        | 6,212.13          | -840.62           | 0.00        | 0.00        | 5,371.51         | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | 5,371.51         |      |
| CNH19                     | 0.00        | 0.00              | -2,089.86         | 0.00        | 0.00        | -2,089.86        | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | -2,089.86        |      |
| CNJ19                     | 0.00        | 1.98              | -116.42           | 0.00        | 0.00        | -114.44          | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | -114.44          |      |
| CNK19                     | 0.00        | 0.00              | -93.54            | 0.00        | 0.00        | -93.54           | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | -93.54           |      |
| CNM19                     | 0.00        | 846.98            | -269.58           | 0.00        | 0.00        | 577.40           | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | 577.40           |      |
| MESM9                     | 0.00        | 0.00              | -22.19            | 0.00        | 0.00        | -22.19           | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | -22.19           |      |
| MNQM9                     | 0.00        | 1,100.42          | -1,547.80         | 0.00        | 0.00        | -447.38          | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | -447.38          |      |
| MNQU9                     | 0.00        | 0.00              | -25.94            | 0.00        | 0.00        | -25.94           | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | -25.94           |      |
| XBTK9                     | 0.00        | 2,668.16          | -341.84           | 0.00        | 0.00        | 2,326.32         | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | 2,326.32         |      |
| XBTM9                     | 0.00        | 0.00              | -1,119.05         | 0.00        | 0.00        | -1,119.05        | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | -1,119.05        |      |
| <b>Total</b>              | <b>0.00</b> | <b>14,232.20</b>  | <b>-7,135.38</b>  | <b>0.00</b> | <b>0.00</b> | <b>7,096.82</b>  | <b>0.00</b> | <b>0.00</b> | <b>0.00</b> | <b>0.00</b> | <b>0.00</b> | <b>7,096.82</b>  |      |
| <b>Forex</b>              |             |                   |                   |             |             |                  |             |             |             |             |             |                  |      |
| CAD                       | 0.00        | 46.72             | -0.90             | 0.00        | 0.00        | 45.82            | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | 45.82            |      |
| CNH                       | 0.00        | 0.00              | -29.78            | 0.00        | 0.00        | -29.78           | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | -29.78           |      |
| HKD                       | 0.00        | 70.68             | -159.20           | 0.00        | 0.00        | -88.53           | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | -88.53           |      |
| JPY                       | 0.00        | 0.00              | -105.68           | 0.00        | 0.00        | -105.68          | 0.00        | 0.00        | 0.00        | 0.00        | 0.00        | -105.68          |      |
| <b>Total</b>              | <b>0.00</b> | <b>117.39</b>     | <b>-295.57</b>    | <b>0.00</b> | <b>0.00</b> | <b>-178.18</b>   | <b>0.00</b> | <b>0.00</b> | <b>0.00</b> | <b>0.00</b> | <b>0.00</b> | <b>-178.18</b>   |      |
| <b>Total (All Assets)</b> | <b>0.00</b> | <b>103,929.32</b> | <b>-89,697.80</b> | <b>0.00</b> | <b>0.00</b> | <b>14,231.52</b> | <b>0.00</b> | <b>0.00</b> | <b>0.00</b> | <b>0.00</b> | <b>0.00</b> | <b>14,231.52</b> |      |

## Cash Report

**Total**

### Base Currency Summary

| Cash Detail                       |                |
|-----------------------------------|----------------|
| Starting Cash                     | 382,043.17     |
| Commissions                       | -2,519.17      |
| Deposits                          | 257,227.00     |
| Withdrawals                       | -24,747.18     |
| Account Transfers                 | -202,795.70    |
| Dividends                         | 2,975.10       |
| Broker Interest Paid and Received | 2,056.72       |
| Cash Settling MTM                 | 7,494.03       |
| Net Trades (Sales)                | 12,432,448.22  |
| Net Trades (Purchase)             | -12,852,202.42 |
| Other Fees                        | -204.58        |
| Payment In Lieu of Dividends      | -611.41        |
| Transaction Fees                  | -271.62        |
| Withholding Tax                   | -761.90        |
| Cash FX Translation Gain/Loss     | -130.26        |
| Ending Cash                       | 0.00           |
| Ending Settled Cash               | 0.00           |

### CAD

| Cash Detail                       |            |
|-----------------------------------|------------|
| Starting Cash                     | 0.00       |
| Withdrawals                       | -32,308.80 |
| Broker Interest Paid and Received | -2.71      |
| Net Trades (Sales)                | 64,622.71  |
| Net Trades (Purchase)             | -32,311.20 |
| Ending Cash                       | 0.00       |
| Ending Settled Cash               | 0.00       |

### CNH

| Cash Detail           |            |
|-----------------------|------------|
| Starting Cash         | 17,392.05  |
| Account Transfers     | -130.75    |
| Net Trades (Purchase) | -17,261.30 |
| Ending Cash           | 0.00       |
| Ending Settled Cash   | 0.00       |

### HKD

| Cash Detail                       |               |
|-----------------------------------|---------------|
| Starting Cash                     | 673,436.05    |
| Commissions                       | -1,006.36     |
| Deposits                          | 1,800,000.00  |
| Account Transfers                 | -783.61       |
| Broker Interest Paid and Received | 405.88        |
| Net Trades (Sales)                | 192,348.94    |
| Net Trades (Purchase)             | -2,660,432.33 |
| Other Fees                        | -1,643.39     |
| Payment In Lieu of Dividends      | -1,376.57     |



## Cash Report

|                                   |  | Total          |
|-----------------------------------|--|----------------|
| Transaction Fees                  |  | -948.61        |
| Ending Cash                       |  | 0.00           |
| Ending Settled Cash               |  | 0.00           |
| <b>JPY</b>                        |  |                |
| <b>Cash Detail</b>                |  |                |
| Starting Cash                     |  | 153,774        |
| Net Trades (Purchase)             |  | -153,774       |
| Ending Cash                       |  | 0              |
| Ending Settled Cash               |  | 0              |
| <b>USD</b>                        |  |                |
| <b>Cash Detail</b>                |  |                |
| Starting Cash                     |  | 292,117.68     |
| Commissions                       |  | -2,390.89      |
| Deposits                          |  | 27,900.00      |
| Withdrawals                       |  | -701.36        |
| Account Transfers                 |  | -202,676.55    |
| Dividends                         |  | 2,975.10       |
| Broker Interest Paid and Received |  | 2,007.10       |
| Cash Settling MTM                 |  | 7,494.03       |
| Net Trades (Sales)                |  | 12,359,839.80  |
| Net Trades (Purchase)             |  | -12,485,221.42 |
| Other Fees                        |  | 4.98           |
| Payment In Lieu of Dividends      |  | -435.88        |
| Transaction Fees                  |  | -150.68        |
| Withholding Tax                   |  | -761.90        |
| Ending Cash                       |  | 0.00           |
| Ending Settled Cash               |  | 0.00           |

## Trades

| Symbol            | Date/Time            | Quantity     | T. Price | C. Price | Proceeds          | Comm/Fee       | Basis            | Realized P/L     | MTM P/L       | Code |
|-------------------|----------------------|--------------|----------|----------|-------------------|----------------|------------------|------------------|---------------|------|
| <b>Stocks</b>     |                      |              |          |          |                   |                |                  |                  |               |      |
| <b>HKD</b>        |                      |              |          |          |                   |                |                  |                  |               |      |
| 12                | 2019-01-10, 21:27:30 | 1,000        | 42.0000  | 42.4000  | -42,000.00        | -76.73         | 36,332.90        | -5,743.84        | 400.00        | C    |
| 12                | 2019-01-10, 22:06:05 | 1,000        | 41.9500  | 42.4000  | -41,950.00        | -76.69         | 38,029.49        | -3,997.20        | 450.00        | C    |
| <b>Total 12</b>   |                      | <b>2,000</b> |          |          | <b>-83,950.00</b> | <b>-153.43</b> | <b>74,362.39</b> | <b>-9,741.04</b> | <b>850.00</b> |      |
| 2689              | 2019-01-17, 20:39:22 | 3,000        | 7.5800   | 7.6800   | -22,740.00        | -41.81         | 22,368.39        | -413.41          | 300.00        | C    |
| <b>Total 2689</b> |                      | <b>3,000</b> |          |          | <b>-22,740.00</b> | <b>-41.81</b>  | <b>22,368.39</b> | <b>-413.41</b>   | <b>300.00</b> |      |
| 27                | 2019-01-21, 01:50:16 | 1,000        | 50.7000  | 50.3500  | -50,700.00        | -92.93         | 43,420.03        | -7,372.90        | -350.00       | C    |
| 27                | 2019-01-21, 02:21:10 | 1,000        | 50.5500  | 50.3500  | -50,550.00        | -92.80         | 47,013.05        | -3,629.76        | -200.00       | C    |
| 27                | 2019-01-21, 02:30:24 | 1,000        | 50.5500  | 50.3500  | -50,550.00        | -92.80         | 45,666.16        | -4,976.64        | -200.00       | C    |

| Trades              |                         |  |              |          |          |                    |                  |                   |                   |                  |     |
|---------------------|-------------------------|--|--------------|----------|----------|--------------------|------------------|-------------------|-------------------|------------------|-----|
| 27                  | 2019-01-21,<br>02:55:12 |  | 1,000        | 50.2500  | 50.3500  | -50,250.00         | -92.56           | 48,510.81         | -1,831.75         | 100.00           | C   |
| 27                  | 2019-01-21,<br>02:56:14 |  | 1,000        | 50.2500  | 50.3500  | -50,250.00         | -92.56           | 48,910.48         | -1,432.08         | 100.00           | C   |
| 27                  | 2019-01-22,<br>21:09:07 |  | 1,000        | 49.2500  | 49.2000  | -49,250.00         | -90.73           | 49,340.73         | 0.00              | -50.00           | O   |
| 27                  | 2019-01-31,<br>20:38:14 |  | -1,000       | 53.8000  | 55.1000  | 53,800.00          | -98.49           | -49,340.73        | 4,360.78          | -1,300.00        | C   |
| <b>Total 27</b>     |                         |  | <b>5,000</b> |          |          | <b>-247,750.00</b> | <b>-652.87</b>   | <b>233,520.52</b> | <b>-14,882.35</b> | <b>-1,900.00</b> |     |
| 2888                | 2019-01-10,<br>21:24:23 |  | 100          | 61.9000  | 62.2000  | -6,190.00          | -25.17           | 5,445.85          | -769.31           | 30.00            | C   |
| 2888                | 2019-01-17,<br>20:38:42 |  | 100          | 61.6000  | 61.7500  | -6,160.00          | -25.17           | 5,735.84          | -449.32           | 15.00            | C   |
| <b>Total 2888</b>   |                         |  | <b>200</b>   |          |          | <b>-12,350.00</b>  | <b>-50.33</b>    | <b>11,181.70</b>  | <b>-1,218.64</b>  | <b>45.00</b>     |     |
| 700                 | 2019-01-10,<br>20:56:39 |  | 100          | 333.4000 | 331.2000 | -33,340.00         | -61.57           | 28,027.78         | -5,373.79         | -220.00          | C   |
| 700                 | 2019-01-10,<br>21:25:45 |  | 100          | 333.0000 | 331.2000 | -33,300.00         | -61.54           | 27,309.37         | -6,052.17         | -180.00          | C   |
| 700                 | 2019-01-10,<br>21:27:38 |  | 100          | 332.4000 | 331.2000 | -33,240.00         | -61.49           | 29,205.80         | -4,095.69         | -120.00          | C   |
| 700                 | 2019-01-10,<br>21:29:55 |  | 100          | 332.6000 | 331.2000 | -33,260.00         | -61.51           | 29,625.45         | -3,696.05         | -140.00          | C   |
| 700                 | 2019-01-13,<br>20:38:56 |  | 100          | 326.6000 | 321.8000 | -32,660.00         | -60.01           | 32,120.39         | -599.62           | -480.00          | C   |
| 700                 | 2019-01-13,<br>20:39:20 |  | 100          | 326.2000 | 321.8000 | -32,620.00         | -59.98           | 32,679.98         | 0.00              | -440.00          | O   |
| 700                 | 2019-01-23,<br>00:03:40 |  | 100          | 332.2000 | 333.2000 | -33,220.00         | -61.47           | 33,281.47         | 0.00              | 100.00           | O   |
| 700                 | 2019-01-24,<br>00:09:32 |  | 100          | 328.2000 | 330.2000 | -32,820.00         | -60.14           | 32,880.14         | 0.00              | 200.00           | O   |
| 700                 | 2019-01-28,<br>21:09:12 |  | 100          | 340.2000 | 342.4000 | -34,020.00         | -63.13           | 34,083.13         | 0.00              | 220.00           | O   |
| 700                 | 2019-02-01,<br>01:17:13 |  | -100         | 346.2000 | 348.0000 | 34,620.00          | -63.63           | -32,679.98        | 1,876.39          | -180.00          | C   |
| 700                 | 2019-02-01,<br>01:20:38 |  | -100         | 346.4000 | 348.0000 | 34,640.00          | -63.65           | -33,281.47        | 1,294.88          | -160.00          | C   |
| 700                 | 2019-02-01,<br>01:31:10 |  | -200         | 346.4000 | 348.0000 | 69,280.00          | -127.29          | -66,963.28        | 2,189.43          | -320.00          | C;P |
| <b>Total 700</b>    |                         |  | <b>500</b>   |          |          | <b>-159,940.00</b> | <b>-805.42</b>   | <b>146,288.79</b> | <b>-14,456.62</b> | <b>-1,720.00</b> |     |
| <b>Total</b>        |                         |  |              |          |          | <b>-526,730.00</b> | <b>-1,703.86</b> | <b>487,721.80</b> | <b>-40,712.06</b> | <b>-2,425.00</b> |     |
| <b>Total in USD</b> |                         |  |              |          |          | <b>-67,169.78</b>  | <b>-217.22</b>   | <b>62,194.42</b>  | <b>-5,192.58</b>  | <b>-309.07</b>   |     |
| USD                 |                         |  |              |          |          |                    |                  |                   |                   |                  |     |
| AAPL                | 2019-01-17,<br>09:36:42 |  | -100         | 153.5356 | 155.8600 | 15,353.56          | -1.21            | -21,938.17        | -6,585.82         | -232.44          | C   |
| AAPL                | 2019-01-17,<br>09:38:13 |  | -100         | 153.5900 | 155.8600 | 15,359.00          | -1.21            | -15,357.79        | 0.00              | -227.00          | O   |
| AAPL                | 2019-01-30,<br>13:45:07 |  | 100          | 162.9697 | 165.2500 | -16,296.97         | -1.00            | 15,357.79         | -940.18           | 228.03           | C   |
| AAPL                | 2019-02-06,<br>15:50:56 |  | 100          | 174.1100 | 174.2400 | -17,411.00         | -1.00            | 17,412.00         | 0.00              | 13.00            | O   |
| AAPL                | 2019-02-28,<br>17:17:22 |  | -100         | 173.1799 | 173.1500 | 17,317.99          | -1.24            | -17,412.00        | -95.25            | 2.99             | C;P |
| AAPL                | 2019-03-01,<br>04:53:36 |  | 100          | 174.5900 | 174.9700 | -17,459.00         | -1.00            | 17,460.00         | 0.00              | 38.00            | O   |
| AAPL                | 2019-03-07,<br>10:00:11 |  | -100         | 173.4000 | 172.5000 | 17,340.00          | -1.24            | -17,460.00        | -121.24           | 90.00            | C   |

## Trades

|                   |                         |             |          |          |                  |               |                   |                  |               |     |
|-------------------|-------------------------|-------------|----------|----------|------------------|---------------|-------------------|------------------|---------------|-----|
| AAPL              | 2019-03-11,<br>10:45:27 | 100         | 177.6400 | 178.9000 | -17,764.00       | -1.00         | 17,765.00         | 0.00             | 126.00        | O   |
| AAPL              | 2019-04-01,<br>09:42:02 | -100        | 190.0727 | 191.2400 | 19,007.27        | -1.26         | -17,765.00        | 1,241.01         | -116.73       | C   |
| AAPL              | 2019-04-01,<br>11:03:59 | 100         | 190.0800 | 191.2400 | -19,008.00       | -1.00         | 19,009.00         | 0.00             | 116.00        | O   |
| AAPL              | 2019-04-01,<br>11:04:26 | -100        | 190.0800 | 191.2400 | 19,008.00        | -1.26         | -19,009.00        | -2.26            | -116.00       | C   |
| AAPL              | 2019-04-02,<br>09:33:27 | 100         | 191.8400 | 194.0200 | -19,184.00       | -1.00         | 19,185.00         | 0.00             | 218.00        | O   |
| AAPL              | 2019-05-01,<br>09:30:01 | -100        | 210.2100 | 210.5200 | 21,021.00        | -1.12         | -19,185.00        | 1,834.88         | -31.00        | C   |
| AAPL              | 2019-05-02,<br>11:21:19 | 100         | 210.1400 | 209.1500 | -21,014.00       | -0.16         | 21,014.16         | 0.00             | -99.00        | O   |
| AAPL              | 2019-05-02,<br>11:21:20 | -100        | 210.1500 | 209.1500 | 21,015.00        | -0.77         | -21,014.16        | 0.07             | 100.00        | C   |
| AAPL              | 2019-05-20,<br>09:35:46 | 100         | 180.7200 | 183.0900 | -18,072.00       | -0.16         | 18,072.16         | 0.00             | 237.00        | O;P |
| AAPL              | 2019-05-20,<br>09:35:51 | -100        | 180.5462 | 183.0900 | 18,054.62        | -0.76         | -18,072.16        | -18.30           | -254.38       | C   |
| AAPL              | 2019-05-31,<br>15:33:39 | 100         | 175.6600 | 175.0700 | -17,566.00       | -0.16         | 17,566.16         | 0.00             | -59.00        | O   |
| AAPL              | 2019-05-31,<br>15:36:39 | -100        | 175.3900 | 175.0700 | 17,539.00        | -0.75         | -17,566.16        | -27.91           | 32.00         | C   |
| AAPL              | 2019-06-03,<br>12:22:08 | 100         | 175.5100 | 173.3000 | -17,551.00       | -0.16         | 17,551.16         | 0.00             | -221.00       | O   |
| AAPL              | 2019-06-03,<br>13:28:34 | -100        | 174.1310 | 173.3000 | 17,413.10        | -0.74         | -17,551.16        | -138.80          | 83.10         | C   |
| AAPL              | 2019-06-04,<br>09:31:35 | 100         | 175.2500 | 179.6400 | -17,525.00       | -0.16         | 17,525.16         | 0.00             | 439.00        | O;P |
| AAPL              | 2019-06-04,<br>09:36:47 | -100        | 174.8600 | 179.6400 | 17,486.00        | -0.50         | -17,525.16        | -39.66           | -478.00       | C   |
| AAPL              | 2019-06-04,<br>10:15:48 | 100         | 176.1900 | 179.6400 | -17,619.00       | -0.16         | 17,619.16         | 0.00             | 345.00        | O   |
| AAPL              | 2019-06-25,<br>15:50:44 | -100        | 195.6811 | 195.5700 | 19,568.11        | -0.79         | -17,619.16        | 1,948.16         | 11.11         | C   |
| <b>Total AAPL</b> |                         | <b>-100</b> |          |          | <b>19,012.68</b> | <b>-19.80</b> | <b>-21,938.17</b> | <b>-2,945.29</b> | <b>244.68</b> |     |
| ABBV              | 2019-04-18,<br>15:41:32 | -200        | 77.6000  | 77.5700  | 15,520.00        | -1.35         | -15,518.65        | 0.00             | 6.00          | O   |
| ABBV              | 2019-04-29,<br>10:56:27 | 200         | 80.8075  | 80.3000  | -16,161.50       | -0.74         | 15,518.65         | -643.59          | -101.50       | C   |
| ABBV              | 2019-04-30,<br>09:29:59 | -200        | 80.5800  | 79.3900  | 16,116.00        | -1.70         | -16,114.30        | 0.00             | 238.00        | O   |
| ABBV              | 2019-05-01,<br>09:33:12 | 200         | 79.4150  | 78.8900  | -15,883.00       | -1.34         | 16,114.30         | 229.96           | -105.00       | C;P |
| ABBV              | 2019-05-02,<br>09:40:46 | -200        | 78.1600  | 78.4700  | 15,632.00        | -0.85         | -15,631.15        | 0.00             | -62.00        | O;P |
| ABBV              | 2019-05-06,<br>15:36:25 | 200         | 79.3900  | 79.2600  | -15,878.00       | -0.74         | 15,631.15         | -247.59          | -26.00        | C   |
| ABBV              | 2019-05-07,<br>09:32:20 | -200        | 78.8000  | 77.9500  | 15,760.00        | -0.67         | -15,759.33        | 0.00             | 170.00        | O   |
| ABBV              | 2019-05-16,<br>09:39:51 | 200         | 79.3550  | 79.5000  | -15,871.00       | -1.04         | 15,759.33         | -112.71          | 29.00         | C;P |
| ABBV              | 2019-05-17,<br>09:31:09 | -200        | 78.6000  | 79.4600  | 15,720.00        | -0.67         | -15,719.33        | 0.00             | -172.00       | O;P |
| ABBV              | 2019-05-17,<br>11:42:24 | 200         | 79.4000  | 79.4600  | -15,880.00       | -0.32         | 15,719.33         | -160.99          | 12.00         | C;P |

| Trades     |                      |        |            |          |            |        |            |           |         |     |
|------------|----------------------|--------|------------|----------|------------|--------|------------|-----------|---------|-----|
| ABBV       | 2019-05-20, 09:31:08 | -200   | 79.0500    | 79.8200  | 15,810.00  | -0.67  | -15,809.33 | 0.00      | -154.00 | O   |
| ABBV       | 2019-05-20, 09:33:40 | 200    | 79.3300    | 79.8200  | -15,866.00 | -0.32  | 15,809.33  | -56.99    | 98.00   | C;P |
| ABBV       | 2019-05-20, 09:36:03 | -200   | 79.3200    | 79.8200  | 15,864.00  | -0.67  | -15,863.33 | 0.00      | -100.00 | O;P |
| ABBV       | 2019-05-20, 09:45:54 | 200    | 79.3400    | 79.8200  | -15,868.00 | -1.34  | 15,863.33  | -6.01     | 96.00   | C;P |
| ABBV       | 2019-05-28, 10:14:43 | -200   | 79.3000    | 78.0300  | 15,860.00  | -0.67  | -15,859.33 | 0.00      | 254.00  | O;P |
| ABBV       | 2019-05-28, 12:15:55 | 200    | 79.4084    | 78.0300  | -15,881.68 | -0.50  | 15,859.33  | -22.85    | -275.68 | C;P |
| ABBV       | 2019-05-29, 09:44:14 | -200   | 77.8200    | 78.0600  | 15,564.00  | -0.67  | -15,563.33 | 0.00      | -48.00  | O;P |
| ABBV       | 2019-05-31, 15:25:48 | 200    | 76.8882    | 76.7100  | -15,377.64 | -0.74  | 15,563.33  | 184.95    | -35.64  | C;P |
| ABBV       | 2019-06-04, 09:31:34 | -200   | 76.1700    | 76.7500  | 15,234.00  | -0.66  | -15,233.34 | 0.00      | -116.00 | O;P |
| ABBV       | 2019-06-04, 09:32:41 | 200    | 76.5460    | 76.7500  | -15,309.20 | -0.74  | 15,233.34  | -76.60    | 40.80   | C   |
| ABBV       | 2019-06-21, 09:53:51 | -200   | 76.4884    | 78.7800  | 15,297.68  | -1.08  | -15,296.60 | 0.00      | -458.32 | O;P |
| ABBV       | 2019-06-21, 10:02:16 | 200    | 76.6563    | 78.7800  | -15,331.26 | -0.74  | 15,296.60  | -35.40    | 424.74  | C;P |
| ABBV       | 2019-07-01, 10:24:20 | -200   | 72.7100    | 73.4000  | 14,542.00  | -0.83  | -14,541.17 | 0.00      | -138.00 | O   |
| ABBV       | 2019-07-01, 10:45:49 | 200    | 72.8800    | 73.4000  | -14,576.00 | -0.77  | 14,541.17  | -35.60    | 104.00  | C;P |
| ABBV       | 2019-07-02, 09:33:22 | -200   | 72.7100    | 74.2300  | 14,542.00  | -0.83  | -14,541.17 | 0.00      | -304.00 | O   |
| ABBV       | 2019-07-02, 09:34:07 | 200    | 72.8750    | 74.2300  | -14,575.00 | -0.74  | 14,541.17  | -34.57    | 271.00  | C;P |
| Total ABBV |                      | 0      |            |          | -996.60    | -21.38 | 0.00       | -1,017.98 | -352.60 |     |
| ABEV       | 2019-04-12, 12:44:11 | 2,800  | 4.3800     | 4.4100   | -12,264.00 | -14.00 | 12,278.00  | 0.00      | 84.00   | O   |
| ABEV       | 2019-04-17, 11:55:09 | -2,800 | 4.3007429  | 4.3400   | 12,042.08  | -14.58 | -12,278.00 | -250.50   | -109.92 | C;P |
| Total ABEV |                      | 0      |            |          | -221.92    | -28.58 | 0.00       | -250.50   | -25.92  |     |
| ADBE       | 2019-03-14, 09:32:35 | 100    | 264.7000   | 267.6900 | -26,470.00 | -1.00  | 26,471.00  | 0.00      | 299.00  | O   |
| ADBE       | 2019-03-15, 09:29:58 | -100   | 258.2300   | 257.0900 | 25,823.00  | -1.35  | -26,471.00 | -649.35   | 114.00  | C   |
| Total ADBE |                      | 0      |            |          | -647.00    | -2.35  | 0.00       | -649.35   | 413.00  |     |
| AMGN       | 2019-04-18, 15:41:20 | -100   | 177.5100   | 177.4700 | 17,751.00  | -1.38  | -17,749.62 | 0.00      | 4.00    | O   |
| AMGN       | 2019-05-31, 15:25:48 | 100    | 167.3988   | 166.7000 | -16,739.88 | -0.37  | 17,749.62  | 1,009.37  | -69.88  | C   |
| AMGN       | 2019-07-02, 09:35:42 | -100   | 185.0300   | 186.6400 | 18,503.00  | -0.56  | -18,502.44 | 0.00      | -161.00 | O   |
| AMGN       | 2019-07-02, 09:37:05 | 100    | 185.3400   | 186.6400 | -18,534.00 | -0.16  | 18,502.44  | -31.72    | 130.00  | C   |
| Total AMGN |                      | 0      |            |          | 980.12     | -2.47  | 0.00       | 977.65    | -96.88  |     |
| ASHR       | 2019-03-13, 09:29:01 | 300    | 27.8400    | 27.8600  | -8,352.00  | -1.50  | 8,353.50   | 0.00      | 6.00    | O   |
| ASHR       | 2019-03-13, 09:33:00 | -300   | 27.7910667 | 27.8600  | 8,337.32   | -1.64  | -8,353.50  | -17.82    | -20.68  | C;P |

## Trades

|                   |                      |            |          |          |                   |               |                  |                 |               |     |
|-------------------|----------------------|------------|----------|----------|-------------------|---------------|------------------|-----------------|---------------|-----|
| ASHR              | 2019-03-15, 09:30:01 | 300        | 27.9500  | 28.1100  | -8,385.00         | -1.50         | 8,386.50         | 0.00            | 48.00         | O   |
| ASHR              | 2019-03-25, 10:11:23 | -300       | 27.7915  | 27.8700  | 8,337.45          | -1.64         | -8,386.50        | -50.69          | -23.55        | C   |
| ASHR              | 2019-03-28, 14:14:07 | 400        | 27.8000  | 27.8000  | -11,120.00        | -2.00         | 11,122.00        | 0.00            | 0.00          | O   |
| ASHR              | 2019-03-28, 14:14:54 | -400       | 27.7950  | 27.8000  | 11,118.00         | -2.19         | -11,122.00       | -6.19           | -2.00         | C   |
| ASHR              | 2019-04-15, 09:32:50 | 400        | 29.6000  | 29.5100  | -11,840.00        | -2.00         | 11,842.00        | 0.00            | -36.00        | O   |
| ASHR              | 2019-05-01, 15:52:36 | -400       | 28.8950  | 28.8600  | 11,558.00         | -1.77         | -11,842.00       | -285.77         | 14.00         | C   |
| ASHR              | 2019-05-02, 11:20:00 | 400        | 28.8200  | 28.6700  | -11,528.00        | -0.64         | 11,528.64        | 0.00            | -60.00        | O   |
| ASHR              | 2019-05-02, 11:32:49 | -400       | 28.8200  | 28.6700  | 11,528.00         | -0.93         | -11,528.64       | -1.57           | 60.00         | C   |
| <b>Total ASHR</b> |                      | <b>0</b>   |          |          | <b>-346.23</b>    | <b>-15.82</b> | <b>0.00</b>      | <b>-362.05</b>  | <b>-14.23</b> |     |
| AXP               | 2019-03-14, 09:33:01 | 100        | 112.2300 | 112.3500 | -11,223.00        | -1.00         | 11,224.00        | 0.00            | 12.00         | O;P |
| AXP               | 2019-05-01, 09:34:30 | -100       | 117.0400 | 117.0200 | 11,704.00         | -0.62         | -11,224.00       | 479.38          | 2.00          | C   |
| AXP               | 2019-05-02, 09:39:27 | 22         | 116.8500 | 117.2500 | -2,570.70         | -0.33         | 2,571.03         | 0.00            | 8.80          | O   |
| AXP               | 2019-05-02, 09:40:28 | -22        | 116.9600 | 117.2500 | 2,573.12          | -0.42         | -2,571.03        | 1.67            | -6.38         | C   |
| AXP               | 2019-05-07, 15:38:46 | 100        | 117.7300 | 118.2200 | -11,773.00        | -0.17         | 11,773.17        | 0.00            | 49.00         | O   |
| AXP               | 2019-05-08, 09:37:25 | -100       | 117.2400 | 117.4900 | 11,724.00         | -0.50         | -11,773.17       | -49.68          | -25.00        | C   |
| AXP               | 2019-05-14, 11:44:40 | 100        | 117.3900 | 117.0100 | -11,739.00        | -0.25         | 11,739.25        | 0.00            | -38.00        | O   |
| AXP               | 2019-05-14, 12:10:20 | -100       | 117.1600 | 117.0100 | 11,716.00         | -0.38         | -11,739.25       | -23.63          | 15.00         | C   |
| AXP               | 2019-05-15, 11:01:37 | 100        | 117.3700 | 117.6600 | -11,737.00        | -0.25         | 11,737.25        | 0.00            | 29.00         | O   |
| AXP               | 2019-05-20, 10:48:14 | -100       | 119.9816 | 119.8400 | 11,998.16         | -0.63         | -11,737.25       | 260.28          | 14.16         | C   |
| AXP               | 2019-05-20, 14:21:08 | 100        | 119.5600 | 119.8400 | -11,956.00        | -0.16         | 11,956.16        | 0.00            | 28.00         | O;P |
| AXP               | 2019-05-29, 09:44:30 | -100       | 117.1896 | 117.0100 | 11,718.96         | -0.62         | -11,956.16       | -237.82         | 17.96         | C   |
| AXP               | 2019-06-03, 09:37:28 | 100        | 114.9500 | 114.6200 | -11,495.00        | -0.16         | 11,495.16        | 0.00            | -33.00        | O   |
| AXP               | 2019-06-03, 09:58:20 | -100       | 114.8600 | 114.6200 | 11,486.00         | -0.41         | -11,495.16       | -9.57           | 24.00         | C   |
| AXP               | 2019-06-04, 09:31:50 | 100        | 115.7100 | 116.8500 | -11,571.00        | -0.16         | 11,571.16        | 0.00            | 114.00        | O;P |
| AXP               | 2019-06-25, 15:50:53 | -100       | 123.1227 | 123.1600 | 12,312.27         | -0.64         | -11,571.16       | 740.47          | -3.73         | C   |
| AXP               | 2019-07-01, 09:34:00 | 100        | 125.6700 | 124.9200 | -12,567.00        | -0.25         | 12,567.25        | 0.00            | -75.00        | O   |
| <b>Total AXP</b>  |                      | <b>100</b> |          |          | <b>-11,399.19</b> | <b>-6.96</b>  | <b>12,567.25</b> | <b>1,161.10</b> | <b>132.81</b> |     |
| BABA              | 2019-02-06, 15:32:52 | 100        | 171.3900 | 171.5200 | -17,139.00        | -1.00         | 17,140.00        | 0.00            | 13.00         | O   |
| BABA              | 2019-02-08, 09:42:48 | -100       | 165.0600 | 167.3600 | 16,506.00         | -1.23         | -17,140.00       | -635.23         | -230.00       | C   |

## Trades

|                   |                      |          |            |          |               |               |             |               |                |     |
|-------------------|----------------------|----------|------------|----------|---------------|---------------|-------------|---------------|----------------|-----|
| BABA              | 2019-02-19, 09:31:58 | 100      | 167.6408   | 170.1800 | -16,764.08    | -1.00         | 16,765.08   | 0.00          | 253.92         | O   |
| BABA              | 2019-02-28, 19:03:10 | -100     | 182.9540   | 183.0300 | 18,295.40     | -1.25         | -16,765.08  | 1,529.07      | -7.60          | C;P |
| BABA              | 2019-03-01, 04:47:31 | 100      | 185.6000   | 183.8800 | -18,560.00    | -1.00         | 18,561.00   | 0.00          | -172.00        | O   |
| BABA              | 2019-03-07, 09:29:01 | -100     | 181.3200   | 177.3200 | 18,132.00     | -1.25         | -18,561.00  | -430.25       | 400.00         | C   |
| BABA              | 2019-04-01, 09:29:01 | 100      | 185.1100   | 180.8900 | -18,511.00    | -1.00         | 18,512.00   | 0.00          | -422.00        | O   |
| BABA              | 2019-04-01, 15:03:41 | -100     | 182.3000   | 180.8900 | 18,230.00     | -1.25         | -18,512.00  | -283.25       | 141.00         | C   |
| BABA              | 2019-04-02, 11:20:41 | 100      | 182.5000   | 181.7400 | -18,250.00    | -1.00         | 18,251.00   | 0.00          | -76.00         | O   |
| BABA              | 2019-04-02, 11:28:00 | -100     | 182.1400   | 181.7400 | 18,214.00     | -1.25         | -18,251.00  | -38.25        | 40.00          | C   |
| BABA              | 2019-04-05, 09:30:01 | 100      | 182.4100   | 185.3500 | -18,241.00    | -1.00         | 18,242.00   | 0.00          | 294.00         | O   |
| BABA              | 2019-04-05, 09:30:29 | -100     | 182.2176   | 185.3500 | 18,221.76     | -1.25         | -18,242.00  | -21.49        | -313.24        | C   |
| BABA              | 2019-04-08, 09:29:12 | 100      | 184.1000   | 186.5000 | -18,410.00    | -1.00         | 18,411.00   | 0.00          | 240.00         | O   |
| BABA              | 2019-05-01, 09:33:48 | -100     | 186.3700   | 189.3100 | 18,637.00     | -0.70         | -18,411.00  | 225.30        | -294.00        | C   |
| BABA              | 2019-05-02, 09:34:21 | 100      | 189.4400   | 190.3900 | -18,944.00    | -0.25         | 18,944.25   | 0.00          | 95.00          | O   |
| BABA              | 2019-05-06, 09:29:04 | -100     | 185.3000   | 188.2400 | 18,530.00     | -1.07         | -18,944.25  | -415.32       | -294.00        | C   |
| BABA              | 2019-05-06, 10:38:54 | 100      | 186.5000   | 188.2400 | -18,650.00    | -0.25         | 18,650.25   | 0.00          | 174.00         | O   |
| BABA              | 2019-05-06, 10:47:21 | -100     | 186.3100   | 188.2400 | 18,631.00     | -1.07         | -18,650.25  | -20.32        | -193.00        | C   |
| <b>Total BABA</b> |                      | <b>0</b> |            |          | <b>-71.92</b> | <b>-17.80</b> | <b>0.00</b> | <b>-89.72</b> | <b>-350.92</b> |     |
| BBL               | 2019-02-28, 11:00:03 | 100      | 46.7075    | 46.3700  | -4,670.75     | -1.00         | 4,671.75    | 0.00          | -33.75         | O   |
| BBL               | 2019-03-01, 06:04:57 | -100     | 46.3400    | 45.9700  | 4,634.00      | -1.07         | -4,671.75   | -38.82        | 37.00          | C   |
| BBL               | 2019-03-06, 09:29:01 | 100      | 46.9800    | 46.4500  | -4,698.00     | -1.00         | 4,699.00    | 0.00          | -53.00         | O   |
| BBL               | 2019-03-07, 09:29:55 | -100     | 45.3400    | 45.2000  | 4,534.00      | -1.07         | -4,699.00   | -166.07       | 14.00          | C   |
| BBL               | 2019-03-13, 09:30:00 | 200      | 46.4900    | 46.4600  | -9,298.00     | -1.00         | 9,299.00    | 0.00          | -6.00          | O;P |
| BBL               | 2019-03-13, 09:34:04 | -200     | 46.3501    | 46.4600  | 9,270.02      | -1.14         | -9,299.00   | -30.12        | -21.98         | C   |
| BBL               | 2019-03-26, 09:30:00 | 200      | 47.1900    | 47.2700  | -9,438.00     | -1.00         | 9,439.00    | 0.00          | 16.00          | O   |
| BBL               | 2019-04-23, 09:40:39 | -200     | 48.1800    | 48.4700  | 9,636.00      | -1.22         | -9,439.00   | 195.78        | -58.00         | C;P |
| BBL               | 2019-05-01, 09:30:02 | 300      | 47.2979667 | 46.2600  | -14,189.39    | -1.11         | 14,190.50   | 0.00          | -311.39        | O;P |
| BBL               | 2019-05-01, 09:38:05 | -300     | 47.1300    | 46.2600  | 14,139.00     | -2.34         | -14,190.50  | -53.84        | 261.00         | C;P |
| BBL               | 2019-06-03, 09:35:02 | 300      | 45.1900    | 45.5200  | -13,557.00    | -0.75         | 13,557.75   | 0.00          | 99.00          | O;P |
| BBL               | 2019-06-03, 10:02:02 | -100     | 45.0800    | 45.5200  | 4,508.00      | -0.74         | -4,519.25   | -11.99        | -44.00         | C   |

## Trades

|                  |                         |            |          |          |                  |               |                  |                 |               |     |
|------------------|-------------------------|------------|----------|----------|------------------|---------------|------------------|-----------------|---------------|-----|
| BBL              | 2019-06-03,<br>10:02:05 | -200       | 45.0818  | 45.5200  | 9,016.36         | -0.95         | -9,038.50        | -23.09          | -87.64        | C;P |
| BBL              | 2019-06-04,<br>09:30:42 | 300        | 45.7400  | 46.0900  | -13,722.00       | -0.48         | 13,722.48        | 0.00            | 105.00        | O   |
| BBL              | 2019-06-25,<br>15:54:30 | -300       | 50.2200  | 50.2200  | 15,066.00        | -0.93         | -13,722.48       | 1,342.59        | 0.00          | C;P |
| BBL              | 2019-07-02,<br>09:31:08 | 200        | 51.5600  | 51.8100  | -10,312.00       | -0.50         | 10,312.50        | 0.00            | 50.00         | O;P |
| <b>Total BBL</b> |                         | <b>200</b> |          |          | <b>-9,081.76</b> | <b>-16.31</b> | <b>10,312.50</b> | <b>1,214.43</b> | <b>-33.76</b> |     |
| BDX              | 2019-04-18,<br>10:06:57 | -100       | 225.4000 | 227.8900 | 22,540.00        | -1.48         | -22,538.52       | 0.00            | -249.00       | O   |
| BDX              | 2019-04-18,<br>10:08:33 | 100        | 224.9300 | 227.8900 | -22,493.00       | -1.00         | 22,538.52        | 44.52           | 296.00        | C   |
| BDX              | 2019-04-22,<br>11:06:22 | -100       | 225.2300 | 225.0400 | 22,523.00        | -1.48         | -22,521.52       | 0.00            | 19.00         | O   |
| BDX              | 2019-04-22,<br>11:15:13 | 100        | 225.2800 | 225.0400 | -22,528.00       | -1.00         | 22,521.52        | -7.48           | -24.00        | C   |
| BDX              | 2019-04-23,<br>09:32:40 | -100       | 225.2500 | 228.0400 | 22,525.00        | -1.48         | -22,523.52       | 0.00            | -279.00       | O   |
| BDX              | 2019-04-23,<br>09:42:17 | 100        | 226.1900 | 228.0400 | -22,619.00       | -1.00         | 22,523.52        | -96.48          | 185.00        | C;P |
| BDX              | 2019-05-09,<br>09:30:39 | -100       | 225.0000 | 229.8000 | 22,500.00        | -0.65         | -22,499.35       | 0.00            | -480.00       | O   |
| BDX              | 2019-05-09,<br>11:48:59 | 100        | 225.2100 | 229.8000 | -22,521.00       | -0.25         | 22,499.35        | -21.90          | 459.00        | C;P |
| BDX              | 2019-05-10,<br>11:29:44 | -100       | 224.9500 | 225.4000 | 22,495.00        | -0.73         | -22,494.27       | 0.00            | -45.00        | O;P |
| BDX              | 2019-05-10,<br>11:39:35 | 100        | 225.1800 | 225.4000 | -22,518.00       | -0.25         | 22,494.27        | -23.98          | 22.00         | C;P |
| BDX              | 2019-05-13,<br>09:33:30 | -100       | 223.9800 | 223.6900 | 22,398.00        | -0.73         | -22,397.27       | 0.00            | 29.00         | O   |
| BDX              | 2019-05-13,<br>10:02:45 | 100        | 224.8200 | 223.6900 | -22,482.00       | -0.67         | 22,397.27        | -85.40          | -113.00       | C   |
| BDX              | 2019-05-14,<br>09:32:40 | -100       | 224.9000 | 222.8400 | 22,490.00        | -0.73         | -22,489.27       | 0.00            | 206.00        | O;P |
| BDX              | 2019-05-14,<br>09:35:01 | 100        | 225.4100 | 222.8400 | -22,541.00       | -0.37         | 22,489.27        | -52.10          | -257.00       | C   |
| BDX              | 2019-05-15,<br>10:52:56 | -100       | 225.3500 | 225.4100 | 22,535.00        | -0.73         | -22,534.27       | 0.00            | -6.00         | O   |
| BDX              | 2019-05-15,<br>10:53:04 | 100        | 225.3400 | 225.4100 | -22,534.00       | -0.37         | 22,534.27        | -0.10           | 7.00          | C   |
| BDX              | 2019-05-16,<br>09:45:30 | -100       | 225.0900 | 225.9300 | 22,509.00        | -0.73         | -22,508.27       | 0.00            | -84.00        | O;P |
| BDX              | 2019-05-16,<br>09:48:09 | 100        | 225.2600 | 225.9300 | -22,526.00       | -0.25         | 22,508.27        | -17.98          | 67.00         | C;P |
| BDX              | 2019-05-17,<br>10:27:18 | -100       | 226.8500 | 228.3600 | 22,685.00        | -0.73         | -22,684.27       | 0.00            | -151.00       | O   |
| BDX              | 2019-05-17,<br>10:29:35 | 100        | 226.4500 | 228.3600 | -22,645.00       | -0.25         | 22,684.27        | 39.02           | 191.00        | C   |
| BDX              | 2019-06-03,<br>14:34:30 | 100        | 233.5000 | 232.6600 | -23,350.00       | -0.17         | 23,350.17        | 0.00            | -84.00        | O   |
| BDX              | 2019-06-03,<br>14:36:42 | -100       | 233.4200 | 232.6600 | 23,342.00        | -0.75         | -23,350.17       | -8.92           | 76.00         | C;P |
| BDX              | 2019-06-04,<br>10:11:17 | 100        | 233.4000 | 235.2200 | -23,340.00       | -0.25         | 23,340.25        | 0.00            | 182.00        | O;P |
| BDX              | 2019-06-04,<br>10:15:05 | -100       | 233.4000 | 235.2200 | 23,340.00        | -0.66         | -23,340.25       | -0.91           | -182.00       | C   |

| Trades     |                         |      |          |          |            |        |            |           |          |     |
|------------|-------------------------|------|----------|----------|------------|--------|------------|-----------|----------|-----|
| BDX        | 2019-06-04,<br>10:35:20 | 100  | 233.6500 | 235.2200 | -23,365.00 | -0.16  | 23,365.16  | 0.00      | 157.00   | O   |
| BDX        | 2019-06-04,<br>10:42:23 | -100 | 233.4300 | 235.2200 | 23,343.00  | -0.66  | -23,365.16 | -22.82    | -179.00  | C;P |
| BDX        | 2019-06-05,<br>09:32:26 | 100  | 236.4900 | 239.3600 | -23,649.00 | -0.16  | 23,649.16  | 0.00      | 287.00   | O;P |
| BDX        | 2019-06-06,<br>09:36:52 | -100 | 233.9500 | 236.6300 | 23,395.00  | -0.87  | -23,649.16 | -255.03   | -268.00  | C   |
| BDX        | 2019-06-06,<br>13:34:52 | 100  | 236.4700 | 236.6300 | -23,647.00 | -0.16  | 23,647.16  | 0.00      | 16.00    | O   |
| BDX        | 2019-06-25,<br>15:52:43 | -100 | 248.2100 | 248.2200 | 24,821.00  | -0.78  | -23,647.16 | 1,173.06  | -1.00    | C;P |
| Total BDX  |                         | 0    |          |          | 683.00     | -19.46 | 0.00       | 663.54    | -203.00  |     |
| BGNE       | 2019-04-02,<br>11:33:02 | 100  | 140.4700 | 141.8500 | -14,047.00 | -1.00  | 14,048.00  | 0.00      | 138.00   | O   |
| BGNE       | 2019-04-02,<br>11:46:38 | -100 | 140.1800 | 141.8500 | 14,018.00  | -1.19  | -14,048.00 | -31.19    | -167.00  | C   |
| BGNE       | 2019-04-10,<br>11:37:13 | 100  | 142.8400 | 146.1700 | -14,284.00 | -1.00  | 14,285.00  | 0.00      | 333.00   | O;P |
| BGNE       | 2019-04-12,<br>09:36:30 | -100 | 138.3588 | 130.1000 | 13,835.88  | -1.30  | -14,285.00 | -450.42   | 825.88   | C   |
| BGNE       | 2019-04-15,<br>09:30:05 | -100 | 130.8900 | 128.5200 | 13,089.00  | -1.28  | -13,087.72 | 0.00      | 237.00   | O   |
| BGNE       | 2019-04-15,<br>09:33:31 | 100  | 130.5700 | 128.5200 | -13,057.00 | -1.00  | 13,087.72  | 29.72     | -205.00  | C;P |
| BGNE       | 2019-04-18,<br>09:30:17 | -100 | 122.3050 | 119.8000 | 12,230.50  | -1.27  | -12,229.23 | 0.00      | 250.50   | O;P |
| BGNE       | 2019-05-01,<br>09:36:28 | 100  | 124.1400 | 126.1900 | -12,414.00 | -0.16  | 12,229.23  | -184.93   | 205.00   | C;P |
| BGNE       | 2019-05-07,<br>11:05:48 | -100 | 124.1300 | 123.4700 | 12,413.00  | -0.43  | -12,412.57 | 0.00      | 66.00    | O   |
| BGNE       | 2019-05-10,<br>10:05:48 | 100  | 133.3000 | 134.2200 | -13,330.00 | -0.37  | 12,412.57  | -917.80   | 92.00    | C   |
| Total BGNE |                         | 0    |          |          | -1,545.62  | -9.00  | 0.00       | -1,554.62 | 1,775.38 |     |
| BHP        | 2019-02-28,<br>11:00:03 | 100  | 53.1800  | 52.9000  | -5,318.00  | -1.00  | 5,319.00   | 0.00      | -28.00   | O   |
| BHP        | 2019-03-01,<br>08:22:17 | -100 | 52.9100  | 52.5100  | 5,291.00   | -1.08  | -5,319.00  | -29.08    | 40.00    | C   |
| BHP        | 2019-03-04,<br>07:31:44 | 100  | 52.8840  | 52.7900  | -5,288.40  | -1.00  | 5,289.40   | 0.00      | -9.40    | O;P |
| BHP        | 2019-03-07,<br>09:30:01 | -100 | 52.0327  | 51.8900  | 5,203.27   | -1.08  | -5,289.40  | -87.21    | 14.27    | C   |
| BHP        | 2019-03-13,<br>09:30:10 | 100  | 53.0000  | 52.8100  | -5,300.00  | -1.00  | 5,301.00   | 0.00      | -19.00   | O   |
| BHP        | 2019-03-13,<br>10:03:55 | -100 | 52.7400  | 52.8100  | 5,274.00   | -1.08  | -5,301.00  | -28.08    | -7.00    | C   |
| BHP        | 2019-03-26,<br>09:29:20 | 100  | 53.3200  | 53.5000  | -5,332.00  | -1.00  | 5,333.00   | 0.00      | 18.00    | O   |
| BHP        | 2019-04-17,<br>11:21:13 | -100 | 54.6616  | 55.1200  | 5,466.16   | -1.13  | -5,333.00  | 132.03    | -45.84   | C   |
| BHP        | 2019-04-18,<br>10:14:54 | 200  | 54.7900  | 54.9500  | -10,958.00 | -1.00  | 10,959.00  | 0.00      | 32.00    | O   |
| BHP        | 2019-04-22,<br>09:32:03 | -200 | 54.9250  | 55.1300  | 10,985.00  | -1.25  | -10,959.00 | 24.75     | -41.00   | C;P |
| BHP        | 2019-04-22,<br>10:45:58 | 200  | 54.8400  | 55.1300  | -10,968.00 | -1.00  | 10,969.00  | 0.00      | 58.00    | O   |



## Trades

|                   |                         |            |          |          |                   |               |                  |                  |                |     |
|-------------------|-------------------------|------------|----------|----------|-------------------|---------------|------------------|------------------|----------------|-----|
| BHP               | 2019-04-23,<br>09:40:55 | -200       | 54.3300  | 54.6800  | 10,866.00         | -1.25         | -10,969.00       | -104.25          | -70.00         | C;P |
| BHP               | 2019-05-01,<br>09:30:18 | 200        | 53.1000  | 51.9200  | -10,620.00        | -0.50         | 10,620.50        | 0.00             | -236.00        | O   |
| BHP               | 2019-05-01,<br>09:37:14 | -200       | 52.94085 | 51.9200  | 10,588.17         | -0.98         | -10,620.50       | -33.31           | 204.17         | C;P |
| BHP               | 2019-05-28,<br>09:30:06 | 200        | 53.3400  | 53.1500  | -10,668.00        | -0.32         | 10,668.32        | 0.00             | -38.00         | O   |
| BHP               | 2019-05-29,<br>09:30:17 | -200       | 52.24135 | 52.6400  | 10,448.27         | -1.28         | -10,668.32       | -221.33          | -79.73         | C;P |
| BHP               | 2019-06-03,<br>10:12:19 | 200        | 52.0700  | 52.3800  | -10,414.00        | -0.32         | 10,414.32        | 0.00             | 62.00          | O   |
| BHP               | 2019-06-25,<br>15:51:05 | -200       | 57.0900  | 57.0800  | 11,418.00         | -0.52         | -10,414.32       | 1,003.16         | 2.00           | C;P |
| BHP               | 2019-07-01,<br>09:30:12 | 200        | 58.8700  | 58.4400  | -11,774.00        | -0.50         | 11,774.50        | 0.00             | -86.00         | O   |
| <b>Total BHP</b>  |                         | <b>200</b> |          |          | <b>-11,100.53</b> | <b>-17.29</b> | <b>11,774.50</b> | <b>656.68</b>    | <b>-229.53</b> |     |
| BIDU              | 2019-03-13,<br>09:31:45 | 100        | 167.9000 | 168.1700 | -16,790.00        | -1.00         | 16,791.00        | 0.00             | 27.00          | O   |
| BIDU              | 2019-04-26,<br>09:40:36 | -100       | 164.9200 | 166.1200 | 16,492.00         | -0.72         | -16,791.00       | -299.72          | -120.00        | C   |
| BIDU              | 2019-04-29,<br>09:30:03 | 100        | 166.8000 | 165.8500 | -16,680.00        | -0.16         | 16,680.16        | 0.00             | -95.00         | O   |
| BIDU              | 2019-04-30,<br>09:30:43 | -100       | 163.5352 | 166.2300 | 16,353.52         | -0.72         | -16,680.16       | -327.36          | -269.48        | C   |
| BIDU              | 2019-05-01,<br>09:32:51 | 100        | 166.4000 | 165.4200 | -16,640.00        | -0.16         | 16,640.16        | 0.00             | -98.00         | O;P |
| BIDU              | 2019-05-01,<br>09:35:52 | -100       | 166.3506 | 165.4200 | 16,635.06         | -0.73         | -16,640.16       | -5.83            | 93.06          | C   |
| BIDU              | 2019-05-02,<br>11:21:10 | 100        | 165.9300 | 166.2400 | -16,593.00        | -0.16         | 16,593.16        | 0.00             | 31.00          | O   |
| BIDU              | 2019-05-02,<br>12:43:10 | -100       | 165.9100 | 166.2400 | 16,591.00         | -0.52         | -16,593.16       | -2.68            | -33.00         | C;P |
| BIDU              | 2019-05-03,<br>09:30:19 | 100        | 166.6500 | 167.0400 | -16,665.00        | -0.16         | 16,665.16        | 0.00             | 39.00          | O;P |
| BIDU              | 2019-05-06,<br>09:30:19 | -100       | 160.4200 | 164.5000 | 16,042.00         | -0.71         | -16,665.16       | -623.87          | -408.00        | C   |
| <b>Total BIDU</b> |                         | <b>0</b>   |          |          | <b>-1,254.42</b>  | <b>-5.04</b>  | <b>0.00</b>      | <b>-1,259.46</b> | <b>-833.42</b> |     |
| BIIB              | 2019-04-12,<br>09:30:01 | -100       | 234.9350 | 234.5000 | 23,493.50         | -1.50         | -23,492.00       | 0.00             | 43.50          | O   |
| BIIB              | 2019-04-12,<br>09:46:15 | 100        | 236.3975 | 234.5000 | -23,639.75        | -1.00         | 23,492.00        | -148.75          | -189.75        | C   |
| <b>Total BIIB</b> |                         | <b>0</b>   |          |          | <b>-146.25</b>    | <b>-2.50</b>  | <b>0.00</b>      | <b>-148.75</b>   | <b>-146.25</b> |     |
| BILI              | 2019-02-20,<br>09:42:14 | 100        | 18.4069  | 18.3100  | -1,840.69         | -1.00         | 1,841.69         | 0.00             | -9.69          | O   |
| BILI              | 2019-02-22,<br>08:46:26 | -100       | 18.3610  | 19.4800  | 1,836.10          | -1.04         | -1,841.69        | -6.63            | -111.90        | C;P |
| BILI              | 2019-03-04,<br>13:16:37 | 100        | 18.8600  | 18.8200  | -1,886.00         | -1.00         | 1,887.00         | 0.00             | -4.00          | O   |
| BILI              | 2019-03-04,<br>19:51:09 | -100       | 18.6800  | 18.8200  | 1,868.00          | -1.04         | -1,887.00        | -20.04           | -14.00         | C   |
| BILI              | 2019-03-05,<br>04:55:30 | 100        | 19.1465  | 19.1400  | -1,914.65         | -1.00         | 1,915.65         | 0.00             | -0.65          | O;P |
| BILI              | 2019-03-06,<br>09:32:02 | -100       | 18.8000  | 18.6600  | 1,880.00          | -1.04         | -1,915.65        | -36.69           | 14.00          | C   |
| BILI              | 2019-03-12,<br>09:30:58 | 100        | 18.8300  | 18.9000  | -1,883.00         | -1.00         | 1,884.00         | 0.00             | 7.00           | O;P |

## Trades

|                   |                      |          |            |         |                |               |             |                |                |       |
|-------------------|----------------------|----------|------------|---------|----------------|---------------|-------------|----------------|----------------|-------|
| BILI              | 2019-03-12, 09:30:59 | -100     | 18.7796    | 18.9000 | 1,877.96       | -1.04         | -1,884.00   | -7.08          | -12.04         | C     |
| BILI              | 2019-03-12, 13:31:49 | 100      | 18.8500    | 18.9000 | -1,885.00      | -1.00         | 1,886.00    | 0.00           | 5.00           | O     |
| BILI              | 2019-03-13, 09:36:47 | -100     | 18.8000    | 18.2000 | 1,880.00       | -1.04         | -1,886.00   | -7.04          | 60.00          | C     |
| BILI              | 2019-03-29, 14:52:52 | 600      | 18.8500    | 18.9500 | -11,310.00     | -3.00         | 11,313.00   | 0.00           | 60.00          | O     |
| BILI              | 2019-04-01, 10:08:08 | -600     | 18.3220333 | 18.8000 | 10,993.22      | -3.21         | -11,313.00  | -322.99        | -286.78        | C;P   |
| BILI              | 2019-04-01, 11:01:52 | 500      | 18.8600    | 18.8000 | -9,430.00      | -2.50         | 9,432.50    | 0.00           | -30.00         | O     |
| BILI              | 2019-04-01, 11:01:56 | -400     | 18.8425    | 18.8000 | 7,537.00       | -2.15         | -7,546.00   | -11.15         | 17.00          | C;P   |
| BILI              | 2019-04-01, 11:02:07 | -500     | 18.85316   | 18.8000 | 9,426.58       | -2.68         | -9,425.93   | -2.04          | 26.58          | C;O;P |
| BILI              | 2019-04-02, 09:34:26 | 400      | 18.4500    | 18.0500 | -7,380.00      | -2.00         | 7,539.43    | 157.43         | -160.00        | C;P   |
| BILI              | 2019-05-01, 09:39:34 | 700      | 17.8400    | 17.8300 | -12,488.00     | -1.12         | 12,489.12   | 0.00           | -7.00          | O;P   |
| BILI              | 2019-05-01, 09:42:40 | -700     | 17.8562286 | 17.8300 | 12,499.36      | -4.30         | -12,489.12  | 5.94           | 18.36          | C;P   |
| BILI              | 2019-05-02, 09:34:25 | 700      | 17.8200    | 17.7200 | -12,474.00     | -1.12         | 12,475.12   | 0.00           | -70.00         | O     |
| BILI              | 2019-05-02, 09:36:28 | -700     | 17.9138    | 17.7200 | 12,539.66      | -2.93         | -12,475.12  | 61.60          | 135.66         | C     |
| BILI              | 2019-05-03, 11:24:44 | 700      | 18.1500    | 18.1900 | -12,705.00     | -1.12         | 12,706.12   | 0.00           | 28.00          | O     |
| BILI              | 2019-05-06, 09:32:07 | -700     | 17.4012429 | 17.4000 | 12,180.87      | -3.53         | -12,706.12  | -528.78        | 0.87           | C;P   |
| <b>Total BILI</b> |                      | <b>0</b> |            |         | <b>-677.59</b> | <b>-39.85</b> | <b>0.00</b> | <b>-717.44</b> | <b>-333.59</b> |       |
| BK                | 2019-05-01, 12:41:37 | 300      | 49.4300    | 49.4300 | -14,829.00     | -0.75         | 14,829.75   | 0.00           | 0.00           | O     |
| BK                | 2019-05-01, 12:41:40 | -300     | 49.4408333 | 49.4300 | 14,832.25      | -1.62         | -14,829.75  | 0.88           | 3.25           | C;P   |
| BK                | 2019-05-02, 09:37:25 | 200      | 49.5400    | 49.8300 | -9,908.00      | -0.50         | 9,908.50    | 0.00           | 58.00          | O;P   |
| BK                | 2019-05-02, 09:37:33 | -200     | 49.5700    | 49.8300 | 9,914.00       | -0.97         | -9,908.50   | 4.53           | -52.00         | C     |
| BK                | 2019-05-13, 09:36:53 | -300     | 47.0900    | 47.1500 | 14,127.00      | -0.81         | -14,126.19  | 0.00           | -18.00         | O;P   |
| BK                | 2019-05-13, 09:37:23 | 300      | 47.1479667 | 47.1500 | -14,144.39     | -1.11         | 14,126.19   | -19.31         | 0.61           | C;P   |
| BK                | 2019-05-14, 09:31:04 | -300     | 47.1300    | 47.0100 | 14,139.00      | -1.08         | -14,137.92  | 0.00           | 36.00          | O;P   |
| BK                | 2019-05-14, 09:32:17 | 300      | 47.0568    | 47.0100 | -14,117.04     | -1.11         | 14,137.92   | 19.77          | -14.04         | C     |
| BK                | 2019-05-15, 09:30:19 | -300     | 46.6000    | 46.5100 | 13,980.00      | -0.81         | -13,979.19  | 0.00           | 27.00          | O;P   |
| BK                | 2019-05-20, 09:42:58 | 300      | 46.2599    | 45.9700 | -13,877.97     | -1.11         | 13,979.19   | 100.11         | -86.97         | C     |
| BK                | 2019-05-21, 09:32:00 | -300     | 46.2300    | 46.2100 | 13,869.00      | -0.80         | -13,868.20  | 0.00           | 6.00           | O;P   |
| BK                | 2019-05-31, 15:34:05 | 300      | 42.8189333 | 42.6900 | -12,845.68     | -1.06         | 13,868.20   | 1,021.46       | -38.68         | C;P   |
| BK                | 2019-06-03, 09:31:16 | -300     | 42.5700    | 43.0500 | 12,771.00      | -0.78         | -12,770.22  | 0.00           | -144.00        | O     |

## Trades

|                  |                         |            |            |         |                  |               |                 |               |                |     |
|------------------|-------------------------|------------|------------|---------|------------------|---------------|-----------------|---------------|----------------|-----|
| BK               | 2019-06-03,<br>09:32:02 | 300        | 42.6069    | 43.0500 | -12,782.07       | -1.11         | 12,770.22       | -12.96        | 132.93         | C   |
| BK               | 2019-06-19,<br>14:04:43 | -300       | 42.5600    | 42.6900 | 12,768.00        | -0.78         | -12,767.22      | 0.00          | -39.00         | O   |
| BK               | 2019-06-19,<br>14:42:37 | 300        | 42.6299    | 42.6900 | -12,788.97       | -1.11         | 12,767.22       | -22.86        | 18.03          | C   |
| BK               | 2019-06-20,<br>09:38:30 | -300       | 42.5800    | 43.1200 | 12,774.00        | -0.78         | -12,773.22      | 0.00          | -162.00        | O;P |
| BK               | 2019-06-20,<br>09:58:08 | 300        | 42.6899    | 43.1200 | -12,806.97       | -1.11         | 12,773.22       | -34.86        | 129.03         | C   |
| BK               | 2019-06-20,<br>10:11:05 | -300       | 42.5600    | 43.1200 | 12,768.00        | -0.81         | -12,767.19      | 0.00          | -168.00        | O   |
| BK               | 2019-06-20,<br>10:12:24 | 300        | 42.6400    | 43.1200 | -12,792.00       | -1.71         | 12,767.19       | -26.52        | 144.00         | C;P |
| BK               | 2019-06-20,<br>11:11:34 | -300       | 42.3600    | 43.1200 | 12,708.00        | -0.90         | -12,707.10      | 0.00          | -228.00        | O   |
| BK               | 2019-06-20,<br>12:25:18 | 300        | 42.6389667 | 43.1200 | -12,791.69       | -0.87         | 12,707.10       | -85.46        | 144.31         | C;P |
| BK               | 2019-07-02,<br>15:16:25 | -300       | 44.0700    | 44.2300 | 13,221.00        | -1.06         | -13,219.94      | 0.00          | -48.00         | O   |
| BK               | 2019-07-02,<br>15:35:00 | 300        | 44.1500    | 44.2300 | -13,245.00       | -0.58         | 13,219.94       | -25.64        | 24.00          | C;P |
| <b>Total BK</b>  |                         | <b>0</b>   |            |         | <b>942.47</b>    | <b>-23.34</b> | <b>0.00</b>     | <b>919.13</b> | <b>-275.53</b> |     |
| BUD              | 2019-04-15,<br>09:29:31 | 100        | 89.1000    | 89.1100 | -8,910.00        | -1.00         | 8,911.00        | 0.00          | 1.00           | O   |
| BUD              | 2019-05-01,<br>09:34:58 | -100       | 88.7400    | 87.8100 | 8,874.00         | -0.45         | -8,911.00       | -37.45        | 93.00          | C   |
| BUD              | 2019-06-03,<br>09:31:52 | 200        | 81.5100    | 82.2800 | -16,302.00       | -0.50         | 16,302.50       | 0.00          | 154.00         | O;P |
| BUD              | 2019-06-03,<br>10:07:57 | -200       | 81.3200    | 82.2800 | 16,264.00        | -0.86         | -16,302.50      | -39.36        | -192.00        | C;P |
| BUD              | 2019-07-01,<br>09:37:08 | 100        | 88.6300    | 88.4300 | -8,863.00        | -0.25         | 8,863.25        | 0.00          | -20.00         | O   |
| BUD              | 2019-07-01,<br>09:52:15 | -100       | 88.5100    | 88.4300 | 8,851.00         | -0.33         | -8,863.25       | -12.58        | 8.00           | C   |
| BUD              | 2019-07-02,<br>09:30:10 | 100        | 89.5000    | 90.6500 | -8,950.00        | -0.25         | 8,950.25        | 0.00          | 115.00         | O   |
| <b>Total BUD</b> |                         | <b>100</b> |            |         | <b>-9,036.00</b> | <b>-3.63</b>  | <b>8,950.25</b> | <b>-89.38</b> | <b>159.00</b>  |     |
| C                | 2019-03-13,<br>12:02:17 | 100        | 63.9500    | 63.6500 | -6,395.00        | -1.00         | 6,396.00        | 0.00          | -30.00         | O   |
| C                | 2019-03-13,<br>12:02:21 | -100       | 63.9400    | 63.6500 | 6,394.00         | -1.10         | -6,396.00       | -3.10         | 29.00          | C   |
| C                | 2019-03-14,<br>09:43:29 | 100        | 63.9500    | 64.1100 | -6,395.00        | -1.00         | 6,396.00        | 0.00          | 16.00          | O   |
| C                | 2019-03-14,<br>09:43:35 | -100       | 63.9315    | 64.1100 | 6,393.15         | -1.10         | -6,396.00       | -3.95         | -17.85         | C   |
| C                | 2019-04-01,<br>09:29:33 | 200        | 62.9000    | 64.3600 | -12,580.00       | -1.00         | 12,581.00       | 0.00          | 292.00         | O   |
| C                | 2019-05-01,<br>09:32:01 | -200       | 70.7825    | 69.9600 | 14,156.50        | -1.06         | -12,581.00      | 1,574.44      | 164.50         | C;P |
| C                | 2019-05-03,<br>09:42:08 | 200        | 70.6700    | 70.6700 | -14,134.00       | -0.50         | 14,134.50       | 0.00          | 0.00           | O;P |
| C                | 2019-05-03,<br>09:42:25 | -200       | 70.6316    | 70.6700 | 14,126.32        | -1.05         | -14,134.50      | -9.23         | -7.68          | C;P |
| C                | 2019-05-03,<br>15:11:22 | 200        | 70.6700    | 70.6700 | -14,134.00       | -0.50         | 14,134.50       | 0.00          | 0.00           | O   |

| Trades  |                         |  |      |          |          |            |        |            |          |         |     |
|---------|-------------------------|--|------|----------|----------|------------|--------|------------|----------|---------|-----|
| C       | 2019-05-03,<br>15:12:22 |  | -200 | 70.6600  | 70.6700  | 14,132.00  | -1.00  | -14,134.50 | -3.50    | -2.00   | C;P |
| C       | 2019-06-03,<br>09:31:28 |  | 200  | 62.1800  | 62.6100  | -12,436.00 | -0.32  | 12,436.32  | 0.00     | 86.00   | O;P |
| C       | 2019-06-03,<br>09:34:20 |  | -200 | 62.1200  | 62.6100  | 12,424.00  | -1.62  | -12,436.32 | -13.94   | -98.00  | C;P |
| C       | 2019-07-01,<br>09:30:14 |  | 200  | 70.9600  | 70.7400  | -14,192.00 | -0.50  | 14,192.50  | 0.00     | -44.00  | O;P |
| Total C |                         |  | 200  |          |          | -12,640.03 | -11.73 | 14,192.50  | 1,540.74 | 387.97  |     |
| CAT     | 2019-04-01,<br>09:30:01 |  | 100  | 137.6500 | 140.2500 | -13,765.00 | -1.00  | 13,766.00  | 0.00     | 260.00  | O;P |
| CAT     | 2019-04-25,<br>09:44:41 |  | -100 | 134.8560 | 136.1300 | 13,485.60  | -0.66  | -13,766.00 | -281.06  | -127.40 | C   |
| CAT     | 2019-04-26,<br>09:56:06 |  | 100  | 136.3000 | 139.0300 | -13,630.00 | -0.16  | 13,630.16  | 0.00     | 273.00  | O;P |
| CAT     | 2019-05-01,<br>09:34:33 |  | -100 | 139.7900 | 138.1500 | 13,979.00  | -0.55  | -13,630.16 | 348.29   | 164.00  | C   |
| CAT     | 2019-05-13,<br>09:33:18 |  | -100 | 126.9700 | 125.3000 | 12,697.00  | -0.52  | -12,696.48 | 0.00     | 167.00  | O   |
| CAT     | 2019-05-14,<br>09:34:33 |  | 100  | 126.8775 | 127.4700 | -12,687.75 | -0.37  | 12,696.48  | 8.35     | 59.25   | C   |
| CAT     | 2019-05-15,<br>09:30:24 |  | -100 | 126.1500 | 127.3000 | 12,615.00  | -0.52  | -12,614.48 | 0.00     | -115.00 | O   |
| CAT     | 2019-05-15,<br>10:32:45 |  | 100  | 127.2800 | 127.3000 | -12,728.00 | -0.25  | 12,614.48  | -113.77  | 2.00    | C   |
| CAT     | 2019-05-16,<br>14:12:07 |  | -100 | 127.1100 | 126.6100 | 12,711.00  | -0.53  | -12,710.47 | 0.00     | 50.00   | O   |
| CAT     | 2019-05-16,<br>14:23:57 |  | 100  | 127.4269 | 126.6100 | -12,742.69 | -0.37  | 12,710.47  | -32.59   | -81.69  | C   |
| CAT     | 2019-05-17,<br>09:33:45 |  | -100 | 124.8400 | 122.7600 | 12,484.00  | -0.43  | -12,483.57 | 0.00     | 208.00  | O;P |
| CAT     | 2019-05-31,<br>15:29:32 |  | 100  | 119.7768 | 119.8100 | -11,977.68 | -0.37  | 12,483.57  | 505.52   | 3.32    | C   |
| CAT     | 2019-06-11,<br>09:32:20 |  | 100  | 128.7100 | 127.2800 | -12,871.00 | -0.16  | 12,871.16  | 0.00     | -143.00 | O   |
| CAT     | 2019-06-11,<br>12:05:49 |  | -100 | 127.2800 | 127.2800 | 12,728.00  | -0.41  | -12,871.16 | -143.57  | 0.00    | C   |
| CAT     | 2019-06-13,<br>10:07:57 |  | 100  | 127.3600 | 127.1700 | -12,736.00 | -0.16  | 12,736.16  | 0.00     | -19.00  | O;P |
| CAT     | 2019-06-13,<br>10:09:31 |  | -100 | 127.2846 | 127.1700 | 12,728.46  | -0.65  | -12,736.16 | -8.35    | 11.46   | C   |
| CAT     | 2019-06-14,<br>15:38:34 |  | 100  | 127.5200 | 127.2300 | -12,752.00 | -0.16  | 12,752.16  | 0.00     | -29.00  | O   |
| CAT     | 2019-06-14,<br>16:04:56 |  | -100 | 127.2400 | 127.2300 | 12,724.00  | -0.44  | -12,752.16 | -28.60   | 1.00    | C   |
| CAT     | 2019-06-17,<br>09:31:07 |  | 100  | 127.3500 | 127.3200 | -12,735.00 | -0.16  | 12,735.16  | 0.00     | -3.00   | O;P |
| CAT     | 2019-06-17,<br>09:34:44 |  | -100 | 127.1300 | 127.3200 | 12,713.00  | -0.44  | -12,735.16 | -22.60   | -19.00  | C   |
| CAT     | 2019-06-17,<br>13:43:25 |  | 100  | 127.9500 | 127.3200 | -12,795.00 | -0.67  | 12,795.67  | 0.00     | -63.00  | O   |
| CAT     | 2019-06-17,<br>15:50:13 |  | -100 | 127.2400 | 127.3200 | 12,724.00  | -0.41  | -12,795.67 | -72.08   | -8.00   | C   |
| CAT     | 2019-07-01,<br>09:34:18 |  | 100  | 139.2000 | 136.6000 | -13,920.00 | -0.25  | 13,920.25  | 0.00     | -260.00 | O   |
| CAT     | 2019-07-01,<br>10:35:03 |  | -100 | 136.9400 | 136.6000 | 13,694.00  | -0.46  | -13,920.25 | -226.71  | 34.00   | C   |

| Trades    |                      |  |      |          |          |            |        |            |         |         |     |
|-----------|----------------------|--|------|----------|----------|------------|--------|------------|---------|---------|-----|
| Total CAT |                      |  | 0    |          |          | -57.06     | -10.08 | 0.00       | -67.14  | 364.94  |     |
| CCI       | 2019-04-10, 09:31:50 |  | 100  | 130.0000 | 130.2500 | -13,000.00 | -1.00  | 13,001.00  | 0.00    | 25.00   | O;P |
| CCI       | 2019-04-12, 09:32:30 |  | -100 | 127.6100 | 128.8700 | 12,761.00  | -1.28  | -13,001.00 | -241.28 | -126.00 | C   |
| CCI       | 2019-04-15, 09:32:01 |  | 100  | 128.6500 | 127.4000 | -12,865.00 | -1.00  | 12,866.00  | 0.00    | -125.00 | O   |
| CCI       | 2019-04-15, 10:16:27 |  | -100 | 127.4800 | 127.4000 | 12,748.00  | -1.28  | -12,866.00 | -119.28 | 8.00    | C;P |
| CCI       | 2019-05-01, 09:34:52 |  | 43   | 125.5900 | 124.4500 | -5,400.37  | -0.31  | 5,400.68   | 0.00    | -49.02  | O   |
| CCI       | 2019-05-01, 09:38:53 |  | -43  | 125.4448 | 124.4500 | 5,394.13   | -0.48  | -5,400.68  | -7.03   | 42.78   | C   |
| CCI       | 2019-05-13, 09:36:08 |  | 100  | 126.0200 | 126.3000 | -12,602.00 | -0.25  | 12,602.25  | 0.00    | 28.00   | O   |
| CCI       | 2019-05-13, 09:40:39 |  | -100 | 125.8700 | 126.3000 | 12,587.00  | -0.64  | -12,602.25 | -15.89  | -43.00  | C   |
| CCI       | 2019-05-20, 09:31:12 |  | 100  | 125.0900 | 123.4900 | -12,509.00 | -0.16  | 12,509.16  | 0.00    | -160.00 | O   |
| CCI       | 2019-05-20, 09:39:22 |  | -100 | 123.6400 | 123.4900 | 12,364.00  | -0.52  | -12,509.16 | -145.68 | 15.00   | C;P |
| CCI       | 2019-05-22, 09:40:54 |  | 100  | 125.8700 | 126.6300 | -12,587.00 | -0.16  | 12,587.16  | 0.00    | 76.00   | O;P |
| CCI       | 2019-05-22, 10:14:12 |  | -100 | 125.8800 | 126.6300 | 12,588.00  | -0.43  | -12,587.16 | 0.41    | -75.00  | C;P |
| CCI       | 2019-05-22, 10:38:49 |  | 100  | 125.9200 | 126.6300 | -12,592.00 | -0.16  | 12,592.16  | 0.00    | 71.00   | O;P |
| CCI       | 2019-05-29, 09:41:51 |  | -100 | 125.6912 | 125.5100 | 12,569.12  | -0.64  | -12,592.16 | -23.68  | 18.12   | C   |
| CCI       | 2019-05-30, 10:16:08 |  | 100  | 127.1100 | 127.6900 | -12,711.00 | -0.25  | 12,711.25  | 0.00    | 58.00   | O   |
| CCI       | 2019-05-31, 15:26:58 |  | -100 | 130.2200 | 130.0100 | 13,022.00  | -0.53  | -12,711.25 | 310.22  | 21.00   | C   |
| CCI       | 2019-06-03, 09:52:35 |  | 100  | 130.0300 | 131.8300 | -13,003.00 | -0.25  | 13,003.25  | 0.00    | 180.00  | O   |
| CCI       | 2019-06-03, 09:54:24 |  | -100 | 130.2692 | 131.8300 | 13,026.92  | -0.65  | -13,003.25 | 23.02   | -156.08 | C   |
| CCI       | 2019-06-04, 09:32:59 |  | 100  | 131.2500 | 131.8600 | -13,125.00 | -0.16  | 13,125.16  | 0.00    | 61.00   | O   |
| CCI       | 2019-06-25, 15:54:00 |  | -100 | 133.3400 | 133.2500 | 13,334.00  | -0.42  | -13,125.16 | 208.42  | 9.00    | C   |
| CCI       | 2019-07-02, 09:33:54 |  | 100  | 130.4600 | 133.2500 | -13,046.00 | -0.25  | 13,046.25  | 0.00    | 279.00  | O   |
| CCI       | 2019-07-02, 09:43:11 |  | -100 | 130.5296 | 133.2500 | 13,052.96  | -0.65  | -13,046.25 | 6.06    | -272.04 | C   |
| Total CCI |                      |  | 0    |          |          | 6.76       | -11.47 | 0.00       | -4.71   | -114.24 |     |
| CEO       | 2019-04-01, 09:34:15 |  | 100  | 189.2600 | 190.7500 | -18,926.00 | -1.00  | 18,927.00  | 0.00    | 149.00  | O;P |
| CEO       | 2019-04-02, 11:20:08 |  | -100 | 185.8800 | 186.3100 | 18,588.00  | -1.25  | -18,927.00 | -340.25 | -43.00  | C   |
| CEO       | 2019-04-03, 09:31:35 |  | 100  | 186.0800 | 184.9500 | -18,608.00 | -1.00  | 18,609.00  | 0.00    | -113.00 | O   |
| CEO       | 2019-04-03, 10:12:33 |  | -100 | 186.0100 | 184.9500 | 18,601.00  | -1.25  | -18,609.00 | -9.25   | 106.00  | C;P |
| CEO       | 2019-04-05, 12:40:49 |  | 100  | 185.5200 | 186.4700 | -18,552.00 | -1.00  | 18,553.00  | 0.00    | 95.00   | O   |

## Trades

|                  |                         |          |            |          |                |               |             |                |                |     |
|------------------|-------------------------|----------|------------|----------|----------------|---------------|-------------|----------------|----------------|-----|
| CEO              | 2019-04-05,<br>13:08:45 | -100     | 185.7600   | 186.4700 | 18,576.00      | -1.25         | -18,553.00  | 21.75          | -71.00         | C   |
| <b>Total CEO</b> |                         | <b>0</b> |            |          | <b>-321.00</b> | <b>-6.76</b>  | <b>0.00</b> | <b>-327.76</b> | <b>123.00</b>  |     |
| CHL              | 2019-04-22,<br>09:36:48 | -300     | 48.0200    | 47.9500  | 14,406.00      | -1.83         | -14,404.17  | 0.00           | 21.00          | O   |
| CHL              | 2019-04-22,<br>09:38:27 | 300      | 48.0900    | 47.9500  | -14,427.00     | -1.50         | 14,404.17   | -24.33         | -42.00         | C;P |
| CHL              | 2019-04-22,<br>15:59:05 | -100     | 48.0000    | 47.9500  | 4,800.00       | -1.11         | -4,798.89   | 0.00           | 5.00           | O   |
| CHL              | 2019-04-23,<br>09:40:40 | 100      | 47.8050    | 47.3900  | -4,780.50      | -1.00         | 4,798.89    | 17.39          | -41.50         | C   |
| CHL              | 2019-04-25,<br>09:39:43 | -300     | 47.0100    | 47.0800  | 14,103.00      | -1.08         | -14,101.92  | 0.00           | -21.00         | O   |
| CHL              | 2019-05-31,<br>15:28:27 | 300      | 43.8100    | 43.8200  | -13,143.00     | -0.87         | 14,101.92   | 958.05         | 3.00           | C;P |
| CHL              | 2019-06-12,<br>15:23:24 | -300     | 43.7400    | 43.7500  | 13,122.00      | -0.79         | -13,121.21  | 0.00           | -3.00          | O   |
| CHL              | 2019-06-14,<br>09:32:14 | 300      | 43.8797333 | 43.4700  | -13,163.92     | -0.87         | 13,121.21   | -43.58         | -122.92        | C;P |
| CHL              | 2019-06-17,<br>13:53:46 | -300     | 43.6600    | 43.5900  | 13,098.00      | -0.79         | -13,097.21  | 0.00           | 21.00          | O   |
| CHL              | 2019-06-19,<br>10:27:09 | 300      | 44.5700    | 44.5500  | -13,371.00     | -0.48         | 13,097.21   | -274.27        | -6.00          | C   |
| <b>Total CHL</b> |                         | <b>0</b> |            |          | <b>643.58</b>  | <b>-10.32</b> | <b>0.00</b> | <b>633.26</b>  | <b>-186.42</b> |     |
| CHU              | 2019-04-09,<br>09:32:43 | 1,000    | 12.8800    | 12.8400  | -12,880.00     | -5.00         | 12,885.00   | 0.00           | -40.00         | O   |
| CHU              | 2019-04-11,<br>10:10:11 | -1,000   | 12.7500    | 12.7600  | 12,750.00      | -5.28         | -12,885.00  | -140.28        | -10.00         | C;P |
| CHU              | 2019-05-01,<br>12:31:01 | 1,000    | 11.8900    | 11.8000  | -11,890.00     | -1.60         | 11,891.60   | 0.00           | -90.00         | O   |
| CHU              | 2019-05-01,<br>14:43:10 | -1,000   | 11.8909    | 11.8000  | 11,890.90      | -5.23         | -11,891.60  | -5.94          | 90.90          | C;P |
| <b>Total CHU</b> |                         | <b>0</b> |            |          | <b>-129.10</b> | <b>-17.12</b> | <b>0.00</b> | <b>-146.22</b> | <b>-49.10</b>  |     |
| CI               | 2019-04-12,<br>10:33:24 | -100     | 160.8900   | 160.6000 | 16,089.00      | -1.34         | -16,087.66  | 0.00           | 29.00          | O   |
| CI               | 2019-04-12,<br>10:34:33 | 100      | 160.8989   | 160.6000 | -16,089.89     | -1.00         | 16,087.66   | -3.23          | -29.89         | C   |
| CI               | 2019-04-15,<br>09:33:00 | -100     | 161.0400   | 163.8200 | 16,104.00      | -1.35         | -16,102.65  | 0.00           | -278.00        | O   |
| CI               | 2019-04-15,<br>09:40:06 | 100      | 160.7900   | 163.8200 | -16,079.00     | -1.00         | 16,102.65   | 22.65          | 303.00         | C   |
| CI               | 2019-04-16,<br>09:55:29 | -100     | 161.0400   | 151.0400 | 16,104.00      | -1.35         | -16,102.65  | 0.00           | 1,000.00       | O   |
| CI               | 2019-04-16,<br>10:09:51 | 100      | 161.4400   | 151.0400 | -16,144.00     | -1.00         | 16,102.65   | -42.35         | -1,040.00      | C   |
| CI               | 2019-04-18,<br>09:32:39 | -100     | 146.5000   | 149.0000 | 14,650.00      | -1.32         | -14,648.68  | 0.00           | -250.00        | O;P |
| CI               | 2019-04-26,<br>10:37:25 | 100      | 160.7700   | 160.9400 | -16,077.00     | -0.67         | 14,648.68   | -1,428.99      | 17.00          | C;P |
| CI               | 2019-04-29,<br>09:34:29 | -10      | 161.0500   | 160.6700 | 1,610.50       | -0.37         | -1,610.13   | 0.00           | 3.80           | O   |
| CI               | 2019-04-29,<br>09:35:34 | 10       | 160.4600   | 160.6700 | -1,604.60      | -0.35         | 1,610.13    | 5.17           | 2.10           | C   |
| CI               | 2019-05-01,<br>09:33:20 | -100     | 158.7000   | 162.0000 | 15,870.00      | -0.59         | -15,869.41  | 0.00           | -330.00        | O;P |
| CI               | 2019-05-01,<br>09:39:12 | 100      | 158.8000   | 162.0000 | -15,880.00     | -0.16         | 15,869.41   | -10.75         | 320.00         | C;P |

## Trades

|                    |                      |          |            |          |                  |               |             |                  |                |     |
|--------------------|----------------------|----------|------------|----------|------------------|---------------|-------------|------------------|----------------|-----|
| CI                 | 2019-05-02, 09:55:18 | -100     | 159.4800   | 158.2200 | 15,948.00        | -0.59         | -15,947.41  | 0.00             | 126.00         | O   |
| CI                 | 2019-05-02, 10:01:44 | 100      | 159.3800   | 158.2200 | -15,938.00       | -0.25         | 15,947.41   | 9.16             | -116.00        | C;P |
| CI                 | 2019-05-03, 10:45:17 | -100     | 154.5000   | 152.8300 | 15,450.00        | -0.58         | -15,449.42  | 0.00             | 167.00         | O;P |
| CI                 | 2019-05-06, 14:48:22 | 100      | 158.5500   | 158.8000 | -15,855.00       | -0.67         | 15,449.42   | -406.25          | 25.00          | C   |
| CI                 | 2019-05-08, 09:44:31 | -100     | 155.4500   | 156.5100 | 15,545.00        | -0.58         | -15,544.42  | 0.00             | -106.00        | O   |
| CI                 | 2019-05-14, 09:58:44 | 100      | 158.3550   | 155.2900 | -15,835.50       | -0.37         | 15,544.42   | -291.45          | -306.50        | C   |
| CI                 | 2019-05-15, 13:49:52 | -100     | 155.4300   | 155.2700 | 15,543.00        | -0.49         | -15,542.51  | 0.00             | 16.00          | O;P |
| CI                 | 2019-05-20, 09:43:42 | 100      | 154.1525   | 153.6700 | -15,415.25       | -0.37         | 15,542.51   | 126.89           | -48.25         | C   |
| CI                 | 2019-05-21, 10:45:03 | -100     | 155.4300   | 154.6600 | 15,543.00        | -1.00         | -15,542.00  | 0.00             | 77.00          | O   |
| CI                 | 2019-05-31, 15:29:59 | 100      | 148.0350   | 148.0200 | -14,803.50       | -0.37         | 15,542.00   | 738.13           | -1.50          | C   |
| CI                 | 2019-06-03, 09:43:36 | -100     | 149.9900   | 150.4100 | 14,999.00        | -0.48         | -14,998.52  | 0.00             | -42.00         | O;P |
| CI                 | 2019-06-03, 09:44:18 | 100      | 149.8016   | 150.4100 | -14,980.16       | -0.37         | 14,998.52   | 17.99            | 60.84          | C   |
| <b>Total CI</b>    |                      | <b>0</b> |            |          | <b>-1,246.40</b> | <b>-16.64</b> | <b>0.00</b> | <b>-1,263.04</b> | <b>-401.40</b> |     |
| CMCSA              | 2019-04-10, 12:38:43 | 300      | 40.7900    | 41.0800  | -12,237.00       | -1.50         | 12,238.50   | 0.00             | 87.00          | O;P |
| CMCSA              | 2019-05-01, 09:30:08 | -300     | 43.4033333 | 43.4200  | 13,021.00        | -2.32         | -12,238.50  | 780.18           | -5.00          | C;P |
| CMCSA              | 2019-05-17, 10:19:26 | 300      | 43.5800    | 43.5400  | -13,074.00       | -0.48         | 13,074.48   | 0.00             | -12.00         | O;P |
| CMCSA              | 2019-05-17, 10:26:39 | -300     | 43.5116    | 43.5400  | 13,053.48        | -1.42         | -13,074.48  | -22.42           | -8.52          | C   |
| CMCSA              | 2019-05-31, 15:30:01 | 300      | 41.0800    | 41.0000  | -12,324.00       | -0.60         | 12,324.60   | 0.00             | -24.00         | O   |
| CMCSA              | 2019-06-03, 09:30:03 | -300     | 40.9800    | 40.9600  | 12,294.00        | -1.95         | -12,324.60  | -32.55           | 6.00           | C;P |
| CMCSA              | 2019-06-04, 09:54:00 | 300      | 41.2500    | 41.4000  | -12,375.00       | -0.48         | 12,375.48   | 0.00             | 45.00          | O;P |
| CMCSA              | 2019-06-06, 10:00:04 | -300     | 41.0005333 | 41.1500  | 12,300.16        | -1.30         | -12,375.48  | -76.62           | -44.84         | C;P |
| CMCSA              | 2019-06-06, 16:51:09 | 283      | 41.0800    | 41.1500  | -11,625.64       | -0.45         | 11,626.09   | 0.00             | 19.81          | O;P |
| CMCSA              | 2019-06-25, 15:51:04 | -283     | 42.8000    | 42.7500  | 12,112.40        | -0.65         | -11,626.09  | 485.65           | 14.15          | C   |
| CMCSA              | 2019-07-01, 09:32:44 | 300      | 42.6400    | 42.7100  | -12,792.00       | -0.48         | 12,792.48   | 0.00             | 21.00          | O   |
| CMCSA              | 2019-07-01, 10:08:25 | -300     | 42.4900    | 42.7100  | 12,747.00        | -0.69         | -12,792.48  | -46.17           | -66.00         | C   |
| CMCSA              | 2019-07-02, 09:42:02 | 300      | 42.5400    | 42.8900  | -12,762.00       | -0.48         | 12,762.48   | 0.00             | 105.00         | O;P |
| CMCSA              | 2019-07-02, 09:42:13 | -300     | 42.5001    | 42.8900  | 12,750.03        | -1.41         | -12,762.48  | -13.86           | -116.97        | C   |
| <b>Total CMCSA</b> |                      | <b>0</b> |            |          | <b>1,088.43</b>  | <b>-14.22</b> | <b>0.00</b> | <b>1,074.21</b>  | <b>20.63</b>   |     |
| CNQ                | 2019-04-15, 09:33:59 | 400      | 29.7000    | 29.6200  | -11,880.00       | -2.00         | 11,882.00   | 0.00             | -32.00         | O;P |

## Trades

|                  |                         |          |          |         |                |               |             |                |              |     |
|------------------|-------------------------|----------|----------|---------|----------------|---------------|-------------|----------------|--------------|-----|
| CNQ              | 2019-05-01,<br>09:31:01 | -400     | 30.0400  | 28.9400 | 12,016.00      | -1.58         | -11,882.00  | 132.42         | 440.00       | C;P |
| CNQ              | 2019-06-03,<br>09:30:03 | 500      | 27.2900  | 26.6000 | -13,645.00     | -0.80         | 13,645.80   | 0.00           | -345.00      | O;P |
| CNQ              | 2019-06-03,<br>11:29:09 | -300     | 26.9800  | 26.6000 | 8,094.00       | -2.21         | -8,187.48   | -95.69         | 114.00       | C;P |
| CNQ              | 2019-06-03,<br>11:29:14 | -200     | 26.9700  | 26.6000 | 5,394.00       | -0.82         | -5,458.32   | -65.14         | 74.00        | C;P |
| CNQ              | 2019-06-04,<br>09:35:28 | 500      | 27.0300  | 26.8400 | -13,515.00     | -0.80         | 13,515.80   | 0.00           | -95.00       | O;P |
| CNQ              | 2019-06-04,<br>09:36:22 | -500     | 26.92156 | 26.8400 | 13,460.78      | -2.19         | -13,515.80  | -57.21         | 40.78        | C;P |
| CNQ              | 2019-06-10,<br>11:13:27 | 500      | 27.0500  | 26.8500 | -13,525.00     | -0.80         | 13,525.80   | 0.00           | -100.00      | O   |
| CNQ              | 2019-06-10,<br>11:19:25 | -500     | 26.9900  | 26.8500 | 13,495.00      | -2.49         | -13,525.80  | -33.29         | 70.00        | C;P |
| CNQ              | 2019-06-11,<br>09:30:04 | 500      | 27.1100  | 27.2100 | -13,555.00     | -0.80         | 13,555.80   | 0.00           | 50.00        | O;P |
| CNQ              | 2019-06-11,<br>10:12:25 | -500     | 26.9500  | 27.2100 | 13,475.00      | -2.78         | -13,555.80  | -83.58         | -130.00      | C;P |
| CNQ              | 2019-07-02,<br>09:31:14 | 300      | 26.8800  | 26.5800 | -8,064.00      | -0.75         | 8,064.75    | 0.00           | -90.00       | O   |
| CNQ              | 2019-07-02,<br>09:31:21 | -300     | 26.9109  | 26.5800 | 8,073.27       | -1.31         | -8,064.75   | 7.21           | 99.27        | C;P |
| <b>Total CNQ</b> |                         | <b>0</b> |          |         | <b>-175.95</b> | <b>-19.34</b> | <b>0.00</b> | <b>-195.29</b> | <b>96.05</b> |     |
| COP              | 2019-05-15,<br>11:25:43 | -200     | 62.1400  | 62.0400 | 12,428.00      | -0.78         | -12,427.22  | 0.00           | 20.00        | O   |
| COP              | 2019-05-16,<br>09:30:21 | 200      | 62.6900  | 62.5700 | -12,538.00     | -0.74         | 12,427.22   | -111.52        | -24.00       | C   |
| COP              | 2019-05-17,<br>09:30:05 | -200     | 61.9700  | 62.1000 | 12,394.00      | -0.60         | -12,393.40  | 0.00           | -26.00       | O   |
| COP              | 2019-05-17,<br>10:27:32 | 200      | 62.37785 | 62.1000 | -12,475.57     | -0.74         | 12,393.40   | -82.91         | -55.57       | C;P |
| COP              | 2019-05-20,<br>09:32:31 | -200     | 62.2200  | 62.4500 | 12,444.00      | -0.78         | -12,443.22  | 0.00           | -46.00       | O   |
| COP              | 2019-05-20,<br>09:43:33 | 200      | 62.3284  | 62.4500 | -12,465.68     | -0.94         | 12,443.22   | -23.40         | 24.32        | C;P |
| COP              | 2019-05-20,<br>13:00:15 | -200     | 62.2600  | 62.4500 | 12,452.00      | -0.78         | -12,451.22  | 0.00           | -38.00       | O   |
| COP              | 2019-05-20,<br>14:23:32 | 200      | 62.35875 | 62.4500 | -12,471.75     | -1.04         | 12,451.22   | -21.57         | 18.25        | C;P |
| COP              | 2019-05-21,<br>10:48:28 | 200      | 63.8800  | 63.6200 | -12,776.00     | -0.32         | 12,776.32   | 0.00           | -52.00       | O   |
| COP              | 2019-05-21,<br>10:56:38 | -200     | 63.7800  | 63.6200 | 12,756.00      | -1.03         | -12,776.32  | -21.35         | 32.00        | C   |
| COP              | 2019-05-23,<br>09:30:01 | -200     | 61.88555 | 59.5000 | 12,377.11      | -1.32         | -12,375.79  | 0.00           | 477.11       | O;P |
| COP              | 2019-05-31,<br>15:33:37 | 200      | 59.1092  | 58.9600 | -11,821.84     | -0.50         | 12,375.79   | 553.45         | -29.84       | C;P |
| COP              | 2019-06-05,<br>09:42:15 | -200     | 58.9100  | 57.0800 | 11,782.00      | -0.77         | -11,781.23  | 0.00           | 366.00       | O;P |
| COP              | 2019-06-05,<br>10:14:19 | 200      | 59.0392  | 57.0800 | -11,807.84     | -0.74         | 11,781.23   | -27.35         | -391.84      | C;P |
| COP              | 2019-06-06,<br>10:19:08 | -200     | 57.8400  | 58.2000 | 11,568.00      | -0.58         | -11,567.42  | 0.00           | -72.00       | O   |
| COP              | 2019-06-07,<br>13:41:22 | 200      | 59.0099  | 58.9600 | -11,801.98     | -0.74         | 11,567.42   | -235.30        | -9.98        | C   |



## Trades

|                  |                         |            |          |          |                   |               |                  |                |                |     |
|------------------|-------------------------|------------|----------|----------|-------------------|---------------|------------------|----------------|----------------|-----|
| COP              | 2019-07-01,<br>10:31:47 | 200        | 62.4700  | 62.2500  | -12,494.00        | -0.32         | 12,494.32        | 0.00           | -44.00         | O;P |
| COP              | 2019-07-01,<br>11:25:33 | -200       | 62.3208  | 62.2500  | 12,464.16         | -1.32         | -12,494.32       | -31.48         | 14.16          | C;P |
| COP              | 2019-07-02,<br>10:52:59 | -200       | 60.8800  | 60.1000  | 12,176.00         | -0.78         | -12,175.22       | 0.00           | 156.00         | O;P |
| COP              | 2019-07-02,<br>10:58:50 | 200        | 61.0200  | 60.1000  | -12,204.00        | -1.18         | 12,175.22        | -29.96         | -184.00        | C;P |
| <b>Total COP</b> |                         | <b>0</b>   |          |          | <b>-15.39</b>     | <b>-16.02</b> | <b>0.00</b>      | <b>-31.41</b>  | <b>134.61</b>  |     |
| CRM              | 2019-04-22,<br>15:05:02 | 100        | 158.5000 | 158.7600 | -15,850.00        | -1.00         | 15,851.00        | 0.00           | 26.00          | O;P |
| CRM              | 2019-04-22,<br>15:05:27 | -100       | 158.5000 | 158.7600 | 15,850.00         | -1.34         | -15,851.00       | -2.34          | -26.00         | C   |
| CRM              | 2019-04-23,<br>09:39:23 | 100        | 158.5900 | 161.2300 | -15,859.00        | -1.00         | 15,860.00        | 0.00           | 264.00         | O   |
| CRM              | 2019-04-23,<br>09:47:01 | -100       | 158.5600 | 161.2300 | 15,856.00         | -1.34         | -15,860.00       | -5.34          | -267.00        | C   |
| CRM              | 2019-04-24,<br>09:46:07 | 100        | 161.8700 | 159.5600 | -16,187.00        | -0.25         | 16,187.25        | 0.00           | -231.00        | O   |
| CRM              | 2019-05-02,<br>09:38:43 | -100       | 160.5600 | 161.8300 | 16,056.00         | -0.59         | -16,187.25       | -131.84        | -127.00        | C;P |
| CRM              | 2019-06-10,<br>09:30:06 | 100        | 153.2500 | 152.7900 | -15,325.00        | -0.16         | 15,325.16        | 0.00           | -46.00         | O   |
| CRM              | 2019-06-10,<br>14:22:07 | -100       | 151.5500 | 152.7900 | 15,155.00         | -0.70         | -15,325.16       | -170.86        | -124.00        | C   |
| CRM              | 2019-06-11,<br>09:31:54 | 100        | 154.5000 | 150.1500 | -15,450.00        | -0.16         | 15,450.16        | 0.00           | -435.00        | O;P |
| CRM              | 2019-06-11,<br>11:15:04 | -100       | 151.5725 | 150.1500 | 15,157.25         | -0.70         | -15,450.16       | -293.61        | 142.25         | C   |
| CRM              | 2019-07-01,<br>09:30:29 | 100        | 154.5600 | 154.0600 | -15,456.00        | -0.16         | 15,456.16        | 0.00           | -50.00         | O;P |
| <b>Total CRM</b> |                         | <b>100</b> |          |          | <b>-16,052.75</b> | <b>-7.40</b>  | <b>15,456.16</b> | <b>-603.99</b> | <b>-873.75</b> |     |
| CSCO             | 2019-03-13,<br>09:34:20 | 100        | 52.2500  | 52.5900  | -5,225.00         | -1.00         | 5,226.00         | 0.00           | 34.00          | O   |
| CSCO             | 2019-05-01,<br>09:32:05 | -100       | 55.9440  | 55.5800  | 5,594.40          | -0.50         | -5,226.00        | 367.90         | 36.40          | C   |
| CSCO             | 2019-05-16,<br>11:41:37 | 200        | 56.0300  | 55.9300  | -11,206.00        | -0.40         | 11,206.40        | 0.00           | -20.00         | O   |
| CSCO             | 2019-05-16,<br>11:47:16 | -200       | 55.9300  | 55.9300  | 11,186.00         | -1.60         | -11,206.40       | -22.00         | 0.00           | C;P |
| CSCO             | 2019-05-17,<br>09:34:23 | 200        | 56.0300  | 56.3500  | -11,206.00        | -0.32         | 11,206.32        | 0.00           | 64.00          | O   |
| CSCO             | 2019-05-20,<br>09:30:01 | -200       | 55.85555 | 56.0100  | 11,171.11         | -1.00         | -11,206.32       | -36.21         | -30.89         | C;P |
| CSCO             | 2019-05-20,<br>09:35:34 | 200        | 56.1300  | 56.0100  | -11,226.00        | -0.32         | 11,226.32        | 0.00           | -24.00         | O;P |
| CSCO             | 2019-05-20,<br>09:36:58 | -200       | 55.9600  | 56.0100  | 11,192.00         | -1.51         | -11,226.32       | -35.83         | -10.00         | C;P |
| CSCO             | 2019-05-20,<br>10:26:09 | 200        | 56.0200  | 56.0100  | -11,204.00        | -0.32         | 11,204.32        | 0.00           | -2.00          | O   |
| CSCO             | 2019-05-20,<br>11:23:43 | -200       | 55.9525  | 56.0100  | 11,190.50         | -1.00         | -11,204.32       | -14.82         | -11.50         | C   |
| CSCO             | 2019-05-21,<br>09:30:03 | 200        | 56.6700  | 56.5200  | -11,334.00        | -0.32         | 11,334.32        | 0.00           | -30.00         | O   |
| CSCO             | 2019-05-22,<br>10:14:52 | -200       | 55.9800  | 55.6900  | 11,196.00         | -0.71         | -11,334.32       | -139.03        | 58.00          | C;P |

| Trades     |                         |  |      |            |          |            |        |            |         |         |     |
|------------|-------------------------|--|------|------------|----------|------------|--------|------------|---------|---------|-----|
| CSCO       | 2019-05-22,<br>10:21:14 |  | 200  | 56.0900    | 55.6900  | -11,218.00 | -0.64  | 11,218.64  | 0.00    | -80.00  | O;P |
| CSCO       | 2019-05-22,<br>10:37:41 |  | -200 | 55.9300    | 55.6900  | 11,186.00  | -1.60  | -11,218.64 | -34.24  | 48.00   | C;P |
| CSCO       | 2019-05-22,<br>11:26:08 |  | 200  | 56.0300    | 55.6900  | -11,206.00 | -0.32  | 11,206.32  | 0.00    | -68.00  | O   |
| CSCO       | 2019-05-22,<br>11:43:02 |  | -200 | 55.9400    | 55.6900  | 11,188.00  | -1.21  | -11,206.32 | -19.53  | 50.00   | C;P |
| CSCO       | 2019-06-03,<br>09:48:09 |  | 200  | 52.0800    | 51.7800  | -10,416.00 | -0.32  | 10,416.32  | 0.00    | -60.00  | O;P |
| CSCO       | 2019-06-03,<br>09:57:08 |  | -200 | 52.0125    | 51.7800  | 10,402.50  | -0.98  | -10,416.32 | -14.80  | 46.50   | C   |
| CSCO       | 2019-06-04,<br>09:40:13 |  | 200  | 52.4700    | 53.2300  | -10,494.00 | -0.32  | 10,494.32  | 0.00    | 152.00  | O;P |
| CSCO       | 2019-06-25,<br>15:50:59 |  | -200 | 56.1601    | 56.0800  | 11,232.02  | -1.00  | -10,494.32 | 736.70  | 16.02   | C   |
| Total CSCO |                         |  | 0    |            |          | 803.53     | -15.37 | 0.00       | 788.16  | 168.53  |     |
| CTRP       | 2019-04-01,<br>09:33:28 |  | 200  | 44.4000    | 45.1900  | -8,880.00  | -1.00  | 8,881.00   | 0.00    | 158.00  | O   |
| CTRP       | 2019-04-17,<br>10:52:10 |  | -200 | 43.6715    | 43.9500  | 8,734.30   | -1.20  | -8,881.00  | -147.90 | -55.70  | C   |
| CTRP       | 2019-04-18,<br>09:32:15 |  | 300  | 43.7400    | 44.4300  | -13,122.00 | -1.50  | 13,123.50  | 0.00    | 207.00  | O;P |
| CTRP       | 2019-04-18,<br>09:36:00 |  | -300 | 43.7700    | 44.4300  | 13,131.00  | -1.81  | -13,123.50 | 5.69    | -198.00 | C   |
| CTRP       | 2019-04-18,<br>15:43:24 |  | 300  | 44.3900    | 44.4300  | -13,317.00 | -1.50  | 13,318.50  | 0.00    | 12.00   | O;P |
| CTRP       | 2019-04-22,<br>09:34:47 |  | -300 | 43.3900    | 43.5300  | 13,017.00  | -1.81  | -13,318.50 | -303.31 | -42.00  | C;P |
| CTRP       | 2019-04-22,<br>09:34:47 |  | -300 | 43.3900    | 43.5300  | 13,017.00  | -1.81  | -13,015.19 | 0.00    | -42.00  | O;P |
| CTRP       | 2019-04-22,<br>10:45:36 |  | 300  | 43.3600    | 43.5300  | -13,008.00 | -1.50  | 13,015.19  | 5.69    | 51.00   | C;P |
| CTRP       | 2019-04-22,<br>11:32:23 |  | 300  | 43.7000    | 43.5300  | -13,110.00 | -1.50  | 13,111.50  | 0.00    | -51.00  | O;P |
| CTRP       | 2019-04-22,<br>11:41:13 |  | -300 | 43.7000    | 43.5300  | 13,110.00  | -1.81  | -13,111.50 | -3.31   | 51.00   | C;P |
| CTRP       | 2019-04-24,<br>09:33:11 |  | 300  | 43.4433333 | 42.9400  | -13,033.00 | -1.50  | 13,034.50  | 0.00    | -151.00 | O;P |
| CTRP       | 2019-04-24,<br>09:35:28 |  | -300 | 43.4319667 | 42.9400  | 13,029.59  | -1.72  | -13,034.50 | -6.63   | 147.59  | C;P |
| CTRP       | 2019-04-29,<br>09:37:58 |  | 300  | 43.6900    | 44.0100  | -13,107.00 | -0.48  | 13,107.48  | 0.00    | 96.00   | O;P |
| CTRP       | 2019-04-29,<br>09:53:47 |  | -300 | 43.5500    | 44.0100  | 13,065.00  | -0.79  | -13,107.48 | -43.27  | -138.00 | C;P |
| CTRP       | 2019-05-01,<br>15:25:37 |  | 300  | 43.8900    | 43.5400  | -13,167.00 | -0.48  | 13,167.48  | 0.00    | -105.00 | O   |
| CTRP       | 2019-05-01,<br>15:28:39 |  | -300 | 43.8905333 | 43.5400  | 13,167.16  | -1.42  | -13,167.48 | -1.74   | 105.16  | C;P |
| Total CTRP |                         |  | 0    |            |          | -472.95    | -21.81 | 0.00       | -494.76 | 45.05   |     |
| DIS        | 2019-04-15,<br>09:29:24 |  | 100  | 131.0100   | 132.0400 | -13,101.00 | -1.00  | 13,102.00  | 0.00    | 103.00  | O   |
| DIS        | 2019-05-01,<br>09:37:19 |  | -100 | 136.6300   | 136.3800 | 13,663.00  | -0.65  | -13,102.00 | 560.35  | 25.00   | C   |
| DIS        | 2019-05-31,<br>15:58:00 |  | 100  | 132.2300   | 132.0400 | -13,223.00 | -0.25  | 13,223.25  | 0.00    | -19.00  | O   |

## Trades

|                  |                         |            |          |          |                   |              |                  |                 |               |     |
|------------------|-------------------------|------------|----------|----------|-------------------|--------------|------------------|-----------------|---------------|-----|
| DIS              | 2019-06-03,<br>10:00:13 | -100       | 131.9900 | 132.4700 | 13,199.00         | -0.69        | -13,223.25       | -24.94          | -48.00        | C   |
| DIS              | 2019-06-04,<br>09:52:34 | 100        | 133.3200 | 134.8200 | -13,332.00        | -0.17        | 13,332.17        | 0.00            | 150.00        | O   |
| DIS              | 2019-06-25,<br>15:50:45 | -100       | 139.8400 | 139.9400 | 13,984.00         | -0.97        | -13,332.17       | 650.86          | -10.00        | C   |
| DIS              | 2019-07-01,<br>10:47:32 | 100        | 140.9700 | 141.6500 | -14,097.00        | -0.25        | 14,097.25        | 0.00            | 68.00         | O   |
| <b>Total DIS</b> |                         | <b>100</b> |          |          | <b>-12,907.00</b> | <b>-3.98</b> | <b>14,097.25</b> | <b>1,186.27</b> | <b>269.00</b> |     |
| EA               | 2019-05-16,<br>09:30:09 | 100        | 96.2000  | 99.0000  | -9,620.00         | -0.16        | 9,620.16         | 0.00            | 280.00        | O   |
| EA               | 2019-05-20,<br>09:42:08 | -100       | 94.7144  | 92.6900  | 9,471.44          | -0.58        | -9,620.16        | -149.30         | 202.44        | C   |
| EA               | 2019-05-20,<br>09:43:40 | 100        | 94.7500  | 92.6900  | -9,475.00         | -0.16        | 9,475.16         | 0.00            | -206.00       | O;P |
| EA               | 2019-05-20,<br>09:45:01 | -100       | 94.6350  | 92.6900  | 9,463.50          | -0.74        | -9,475.16        | -12.40          | 194.50        | C;P |
| EA               | 2019-05-20,<br>11:27:48 | 100        | 94.7800  | 92.6900  | -9,478.00         | -0.16        | 9,478.16         | 0.00            | -209.00       | O   |
| EA               | 2019-05-20,<br>11:37:25 | -100       | 94.4400  | 92.6900  | 9,444.00          | -0.37        | -9,478.16        | -34.53          | 175.00        | C   |
| EA               | 2019-05-21,<br>09:42:07 | 100        | 94.7600  | 95.6500  | -9,476.00         | -0.16        | 9,476.16         | 0.00            | 89.00         | O   |
| EA               | 2019-05-21,<br>09:43:31 | -100       | 94.5840  | 95.6500  | 9,458.40          | -0.58        | -9,476.16        | -18.34          | -106.60       | C   |
| EA               | 2019-05-22,<br>09:57:50 | 100        | 95.0800  | 93.8400  | -9,508.00         | -0.16        | 9,508.16         | 0.00            | -124.00       | O;P |
| EA               | 2019-05-22,<br>10:05:41 | -100       | 94.5500  | 93.8400  | 9,455.00          | -0.37        | -9,508.16        | -53.53          | 71.00         | C   |
| EA               | 2019-05-22,<br>10:29:46 | 100        | 94.7700  | 93.8400  | -9,477.00         | -0.16        | 9,477.16         | 0.00            | -93.00        | O;P |
| EA               | 2019-05-22,<br>10:33:20 | -100       | 94.7000  | 93.8400  | 9,470.00          | -0.88        | -9,477.16        | -8.04           | 86.00         | C   |
| EA               | 2019-06-03,<br>09:43:27 | 100        | 92.8400  | 91.3100  | -9,284.00         | -0.16        | 9,284.16         | 0.00            | -153.00       | O   |
| EA               | 2019-06-03,<br>09:43:51 | -100       | 92.9205  | 91.3100  | 9,292.05          | -0.57        | -9,284.16        | 7.32            | 161.05        | C   |
| EA               | 2019-06-04,<br>11:45:46 | 100        | 93.2200  | 94.1300  | -9,322.00         | -0.16        | 9,322.16         | 0.00            | 91.00         | O;P |
| EA               | 2019-06-04,<br>12:04:48 | -100       | 93.0800  | 94.1300  | 9,308.00          | -0.36        | -9,322.16        | -14.53          | -105.00       | C   |
| EA               | 2019-06-10,<br>09:50:32 | 100        | 94.9200  | 95.5100  | -9,492.00         | -0.16        | 9,492.16         | 0.00            | 59.00         | O   |
| EA               | 2019-06-12,<br>10:26:05 | -100       | 93.1100  | 92.6700  | 9,311.00          | -0.87        | -9,492.16        | -182.04         | 44.00         | C   |
| EA               | 2019-06-13,<br>09:31:41 | 100        | 93.2000  | 93.5700  | -9,320.00         | -0.16        | 9,320.16         | 0.00            | 37.00         | O;P |
| EA               | 2019-06-13,<br>09:33:04 | -100       | 92.9586  | 93.5700  | 9,295.86          | -0.57        | -9,320.16        | -24.87          | -61.14        | C   |
| EA               | 2019-06-14,<br>09:30:42 | 100        | 93.7000  | 92.7300  | -9,370.00         | -0.16        | 9,370.16         | 0.00            | -97.00        | O   |
| EA               | 2019-06-14,<br>09:34:31 | -100       | 92.7230  | 92.7300  | 9,272.30          | -0.57        | -9,370.16        | -98.43          | -0.70         | C   |
| EA               | 2019-07-02,<br>09:43:23 | 100        | 101.5000 | 102.7200 | -10,150.00        | -0.16        | 10,150.16        | 0.00            | 122.00        | O;P |
| EA               | 2019-07-02,<br>09:50:53 | -100       | 101.0800 | 102.7200 | 10,108.00         | -0.54        | -10,150.16       | -42.70          | -164.00       | C   |

| Trades    |                      |  |      |         |         |           |        |           |         |         |     |
|-----------|----------------------|--|------|---------|---------|-----------|--------|-----------|---------|---------|-----|
| Total EA  |                      |  | 0    |         |         | -622.45   | -8.93  | 0.00      | -631.38 | 292.55  |     |
| EDU       | 2019-04-10, 09:33:23 |  | 100  | 90.2200 | 90.6700 | -9,022.00 | -1.00  | 9,023.00  | 0.00    | 45.00   | O   |
| EDU       | 2019-04-10, 09:36:02 |  | -100 | 90.4268 | 90.6700 | 9,042.68  | -1.13  | -9,023.00 | 18.55   | -24.32  | C   |
| EDU       | 2019-04-11, 09:31:32 |  | 100  | 90.2200 | 90.5900 | -9,022.00 | -1.00  | 9,023.00  | 0.00    | 37.00   | O   |
| EDU       | 2019-04-11, 09:41:31 |  | -100 | 89.8400 | 90.5900 | 8,984.00  | -1.13  | -9,023.00 | -40.13  | -75.00  | C;P |
| EDU       | 2019-04-12, 12:11:33 |  | 100  | 91.1900 | 90.8700 | -9,119.00 | -1.00  | 9,120.00  | 0.00    | -32.00  | O;P |
| EDU       | 2019-04-16, 15:46:45 |  | -100 | 90.0100 | 89.9900 | 9,001.00  | -1.20  | -9,120.00 | -120.20 | 2.00    | C   |
| EDU       | 2019-04-17, 09:30:11 |  | 100  | 90.0400 | 89.7300 | -9,004.00 | -1.00  | 9,005.00  | 0.00    | -31.00  | O   |
| EDU       | 2019-04-17, 09:31:11 |  | -100 | 89.9400 | 89.7300 | 8,994.00  | -1.20  | -9,005.00 | -12.20  | 21.00   | C   |
| EDU       | 2019-04-22, 10:45:41 |  | 100  | 90.5300 | 90.4200 | -9,053.00 | -1.00  | 9,054.00  | 0.00    | -11.00  | O   |
| EDU       | 2019-04-23, 09:40:40 |  | -100 | 88.2600 | 92.9700 | 8,826.00  | -1.19  | -9,054.00 | -229.19 | -471.00 | C   |
| EDU       | 2019-04-24, 09:54:29 |  | 100  | 92.4700 | 90.3400 | -9,247.00 | -0.25  | 9,247.25  | 0.00    | -213.00 | O   |
| EDU       | 2019-04-24, 11:51:24 |  | -100 | 90.1000 | 90.3400 | 9,010.00  | -0.57  | -9,247.25 | -237.82 | -24.00  | C   |
| EDU       | 2019-05-01, 09:57:05 |  | 100  | 95.5000 | 93.8500 | -9,550.00 | -0.25  | 9,550.25  | 0.00    | -165.00 | O   |
| EDU       | 2019-05-01, 10:08:25 |  | -100 | 95.3200 | 93.8500 | 9,532.00  | -0.37  | -9,550.25 | -18.62  | 147.00  | C;P |
| EDU       | 2019-05-02, 13:02:07 |  | 100  | 95.3600 | 95.7400 | -9,536.00 | -0.25  | 9,536.25  | 0.00    | 38.00   | O;P |
| EDU       | 2019-05-02, 13:08:41 |  | -100 | 95.4900 | 95.7400 | 9,549.00  | -0.46  | -9,536.25 | 12.29   | -25.00  | C   |
| EDU       | 2019-05-03, 12:10:47 |  | 100  | 95.3400 | 95.3100 | -9,534.00 | -0.25  | 9,534.25  | 0.00    | -3.00   | O;P |
| EDU       | 2019-05-03, 12:12:27 |  | -100 | 95.3600 | 95.3100 | 9,536.00  | -0.46  | -9,534.25 | 1.29    | 5.00    | C   |
| Total EDU |                      |  | 0    |         |         | -612.32   | -13.71 | 0.00      | -626.03 | -779.32 |     |
| EEM       | 2019-03-13, 09:30:19 |  | 200  | 42.6600 | 42.6900 | -8,532.00 | -1.00  | 8,533.00  | 0.00    | 6.00    | O   |
| EEM       | 2019-03-14, 09:29:39 |  | -200 | 42.5000 | 42.4600 | 8,500.00  | -1.13  | -8,533.00 | -34.13  | 8.00    | C   |
| EEM       | 2019-03-15, 09:30:02 |  | 200  | 42.8300 | 43.0800 | -8,566.00 | -1.00  | 8,567.00  | 0.00    | 50.00   | O   |
| EEM       | 2019-03-22, 11:53:14 |  | -200 | 42.5116 | 42.4300 | 8,502.32  | -1.13  | -8,567.00 | -65.81  | 16.32   | C   |
| EEM       | 2019-03-26, 09:29:29 |  | 200  | 42.6800 | 42.6600 | -8,536.00 | -1.00  | 8,537.00  | 0.00    | -4.00   | O   |
| EEM       | 2019-03-26, 13:36:52 |  | -200 | 42.5175 | 42.6600 | 8,503.50  | -1.13  | -8,537.00 | -34.63  | -28.50  | C   |
| EEM       | 2019-03-27, 09:33:15 |  | 200  | 42.5100 | 42.2000 | -8,502.00 | -1.00  | 8,503.00  | 0.00    | -62.00  | O   |
| EEM       | 2019-03-27, 09:33:19 |  | -200 | 42.5000 | 42.2000 | 8,500.00  | -1.13  | -8,503.00 | -4.13   | 60.00   | C   |
| EEM       | 2019-03-28, 14:00:28 |  | 200  | 42.5200 | 42.4800 | -8,504.00 | -1.00  | 8,505.00  | 0.00    | -8.00   | O   |

## Trades

|                  |                         |            |          |          |                   |               |                  |                 |                 |     |
|------------------|-------------------------|------------|----------|----------|-------------------|---------------|------------------|-----------------|-----------------|-----|
| EEM              | 2019-03-28,<br>14:01:03 | -200       | 42.5116  | 42.4800  | 8,502.32          | -1.13         | -8,505.00        | -3.81           | 6.32            | C   |
| EEM              | 2019-03-29,<br>09:32:40 | 200        | 42.9100  | 42.9200  | -8,582.00         | -1.00         | 8,583.00         | 0.00            | 2.00            | O   |
| EEM              | 2019-05-01,<br>09:39:24 | -200       | 43.9600  | 43.6000  | 8,792.00          | -0.45         | -8,583.00        | 208.55          | 72.00           | C   |
| EEM              | 2019-06-03,<br>10:02:27 | 300        | 41.0500  | 41.0800  | -12,315.00        | -0.48         | 12,315.48        | 0.00            | 9.00            | O   |
| EEM              | 2019-06-06,<br>10:30:14 | -300       | 40.7100  | 40.8600  | 12,213.00         | -1.25         | -12,315.48       | -103.73         | -45.00          | C;P |
| EEM              | 2019-06-06,<br>13:49:56 | 300        | 40.7900  | 40.8600  | -12,237.00        | -0.36         | 12,237.36        | 0.00            | 21.00           | O   |
| EEM              | 2019-06-25,<br>15:51:04 | -300       | 42.2650  | 42.2700  | 12,679.50         | -1.41         | -12,237.36       | 440.73          | -1.50           | C;P |
| EEM              | 2019-07-01,<br>09:30:16 | 300        | 43.6800  | 43.4200  | -13,104.00        | -0.48         | 13,104.48        | 0.00            | -78.00          | O;P |
| <b>Total EEM</b> |                         | <b>300</b> |          |          | <b>-12,685.36</b> | <b>-16.10</b> | <b>13,104.48</b> | <b>403.02</b>   | <b>23.64</b>    |     |
| FB               | 2019-01-17,<br>09:33:47 | 100        | 147.2100 | 148.3000 | -14,721.00        | -1.00         | 15,104.79        | 382.79          | 109.00          | C;P |
| FB               | 2019-01-17,<br>09:37:56 | 100        | 147.7400 | 148.3000 | -14,774.00        | -1.00         | 14,775.00        | 0.00            | 56.00           | O   |
| FB               | 2019-02-08,<br>09:43:16 | -100       | 165.1300 | 167.3300 | 16,513.00         | -1.23         | -14,775.00       | 1,736.77        | -220.00         | C   |
| FB               | 2019-03-01,<br>05:55:43 | 100        | 162.2500 | 162.2800 | -16,225.00        | -1.00         | 16,226.00        | 0.00            | 3.00            | O   |
| FB               | 2019-03-18,<br>09:39:55 | -100       | 161.2200 | 160.4700 | 16,122.00         | -1.22         | -16,226.00       | -105.22         | 75.00           | C   |
| FB               | 2019-03-28,<br>09:29:53 | 100        | 164.1100 | 165.5500 | -16,411.00        | -1.00         | 16,412.00        | 0.00            | 144.00          | O   |
| FB               | 2019-05-01,<br>09:33:11 | -100       | 194.3925 | 193.0300 | 19,439.25         | -0.78         | -16,412.00       | 3,026.47        | 136.25          | C   |
| FB               | 2019-05-03,<br>09:34:43 | 100        | 193.7500 | 195.4700 | -19,375.00        | -0.16         | 19,375.16        | 0.00            | 172.00          | O;P |
| FB               | 2019-05-03,<br>09:34:45 | -100       | 193.8125 | 195.4700 | 19,381.25         | -0.78         | -19,375.16       | 5.31            | -165.75         | C   |
| FB               | 2019-05-03,<br>11:28:38 | 100        | 195.0500 | 195.4700 | -19,505.00        | -0.16         | 19,505.16        | 0.00            | 42.00           | O   |
| FB               | 2019-05-06,<br>09:30:00 | -100       | 191.4000 | 193.8800 | 19,140.00         | -1.08         | -19,505.16       | -366.24         | -248.00         | C   |
| FB               | 2019-05-31,<br>15:53:52 | 100        | 177.6600 | 177.4700 | -17,766.00        | -0.16         | 17,766.16        | 0.00            | -19.00          | O   |
| FB               | 2019-06-03,<br>09:30:05 | -100       | 174.0300 | 164.1500 | 17,403.00         | -1.04         | -17,766.16       | -364.20         | 988.00          | C   |
| FB               | 2019-06-11,<br>09:30:02 | 100        | 178.7400 | 178.1000 | -17,874.00        | -0.16         | 17,874.16        | 0.00            | -64.00          | O   |
| FB               | 2019-06-11,<br>09:37:25 | -100       | 177.9584 | 178.1000 | 17,795.84         | -0.75         | -17,874.16       | -79.07          | -14.16          | C   |
| FB               | 2019-06-14,<br>09:30:14 | 100        | 180.3200 | 181.3300 | -18,032.00        | -0.16         | 18,032.16        | 0.00            | 101.00          | O;P |
| FB               | 2019-06-25,<br>15:50:53 | -100       | 188.2000 | 188.8400 | 18,820.00         | -0.53         | -18,032.16       | 787.31          | -64.00          | C   |
| FB               | 2019-07-02,<br>09:33:51 | 100        | 193.5700 | 195.0000 | -19,357.00        | -0.16         | 19,357.16        | 0.00            | 143.00          | O   |
| FB               | 2019-07-02,<br>09:35:15 | -100       | 193.5760 | 195.0000 | 19,357.60         | -0.78         | -19,357.16       | -0.34           | -142.40         | C   |
| <b>Total FB</b>  |                         | <b>100</b> |          |          | <b>-10,068.06</b> | <b>-13.16</b> | <b>15,104.79</b> | <b>5,023.57</b> | <b>1,031.94</b> |     |

## Trades

|                   |                         |          |            |         |                |               |             |                |               |     |
|-------------------|-------------------------|----------|------------|---------|----------------|---------------|-------------|----------------|---------------|-----|
| FOXA              | 2019-05-31,<br>15:44:26 | 300      | 35.1200    | 35.2300 | -10,536.00     | -0.48         | 10,536.48   | 0.00           | 33.00         | O   |
| FOXA              | 2019-06-03,<br>09:31:36 | -300     | 35.1650    | 34.2400 | 10,549.50      | -1.63         | -10,536.48  | 11.38          | 277.50        | C;P |
| FOXA              | 2019-06-04,<br>09:37:08 | -300     | 34.4800    | 34.4800 | 10,344.00      | -0.73         | -10,343.27  | 0.00           | 0.00          | O;P |
| FOXA              | 2019-06-04,<br>09:37:36 | 300      | 34.4754    | 34.4800 | -10,342.62     | -1.11         | 10,343.27   | -0.46          | 1.38          | C   |
| FOXA              | 2019-06-04,<br>12:18:54 | -300     | 34.4500    | 34.4800 | 10,335.00      | -0.73         | -10,334.27  | 0.00           | -9.00         | O   |
| FOXA              | 2019-06-04,<br>12:19:35 | 300      | 34.4990    | 34.4800 | -10,349.70     | -1.10         | 10,334.27   | -16.53         | -5.70         | C;P |
| FOXA              | 2019-06-05,<br>09:46:21 | -300     | 34.4400    | 34.3600 | 10,332.00      | -0.73         | -10,331.27  | 0.00           | 24.00         | O;P |
| FOXA              | 2019-06-05,<br>09:48:17 | 300      | 34.5484    | 34.3600 | -10,364.52     | -1.44         | 10,331.27   | -34.69         | -56.52        | C;P |
| FOXA              | 2019-06-06,<br>09:40:45 | -300     | 34.4500    | 34.1200 | 10,335.00      | -0.73         | -10,334.27  | 0.00           | 99.00         | O   |
| FOXA              | 2019-06-06,<br>09:42:46 | 300      | 34.5268667 | 34.1200 | -10,358.06     | -1.11         | 10,334.27   | -24.90         | -122.06       | C;P |
| FOXA              | 2019-06-06,<br>13:42:53 | -300     | 34.1700    | 34.1200 | 10,251.00      | -0.73         | -10,250.27  | 0.00           | 15.00         | O   |
| FOXA              | 2019-06-12,<br>09:31:29 | 200      | 34.5200    | 35.0500 | -6,904.00      | -1.34         | 6,833.51    | -71.83         | 106.00        | C   |
| FOXA              | 2019-06-12,<br>09:32:27 | 100      | 34.4950    | 35.0500 | -3,449.50      | -0.37         | 3,416.76    | -33.11         | 55.50         | C   |
| FOXA              | 2019-07-01,<br>09:30:34 | 300      | 36.7400    | 35.9200 | -11,022.00     | -0.48         | 11,022.48   | 0.00           | -246.00       | O   |
| FOXA              | 2019-07-01,<br>09:30:41 | -300     | 36.6752333 | 35.9200 | 11,002.57      | -1.13         | -11,022.48  | -21.04         | 226.57        | C;P |
| <b>Total FOXA</b> |                         | <b>0</b> |            |         | <b>-177.33</b> | <b>-13.85</b> | <b>0.00</b> | <b>-191.18</b> | <b>398.67</b> |     |
| FXI               | 2019-03-04,<br>04:22:51 | 100      | 44.6500    | 44.4200 | -4,465.00      | -1.00         | 4,466.00    | 0.00           | -23.00        | O   |
| FXI               | 2019-03-07,<br>09:45:01 | -100     | 43.7900    | 43.5300 | 4,379.00       | -1.07         | -4,466.00   | -88.07         | 26.00         | C   |
| FXI               | 2019-03-12,<br>09:50:54 | 100      | 44.0300    | 44.1100 | -4,403.00      | -1.00         | 4,404.00    | 0.00           | 8.00          | O   |
| FXI               | 2019-03-14,<br>10:06:29 | -100     | 43.7900    | 43.8500 | 4,379.00       | -1.07         | -4,404.00   | -26.07         | -6.00         | C   |
| FXI               | 2019-03-15,<br>09:30:00 | 200      | 44.2600    | 44.4600 | -8,852.00      | -1.00         | 8,853.00    | 0.00           | 40.00         | O;P |
| FXI               | 2019-03-22,<br>11:35:00 | -200     | 43.7900    | 43.5900 | 8,758.00       | -1.14         | -8,853.00   | -96.14         | 40.00         | C;P |
| FXI               | 2019-03-28,<br>10:10:28 | 200      | 43.7900    | 43.8300 | -8,758.00      | -1.00         | 8,759.00    | 0.00           | 8.00          | O   |
| FXI               | 2019-03-28,<br>10:10:41 | -200     | 43.7916    | 43.8300 | 8,758.32       | -1.14         | -8,759.00   | -1.82          | -7.68         | C   |
| FXI               | 2019-03-29,<br>09:30:21 | 200      | 44.2000    | 44.2700 | -8,840.00      | -1.00         | 8,841.00    | 0.00           | 14.00         | O   |
| FXI               | 2019-04-25,<br>09:32:23 | -200     | 44.1600    | 44.3400 | 8,832.00       | -1.55         | -8,841.00   | -10.55         | -36.00        | C   |
| FXI               | 2019-04-25,<br>14:18:08 | 300      | 44.3000    | 44.3400 | -13,290.00     | -2.01         | 13,292.01   | 0.00           | 12.00         | O;P |
| FXI               | 2019-04-25,<br>14:59:30 | -300     | 44.3000    | 44.3400 | 13,290.00      | -0.82         | -13,292.01  | -2.83          | -12.00        | C;P |
| FXI               | 2019-04-26,<br>09:33:27 | 300      | 44.4900    | 44.6000 | -13,347.00     | -0.48         | 13,347.48   | 0.00           | 33.00         | O   |

## Trades

|                  |                         |          |          |          |                |               |             |                |               |     |
|------------------|-------------------------|----------|----------|----------|----------------|---------------|-------------|----------------|---------------|-----|
| FXI              | 2019-04-30,<br>11:04:54 | -300     | 44.2900  | 44.4900  | 13,287.00      | -2.32         | -13,347.48  | -62.80         | -60.00        | C   |
| FXI              | 2019-05-01,<br>09:29:12 | 300      | 44.6500  | 44.2800  | -13,395.00     | -0.48         | 13,395.48   | 0.00           | -111.00       | O   |
| FXI              | 2019-05-01,<br>09:34:40 | -300     | 44.5615  | 44.2800  | 13,368.45      | -1.42         | -13,395.48  | -28.45         | 84.45         | C   |
| <b>Total FXI</b> |                         | <b>0</b> |          |          | <b>-298.23</b> | <b>-18.50</b> | <b>0.00</b> | <b>-316.73</b> | <b>9.77</b>   |     |
| GE               | 2019-03-14,<br>09:36:31 | 1,000    | 10.4400  | 10.3000  | -10,440.00     | -5.00         | 10,445.00   | 0.00           | -140.00       | O   |
| GE               | 2019-03-14,<br>09:36:32 | -1,000   | 10.4316  | 10.3000  | 10,431.60      | -5.25         | -10,445.00  | -18.65         | 131.60        | C   |
| GE               | 2019-04-01,<br>09:37:21 | 1,000    | 10.0300  | 10.1000  | -10,030.00     | -5.00         | 10,035.00   | 0.00           | 70.00         | O;P |
| GE               | 2019-04-08,<br>09:29:03 | -200     | 9.5300   | 9.4900   | 1,906.00       | -1.05         | -2,007.00   | -102.05        | 8.00          | C;P |
| GE               | 2019-04-08,<br>09:30:03 | -800     | 9.5100   | 9.4900   | 7,608.00       | -4.19         | -8,028.00   | -424.19        | 16.00         | C   |
| GE               | 2019-04-30,<br>09:29:59 | 1,300    | 10.2300  | 10.1700  | -13,299.00     | -8.71         | 13,307.71   | 0.00           | -78.00        | O;P |
| GE               | 2019-05-01,<br>09:30:05 | -1,300   | 10.1700  | 10.1000  | 13,221.00      | -8.84         | -13,307.71  | -95.56         | 91.00         | C;P |
| GE               | 2019-05-03,<br>09:30:39 | 1,300    | 10.2800  | 10.5000  | -13,364.00     | -2.08         | 13,366.08   | 0.00           | 286.00        | O   |
| GE               | 2019-05-08,<br>09:30:13 | -1,300   | 10.0800  | 10.1600  | 13,104.00      | -2.51         | -13,366.08  | -264.59        | -104.00       | C   |
| <b>Total GE</b>  |                         | <b>0</b> |          |          | <b>-862.40</b> | <b>-42.64</b> | <b>0.00</b> | <b>-905.04</b> | <b>280.60</b> |     |
| GS               | 2019-04-18,<br>09:29:35 | 100      | 207.5300 | 205.9100 | -20,753.00     | -1.00         | 20,754.00   | 0.00           | -162.00       | O   |
| GS               | 2019-05-01,<br>10:31:28 | -100     | 205.5000 | 204.7300 | 20,550.00      | -0.69         | -20,754.00  | -204.69        | 77.00         | C   |
| GS               | 2019-05-02,<br>10:46:38 | 100      | 205.3300 | 204.9900 | -20,533.00     | -0.25         | 20,533.25   | 0.00           | -34.00        | O;P |
| GS               | 2019-05-02,<br>10:47:38 | -100     | 205.4400 | 204.9900 | 20,544.00      | -0.81         | -20,533.25  | 9.94           | 45.00         | C   |
| GS               | 2019-05-06,<br>15:54:59 | 100      | 206.2800 | 206.4300 | -20,628.00     | -0.25         | 20,628.25   | 0.00           | 15.00         | O   |
| GS               | 2019-05-08,<br>09:31:05 | -100     | 202.2800 | 200.9500 | 20,228.00      | -0.68         | -20,628.25  | -400.93        | 133.00        | C   |
| GS               | 2019-06-03,<br>09:33:37 | 100      | 182.6000 | 183.1900 | -18,260.00     | -0.16         | 18,260.16   | 0.00           | 59.00         | O   |
| GS               | 2019-06-03,<br>09:35:29 | -100     | 182.1900 | 183.1900 | 18,219.00      | -0.64         | -18,260.16  | -41.80         | -100.00       | C   |
| GS               | 2019-06-04,<br>09:50:33 | 100      | 186.1000 | 189.8800 | -18,610.00     | -0.16         | 18,610.16   | 0.00           | 378.00        | O   |
| GS               | 2019-06-25,<br>15:50:46 | -100     | 195.9200 | 196.0600 | 19,592.00      | -1.05         | -18,610.16  | 980.79         | -14.00        | C   |
| GS               | 2019-07-01,<br>09:35:45 | 100      | 207.3700 | 206.8600 | -20,737.00     | -0.25         | 20,737.25   | 0.00           | -51.00        | O;P |
| GS               | 2019-07-02,<br>10:44:41 | -100     | 204.9100 | 205.9800 | 20,491.00      | -0.60         | -20,737.25  | -246.85        | -107.00       | C   |
| <b>Total GS</b>  |                         | <b>0</b> |          |          | <b>103.00</b>  | <b>-6.54</b>  | <b>0.00</b> | <b>96.46</b>   | <b>239.00</b> |     |
| HD               | 2019-03-27,<br>11:48:53 | 100      | 188.5500 | 189.2500 | -18,855.00     | -1.00         | 18,856.00   | 0.00           | 70.00         | O   |
| HD               | 2019-05-01,<br>09:33:10 | -100     | 201.8900 | 198.8000 | 20,189.00      | -1.10         | -18,856.00  | 1,331.90       | 309.00        | C   |
| HD               | 2019-05-31,<br>15:51:15 | 100      | 189.9600 | 189.8500 | -18,996.00     | -0.25         | 18,996.25   | 0.00           | -11.00        | O   |

## Trades

|                  |                         |            |          |          |                   |               |                  |                 |                |     |
|------------------|-------------------------|------------|----------|----------|-------------------|---------------|------------------|-----------------|----------------|-----|
| HD               | 2019-05-31,<br>15:59:06 | -100       | 189.6900 | 189.8500 | 18,969.00         | -0.77         | -18,996.25       | -28.03          | -16.00         | C   |
| HD               | 2019-06-03,<br>09:35:35 | 100        | 189.9800 | 189.5700 | -18,998.00        | -0.16         | 18,998.16        | 0.00            | -41.00         | O   |
| HD               | 2019-06-03,<br>09:50:40 | -100       | 189.5800 | 189.5700 | 18,958.00         | -0.65         | -18,998.16       | -40.81          | 1.00           | C;P |
| HD               | 2019-06-05,<br>09:31:52 | 100        | 193.4102 | 196.6900 | -19,341.02        | -0.37         | 19,341.39        | 0.00            | 327.98         | O   |
| HD               | 2019-06-25,<br>15:50:58 | -100       | 204.6830 | 204.7400 | 20,468.30         | -0.81         | -19,341.39       | 1,126.10        | -5.70          | C   |
| HD               | 2019-07-01,<br>12:50:16 | 100        | 209.4300 | 210.2800 | -20,943.00        | -0.16         | 20,943.16        | 0.00            | 85.00          | O;P |
| <b>Total HD</b>  |                         | <b>100</b> |          |          | <b>-18,548.72</b> | <b>-5.28</b>  | <b>20,943.16</b> | <b>2,389.16</b> | <b>719.28</b>  |     |
| HDB              | 2019-03-14,<br>10:02:35 | 100        | 109.9000 | 110.4500 | -10,990.00        | -1.00         | 10,991.00        | 0.00            | 55.00          | O;P |
| HDB              | 2019-04-01,<br>09:29:37 | -100       | 115.1100 | 115.3000 | 11,511.00         | -1.16         | -10,991.00       | 518.84          | -19.00         | C   |
| HDB              | 2019-04-17,<br>09:47:22 | 100        | 115.6500 | 115.4400 | -11,565.00        | -1.00         | 11,566.00        | 0.00            | -21.00         | O;P |
| HDB              | 2019-04-17,<br>13:23:53 | -100       | 115.6600 | 115.4400 | 11,566.00         | -1.25         | -11,566.00       | -1.25           | 22.00          | C   |
| HDB              | 2019-04-18,<br>12:07:08 | 100        | 115.8400 | 115.9200 | -11,584.00        | -1.00         | 11,585.00        | 0.00            | 8.00           | O   |
| HDB              | 2019-04-18,<br>12:20:25 | -100       | 115.8000 | 115.9200 | 11,580.00         | -1.25         | -11,585.00       | -6.25           | -12.00         | C   |
| HDB              | 2019-04-24,<br>10:52:18 | 100        | 115.8600 | 116.0000 | -11,586.00        | -0.25         | 11,586.25        | 0.00            | 14.00          | O   |
| HDB              | 2019-04-24,<br>10:52:36 | -100       | 115.8500 | 116.0000 | 11,585.00         | -0.62         | -11,586.25       | -1.87           | -15.00         | C   |
| HDB              | 2019-05-02,<br>09:59:58 | 100        | 117.4700 | 119.2800 | -11,747.00        | -0.25         | 11,747.25        | 0.00            | 181.00         | O;P |
| HDB              | 2019-05-09,<br>09:33:07 | -100       | 114.6000 | 115.9000 | 11,460.00         | -0.50         | -11,747.25       | -287.75         | -130.00        | C   |
| HDB              | 2019-05-09,<br>09:53:59 | 100        | 115.1400 | 115.9000 | -11,514.00        | -0.17         | 11,514.17        | 0.00            | 76.00          | O;P |
| HDB              | 2019-05-09,<br>11:00:45 | -100       | 114.6900 | 115.9000 | 11,469.00         | -0.41         | -11,514.17       | -45.58          | -121.00        | C   |
| HDB              | 2019-05-09,<br>14:26:22 | 100        | 115.9100 | 115.9000 | -11,591.00        | -0.25         | 11,591.25        | 0.00            | -1.00          | O;P |
| HDB              | 2019-05-13,<br>09:36:07 | -100       | 114.5900 | 115.4600 | 11,459.00         | -0.62         | -11,591.25       | -132.87         | -87.00         | C   |
| HDB              | 2019-05-14,<br>09:36:34 | 100        | 115.5000 | 116.1700 | -11,550.00        | -0.25         | 11,550.25        | 0.00            | 67.00          | O   |
| HDB              | 2019-05-20,<br>10:48:00 | -100       | 121.7826 | 123.8800 | 12,178.26         | -0.63         | -11,550.25       | 627.38          | -209.74        | C   |
| HDB              | 2019-05-31,<br>15:35:13 | 100        | 123.9400 | 124.1600 | -12,394.00        | -0.25         | 12,394.25        | 0.00            | 22.00          | O;P |
| HDB              | 2019-06-25,<br>15:53:02 | -100       | 127.6800 | 127.5400 | 12,768.00         | -0.53         | -12,394.25       | 373.22          | 14.00          | C   |
| HDB              | 2019-07-01,<br>09:33:23 | 100        | 130.6000 | 130.9700 | -13,060.00        | -0.16         | 13,060.16        | 0.00            | 37.00          | O   |
| HDB              | 2019-07-01,<br>09:56:56 | -100       | 129.9700 | 130.9700 | 12,997.00         | -0.44         | -13,060.16       | -63.60          | -100.00        | C;P |
| HDB              | 2019-07-02,<br>13:38:58 | 100        | 131.5900 | 131.3000 | -13,159.00        | -0.25         | 13,159.25        | 0.00            | -29.00         | O;P |
| <b>Total HDB</b> |                         | <b>100</b> |          |          | <b>-12,166.74</b> | <b>-12.25</b> | <b>13,159.25</b> | <b>980.26</b>   | <b>-248.74</b> |     |



## Trades

|                   |                         |            |            |          |                   |               |                  |                |                |       |
|-------------------|-------------------------|------------|------------|----------|-------------------|---------------|------------------|----------------|----------------|-------|
| HPE               | 2019-04-01,<br>16:20:55 | 2          | 15.7100    | 15.9000  | -31.42            | -1.00         | 32.42            | 0.00           | 0.38           | O     |
| HPE               | 2019-05-01,<br>09:33:57 | -2         | 15.9320    | 15.7900  | 31.86             | -0.35         | -32.42           | -0.91          | 0.28           | C     |
| HPE               | 2019-05-02,<br>10:54:35 | 800        | 15.8800    | 15.7400  | -12,704.00        | -2.00         | 12,706.00        | 0.00           | -112.00        | O     |
| HPE               | 2019-05-02,<br>10:54:46 | -800       | 15.8800    | 15.7400  | 12,704.00         | -3.22         | -12,706.00       | -5.22          | 112.00         | C;P   |
| HPE               | 2019-05-03,<br>11:16:24 | 800        | 15.8800    | 15.9300  | -12,704.00        | -2.00         | 12,706.00        | 0.00           | 40.00          | O;P   |
| HPE               | 2019-05-03,<br>11:18:55 | -800       | 15.8800    | 15.9300  | 12,704.00         | -1.72         | -12,706.00       | -3.72          | -40.00         | C     |
| HPE               | 2019-06-03,<br>09:30:25 | 800        | 13.7300    | 13.6900  | -10,984.00        | -1.28         | 10,985.28        | 0.00           | -32.00         | O;P   |
| HPE               | 2019-06-03,<br>10:04:24 | -800       | 13.71005   | 13.6900  | 10,968.04         | -3.08         | -10,985.28       | -20.33         | 16.04          | C;P   |
| HPE               | 2019-06-04,<br>09:51:29 | 433        | 13.8200    | 14.1300  | -5,984.06         | -0.69         | 5,984.75         | 0.00           | 134.23         | O;P   |
| HPE               | 2019-06-06,<br>10:19:49 | -433       | 13.7011547 | 13.9900  | 5,932.60          | -1.61         | -5,984.75        | -53.76         | -125.07        | C;P   |
| HPE               | 2019-06-06,<br>13:34:13 | 800        | 13.8600    | 13.9900  | -11,088.00        | -1.28         | 11,089.28        | 0.00           | 104.00         | O;P   |
| HPE               | 2019-06-25,<br>15:54:02 | -800       | 14.7800    | 14.7600  | 11,824.00         | -1.38         | -11,089.28       | 733.34         | 16.00          | C;P   |
| HPE               | 2019-07-02,<br>10:21:22 | 800        | 15.0800    | 15.0800  | -12,064.00        | -2.00         | 12,066.00        | 0.00           | 0.00           | O;P   |
| <b>Total HPE</b>  |                         | <b>800</b> |            |          | <b>-11,394.98</b> | <b>-21.63</b> | <b>12,066.00</b> | <b>649.39</b>  | <b>113.86</b>  |       |
| HSBC              | 2019-02-20,<br>05:06:26 | 100        | 42.2000    | 42.1300  | -4,220.00         | -1.00         | 4,221.00         | 0.00           | -7.00          | O     |
| HSBC              | 2019-02-22,<br>15:30:09 | -200       | 40.7316    | 40.7100  | 8,146.32          | -1.13         | -8,294.10        | -148.90        | 4.32           | C;O;P |
| HSBC              | 2019-02-27,<br>15:31:19 | 100        | 41.1200    | 41.1300  | -4,112.00         | -1.00         | 4,073.10         | -39.90         | 1.00           | C     |
| HSBC              | 2019-02-28,<br>11:31:02 | -100       | 40.9627    | 40.8300  | 4,096.27          | -1.07         | -4,095.20        | 0.00           | 13.27          | O     |
| HSBC              | 2019-03-01,<br>09:30:34 | 100        | 40.8500    | 40.6100  | -4,085.00         | -1.00         | 4,095.20         | 9.20           | -24.00         | C     |
| <b>Total HSBC</b> |                         | <b>0</b>   |            |          | <b>-174.41</b>    | <b>-5.19</b>  | <b>0.00</b>      | <b>-179.60</b> | <b>-12.41</b>  |       |
| HUYA              | 2019-05-01,<br>09:30:55 | 500        | 24.0000    | 22.9100  | -12,000.00        | -1.25         | 12,001.25        | 0.00           | -545.00        | O;P   |
| HUYA              | 2019-05-01,<br>09:38:34 | -500       | 23.7500    | 22.9100  | 11,875.00         | -2.16         | -12,001.25       | -128.41        | 420.00         | C     |
| HUYA              | 2019-05-03,<br>12:01:09 | 500        | 23.6700    | 23.8400  | -11,835.00        | -1.25         | 11,836.25        | 0.00           | 85.00          | O;P   |
| HUYA              | 2019-05-03,<br>12:01:10 | -500       | 23.67016   | 23.8400  | 11,835.08         | -3.06         | -11,836.25       | -4.23          | -84.92         | C;P   |
| <b>Total HUYA</b> |                         | <b>0</b>   |            |          | <b>-124.92</b>    | <b>-7.71</b>  | <b>0.00</b>      | <b>-132.63</b> | <b>-124.92</b> |       |
| IBM               | 2019-04-24,<br>10:00:45 | 100        | 141.0500   | 139.9500 | -14,105.00        | -0.25         | 14,105.25        | 0.00           | -110.00        | O;P   |
| IBM               | 2019-04-24,<br>10:01:50 | -100       | 141.0200   | 139.9500 | 14,102.00         | -0.62         | -14,105.25       | -3.87          | 107.00         | C     |
| IBM               | 2019-05-01,<br>09:31:24 | 100        | 140.5200   | 140.5600 | -14,052.00        | -0.25         | 14,052.25        | 0.00           | 4.00           | O;P   |
| IBM               | 2019-05-01,<br>09:35:38 | -100       | 140.4196   | 140.5600 | 14,041.96         | -0.67         | -14,052.25       | -10.96         | -14.04         | C     |
| IBM               | 2019-05-02,<br>11:22:45 | 100        | 140.1600   | 139.5900 | -14,016.00        | -0.25         | 14,016.25        | 0.00           | -57.00         | O     |

| Trades    |                         |  |      |            |          |            |       |            |           |         |     |
|-----------|-------------------------|--|------|------------|----------|------------|-------|------------|-----------|---------|-----|
| IBM       | 2019-05-02,<br>11:23:02 |  | -100 | 140.1700   | 139.5900 | 14,017.00  | -0.43 | -14,016.25 | 0.32      | 58.00   | C   |
| IBM       | 2019-05-31,<br>15:57:30 |  | 100  | 127.2700   | 126.9900 | -12,727.00 | -0.25 | 12,727.25  | 0.00      | -28.00  | O   |
| IBM       | 2019-05-31,<br>15:59:36 |  | -100 | 126.9900   | 126.9900 | 12,699.00  | -0.41 | -12,727.25 | -28.66    | 0.00    | C   |
| IBM       | 2019-06-03,<br>10:02:12 |  | 100  | 127.2900   | 128.2700 | -12,729.00 | -0.16 | 12,729.16  | 0.00      | 98.00   | O;P |
| IBM       | 2019-06-03,<br>12:30:29 |  | -100 | 127.1400   | 128.2700 | 12,714.00  | -0.65 | -12,729.16 | -15.81    | -113.00 | C   |
| IBM       | 2019-06-04,<br>09:42:30 |  | 100  | 129.4600   | 132.6900 | -12,946.00 | -0.16 | 12,946.16  | 0.00      | 323.00  | O   |
| IBM       | 2019-06-25,<br>15:50:57 |  | -100 | 138.1600   | 138.3600 | 13,816.00  | -0.43 | -12,946.16 | 869.41    | -20.00  | C   |
| IBM       | 2019-07-01,<br>09:30:02 |  | 100  | 139.4000   | 139.8800 | -13,940.00 | -0.25 | 13,940.25  | 0.00      | 48.00   | O;P |
| Total IBM |                         |  | 100  |            |          | -13,125.04 | -4.78 | 13,940.25  | 810.43    | 295.96  |     |
| INTC      | 2019-04-18,<br>09:30:06 |  | 200  | 58.5700    | 58.4900  | -11,714.00 | -1.00 | 11,715.00  | 0.00      | -16.00  | O   |
| INTC      | 2019-04-26,<br>09:29:03 |  | -200 | 52.7800    | 52.4300  | 10,556.00  | -1.04 | -11,715.00 | -1,160.04 | 70.00   | C;P |
| INTC      | 2019-05-01,<br>09:29:59 |  | 200  | 51.0500    | 50.7600  | -10,210.00 | -0.32 | 10,210.32  | 0.00      | -58.00  | O   |
| INTC      | 2019-05-01,<br>09:30:01 |  | -200 | 51.0900    | 50.7600  | 10,218.00  | -1.58 | -10,210.32 | 6.10      | 66.00   | C;P |
| INTC      | 2019-05-02,<br>11:15:33 |  | 200  | 50.9100    | 50.5500  | -10,182.00 | -0.32 | 10,182.32  | 0.00      | -72.00  | O;P |
| INTC      | 2019-05-02,<br>11:15:35 |  | -200 | 50.9000    | 50.5500  | 10,180.00  | -0.88 | -10,182.32 | -3.20     | 70.00   | C   |
| INTC      | 2019-05-06,<br>16:06:11 |  | 200  | 51.1200    | 51.2200  | -10,224.00 | -0.32 | 10,224.32  | 0.00      | 20.00   | O;P |
| INTC      | 2019-05-08,<br>09:30:00 |  | -200 | 50.2200    | 49.2400  | 10,044.00  | -0.55 | -10,224.32 | -180.87   | 196.00  | C   |
| INTC      | 2019-05-09,<br>09:43:13 |  | -200 | 46.8800    | 46.6200  | 9,376.00   | -1.09 | -9,374.91  | 0.00      | 52.00   | O;P |
| INTC      | 2019-05-09,<br>09:46:17 |  | 200  | 46.9700    | 46.6200  | -9,394.00  | -0.34 | 9,374.91   | -19.43    | -70.00  | C;P |
| INTC      | 2019-05-09,<br>09:55:14 |  | -200 | 46.8300    | 46.6200  | 9,366.00   | -0.54 | -9,365.46  | 0.00      | 42.00   | O;P |
| INTC      | 2019-05-09,<br>14:36:12 |  | 200  | 46.9400    | 46.6200  | -9,388.00  | -0.32 | 9,365.46   | -22.86    | -64.00  | C   |
| INTC      | 2019-05-10,<br>09:35:07 |  | -200 | 46.5000    | 46.2000  | 9,300.00   | -0.54 | -9,299.46  | 0.00      | 60.00   | O   |
| INTC      | 2019-05-20,<br>10:47:51 |  | 200  | 44.2800    | 43.5600  | -8,856.00  | -0.94 | 9,299.46   | 442.52    | -144.00 | C;P |
| INTC      | 2019-05-31,<br>15:56:04 |  | -300 | 44.1200    | 44.0400  | 13,236.00  | -0.79 | -13,235.21 | 0.00      | 24.00   | O   |
| INTC      | 2019-06-03,<br>09:30:15 |  | 300  | 44.2392667 | 43.4600  | -13,271.78 | -1.11 | 13,235.21  | -37.68    | -233.78 | C;P |
| INTC      | 2019-06-04,<br>09:36:41 |  | -300 | 44.0400    | 44.7900  | 13,212.00  | -0.79 | -13,211.21 | 0.00      | -225.00 | O   |
| INTC      | 2019-06-04,<br>09:37:26 |  | 300  | 44.0991667 | 44.7900  | -13,229.75 | -1.17 | 13,211.21  | -19.71    | 207.25  | C;P |
| INTC      | 2019-06-04,<br>10:15:51 |  | -300 | 44.0400    | 44.7900  | 13,212.00  | -0.91 | -13,211.09 | 0.00      | -225.00 | O;P |
| INTC      | 2019-06-04,<br>10:19:56 |  | 300  | 44.1099    | 44.7900  | -13,232.97 | -1.11 | 13,211.09  | -22.99    | 204.03  | C   |

## Trades

|                   |                      |          |            |         |                  |               |             |                  |               |     |
|-------------------|----------------------|----------|------------|---------|------------------|---------------|-------------|------------------|---------------|-----|
| INTC              | 2019-06-10, 10:07:19 | 300      | 47.0400    | 46.8000 | -14,112.00       | -0.48         | 14,112.48   | 0.00             | -72.00        | O;P |
| INTC              | 2019-06-10, 10:08:54 | -300     | 46.9015    | 46.8000 | 14,070.45        | -1.44         | -14,112.48  | -43.47           | 30.45         | C   |
| INTC              | 2019-06-11, 09:30:02 | 300      | 47.1800    | 46.8500 | -14,154.00       | -0.48         | 14,154.48   | 0.00             | -99.00        | O   |
| INTC              | 2019-06-11, 09:47:56 | -300     | 46.8610667 | 46.8500 | 14,058.32        | -1.43         | -14,154.48  | -97.59           | 3.32          | C;P |
| INTC              | 2019-06-18, 09:40:12 | 300      | 47.0500    | 47.3700 | -14,115.00       | -0.48         | 14,115.48   | 0.00             | 96.00         | O   |
| INTC              | 2019-06-20, 14:45:00 | -300     | 46.9507333 | 47.1900 | 14,085.22        | -1.44         | -14,115.48  | -31.70           | -71.78        | C;P |
| INTC              | 2019-06-21, 09:30:02 | 300      | 47.0400    | 47.4600 | -14,112.00       | -0.48         | 14,112.48   | 0.00             | 126.00        | O;P |
| INTC              | 2019-06-21, 09:30:17 | -300     | 46.9611    | 47.4600 | 14,088.33        | -1.44         | -14,112.48  | -25.59           | -149.67       | C;P |
| INTC              | 2019-06-21, 09:47:09 | 300      | 47.0800    | 47.4600 | -14,124.00       | -0.48         | 14,124.48   | 0.00             | 114.00        | O;P |
| INTC              | 2019-06-25, 14:42:22 | -300     | 46.9500    | 46.8500 | 14,085.00        | -1.73         | -14,124.48  | -41.21           | 30.00         | C;P |
| INTC              | 2019-07-02, 09:30:04 | 300      | 47.9200    | 48.1200 | -14,376.00       | -0.51         | 14,376.51   | 0.00             | 60.00         | O;P |
| INTC              | 2019-07-02, 09:30:35 | -300     | 47.9166667 | 48.1200 | 14,375.00        | -2.07         | -14,376.51  | -3.58            | -61.00        | C;P |
| <b>Total INTC</b> |                      | <b>0</b> |            |         | <b>-1,233.18</b> | <b>-28.11</b> | <b>0.00</b> | <b>-1,261.29</b> | <b>-90.18</b> |     |
| IP                | 2019-03-28, 09:50:13 | 200      | 45.8000    | 46.0000 | -9,160.00        | -1.00         | 9,161.00    | 0.00             | 40.00         | O   |
| IP                | 2019-03-28, 09:50:14 | -200     | 45.7937    | 46.0000 | 9,158.74         | -1.14         | -9,161.00   | -3.40            | -41.26        | C   |
| IP                | 2019-03-29, 09:32:56 | 200      | 46.2100    | 46.2700 | -9,242.00        | -1.00         | 9,243.00    | 0.00             | 12.00         | O   |
| IP                | 2019-03-29, 12:00:35 | -200     | 45.8127    | 46.2700 | 9,162.54         | -1.14         | -9,243.00   | -81.60           | -91.46        | C;P |
| IP                | 2019-04-01, 09:45:28 | 200      | 46.4200    | 47.6500 | -9,284.00        | -1.00         | 9,285.00    | 0.00             | 246.00        | O;P |
| IP                | 2019-04-05, 09:32:49 | -200     | 46.3905    | 47.3700 | 9,278.10         | -1.14         | -9,285.00   | -8.04            | -195.90       | C   |
| IP                | 2019-04-08, 09:36:55 | 200      | 47.1800    | 47.3600 | -9,436.00        | -1.00         | 9,437.00    | 0.00             | 36.00         | O;P |
| IP                | 2019-04-16, 09:31:10 | -200     | 44.8900    | 44.9100 | 8,978.00         | -1.21         | -9,437.00   | -460.21          | -4.00         | C;P |
| IP                | 2019-04-25, 11:52:08 | 300      | 46.2700    | 45.5300 | -13,881.00       | -0.75         | 13,881.75   | 0.00             | -222.00       | O;P |
| IP                | 2019-04-25, 11:53:09 | -300     | 46.2533333 | 45.5300 | 13,876.00        | -2.06         | -13,881.75  | -7.81            | 217.00        | C;P |
| IP                | 2019-04-26, 09:59:28 | 300      | 46.2600    | 45.9900 | -13,878.00       | -0.75         | 13,878.75   | 0.00             | -81.00        | O;P |
| IP                | 2019-04-26, 10:00:28 | -300     | 46.2766667 | 45.9900 | 13,883.00        | -2.03         | -13,878.75  | 2.22             | 86.00         | C;P |
| IP                | 2019-04-29, 09:48:49 | 300      | 46.2300    | 46.4900 | -13,869.00       | -0.48         | 13,869.48   | 0.00             | 78.00         | O;P |
| IP                | 2019-04-29, 09:50:28 | -300     | 46.1900    | 46.4900 | 13,857.00        | -0.80         | -13,869.48  | -13.28           | -90.00        | C   |
| IP                | 2019-04-30, 10:11:55 | 300      | 46.0100    | 46.8100 | -13,803.00       | -0.48         | 13,803.48   | 0.00             | 240.00        | O   |
| IP                | 2019-04-30, 10:12:56 | -300     | 45.9415333 | 46.8100 | 13,782.46        | -1.43         | -13,803.48  | -22.45           | -260.54       | C;P |

## Trades

|                 |                         |          |            |         |                |               |             |                |              |     |
|-----------------|-------------------------|----------|------------|---------|----------------|---------------|-------------|----------------|--------------|-----|
| IP              | 2019-05-01,<br>09:33:46 | 300      | 46.7800    | 46.0200 | -14,034.00     | -0.75         | 14,034.75   | 0.00           | -228.00      | O;P |
| IP              | 2019-05-01,<br>09:34:46 | -300     | 46.8550    | 46.0200 | 14,056.50      | -1.44         | -14,034.75  | 20.31          | 250.50       | C   |
| IP              | 2019-05-03,<br>10:18:15 | 200      | 46.6200    | 47.1000 | -9,324.00      | -0.50         | 9,324.50    | 0.00           | 96.00        | O   |
| IP              | 2019-05-03,<br>10:19:22 | -200     | 46.4902    | 47.1000 | 9,298.04       | -0.96         | -9,324.50   | -27.42         | -121.96      | C   |
| IP              | 2019-05-31,<br>15:50:00 | 300      | 41.5000    | 41.4700 | -12,450.00     | -0.75         | 12,450.75   | 0.00           | -9.00        | O;P |
| IP              | 2019-06-03,<br>09:32:00 | -300     | 41.4265    | 41.9600 | 12,427.95      | -1.40         | -12,450.75  | -24.20         | -160.05      | C   |
| IP              | 2019-06-04,<br>09:31:56 | 300      | 42.2900    | 43.8900 | -12,687.00     | -0.48         | 12,687.48   | 0.00           | 480.00       | O;P |
| IP              | 2019-06-25,<br>15:50:55 | -300     | 42.6900    | 42.6600 | 12,807.00      | -0.88         | -12,687.48  | 118.64         | 9.00         | C;P |
| IP              | 2019-07-01,<br>09:31:45 | 300      | 43.7900    | 43.7500 | -13,137.00     | -0.48         | 13,137.48   | 0.00           | -12.00       | O;P |
| IP              | 2019-07-01,<br>12:40:04 | -300     | 43.2805333 | 43.7500 | 12,984.16      | -1.72         | -13,137.48  | -155.04        | -140.84      | C;P |
| IP              | 2019-07-01,<br>12:45:27 | -300     | 43.2900    | 43.7500 | 12,987.00      | -0.82         | -12,986.18  | 0.00           | -138.00      | O;P |
| IP              | 2019-07-02,<br>09:32:21 | 300      | 43.7700    | 43.7700 | -13,131.00     | -1.11         | 12,986.18   | -145.93        | 0.00         | C   |
| <b>Total IP</b> |                         | <b>0</b> |            |         | <b>-779.51</b> | <b>-28.72</b> | <b>0.00</b> | <b>-808.23</b> | <b>-5.51</b> |     |
| IQ              | 2019-02-28,<br>13:27:35 | 100      | 27.2000    | 27.1600 | -2,720.00      | -1.00         | 2,721.00    | 0.00           | -4.00        | O   |
| IQ              | 2019-02-28,<br>18:12:38 | -100     | 26.7000    | 27.1600 | 2,670.00       | -1.05         | -2,721.00   | -52.05         | -46.00       | C   |
| IQ              | 2019-03-01,<br>05:26:04 | 100      | 27.8400    | 27.1500 | -2,784.00      | -1.00         | 2,785.00    | 0.00           | -69.00       | O;P |
| IQ              | 2019-03-04,<br>09:30:36 | -100     | 27.1900    | 26.6600 | 2,719.00       | -1.05         | -2,785.00   | -67.05         | 53.00        | C   |
| IQ              | 2019-03-05,<br>04:33:21 | 100      | 27.3000    | 27.4600 | -2,730.00      | -1.00         | 2,731.00    | 0.00           | 16.00        | O   |
| IQ              | 2019-03-06,<br>09:30:04 | -100     | 27.1300    | 26.9700 | 2,713.00       | -1.05         | -2,731.00   | -19.05         | 16.00        | C   |
| IQ              | 2019-03-15,<br>11:44:10 | 300      | 27.1900    | 27.0200 | -8,157.00      | -1.50         | 8,158.50    | 0.00           | -51.00       | O   |
| IQ              | 2019-03-15,<br>11:44:10 | -300     | 27.1900    | 27.0200 | 8,157.00       | -1.64         | -8,158.50   | -3.14          | 51.00        | C;P |
| IQ              | 2019-04-01,<br>09:54:14 | 400      | 24.3100    | 24.7900 | -9,724.00      | -2.00         | 9,726.00    | 0.00           | 192.00       | O;P |
| IQ              | 2019-04-03,<br>14:13:26 | -400     | 23.8300    | 23.9800 | 9,532.00       | -2.17         | -9,726.00   | -196.17        | -60.00       | C   |
| IQ              | 2019-04-04,<br>09:31:00 | 400      | 23.8200    | 23.4800 | -9,528.00      | -2.00         | 9,530.00    | 0.00           | -136.00      | O;P |
| IQ              | 2019-04-04,<br>09:31:01 | -400     | 23.8027    | 23.4800 | 9,521.08       | -2.17         | -9,530.00   | -11.09         | 129.08       | C;P |
| IQ              | 2019-04-05,<br>10:14:57 | 400      | 23.8500    | 23.4800 | -9,540.00      | -2.00         | 9,542.00    | 0.00           | -148.00      | O;P |
| IQ              | 2019-04-05,<br>10:15:21 | -400     | 23.7927    | 23.4800 | 9,517.08       | -2.17         | -9,542.00   | -27.09         | 125.08       | C;P |
| IQ              | 2019-04-09,<br>09:41:25 | 500      | 23.8400    | 23.8800 | -11,920.00     | -2.50         | 11,922.50   | 0.00           | 20.00        | O;P |
| IQ              | 2019-04-09,<br>09:41:28 | -225     | 23.8558333 | 23.8800 | 5,367.56       | -1.22         | -5,365.12   | 1.22           | -5.44        | C;P |

## Trades

|          |                      |      |            |         |            |        |            |         |         |     |
|----------|----------------------|------|------------|---------|------------|--------|------------|---------|---------|-----|
| IQ       | 2019-04-10, 09:36:38 | -275 | 23.8615909 | 24.1300 | 6,561.94   | -1.49  | -6,557.38  | 3.07    | -73.81  | C;P |
| IQ       | 2019-04-11, 09:43:27 | 500  | 23.9000    | 23.3200 | -11,950.00 | -2.50  | 11,952.50  | 0.00    | -290.00 | O   |
| IQ       | 2019-04-11, 10:11:34 | -500 | 23.8500    | 23.3200 | 11,925.00  | -2.71  | -11,952.50 | -30.21  | 265.00  | C   |
| IQ       | 2019-04-12, 10:20:17 | 500  | 23.8700    | 23.7000 | -11,935.00 | -2.50  | 11,937.50  | 0.00    | -85.00  | O;P |
| IQ       | 2019-04-12, 10:21:59 | -500 | 23.7725    | 23.7000 | 11,886.25  | -2.81  | -11,937.50 | -54.06  | 36.25   | C;P |
| IQ       | 2019-04-22, 10:46:10 | 500  | 24.0200    | 23.9800 | -12,010.00 | -2.50  | 12,012.50  | 0.00    | -20.00  | O   |
| IQ       | 2019-04-22, 13:56:36 | -500 | 23.8515    | 23.9800 | 11,925.75  | -2.81  | -12,012.50 | -89.56  | -64.25  | C   |
| IQ       | 2019-04-23, 09:38:16 | 500  | 23.8800    | 23.8000 | -11,940.00 | -2.50  | 11,942.50  | 0.00    | -40.00  | O   |
| IQ       | 2019-04-23, 09:41:36 | -500 | 23.83308   | 23.8000 | 11,916.54  | -2.81  | -11,942.50 | -28.77  | 16.54   | C;P |
| IQ       | 2019-05-01, 09:30:01 | 500  | 22.1540    | 22.2000 | -11,077.00 | -3.28  | 11,080.28  | 0.00    | 23.00   | O;P |
| IQ       | 2019-05-01, 09:30:10 | -500 | 22.2700    | 22.2000 | 11,135.00  | -3.64  | -11,080.28 | 51.08   | 35.00   | C   |
| IQ       | 2019-05-02, 09:33:58 | 500  | 22.3700    | 22.4000 | -11,185.00 | -0.80  | 11,185.80  | 0.00    | 15.00   | O;P |
| IQ       | 2019-05-02, 10:54:15 | -500 | 22.1900    | 22.4000 | 11,095.00  | -2.51  | -11,185.80 | -93.31  | -105.00 | C;P |
| IQ       | 2019-05-03, 09:37:06 | 500  | 22.4300    | 23.0100 | -11,215.00 | -0.80  | 11,215.80  | 0.00    | 290.00  | O   |
| IQ       | 2019-05-06, 09:31:15 | -500 | 21.9204    | 22.4200 | 10,960.20  | -2.14  | -11,215.80 | -257.74 | -249.80 | C   |
| IQ       | 2019-05-06, 11:03:25 | 500  | 22.2300    | 22.4200 | -11,115.00 | -0.80  | 11,115.80  | 0.00    | 95.00   | O   |
| IQ       | 2019-05-06, 11:03:59 | -500 | 22.20104   | 22.4200 | 11,100.52  | -1.85  | -11,115.80 | -17.13  | -109.48 | C;P |
| Total IQ |                      | 0    |            |         | -827.08    | -63.96 | 0.00       | -891.04 | -178.83 |     |
| JD       | 2019-02-06, 15:31:38 | 100  | 25.1800    | 25.1100 | -2,518.00  | -1.00  | 2,519.00   | 0.00    | -7.00   | O   |
| JD       | 2019-02-08, 09:46:21 | -100 | 24.0800    | 23.9900 | 2,408.00   | -1.04  | -2,519.00  | -112.04 | 9.00    | C   |
| JD       | 2019-02-20, 09:30:09 | 100  | 24.8685    | 24.6800 | -2,486.85  | -1.00  | 2,487.85   | 0.00    | -18.85  | O   |
| JD       | 2019-02-28, 18:56:13 | -100 | 27.6000    | 27.7100 | 2,760.00   | -1.05  | -2,487.85  | 271.10  | -11.00  | C   |
| JD       | 2019-03-01, 05:00:03 | 100  | 28.1600    | 28.7300 | -2,816.00  | -1.00  | 2,817.00   | 0.00    | 57.00   | O   |
| JD       | 2019-03-08, 09:30:09 | -100 | 26.6600    | 27.0500 | 2,666.00   | -1.05  | -2,817.00  | -152.05 | -39.00  | C   |
| JD       | 2019-03-11, 09:39:33 | 100  | 27.6300    | 27.6200 | -2,763.00  | -1.00  | 2,764.00   | 0.00    | -1.00   | O   |
| JD       | 2019-03-11, 09:39:45 | -100 | 27.5800    | 27.6200 | 2,758.00   | -1.05  | -2,764.00  | -7.05   | -4.00   | C   |
| JD       | 2019-03-11, 10:45:29 | 100  | 27.6000    | 27.6200 | -2,760.00  | -1.00  | 2,761.00   | 0.00    | 2.00    | O   |
| JD       | 2019-03-11, 10:45:37 | -100 | 27.5900    | 27.6200 | 2,759.00   | -1.05  | -2,761.00  | -3.05   | -3.00   | C   |
| JD       | 2019-03-12, 09:32:30 | 100  | 27.9200    | 28.1100 | -2,792.00  | -1.00  | 2,793.00   | 0.00    | 19.00   | O   |

## Trades

|    |                         |      |            |         |            |       |            |         |         |     |
|----|-------------------------|------|------------|---------|------------|-------|------------|---------|---------|-----|
| JD | 2019-03-12,<br>09:36:23 | -100 | 27.5815    | 28.1100 | 2,758.15   | -1.05 | -2,793.00  | -35.90  | -52.85  | C   |
| JD | 2019-03-12,<br>09:51:20 | 100  | 27.7500    | 28.1100 | -2,775.00  | -1.00 | 2,776.00   | 0.00    | 36.00   | O   |
| JD | 2019-03-14,<br>09:30:10 | -100 | 27.5715    | 27.8900 | 2,757.15   | -1.05 | -2,776.00  | -19.90  | -31.85  | C   |
| JD | 2019-03-15,<br>09:30:17 | 300  | 28.1000    | 27.9300 | -8,430.00  | -1.50 | 8,431.50   | 0.00    | -51.00  | O   |
| JD | 2019-03-25,<br>09:30:07 | -300 | 27.5075667 | 28.3900 | 8,252.27   | -1.64 | -8,431.50  | -180.87 | -264.73 | C;P |
| JD | 2019-04-01,<br>10:06:32 | 300  | 30.8000    | 31.2600 | -9,240.00  | -1.50 | 9,241.50   | 0.00    | 138.00  | O   |
| JD | 2019-04-02,<br>12:45:04 | -300 | 30.1600    | 30.2900 | 9,048.00   | -1.65 | -9,241.50  | -195.15 | -39.00  | C   |
| JD | 2019-04-03,<br>09:33:32 | 300  | 30.5200    | 30.3100 | -9,156.00  | -1.50 | 9,157.50   | 0.00    | -63.00  | O   |
| JD | 2019-04-04,<br>09:30:03 | -200 | 29.73135   | 30.2500 | 5,946.27   | -1.10 | -6,105.00  | -159.83 | -103.73 | C;P |
| JD | 2019-04-04,<br>09:30:08 | -100 | 29.7800    | 30.2500 | 2,978.00   | -1.05 | -3,052.50  | -75.55  | -47.00  | C   |
| JD | 2019-04-05,<br>09:29:06 | 300  | 30.5000    | 31.2400 | -9,150.00  | -1.50 | 9,151.50   | 0.00    | 222.00  | O   |
| JD | 2019-04-10,<br>09:48:30 | -300 | 30.2101    | 30.1700 | 9,063.03   | -1.65 | -9,151.50  | -90.12  | 12.03   | C   |
| JD | 2019-04-11,<br>12:37:09 | 400  | 30.2000    | 30.2000 | -12,080.00 | -2.00 | 12,082.00  | 0.00    | 0.00    | O   |
| JD | 2019-04-11,<br>12:39:10 | -400 | 30.170675  | 30.2000 | 12,068.27  | -2.20 | -12,082.00 | -15.93  | -11.73  | C;P |
| JD | 2019-04-12,<br>09:29:39 | 400  | 30.5800    | 30.5700 | -12,232.00 | -2.00 | 12,234.00  | 0.00    | -4.00   | O;P |
| JD | 2019-04-15,<br>09:34:54 | -400 | 30.1715    | 29.6600 | 12,068.60  | -2.30 | -12,234.00 | -167.70 | 204.60  | C   |
| JD | 2019-04-16,<br>09:30:16 | 400  | 30.2100    | 29.9100 | -12,084.00 | -2.00 | 12,086.00  | 0.00    | -120.00 | O   |
| JD | 2019-04-16,<br>09:30:17 | -400 | 30.2026    | 29.9100 | 12,081.04  | -2.30 | -12,086.00 | -7.26   | 117.04  | C;P |
| JD | 2019-04-17,<br>14:13:23 | 400  | 30.2200    | 29.8500 | -12,088.00 | -2.00 | 12,090.00  | 0.00    | -148.00 | O;P |
| JD | 2019-04-17,<br>14:13:25 | -400 | 30.2300    | 29.8500 | 12,092.00  | -2.30 | -12,090.00 | -0.30   | 152.00  | C   |
| JD | 2019-04-30,<br>09:30:00 | 400  | 30.5000    | 30.2700 | -12,200.00 | -1.23 | 12,201.23  | 0.00    | -92.00  | O;P |
| JD | 2019-04-30,<br>09:30:32 | -400 | 30.0525    | 30.2700 | 12,021.00  | -2.68 | -12,201.23 | -182.91 | -87.00  | C;P |
| JD | 2019-05-01,<br>09:32:12 | 400  | 30.4000    | 30.2000 | -12,160.00 | -0.64 | 12,160.64  | 0.00    | -80.00  | O;P |
| JD | 2019-05-01,<br>09:33:39 | -400 | 30.4700    | 30.2000 | 12,188.00  | -1.87 | -12,160.64 | 25.48   | 108.00  | C;P |
| JD | 2019-05-02,<br>09:47:02 | 400  | 30.3000    | 29.9900 | -12,120.00 | -0.64 | 12,120.64  | 0.00    | -124.00 | O;P |
| JD | 2019-05-02,<br>09:47:07 | -400 | 30.300675  | 29.9900 | 12,120.27  | -2.34 | -12,120.64 | -2.72   | 124.27  | C;P |
| JD | 2019-05-03,<br>09:35:59 | 400  | 30.3100    | 30.0500 | -12,124.00 | -0.64 | 12,124.64  | 0.00    | -104.00 | O   |
| JD | 2019-05-03,<br>09:36:00 | -400 | 30.3302    | 30.0500 | 12,132.08  | -1.78 | -12,124.64 | 5.66    | 112.08  | C   |
| JD | 2019-05-03,<br>10:41:38 | 400  | 30.6000    | 30.0500 | -12,240.00 | -0.64 | 12,240.64  | 0.00    | -220.00 | O;P |

## Trades

|                 |                         |          |          |          |                  |               |             |                  |                |     |
|-----------------|-------------------------|----------|----------|----------|------------------|---------------|-------------|------------------|----------------|-----|
| JD              | 2019-05-03,<br>11:49:52 | -300     | 30.3900  | 30.0500  | 9,117.00         | -1.44         | -9,180.54   | -64.97           | 102.00         | C;P |
| JD              | 2019-05-03,<br>11:51:32 | -100     | 30.3900  | 30.0500  | 3,039.00         | -0.24         | -3,060.10   | -21.34           | 34.00          | C   |
| JD              | 2019-05-10,<br>09:30:31 | 400      | 30.4500  | 28.1700  | -12,180.00       | -0.64         | 12,180.64   | 0.00             | -912.00        | O   |
| JD              | 2019-05-10,<br>09:30:32 | -400     | 30.3700  | 28.1700  | 12,148.00        | -0.94         | -12,180.64  | -33.58           | 880.00         | C   |
| <b>Total JD</b> |                         | <b>0</b> |          |          | <b>-1,165.72</b> | <b>-60.25</b> | <b>0.00</b> | <b>-1,225.97</b> | <b>-310.72</b> |     |
| JNJ             | 2019-02-28,<br>11:00:08 | 100      | 137.6373 | 136.6400 | -13,763.73       | -1.00         | 13,764.73   | 0.00             | -99.73         | O   |
| JNJ             | 2019-02-28,<br>11:00:09 | 100      | 137.6373 | 136.6400 | -13,763.73       | -1.00         | 13,764.73   | 0.00             | -99.73         | O   |
| JNJ             | 2019-02-28,<br>11:00:09 | 100      | 137.6373 | 136.6400 | -13,763.73       | -1.00         | 13,764.73   | 0.00             | -99.73         | O   |
| JNJ             | 2019-03-08,<br>11:01:37 | -300     | 136.5727 | 138.0600 | 40,971.81        | -2.07         | -41,294.19  | -324.45          | -446.19        | C   |
| JNJ             | 2019-03-11,<br>10:45:36 | 100      | 138.0100 | 138.5600 | -13,801.00       | -1.00         | 13,802.00   | 0.00             | 55.00          | O   |
| JNJ             | 2019-03-25,<br>09:30:04 | -100     | 136.5527 | 136.6100 | 13,655.27        | -1.19         | -13,802.00  | -147.92          | -5.73          | C   |
| JNJ             | 2019-03-27,<br>10:52:09 | 100      | 138.5900 | 138.7000 | -13,859.00       | -1.00         | 13,860.00   | 0.00             | 11.00          | O   |
| JNJ             | 2019-04-01,<br>09:30:05 | -100     | 139.9650 | 138.9800 | 13,996.50        | -1.19         | -13,860.00  | 135.31           | 98.50          | C   |
| JNJ             | 2019-04-16,<br>09:40:56 | 100      | 140.0300 | 138.0200 | -14,003.00       | -1.00         | 14,004.00   | 0.00             | -201.00        | O   |
| JNJ             | 2019-04-16,<br>09:41:06 | -100     | 140.1200 | 138.0200 | 14,012.00        | -1.30         | -14,004.00  | 6.70             | 210.00         | C   |
| JNJ             | 2019-04-17,<br>12:56:01 | 100      | 139.9700 | 138.5200 | -13,997.00       | -1.00         | 13,998.00   | 0.00             | -145.00        | O;P |
| JNJ             | 2019-04-17,<br>12:56:13 | -100     | 139.9400 | 138.5200 | 13,994.00        | -1.30         | -13,998.00  | -5.30            | 142.00         | C   |
| JNJ             | 2019-04-18,<br>09:35:34 | 100      | 139.9600 | 137.5200 | -13,996.00       | -1.00         | 13,997.00   | 0.00             | -244.00        | O;P |
| JNJ             | 2019-04-18,<br>09:36:02 | -100     | 139.9696 | 137.5200 | 13,996.96        | -1.30         | -13,997.00  | -1.34            | 244.96         | C   |
| JNJ             | 2019-04-23,<br>11:34:11 | 100      | 139.9800 | 139.9000 | -13,998.00       | -1.00         | 13,999.00   | 0.00             | -8.00          | O   |
| JNJ             | 2019-04-23,<br>11:34:15 | -100     | 140.0400 | 139.9000 | 14,004.00        | -1.30         | -13,999.00  | 3.70             | 14.00          | C   |
| JNJ             | 2019-04-24,<br>11:22:34 | 100      | 139.9800 | 139.2000 | -13,998.00       | -0.25         | 13,998.25   | 0.00             | -78.00         | O   |
| JNJ             | 2019-04-24,<br>11:24:10 | -100     | 139.9535 | 139.2000 | 13,995.35        | -0.67         | -13,998.25  | -3.57            | 75.35          | C   |
| JNJ             | 2019-04-26,<br>09:38:01 | 100      | 140.0000 | 140.3900 | -14,000.00       | -0.25         | 14,000.25   | 0.00             | 39.00          | O;P |
| JNJ             | 2019-04-26,<br>09:41:06 | -100     | 139.9600 | 140.3900 | 13,996.00        | -0.46         | -14,000.25  | -4.71            | -43.00         | C   |
| JNJ             | 2019-04-29,<br>09:31:37 | 3        | 139.8300 | 139.8400 | -419.49          | -0.35         | 419.84      | 0.00             | 0.03           | O   |
| JNJ             | 2019-04-29,<br>09:32:42 | -3       | 140.0944 | 139.8400 | 420.28           | -0.36         | -419.84     | 0.09             | 0.76           | C   |
| JNJ             | 2019-04-30,<br>09:30:00 | 100      | 140.0100 | 141.2000 | -14,001.00       | -0.16         | 14,001.16   | 0.00             | 119.00         | O;P |
| JNJ             | 2019-04-30,<br>09:30:33 | -100     | 140.0000 | 141.2000 | 14,000.00        | -0.97         | -14,001.16  | -2.13            | -120.00        | C   |

## Trades

|                  |                         |          |          |          |               |               |             |               |                |     |
|------------------|-------------------------|----------|----------|----------|---------------|---------------|-------------|---------------|----------------|-----|
| JNJ              | 2019-05-02,<br>10:09:13 | 100      | 141.3700 | 141.2800 | -14,137.00    | -0.25         | 14,137.25   | 0.00          | -9.00          | O   |
| JNJ              | 2019-05-02,<br>10:28:26 | -100     | 141.0132 | 141.2800 | 14,101.32     | -0.67         | -14,137.25  | -36.60        | -26.68         | C   |
| JNJ              | 2019-05-03,<br>09:56:14 | 100      | 141.1700 | 142.0100 | -14,117.00    | -0.16         | 14,117.16   | 0.00          | 84.00          | O   |
| JNJ              | 2019-05-06,<br>09:30:51 | -100     | 140.3228 | 142.0900 | 14,032.28     | -0.67         | -14,117.16  | -85.55        | -176.72        | C   |
| JNJ              | 2019-05-06,<br>17:13:40 | 100      | 141.2000 | 142.0900 | -14,120.00    | -0.16         | 14,120.16   | 0.00          | 89.00          | O   |
| JNJ              | 2019-05-08,<br>09:30:30 | -100     | 140.3700 | 139.7900 | 14,037.00     | -0.55         | -14,120.16  | -83.71        | 58.00          | C   |
| JNJ              | 2019-05-29,<br>11:44:47 | -100     | 128.8900 | 131.3300 | 12,889.00     | -0.44         | -12,888.56  | 0.00          | -244.00        | O   |
| JNJ              | 2019-05-29,<br>11:45:53 | 100      | 129.1200 | 131.3300 | -12,912.00    | -0.67         | 12,888.56   | -24.11        | 221.00         | C   |
| JNJ              | 2019-05-31,<br>15:48:01 | 100      | 131.3200 | 131.1500 | -13,132.00    | -0.25         | 13,132.25   | 0.00          | -17.00         | O;P |
| JNJ              | 2019-06-03,<br>09:31:21 | -100     | 131.4243 | 131.4400 | 13,142.43     | -0.65         | -13,132.25  | 9.53          | -1.57          | C   |
| JNJ              | 2019-06-05,<br>09:32:53 | 100      | 133.9300 | 134.3800 | -13,393.00    | -0.16         | 13,393.16   | 0.00          | 45.00          | O;P |
| JNJ              | 2019-06-25,<br>15:50:55 | -100     | 144.2100 | 144.2400 | 14,421.00     | -0.98         | -13,393.16  | 1,026.86      | -3.00          | C   |
| JNJ              | 2019-07-01,<br>09:30:01 | 100      | 140.1100 | 139.3600 | -14,011.00    | -0.25         | 14,011.25   | 0.00          | -75.00         | O;P |
| JNJ              | 2019-07-01,<br>11:56:50 | -100     | 139.2800 | 139.3600 | 13,928.00     | -0.43         | -14,011.25  | -83.68        | -8.00          | C   |
| JNJ              | 2019-07-02,<br>09:43:03 | 100      | 139.5300 | 140.0300 | -13,953.00    | -0.25         | 13,953.25   | 0.00          | 50.00          | O   |
| JNJ              | 2019-07-02,<br>09:47:07 | -100     | 139.0900 | 140.0300 | 13,909.00     | -0.55         | -13,953.25  | -44.80        | -94.00         | C   |
| <b>Total JNJ</b> |                         | <b>0</b> |          |          | <b>363.52</b> | <b>-29.24</b> | <b>0.00</b> | <b>334.29</b> | <b>-688.48</b> |     |
| JPM              | 2019-03-01,<br>09:36:29 | 100      | 105.6299 | 104.4300 | -10,562.99    | -1.00         | 10,563.99   | 0.00          | -119.99        | O   |
| JPM              | 2019-03-04,<br>11:52:16 | -100     | 104.3631 | 104.1900 | 10,436.31     | -1.15         | -10,563.99  | -128.83       | 17.31          | C   |
| JPM              | 2019-03-06,<br>09:31:17 | 100      | 104.3773 | 103.7200 | -10,437.73    | -1.00         | 10,438.73   | 0.00          | -65.73         | O   |
| JPM              | 2019-03-07,<br>09:29:19 | -100     | 103.2895 | 102.9700 | 10,328.95     | -1.15         | -10,438.73  | -110.93       | 31.95          | C;P |
| JPM              | 2019-03-11,<br>10:49:09 | 100      | 104.3700 | 104.3500 | -10,437.00    | -1.00         | 10,438.00   | 0.00          | -2.00          | O   |
| JPM              | 2019-03-11,<br>10:49:17 | -100     | 104.3716 | 104.3500 | 10,437.16     | -1.15         | -10,438.00  | -1.99         | 2.16           | C   |
| JPM              | 2019-03-12,<br>09:30:09 | 100      | 104.5100 | 104.0400 | -10,451.00    | -1.00         | 10,452.00   | 0.00          | -47.00         | O   |
| JPM              | 2019-03-12,<br>10:11:08 | -100     | 104.3601 | 104.0400 | 10,436.01     | -1.15         | -10,452.00  | -17.14        | 32.01          | C   |
| JPM              | 2019-03-13,<br>09:45:24 | 100      | 104.3700 | 104.3900 | -10,437.00    | -1.00         | 10,438.00   | 0.00          | 2.00           | O   |
| JPM              | 2019-03-13,<br>09:45:26 | -100     | 104.3516 | 104.3900 | 10,435.16     | -1.15         | -10,438.00  | -3.99         | -3.84          | C   |
| JPM              | 2019-03-14,<br>09:31:47 | 100      | 104.4600 | 105.3400 | -10,446.00    | -1.00         | 10,447.00   | 0.00          | 88.00          | O   |
| JPM              | 2019-03-21,<br>09:29:56 | -100     | 103.4000 | 102.8700 | 10,340.00     | -1.15         | -10,447.00  | -108.15       | 53.00          | C   |



## Trades

|                  |                         |            |            |          |                   |               |                  |               |               |     |
|------------------|-------------------------|------------|------------|----------|-------------------|---------------|------------------|---------------|---------------|-----|
| JPM              | 2019-04-01,<br>10:08:10 | 100        | 103.3500   | 104.6400 | -10,335.00        | -1.00         | 10,336.00        | 0.00          | 129.00        | O   |
| JPM              | 2019-05-01,<br>09:30:13 | -100       | 115.9325   | 115.1600 | 11,593.25         | -0.62         | -10,336.00       | 1,256.63      | 77.25         | C   |
| JPM              | 2019-05-31,<br>15:33:45 | 100        | 106.1200   | 105.9600 | -10,612.00        | -0.16         | 10,612.16        | 0.00          | -16.00        | O;P |
| JPM              | 2019-05-31,<br>15:37:31 | -100       | 105.9800   | 105.9600 | 10,598.00         | -0.55         | -10,612.16       | -14.71        | 2.00          | C   |
| JPM              | 2019-06-03,<br>09:43:38 | 100        | 106.2200   | 106.4600 | -10,622.00        | -0.25         | 10,622.25        | 0.00          | 24.00         | O   |
| JPM              | 2019-06-03,<br>09:45:22 | -100       | 106.0800   | 106.4600 | 10,608.00         | -0.59         | -10,622.25       | -14.84        | -38.00        | C   |
| JPM              | 2019-06-04,<br>09:30:27 | 100        | 107.8100   | 109.7400 | -10,781.00        | -0.16         | 10,781.16        | 0.00          | 193.00        | O   |
| JPM              | 2019-06-25,<br>15:50:54 | -100       | 107.9100   | 107.7600 | 10,791.00         | -0.56         | -10,781.16       | 9.28          | 15.00         | C   |
| JPM              | 2019-07-01,<br>09:30:18 | 100        | 113.2800   | 113.6800 | -11,328.00        | -0.25         | 11,328.25        | 0.00          | 40.00         | O   |
| <b>Total JPM</b> |                         | <b>100</b> |            |          | <b>-10,445.88</b> | <b>-17.02</b> | <b>11,328.25</b> | <b>865.35</b> | <b>414.12</b> |     |
| KO               | 2019-03-15,<br>16:00:42 | -200       | 45.3900    | 45.3000  | 9,078.00          | -1.14         | -9,076.86        | 0.00          | 18.00         | O;P |
| KO               | 2019-03-18,<br>09:30:03 | 200        | 45.4600    | 45.4100  | -9,092.00         | -1.00         | 9,076.86         | -16.14        | -10.00        | C;P |
| KO               | 2019-03-20,<br>09:31:33 | -200       | 45.3300    | 45.5300  | 9,066.00          | -1.14         | -9,064.86        | 0.00          | -40.00        | O   |
| KO               | 2019-03-25,<br>09:30:29 | 200        | 45.9300    | 46.0300  | -9,186.00         | -1.00         | 9,064.86         | -122.14       | 20.00         | C   |
| KO               | 2019-04-01,<br>09:37:08 | -200       | 46.9700    | 46.7200  | 9,394.00          | -1.15         | -9,392.85        | 0.00          | 50.00         | O   |
| KO               | 2019-04-01,<br>09:37:10 | 200        | 46.9800    | 46.7200  | -9,396.00         | -1.00         | 9,392.85         | -4.15         | -52.00        | C;P |
| KO               | 2019-04-01,<br>10:14:31 | -200       | 46.9700    | 46.7200  | 9,394.00          | -1.15         | -9,392.85        | 0.00          | 50.00         | O   |
| KO               | 2019-04-10,<br>09:46:15 | 200        | 46.9600    | 46.6400  | -9,392.00         | -1.00         | 9,392.85         | -0.15         | -64.00        | C;P |
| KO               | 2019-04-11,<br>16:33:17 | -300       | 46.7800    | 46.7100  | 14,034.00         | -1.72         | -14,032.28       | 0.00          | 21.00         | O   |
| KO               | 2019-04-15,<br>12:19:01 | 300        | 46.9700    | 47.0000  | -14,091.00        | -1.50         | 14,032.28        | -60.22        | 9.00          | C;P |
| KO               | 2019-04-16,<br>10:06:37 | -300       | 46.9700    | 46.9500  | 14,091.00         | -1.83         | -14,089.17       | 0.00          | 6.00          | O;P |
| KO               | 2019-04-16,<br>10:07:44 | 300        | 46.9683333 | 46.9500  | -14,090.50        | -1.50         | 14,089.17        | -2.83         | -5.50         | C;P |
| KO               | 2019-04-17,<br>09:33:10 | 300        | 47.3100    | 47.2800  | -14,193.00        | -1.50         | 14,194.50        | 0.00          | -9.00         | O   |
| KO               | 2019-04-17,<br>09:35:52 | -300       | 47.2500    | 47.2800  | 14,175.00         | -1.83         | -14,194.50       | -21.33        | -9.00         | C   |
| KO               | 2019-04-18,<br>09:30:17 | 300        | 47.3700    | 47.4800  | -14,211.00        | -1.50         | 14,212.50        | 0.00          | 33.00         | O;P |
| KO               | 2019-04-18,<br>09:42:57 | -300       | 47.2801    | 47.4800  | 14,184.03         | -1.83         | -14,212.50       | -30.30        | -59.97        | C   |
| KO               | 2019-04-18,<br>15:50:01 | 300        | 47.4700    | 47.4800  | -14,241.00        | -1.50         | 14,242.50        | 0.00          | 3.00          | O   |
| KO               | 2019-04-22,<br>09:30:59 | -300       | 47.2720333 | 47.4000  | 14,181.61         | -1.83         | -14,242.50       | -62.72        | -38.39        | C;P |
| KO               | 2019-04-22,<br>11:05:20 | 300        | 47.4700    | 47.4000  | -14,241.00        | -1.50         | 14,242.50        | 0.00          | -21.00        | O;P |

## Trades

|                 |                         |            |            |         |                  |               |                  |               |               |     |
|-----------------|-------------------------|------------|------------|---------|------------------|---------------|------------------|---------------|---------------|-----|
| KO              | 2019-05-01,<br>09:30:06 | -100       | 49.0127    | 48.5900 | 4,901.27         | -0.48         | -4,748.00        | 152.79        | 42.27         | C   |
| KO              | 2019-05-01,<br>09:31:21 | -200       | 48.9400    | 48.5900 | 9,788.00         | -1.26         | -9,494.50        | 292.24        | 70.00         | C;P |
| KO              | 2019-05-09,<br>11:23:59 | -300       | 47.2100    | 47.4000 | 14,163.00        | -0.81         | -14,162.19       | 0.00          | -57.00        | O;P |
| KO              | 2019-05-09,<br>14:26:22 | 300        | 47.4100    | 47.4000 | -14,223.00       | -2.01         | 14,162.19        | -62.82        | -3.00         | C;P |
| KO              | 2019-05-14,<br>10:09:13 | 300        | 49.1300    | 48.6900 | -14,739.00       | -0.49         | 14,739.49        | 0.00          | -132.00       | O;P |
| KO              | 2019-05-14,<br>11:07:15 | -300       | 49.0200    | 48.6900 | 14,706.00        | -0.92         | -14,739.49       | -34.41        | 99.00         | C;P |
| KO              | 2019-05-15,<br>09:41:17 | 300        | 49.1200    | 49.1800 | -14,736.00       | -0.75         | 14,736.75        | 0.00          | 18.00         | O   |
| KO              | 2019-05-15,<br>09:58:25 | -300       | 49.0405333 | 49.1800 | 14,712.16        | -1.39         | -14,736.75       | -25.98        | -41.84        | C;P |
| KO              | 2019-05-16,<br>09:30:05 | 300        | 49.2900    | 49.5800 | -14,787.00       | -2.01         | 14,789.01        | 0.00          | 87.00         | O   |
| KO              | 2019-05-20,<br>09:30:10 | -300       | 49.0500    | 48.8500 | 14,715.00        | -2.05         | -14,789.01       | -76.06        | 60.00         | C;P |
| KO              | 2019-05-22,<br>09:47:01 | 300        | 49.1200    | 49.6500 | -14,736.00       | -0.48         | 14,736.48        | 0.00          | 159.00        | O;P |
| KO              | 2019-05-22,<br>09:49:38 | -300       | 49.0500    | 49.6500 | 14,715.00        | -1.45         | -14,736.48       | -22.93        | -180.00       | C;P |
| KO              | 2019-05-22,<br>10:21:29 | 300        | 49.1494667 | 49.6500 | -14,744.84       | -0.82         | 14,745.66        | 0.00          | 150.16        | O;P |
| KO              | 2019-05-29,<br>09:31:01 | -300       | 48.9600    | 48.6100 | 14,688.00        | -2.35         | -14,745.66       | -60.01        | 105.00        | C;P |
| KO              | 2019-05-31,<br>09:31:21 | 300        | 49.1000    | 49.1300 | -14,730.00       | -0.48         | 14,730.48        | 0.00          | 9.00          | O   |
| KO              | 2019-05-31,<br>09:33:13 | -300       | 49.0400    | 49.1300 | 14,712.00        | -1.45         | -14,730.48       | -19.93        | -27.00        | C   |
| KO              | 2019-06-03,<br>09:43:29 | 300        | 49.2700    | 49.9800 | -14,781.00       | -0.48         | 14,781.48        | 0.00          | 213.00        | O   |
| KO              | 2019-06-03,<br>09:46:08 | -300       | 49.2020333 | 49.9800 | 14,760.61        | -1.45         | -14,781.48       | -22.32        | -233.39       | C;P |
| KO              | 2019-06-04,<br>09:31:02 | 300        | 49.9600    | 50.0000 | -14,988.00       | -0.48         | 14,988.48        | 0.00          | 12.00         | O;P |
| KO              | 2019-06-25,<br>15:50:58 | -300       | 51.8300    | 51.7600 | 15,549.00        | -0.75         | -14,988.48       | 559.77        | 21.00         | C;P |
| KO              | 2019-07-01,<br>09:30:05 | 200        | 51.0700    | 51.6000 | -10,214.00       | -0.32         | 10,214.32        | 0.00          | 106.00        | O   |
| KO              | 2019-07-01,<br>09:31:15 | -200       | 50.8575    | 51.6000 | 10,171.50        | -0.97         | -10,214.32       | -43.80        | -148.50       | C   |
| KO              | 2019-07-02,<br>09:30:01 | 200        | 51.7800    | 51.9800 | -10,356.00       | -0.50         | 10,356.50        | 0.00          | 40.00         | O   |
| <b>Total KO</b> |                         | <b>200</b> |            |         | <b>-9,989.16</b> | <b>-50.78</b> | <b>10,356.50</b> | <b>316.56</b> | <b>269.84</b> |     |
| LFC             | 2019-04-15,<br>10:51:25 | 900        | 13.4400    | 13.4300 | -12,096.00       | -4.50         | 12,100.50        | 0.00          | -9.00         | O;P |
| LFC             | 2019-04-15,<br>13:28:34 | -900       | 13.4200    | 13.4300 | 12,078.00        | -4.86         | -12,100.50       | -27.36        | -9.00         | C   |
| LFC             | 2019-04-16,<br>09:30:09 | 900        | 13.8100    | 13.8500 | -12,429.00       | -4.50         | 12,433.50        | 0.00          | 36.00         | O;P |
| LFC             | 2019-05-01,<br>12:27:31 | -900       | 14.1600    | 14.0600 | 12,744.00        | -3.70         | -12,433.50       | 306.80        | 90.00         | C   |
| LFC             | 2019-05-02,<br>11:25:45 | 900        | 14.1200    | 14.1200 | -12,708.00       | -2.25         | 12,710.25        | 0.00          | 0.00          | O;P |

| Trades    |                         |  |      |          |          |            |        |            |        |         |     |
|-----------|-------------------------|--|------|----------|----------|------------|--------|------------|--------|---------|-----|
| LFC       | 2019-05-02,<br>11:31:42 |  | -900 | 14.1200  | 14.1200  | 12,708.00  | -2.62  | -12,710.25 | -4.87  | 0.00    | C   |
| Total LFC |                         |  | 0    |          |          | 297.00     | -22.44 | 0.00       | 274.56 | 108.00  |     |
| LLY       | 2019-04-18,<br>09:33:25 |  | -100 | 115.8000 | 115.2000 | 11,580.00  | -1.25  | -11,578.75 | 0.00   | 60.00   | O   |
| LLY       | 2019-04-18,<br>09:33:33 |  | 100  | 115.9900 | 115.2000 | -11,599.00 | -1.00  | 11,578.75  | -21.25 | -79.00  | C   |
| LLY       | 2019-04-18,<br>15:41:09 |  | -100 | 115.4500 | 115.2000 | 11,545.00  | -1.25  | -11,543.75 | 0.00   | 25.00   | O   |
| LLY       | 2019-04-23,<br>09:40:39 |  | 100  | 115.8850 | 117.4900 | -11,588.50 | -1.00  | 11,543.75  | -45.75 | 160.50  | C   |
| LLY       | 2019-04-30,<br>09:32:53 |  | -100 | 115.7900 | 117.0400 | 11,579.00  | -0.50  | -11,578.50 | 0.00   | -125.00 | O;P |
| LLY       | 2019-04-30,<br>09:33:55 |  | 100  | 115.3700 | 117.0400 | -11,537.00 | -0.37  | 11,578.50  | 41.13  | 167.00  | C   |
| LLY       | 2019-05-01,<br>09:30:05 |  | 100  | 117.0400 | 118.1800 | -11,704.00 | -0.16  | 11,704.16  | 0.00   | 114.00  | O   |
| LLY       | 2019-05-01,<br>09:35:06 |  | -100 | 116.8336 | 118.1800 | 11,683.36  | -0.62  | -11,704.16 | -21.42 | -134.64 | C   |
| LLY       | 2019-05-02,<br>10:53:48 |  | 100  | 117.2400 | 118.4600 | -11,724.00 | -0.16  | 11,724.16  | 0.00   | 122.00  | O   |
| LLY       | 2019-05-02,<br>11:25:25 |  | -100 | 117.0000 | 118.4600 | 11,700.00  | -0.38  | -11,724.16 | -24.54 | -146.00 | C   |
| LLY       | 2019-05-03,<br>09:40:00 |  | 100  | 117.6000 | 116.9100 | -11,760.00 | -0.16  | 11,760.16  | 0.00   | -69.00  | O;P |
| LLY       | 2019-05-03,<br>09:54:59 |  | -100 | 116.9732 | 116.9100 | 11,697.32  | -0.62  | -11,760.16 | -63.46 | 6.32    | C   |
| LLY       | 2019-05-03,<br>15:20:14 |  | 100  | 116.7800 | 116.9100 | -11,678.00 | -0.25  | 11,678.25  | 0.00   | 13.00   | O   |
| LLY       | 2019-05-03,<br>15:20:17 |  | -100 | 116.7900 | 116.9100 | 11,679.00  | -0.62  | -11,678.25 | 0.13   | -12.00  | C   |
| LLY       | 2019-05-06,<br>09:47:20 |  | -100 | 115.6100 | 116.6500 | 11,561.00  | -0.50  | -11,560.50 | 0.00   | -104.00 | O   |
| LLY       | 2019-05-06,<br>09:50:51 |  | 100  | 115.6950 | 116.6500 | -11,569.50 | -0.37  | 11,560.50  | -9.37  | 95.50   | C   |
| LLY       | 2019-05-07,<br>09:43:42 |  | -100 | 115.5500 | 114.9400 | 11,555.00  | -0.50  | -11,554.50 | 0.00   | 61.00   | O   |
| LLY       | 2019-05-09,<br>09:54:14 |  | 100  | 115.8000 | 115.8000 | -11,580.00 | -0.25  | 11,554.50  | -25.75 | 0.00    | C   |
| LLY       | 2019-05-10,<br>09:33:00 |  | -100 | 115.6100 | 116.2200 | 11,561.00  | -0.50  | -11,560.50 | 0.00   | -61.00  | O   |
| LLY       | 2019-05-10,<br>09:45:53 |  | 100  | 115.7000 | 116.2200 | -11,570.00 | -0.25  | 11,560.50  | -9.75  | 52.00   | C   |
| LLY       | 2019-05-10,<br>10:23:06 |  | -100 | 115.5300 | 116.2200 | 11,553.00  | -0.41  | -11,552.59 | 0.00   | -69.00  | O   |
| LLY       | 2019-05-15,<br>15:22:14 |  | 100  | 115.7150 | 115.6200 | -11,571.50 | -0.37  | 11,552.59  | -19.28 | -9.50   | C   |
| LLY       | 2019-05-16,<br>09:31:11 |  | -100 | 115.6000 | 116.7300 | 11,560.00  | -0.42  | -11,559.58 | 0.00   | -113.00 | O   |
| LLY       | 2019-05-16,<br>09:36:46 |  | 100  | 115.7500 | 116.7300 | -11,575.00 | -0.25  | 11,559.58  | -15.67 | 98.00   | C   |
| LLY       | 2019-05-17,<br>09:43:13 |  | -100 | 115.5900 | 116.0100 | 11,559.00  | -0.50  | -11,558.50 | 0.00   | -42.00  | O   |
| LLY       | 2019-05-17,<br>10:10:18 |  | 100  | 115.6365 | 116.0100 | -11,563.65 | -0.37  | 11,558.50  | -5.52  | 37.35   | C   |
| LLY       | 2019-05-20,<br>09:37:36 |  | -100 | 115.5800 | 116.2300 | 11,558.00  | -0.50  | -11,557.50 | 0.00   | -65.00  | O   |

## Trades

|                  |                         |          |          |          |                |               |             |                |              |     |
|------------------|-------------------------|----------|----------|----------|----------------|---------------|-------------|----------------|--------------|-----|
| LLY              | 2019-05-20,<br>10:00:15 | 100      | 115.8500 | 116.2300 | -11,585.00     | -0.25         | 11,557.50   | -27.75         | 38.00        | C   |
| LLY              | 2019-05-20,<br>14:54:35 | -100     | 115.5400 | 116.2300 | 11,554.00      | -0.50         | -11,553.50  | 0.00           | -69.00       | O   |
| LLY              | 2019-05-20,<br>15:07:35 | 100      | 115.7500 | 116.2300 | -11,575.00     | -0.25         | 11,553.50   | -21.75         | 48.00        | C   |
| LLY              | 2019-05-21,<br>09:43:42 | 100      | 117.1400 | 116.5800 | -11,714.00     | -0.16         | 11,714.16   | 0.00           | -56.00       | O   |
| LLY              | 2019-05-21,<br>12:01:05 | -100     | 117.0100 | 116.5800 | 11,701.00      | -0.41         | -11,714.16  | -13.57         | 43.00        | C   |
| LLY              | 2019-05-22,<br>13:48:35 | 100      | 117.1700 | 117.9800 | -11,717.00     | -0.17         | 11,717.17   | 0.00           | 81.00        | O   |
| LLY              | 2019-05-22,<br>14:00:32 | -100     | 117.1200 | 117.9800 | 11,712.00      | -0.38         | -11,717.17  | -5.55          | -86.00       | C   |
| LLY              | 2019-05-23,<br>10:21:13 | 100      | 116.9600 | 116.8100 | -11,696.00     | -0.16         | 11,696.16   | 0.00           | -15.00       | O;P |
| LLY              | 2019-05-23,<br>10:23:14 | -100     | 116.9315 | 116.8100 | 11,693.15      | -0.62         | -11,696.16  | -3.63          | 12.15        | C   |
| LLY              | 2019-05-24,<br>09:33:52 | 100      | 117.3600 | 116.7900 | -11,736.00     | -0.25         | 11,736.25   | 0.00           | -57.00       | O   |
| LLY              | 2019-05-24,<br>10:43:10 | -100     | 117.0000 | 116.7900 | 11,700.00      | -0.92         | -11,736.25  | -37.17         | 21.00        | C   |
| LLY              | 2019-05-31,<br>15:55:00 | 100      | 116.1200 | 115.9400 | -11,612.00     | -0.25         | 11,612.25   | 0.00           | -18.00       | O;P |
| LLY              | 2019-05-31,<br>15:59:51 | -100     | 115.9704 | 115.9400 | 11,597.04      | -0.62         | -11,612.25  | -15.83         | 3.04         | C   |
| LLY              | 2019-06-04,<br>10:12:25 | 100      | 117.7300 | 117.5600 | -11,773.00     | -0.16         | 11,773.16   | 0.00           | -17.00       | O   |
| LLY              | 2019-06-10,<br>09:30:10 | -100     | 115.8800 | 113.9300 | 11,588.00      | -0.92         | -11,773.16  | -186.08        | 195.00       | C   |
| LLY              | 2019-06-11,<br>09:31:34 | -100     | 114.7700 | 112.2100 | 11,477.00      | -0.41         | -11,476.59  | 0.00           | 256.00       | O;P |
| LLY              | 2019-06-19,<br>09:47:12 | 100      | 115.8300 | 115.2300 | -11,583.00     | -0.37         | 11,476.59   | -106.78        | -60.00       | C   |
| LLY              | 2019-06-19,<br>12:17:20 | -100     | 114.8100 | 115.2300 | 11,481.00      | -0.41         | -11,480.59  | 0.00           | -42.00       | O;P |
| LLY              | 2019-06-20,<br>09:35:02 | 100      | 116.5700 | 115.5600 | -11,657.00     | -0.37         | 11,480.59   | -176.78        | -101.00      | C   |
| LLY              | 2019-06-20,<br>10:02:17 | 100      | 116.4400 | 115.5600 | -11,644.00     | -0.16         | 11,644.16   | 0.00           | -88.00       | O;P |
| LLY              | 2019-06-20,<br>10:35:32 | -100     | 115.8200 | 115.5600 | 11,582.00      | -0.62         | -11,644.16  | -62.78         | 26.00        | C   |
| LLY              | 2019-06-20,<br>11:11:44 | -100     | 115.4900 | 115.5600 | 11,549.00      | -0.41         | -11,548.59  | 0.00           | -7.00        | O;P |
| LLY              | 2019-06-20,<br>11:51:45 | 100      | 115.7200 | 115.5600 | -11,572.00     | -0.13         | 11,548.59   | -23.54         | -16.00       | C   |
| LLY              | 2019-06-21,<br>09:47:38 | -100     | 114.9900 | 115.4900 | 11,499.00      | -0.50         | -11,498.50  | 0.00           | -50.00       | O   |
| LLY              | 2019-06-21,<br>14:40:34 | 100      | 115.7600 | 115.4900 | -11,576.00     | -0.20         | 11,498.50   | -77.70         | -27.00       | C   |
| LLY              | 2019-06-24,<br>10:17:05 | -100     | 116.0000 | 115.6300 | 11,600.00      | -0.41         | -11,599.59  | 0.00           | 37.00        | O;P |
| LLY              | 2019-06-24,<br>10:17:14 | 100      | 115.9800 | 115.6300 | -11,598.00     | -0.13         | 11,599.59   | 1.46           | -35.00       | C   |
| <b>Total LLY</b> |                         | <b>0</b> |          |          | <b>-954.28</b> | <b>-23.74</b> | <b>0.00</b> | <b>-978.02</b> | <b>-1.28</b> |     |
| LOW              | 2019-04-22,<br>11:05:25 | 100      | 114.6400 | 113.4300 | -11,464.00     | -1.00         | 11,465.00   | 0.00           | -121.00      | O   |

| Trades    |                      |      |          |          |            |       |            |          |         |     |
|-----------|----------------------|------|----------|----------|------------|-------|------------|----------|---------|-----|
| LOW       | 2019-05-01, 09:37:51 | -100 | 112.7000 | 110.7000 | 11,270.00  | -0.50 | -11,465.00 | -195.50  | 200.00  | C   |
| LOW       | 2019-05-03, 10:11:21 | 100  | 112.3900 | 112.3600 | -11,239.00 | -0.25 | 11,239.25  | 0.00     | -3.00   | O   |
| LOW       | 2019-05-03, 10:12:49 | -100 | 112.3800 | 112.3600 | 11,238.00  | -0.40 | -11,239.25 | -1.66    | 2.00    | C   |
| LOW       | 2019-05-03, 15:30:04 | 100  | 112.3900 | 112.3600 | -11,239.00 | -0.25 | 11,239.25  | 0.00     | -3.00   | O   |
| LOW       | 2019-05-03, 15:30:16 | -100 | 112.3700 | 112.3600 | 11,237.00  | -0.61 | -11,239.25 | -2.87    | 1.00    | C   |
| LOW       | 2019-05-29, 09:33:50 | -100 | 92.1100  | 93.2300  | 9,211.00   | -0.36 | -9,210.64  | 0.00     | -112.00 | O   |
| LOW       | 2019-05-29, 09:36:53 | 100  | 92.3048  | 93.2300  | -9,230.48  | -0.37 | 9,210.64   | -20.21   | 92.52   | C   |
| LOW       | 2019-05-31, 15:54:13 | 100  | 93.5000  | 93.2800  | -9,350.00  | -0.25 | 9,350.25   | 0.00     | -22.00  | O   |
| LOW       | 2019-05-31, 15:56:29 | -100 | 93.2900  | 93.2800  | 9,329.00   | -0.34 | -9,350.25  | -21.59   | 1.00    | C   |
| LOW       | 2019-06-03, 09:48:03 | 100  | 93.1900  | 93.8400  | -9,319.00  | -0.16 | 9,319.16   | 0.00     | 65.00   | O;P |
| LOW       | 2019-06-03, 12:59:37 | -100 | 93.0300  | 93.8400  | 9,303.00   | -0.33 | -9,319.16  | -16.49   | -81.00  | C   |
| LOW       | 2019-07-01, 13:32:13 | 100  | 101.9000 | 102.2000 | -10,190.00 | -0.25 | 10,190.25  | 0.00     | 30.00   | O;P |
| Total LOW |                      | 100  |          |          | -10,443.48 | -5.08 | 10,190.25  | -258.31  | 49.52   |     |
| LYB       | 2019-04-15, 09:45:13 | 100  | 92.4100  | 92.2200  | -9,241.00  | -1.00 | 9,242.00   | 0.00     | -19.00  | O   |
| LYB       | 2019-05-01, 09:33:16 | -100 | 88.3056  | 86.4700  | 8,830.56   | -0.56 | -9,242.00  | -412.00  | 183.56  | C   |
| LYB       | 2019-05-07, 14:04:12 | -100 | 83.0000  | 83.2500  | 8,300.00   | -0.43 | -8,299.57  | 0.00     | -25.00  | O;P |
| LYB       | 2019-05-08, 09:32:04 | 100  | 83.0900  | 82.9900  | -8,309.00  | -0.25 | 8,299.57   | -9.68    | -10.00  | C   |
| LYB       | 2019-05-09, 09:52:42 | -100 | 81.5300  | 81.9800  | 8,153.00   | -0.43 | -8,152.57  | 0.00     | -45.00  | O;P |
| LYB       | 2019-05-20, 09:43:50 | 100  | 80.1400  | 79.8600  | -8,014.00  | -0.37 | 8,152.57   | 138.20   | -28.00  | C   |
| LYB       | 2019-05-21, 10:14:55 | -200 | 81.5200  | 81.5800  | 16,304.00  | -0.86 | -16,303.14 | 0.00     | -12.00  | O;P |
| LYB       | 2019-05-31, 15:25:48 | 200  | 73.99865 | 74.2500  | -14,799.73 | -1.04 | 16,303.14  | 1,502.37 | 50.27   | C;P |
| LYB       | 2019-06-04, 09:34:09 | 200  | 82.9300  | 82.0500  | -16,586.00 | -0.32 | 16,586.32  | 0.00     | -176.00 | O   |
| LYB       | 2019-06-04, 10:17:43 | -200 | 80.2200  | 82.0500  | 16,044.00  | -0.68 | -16,586.32 | -543.00  | -366.00 | C;P |
| LYB       | 2019-06-10, 09:30:05 | 200  | 83.3500  | 83.6500  | -16,670.00 | -0.32 | 16,670.32  | 0.00     | 60.00   | O;P |
| LYB       | 2019-06-10, 09:34:09 | -200 | 83.4900  | 83.6500  | 16,698.00  | -0.69 | -16,670.32 | 26.99    | -32.00  | C;P |
| LYB       | 2019-06-11, 09:30:02 | 200  | 84.7800  | 84.5100  | -16,956.00 | -0.32 | 16,956.32  | 0.00     | -54.00  | O;P |
| LYB       | 2019-06-25, 15:50:53 | -200 | 86.7300  | 86.5700  | 17,346.00  | -1.72 | -16,956.32 | 387.96   | 32.00   | C;P |
| LYB       | 2019-07-01, 09:30:01 | 100  | 86.8500  | 87.2800  | -8,685.00  | -0.25 | 8,685.25   | 0.00     | 43.00   | O;P |
| Total LYB |                      | 100  |          |          | -7,585.17  | -9.25 | 8,685.25   | 1,090.83 | -398.17 |     |

## Trades

|     |                         |      |          |          |            |       |            |         |         |     |
|-----|-------------------------|------|----------|----------|------------|-------|------------|---------|---------|-----|
| MCD | 2019-03-26,<br>09:30:00 | 100  | 186.6500 | 187.4800 | -18,665.00 | -1.00 | 18,666.00  | 0.00    | 83.00   | O;P |
| MCD | 2019-04-01,<br>09:36:18 | -100 | 189.7497 | 188.3900 | 18,974.97  | -1.26 | -18,666.00 | 307.71  | 135.97  | C   |
| MCD | 2019-04-04,<br>09:46:40 | 100  | 189.8300 | 189.8700 | -18,983.00 | -1.00 | 18,984.00  | 0.00    | 4.00    | O   |
| MCD | 2019-04-04,<br>09:46:42 | -100 | 189.8734 | 189.8700 | 18,987.34  | -1.26 | -18,984.00 | 2.08    | 0.34    | C   |
| MCD | 2019-04-08,<br>10:14:10 | 100  | 190.5000 | 189.8500 | -19,050.00 | -1.00 | 19,051.00  | 0.00    | -65.00  | O   |
| MCD | 2019-04-08,<br>10:30:24 | -100 | 189.8500 | 189.8500 | 18,985.00  | -1.26 | -19,051.00 | -67.26  | 0.00    | C   |
| MCD | 2019-04-09,<br>15:14:34 | 100  | 189.8600 | 190.0800 | -18,986.00 | -1.00 | 18,987.00  | 0.00    | 22.00   | O   |
| MCD | 2019-04-09,<br>15:14:39 | -100 | 189.8700 | 190.0800 | 18,987.00  | -1.26 | -18,987.00 | -1.26   | -21.00  | C   |
| MCD | 2019-04-10,<br>09:51:55 | 100  | 189.9800 | 189.2200 | -18,998.00 | -1.00 | 18,999.00  | 0.00    | -76.00  | O   |
| MCD | 2019-04-10,<br>10:04:03 | -100 | 189.8000 | 189.2200 | 18,980.00  | -1.26 | -18,999.00 | -20.26  | 58.00   | C;P |
| MCD | 2019-04-15,<br>09:55:26 | 100  | 191.3100 | 191.8500 | -19,131.00 | -1.00 | 19,132.00  | 0.00    | 54.00   | O   |
| MCD | 2019-05-01,<br>09:32:47 | -100 | 194.5913 | 194.1700 | 19,459.13  | -0.78 | -19,132.00 | 326.35  | 42.13   | C   |
| MCD | 2019-05-03,<br>12:59:00 | 100  | 196.9900 | 197.5200 | -19,699.00 | -0.25 | 19,699.25  | 0.00    | 53.00   | O   |
| MCD | 2019-05-03,<br>13:00:17 | -100 | 196.9900 | 197.5200 | 19,699.00  | -0.67 | -19,699.25 | -0.92   | -53.00  | C;P |
| MCD | 2019-05-06,<br>12:00:43 | 100  | 198.1300 | 198.9000 | -19,813.00 | -0.25 | 19,813.25  | 0.00    | 77.00   | O   |
| MCD | 2019-05-08,<br>09:38:32 | -100 | 197.6100 | 198.0300 | 19,761.00  | -0.79 | -19,813.25 | -53.04  | -42.00  | C   |
| MCD | 2019-05-09,<br>09:33:33 | 100  | 197.8800 | 197.7300 | -19,788.00 | -0.25 | 19,788.25  | 0.00    | -15.00  | O   |
| MCD | 2019-05-09,<br>09:34:05 | -100 | 197.7200 | 197.7300 | 19,772.00  | -0.58 | -19,788.25 | -16.83  | -1.00   | C;P |
| MCD | 2019-05-09,<br>14:34:53 | 100  | 197.8900 | 197.7300 | -19,789.00 | -0.16 | 19,789.16  | 0.00    | -16.00  | O;P |
| MCD | 2019-05-09,<br>15:03:14 | -100 | 197.6100 | 197.7300 | 19,761.00  | -0.67 | -19,789.16 | -28.83  | -12.00  | C;P |
| MCD | 2019-05-10,<br>10:12:32 | 100  | 198.6300 | 199.9900 | -19,863.00 | -0.25 | 19,863.25  | 0.00    | 136.00  | O;P |
| MCD | 2019-05-13,<br>10:50:26 | -100 | 197.6700 | 199.0300 | 19,767.00  | -1.09 | -19,863.25 | -97.34  | -136.00 | C   |
| MCD | 2019-05-14,<br>09:30:02 | 100  | 198.6400 | 198.0100 | -19,864.00 | -0.25 | 19,864.25  | 0.00    | -63.00  | O   |
| MCD | 2019-05-15,<br>09:31:04 | -100 | 198.0500 | 199.0700 | 19,805.00  | -0.74 | -19,864.25 | -59.99  | -102.00 | C   |
| MCD | 2019-05-16,<br>09:33:25 | 100  | 199.1600 | 199.9900 | -19,916.00 | -0.25 | 19,916.25  | 0.00    | 83.00   | O   |
| MCD | 2019-05-24,<br>13:10:08 | -100 | 197.6000 | 197.7700 | 19,760.00  | -0.58 | -19,916.25 | -156.83 | -17.00  | C   |
| MCD | 2019-05-28,<br>09:31:03 | 100  | 197.9800 | 196.6700 | -19,798.00 | -0.16 | 19,798.16  | 0.00    | -131.00 | O   |
| MCD | 2019-05-29,<br>09:31:01 | -100 | 195.7154 | 196.0200 | 19,571.54  | -0.79 | -19,798.16 | -227.41 | -30.46  | C   |
| MCD | 2019-06-03,<br>09:44:20 | 100  | 198.3100 | 198.7800 | -19,831.00 | -0.16 | 19,831.16  | 0.00    | 47.00   | O   |

## Trades

|                  |                         |            |          |          |                  |               |                 |               |                |     |
|------------------|-------------------------|------------|----------|----------|------------------|---------------|-----------------|---------------|----------------|-----|
| MCD              | 2019-06-03,<br>10:24:57 | -100       | 198.2100 | 198.7800 | 19,821.00        | -0.58         | -19,831.16      | -10.74        | -57.00         | C   |
| MCD              | 2019-06-04,<br>10:35:14 | 100        | 199.6100 | 199.6300 | -19,961.00       | -0.16         | 19,961.16       | 0.00          | 2.00           | O   |
| MCD              | 2019-06-25,<br>15:50:58 | -100       | 205.5325 | 205.7100 | 20,553.25        | -0.81         | -19,961.16      | 591.28        | -17.75         | C   |
| MCD              | 2019-07-01,<br>09:40:24 | 100        | 208.4100 | 206.3000 | -20,841.00       | -0.25         | 20,841.25       | 0.00          | -211.00        | O   |
| MCD              | 2019-07-01,<br>09:44:05 | -100       | 207.7036 | 206.3000 | 20,770.36        | -0.81         | -20,841.25      | -71.70        | 140.36         | C   |
| MCD              | 2019-07-02,<br>10:30:10 | 100        | 208.0600 | 209.5800 | -20,806.00       | -0.25         | 20,806.25       | 0.00          | 152.00         | O   |
| MCD              | 2019-07-02,<br>12:33:29 | -100       | 207.7064 | 209.5800 | 20,770.64        | -0.81         | -20,806.25      | -36.42        | -187.36        | C   |
| <b>Total MCD</b> |                         | <b>0</b>   |          |          | <b>403.23</b>    | <b>-24.65</b> | <b>0.00</b>     | <b>378.58</b> | <b>-163.77</b> |     |
| MDT              | 2019-04-10,<br>11:18:24 | -100       | 89.1800  | 88.6300  | 8,918.00         | -1.13         | -8,916.87       | 0.00          | 55.00          | O;P |
| MDT              | 2019-05-01,<br>09:31:01 | 100        | 88.7938  | 88.3100  | -8,879.38        | -0.37         | 8,916.87        | 37.12         | -48.38         | C   |
| MDT              | 2019-05-02,<br>09:45:28 | -100       | 88.5600  | 89.1400  | 8,856.00         | -0.45         | -8,855.55       | 0.00          | -58.00         | O;P |
| MDT              | 2019-05-02,<br>09:55:41 | 100        | 88.7400  | 89.1400  | -8,874.00        | -0.25         | 8,855.55        | -18.70        | 40.00          | C   |
| MDT              | 2019-05-09,<br>09:34:06 | -100       | 88.1300  | 88.1400  | 8,813.00         | -0.44         | -8,812.56       | 0.00          | -1.00          | O   |
| MDT              | 2019-05-09,<br>15:55:10 | 100        | 88.2700  | 88.1400  | -8,827.00        | -0.25         | 8,812.56        | -14.69        | -13.00         | C   |
| MDT              | 2019-05-10,<br>09:30:08 | -100       | 87.5600  | 88.3300  | 8,756.00         | -0.44         | -8,755.56       | 0.00          | -77.00         | O   |
| MDT              | 2019-05-14,<br>14:27:08 | 100        | 88.2900  | 87.6600  | -8,829.00        | -0.13         | 8,755.56        | -73.57        | -63.00         | C   |
| MDT              | 2019-05-15,<br>09:36:16 | -100       | 87.2600  | 88.2700  | 8,726.00         | -0.44         | -8,725.56       | 0.00          | -101.00        | O   |
| MDT              | 2019-05-15,<br>10:13:31 | 100        | 88.2400  | 88.2700  | -8,824.00        | -0.67         | 8,725.56        | -99.11        | 3.00           | C   |
| MDT              | 2019-05-16,<br>15:43:18 | -100       | 88.1300  | 88.1100  | 8,813.00         | -0.44         | -8,812.56       | 0.00          | 2.00           | O   |
| MDT              | 2019-05-17,<br>11:14:45 | 100        | 88.2700  | 87.7300  | -8,827.00        | -0.16         | 8,812.56        | -14.60        | -54.00         | C   |
| MDT              | 2019-05-20,<br>09:42:44 | -100       | 87.0900  | 87.5700  | 8,709.00         | -0.32         | -8,708.68       | 0.00          | -48.00         | O   |
| MDT              | 2019-05-21,<br>09:35:59 | 100        | 88.2600  | 87.7700  | -8,826.00        | -0.13         | 8,708.68        | -117.45       | -49.00         | C   |
| MDT              | 2019-06-03,<br>09:55:08 | 100        | 92.6000  | 93.3300  | -9,260.00        | -0.16         | 9,260.16        | 0.00          | 73.00          | O   |
| MDT              | 2019-06-03,<br>10:02:10 | -100       | 92.5765  | 93.3300  | 9,257.65         | -0.57         | -9,260.16       | -3.08         | -75.35         | C   |
| MDT              | 2019-06-04,<br>09:30:01 | 100        | 93.9700  | 94.4100  | -9,397.00        | -0.16         | 9,397.16        | 0.00          | 44.00          | O   |
| MDT              | 2019-06-25,<br>15:50:49 | -100       | 98.8501  | 98.9000  | 9,885.01         | -0.59         | -9,397.16       | 487.26        | -4.99          | C   |
| MDT              | 2019-07-01,<br>09:30:02 | 100        | 98.2300  | 98.1000  | -9,823.00        | -0.25         | 9,823.25        | 0.00          | -13.00         | O   |
| <b>Total MDT</b> |                         | <b>100</b> |          |          | <b>-9,632.72</b> | <b>-7.36</b>  | <b>9,823.25</b> | <b>183.17</b> | <b>-388.72</b> |     |
| MO               | 2019-05-01,<br>09:30:30 | 200        | 54.5100  | 53.3900  | -10,902.00       | -0.32         | 10,902.32       | 0.00          | -224.00        | O   |

| Trades   |                         |  |      |          |         |            |        |            |         |         |     |
|----------|-------------------------|--|------|----------|---------|------------|--------|------------|---------|---------|-----|
| MO       | 2019-05-01,<br>09:33:18 |  | -200 | 54.5578  | 53.3900 | 10,911.56  | -0.99  | -10,902.32 | 8.25    | 233.56  | C   |
| MO       | 2019-05-29,<br>09:30:34 |  | -200 | 49.0400  | 50.1900 | 9,808.00   | -0.55  | -9,807.45  | 0.00    | -230.00 | O   |
| MO       | 2019-05-29,<br>09:32:18 |  | 200  | 49.2600  | 50.1900 | -9,852.00  | -0.74  | 9,807.45   | -45.29  | 186.00  | C;P |
| MO       | 2019-05-31,<br>13:02:29 |  | -200 | 49.1800  | 49.0600 | 9,836.00   | -0.73  | -9,835.27  | 0.00    | 24.00   | O   |
| MO       | 2019-05-31,<br>13:11:30 |  | 200  | 49.2599  | 49.0600 | -9,851.98  | -0.74  | 9,835.27   | -17.45  | -39.98  | C   |
| MO       | 2019-05-31,<br>15:25:52 |  | -200 | 49.0500  | 49.0600 | 9,810.00   | -0.57  | -9,809.43  | 0.00    | -2.00   | O   |
| MO       | 2019-05-31,<br>15:48:44 |  | 200  | 49.1400  | 49.0600 | -9,828.00  | -0.26  | 9,809.43   | -18.83  | -16.00  | C;P |
| MO       | 2019-06-03,<br>09:35:09 |  | 200  | 49.2600  | 50.1000 | -9,852.00  | -0.32  | 9,852.32   | 0.00    | 168.00  | O   |
| MO       | 2019-06-03,<br>09:35:23 |  | -200 | 49.26635 | 50.1000 | 9,853.27   | -1.00  | -9,852.32  | -0.05   | -166.73 | C;P |
| MO       | 2019-06-05,<br>09:50:18 |  | 200  | 49.6200  | 49.6900 | -9,924.00  | -0.50  | 9,924.50   | 0.00    | 14.00   | O   |
| MO       | 2019-06-05,<br>10:08:56 |  | -200 | 49.2400  | 49.6900 | 9,848.00   | -0.97  | -9,924.50  | -77.47  | -90.00  | C   |
| MO       | 2019-06-06,<br>09:30:02 |  | 200  | 49.8900  | 50.7500 | -9,978.00  | -0.50  | 9,978.50   | 0.00    | 172.00  | O   |
| MO       | 2019-06-21,<br>11:50:26 |  | -200 | 49.2300  | 48.0000 | 9,846.00   | -0.97  | -9,978.50  | -133.47 | 246.00  | C   |
| MO       | 2019-06-24,<br>09:43:21 |  | -200 | 48.2000  | 48.4900 | 9,640.00   | -0.54  | -9,639.46  | 0.00    | -58.00  | O   |
| MO       | 2019-06-25,<br>15:51:15 |  | 200  | 48.8199  | 48.7800 | -9,763.98  | -0.74  | 9,639.46   | -125.26 | -7.98   | C   |
| Total MO |                         |  | 0    |          |         | -399.13    | -10.44 | 0.00       | -409.57 | 208.87  |     |
| MRK      | 2019-04-17,<br>09:46:36 |  | -200 | 76.3000  | 73.9200 | 15,260.00  | -1.34  | -15,258.66 | 0.00    | 476.00  | O   |
| MRK      | 2019-04-17,<br>09:46:38 |  | 200  | 76.3084  | 73.9200 | -15,261.68 | -1.00  | 15,258.66  | -4.02   | -477.68 | C   |
| MRK      | 2019-04-18,<br>15:41:41 |  | -200 | 73.4300  | 73.1900 | 14,686.00  | -1.33  | -14,684.67 | 0.00    | 48.00   | O   |
| MRK      | 2019-04-25,<br>10:28:35 |  | 200  | 76.29695 | 76.3400 | -15,259.39 | -0.74  | 14,684.67  | -575.46 | 8.61    | C;P |
| MRK      | 2019-04-26,<br>09:55:57 |  | -200 | 76.2900  | 76.6300 | 15,258.00  | -0.84  | -15,257.16 | 0.00    | -68.00  | O;P |
| MRK      | 2019-04-26,<br>09:56:45 |  | 200  | 76.31875 | 76.6300 | -15,263.75 | -0.74  | 15,257.16  | -7.33   | 62.25   | C;P |
| MRK      | 2019-04-30,<br>09:34:20 |  | -200 | 76.3900  | 78.7100 | 15,278.00  | -0.84  | -15,277.16 | 0.00    | -464.00 | O   |
| MRK      | 2019-04-30,<br>09:36:20 |  | 200  | 76.9000  | 78.7100 | -15,380.00 | -0.50  | 15,277.16  | -103.34 | 362.00  | C;P |
| MRK      | 2019-05-01,<br>09:34:58 |  | 200  | 78.6100  | 78.7200 | -15,722.00 | -0.50  | 15,722.50  | 0.00    | 22.00   | O;P |
| MRK      | 2019-05-01,<br>09:41:50 |  | -200 | 78.51135 | 78.7200 | 15,702.27  | -1.08  | -15,722.50 | -21.31  | -41.73  | C;P |
| MRK      | 2019-05-06,<br>09:39:06 |  | 200  | 78.5900  | 79.4800 | -15,718.00 | -0.50  | 15,718.50  | 0.00    | 178.00  | O;P |
| MRK      | 2019-05-06,<br>09:40:31 |  | -200 | 78.51135 | 79.4800 | 15,702.27  | -1.39  | -15,718.50 | -17.62  | -193.73 | C;P |
| MRK      | 2019-05-06,<br>11:33:57 |  | 200  | 78.7100  | 79.4800 | -15,742.00 | -0.50  | 15,742.50  | 0.00    | 154.00  | O   |



## Trades

|                   |                         |            |          |          |                   |               |                  |                 |               |     |
|-------------------|-------------------------|------------|----------|----------|-------------------|---------------|------------------|-----------------|---------------|-----|
| MRK               | 2019-05-08,<br>09:30:07 | -200       | 78.3400  | 78.1800  | 15,668.00         | -0.67         | -15,742.50       | -75.17          | 32.00         | C;P |
| MRK               | 2019-05-17,<br>10:51:05 | 200        | 78.6200  | 78.7200  | -15,724.00        | -0.50         | 15,724.50        | 0.00            | 20.00         | O   |
| MRK               | 2019-05-17,<br>10:56:01 | -200       | 78.5208  | 78.7200  | 15,704.16         | -1.09         | -15,724.50       | -21.43          | -39.84        | C;P |
| MRK               | 2019-05-20,<br>09:32:26 | 200        | 78.6000  | 78.8800  | -15,720.00        | -0.50         | 15,720.50        | 0.00            | 56.00         | O   |
| MRK               | 2019-05-20,<br>09:36:57 | -200       | 78.4000  | 78.8800  | 15,680.00         | -0.67         | -15,720.50       | -41.17          | -96.00        | C;P |
| MRK               | 2019-05-20,<br>09:54:18 | 200        | 78.6200  | 78.8800  | -15,724.00        | -0.32         | 15,724.32        | 0.00            | 52.00         | O   |
| MRK               | 2019-05-31,<br>15:25:24 | -100       | 79.3900  | 79.2100  | 7,939.00          | -0.85         | -7,862.16        | 75.99           | 18.00         | C   |
| MRK               | 2019-05-31,<br>15:25:40 | -100       | 79.3900  | 79.2100  | 7,939.00          | -0.85         | -7,862.16        | 75.99           | 18.00         | C   |
| MRK               | 2019-06-04,<br>09:30:09 | 200        | 80.7250  | 81.0800  | -16,145.00        | -1.34         | 16,146.34        | 0.00            | 71.00         | O;P |
| MRK               | 2019-06-25,<br>15:50:54 | -200       | 85.12005 | 85.2400  | 17,024.01         | -0.88         | -16,146.34       | 876.79          | -23.99        | C;P |
| MRK               | 2019-07-01,<br>13:10:19 | 100        | 84.7300  | 84.7500  | -8,473.00         | -0.67         | 8,473.67         | 0.00            | 2.00          | O   |
| <b>Total MRK</b>  |                         | <b>100</b> |          |          | <b>-8,292.11</b>  | <b>-19.63</b> | <b>8,473.67</b>  | <b>161.93</b>   | <b>174.89</b> |     |
| MSFT              | 2019-03-13,<br>09:30:00 | 100        | 113.9800 | 114.5000 | -11,398.00        | -1.00         | 11,399.00        | 0.00            | 52.00         | O   |
| MSFT              | 2019-05-01,<br>09:31:07 | -100       | 130.3702 | 127.8800 | 13,037.02         | -0.65         | -11,399.00       | 1,637.37        | 249.02        | C   |
| MSFT              | 2019-06-03,<br>09:37:01 | 100        | 123.8000 | 119.8400 | -12,380.00        | -0.16         | 12,380.16        | 0.00            | -396.00       | O   |
| MSFT              | 2019-06-03,<br>09:40:01 | -100       | 123.6400 | 119.8400 | 12,364.00         | -0.64         | -12,380.16       | -16.80          | 380.00        | C   |
| MSFT              | 2019-06-05,<br>09:30:01 | 100        | 124.9100 | 125.8300 | -12,491.00        | -0.25         | 12,491.25        | 0.00            | 92.00         | O;P |
| MSFT              | 2019-06-25,<br>15:50:44 | -100       | 132.9200 | 133.4300 | 13,292.00         | -0.61         | -12,491.25       | 800.15          | -51.00        | C   |
| MSFT              | 2019-07-01,<br>09:30:10 | 100        | 136.4700 | 135.6800 | -13,647.00        | -0.16         | 13,647.16        | 0.00            | -79.00        | O   |
| <b>Total MSFT</b> |                         | <b>100</b> |          |          | <b>-11,222.98</b> | <b>-3.46</b>  | <b>13,647.16</b> | <b>2,420.72</b> | <b>247.02</b> |     |
| NEE               | 2019-03-28,<br>12:14:36 | 100        | 191.4500 | 191.9200 | -19,145.00        | -1.00         | 19,146.00        | 0.00            | 47.00         | O   |
| NEE               | 2019-04-01,<br>09:31:38 | -100       | 192.0483 | 190.4900 | 19,204.83         | -1.26         | -19,146.00       | 57.57           | 155.83        | C   |
| NEE               | 2019-04-16,<br>09:50:56 | 100        | 192.1500 | 189.5200 | -19,215.00        | -1.00         | 19,216.00        | 0.00            | -263.00       | O   |
| NEE               | 2019-04-16,<br>09:54:04 | -100       | 192.2400 | 189.5200 | 19,224.00         | -1.41         | -19,216.00       | 6.59            | 272.00        | C   |
| NEE               | 2019-04-26,<br>09:52:35 | 7          | 191.8100 | 191.7100 | -1,342.67         | -0.34         | 1,343.01         | 0.00            | -0.70         | O   |
| NEE               | 2019-04-26,<br>09:55:01 | -7         | 192.0800 | 191.7100 | 1,344.56          | -0.38         | -1,343.01        | 1.17            | 2.59          | C   |
| NEE               | 2019-04-29,<br>09:34:07 | 100        | 191.7600 | 191.7700 | -19,176.00        | -0.25         | 19,176.25        | 0.00            | 1.00          | O   |
| NEE               | 2019-04-29,<br>09:36:40 | -100       | 191.8300 | 191.7700 | 19,183.00         | -0.66         | -19,176.25       | 6.09            | 6.00          | C;P |
| NEE               | 2019-04-30,<br>09:31:00 | 100        | 191.7200 | 194.4400 | -19,172.00        | -0.16         | 19,172.16        | 0.00            | 272.00        | O   |

## Trades

|                   |                         |          |          |          |                  |               |             |                  |                  |     |
|-------------------|-------------------------|----------|----------|----------|------------------|---------------|-------------|------------------|------------------|-----|
| NEE               | 2019-04-30,<br>09:33:10 | -100     | 191.6400 | 194.4400 | 19,164.00        | -0.66         | -19,172.16  | -8.82            | -280.00          | C   |
| NEE               | 2019-05-01,<br>12:58:57 | 29       | 194.3800 | 193.2700 | -5,637.02        | -0.32         | 5,637.34    | 0.00             | -32.19           | O   |
| NEE               | 2019-05-01,<br>13:04:39 | -29      | 194.4600 | 193.2700 | 5,639.34         | -0.44         | -5,637.34   | 1.56             | 34.51            | C;P |
| NEE               | 2019-05-14,<br>09:31:12 | 100      | 194.2500 | 194.9000 | -19,425.00       | -0.25         | 19,425.25   | 0.00             | 65.00            | O   |
| NEE               | 2019-05-14,<br>09:32:28 | -100     | 193.7500 | 194.9000 | 19,375.00        | -0.78         | -19,425.25  | -51.03           | -115.00          | C   |
| NEE               | 2019-05-16,<br>09:35:20 | 100      | 194.6400 | 197.1100 | -19,464.00       | -0.25         | 19,464.25   | 0.00             | 247.00           | O;P |
| NEE               | 2019-05-20,<br>10:49:04 | -100     | 200.1100 | 199.3500 | 20,011.00        | -0.59         | -19,464.25  | 546.16           | 76.00            | C;P |
| NEE               | 2019-05-31,<br>10:11:50 | 100      | 197.7100 | 198.2100 | -19,771.00       | -0.16         | 19,771.16   | 0.00             | 50.00            | O;P |
| NEE               | 2019-05-31,<br>15:25:52 | -100     | 198.5074 | 198.2100 | 19,850.74        | -0.79         | -19,771.16  | 78.79            | 29.74            | C   |
| NEE               | 2019-06-03,<br>10:11:00 | 100      | 197.5400 | 199.5800 | -19,754.00       | -0.16         | 19,754.16   | 0.00             | 204.00           | O   |
| NEE               | 2019-06-03,<br>10:13:51 | -100     | 197.4000 | 199.5800 | 19,740.00        | -0.67         | -19,754.16  | -14.83           | -218.00          | C   |
| NEE               | 2019-06-04,<br>09:41:11 | 100      | 199.6000 | 199.3800 | -19,960.00       | -0.16         | 19,960.16   | 0.00             | -22.00           | O   |
| NEE               | 2019-06-04,<br>10:32:18 | -100     | 198.2100 | 199.3800 | 19,821.00        | -0.67         | -19,960.16  | -139.83          | -117.00          | C   |
| NEE               | 2019-06-10,<br>10:22:05 | 100      | 202.1500 | 203.4200 | -20,215.00       | -0.67         | 20,215.67   | 0.00             | 127.00           | O   |
| NEE               | 2019-06-25,<br>15:50:58 | -100     | 206.1200 | 206.2200 | 20,612.00        | -0.81         | -20,215.67  | 395.52           | -10.00           | C   |
| <b>Total NEE</b>  |                         | <b>0</b> |          |          | <b>892.78</b>    | <b>-13.85</b> | <b>0.00</b> | <b>878.93</b>    | <b>531.78</b>    |     |
| NFLX              | 2019-02-06,<br>15:32:14 | 100      | 351.4100 | 352.1900 | -35,141.00       | -1.00         | 35,142.00   | 0.00             | 78.00            | O;P |
| NFLX              | 2019-03-01,<br>09:36:28 | -100     | 359.5552 | 357.3200 | 35,955.52        | -1.48         | -35,142.00  | 812.04           | 223.52           | C   |
| NFLX              | 2019-03-04,<br>09:30:01 | 100      | 359.7800 | 351.0400 | -35,978.00       | -1.00         | 35,979.00   | 0.00             | -874.00          | O   |
| NFLX              | 2019-03-04,<br>19:44:50 | -100     | 350.7500 | 351.0400 | 35,075.00        | -1.47         | -35,979.00  | -905.47          | -29.00           | C   |
| NFLX              | 2019-03-07,<br>09:31:03 | 100      | 361.9700 | 352.6000 | -36,197.00       | -1.00         | 36,198.00   | 0.00             | -937.00          | O   |
| NFLX              | 2019-03-07,<br>15:50:05 | -100     | 351.4346 | 352.6000 | 35,143.46        | -1.47         | -36,198.00  | -1,056.01        | -116.54          | C   |
| NFLX              | 2019-03-12,<br>09:38:47 | 100      | 359.7300 | 356.2700 | -35,973.00       | -1.00         | 35,974.00   | 0.00             | -346.00          | O   |
| NFLX              | 2019-03-12,<br>09:38:48 | -100     | 359.6480 | 356.2700 | 35,964.80        | -1.48         | -35,974.00  | -10.68           | 337.80           | C   |
| NFLX              | 2019-03-13,<br>11:37:33 | 100      | 359.6800 | 361.2100 | -35,968.00       | -1.00         | 35,969.00   | 0.00             | 153.00           | O   |
| NFLX              | 2019-03-13,<br>11:37:41 | -100     | 359.6600 | 361.2100 | 35,966.00        | -1.48         | -35,969.00  | -4.48            | -155.00          | C   |
| <b>Total NFLX</b> |                         | <b>0</b> |          |          | <b>-1,152.22</b> | <b>-12.37</b> | <b>0.00</b> | <b>-1,164.59</b> | <b>-1,665.22</b> |     |
| NIO               | 2019-03-01,<br>09:37:36 | 100      | 9.8685   | 10.0600  | -986.85          | -1.00         | 987.85      | 0.00             | 19.15            | O   |
| NIO               | 2019-03-04,<br>11:41:35 | -100     | 9.6827   | 9.7800   | 968.27           | -1.02         | -987.85     | -20.60           | -9.73            | C   |

| Trades    |                      |        |           |         |            |         |            |          |         |     |
|-----------|----------------------|--------|-----------|---------|------------|---------|------------|----------|---------|-----|
| NIO       | 2019-03-05, 04:00:05 | 100    | 9.7500    | 10.1600 | -975.00    | -1.00   | 976.00     | 0.00     | 41.00   | O   |
| NIO       | 2019-03-05, 19:42:59 | -100   | 8.5000    | 10.1600 | 850.00     | -1.02   | -976.00    | -127.02  | -166.00 | C   |
| NIO       | 2019-03-13, 09:29:16 | -1,500 | 6.3200    | 6.5300  | 9,480.00   | -7.80   | -9,472.20  | 0.00     | -315.00 | O   |
| NIO       | 2019-03-13, 09:30:09 | 1,500  | 6.3400    | 6.5300  | -9,510.00  | -7.50   | 9,472.20   | -45.30   | 285.00  | C;P |
| NIO       | 2019-03-14, 09:50:44 | -1,500 | 6.3300    | 6.0300  | 9,495.00   | -7.80   | -9,487.20  | 0.00     | 450.00  | O   |
| NIO       | 2019-03-14, 09:50:46 | 1,500  | 6.3484    | 6.0300  | -9,522.60  | -7.50   | 9,487.20   | -42.90   | -477.60 | C   |
| NIO       | 2019-03-15, 09:29:14 | -1,500 | 6.1300    | 5.7100  | 9,195.00   | -7.80   | -9,187.20  | 0.00     | 630.00  | O;P |
| NIO       | 2019-04-01, 09:29:01 | 1,500  | 5.2900    | 5.2100  | -7,935.00  | -7.50   | 9,187.20   | 1,244.70 | -120.00 | C;P |
| NIO       | 2019-04-09, 10:15:31 | -2,400 | 5.1000    | 5.0800  | 12,240.00  | -12.44  | -12,227.56 | 0.00     | 48.00   | O   |
| NIO       | 2019-04-09, 10:22:19 | 2,400  | 5.1085    | 5.0800  | -12,260.40 | -12.00  | 12,227.56  | -44.84   | -68.40  | C   |
| NIO       | 2019-04-10, 09:31:05 | -2,500 | 5.0800    | 5.0500  | 12,700.00  | -12.96  | -12,687.04 | 0.00     | 75.00   | O;P |
| NIO       | 2019-04-10, 09:37:43 | 2,500  | 5.0885    | 5.0500  | -12,721.25 | -12.50  | 12,687.04  | -46.71   | -96.25  | C;P |
| NIO       | 2019-04-11, 09:30:00 | -2,500 | 5.0100    | 5.0100  | 12,525.00  | -12.96  | -12,512.04 | 0.00     | 0.00    | O   |
| NIO       | 2019-04-25, 09:32:56 | 2,500  | 5.1299    | 4.9000  | -12,824.75 | -9.26   | 12,512.04  | -321.97  | -574.75 | C   |
| NIO       | 2019-04-26, 12:29:14 | -2,600 | 4.8800    | 4.9200  | 12,688.00  | -4.74   | -12,683.26 | 0.00     | -104.00 | O   |
| NIO       | 2019-05-01, 09:31:02 | 2,600  | 4.8287423 | 4.6800  | -12,554.73 | -9.30   | 12,683.26  | 119.23   | -386.73 | C;P |
| NIO       | 2019-05-02, 13:20:48 | -2,600 | 4.7300    | 4.7200  | 12,298.00  | -7.07   | -12,290.93 | 0.00     | 26.00   | O   |
| NIO       | 2019-05-06, 09:45:18 | 2,600  | 4.8600    | 4.8800  | -12,636.00 | -7.99   | 12,290.93  | -353.06  | 52.00   | C;P |
| NIO       | 2019-05-06, 11:06:15 | -2,600 | 4.8400    | 4.8800  | 12,584.00  | -7.08   | -12,576.92 | 0.00     | -104.00 | O   |
| NIO       | 2019-05-06, 11:32:35 | 2,600  | 4.8600    | 4.8800  | -12,636.00 | -6.51   | 12,576.92  | -65.58   | 52.00   | C   |
| Total NIO |                      | 0      |           |         | 460.69     | -164.75 | 0.00       | 295.94   | -744.31 |     |
| NKE       | 2019-03-13, 09:30:14 | 100    | 85.6900   | 85.9300 | -8,569.00  | -1.00   | 8,570.00   | 0.00     | 24.00   | O;P |
| NKE       | 2019-03-13, 09:30:18 | -2     | 85.7160   | 85.9300 | 171.43     | -1.00   | -172.38    | -1.95    | -0.43   | C   |
| NKE       | 2019-03-14, 10:09:36 | -98    | 85.7146   | 86.8700 | 8,400.03   | -1.12   | -8,397.62  | 1.29     | -113.23 | C   |
| NKE       | 2019-03-15, 09:36:54 | 100    | 87.0500   | 86.8000 | -8,705.00  | -1.00   | 8,706.00   | 0.00     | -25.00  | O   |
| NKE       | 2019-03-22, 09:29:00 | -100   | 84.6670   | 82.1900 | 8,466.70   | -1.12   | -8,706.00  | -240.42  | 247.70  | C;P |
| NKE       | 2019-04-01, 09:42:23 | 100    | 84.7400   | 85.2300 | -8,474.00  | -1.00   | 8,475.00   | 0.00     | 49.00   | O   |
| NKE       | 2019-04-02, 11:42:39 | -100   | 84.1900   | 84.3700 | 8,419.00   | -1.12   | -8,475.00  | -57.12   | -18.00  | C   |
| NKE       | 2019-04-03, 09:30:50 | 100    | 84.8000   | 84.4700 | -8,480.00  | -1.00   | 8,481.00   | 0.00     | -33.00  | O   |

| Trades    |                      |      |            |         |            |        |            |         |         |       |
|-----------|----------------------|------|------------|---------|------------|--------|------------|---------|---------|-------|
| NKE       | 2019-04-09, 09:31:02 | -100 | 84.2600    | 84.2800 | 8,426.00   | -1.12  | -8,481.00  | -56.12  | -2.00   | C     |
| NKE       | 2019-04-10, 09:30:02 | 100  | 84.6900    | 84.8800 | -8,469.00  | -1.00  | 8,470.00   | 0.00    | 19.00   | O;P   |
| NKE       | 2019-05-01, 09:30:09 | -100 | 87.8900    | 85.9000 | 8,789.00   | -0.32  | -8,470.00  | 318.68  | 199.00  | C     |
| NKE       | 2019-06-03, 09:30:16 | 200  | 77.1400    | 77.9600 | -15,428.00 | -0.32  | 15,428.32  | 0.00    | 164.00  | O     |
| NKE       | 2019-06-03, 09:30:31 | -200 | 77.1400    | 77.9600 | 15,428.00  | -0.84  | -15,428.32 | -1.16   | -164.00 | C;P   |
| NKE       | 2019-07-01, 09:30:01 | 100  | 84.9400    | 85.4100 | -8,494.00  | -0.25  | 8,494.25   | 0.00    | 47.00   | O;P   |
| Total NKE |                      | 100  |            |         | -8,518.84  | -12.23 | 8,494.25   | -36.81  | 394.04  |       |
| NKTR      | 2019-03-21, 09:41:31 | -300 | 32.9400    | 33.4800 | 9,882.00   | -1.66  | -9,880.34  | 0.00    | -162.00 | O     |
| NKTR      | 2019-03-28, 15:12:50 | 300  | 32.8800    | 32.6900 | -9,864.00  | -1.50  | 9,880.34   | 14.84   | -57.00  | C;P   |
| NKTR      | 2019-03-29, 09:30:01 | -300 | 32.5500    | 33.6000 | 9,765.00   | -1.66  | -9,763.34  | 0.00    | -315.00 | O;P   |
| NKTR      | 2019-04-01, 09:30:12 | 300  | 33.8148667 | 33.2400 | -10,144.46 | -1.50  | 9,763.34   | -382.62 | -172.46 | C;P   |
| NKTR      | 2019-04-01, 10:08:22 | 300  | 33.9100    | 33.2400 | -10,173.00 | -1.50  | 10,174.50  | 0.00    | -201.00 | O     |
| NKTR      | 2019-04-01, 12:36:05 | -100 | 33.2800    | 33.2400 | 3,328.00   | -1.06  | -3,391.50  | -64.56  | 4.00    | C     |
| NKTR      | 2019-04-01, 12:37:20 | -300 | 33.2900    | 33.2400 | 9,987.00   | -1.67  | -6,783.00  | -126.36 | 15.00   | C;O;P |
| NKTR      | 2019-04-02, 09:34:26 | 100  | 33.2300    | 33.7000 | -3,323.00  | -1.00  | 3,328.69   | 4.69    | 47.00   | C     |
| NKTR      | 2019-04-02, 09:47:04 | 300  | 33.5300    | 33.7000 | -10,059.00 | -1.50  | 10,060.50  | 0.00    | 51.00   | O;P   |
| NKTR      | 2019-04-02, 10:04:21 | -300 | 33.2100    | 33.7000 | 9,963.00   | -1.67  | -10,060.50 | -99.17  | -147.00 | C     |
| NKTR      | 2019-04-02, 10:09:17 | 300  | 33.2800    | 33.7000 | -9,984.00  | -1.50  | 9,985.50   | 0.00    | 126.00  | O;P   |
| NKTR      | 2019-04-02, 10:10:08 | -200 | 33.31135   | 33.7000 | 6,662.27   | -1.11  | -6,657.07  | 4.09    | -77.73  | C;P   |
| NKTR      | 2019-04-02, 10:11:14 | -100 | 33.3215    | 33.7000 | 3,332.15   | -1.06  | -3,328.43  | 2.66    | -37.85  | C     |
| NKTR      | 2019-04-15, 09:32:22 | 300  | 35.3000    | 33.4900 | -10,590.00 | -1.50  | 10,591.50  | 0.00    | -543.00 | O;P   |
| NKTR      | 2019-04-16, 11:02:34 | -300 | 33.3200    | 33.3800 | 9,996.00   | -1.74  | -10,591.50 | -597.24 | -18.00  | C     |
| NKTR      | 2019-04-17, 09:32:44 | 400  | 33.5500    | 31.1900 | -13,420.00 | -2.00  | 13,422.00  | 0.00    | -944.00 | O;P   |
| NKTR      | 2019-04-17, 09:39:24 | -400 | 33.2434    | 31.1900 | 13,297.36  | -2.32  | -13,422.00 | -126.96 | 821.36  | C     |
| NKTR      | 2019-04-18, 12:45:11 | -400 | 31.4100    | 31.6800 | 12,564.00  | -2.31  | -12,561.69 | 0.00    | -108.00 | O     |
| NKTR      | 2019-05-01, 09:35:44 | 400  | 31.8100    | 31.6400 | -12,724.00 | -0.64  | 12,561.69  | -162.95 | -68.00  | C     |
| NKTR      | 2019-05-02, 09:30:09 | -400 | 31.7700    | 32.1400 | 12,708.00  | -0.95  | -12,707.05 | 0.00    | -148.00 | O;P   |
| NKTR      | 2019-05-02, 10:02:01 | 400  | 31.7400    | 32.1400 | -12,696.00 | -1.48  | 12,707.05  | 9.57    | 160.00  | C     |
| NKTR      | 2019-05-06, 09:38:04 | -400 | 31.7500    | 32.6900 | 12,700.00  | -0.95  | -12,699.05 | 0.00    | -376.00 | O;P   |

## Trades

|      |                         |      |         |         |            |       |            |         |         |     |
|------|-------------------------|------|---------|---------|------------|-------|------------|---------|---------|-----|
| NKTR | 2019-05-06,<br>10:10:00 | 10   | 31.8600 | 32.6900 | -318.60    | -0.33 | 317.48     | -1.46   | 8.30    | C   |
| NKTR | 2019-05-06,<br>10:26:05 | 390  | 31.9100 | 32.6900 | -12,444.90 | -1.20 | 12,381.57  | -64.53  | 304.20  | C;P |
| NKTR | 2019-05-07,<br>13:27:20 | -400 | 31.7900 | 32.0800 | 12,716.00  | -0.95 | -12,715.05 | 0.00    | -116.00 | O;P |
| NKTR | 2019-05-07,<br>13:30:50 | 400  | 31.8000 | 32.0800 | -12,720.00 | -2.68 | 12,715.05  | -7.63   | 112.00  | C;P |
| NKTR | 2019-05-09,<br>09:30:01 | 400  | 32.9700 | 35.4500 | -13,188.00 | -2.68 | 13,190.68  | 0.00    | 992.00  | O;P |
| NKTR | 2019-05-09,<br>09:30:06 | -100 | 32.9800 | 35.4500 | 3,298.00   | -0.24 | -3,294.67  | 3.09    | -247.00 | C   |
| NKTR | 2019-05-13,<br>10:48:44 | -300 | 32.8309 | 32.6100 | 9,849.27   | -1.44 | -9,896.01  | -48.18  | 66.27   | C;P |
| NKTR | 2019-05-14,<br>09:35:48 | 400  | 32.7200 | 33.7500 | -13,088.00 | -0.64 | 13,088.64  | 0.00    | 412.00  | O   |
| NKTR | 2019-05-14,<br>09:56:51 | -400 | 32.6800 | 33.7500 | 13,072.00  | -0.96 | -13,088.64 | -17.60  | -428.00 | C;P |
| NKTR | 2019-05-15,<br>09:32:21 | 400  | 33.4000 | 33.9000 | -13,360.00 | -0.64 | 13,360.64  | 0.00    | 200.00  | O;P |
| NKTR | 2019-05-16,<br>09:31:12 | -400 | 32.3600 | 31.3400 | 12,944.00  | -0.96 | -13,360.64 | -417.60 | 408.00  | C;P |
| NKTR | 2019-05-17,<br>09:46:54 | -400 | 31.2900 | 32.0200 | 12,516.00  | -0.95 | -12,515.05 | 0.00    | -292.00 | O   |
| NKTR | 2019-05-17,<br>10:58:01 | 400  | 31.7900 | 32.0200 | -12,716.00 | -1.48 | 12,515.05  | -202.43 | 92.00   | C;P |
| NKTR | 2019-05-20,<br>09:37:30 | -400 | 31.7700 | 32.1500 | 12,708.00  | -0.95 | -12,707.05 | 0.00    | -152.00 | O;P |
| NKTR | 2019-05-20,<br>09:47:49 | 400  | 31.8000 | 32.1500 | -12,720.00 | -1.48 | 12,707.05  | -14.43  | 140.00  | C   |
| NKTR | 2019-05-20,<br>10:57:32 | -400 | 31.7300 | 32.1500 | 12,692.00  | -0.95 | -12,691.05 | 0.00    | -168.00 | O;P |
| NKTR | 2019-05-20,<br>11:15:43 | 400  | 31.8400 | 32.1500 | -12,736.00 | -0.64 | 12,691.05  | -45.59  | 124.00  | C;P |
| NKTR | 2019-05-21,<br>09:57:25 | 400  | 32.9000 | 33.4200 | -13,160.00 | -0.64 | 13,160.64  | 0.00    | 208.00  | O;P |
| NKTR | 2019-05-21,<br>10:00:22 | -400 | 32.7500 | 33.4200 | 13,100.00  | -0.96 | -13,160.64 | -61.60  | -268.00 | C;P |
| NKTR | 2019-05-22,<br>09:30:03 | 400  | 33.3800 | 33.4700 | -13,352.00 | -0.64 | 13,352.64  | 0.00    | 36.00   | O;P |
| NKTR | 2019-05-23,<br>11:25:59 | -400 | 32.9100 | 33.0700 | 13,164.00  | -0.96 | -13,352.64 | -189.60 | -64.00  | C   |
| NKTR | 2019-05-24,<br>10:53:08 | 400  | 33.4600 | 33.7900 | -13,384.00 | -0.64 | 13,384.64  | 0.00    | 132.00  | O;P |
| NKTR | 2019-05-28,<br>10:37:10 | -400 | 32.9004 | 32.1200 | 13,160.16  | -1.80 | -13,384.64 | -226.28 | 312.16  | C   |
| NKTR | 2019-05-29,<br>09:35:00 | -400 | 31.7900 | 32.5400 | 12,716.00  | -0.95 | -12,715.05 | 0.00    | -300.00 | O   |
| NKTR | 2019-05-30,<br>10:17:52 | 400  | 32.5900 | 32.1100 | -13,036.00 | -0.64 | 12,715.05  | -321.59 | -192.00 | C   |
| NKTR | 2019-05-31,<br>15:26:00 | -400 | 31.1500 | 31.3200 | 12,460.00  | -0.95 | -12,459.05 | 0.00    | -68.00  | O;P |
| NKTR | 2019-05-31,<br>15:44:44 | 400  | 31.2100 | 31.3200 | -12,484.00 | -2.08 | 12,459.05  | -27.03  | 44.00   | C;P |
| NKTR | 2019-06-03,<br>09:30:07 | 400  | 32.6500 | 34.0600 | -13,060.00 | -0.64 | 13,060.64  | 0.00    | 564.00  | O;P |
| NKTR | 2019-06-03,<br>09:31:02 | -400 | 33.1500 | 34.0600 | 13,260.00  | -1.80 | -13,060.64 | 197.56  | -364.00 | C   |

## Trades

|                   |                      |          |         |         |                  |               |                 |                  |                |       |
|-------------------|----------------------|----------|---------|---------|------------------|---------------|-----------------|------------------|----------------|-------|
| NKTR              | 2019-06-04, 09:50:31 | 400      | 33.4000 | 32.9200 | -13,360.00       | -0.64         | 13,360.64       | 0.00             | -192.00        | O     |
| NKTR              | 2019-06-04, 11:15:46 | -200     | 32.8300 | 32.9200 | 6,566.00         | -1.17         | -6,680.32       | -115.49          | -18.00         | C;P   |
| NKTR              | 2019-06-04, 11:18:40 | -200     | 32.8300 | 32.9200 | 6,566.00         | -0.48         | -6,680.32       | -114.80          | -18.00         | C;P   |
| NKTR              | 2019-06-05, 10:57:05 | 400      | 33.0000 | 33.6400 | -13,200.00       | -0.68         | 13,200.68       | 0.00             | 256.00         | O;P   |
| NKTR              | 2019-06-06, 10:57:34 | -200     | 32.9225 | 32.9800 | 6,584.50         | -1.20         | -6,600.34       | -17.04           | -11.50         | C;P   |
| NKTR              | 2019-06-06, 11:09:00 | -400     | 32.9300 | 32.9800 | 13,172.00        | -0.96         | -11,638.26      | -14.82           | -20.00         | C;O;P |
| NKTR              | 2019-06-06, 13:31:53 | 200      | 32.7382 | 32.9800 | -6,547.64        | -0.74         | 6,585.52        | 37.14            | 48.36          | C;P   |
| NKTR              | 2019-06-07, 09:44:01 | 400      | 33.1700 | 33.0000 | -13,268.00       | -0.64         | 13,268.64       | 0.00             | -68.00         | O     |
| NKTR              | 2019-06-11, 11:49:40 | -400     | 32.8400 | 33.2700 | 13,136.00        | -0.96         | -13,268.64      | -133.60          | -172.00        | C;P   |
| NKTR              | 2019-07-02, 09:31:01 | 300      | 35.8900 | 36.1300 | -10,767.00       | -0.48         | 10,767.48       | 0.00             | 72.00          | O     |
| NKTR              | 2019-07-02, 09:37:16 | -300     | 35.5700 | 36.1300 | 10,671.00        | -0.74         | -10,767.48      | -97.22           | -168.00        | C;P   |
| <b>Total NKTR</b> |                      | <b>0</b> |         |         | <b>-3,351.89</b> | <b>-72.86</b> | <b>4,876.29</b> | <b>-3,424.75</b> | <b>-945.89</b> |       |
| NTR               | 2019-04-01, 09:33:02 | 200      | 53.1400 | 53.3900 | -10,628.00       | -1.00         | 10,629.00       | 0.00             | 50.00          | O;P   |
| NTR               | 2019-04-01, 10:43:09 | -200     | 52.7100 | 53.3900 | 10,542.00        | -1.16         | -10,629.00      | -88.16           | -136.00        | C     |
| NTR               | 2019-04-02, 09:34:00 | 200      | 53.4700 | 53.3700 | -10,694.00       | -1.00         | 10,695.00       | 0.00             | -20.00         | O     |
| NTR               | 2019-04-11, 09:40:20 | -200     | 52.7316 | 52.2200 | 10,546.32        | -1.16         | -10,695.00      | -149.84          | 102.32         | C;P   |
| NTR               | 2019-04-15, 10:03:13 | 200      | 52.7600 | 53.0300 | -10,552.00       | -1.00         | 10,553.00       | 0.00             | 54.00          | O;P   |
| NTR               | 2019-04-15, 10:04:14 | -200     | 52.7327 | 53.0300 | 10,546.54        | -1.24         | -10,553.00      | -7.70            | -59.46         | C;P   |
| NTR               | 2019-04-18, 15:41:04 | 200      | 54.0684 | 54.0200 | -10,813.68       | -1.00         | 10,814.68       | 0.00             | -9.68          | O;P   |
| NTR               | 2019-05-01, 10:01:41 | -200     | 53.9200 | 53.6400 | 10,784.00        | -0.75         | -10,814.68      | -31.43           | 56.00          | C;P   |
| NTR               | 2019-05-02, 10:00:40 | 100      | 54.0300 | 52.7400 | -5,403.00        | -0.25         | 5,403.25        | 0.00             | -129.00        | O     |
| NTR               | 2019-05-02, 10:00:51 | -100     | 54.0003 | 52.7400 | 5,400.03         | -0.49         | -5,403.25       | -3.71            | 126.03         | C     |
| NTR               | 2019-05-31, 15:39:59 | 300      | 49.0400 | 48.7400 | -14,712.00       | -0.48         | 14,712.48       | 0.00             | -90.00         | O     |
| NTR               | 2019-05-31, 15:43:36 | -100     | 48.9600 | 48.7400 | 4,896.00         | -0.78         | -4,904.16       | -8.94            | 22.00          | C     |
| NTR               | 2019-05-31, 15:44:46 | -200     | 48.9200 | 48.7400 | 9,784.00         | -0.55         | -9,808.32       | -24.87           | 36.00          | C     |
| NTR               | 2019-07-01, 09:32:10 | 200      | 54.0100 | 53.8100 | -10,802.00       | -0.50         | 10,802.50       | 0.00             | -40.00         | O;P   |
| NTR               | 2019-07-01, 09:50:34 | -200     | 53.4800 | 53.8100 | 10,696.00        | -0.75         | -10,802.50      | -107.25          | -66.00         | C;P   |
| NTR               | 2019-07-02, 09:30:43 | 200      | 53.5400 | 53.7500 | -10,708.00       | -0.50         | 10,708.50       | 0.00             | 42.00          | O     |
| NTR               | 2019-07-02, 09:31:40 | -200     | 53.4938 | 53.7500 | 10,698.76        | -0.99         | -10,708.50      | -10.73           | -51.24         | C     |

| Trades     |                      |  |      |          |          |            |        |            |          |         |     |
|------------|----------------------|--|------|----------|----------|------------|--------|------------|----------|---------|-----|
| Total NTR  |                      |  | 0    |          |          | -419.03    | -13.60 | 0.00       | -432.63  | -113.03 |     |
| NVDA       | 2019-06-03, 09:30:02 |  | 100  | 135.9100 | 133.7800 | -13,591.00 | -0.16  | 13,591.16  | 0.00     | -213.00 | O   |
| NVDA       | 2019-06-03, 10:37:31 |  | -100 | 135.6100 | 133.7800 | 13,561.00  | -0.42  | -13,591.16 | -30.58   | 183.00  | C   |
| NVDA       | 2019-06-04, 09:31:07 |  | 100  | 136.3600 | 143.0000 | -13,636.00 | -0.16  | 13,636.16  | 0.00     | 664.00  | O;P |
| NVDA       | 2019-06-04, 09:36:31 |  | -100 | 135.6631 | 143.0000 | 13,566.31  | -0.66  | -13,636.16 | -70.51   | -733.69 | C   |
| NVDA       | 2019-06-04, 10:15:36 |  | 100  | 138.3500 | 143.0000 | -13,835.00 | -0.16  | 13,835.16  | 0.00     | 465.00  | O;P |
| NVDA       | 2019-06-25, 15:50:57 |  | -100 | 151.6800 | 151.4800 | 15,168.00  | -0.69  | -13,835.16 | 1,332.15 | 20.00   | C   |
| NVDA       | 2019-07-02, 09:42:32 |  | 100  | 166.0300 | 162.2300 | -16,603.00 | -0.16  | 16,603.16  | 0.00     | -380.00 | O   |
| NVDA       | 2019-07-02, 09:59:07 |  | -100 | 164.3902 | 162.2300 | 16,439.02  | -0.72  | -16,603.16 | -164.86  | 216.02  | C   |
| Total NVDA |                      |  | 0    |          |          | 1,069.33   | -3.14  | 0.00       | 1,066.19 | 221.33  |     |
| NVS        | 2019-02-28, 11:00:00 |  | 100  | 91.8000  | 91.2300  | -9,180.00  | -1.00  | 9,181.00   | 0.00     | -57.00  | O   |
| NVS        | 2019-03-01, 05:48:15 |  | -100 | 90.7900  | 91.4000  | 9,079.00   | -1.13  | -9,181.00  | -103.13  | -61.00  | C   |
| NVS        | 2019-03-13, 12:52:17 |  | 100  | 91.2300  | 91.6300  | -9,123.00  | -1.00  | 9,124.00   | 0.00     | 40.00   | O;P |
| NVS        | 2019-04-01, 09:32:58 |  | -100 | 96.1500  | 95.7100  | 9,615.00   | -1.14  | -9,124.00  | 489.86   | 44.00   | C   |
| NVS        | 2019-04-01, 11:28:18 |  | 100  | 96.1500  | 95.7100  | -9,615.00  | -1.00  | 9,616.00   | 0.00     | -44.00  | O;P |
| NVS        | 2019-04-01, 11:29:02 |  | -100 | 96.1401  | 95.7100  | 9,614.01   | -1.14  | -9,616.00  | -3.13    | 43.01   | C   |
| NVS        | 2019-04-09, 09:29:10 |  | -100 | 84.7900  | 83.4100  | 8,479.00   | -1.12  | -8,477.88  | 0.00     | 138.00  | O   |
| NVS        | 2019-04-10, 09:31:16 |  | 100  | 82.1800  | 81.9300  | -8,218.00  | -1.00  | 8,477.88   | 258.88   | -25.00  | C   |
| NVS        | 2019-04-22, 11:03:37 |  | -200 | 75.4900  | 75.4000  | 15,098.00  | -1.34  | -15,096.66 | 0.00     | 18.00   | O   |
| NVS        | 2019-04-22, 11:04:41 |  | 200  | 75.4559  | 75.4000  | -15,091.18 | -1.00  | 15,096.66  | 4.48     | -11.18  | C;P |
| NVS        | 2019-04-22, 13:08:12 |  | -200 | 75.4100  | 75.4000  | 15,082.00  | -1.34  | -15,080.66 | 0.00     | 2.00    | O   |
| NVS        | 2019-04-22, 13:15:55 |  | 100  | 75.4900  | 75.4000  | -7,549.00  | -1.00  | 7,540.33   | -9.67    | -9.00   | C   |
| NVS        | 2019-04-22, 13:16:52 |  | 100  | 75.4800  | 75.4000  | -7,548.00  | -1.00  | 7,540.33   | -8.67    | -8.00   | C   |
| NVS        | 2019-05-01, 09:33:50 |  | 200  | 82.2500  | 81.9100  | -16,450.00 | -0.32  | 16,450.32  | 0.00     | -68.00  | O   |
| NVS        | 2019-05-01, 09:38:51 |  | -200 | 82.0047  | 81.9100  | 16,400.94  | -1.10  | -16,450.32 | -50.48   | 18.94   | C;P |
| NVS        | 2019-05-16, 09:30:05 |  | 200  | 82.6100  | 82.4500  | -16,522.00 | -0.50  | 16,522.50  | 0.00     | -32.00  | O;P |
| NVS        | 2019-05-20, 09:39:50 |  | -200 | 82.1800  | 82.0100  | 16,436.00  | -0.86  | -16,522.50 | -87.37   | 34.00   | C   |
| NVS        | 2019-05-20, 10:24:17 |  | 200  | 82.3400  | 82.0100  | -16,468.00 | -0.50  | 16,468.50  | 0.00     | -66.00  | O;P |
| NVS        | 2019-05-20, 10:31:42 |  | -200 | 82.2600  | 82.0100  | 16,452.00  | -1.40  | -16,468.50 | -17.91   | 50.00   | C;P |

## Trades

|                  |                         |            |          |         |                  |               |                 |                 |               |     |
|------------------|-------------------------|------------|----------|---------|------------------|---------------|-----------------|-----------------|---------------|-----|
| NVS              | 2019-05-20,<br>10:48:42 | 200        | 82.4200  | 82.0100 | -16,484.00       | -0.40         | 16,484.40       | 0.00            | -82.00        | O;P |
| NVS              | 2019-05-20,<br>11:48:08 | -200       | 82.2000  | 82.0100 | 16,440.00        | -0.86         | -16,484.40      | -45.27          | 38.00         | C   |
| NVS              | 2019-05-21,<br>09:30:06 | 200        | 82.6700  | 82.3900 | -16,534.00       | -0.50         | 16,534.50       | 0.00            | -56.00        | O;P |
| NVS              | 2019-05-21,<br>15:22:54 | -200       | 82.2318  | 82.3900 | 16,446.36        | -1.10         | -16,534.50      | -89.25          | -31.64        | C;P |
| NVS              | 2019-05-22,<br>09:30:02 | 200        | 82.7800  | 83.9100 | -16,556.00       | -0.50         | 16,556.50       | 0.00            | 226.00        | O   |
| NVS              | 2019-05-31,<br>15:26:00 | -200       | 85.68055 | 85.6400 | 17,136.11        | -1.42         | -16,556.50      | 578.19          | 8.11          | C;P |
| NVS              | 2019-06-03,<br>09:30:04 | 200        | 87.2500  | 87.6600 | -17,450.00       | -0.50         | 17,450.50       | 0.00            | 82.00         | O   |
| NVS              | 2019-06-25,<br>15:51:04 | -200       | 92.4300  | 92.3700 | 18,486.00        | -1.75         | -17,450.50      | 1,033.75        | 12.00         | C;P |
| NVS              | 2019-07-01,<br>15:51:56 | 100        | 91.9300  | 91.8600 | -9,193.00        | -0.57         | 9,193.57        | 0.00            | -7.00         | O;P |
| <b>Total NVS</b> |                         | <b>100</b> |          |         | <b>-7,216.76</b> | <b>-26.50</b> | <b>9,193.57</b> | <b>1,950.31</b> | <b>196.24</b> |     |
| PDD              | 2019-01-17,<br>09:34:50 | 200        | 24.7900  | 24.5400 | -4,958.00        | -1.00         | 4,052.92        | -906.08         | -50.00        | C;P |
| PDD              | 2019-01-17,<br>09:36:00 | 100        | 24.6200  | 24.5400 | -2,462.00        | -1.00         | 2,463.00        | 0.00            | -8.00         | O   |
| PDD              | 2019-02-06,<br>15:30:14 | -100       | 28.4200  | 28.0000 | 2,842.00         | -1.05         | -2,463.00       | 377.95          | 42.00         | C   |
| PDD              | 2019-02-19,<br>10:11:56 | 100        | 29.2200  | 29.0900 | -2,922.00        | -1.00         | 2,923.00        | 0.00            | -13.00        | O   |
| PDD              | 2019-02-25,<br>10:48:23 | -100       | 29.2027  | 29.0100 | 2,920.27         | -1.05         | -2,923.00       | -3.78           | 19.27         | C   |
| PDD              | 2019-02-26,<br>09:37:07 | 100        | 29.2400  | 29.1400 | -2,924.00        | -1.00         | 2,925.00        | 0.00            | -10.00        | O   |
| PDD              | 2019-02-26,<br>15:30:12 | -100       | 29.2050  | 29.1400 | 2,920.50         | -1.05         | -2,925.00       | -5.55           | 6.50          | C   |
| PDD              | 2019-02-27,<br>09:30:31 | 100        | 29.2500  | 28.5600 | -2,925.00        | -1.00         | 2,926.00        | 0.00            | -69.00        | O   |
| PDD              | 2019-02-27,<br>15:30:02 | -100       | 28.6500  | 28.5600 | 2,865.00         | -1.05         | -2,926.00       | -62.05          | 9.00          | C;P |
| PDD              | 2019-02-28,<br>09:31:40 | 100        | 29.2500  | 29.9400 | -2,925.00        | -1.00         | 2,926.00        | 0.00            | 69.00         | O   |
| PDD              | 2019-02-28,<br>19:18:27 | -100       | 29.7400  | 29.9400 | 2,974.00         | -1.05         | -2,926.00       | 46.95           | -20.00        | C;P |
| PDD              | 2019-03-01,<br>08:33:59 | 100        | 30.4000  | 29.9900 | -3,040.00        | -1.00         | 3,041.00        | 0.00            | -41.00        | O   |
| PDD              | 2019-03-06,<br>10:36:37 | -100       | 29.9162  | 29.9900 | 2,991.62         | -1.05         | -3,041.00       | -50.43          | -7.38         | C   |
| PDD              | 2019-03-11,<br>11:20:51 | 100        | 29.9200  | 29.9300 | -2,992.00        | -1.00         | 2,993.00        | 0.00            | 1.00          | O   |
| PDD              | 2019-03-11,<br>11:20:52 | -100       | 29.9100  | 29.9300 | 2,991.00         | -1.05         | -2,993.00       | -3.05           | -2.00         | C   |
| PDD              | 2019-03-12,<br>09:39:47 | 100        | 30.4600  | 30.4300 | -3,046.00        | -1.00         | 3,047.00        | 0.00            | -3.00         | O   |
| PDD              | 2019-03-12,<br>09:53:02 | -100       | 29.9332  | 30.4300 | 2,993.32         | -1.05         | -3,047.00       | -54.73          | -49.68        | C   |
| PDD              | 2019-04-01,<br>09:33:19 | 400        | 25.3500  | 24.6700 | -10,140.00       | -2.00         | 10,142.00       | 0.00            | -272.00       | O   |
| PDD              | 2019-04-01,<br>09:42:25 | -400       | 24.8327  | 24.6700 | 9,933.08         | -2.18         | -10,142.00      | -211.10         | 65.08         | C;P |



## Trades

|                  |                      |            |            |          |                  |               |                 |                  |                |     |
|------------------|----------------------|------------|------------|----------|------------------|---------------|-----------------|------------------|----------------|-----|
| PDD              | 2019-04-01, 15:27:32 | 400        | 24.8500    | 24.6700  | -9,940.00        | -2.00         | 9,942.00        | 0.00             | -72.00         | O;P |
| PDD              | 2019-04-01, 15:28:07 | -400       | 24.8320    | 24.6700  | 9,932.80         | -2.18         | -9,942.00       | -11.38           | 64.80          | C;P |
| PDD              | 2019-04-03, 09:35:47 | 400        | 24.8300    | 23.5100  | -9,932.00        | -2.00         | 9,934.00        | 0.00             | -528.00        | O;P |
| PDD              | 2019-04-03, 09:36:05 | -400       | 24.7025    | 23.5100  | 9,881.00         | -2.18         | -9,934.00       | -55.18           | 477.00         | C;P |
| PDD              | 2019-04-04, 10:06:38 | -400       | 22.4700    | 22.6000  | 8,988.00         | -2.16         | -8,985.84       | 0.00             | -52.00         | O;P |
| PDD              | 2019-04-04, 10:06:43 | 100        | 22.4900    | 22.6000  | -2,249.00        | -1.00         | 2,246.46        | -3.54            | 11.00          | C   |
| PDD              | 2019-04-04, 10:06:44 | 300        | 22.4800    | 22.6000  | -6,744.00        | -1.50         | 6,739.38        | -6.12            | 36.00          | C;P |
| PDD              | 2019-04-05, 10:03:18 | -400       | 22.4625    | 21.6000  | 8,985.00         | -2.16         | -8,982.84       | 0.00             | 345.00         | O;P |
| PDD              | 2019-04-05, 10:05:21 | 400        | 22.508925  | 21.6000  | -9,003.57        | -2.00         | 8,982.84        | -22.73           | -363.57        | C;P |
| PDD              | 2019-04-08, 10:34:52 | -500       | 22.0400    | 21.8300  | 11,020.00        | -2.70         | -11,017.30      | 0.00             | 105.00         | O;P |
| PDD              | 2019-04-09, 12:20:37 | 500        | 22.4600    | 22.3500  | -11,230.00       | -2.50         | 11,017.30       | -215.20          | -55.00         | C;P |
| PDD              | 2019-04-10, 09:30:13 | -232       | 22.5300    | 21.9500  | 5,226.96         | -1.26         | -5,225.70       | 0.00             | 134.56         | O;P |
| PDD              | 2019-04-10, 09:30:30 | 232        | 22.5200    | 21.9500  | -5,224.64        | -1.16         | 5,225.70        | -0.10            | -132.24        | C;P |
| PDD              | 2019-04-11, 09:36:59 | -600       | 22.0700    | 21.6700  | 13,242.00        | -3.24         | -13,238.76      | 0.00             | 240.00         | O   |
| PDD              | 2019-04-16, 09:46:36 | 421        | 22.4800    | 22.5000  | -9,464.08        | -2.10         | 9,289.19        | -176.99          | 8.42           | C;P |
| PDD              | 2019-04-16, 09:47:16 | 179        | 22.4291061 | 22.5000  | -4,014.81        | -1.00         | 3,949.56        | -66.25           | 12.69          | C;P |
| PDD              | 2019-04-26, 09:35:19 | -500       | 22.5000    | 21.9200  | 11,250.00        | -1.09         | -11,248.91      | 0.00             | 290.00         | O;P |
| PDD              | 2019-04-26, 09:35:29 | 500        | 22.4450    | 21.9200  | -11,222.50       | -1.85         | 11,248.91       | 24.56            | -262.50        | C   |
| PDD              | 2019-04-29, 09:37:50 | -500       | 22.1800    | 22.2800  | 11,090.00        | -1.09         | -11,088.91      | 0.00             | -50.00         | O   |
| PDD              | 2019-05-01, 10:50:30 | 500        | 22.4000    | 23.3000  | -11,200.00       | -1.85         | 11,088.91       | -112.94          | 450.00         | C   |
| PDD              | 2019-05-02, 09:33:13 | 500        | 22.9600    | 23.1300  | -11,480.00       | -0.80         | 11,480.80       | 0.00             | 85.00          | O   |
| PDD              | 2019-05-06, 09:31:15 | -500       | 22.5900    | 23.7400  | 11,295.00        | -3.64         | -11,480.80      | -189.45          | -575.00        | C;P |
| <b>Total PDD</b> |                      | <b>200</b> |            |          | <b>-5,697.05</b> | <b>-63.06</b> | <b>4,052.92</b> | <b>-1,707.18</b> | <b>-164.05</b> |     |
| PEP              | 2019-04-18, 09:33:42 | 100        | 127.3900   | 127.0900 | -12,739.00       | -1.00         | 12,740.00       | 0.00             | -30.00         | O;P |
| PEP              | 2019-05-01, 09:30:54 | -100       | 127.7672   | 127.2400 | 12,776.72        | -0.65         | -12,740.00      | 36.07            | 52.72          | C   |
| PEP              | 2019-05-03, 13:03:54 | 50         | 127.6800   | 127.6700 | -6,384.00        | -0.26         | 6,384.26        | 0.00             | -0.50          | O   |
| PEP              | 2019-05-03, 13:03:59 | -50        | 127.6800   | 127.6700 | 6,384.00         | -0.65         | -6,384.26       | -0.90            | 0.50           | C   |
| PEP              | 2019-05-14, 14:32:48 | 100        | 128.2300   | 127.4100 | -12,823.00       | -0.16         | 12,823.16       | 0.00             | -82.00         | O   |
| PEP              | 2019-05-14, 14:48:47 | -100       | 128.0425   | 127.4100 | 12,804.25        | -0.65         | -12,823.16      | -19.56           | 63.25          | C   |

## Trades

|                  |                         |          |          |          |                |              |             |                |                |     |
|------------------|-------------------------|----------|----------|----------|----------------|--------------|-------------|----------------|----------------|-----|
| PEP              | 2019-05-15,<br>09:36:13 | 100      | 128.2200 | 129.3500 | -12,822.00     | -0.16        | 12,822.16   | 0.00           | 113.00         | O   |
| PEP              | 2019-05-15,<br>09:36:53 | -100     | 128.0000 | 129.3500 | 12,800.00      | -0.65        | -12,822.16  | -22.81         | -135.00        | C   |
| PEP              | 2019-05-16,<br>09:30:32 | 100      | 128.8000 | 130.2700 | -12,880.00     | -0.16        | 12,880.16   | 0.00           | 147.00         | O   |
| PEP              | 2019-05-20,<br>10:48:07 | -100     | 130.1716 | 129.9900 | 13,017.16      | -0.65        | -12,880.16  | 136.35         | 18.16          | C   |
| PEP              | 2019-05-20,<br>10:57:40 | 100      | 130.3100 | 129.9900 | -13,031.00     | -0.16        | 13,031.16   | 0.00           | -32.00         | O   |
| PEP              | 2019-05-29,<br>09:30:09 | -100     | 127.5300 | 128.1700 | 12,753.00      | -0.65        | -13,031.16  | -278.81        | -64.00         | C   |
| PEP              | 2019-05-30,<br>10:16:21 | 100      | 128.4600 | 128.6100 | -12,846.00     | -0.16        | 12,846.16   | 0.00           | 15.00          | O   |
| PEP              | 2019-05-30,<br>10:47:46 | -100     | 128.0500 | 128.6100 | 12,805.00      | -0.95        | -12,846.16  | -42.11         | -56.00         | C   |
| PEP              | 2019-05-31,<br>09:31:18 | 100      | 128.2500 | 128.0000 | -12,825.00     | -0.16        | 12,825.16   | 0.00           | -25.00         | O   |
| PEP              | 2019-05-31,<br>09:32:05 | -100     | 128.0376 | 128.0000 | 12,803.76      | -0.65        | -12,825.16  | -22.05         | 3.76           | C   |
| PEP              | 2019-06-03,<br>09:51:25 | 100      | 128.5000 | 128.9800 | -12,850.00     | -0.16        | 12,850.16   | 0.00           | 48.00          | O   |
| PEP              | 2019-06-03,<br>09:57:13 | -100     | 128.2000 | 128.9800 | 12,820.00      | -0.44        | -12,850.16  | -30.60         | -78.00         | C   |
| PEP              | 2019-06-04,<br>10:15:47 | 100      | 128.7900 | 129.0700 | -12,879.00     | -0.16        | 12,879.16   | 0.00           | 28.00          | O   |
| PEP              | 2019-06-04,<br>11:01:53 | -100     | 128.3000 | 129.0700 | 12,830.00      | -0.41        | -12,879.16  | -49.57         | -77.00         | C   |
| PEP              | 2019-07-02,<br>09:30:02 | 100      | 132.2500 | 132.4300 | -13,225.00     | -0.17        | 13,225.17   | 0.00           | 18.00          | O   |
| PEP              | 2019-07-02,<br>13:01:46 | -100     | 131.4127 | 132.4300 | 13,141.27      | -0.65        | -13,225.17  | -84.55         | -101.73        | C   |
| <b>Total PEP</b> |                         | <b>0</b> |          |          | <b>-368.84</b> | <b>-9.69</b> | <b>0.00</b> | <b>-378.53</b> | <b>-173.84</b> |     |
| PFE              | 2019-01-17,<br>09:33:19 | -100     | 42.1650  | 42.4700  | 4,216.50       | -1.07        | -4,369.00   | -153.57        | -30.50         | C   |
| PFE              | 2019-01-17,<br>09:36:15 | -100     | 42.1100  | 42.4700  | 4,211.00       | -1.07        | -4,209.93   | 0.00           | -36.00         | O   |
| PFE              | 2019-02-19,<br>09:49:07 | 100      | 42.7184  | 42.6000  | -4,271.84      | -1.00        | 4,209.93    | -62.91         | -11.84         | C   |
| PFE              | 2019-02-28,<br>18:57:38 | -100     | 43.3500  | 43.3500  | 4,335.00       | -1.07        | -4,333.93   | 0.00           | 0.00           | O   |
| PFE              | 2019-03-01,<br>04:52:42 | 100      | 43.5800  | 43.3600  | -4,358.00      | -1.00        | 4,333.93    | -25.07         | -22.00         | C   |
| PFE              | 2019-03-04,<br>09:21:30 | -100     | 43.3200  | 43.0700  | 4,332.00       | -1.07        | -4,330.93   | 0.00           | 25.00          | O   |
| PFE              | 2019-04-01,<br>10:08:21 | 100      | 42.8000  | 42.8400  | -4,280.00      | -1.00        | 4,330.93    | 49.93          | 4.00           | C   |
| PFE              | 2019-04-11,<br>09:59:02 | -300     | 42.4800  | 42.2700  | 12,744.00      | -1.70        | -12,742.30  | 0.00           | 63.00          | O;P |
| PFE              | 2019-04-11,<br>10:00:05 | 300      | 42.5084  | 42.2700  | -12,752.52     | -1.50        | 12,742.30   | -11.72         | -71.52         | C   |
| PFE              | 2019-04-15,<br>10:05:34 | -300     | 41.8600  | 42.0900  | 12,558.00      | -1.80        | -12,556.20  | 0.00           | -69.00         | O;P |
| PFE              | 2019-05-01,<br>10:14:22 | 300      | 40.6700  | 40.7700  | -12,201.00     | -1.71        | 12,556.20   | 353.49         | 30.00          | C;P |
| PFE              | 2019-05-02,<br>09:39:31 | -300     | 40.7900  | 41.0100  | 12,237.00      | -1.04        | -12,235.96  | 0.00           | -66.00         | O   |

| Trades    |                      |  |      |            |          |            |        |            |         |         |     |
|-----------|----------------------|--|------|------------|----------|------------|--------|------------|---------|---------|-----|
| PFE       | 2019-05-02, 09:39:34 |  | 300  | 40.7900    | 41.0100  | -12,237.00 | -1.10  | 12,235.96  | -2.14   | 66.00   | C;P |
| PFE       | 2019-05-07, 14:11:12 |  | -300 | 40.6100    | 40.8300  | 12,183.00  | -0.77  | -12,182.23 | 0.00    | -66.00  | O   |
| PFE       | 2019-05-08, 09:31:15 |  | 300  | 40.8000    | 40.9500  | -12,240.00 | -0.48  | 12,182.23  | -58.25  | 45.00   | C   |
| PFE       | 2019-05-09, 09:30:22 |  | -300 | 40.3300    | 40.6400  | 12,099.00  | -0.77  | -12,098.23 | 0.00    | -93.00  | O   |
| PFE       | 2019-05-09, 10:52:49 |  | 300  | 40.6700    | 40.6400  | -12,201.00 | -0.75  | 12,098.23  | -103.52 | -9.00   | C   |
| PFE       | 2019-05-10, 10:05:50 |  | -300 | 40.2700    | 40.7200  | 12,081.00  | -1.28  | -12,079.72 | 0.00    | -135.00 | O;P |
| PFE       | 2019-05-14, 09:33:30 |  | 300  | 40.6800    | 40.6600  | -12,204.00 | -2.01  | 12,079.72  | -126.29 | -6.00   | C;P |
| PFE       | 2019-05-31, 15:40:01 |  | -300 | 41.6500    | 41.5200  | 12,495.00  | -0.81  | -12,494.19 | 0.00    | 39.00   | O   |
| PFE       | 2019-06-03, 09:30:27 |  | 300  | 41.6500    | 41.9200  | -12,495.00 | -1.61  | 12,494.19  | -2.42   | 81.00   | C;P |
| PFE       | 2019-06-20, 09:30:49 |  | 300  | 43.8000    | 43.6000  | -13,140.00 | -0.48  | 13,140.48  | 0.00    | -60.00  | O;P |
| PFE       | 2019-06-20, 10:45:34 |  | -300 | 43.6528333 | 43.6000  | 13,095.85  | -1.42  | -13,140.48 | -46.05  | 15.85   | C;P |
| PFE       | 2019-06-20, 13:18:07 |  | 300  | 43.7300    | 43.6000  | -13,119.00 | -0.48  | 13,119.48  | 0.00    | -39.00  | O   |
| PFE       | 2019-06-20, 14:10:42 |  | -300 | 43.6410    | 43.6000  | 13,092.30  | -1.44  | -13,119.48 | -28.62  | 12.30   | C;P |
| PFE       | 2019-06-21, 11:09:16 |  | 300  | 43.7300    | 43.6700  | -13,119.00 | -0.48  | 13,119.48  | 0.00    | -18.00  | O   |
| PFE       | 2019-06-21, 11:46:24 |  | -300 | 43.6500    | 43.6700  | 13,095.00  | -1.12  | -13,119.48 | -25.60  | -6.00   | C;P |
| PFE       | 2019-06-21, 13:41:20 |  | 300  | 43.7600    | 43.6700  | -13,128.00 | -0.60  | 13,128.60  | 0.00    | -27.00  | O   |
| PFE       | 2019-06-21, 15:59:33 |  | -300 | 43.6016    | 43.6700  | 13,080.48  | -1.42  | -13,128.60 | -49.54  | -20.52  | C   |
| PFE       | 2019-06-24, 13:29:32 |  | 300  | 43.8200    | 43.7600  | -13,146.00 | -1.97  | 13,147.97  | 0.00    | -18.00  | O;P |
| PFE       | 2019-06-25, 15:51:05 |  | -300 | 43.8400    | 43.7600  | 13,152.00  | -2.32  | -13,147.97 | 1.71    | 24.00   | C;P |
| PFE       | 2019-07-01, 10:46:24 |  | 300  | 43.8200    | 43.7700  | -13,146.00 | -0.48  | 13,146.48  | 0.00    | -15.00  | O   |
| PFE       | 2019-07-01, 14:31:56 |  | -200 | 43.6400    | 43.7700  | 8,728.00   | -1.54  | -8,764.32  | -37.87  | -26.00  | C   |
| PFE       | 2019-07-01, 14:34:39 |  | -100 | 43.6400    | 43.7700  | 4,364.00   | -0.26  | -4,382.16  | -18.42  | -13.00  | C;P |
| PFE       | 2019-07-02, 09:37:41 |  | 300  | 43.8400    | 44.2200  | -13,152.00 | -0.75  | 13,152.75  | 0.00    | 114.00  | O;P |
| Total PFE |                      |  | 200  |            |          | -9,091.23  | -39.35 | 8,783.75   | -346.83 | -339.23 |     |
| PG        | 2019-03-14, 09:32:56 |  | 100  | 100.7400   | 101.3200 | -10,074.00 | -1.00  | 10,075.00  | 0.00    | 58.00   | O;P |
| PG        | 2019-04-01, 09:29:49 |  | -100 | 103.7900   | 103.6400 | 10,379.00  | -1.15  | -10,075.00 | 302.85  | 15.00   | C   |
| PG        | 2019-04-09, 09:31:03 |  | 100  | 104.4000   | 104.6600 | -10,440.00 | -1.00  | 10,441.00  | 0.00    | 26.00   | O   |
| PG        | 2019-04-23, 09:40:42 |  | -100 | 103.2900   | 103.1600 | 10,329.00  | -1.23  | -10,441.00 | -113.23 | 13.00   | C   |
| PG        | 2019-04-24, 09:33:14 |  | 100  | 103.9900   | 103.6900 | -10,399.00 | -0.25  | 10,399.25  | 0.00    | -30.00  | O   |

## Trades

|                 |                         |            |          |          |                   |               |                  |               |               |     |
|-----------------|-------------------------|------------|----------|----------|-------------------|---------------|------------------|---------------|---------------|-----|
| PG              | 2019-04-24,<br>09:35:31 | -100       | 103.9432 | 103.6900 | 10,394.32         | -0.60         | -10,399.25       | -5.53         | 25.32         | C   |
| PG              | 2019-04-26,<br>09:30:32 | 100        | 103.9600 | 105.8600 | -10,396.00        | -0.16         | 10,396.16        | 0.00          | 190.00        | O   |
| PG              | 2019-04-26,<br>09:33:33 | -100       | 104.2827 | 105.8600 | 10,428.27         | -0.60         | -10,396.16       | 31.51         | -157.73       | C   |
| PG              | 2019-04-29,<br>10:46:33 | 100        | 105.0000 | 104.7800 | -10,500.00        | -0.16         | 10,500.16        | 0.00          | -22.00        | O   |
| PG              | 2019-05-01,<br>09:31:41 | -100       | 105.5746 | 104.9300 | 10,557.46         | -0.60         | -10,500.16       | 56.70         | 64.46         | C   |
| PG              | 2019-05-03,<br>14:19:56 | 100        | 106.0500 | 106.0800 | -10,605.00        | -0.25         | 10,605.25        | 0.00          | 3.00          | O   |
| PG              | 2019-05-03,<br>14:20:08 | -100       | 106.0500 | 106.0800 | 10,605.00         | -0.90         | -10,605.25       | -1.15         | -3.00         | C   |
| PG              | 2019-05-13,<br>12:01:46 | 100        | 106.5100 | 106.1100 | -10,651.00        | -0.25         | 10,651.25        | 0.00          | -40.00        | O;P |
| PG              | 2019-05-13,<br>12:04:15 | -100       | 106.3616 | 106.1100 | 10,636.16         | -0.60         | -10,651.25       | -15.69        | 25.16         | C   |
| PG              | 2019-05-15,<br>11:37:39 | 100        | 106.5200 | 106.7000 | -10,652.00        | -0.17         | 10,652.17        | 0.00          | 18.00         | O   |
| PG              | 2019-05-21,<br>15:59:22 | -100       | 106.3000 | 106.3700 | 10,630.00         | -0.90         | -10,652.17       | -23.07        | -7.00         | C   |
| PG              | 2019-05-22,<br>09:59:34 | 100        | 106.5200 | 106.7200 | -10,652.00        | -0.17         | 10,652.17        | 0.00          | 20.00         | O   |
| PG              | 2019-05-23,<br>11:26:13 | -100       | 106.3727 | 106.7600 | 10,637.27         | -0.60         | -10,652.17       | -15.50        | -38.73        | C   |
| PG              | 2019-05-24,<br>09:34:33 | 100        | 107.1100 | 106.6900 | -10,711.00        | -0.16         | 10,711.16        | 0.00          | -42.00        | O   |
| PG              | 2019-05-28,<br>11:10:47 | -100       | 106.3716 | 104.4600 | 10,637.16         | -0.60         | -10,711.16       | -74.60        | 191.16        | C   |
| PG              | 2019-05-31,<br>15:46:47 | 100        | 102.8700 | 102.9100 | -10,287.00        | -0.16         | 10,287.16        | 0.00          | 4.00          | O   |
| PG              | 2019-06-03,<br>09:52:17 | -100       | 102.7825 | 103.8000 | 10,278.25         | -0.59         | -10,287.16       | -9.51         | -101.75       | C   |
| PG              | 2019-06-04,<br>10:36:08 | 100        | 104.2300 | 104.6800 | -10,423.00        | -0.16         | 10,423.16        | 0.00          | 45.00         | O   |
| PG              | 2019-06-25,<br>15:50:45 | -100       | 111.8000 | 111.7200 | 11,180.00         | -0.88         | -10,423.16       | 755.96        | 8.00          | C   |
| PG              | 2019-07-01,<br>09:30:23 | 100        | 109.7900 | 110.4900 | -10,979.00        | -0.16         | 10,979.16        | 0.00          | 70.00         | O   |
| PG              | 2019-07-01,<br>09:31:15 | -100       | 109.7244 | 110.4900 | 10,972.44         | -0.61         | -10,979.16       | -7.33         | -76.56        | C   |
| PG              | 2019-07-02,<br>10:36:59 | 100        | 110.9400 | 111.4800 | -11,094.00        | -0.25         | 11,094.25        | 0.00          | 54.00         | O   |
| <b>Total PG</b> |                         | <b>100</b> |          |          | <b>-10,198.67</b> | <b>-14.17</b> | <b>11,094.25</b> | <b>881.41</b> | <b>311.33</b> |     |
| PM              | 2019-05-01,<br>09:29:39 | 100        | 87.1500  | 85.7800  | -8,715.00         | -0.17         | 8,715.17         | 0.00          | -137.00       | O   |
| PM              | 2019-05-01,<br>09:32:26 | -100       | 86.5817  | 85.7800  | 8,658.17          | -0.56         | -8,715.17        | -57.56        | 80.17         | C   |
| PM              | 2019-05-17,<br>11:49:36 | 100        | 86.8600  | 86.8100  | -8,686.00         | -0.25         | 8,686.25         | 0.00          | -5.00         | O   |
| PM              | 2019-05-17,<br>11:53:17 | -100       | 86.7503  | 86.8100  | 8,675.03          | -0.56         | -8,686.25        | -11.78        | -5.97         | C   |
| PM              | 2019-05-20,<br>10:06:32 | 100        | 86.8700  | 86.2300  | -8,687.00         | -0.25         | 8,687.25         | 0.00          | -64.00        | O   |
| PM              | 2019-05-20,<br>13:35:55 | -100       | 86.7600  | 86.2300  | 8,676.00          | -0.56         | -8,687.25        | -11.81        | 53.00         | C   |

## Trades

|                  |                      |          |          |          |                |               |             |                |                |     |
|------------------|----------------------|----------|----------|----------|----------------|---------------|-------------|----------------|----------------|-----|
| PM               | 2019-06-03, 09:30:54 | 100      | 77.4800  | 78.6100  | -7,748.00      | -0.16         | 7,748.16    | 0.00           | 113.00         | O   |
| PM               | 2019-06-03, 09:36:55 | -100     | 77.0680  | 78.6100  | 7,706.80       | -0.54         | -7,748.16   | -41.90         | -154.20        | C   |
| PM               | 2019-06-04, 09:52:45 | 100      | 78.6800  | 78.5000  | -7,868.00      | -0.25         | 7,868.25    | 0.00           | -18.00         | O;P |
| PM               | 2019-06-05, 11:15:14 | -100     | 77.1500  | 77.2500  | 7,715.00       | -0.84         | -7,868.25   | -154.09        | -10.00         | C   |
| PM               | 2019-06-06, 13:32:57 | 100      | 77.8100  | 77.2000  | -7,781.00      | -0.16         | 7,781.16    | 0.00           | -61.00         | O;P |
| PM               | 2019-06-06, 15:48:28 | -100     | 77.1211  | 77.2000  | 7,712.11       | -0.54         | -7,781.16   | -69.59         | -7.89          | C   |
| PM               | 2019-06-07, 09:44:12 | 100      | 78.0300  | 78.1700  | -7,803.00      | -0.16         | 7,803.16    | 0.00           | 14.00          | O   |
| PM               | 2019-06-10, 11:48:32 | -100     | 77.1702  | 76.9600  | 7,717.02       | -0.54         | -7,803.16   | -86.68         | 21.02          | C   |
| PM               | 2019-07-01, 09:30:27 | 200      | 78.6200  | 77.9800  | -15,724.00     | -0.50         | 15,724.50   | 0.00           | -128.00        | O   |
| PM               | 2019-07-01, 09:35:42 | -200     | 78.5500  | 77.9800  | 15,710.00      | -0.85         | -15,724.50  | -15.35         | 114.00         | C   |
| PM               | 2019-07-02, 09:58:18 | 200      | 78.6400  | 79.7500  | -15,728.00     | -0.32         | 15,728.32   | 0.00           | 222.00         | O;P |
| PM               | 2019-07-02, 10:01:49 | -200     | 78.5462  | 79.7500  | 15,709.24      | -1.09         | -15,728.32  | -20.17         | -240.76        | C;P |
| <b>Total PM</b>  |                      | <b>0</b> |          |          | <b>-460.63</b> | <b>-8.31</b>  | <b>0.00</b> | <b>-468.94</b> | <b>-214.63</b> |     |
| PTR              | 2019-03-01, 09:39:39 | 100      | 66.3900  | 65.9200  | -6,639.00      | -1.00         | 6,640.00    | 0.00           | -47.00         | O   |
| PTR              | 2019-03-04, 11:45:46 | -100     | 65.7100  | 66.0400  | 6,571.00       | -1.10         | -6,640.00   | -70.10         | -33.00         | C   |
| PTR              | 2019-04-01, 09:32:10 | 200      | 65.6500  | 66.6600  | -13,130.00     | -1.00         | 13,131.00   | 0.00           | 202.00         | O;P |
| PTR              | 2019-04-01, 09:35:23 | -200     | 65.4632  | 66.6600  | 13,092.64      | -1.19         | -13,131.00  | -39.55         | -239.36        | C   |
| PTR              | 2019-04-11, 09:31:54 | 200      | 66.0300  | 65.8000  | -13,206.00     | -1.00         | 13,207.00   | 0.00           | -46.00         | O   |
| PTR              | 2019-04-15, 09:30:02 | -200     | 64.7200  | 64.5300  | 12,944.00      | -1.29         | -13,207.00  | -264.29        | 38.00          | C   |
| PTR              | 2019-04-22, 12:38:23 | 200      | 65.4000  | 65.4100  | -13,080.00     | -1.00         | 13,081.00   | 0.00           | 2.00           | O   |
| PTR              | 2019-04-22, 12:42:06 | -200     | 65.4200  | 65.4100  | 13,084.00      | -1.29         | -13,081.00  | 1.71           | 2.00           | C   |
| PTR              | 2019-04-23, 11:21:12 | 200      | 65.3500  | 65.2500  | -13,070.00     | -1.00         | 13,071.00   | 0.00           | -20.00         | O   |
| PTR              | 2019-04-23, 11:35:37 | -200     | 65.3400  | 65.2500  | 13,068.00      | -1.29         | -13,071.00  | -4.29          | 18.00          | C   |
| PTR              | 2019-05-01, 15:43:43 | 200      | 63.1800  | 62.9400  | -12,636.00     | -0.50         | 12,636.50   | 0.00           | -48.00         | O;P |
| PTR              | 2019-05-03, 09:34:53 | -200     | 62.5400  | 62.4200  | 12,508.00      | -0.60         | -12,636.50  | -129.10        | 24.00          | C;P |
| PTR              | 2019-05-06, 10:15:01 | -200     | 61.4900  | 61.9300  | 12,298.00      | -0.78         | -12,297.22  | 0.00           | -88.00         | O;P |
| PTR              | 2019-05-06, 10:17:21 | 200      | 61.5600  | 61.9300  | -12,312.00     | -0.32         | 12,297.22   | -15.10         | 74.00          | C;P |
| <b>Total PTR</b> |                      | <b>0</b> |          |          | <b>-507.36</b> | <b>-13.38</b> | <b>0.00</b> | <b>-520.74</b> | <b>-161.36</b> |     |
| PYPL             | 2019-04-22, 09:30:52 | 100      | 106.5000 | 106.8100 | -10,650.00     | -1.00         | 10,651.00   | 0.00           | 31.00          | O   |

## Trades

|                   |                         |          |          |          |               |              |             |               |               |     |
|-------------------|-------------------------|----------|----------|----------|---------------|--------------|-------------|---------------|---------------|-----|
| PYPL              | 2019-05-01,<br>09:30:05 | -100     | 113.3101 | 110.9400 | 11,331.01     | -0.62        | -10,651.00  | 679.39        | 237.01        | C   |
| PYPL              | 2019-05-16,<br>10:06:39 | 100      | 113.5200 | 113.8700 | -11,352.00    | -0.16        | 11,352.16   | 0.00          | 35.00         | O   |
| PYPL              | 2019-05-17,<br>09:30:34 | -100     | 112.9208 | 112.8000 | 11,292.08     | -0.62        | -11,352.16  | -60.70        | 12.08         | C   |
| PYPL              | 2019-05-22,<br>10:18:52 | 100      | 113.5100 | 112.4700 | -11,351.00    | -0.16        | 11,351.16   | 0.00          | -104.00       | O   |
| PYPL              | 2019-05-22,<br>10:33:51 | -100     | 113.2600 | 112.4700 | 11,326.00     | -0.41        | -11,351.16  | -25.57        | 79.00         | C   |
| PYPL              | 2019-06-03,<br>09:33:37 | 100      | 110.0900 | 105.5200 | -11,009.00    | -0.16        | 11,009.16   | 0.00          | -457.00       | O   |
| PYPL              | 2019-06-03,<br>09:40:40 | -100     | 109.9600 | 105.5200 | 10,996.00     | -0.61        | -11,009.16  | -13.77        | 444.00        | C   |
| PYPL              | 2019-06-05,<br>09:41:17 | 100      | 110.0400 | 110.7700 | -11,004.00    | -0.16        | 11,004.16   | 0.00          | 73.00         | O   |
| PYPL              | 2019-06-05,<br>09:45:41 | -100     | 109.9635 | 110.7700 | 10,996.35     | -0.61        | -11,004.16  | -8.42         | -80.65        | C   |
| PYPL              | 2019-06-06,<br>13:32:04 | 100      | 110.9873 | 111.7800 | -11,098.73    | -0.37        | 11,099.10   | 0.00          | 79.27         | O   |
| PYPL              | 2019-06-25,<br>15:50:45 | -100     | 113.9125 | 114.4400 | 11,391.25     | -0.62        | -11,099.10  | 291.53        | -52.75        | C   |
| PYPL              | 2019-07-01,<br>09:30:37 | 100      | 116.1100 | 115.0300 | -11,611.00    | -0.16        | 11,611.16   | 0.00          | -108.00       | O   |
| PYPL              | 2019-07-01,<br>14:53:55 | -100     | 114.6816 | 115.0300 | 11,468.16     | -0.62        | -11,611.16  | -143.62       | -34.84        | C   |
| PYPL              | 2019-07-02,<br>09:31:25 | 100      | 114.7100 | 116.1700 | -11,471.00    | -0.16        | 11,471.16   | 0.00          | 146.00        | O;P |
| PYPL              | 2019-07-02,<br>09:31:40 | -100     | 114.7106 | 116.1700 | 11,471.06     | -0.62        | -11,471.16  | -0.72         | -145.94       | C   |
| <b>Total PYPL</b> |                         | <b>0</b> |          |          | <b>725.18</b> | <b>-7.05</b> | <b>0.00</b> | <b>718.13</b> | <b>153.18</b> |     |
| QCOM              | 2019-04-11,<br>09:30:01 | -200     | 56.5200  | 55.9000  | 11,304.00     | -1.17        | -11,302.83  | 0.00          | 124.00        | O   |
| QCOM              | 2019-04-12,<br>13:12:50 | 200      | 57.0399  | 56.9500  | -11,407.98    | -1.00        | 11,302.83   | -106.15       | -17.98        | C   |
| QCOM              | 2019-04-15,<br>10:51:32 | 200      | 57.0800  | 57.1800  | -11,416.00    | -1.00        | 11,417.00   | 0.00          | 20.00         | O   |
| QCOM              | 2019-04-15,<br>10:51:33 | -200     | 57.0927  | 57.1800  | 11,418.54     | -1.26        | -11,417.00  | 0.28          | -17.46        | C   |
| QCOM              | 2019-04-16,<br>09:29:41 | 200      | 57.5000  | 70.4500  | -11,500.00    | -1.00        | 11,501.00   | 0.00          | 2,590.00      | O   |
| QCOM              | 2019-05-01,<br>09:31:45 | -200     | 87.0960  | 86.3700  | 17,419.20     | -1.12        | -11,501.00  | 5,917.08      | 145.20        | C   |
| QCOM              | 2019-05-02,<br>09:30:06 | 200      | 87.08945 | 87.1400  | -17,417.89    | -1.04        | 17,418.93   | 0.00          | 10.11         | O;P |
| QCOM              | 2019-05-02,<br>09:31:14 | -200     | 87.24575 | 87.1400  | 17,449.15     | -1.13        | -17,418.93  | 29.09         | 21.15         | C;P |
| QCOM              | 2019-05-02,<br>09:33:18 | 200      | 87.2400  | 87.1400  | -17,448.00    | -0.32        | 17,448.32   | 0.00          | -20.00        | O;P |
| QCOM              | 2019-05-02,<br>12:02:58 | -200     | 87.0600  | 87.1400  | 17,412.00     | -1.42        | -17,448.32  | -37.75        | -16.00        | C;P |
| QCOM              | 2019-05-03,<br>09:30:41 | 200      | 87.4900  | 89.2900  | -17,498.00    | -0.32        | 17,498.32   | 0.00          | 360.00        | O   |
| QCOM              | 2019-05-06,<br>09:31:14 | -200     | 86.3990  | 88.2500  | 17,279.80     | -1.12        | -17,498.32  | -219.64       | -370.20       | C   |
| QCOM              | 2019-05-06,<br>10:38:51 | 200      | 87.6400  | 88.2500  | -17,528.00    | -0.32        | 17,528.32   | 0.00          | 122.00        | O;P |

## Trades

|                   |                         |          |          |          |                 |               |             |                 |                 |     |
|-------------------|-------------------------|----------|----------|----------|-----------------|---------------|-------------|-----------------|-----------------|-----|
| QCOM              | 2019-05-06,<br>12:10:35 | -200     | 87.0515  | 88.2500  | 17,410.30       | -1.12         | -17,528.32  | -119.15         | -239.70         | C   |
| QCOM              | 2019-05-07,<br>09:30:01 | 100      | 87.2000  | 85.2000  | -8,720.00       | -0.16         | 8,720.16    | 0.00            | -200.00         | O   |
| QCOM              | 2019-05-08,<br>09:30:25 | -100     | 84.9600  | 84.5400  | 8,496.00        | -0.35         | -8,720.16   | -224.51         | 42.00           | C   |
| QCOM              | 2019-05-31,<br>15:47:03 | 200      | 67.0500  | 66.8200  | -13,410.00      | -0.32         | 13,410.32   | 0.00            | -46.00          | O;P |
| QCOM              | 2019-05-31,<br>15:51:19 | -200     | 66.9300  | 66.8200  | 13,386.00       | -1.45         | -13,410.32  | -25.77          | 22.00           | C;P |
| QCOM              | 2019-06-03,<br>09:30:03 | 200      | 67.1900  | 66.6600  | -13,438.00      | -0.32         | 13,438.32   | 0.00            | -106.00         | O;P |
| QCOM              | 2019-06-03,<br>09:36:29 | -200     | 66.99375 | 66.6600  | 13,398.75       | -1.04         | -13,438.32  | -40.61          | 66.75           | C;P |
| QCOM              | 2019-06-04,<br>09:30:22 | 200      | 67.4200  | 68.4500  | -13,484.00      | -0.32         | 13,484.32   | 0.00            | 206.00          | O;P |
| QCOM              | 2019-06-04,<br>09:36:27 | -200     | 66.94205 | 68.4500  | 13,388.41       | -1.04         | -13,484.32  | -96.95          | -301.59         | C;P |
| QCOM              | 2019-06-04,<br>10:15:38 | 200      | 67.3500  | 68.4500  | -13,470.00      | -0.32         | 13,470.32   | 0.00            | 220.00          | O   |
| QCOM              | 2019-06-05,<br>09:41:46 | -200     | 67.1363  | 67.0200  | 13,427.26       | -1.04         | -13,470.32  | -44.10          | 23.26           | C;P |
| QCOM              | 2019-06-06,<br>09:37:24 | 200      | 67.3100  | 67.5900  | -13,462.00      | -0.32         | 13,462.32   | 0.00            | 56.00           | O   |
| QCOM              | 2019-06-06,<br>09:43:36 | -200     | 67.0525  | 67.5900  | 13,410.50       | -1.04         | -13,462.32  | -52.86          | -107.50         | C;P |
| QCOM              | 2019-06-07,<br>09:42:59 | 200      | 67.9200  | 68.6900  | -13,584.00      | -0.32         | 13,584.32   | 0.00            | 154.00          | O   |
| QCOM              | 2019-06-25,<br>15:50:59 | -200     | 72.6700  | 72.5500  | 14,534.00       | -1.46         | -13,584.32  | 948.22          | 24.00           | C;P |
| QCOM              | 2019-07-02,<br>09:30:20 | 200      | 77.4300  | 77.0100  | -15,486.00      | -0.32         | 15,486.32   | 0.00            | -84.00          | O   |
| QCOM              | 2019-07-03,<br>12:07:59 | -200     | 76.1908  | 76.6300  | 15,238.16       | -1.11         | -15,486.32  | -249.27         | -87.84          | C;P |
| <b>Total QCOM</b> |                         | <b>0</b> |          |          | <b>5,702.20</b> | <b>-24.29</b> | <b>0.00</b> | <b>5,677.91</b> | <b>2,592.20</b> |     |
| QQQ               | 2019-01-17,<br>09:35:01 | 100      | 161.8600 | 163.6300 | -16,186.00      | -1.00         | 16,187.00   | 0.00            | 177.00          | O;P |
| QQQ               | 2019-02-08,<br>09:45:00 | -100     | 167.1300 | 168.5600 | 16,713.00       | -1.23         | -16,187.00  | 524.77          | -143.00         | C   |
| QQQ               | 2019-02-28,<br>11:47:21 | 100      | 173.5689 | 173.1900 | -17,356.89      | -1.00         | 17,357.89   | 0.00            | -37.89          | O   |
| QQQ               | 2019-02-28,<br>18:55:08 | -100     | 173.2000 | 173.1900 | 17,320.00       | -1.24         | -17,357.89  | -39.13          | 1.00            | C   |
| QQQ               | 2019-03-01,<br>09:26:24 | 100      | 174.5100 | 174.3900 | -17,451.00      | -1.00         | 17,452.00   | 0.00            | -12.00          | O   |
| QQQ               | 2019-03-04,<br>11:44:37 | -100     | 173.3515 | 174.4200 | 17,335.15       | -1.24         | -17,452.00  | -118.09         | -106.85         | C   |
| QQQ               | 2019-03-04,<br>19:40:30 | 100      | 174.2100 | 174.4200 | -17,421.00      | -1.00         | 17,422.00   | 0.00            | 21.00           | O   |
| QQQ               | 2019-03-06,<br>13:05:51 | -100     | 173.3700 | 173.5600 | 17,337.00       | -1.24         | -17,422.00  | -86.24          | -19.00          | C   |
| QQQ               | 2019-03-11,<br>10:45:27 | 100      | 173.4100 | 174.7300 | -17,341.00      | -1.00         | 17,342.00   | 0.00            | 132.00          | O   |
| QQQ               | 2019-05-01,<br>09:29:55 | -100     | 190.7800 | 188.9300 | 19,078.00       | -1.08         | -17,342.00  | 1,734.92        | 185.00          | C   |
| QQQ               | 2019-06-04,<br>13:05:30 | 100      | 174.2700 | 174.9100 | -17,427.00      | -0.16         | 17,427.16   | 0.00            | 64.00           | O   |

## Trades

|                  |                         |            |            |          |                   |               |                  |                 |                |       |
|------------------|-------------------------|------------|------------|----------|-------------------|---------------|------------------|-----------------|----------------|-------|
| QQQ              | 2019-06-04,<br>13:15:38 | -100       | 174.0100   | 174.9100 | 17,401.00         | -0.50         | -17,427.16       | -26.66          | -90.00         | C     |
| QQQ              | 2019-06-05,<br>09:30:03 | 100        | 176.5400   | 176.2100 | -17,654.00        | -0.16         | 17,654.16        | 0.00            | -33.00         | O     |
| QQQ              | 2019-06-25,<br>15:50:44 | -100       | 184.7400   | 184.9300 | 18,474.00         | -0.76         | -17,654.16       | 819.08          | -19.00         | C     |
| QQQ              | 2019-07-01,<br>09:31:15 | 100        | 190.2700   | 189.2600 | -19,027.00        | -0.16         | 19,027.16        | 0.00            | -101.00        | O     |
| <b>Total QQQ</b> |                         | <b>100</b> |            |          | <b>-16,205.74</b> | <b>-12.77</b> | <b>19,027.16</b> | <b>2,808.65</b> | <b>18.26</b>   |       |
| QTT              | 2019-02-28,<br>13:00:06 | 100        | 13.7100    | 13.6000  | -1,371.00         | -1.00         | 1,372.00         | 0.00            | -11.00         | O     |
| QTT              | 2019-03-01,<br>09:42:25 | -100       | 13.5656    | 13.9500  | 1,356.56          | -1.03         | -1,372.00        | -16.47          | -38.44         | C     |
| QTT              | 2019-03-04,<br>07:40:05 | 100        | 14.2300    | 13.7000  | -1,423.00         | -1.00         | 1,424.00         | 0.00            | -53.00         | O     |
| QTT              | 2019-03-05,<br>19:59:45 | -100       | 13.5000    | 15.6500  | 1,350.00          | -1.03         | -1,424.00        | -75.03          | -215.00        | C     |
| QTT              | 2019-03-06,<br>09:30:01 | 100        | 13.7589    | 12.5300  | -1,375.89         | -1.00         | 1,376.89         | 0.00            | -122.89        | O     |
| QTT              | 2019-03-07,<br>09:30:01 | -100       | 12.4050    | 12.4900  | 1,240.50          | -1.03         | -1,376.89        | -137.42         | -8.50          | C     |
| QTT              | 2019-03-14,<br>09:34:57 | 800        | 13.7300    | 13.7300  | -10,984.00        | -4.00         | 10,988.00        | 0.00            | 0.00           | O;P   |
| QTT              | 2019-03-14,<br>09:35:31 | -800       | 13.5570125 | 13.7300  | 10,845.61         | -4.24         | -10,988.00       | -146.63         | -138.39        | C;P   |
| QTT              | 2019-03-15,<br>09:30:50 | 800        | 13.903125  | 15.6300  | -11,122.50        | -4.00         | 11,126.50        | 0.00            | 1,381.50       | O;P   |
| QTT              | 2019-03-15,<br>09:35:48 | -800       | 13.5901    | 15.6300  | 10,872.08         | -4.24         | -11,126.50       | -258.66         | -1,631.92      | C     |
| QTT              | 2019-04-01,<br>09:31:21 | 900        | 11.6500    | 10.5600  | -10,485.00        | -4.50         | 10,489.50        | 0.00            | -981.00        | O     |
| QTT              | 2019-04-01,<br>09:31:29 | -600       | 11.55335   | 10.5600  | 6,932.01          | -3.16         | -6,993.00        | -64.15          | 596.01         | C;P   |
| QTT              | 2019-04-01,<br>09:31:30 | -900       | 11.5022444 | 10.5600  | 10,352.02         | -4.74         | -8,095.14        | -47.31          | 848.02         | C;O;P |
| QTT              | 2019-04-01,<br>10:08:10 | 600        | 10.6953167 | 10.5600  | -6,417.19         | -3.00         | 6,898.09         | 477.90          | -81.19         | C;P   |
| QTT              | 2019-05-01,<br>09:53:55 | 1,400      | 6.8000     | 6.7900   | -9,520.00         | -2.24         | 9,522.24         | 0.00            | -14.00         | O     |
| QTT              | 2019-05-01,<br>09:57:07 | -1,400     | 6.7649     | 6.7900   | 9,470.86          | -5.55         | -9,522.24        | -56.93          | -35.14         | C     |
| QTT              | 2019-05-06,<br>09:34:00 | 1,400      | 6.9400     | 6.8100   | -9,716.00         | -2.24         | 9,718.24         | 0.00            | -182.00        | O;P   |
| QTT              | 2019-05-06,<br>12:08:59 | -100       | 6.7800     | 6.8100   | 678.00            | -0.19         | -694.41          | -16.60          | -3.00          | C     |
| QTT              | 2019-05-06,<br>12:15:48 | -1,300     | 6.7600     | 6.8100   | 8,788.00          | -4.91         | -9,023.83        | -240.74         | -65.00         | C;P   |
| <b>Total QTT</b> |                         | <b>0</b>   |            |          | <b>-528.94</b>    | <b>-53.09</b> | <b>2,299.45</b>  | <b>-582.03</b>  | <b>-754.94</b> |       |
| RY               | 2019-02-28,<br>14:29:45 | 100        | 78.2150    | 78.1800  | -7,821.50         | -1.00         | 7,822.50         | 0.00            | -3.50          | O     |
| RY               | 2019-03-01,<br>09:26:00 | -100       | 77.7300    | 77.8100  | 7,773.00          | -1.11         | -7,822.50        | -50.61          | -8.00          | C     |
| RY               | 2019-04-01,<br>09:32:41 | 100        | 75.9700    | 76.9500  | -7,597.00         | -1.00         | 7,598.00         | 0.00            | 98.00          | O     |
| RY               | 2019-05-01,<br>09:30:25 | -100       | 79.6600    | 79.1900  | 7,966.00          | -0.58         | -7,598.00        | 367.42          | 47.00          | C     |



## Trades

|                  |                         |            |            |         |                   |               |                  |               |               |     |
|------------------|-------------------------|------------|------------|---------|-------------------|---------------|------------------|---------------|---------------|-----|
| RY               | 2019-06-03,<br>09:30:52 | 200        | 75.3500    | 75.6800 | -15,070.00        | -0.32         | 15,070.32        | 0.00          | 66.00         | O;P |
| RY               | 2019-06-03,<br>09:36:51 | -200       | 75.1900    | 75.6800 | 15,038.00         | -0.66         | -15,070.32       | -32.98        | -98.00        | C   |
| RY               | 2019-06-04,<br>09:32:18 | 200        | 76.1800    | 76.9100 | -15,236.00        | -0.32         | 15,236.32        | 0.00          | 146.00        | O   |
| RY               | 2019-06-25,<br>15:50:44 | -200       | 79.17135   | 79.1200 | 15,834.27         | -1.36         | -15,236.32       | 596.59        | 10.27         | C;P |
| RY               | 2019-07-01,<br>10:31:09 | 200        | 79.7700    | 79.6700 | -15,954.00        | -0.50         | 15,954.50        | 0.00          | -20.00        | O;P |
| <b>Total RY</b>  |                         | <b>200</b> |            |         | <b>-15,067.23</b> | <b>-6.84</b>  | <b>15,954.50</b> | <b>880.43</b> | <b>237.77</b> |     |
| SLB              | 2019-04-22,<br>09:29:55 | 300        | 45.6200    | 45.8500 | -13,686.00        | -1.50         | 13,687.50        | 0.00          | 69.00         | O   |
| SLB              | 2019-04-25,<br>09:32:23 | -300       | 43.7081    | 43.3000 | 13,112.43         | -1.42         | -13,687.50       | -576.49       | 122.43        | C   |
| SLB              | 2019-04-25,<br>09:38:27 | 300        | 44.0400    | 43.3000 | -13,212.00        | -0.75         | 13,212.75        | 0.00          | -222.00       | O;P |
| SLB              | 2019-04-25,<br>10:33:52 | -300       | 43.6400    | 43.3000 | 13,092.00         | -2.32         | -13,212.75       | -123.07       | 102.00        | C;P |
| SLB              | 2019-04-29,<br>09:35:00 | 300        | 43.6500    | 43.4300 | -13,095.00        | -0.75         | 13,095.75        | 0.00          | -66.00        | O   |
| SLB              | 2019-04-29,<br>09:35:01 | -300       | 43.6200667 | 43.4300 | 13,086.02         | -1.41         | -13,095.75       | -11.14        | 57.02         | C;P |
| SLB              | 2019-04-30,<br>09:30:02 | 300        | 43.8200    | 42.6800 | -13,146.00        | -0.75         | 13,146.75        | 0.00          | -342.00       | O;P |
| SLB              | 2019-04-30,<br>09:31:40 | -300       | 43.6122    | 42.6800 | 13,083.66         | -2.02         | -13,146.75       | -65.11        | 279.66        | C;P |
| SLB              | 2019-05-01,<br>09:35:04 | 300        | 42.7300    | 41.3700 | -12,819.00        | -0.75         | 12,819.75        | 0.00          | -408.00       | O   |
| SLB              | 2019-05-01,<br>09:35:06 | -300       | 42.6910667 | 41.3700 | 12,807.32         | -1.71         | -12,819.75       | -14.14        | 396.32        | C;P |
| SLB              | 2019-05-29,<br>10:29:40 | -300       | 36.0200    | 36.1800 | 10,806.00         | -0.74         | -10,805.26       | 0.00          | -48.00        | O   |
| SLB              | 2019-05-29,<br>15:34:49 | 300        | 36.1700    | 36.1800 | -10,851.00        | -2.01         | 10,805.26        | -47.75        | 3.00          | C;P |
| SLB              | 2019-05-30,<br>10:16:00 | -300       | 36.1005    | 35.1800 | 10,830.15         | -1.27         | -10,828.88       | 0.00          | 276.15        | O;P |
| SLB              | 2019-05-31,<br>15:25:48 | 300        | 34.6066667 | 34.6900 | -10,382.00        | -1.06         | 10,828.88        | 445.82        | 25.00         | C;P |
| SLB              | 2019-06-06,<br>11:59:46 | -300       | 34.6900    | 35.0500 | 10,407.00         | -0.73         | -10,406.27       | 0.00          | -108.00       | O   |
| SLB              | 2019-06-06,<br>12:08:50 | 300        | 34.7400    | 35.0500 | -10,422.00        | -0.58         | 10,406.27        | -16.31        | 93.00         | C;P |
| SLB              | 2019-06-11,<br>09:39:06 | 400        | 36.2100    | 36.6900 | -14,484.00        | -0.64         | 14,484.64        | 0.00          | 192.00        | O;P |
| SLB              | 2019-06-11,<br>09:39:18 | -400       | 36.160675  | 36.6900 | 14,464.27         | -2.73         | -14,484.64       | -23.10        | -211.73       | C;P |
| SLB              | 2019-06-13,<br>09:31:11 | 400        | 36.2200    | 36.7900 | -14,488.00        | -0.64         | 14,488.64        | 0.00          | 228.00        | O;P |
| SLB              | 2019-06-13,<br>09:31:33 | -400       | 36.1700    | 36.7900 | 14,468.00         | -1.83         | -14,488.64       | -22.47        | -248.00       | C   |
| SLB              | 2019-06-17,<br>13:49:10 | 400        | 36.6900    | 36.6600 | -14,676.00        | -0.64         | 14,676.64        | 0.00          | -12.00        | O;P |
| SLB              | 2019-06-25,<br>15:50:53 | -400       | 38.5200    | 38.5800 | 15,408.00         | -2.26         | -14,676.64       | 729.10        | -24.00        | C;P |
| <b>Total SLB</b> |                         | <b>0</b>   |            |         | <b>303.85</b>     | <b>-28.51</b> | <b>0.00</b>      | <b>275.34</b> | <b>153.85</b> |     |

## Trades

|                  |                      |          |            |          |                  |               |             |                  |                |     |
|------------------|----------------------|----------|------------|----------|------------------|---------------|-------------|------------------|----------------|-----|
| SPY              | 2019-02-06, 15:45:10 | 100      | 272.6599   | 272.7400 | -27,265.99       | -1.00         | 27,266.99   | 0.00             | 8.01           | O   |
| SPY              | 2019-02-08, 09:45:10 | -100     | 269.1500   | 270.4700 | 26,915.00        | -1.36         | -27,266.99  | -353.35          | -132.00        | C   |
| SPY              | 2019-02-28, 11:42:02 | 100      | 279.2500   | 278.6800 | -27,925.00       | -1.00         | 27,926.00   | 0.00             | -57.00         | O   |
| SPY              | 2019-02-28, 18:30:33 | -100     | 278.4800   | 278.6800 | 27,848.00        | -1.37         | -27,926.00  | -79.37           | -20.00         | C   |
| SPY              | 2019-02-28, 19:03:22 | 100      | 278.7600   | 278.6800 | -27,876.00       | -1.00         | 27,877.00   | 0.00             | -8.00          | O   |
| SPY              | 2019-03-04, 11:43:28 | -100     | 278.7400   | 279.4000 | 27,874.00        | -1.37         | -27,877.00  | -4.37            | -66.00         | C   |
| SPY              | 2019-03-05, 04:00:07 | 100      | 279.8984   | 279.0200 | -27,989.84       | -1.00         | 27,990.84   | 0.00             | -87.84         | O;P |
| SPY              | 2019-03-05, 19:44:13 | -100     | 278.5500   | 279.0200 | 27,855.00        | -1.37         | -27,990.84  | -137.21          | -47.00         | C   |
| SPY              | 2019-03-06, 09:29:03 | 100      | 279.1700   | 277.3300 | -27,917.00       | -1.00         | 27,918.00   | 0.00             | -184.00        | O   |
| SPY              | 2019-03-07, 09:29:01 | -100     | 276.8700   | 275.0100 | 27,687.00        | -1.37         | -27,918.00  | -232.37          | 186.00         | C   |
| SPY              | 2019-03-12, 09:29:01 | 100      | 278.9700   | 279.4900 | -27,897.00       | -1.00         | 27,898.00   | 0.00             | 52.00          | O   |
| SPY              | 2019-03-25, 09:30:02 | -100     | 278.7300   | 279.0400 | 27,873.00        | -1.37         | -27,898.00  | -26.37           | -31.00         | C   |
| SPY              | 2019-03-26, 09:29:49 | 100      | 280.9500   | 281.1200 | -28,095.00       | -1.00         | 28,096.00   | 0.00             | 17.00          | O   |
| SPY              | 2019-03-27, 11:34:07 | -100     | 278.8125   | 279.6500 | 27,881.25        | -1.37         | -28,096.00  | -216.12          | -83.75         | C   |
| <b>Total SPY</b> |                      | <b>0</b> |            |          | <b>-1,032.58</b> | <b>-16.60</b> | <b>0.00</b> | <b>-1,049.18</b> | <b>-453.58</b> |     |
| T                | 2019-03-26, 09:36:03 | 300      | 31.1600    | 31.3900  | -9,348.00        | -1.50         | 9,349.50    | 0.00             | 69.00          | O;P |
| T                | 2019-03-26, 12:58:36 | -300     | 31.1416667 | 31.3900  | 9,342.50         | -1.66         | -9,349.50   | -8.66            | -74.50         | C;P |
| T                | 2019-03-27, 09:30:02 | 300      | 31.2600    | 31.4000  | -9,378.00        | -1.50         | 9,379.50    | 0.00             | 42.00          | O;P |
| T                | 2019-03-28, 10:41:17 | -300     | 31.1400    | 31.0100  | 9,342.00         | -1.66         | -9,379.50   | -39.16           | 39.00          | C;P |
| T                | 2019-03-29, 09:29:04 | 300      | 31.1900    | 31.3600  | -9,357.00        | -1.50         | 9,358.50    | 0.00             | 51.00          | O   |
| T                | 2019-03-29, 09:29:06 | -300     | 31.1300    | 31.3600  | 9,339.00         | -1.66         | -9,358.50   | -21.16           | -69.00         | C   |
| T                | 2019-04-01, 09:30:05 | 300      | 31.5900    | 31.9500  | -9,477.00        | -1.50         | 9,478.50    | 0.00             | 108.00         | O   |
| T                | 2019-04-24, 09:33:11 | -300     | 31.2813333 | 30.7900  | 9,384.40         | -1.94         | -9,478.50   | -96.04           | 147.40         | C;P |
| T                | 2019-05-01, 09:30:46 | 400      | 31.0200    | 30.7900  | -12,408.00       | -0.64         | 12,408.64   | 0.00             | -92.00         | O   |
| T                | 2019-05-01, 09:30:50 | -400     | 30.9800    | 30.7900  | 12,392.00        | -1.79         | -12,408.64  | -18.43           | 76.00          | C   |
| T                | 2019-05-14, 12:16:11 | 400      | 31.1100    | 31.0900  | -12,444.00       | -0.80         | 12,444.80   | 0.00             | -8.00          | O;P |
| T                | 2019-05-15, 09:31:17 | -400     | 31.0100    | 31.2500  | 12,404.00        | -2.99         | -12,444.80  | -43.79           | -96.00         | C;P |
| T                | 2019-05-17, 09:30:16 | 400      | 31.3800    | 31.8000  | -12,552.00       | -0.64         | 12,552.64   | 0.00             | 168.00         | O;P |
| T                | 2019-05-31, 09:30:37 | -400     | 31.0300    | 30.5800  | 12,412.00        | -2.99         | -12,552.64  | -143.63          | 180.00         | C   |

## Trades

|                   |                         |            |          |          |                   |               |                  |                |                |     |
|-------------------|-------------------------|------------|----------|----------|-------------------|---------------|------------------|----------------|----------------|-----|
| T                 | 2019-05-31,<br>15:30:02 | 400        | 30.4900  | 30.5800  | -12,196.00        | -0.68         | 12,196.68        | 0.00           | 36.00          | O   |
| T                 | 2019-06-03,<br>09:32:20 | -400       | 30.6851  | 31.0900  | 12,274.04         | -1.78         | -12,196.68       | 75.58          | -161.96        | C   |
| T                 | 2019-06-04,<br>09:32:40 | 400        | 31.2898  | 31.4800  | -12,515.92        | -1.48         | 12,517.40        | 0.00           | 76.08          | O   |
| T                 | 2019-06-25,<br>15:51:03 | -400       | 32.4900  | 32.5500  | 12,996.00         | -1.22         | -12,517.40       | 477.38         | -24.00         | C;P |
| T                 | 2019-07-01,<br>09:30:59 | 400        | 33.7700  | 33.4300  | -13,508.00        | -0.68         | 13,508.68        | 0.00           | -136.00        | O;P |
| T                 | 2019-07-01,<br>10:02:56 | -400       | 33.53215 | 33.4300  | 13,412.86         | -1.81         | -13,508.68       | -97.63         | 40.86          | C;P |
| T                 | 2019-07-02,<br>10:25:45 | 400        | 33.6100  | 33.8400  | -13,444.00        | -1.00         | 13,445.00        | 0.00           | 92.00          | O   |
| <b>Total T</b>    |                         | <b>400</b> |          |          | <b>-13,329.12</b> | <b>-31.40</b> | <b>13,445.00</b> | <b>84.48</b>   | <b>463.88</b>  |     |
| TLRY              | 2019-02-20,<br>09:49:03 | 100        | 80.3600  | 81.1000  | -8,036.00         | -1.00         | 8,037.00         | 0.00           | 74.00          | O   |
| TLRY              | 2019-02-22,<br>15:30:01 | -100       | 78.3270  | 79.0700  | 7,832.70          | -1.11         | -8,037.00        | -205.41        | -74.30         | C   |
| TLRY              | 2019-02-26,<br>13:13:57 | 100        | 80.3700  | 78.8000  | -8,037.00         | -1.00         | 8,038.00         | 0.00           | -157.00        | O   |
| TLRY              | 2019-02-26,<br>15:31:07 | -100       | 79.2500  | 78.8000  | 7,925.00          | -1.11         | -8,038.00        | -114.11        | 45.00          | C   |
| TLRY              | 2019-02-27,<br>08:31:20 | 100        | 80.4750  | 79.3000  | -8,047.50         | -1.00         | 8,048.50         | 0.00           | -117.50        | O;P |
| TLRY              | 2019-02-27,<br>15:30:03 | -100       | 79.3990  | 79.3000  | 7,939.90          | -1.12         | -8,048.50        | -109.72        | 9.90           | C;P |
| TLRY              | 2019-02-28,<br>11:00:19 | 100        | 81.3800  | 81.3100  | -8,138.00         | -1.00         | 8,139.00         | 0.00           | -7.00          | O   |
| TLRY              | 2019-02-28,<br>11:00:19 | 100        | 81.3800  | 81.3100  | -8,138.00         | -1.00         | 8,139.00         | 0.00           | -7.00          | O   |
| TLRY              | 2019-02-28,<br>18:37:18 | -200       | 81.2000  | 81.3100  | 16,240.00         | -1.23         | -16,278.00       | -39.23         | -22.00         | C;P |
| TLRY              | 2019-03-01,<br>04:47:40 | 100        | 83.4276  | 79.5100  | -8,342.76         | -1.00         | 8,343.76         | 0.00           | -391.76        | O;P |
| TLRY              | 2019-03-04,<br>07:13:56 | -100       | 79.4480  | 77.2500  | 7,944.80          | -1.12         | -8,343.76        | -400.08        | 219.80         | C;P |
| <b>Total TLRY</b> |                         | <b>0</b>   |          |          | <b>-856.86</b>    | <b>-11.69</b> | <b>0.00</b>      | <b>-868.55</b> | <b>-427.86</b> |     |
| TM                | 2019-02-26,<br>10:04:02 | 100        | 123.2000 | 123.2300 | -12,320.00        | -1.00         | 12,321.00        | 0.00           | 3.00           | O   |
| TM                | 2019-02-27,<br>15:30:47 | -100       | 122.4012 | 122.3200 | 12,240.12         | -1.17         | -12,321.00       | -82.05         | 8.12           | C   |
| <b>Total TM</b>   |                         | <b>0</b>   |          |          | <b>-79.88</b>     | <b>-2.17</b>  | <b>0.00</b>      | <b>-82.05</b>  | <b>11.12</b>   |     |
| TOT               | 2019-02-28,<br>11:02:43 | 100        | 56.7375  | 56.9100  | -5,673.75         | -1.00         | 5,674.75         | 0.00           | 17.25          | O   |
| TOT               | 2019-03-01,<br>08:33:21 | -100       | 56.6600  | 57.2100  | 5,666.00          | -1.09         | -5,674.75        | -9.84          | -55.00         | C   |
| TOT               | 2019-03-04,<br>09:30:02 | 100        | 57.2000  | 57.5400  | -5,720.00         | -1.00         | 5,721.00         | 0.00           | 34.00          | O   |
| TOT               | 2019-03-08,<br>09:30:06 | -100       | 56.5900  | 56.6400  | 5,659.00          | -1.09         | -5,721.00        | -63.09         | -5.00          | C   |
| TOT               | 2019-03-11,<br>10:47:16 | 100        | 57.1100  | 56.9300  | -5,711.00         | -1.00         | 5,712.00         | 0.00           | -18.00         | O   |
| TOT               | 2019-03-11,<br>11:52:53 | -100       | 56.9016  | 56.9300  | 5,690.16          | -1.09         | -5,712.00        | -22.93         | -2.84          | C   |
| TOT               | 2019-03-12,<br>09:51:22 | 100        | 57.0500  | 56.8900  | -5,705.00         | -1.00         | 5,706.00         | 0.00           | -16.00         | O   |

| Trades    |                      |      |            |         |            |        |            |         |         |     |
|-----------|----------------------|------|------------|---------|------------|--------|------------|---------|---------|-----|
| TOT       | 2019-03-12, 15:55:49 | -100 | 56.9025    | 56.8900 | 5,690.25   | -1.09  | -5,706.00  | -16.84  | 1.25    | C   |
| TOT       | 2019-03-13, 09:30:02 | 100  | 57.5300    | 58.3500 | -5,753.00  | -1.00  | 5,754.00   | 0.00    | 82.00   | O   |
| TOT       | 2019-03-22, 09:30:01 | -100 | 56.5400    | 56.0100 | 5,654.00   | -1.09  | -5,754.00  | -101.09 | 53.00   | C   |
| TOT       | 2019-04-01, 09:30:49 | 200  | 56.1000    | 56.2200 | -11,220.00 | -1.00  | 11,221.00  | 0.00    | 24.00   | O   |
| TOT       | 2019-04-24, 13:59:13 | -200 | 55.6500    | 55.6000 | 11,130.00  | -0.75  | -11,221.00 | -91.75  | 10.00   | C   |
| TOT       | 2019-04-25, 10:55:33 | 200  | 55.6599    | 55.8900 | -11,131.98 | -0.74  | 11,132.72  | 0.00    | 46.02   | O   |
| TOT       | 2019-04-25, 10:57:25 | -200 | 55.6501    | 55.8900 | 11,130.02  | -0.99  | -11,132.72 | -3.70   | -47.98  | C   |
| TOT       | 2019-04-30, 13:33:24 | 200  | 55.6500    | 55.6700 | -11,130.00 | -0.50  | 11,130.50  | 0.00    | 4.00    | O   |
| TOT       | 2019-04-30, 13:36:21 | -200 | 55.6000    | 55.6700 | 11,120.00  | -0.75  | -11,130.50 | -11.26  | -14.00  | C   |
| TOT       | 2019-05-01, 09:39:07 | 200  | 55.6000    | 54.9900 | -11,120.00 | -0.50  | 11,120.50  | 0.00    | -122.00 | O   |
| TOT       | 2019-05-01, 09:43:09 | -200 | 55.6200    | 54.9900 | 11,124.00  | -0.99  | -11,120.50 | 2.50    | 126.00  | C   |
| TOT       | 2019-05-29, 09:30:15 | -200 | 51.8900    | 52.5100 | 10,378.00  | -0.56  | -10,377.44 | 0.00    | -124.00 | O;P |
| TOT       | 2019-05-29, 10:29:12 | 200  | 52.1985    | 52.5100 | -10,439.70 | -0.74  | 10,377.44  | -63.00  | 62.30   | C   |
| TOT       | 2019-05-31, 09:30:02 | -200 | 51.5300    | 51.5000 | 10,306.00  | -0.56  | -10,305.44 | 0.00    | 6.00    | O;P |
| TOT       | 2019-05-31, 15:25:48 | 200  | 51.6600    | 51.5000 | -10,332.00 | -0.68  | 10,305.44  | -27.24  | -32.00  | C;P |
| TOT       | 2019-06-03, 09:30:07 | 200  | 52.3000    | 52.2800 | -10,460.00 | -0.32  | 10,460.32  | 0.00    | -4.00   | O;P |
| TOT       | 2019-06-03, 09:55:06 | -200 | 52.1500    | 52.2800 | 10,430.00  | -1.58  | -10,460.32 | -31.90  | -26.00  | C;P |
| TOT       | 2019-06-04, 09:30:07 | 200  | 52.8500    | 52.7200 | -10,570.00 | -0.32  | 10,570.32  | 0.00    | -26.00  | O;P |
| TOT       | 2019-06-25, 15:50:45 | -200 | 55.41125   | 55.4500 | 11,082.25  | -0.89  | -10,570.32 | 511.04  | -7.75   | C;P |
| TOT       | 2019-07-02, 09:30:03 | 200  | 56.4300    | 55.9400 | -11,286.00 | -0.50  | 11,286.50  | 0.00    | -98.00  | O;P |
| TOT       | 2019-07-03, 09:30:01 | -200 | 55.3418    | 55.5100 | 11,068.36  | -0.99  | -11,286.50 | -219.13 | -33.64  | C;P |
| Total TOT |                      | 0    |            |         | -124.39    | -23.81 | 0.00       | -148.20 | -166.39 |     |
| TSM       | 2019-03-13, 09:30:00 | 200  | 39.2200    | 39.2100 | -7,844.00  | -1.00  | 7,845.00   | 0.00    | -2.00   | O;P |
| TSM       | 2019-03-13, 09:47:48 | -200 | 39.0401    | 39.2100 | 7,808.02   | -1.13  | -7,845.00  | -38.11  | -33.98  | C   |
| TSM       | 2019-03-27, 09:46:51 | 200  | 39.8200    | 40.2000 | -7,964.00  | -1.00  | 7,965.00   | 0.00    | 76.00   | O;P |
| TSM       | 2019-05-01, 09:30:01 | -200 | 44.221925  | 43.8200 | 8,844.38   | -1.21  | -7,965.00  | 878.17  | 80.38   | C;P |
| TSM       | 2019-05-02, 11:47:56 | 300  | 44.0000    | 44.4000 | -13,200.00 | -0.75  | 13,200.75  | 0.00    | 120.00  | O   |
| TSM       | 2019-05-02, 11:48:00 | -300 | 44.0123333 | 44.4000 | 13,203.70  | -1.68  | -13,200.75 | 1.26    | -116.30 | C;P |
| TSM       | 2019-05-03, 10:14:07 | 300  | 44.7500    | 44.7100 | -13,425.00 | -0.48  | 13,425.48  | 0.00    | -12.00  | O   |

| Trades    |                      |      |         |         |            |        |            |         |         |     |
|-----------|----------------------|------|---------|---------|------------|--------|------------|---------|---------|-----|
| TSM       | 2019-05-06, 09:30:17 | -300 | 43.2900 | 43.7600 | 12,987.00  | -1.78  | -13,425.48 | -440.26 | -141.00 | C;P |
| TSM       | 2019-06-03, 09:30:06 | 300  | 38.9600 | 38.8200 | -11,688.00 | -0.48  | 11,688.48  | 0.00    | -42.00  | O;P |
| TSM       | 2019-06-03, 15:11:21 | -300 | 38.6700 | 38.8200 | 11,601.00  | -1.05  | -11,688.48 | -88.53  | -45.00  | C;P |
| TSM       | 2019-06-04, 10:27:34 | 300  | 38.7600 | 39.0600 | -11,628.00 | -0.48  | 11,628.48  | 0.00    | 90.00   | O   |
| TSM       | 2019-06-05, 09:49:49 | -300 | 38.6816 | 38.2300 | 11,604.48  | -1.39  | -11,628.48 | -25.39  | 135.48  | C   |
| TSM       | 2019-06-13, 09:33:47 | 300  | 39.4700 | 39.4500 | -11,841.00 | -0.48  | 11,841.48  | 0.00    | -6.00   | O   |
| TSM       | 2019-06-14, 09:31:18 | -300 | 38.5900 | 37.8400 | 11,577.00  | -2.25  | -11,841.48 | -266.73 | 225.00  | C;P |
| Total TSM |                      | 0    |         |         | 35.58      | -15.16 | 0.00       | 20.43   | 328.58  |     |
| TTM       | 2019-04-15, 09:31:40 | 800  | 16.5300 | 16.4100 | -13,224.00 | -4.00  | 13,228.00  | 0.00    | -96.00  | O   |
| TTM       | 2019-05-01, 11:36:07 | -800 | 15.3700 | 15.1400 | 12,296.00  | -2.35  | -13,228.00 | -934.35 | 184.00  | C;P |
| Total TTM |                      | 0    |         |         | -928.00    | -6.35  | 0.00       | -934.35 | 88.00   |     |
| UAL       | 2019-03-13, 09:32:16 | -100 | 80.7000 | 81.2100 | 8,070.00   | -1.12  | -8,068.88  | 0.00    | -51.00  | O   |
| UAL       | 2019-04-01, 09:30:12 | 100  | 80.2273 | 80.8700 | -8,022.73  | -1.00  | 8,068.88   | 45.15   | 64.27   | C   |
| UAL       | 2019-04-02, 09:33:43 | 100  | 85.0200 | 82.7700 | -8,502.00  | -1.00  | 8,503.00   | 0.00    | -225.00 | O   |
| UAL       | 2019-04-02, 09:35:07 | -100 | 83.4900 | 82.7700 | 8,349.00   | -1.12  | -8,503.00  | -155.12 | 72.00   | C   |
| UAL       | 2019-04-02, 09:55:48 | 100  | 83.6900 | 82.7700 | -8,369.00  | -1.00  | 8,370.00   | 0.00    | -92.00  | O;P |
| UAL       | 2019-04-02, 12:23:39 | -100 | 83.4000 | 82.7700 | 8,340.00   | -1.12  | -8,370.00  | -31.12  | 63.00   | C;P |
| UAL       | 2019-04-03, 09:51:53 | 100  | 83.3900 | 84.2000 | -8,339.00  | -1.00  | 8,340.00   | 0.00    | 81.00   | O   |
| UAL       | 2019-04-03, 09:52:53 | -100 | 83.4917 | 84.2000 | 8,349.17   | -1.12  | -8,340.00  | 8.05    | -70.83  | C   |
| UAL       | 2019-04-04, 09:31:32 | 100  | 84.4700 | 84.6400 | -8,447.00  | -1.00  | 8,448.00   | 0.00    | 17.00   | O;P |
| UAL       | 2019-04-09, 10:49:33 | -100 | 83.5015 | 84.2500 | 8,350.15   | -1.12  | -8,448.00  | -98.97  | -74.85  | C   |
| UAL       | 2019-04-10, 09:31:29 | 100  | 85.1000 | 84.5200 | -8,510.00  | -1.00  | 8,511.00   | 0.00    | -58.00  | O   |
| UAL       | 2019-05-01, 10:31:38 | -100 | 88.9050 | 87.9400 | 8,890.50   | -0.57  | -8,511.00  | 378.93  | 96.50   | C   |
| UAL       | 2019-05-02, 11:29:54 | 100  | 88.5200 | 88.3100 | -8,852.00  | -0.16  | 8,852.16   | 0.00    | -21.00  | O   |
| UAL       | 2019-05-02, 11:31:38 | -100 | 88.6500 | 88.3100 | 8,865.00   | -0.36  | -8,852.16  | 12.48   | 34.00   | C   |
| UAL       | 2019-05-03, 10:07:30 | 100  | 88.6000 | 88.5000 | -8,860.00  | -0.16  | 8,860.16   | 0.00    | -10.00  | O   |
| UAL       | 2019-05-03, 10:08:58 | -100 | 88.5600 | 88.5000 | 8,856.00   | -0.36  | -8,860.16  | -4.52   | 6.00    | C;P |
| UAL       | 2019-05-03, 15:00:01 | 44   | 88.5600 | 88.5000 | -3,896.64  | -0.27  | 3,896.91   | 0.00    | -2.64   | O;P |
| UAL       | 2019-05-03, 15:00:15 | -44  | 88.5900 | 88.5000 | 3,897.96   | -0.44  | -3,896.91  | 0.61    | 3.96    | C   |

## Trades

|                  |                      |            |          |         |                  |               |                 |               |               |     |
|------------------|----------------------|------------|----------|---------|------------------|---------------|-----------------|---------------|---------------|-----|
| UAL              | 2019-05-09, 09:48:53 | -100       | 83.4300  | 84.1200 | 8,343.00         | -0.34         | -8,342.66       | 0.00          | -69.00        | O   |
| UAL              | 2019-05-09, 09:50:32 | 100        | 83.4800  | 84.1200 | -8,348.00        | -0.16         | 8,342.66        | -5.51         | 64.00         | C;P |
| UAL              | 2019-05-09, 09:52:22 | -100       | 83.3800  | 84.1200 | 8,338.00         | -0.34         | -8,337.66       | 0.00          | -74.00        | O;P |
| UAL              | 2019-05-09, 09:59:56 | 100        | 83.4900  | 84.1200 | -8,349.00        | -0.16         | 8,337.66        | -11.51        | 63.00         | C;P |
| UAL              | 2019-05-10, 10:07:40 | -100       | 82.6600  | 84.7900 | 8,266.00         | -0.34         | -8,265.66       | 0.00          | -213.00       | O   |
| UAL              | 2019-05-14, 13:51:41 | 100        | 83.5000  | 83.4500 | -8,350.00        | -0.37         | 8,265.66        | -84.71        | -5.00         | C   |
| UAL              | 2019-05-16, 09:30:01 | -100       | 82.4200  | 82.5800 | 8,242.00         | -0.34         | -8,241.66       | 0.00          | -16.00        | O   |
| UAL              | 2019-05-16, 12:36:25 | 100        | 83.5200  | 82.5800 | -8,352.00        | -0.16         | 8,241.66        | -110.50       | -94.00        | C   |
| UAL              | 2019-05-17, 09:30:02 | -200       | 82.3000  | 81.5000 | 16,460.00        | -0.69         | -16,459.31      | 0.00          | 160.00        | O;P |
| UAL              | 2019-05-31, 15:28:07 | 200        | 77.46845 | 77.6500 | -15,493.69       | -0.74         | 16,459.31       | 964.88        | 36.31         | C;P |
| UAL              | 2019-06-03, 10:33:21 | -200       | 78.4500  | 77.7200 | 15,690.00        | -0.67         | -15,689.33      | 0.00          | 146.00        | O   |
| UAL              | 2019-06-03, 10:34:48 | 200        | 78.4500  | 77.7200 | -15,690.00       | -1.34         | 15,689.33       | -2.01         | -146.00       | C;P |
| UAL              | 2019-06-07, 11:52:21 | 100        | 83.7400  | 83.2700 | -8,374.00        | -0.16         | 8,374.16        | 0.00          | -47.00        | O   |
| UAL              | 2019-06-07, 15:00:08 | -100       | 83.4900  | 83.2700 | 8,349.00         | -0.34         | -8,374.16       | -25.51        | 22.00         | C   |
| UAL              | 2019-06-10, 09:32:05 | 100        | 83.6300  | 82.6700 | -8,363.00        | -0.16         | 8,363.16        | 0.00          | -96.00        | O   |
| UAL              | 2019-06-10, 09:33:27 | -100       | 83.7508  | 82.6700 | 8,375.08         | -0.56         | -8,363.16       | 11.36         | 108.08        | C   |
| UAL              | 2019-06-13, 09:30:11 | 100        | 84.2800  | 87.1100 | -8,428.00        | -0.16         | 8,428.16        | 0.00          | 283.00        | O   |
| UAL              | 2019-06-25, 15:50:58 | -100       | 85.1250  | 85.0600 | 8,512.50         | -0.65         | -8,428.16       | 83.69         | 6.50          | C   |
| UAL              | 2019-07-01, 09:49:50 | 100        | 88.6200  | 88.4500 | -8,862.00        | -0.16         | 8,862.16        | 0.00          | -17.00        | O;P |
| <b>Total UAL</b> |                      | <b>100</b> |          |         | <b>-7,864.70</b> | <b>-21.76</b> | <b>8,862.16</b> | <b>975.70</b> | <b>-55.70</b> |     |
| UBER             | 2019-05-15, 11:18:55 | -300       | 41.1800  | 41.2900 | 12,354.00        | -1.04         | -12,352.96      | 0.00          | -33.00        | O;P |
| UBER             | 2019-05-16, 09:35:35 | 300        | 42.1500  | 43.0000 | -12,645.00       | -1.11         | 12,352.96       | -293.15       | 255.00        | C   |
| UBER             | 2019-05-17, 09:30:42 | 40         | 41.9000  | 41.9100 | -1,676.00        | -0.31         | 1,676.31        | 0.00          | 0.40          | O   |
| UBER             | 2019-05-17, 09:31:04 | -40        | 41.8300  | 41.9100 | 1,673.20         | -0.52         | -1,676.31       | -3.63         | -3.20         | C   |
| UBER             | 2019-05-20, 13:39:32 | -300       | 41.1700  | 41.5900 | 12,351.00        | -1.04         | -12,349.96      | 0.00          | -126.00       | O;P |
| UBER             | 2019-05-21, 09:33:49 | 300        | 42.1500  | 41.5000 | -12,645.00       | -0.48         | 12,349.96       | -295.52       | -195.00       | C;P |
| UBER             | 2019-05-22, 13:46:10 | -300       | 41.1800  | 41.2500 | 12,354.00        | -2.30         | -12,351.70      | 0.00          | -21.00        | O;P |
| UBER             | 2019-05-31, 15:25:41 | 300        | 40.0200  | 40.4100 | -12,006.00       | -1.26         | 12,351.70       | 344.44        | 117.00        | C;P |
| UBER             | 2019-06-04, 09:30:19 | 300        | 42.4300  | 42.7500 | -12,729.00       | -0.51         | 12,729.51       | 0.00          | 96.00         | O   |

## Trades

|                   |                         |          |            |          |                |               |             |                |               |     |
|-------------------|-------------------------|----------|------------|----------|----------------|---------------|-------------|----------------|---------------|-----|
| UBER              | 2019-06-04,<br>09:33:11 | -300     | 41.9531    | 42.7500  | 12,585.93      | -1.41         | -12,729.51  | -144.99        | -239.07       | C   |
| UBER              | 2019-06-04,<br>12:45:14 | 300      | 42.1000    | 42.7500  | -12,630.00     | -0.48         | 12,630.48   | 0.00           | 195.00        | O   |
| UBER              | 2019-06-04,<br>12:48:02 | -300     | 41.9503667 | 42.7500  | 12,585.11      | -0.93         | -12,630.48  | -46.30         | -239.89       | C;P |
| UBER              | 2019-06-05,<br>09:31:49 | 300      | 42.6779667 | 45.0000  | -12,803.39     | -1.11         | 12,804.50   | 0.00           | 696.61        | O;P |
| UBER              | 2019-06-11,<br>11:34:40 | -300     | 41.9431    | 42.4500  | 12,582.93      | -1.41         | -12,804.50  | -222.98        | -152.07       | C   |
| <b>Total UBER</b> |                         | <b>0</b> |            |          | <b>-648.22</b> | <b>-13.91</b> | <b>0.00</b> | <b>-662.13</b> | <b>350.78</b> |     |
| UNH               | 2019-04-15,<br>09:30:03 | -100     | 226.0000   | 230.2000 | 22,600.00      | -1.48         | -22,598.52  | 0.00           | -420.00       | O   |
| UNH               | 2019-05-01,<br>09:30:01 | 100      | 232.9975   | 231.9500 | -23,299.75     | -0.37         | 22,598.52   | -701.60        | -104.75       | C   |
| UNH               | 2019-05-02,<br>09:37:38 | -100     | 231.8500   | 232.4500 | 23,185.00      | -0.74         | -23,184.26  | 0.00           | -60.00        | O   |
| UNH               | 2019-05-02,<br>09:45:35 | 100      | 233.1100   | 232.4500 | -23,311.00     | -0.25         | 23,184.26   | -126.99        | -66.00        | C;P |
| UNH               | 2019-05-06,<br>09:32:05 | -100     | 229.4600   | 240.5000 | 22,946.00      | -0.74         | -22,945.26  | 0.00           | -1,104.00     | O   |
| UNH               | 2019-05-06,<br>13:18:54 | 100      | 233.4000   | 240.5000 | -23,340.00     | -0.40         | 22,945.26   | -395.14        | 710.00        | C   |
| UNH               | 2019-05-15,<br>09:38:29 | -100     | 232.7800   | 236.0800 | 23,278.00      | -0.74         | -23,277.26  | 0.00           | -330.00       | O;P |
| UNH               | 2019-05-15,<br>09:40:32 | 100      | 233.1600   | 236.0800 | -23,316.00     | -0.25         | 23,277.26   | -38.99         | 292.00        | C   |
| UNH               | 2019-05-21,<br>10:06:39 | 100      | 249.4700   | 249.9400 | -24,947.00     | -0.25         | 24,947.25   | 0.00           | 47.00         | O   |
| UNH               | 2019-05-21,<br>10:09:32 | -100     | 249.2025   | 249.9400 | 24,920.25      | -0.90         | -24,947.25  | -27.90         | -73.75        | C   |
| UNH               | 2019-05-22,<br>09:31:14 | 38       | 249.1000   | 249.3400 | -9,465.80      | -0.31         | 9,466.11    | 0.00           | 9.12          | O   |
| UNH               | 2019-05-22,<br>09:38:51 | -38      | 249.2084   | 249.3400 | 9,469.92       | -0.56         | -9,466.11   | 3.25           | -5.00         | C   |
| UNH               | 2019-05-22,<br>10:22:16 | 100      | 250.0000   | 249.3400 | -25,000.00     | -0.16         | 25,000.16   | 0.00           | -66.00        | O;P |
| UNH               | 2019-05-22,<br>11:37:20 | -100     | 248.9777   | 249.3400 | 24,897.77      | -0.90         | -25,000.16  | -103.29        | -36.23        | C   |
| UNH               | 2019-05-31,<br>15:36:39 | -100     | 242.2700   | 241.8000 | 24,227.00      | -0.67         | -24,226.33  | 0.00           | 47.00         | O   |
| UNH               | 2019-06-03,<br>09:33:13 | 100      | 242.5000   | 236.5600 | -24,250.00     | -0.16         | 24,226.33   | -23.83         | -594.00       | C;P |
| UNH               | 2019-06-04,<br>10:36:29 | -100     | 237.0000   | 240.7600 | 23,700.00      | -0.66         | -23,699.34  | 0.00           | -376.00       | O   |
| UNH               | 2019-06-05,<br>09:33:01 | 100      | 243.0100   | 242.7700 | -24,301.00     | -0.16         | 23,699.34   | -601.82        | -24.00        | C;P |
| UNH               | 2019-06-11,<br>10:39:32 | 100      | 249.5500   | 245.8700 | -24,955.00     | -0.16         | 24,955.16   | 0.00           | -368.00       | O;P |
| UNH               | 2019-06-11,<br>10:45:01 | -100     | 248.5400   | 245.8700 | 24,854.00      | -0.78         | -24,955.16  | -101.94        | 267.00        | C   |
| UNH               | 2019-06-19,<br>09:55:30 | 100      | 249.5100   | 250.1900 | -24,951.00     | -0.16         | 24,951.16   | 0.00           | 68.00         | O;P |
| UNH               | 2019-06-19,<br>10:10:38 | -100     | 249.0940   | 250.1900 | 24,909.40      | -0.90         | -24,951.16  | -42.66         | -109.60       | C   |
| UNH               | 2019-06-19,<br>12:19:34 | 100      | 249.8500   | 250.1900 | -24,985.00     | -0.16         | 24,985.16   | 0.00           | 34.00         | O;P |

| Trades    |                      |      |          |          |            |        |            |           |           |     |  |
|-----------|----------------------|------|----------|----------|------------|--------|------------|-----------|-----------|-----|--|
| UNH       | 2019-06-20, 12:22:58 | -100 | 249.2000 | 247.7600 | 24,920.00  | -0.69  | -24,985.16 | -65.85    | 144.00    | C   |  |
| UNH       | 2019-06-21, 10:39:01 | 100  | 249.5500 | 252.2800 | -24,955.00 | -0.25  | 24,955.25  | 0.00      | 273.00    | O   |  |
| UNH       | 2019-06-24, 10:28:19 | -100 | 248.8100 | 249.6400 | 24,881.00  | -0.78  | -24,955.25 | -75.03    | -83.00    | C   |  |
| UNH       | 2019-06-25, 09:30:26 | 100  | 249.6000 | 247.6600 | -24,960.00 | -0.25  | 24,960.25  | 0.00      | -194.00   | O   |  |
| UNH       | 2019-06-25, 09:41:34 | -100 | 248.5800 | 247.6600 | 24,858.00  | -0.90  | -24,960.25 | -103.15   | 92.00     | C   |  |
| UNH       | 2019-07-02, 13:22:28 | -100 | 243.0000 | 243.9700 | 24,300.00  | -0.77  | -24,299.23 | 0.00      | -97.00    | O   |  |
| UNH       | 2019-07-03, 09:34:44 | 100  | 245.0500 | 245.2100 | -24,505.00 | -0.16  | 24,299.23  | -205.93   | 16.00     | C   |  |
| Total UNH |                      | 0    |          |          | -2,595.21  | -15.65 | 0.00       | -2,610.86 | -2,112.21 |     |  |
| UNP       | 2019-04-22, 09:35:00 | 100  | 176.2100 | 177.7200 | -17,621.00 | -1.00  | 17,622.00  | 0.00      | 151.00    | O   |  |
| UNP       | 2019-05-01, 09:32:10 | -100 | 177.9634 | 175.3600 | 17,796.34  | -0.75  | -17,622.00 | 173.59    | 260.34    | C   |  |
| UNP       | 2019-05-06, 12:11:29 | 100  | 177.7500 | 177.1600 | -17,775.00 | -0.25  | 17,775.25  | 0.00      | -59.00    | O   |  |
| UNP       | 2019-05-06, 15:57:27 | -100 | 177.0125 | 177.1600 | 17,701.25  | -0.75  | -17,775.25 | -74.75    | -14.75    | C   |  |
| UNP       | 2019-05-31, 15:39:43 | 100  | 166.6000 | 166.7800 | -16,660.00 | -0.16  | 16,660.16  | 0.00      | 18.00     | O;P |  |
| UNP       | 2019-06-03, 09:31:21 | -100 | 167.1629 | 166.3400 | 16,716.29  | -0.73  | -16,660.16 | 55.40     | 82.29     | C   |  |
| UNP       | 2019-06-04, 09:30:49 | 100  | 167.6900 | 170.4100 | -16,769.00 | -0.16  | 16,769.16  | 0.00      | 272.00    | O   |  |
| UNP       | 2019-06-13, 14:21:44 | -100 | 166.5656 | 167.1500 | 16,656.56  | -0.73  | -16,769.16 | -113.33   | -58.44    | C   |  |
| UNP       | 2019-06-14, 09:31:05 | 100  | 166.9600 | 166.9900 | -16,696.00 | -0.16  | 16,696.16  | 0.00      | 3.00      | O;P |  |
| UNP       | 2019-06-14, 09:32:11 | -100 | 167.3800 | 166.9900 | 16,738.00  | -0.73  | -16,696.16 | 41.11     | 39.00     | C   |  |
| UNP       | 2019-06-18, 09:49:46 | 100  | 167.0100 | 165.5400 | -16,701.00 | -0.16  | 16,701.16  | 0.00      | -147.00   | O   |  |
| UNP       | 2019-06-18, 10:43:32 | -100 | 166.7960 | 165.5400 | 16,679.60  | -0.73  | -16,701.16 | -22.29    | 125.60    | C   |  |
| UNP       | 2019-06-20, 10:03:37 | 100  | 168.0300 | 167.4300 | -16,803.00 | -0.16  | 16,803.16  | 0.00      | -60.00    | O;P |  |
| UNP       | 2019-06-20, 12:17:09 | -100 | 166.7900 | 167.4300 | 16,679.00  | -0.49  | -16,803.16 | -124.65   | -64.00    | C   |  |
| UNP       | 2019-07-01, 09:35:00 | 100  | 171.8400 | 171.5000 | -17,184.00 | -0.25  | 17,184.25  | 0.00      | -34.00    | O;P |  |
| Total UNP |                      | 100  |          |          | -17,241.96 | -7.20  | 17,184.25  | -64.91    | 514.04    |     |  |
| UPS       | 2019-03-28, 10:05:33 | 100  | 110.2000 | 110.3000 | -11,020.00 | -1.00  | 11,021.00  | 0.00      | 10.00     | O   |  |
| UPS       | 2019-04-25, 09:33:29 | -100 | 108.5100 | 105.1300 | 10,851.00  | -0.66  | -11,021.00 | -170.66   | 338.00    | C   |  |
| UPS       | 2019-05-01, 14:08:34 | 100  | 106.2200 | 104.6200 | -10,622.00 | -0.25  | 10,622.25  | 0.00      | -160.00   | O   |  |
| UPS       | 2019-05-01, 14:10:50 | -100 | 106.1693 | 104.6200 | 10,616.93  | -0.60  | -10,622.25 | -5.92     | 154.93    | C   |  |
| UPS       | 2019-05-22, 15:18:29 | -100 | 97.5400  | 97.5000  | 9,754.00   | -0.46  | -9,753.54  | 0.00      | 4.00      | O;P |  |



## Trades

|                  |                         |          |          |          |                 |              |             |                 |               |     |
|------------------|-------------------------|----------|----------|----------|-----------------|--------------|-------------|-----------------|---------------|-----|
| UPS              | 2019-05-22,<br>15:35:03 | 100      | 97.6900  | 97.5000  | -9,769.00       | -0.37        | 9,753.54    | -15.83          | -19.00        | C   |
| UPS              | 2019-05-24,<br>09:33:01 | -100     | 96.5100  | 95.8500  | 9,651.00        | -0.37        | -9,650.63   | 0.00            | 66.00         | O   |
| UPS              | 2019-05-31,<br>15:29:51 | 100      | 92.9898  | 92.9200  | -9,298.98       | -0.37        | 9,650.63    | 351.28          | -6.98         | C   |
| UPS              | 2019-06-03,<br>09:32:13 | -100     | 93.1600  | 94.1300  | 9,316.00        | -0.36        | -9,315.64   | 0.00            | -97.00        | O   |
| UPS              | 2019-06-03,<br>09:33:45 | 100      | 93.1300  | 94.1300  | -9,313.00       | -0.37        | 9,315.64    | 2.26            | 100.00        | C   |
| UPS              | 2019-06-05,<br>09:32:34 | 100      | 98.3300  | 98.2100  | -9,833.00       | -0.16        | 9,833.16    | 0.00            | -12.00        | O   |
| UPS              | 2019-06-05,<br>10:46:08 | -100     | 97.7100  | 98.2100  | 9,771.00        | -0.34        | -9,833.16   | -62.50          | -50.00        | C   |
| UPS              | 2019-06-06,<br>09:30:05 | 100      | 98.0700  | 98.0000  | -9,807.00       | -0.16        | 9,807.16    | 0.00            | -7.00         | O;P |
| UPS              | 2019-06-06,<br>09:50:55 | -100     | 97.7496  | 98.0000  | 9,774.96        | -0.58        | -9,807.16   | -32.78          | -25.04        | C   |
| UPS              | 2019-06-06,<br>14:10:25 | 100      | 97.8800  | 98.0000  | -9,788.00       | -0.25        | 9,788.25    | 0.00            | 12.00         | O   |
| UPS              | 2019-06-25,<br>10:05:30 | -100     | 97.7044  | 97.1300  | 9,770.44        | -0.58        | -9,788.25   | -18.39          | 57.44         | C   |
| UPS              | 2019-07-01,<br>09:48:34 | 100      | 104.5000 | 102.9700 | -10,450.00      | -0.16        | 10,450.16   | 0.00            | -153.00       | O   |
| UPS              | 2019-07-01,<br>11:04:14 | -100     | 103.4000 | 102.9700 | 10,340.00       | -0.90        | -10,450.16  | -111.06         | 43.00         | C   |
| <b>Total UPS</b> |                         | <b>0</b> |          |          | <b>-55.65</b>   | <b>-7.96</b> | <b>0.00</b> | <b>-63.61</b>   | <b>255.35</b> |     |
| URI              | 2019-04-01,<br>09:31:03 | 100      | 115.5400 | 118.1100 | -11,554.00      | -1.00        | 11,555.00   | 0.00            | 257.00        | O   |
| URI              | 2019-05-01,<br>11:03:26 | -100     | 140.6000 | 138.6400 | 14,060.00       | -0.55        | -11,555.00  | 2,504.45        | 196.00        | C;P |
| URI              | 2019-05-31,<br>15:30:32 | 100      | 109.6600 | 110.1000 | -10,966.00      | -0.16        | 10,966.16   | 0.00            | 44.00         | O;P |
| URI              | 2019-06-25,<br>15:54:01 | -100     | 128.4450 | 128.6200 | 12,844.50       | -0.65        | -10,966.16  | 1,877.69        | -17.50        | C   |
| <b>Total URI</b> |                         | <b>0</b> |          |          | <b>4,384.50</b> | <b>-2.36</b> | <b>0.00</b> | <b>4,382.14</b> | <b>479.50</b> |     |
| V                | 2019-03-04,<br>11:42:13 | -100     | 147.9300 | 147.9600 | 14,793.00       | -1.20        | -14,022.00  | 769.80          | -3.00         | C   |
| V                | 2019-03-05,<br>08:36:52 | 100      | 148.3376 | 147.9500 | -14,833.76      | -1.00        | 14,834.76   | 0.00            | -38.76        | O;P |
| V                | 2019-03-06,<br>09:30:03 | -100     | 147.9200 | 147.8100 | 14,792.00       | -1.20        | -14,834.76  | -43.96          | 11.00         | C;P |
| V                | 2019-03-12,<br>09:30:10 | 100      | 150.9900 | 151.7300 | -15,099.00      | -1.00        | 15,100.00   | 0.00            | 74.00         | O   |
| V                | 2019-05-01,<br>09:36:39 | -100     | 164.8200 | 162.7900 | 16,482.00       | -0.72        | -15,100.00  | 1,381.28        | 203.00        | C   |
| V                | 2019-05-16,<br>10:19:09 | 100      | 164.9200 | 164.8700 | -16,492.00      | -0.17        | 16,492.17   | 0.00            | -5.00         | O;P |
| V                | 2019-05-16,<br>15:31:46 | -100     | 164.6800 | 164.8700 | 16,468.00       | -0.72        | -16,492.17  | -24.89          | -19.00        | C   |
| V                | 2019-05-17,<br>11:31:43 | 100      | 164.9100 | 164.0900 | -16,491.00      | -0.25        | 16,491.25   | 0.00            | -82.00        | O   |
| V                | 2019-05-17,<br>11:34:51 | -100     | 164.7200 | 164.0900 | 16,472.00       | -0.72        | -16,491.25  | -19.97          | 63.00         | C   |
| V                | 2019-05-22,<br>10:21:39 | 100      | 165.0600 | 164.2400 | -16,506.00      | -0.37        | 16,506.37   | 0.00            | -82.00        | O   |

## Trades

|                |                         |          |           |          |                  |               |                 |                 |               |     |
|----------------|-------------------------|----------|-----------|----------|------------------|---------------|-----------------|-----------------|---------------|-----|
| V              | 2019-05-22,<br>10:53:57 | -100     | 164.7000  | 164.2400 | 16,470.00        | -0.72         | -16,506.37      | -37.09          | 46.00         | C   |
| V              | 2019-05-22,<br>11:33:34 | 100      | 164.9300  | 164.2400 | -16,493.00       | -0.16         | 16,493.16       | 0.00            | -69.00        | O;P |
| V              | 2019-05-22,<br>11:43:18 | -100     | 164.7400  | 164.2400 | 16,474.00        | -0.72         | -16,493.16      | -19.88          | 50.00         | C   |
| V              | 2019-05-28,<br>09:42:30 | 100      | 164.9500  | 163.7100 | -16,495.00       | -0.25         | 16,495.25       | 0.00            | -124.00       | O   |
| V              | 2019-05-29,<br>09:31:02 | -100     | 163.0300  | 162.7300 | 16,303.00        | -1.02         | -16,495.25      | -193.27         | 30.00         | C   |
| V              | 2019-06-03,<br>09:45:47 | 100      | 161.5400  | 158.6000 | -16,154.00       | -0.17         | 16,154.17       | 0.00            | -294.00       | O   |
| V              | 2019-06-03,<br>09:48:50 | -100     | 160.6200  | 158.6000 | 16,062.00        | -0.50         | -16,154.17      | -92.67          | 202.00        | C   |
| V              | 2019-06-04,<br>11:47:09 | 100      | 161.6300  | 162.3300 | -16,163.00       | -0.16         | 16,163.16       | 0.00            | 70.00         | O   |
| V              | 2019-06-04,<br>12:08:58 | -100     | 161.3992  | 162.3300 | 16,139.92        | -0.72         | -16,163.16      | -23.96          | -93.08        | C   |
| V              | 2019-06-05,<br>09:31:08 | 100      | 163.5000  | 165.3900 | -16,350.00       | -0.16         | 16,350.16       | 0.00            | 189.00        | O   |
| V              | 2019-06-25,<br>15:50:45 | -100     | 170.9400  | 171.2800 | 17,094.00        | -0.73         | -16,350.16      | 743.11          | -34.00        | C   |
| V              | 2019-07-01,<br>09:30:17 | 100      | 175.2500  | 173.9400 | -17,525.00       | -0.25         | 17,525.25       | 0.00            | -131.00       | O;P |
| V              | 2019-07-01,<br>10:35:10 | -100     | 172.7800  | 173.9400 | 17,278.00        | -0.73         | -17,525.25      | -247.98         | -116.00       | C   |
| V              | 2019-07-02,<br>09:30:10 | 100      | 173.9800  | 175.2800 | -17,398.00       | -0.16         | 17,398.16       | 0.00            | 130.00        | O;P |
| <b>Total V</b> |                         | <b>0</b> |           |          | <b>-1,171.84</b> | <b>-13.82</b> | <b>3,376.16</b> | <b>2,190.50</b> | <b>-22.84</b> |     |
| VIAB           | 2019-03-21,<br>09:30:17 | -300     | 25.8700   | 25.9800  | 7,761.00         | -1.64         | -7,759.36       | 0.00            | -33.00        | O   |
| VIAB           | 2019-03-21,<br>09:31:20 | 300      | 25.8050   | 25.9800  | -7,741.50        | -1.50         | 7,759.36        | 16.36           | 52.50         | C   |
| VIAB           | 2019-03-26,<br>11:43:15 | 300      | 29.2000   | 28.3300  | -8,760.00        | -1.50         | 8,761.50        | 0.00            | -261.00       | O   |
| VIAB           | 2019-03-26,<br>11:43:18 | -300     | 29.1802   | 28.3300  | 8,754.06         | -1.65         | -8,761.50       | -9.09           | 255.06        | C   |
| VIAB           | 2019-04-01,<br>09:30:05 | 400      | 28.3500   | 28.8900  | -11,340.00       | -2.00         | 11,342.00       | 0.00            | 216.00        | O;P |
| VIAB           | 2019-05-01,<br>09:33:35 | -400     | 28.880775 | 28.8500  | 11,552.31        | -1.62         | -11,342.00      | 208.69          | 12.31         | C;P |
| VIAB           | 2019-05-02,<br>16:22:12 | 400      | 28.8300   | 28.9100  | -11,532.00       | -0.64         | 11,532.64       | 0.00            | 32.00         | O;P |
| VIAB           | 2019-05-03,<br>09:32:35 | -400     | 28.8446   | 28.6000  | 11,537.84        | -1.77         | -11,532.64      | 3.43            | 97.84         | C   |
| VIAB           | 2019-05-09,<br>15:44:42 | 400      | 28.9900   | 28.5000  | -11,596.00       | -0.64         | 11,596.64       | 0.00            | -196.00       | O   |
| VIAB           | 2019-05-10,<br>09:58:56 | -250     | 28.9000   | 29.2500  | 7,225.00         | -0.58         | -7,247.90       | -23.48          | -87.50        | C;P |
| VIAB           | 2019-05-10,<br>10:05:55 | -150     | 28.6800   | 29.2500  | 4,302.00         | -0.57         | -4,348.74       | -47.31          | -85.50        | C;P |
| VIAB           | 2019-05-22,<br>09:30:44 | 400      | 28.9000   | 28.8100  | -11,560.00       | -0.64         | 11,560.64       | 0.00            | -36.00        | O;P |
| VIAB           | 2019-05-22,<br>09:32:12 | -400     | 28.9200   | 28.8100  | 11,568.00        | -0.93         | -11,560.64      | 6.43            | 44.00         | C   |
| VIAB           | 2019-05-31,<br>15:40:41 | 400      | 29.0000   | 29.0300  | -11,600.00       | -0.64         | 11,600.64       | 0.00            | 12.00         | O   |

| Trades     |                      |  |      |          |         |            |        |            |         |         |     |
|------------|----------------------|--|------|----------|---------|------------|--------|------------|---------|---------|-----|
| VIAB       | 2019-05-31, 15:55:00 |  | -400 | 28.9801  | 29.0300 | 11,592.04  | -1.77  | -11,600.64 | -10.37  | -19.96  | C   |
| VIAB       | 2019-06-03, 09:37:28 |  | 400  | 29.0600  | 29.0400 | -11,624.00 | -0.64  | 11,624.64  | 0.00    | -8.00   | O;P |
| VIAB       | 2019-06-03, 11:02:27 |  | -400 | 29.0201  | 29.0400 | 11,608.04  | -1.77  | -11,624.64 | -18.37  | -7.96   | C   |
| VIAB       | 2019-06-04, 09:30:01 |  | 400  | 29.2100  | 29.3600 | -11,684.00 | -0.64  | 11,684.64  | 0.00    | 60.00   | O;P |
| VIAB       | 2019-06-04, 10:39:22 |  | -400 | 29.0300  | 29.3600 | 11,612.00  | -1.77  | -11,684.64 | -74.41  | -132.00 | C   |
| VIAB       | 2019-06-05, 09:30:02 |  | 400  | 29.3400  | 29.1300 | -11,736.00 | -1.15  | 11,737.15  | 0.00    | -84.00  | O;P |
| VIAB       | 2019-06-06, 09:50:08 |  | -400 | 29.0118  | 29.1800 | 11,604.72  | -1.77  | -11,737.15 | -134.20 | -67.28  | C;P |
| VIAB       | 2019-06-06, 14:34:07 |  | 400  | 29.0800  | 29.1800 | -11,632.00 | -0.64  | 11,632.64  | 0.00    | 40.00   | O   |
| VIAB       | 2019-06-06, 15:11:50 |  | -400 | 29.0200  | 29.1800 | 11,608.00  | -0.97  | -11,632.64 | -25.61  | -64.00  | C   |
| VIAB       | 2019-06-07, 09:42:49 |  | 400  | 29.2600  | 29.2800 | -11,704.00 | -0.64  | 11,704.64  | 0.00    | 8.00    | O;P |
| VIAB       | 2019-06-10, 10:27:22 |  | -400 | 29.00135 | 29.0700 | 11,600.54  | -1.77  | -11,704.64 | -105.87 | -27.46  | C;P |
| VIAB       | 2019-06-11, 09:30:03 |  | 400  | 29.1500  | 28.9400 | -11,660.00 | -0.64  | 11,660.64  | 0.00    | -84.00  | O;P |
| VIAB       | 2019-06-11, 09:36:01 |  | -400 | 28.9827  | 28.9400 | 11,593.08  | -1.77  | -11,660.64 | -69.33  | 17.08   | C   |
| VIAB       | 2019-07-01, 09:30:48 |  | 400  | 30.2100  | 30.5000 | -12,084.00 | -0.64  | 12,084.64  | 0.00    | 116.00  | O   |
| Total VIAB |                      |  | 400  |          |         | -12,334.87 | -32.89 | 12,084.64  | -283.12 | -230.87 |     |
| VZ         | 2019-04-01, 09:33:05 |  | 200  | 59.2600  | 59.0900 | -11,852.00 | -1.00  | 11,853.00  | 0.00    | -34.00  | O;P |
| VZ         | 2019-04-01, 09:36:36 |  | -200 | 59.22135 | 59.0900 | 11,844.27  | -1.18  | -11,853.00 | -9.91   | 26.27   | C;P |
| VZ         | 2019-04-25, 12:11:26 |  | -200 | 56.2100  | 55.8500 | 11,242.00  | -0.60  | -11,241.40 | 0.00    | 72.00   | O;P |
| VZ         | 2019-04-26, 09:55:52 |  | 200  | 56.22875 | 56.5800 | -11,245.75 | -0.74  | 11,241.40  | -5.09   | 70.25   | C;P |
| VZ         | 2019-05-01, 09:30:48 |  | 200  | 57.1500  | 56.5200 | -11,430.00 | -0.50  | 11,430.50  | 0.00    | -126.00 | O;P |
| VZ         | 2019-05-01, 09:31:49 |  | -200 | 57.14135 | 56.5200 | 11,428.27  | -1.30  | -11,430.50 | -3.53   | 124.27  | C;P |
| VZ         | 2019-05-02, 11:32:35 |  | 100  | 57.0200  | 56.9900 | -5,702.00  | -0.25  | 5,702.25   | 0.00    | -3.00   | O   |
| VZ         | 2019-05-02, 11:34:24 |  | -100 | 56.9700  | 56.9900 | 5,697.00   | -0.30  | -5,702.25  | -5.55   | -2.00   | C   |
| VZ         | 2019-05-03, 09:31:36 |  | 200  | 57.0100  | 57.2400 | -11,402.00 | -0.50  | 11,402.50  | 0.00    | 46.00   | O   |
| VZ         | 2019-05-03, 09:32:38 |  | -200 | 57.05055 | 57.2400 | 11,410.11  | -1.00  | -11,402.50 | 6.61    | -37.89  | C;P |
| VZ         | 2019-05-09, 09:30:04 |  | -120 | 56.2400  | 56.4800 | 6,748.80   | -0.35  | -6,748.45  | 0.00    | -28.80  | O   |
| VZ         | 2019-05-09, 09:49:51 |  | 120  | 56.1500  | 56.4800 | -6,738.00  | -0.19  | 6,748.45   | 10.26   | 39.60   | C;P |
| VZ         | 2019-05-09, 09:56:34 |  | -200 | 56.1400  | 56.4800 | 11,228.00  | -0.76  | -11,227.24 | 0.00    | -68.00  | O   |
| VZ         | 2019-05-09, 10:14:01 |  | 200  | 56.2200  | 56.4800 | -11,244.00 | -0.32  | 11,227.24  | -17.08  | 52.00   | C   |

| Trades   |                         |      |          |         |            |        |            |         |         |     |
|----------|-------------------------|------|----------|---------|------------|--------|------------|---------|---------|-----|
| VZ       | 2019-05-13,<br>09:51:05 | 200  | 57.2700  | 56.7500 | -11,454.00 | -0.50  | 11,454.50  | 0.00    | -104.00 | O;P |
| VZ       | 2019-05-13,<br>09:51:51 | -200 | 57.1900  | 56.7500 | 11,438.00  | -1.22  | -11,454.50 | -17.72  | 88.00   | C;P |
| VZ       | 2019-05-16,<br>09:47:00 | 200  | 57.2700  | 57.3800 | -11,454.00 | -0.32  | 11,454.32  | 0.00    | 22.00   | O   |
| VZ       | 2019-05-16,<br>09:56:49 | -200 | 57.1700  | 57.3800 | 11,434.00  | -1.60  | -11,454.32 | -21.92  | -42.00  | C;P |
| VZ       | 2019-05-20,<br>14:32:25 | 200  | 58.22945 | 59.0100 | -11,645.89 | -1.04  | 11,646.93  | 0.00    | 156.11  | O;P |
| VZ       | 2019-05-30,<br>10:16:00 | -200 | 56.8200  | 56.8300 | 11,364.00  | -1.00  | -11,646.93 | -283.93 | -2.00   | C;P |
| VZ       | 2019-05-31,<br>09:30:03 | -200 | 55.1500  | 54.3500 | 11,030.00  | -0.57  | -11,029.43 | 0.00    | 160.00  | O   |
| VZ       | 2019-05-31,<br>15:30:32 | 200  | 54.4000  | 54.3500 | -10,880.00 | -1.27  | 11,029.43  | 148.16  | -10.00  | C;P |
| VZ       | 2019-06-03,<br>15:53:23 | 200  | 56.2800  | 56.3800 | -11,256.00 | -0.32  | 11,256.32  | 0.00    | 20.00   | O;P |
| VZ       | 2019-06-04,<br>13:50:05 | -200 | 56.2125  | 56.2200 | 11,242.50  | -0.99  | -11,256.32 | -14.81  | -1.50   | C;P |
| VZ       | 2019-06-05,<br>09:30:05 | 200  | 56.5200  | 57.0300 | -11,304.00 | -0.32  | 11,304.32  | 0.00    | 102.00  | O   |
| VZ       | 2019-06-10,<br>13:31:27 | -200 | 56.2200  | 56.1500 | 11,244.00  | -0.71  | -11,304.32 | -61.03  | 14.00   | C;P |
| VZ       | 2019-06-11,<br>09:30:16 | 200  | 56.4400  | 56.8300 | -11,288.00 | -0.32  | 11,288.32  | 0.00    | 78.00   | O   |
| VZ       | 2019-06-11,<br>09:30:41 | -200 | 56.2115  | 56.8300 | 11,242.30  | -1.00  | -11,288.32 | -47.02  | -123.70 | C   |
| VZ       | 2019-06-20,<br>11:10:50 | 200  | 57.1800  | 57.3400 | -11,436.00 | -0.40  | 11,436.40  | 0.00    | 32.00   | O   |
| VZ       | 2019-06-25,<br>15:50:55 | -200 | 57.93135 | 58.0000 | 11,586.27  | -1.30  | -11,436.40 | 148.57  | -13.73  | C;P |
| VZ       | 2019-07-01,<br>09:30:05 | 200  | 57.2600  | 56.6600 | -11,452.00 | -0.32  | 11,452.32  | 0.00    | -120.00 | O;P |
| VZ       | 2019-07-01,<br>09:31:09 | -200 | 57.18135 | 56.6600 | 11,436.27  | -1.30  | -11,452.32 | -17.35  | 104.27  | C;P |
| VZ       | 2019-07-02,<br>09:48:19 | 200  | 57.2700  | 58.1300 | -11,454.00 | -0.50  | 11,454.50  | 0.00    | 172.00  | O   |
| Total VZ |                         | 200  |          |         | -11,621.85 | -23.98 | 11,454.50  | -191.33 | 662.15  |     |
| WB       | 2019-02-06,<br>15:32:15 | 100  | 62.1800  | 62.3600 | -6,218.00  | -1.00  | 6,634.90   | 415.90  | 18.00   | C   |
| WB       | 2019-03-01,<br>04:50:24 | 100  | 74.0000  | 72.0700 | -7,400.00  | -1.00  | 7,401.00   | 0.00    | -193.00 | O   |
| WB       | 2019-03-04,<br>09:49:18 | -100 | 72.3935  | 72.3700 | 7,239.35   | -1.11  | -7,401.00  | -162.76 | 2.35    | C   |
| WB       | 2019-03-04,<br>19:50:05 | 100  | 74.6000  | 72.3700 | -7,460.00  | -1.00  | 7,461.00   | 0.00    | -223.00 | O   |
| WB       | 2019-03-05,<br>07:35:08 | -100 | 72.2830  | 69.7200 | 7,228.30   | -1.11  | -7,461.00  | -233.81 | 256.30  | C;P |
| WB       | 2019-03-25,<br>09:35:13 | -100 | 58.2900  | 59.0300 | 5,829.00   | -1.09  | -5,827.91  | 0.00    | -74.00  | O   |
| WB       | 2019-03-29,<br>09:30:05 | 100  | 59.7600  | 61.9900 | -5,976.00  | -1.00  | 5,827.91   | -149.09 | 223.00  | C   |
| WB       | 2019-04-15,<br>09:33:58 | 200  | 69.6500  | 68.6000 | -13,930.00 | -1.00  | 13,931.00  | 0.00    | -210.00 | O   |
| WB       | 2019-05-01,<br>09:34:52 | -200 | 68.8600  | 67.9400 | 13,772.00  | -1.05  | -13,931.00 | -160.05 | 184.00  | C   |

## Trades

|                  |                         |            |            |         |                  |               |                 |                |               |     |
|------------------|-------------------------|------------|------------|---------|------------------|---------------|-----------------|----------------|---------------|-----|
| WB               | 2019-05-03,<br>10:39:13 | 200        | 68.2500    | 68.7900 | -13,650.00       | -0.32         | 13,650.32       | 0.00           | 108.00        | O   |
| WB               | 2019-05-03,<br>10:39:28 | -200       | 68.2616    | 68.7900 | 13,652.32        | -1.05         | -13,650.32      | 0.95           | -105.68       | C   |
| WB               | 2019-05-03,<br>12:01:06 | 200        | 68.2900    | 68.7900 | -13,658.00       | -0.32         | 13,658.32       | 0.00           | 100.00        | O   |
| WB               | 2019-05-03,<br>12:03:24 | -200       | 68.2800    | 68.7900 | 13,656.00        | -0.63         | -13,658.32      | -2.95          | -102.00       | C   |
| WB               | 2019-05-13,<br>09:30:11 | -200       | 58.15125   | 58.6300 | 11,630.25        | -0.61         | -11,629.64      | 0.00           | -95.75        | O;P |
| WB               | 2019-05-13,<br>09:50:54 | 200        | 58.5000    | 58.6300 | -11,700.00       | -0.32         | 11,629.64       | -70.68         | 26.00         | C   |
| <b>Total WB</b>  |                         | <b>100</b> |            |         | <b>-6,984.78</b> | <b>-12.59</b> | <b>6,634.90</b> | <b>-362.47</b> | <b>-85.78</b> |     |
| WDC              | 2019-06-03,<br>11:49:39 | 300        | 37.2200    | 37.0800 | -11,166.00       | -0.48         | 11,166.48       | 0.00           | -42.00        | O;P |
| WDC              | 2019-06-03,<br>11:49:43 | -300       | 37.2202    | 37.0800 | 11,166.06        | -1.38         | -11,166.48      | -1.80          | 42.06         | C   |
| WDC              | 2019-06-04,<br>10:05:21 | 300        | 37.5200    | 39.1400 | -11,256.00       | -0.48         | 11,256.48       | 0.00           | 486.00        | O   |
| WDC              | 2019-06-07,<br>09:41:49 | -300       | 37.2624    | 36.9400 | 11,178.72        | -1.38         | -11,256.48      | -79.14         | 96.72         | C   |
| WDC              | 2019-06-07,<br>11:50:54 | 300        | 37.4100    | 36.9400 | -11,223.00       | -0.48         | 11,223.48       | 0.00           | -141.00       | O;P |
| WDC              | 2019-06-07,<br>14:28:09 | -100       | 37.2500    | 36.9400 | 3,725.00         | -0.49         | -3,741.16       | -16.65         | 31.00         | C   |
| WDC              | 2019-06-07,<br>14:28:40 | -200       | 37.2501    | 36.9400 | 7,450.02         | -0.92         | -7,482.32       | -33.22         | 62.02         | C   |
| WDC              | 2019-06-10,<br>14:03:01 | 300        | 38.0100    | 37.9800 | -11,403.00       | -0.48         | 11,403.48       | 0.00           | -9.00         | O;P |
| WDC              | 2019-06-12,<br>09:32:20 | -300       | 36.9800    | 36.2400 | 11,094.00        | -1.38         | -11,403.48      | -310.86        | 222.00        | C   |
| WDC              | 2019-07-02,<br>09:30:11 | 200        | 48.2300    | 48.3300 | -9,646.00        | -0.32         | 9,646.32        | 0.00           | 20.00         | O;P |
| WDC              | 2019-07-02,<br>09:32:48 | -200       | 47.5333    | 48.3300 | 9,506.66         | -0.96         | -9,646.32       | -140.62        | -159.34       | C;P |
| <b>Total WDC</b> |                         | <b>0</b>   |            |         | <b>-573.54</b>   | <b>-8.74</b>  | <b>0.00</b>     | <b>-582.28</b> | <b>608.46</b> |     |
| WFC              | 2019-04-08,<br>09:30:32 | 200        | 48.6000    | 48.8800 | -9,720.00        | -1.00         | 9,721.00        | 0.00           | 56.00         | O;P |
| WFC              | 2019-04-09,<br>09:40:27 | -200       | 48.2915    | 48.1400 | 9,658.30         | -1.15         | -9,721.00       | -63.85         | 30.30         | C   |
| WFC              | 2019-04-12,<br>09:43:45 | 300        | 48.3100    | 46.4900 | -14,493.00       | -1.50         | 14,494.50       | 0.00           | -546.00       | O   |
| WFC              | 2019-04-12,<br>09:43:58 | -300       | 48.2915    | 46.4900 | 14,487.45        | -1.84         | -14,494.50      | -8.89          | 540.45        | C   |
| WFC              | 2019-04-15,<br>09:29:06 | -300       | 45.9400    | 46.7700 | 13,782.00        | -1.82         | -13,780.18      | 0.00           | -249.00       | O;P |
| WFC              | 2019-04-15,<br>09:30:29 | 300        | 46.3833333 | 46.7700 | -13,915.00       | -1.50         | 13,780.18       | -136.32        | 116.00        | C;P |
| WFC              | 2019-04-29,<br>09:35:53 | 300        | 48.3000    | 48.2700 | -14,490.00       | -0.75         | 14,490.75       | 0.00           | -9.00         | O   |
| WFC              | 2019-04-29,<br>09:36:55 | -300       | 48.3300    | 48.2700 | 14,499.00        | -1.65         | -14,490.75      | 6.60           | 18.00         | C;P |
| WFC              | 2019-04-30,<br>09:30:25 | 300        | 48.3000    | 48.4100 | -14,490.00       | -0.48         | 14,490.48       | 0.00           | 33.00         | O;P |
| WFC              | 2019-04-30,<br>09:30:34 | -300       | 48.1811    | 48.4100 | 14,454.33        | -1.45         | -14,490.48      | -37.60         | -68.67        | C;P |

## Trades

|     |                         |      |            |         |            |       |            |         |         |     |
|-----|-------------------------|------|------------|---------|------------|-------|------------|---------|---------|-----|
| WFC | 2019-05-01,<br>10:30:54 | 300  | 48.4200    | 48.2300 | -14,526.00 | -0.75 | 14,526.75  | 0.00    | -57.00  | O;P |
| WFC | 2019-05-01,<br>10:32:19 | -300 | 48.4100667 | 48.2300 | 14,523.02  | -1.40 | -14,526.75 | -5.13   | 54.02   | C;P |
| WFC | 2019-05-02,<br>09:41:28 | 186  | 48.2700    | 48.3000 | -8,978.22  | -0.47 | 8,978.69   | 0.00    | 5.58    | O   |
| WFC | 2019-05-02,<br>09:41:32 | -186 | 48.2800    | 48.3000 | 8,980.08   | -0.61 | -8,978.69  | 0.78    | -3.72   | C;P |
| WFC | 2019-05-06,<br>15:56:14 | 300  | 48.4700    | 48.4300 | -14,541.00 | -0.75 | 14,541.75  | 0.00    | -12.00  | O   |
| WFC | 2019-05-06,<br>16:00:17 | -100 | 48.4200    | 48.4300 | 4,842.00   | -0.43 | -4,847.25  | -5.68   | -1.00   | C   |
| WFC | 2019-05-06,<br>16:04:59 | -151 | 48.4400    | 48.4300 | 7,314.44   | -0.41 | -7,319.35  | -5.32   | 1.51    | C;P |
| WFC | 2019-05-06,<br>16:29:20 | -2   | 48.4000    | 48.4300 | 96.80      | -0.35 | -96.95     | -0.49   | -0.06   | C;P |
| WFC | 2019-05-08,<br>09:30:23 | -47  | 47.0700    | 47.0000 | 2,212.29   | -0.31 | -2,278.21  | -66.23  | 3.29    | C   |
| WFC | 2019-05-09,<br>09:52:22 | -300 | 46.0200    | 46.7400 | 13,806.00  | -1.07 | -13,804.93 | 0.00    | -216.00 | O   |
| WFC | 2019-05-09,<br>10:01:53 | 300  | 46.0800    | 46.7400 | -13,824.00 | -0.75 | 13,804.93  | -19.82  | 198.00  | C   |
| WFC | 2019-05-14,<br>09:41:14 | -300 | 46.0100    | 46.4900 | 13,803.00  | -1.07 | -13,801.93 | 0.00    | -144.00 | O;P |
| WFC | 2019-05-14,<br>09:42:11 | 300  | 46.0684    | 46.4900 | -13,820.52 | -1.11 | 13,801.93  | -19.70  | 126.48  | C   |
| WFC | 2019-05-15,<br>09:30:07 | -300 | 46.0400    | 45.8400 | 13,812.00  | -0.80 | -13,811.20 | 0.00    | 60.00   | O;P |
| WFC | 2019-05-15,<br>10:32:00 | 300  | 46.0984333 | 45.8400 | -13,829.53 | -1.11 | 13,811.20  | -19.44  | -77.53  | C;P |
| WFC | 2019-05-16,<br>09:30:31 | -300 | 46.0300    | 45.9000 | 13,809.00  | -2.33 | -13,806.67 | 0.00    | 39.00   | O;P |
| WFC | 2019-05-16,<br>09:30:50 | 300  | 46.0900    | 45.9000 | -13,827.00 | -1.71 | 13,806.67  | -22.04  | -57.00  | C;P |
| WFC | 2019-05-17,<br>09:30:28 | -300 | 45.4400    | 45.7000 | 13,632.00  | -0.80 | -13,631.20 | 0.00    | -78.00  | O;P |
| WFC | 2019-05-17,<br>10:54:44 | 300  | 46.0883333 | 45.7000 | -13,826.50 | -0.82 | 13,631.20  | -196.12 | -116.50 | C;P |
| WFC | 2019-05-20,<br>09:30:02 | -300 | 45.6200    | 45.4500 | 13,686.00  | -0.80 | -13,685.20 | 0.00    | 51.00   | O;P |
| WFC | 2019-05-21,<br>12:08:20 | 200  | 46.1200    | 46.3300 | -9,224.00  | -1.34 | 9,123.40   | -101.94 | 42.00   | C;P |
| WFC | 2019-05-21,<br>12:08:55 | 100  | 46.1200    | 46.3300 | -4,612.00  | -0.20 | 4,561.80   | -50.40  | 21.00   | C;P |
| WFC | 2019-05-22,<br>09:32:16 | -300 | 46.0300    | 46.1000 | 13,809.00  | -0.80 | -13,808.20 | 0.00    | -21.00  | O;P |
| WFC | 2019-05-22,<br>09:33:09 | 300  | 46.0800    | 46.1000 | -13,824.00 | -2.01 | 13,808.20  | -17.81  | 6.00    | C;P |
| WFC | 2019-05-22,<br>09:37:05 | -300 | 46.0200    | 46.1000 | 13,806.00  | -0.80 | -13,805.20 | 0.00    | -24.00  | O;P |
| WFC | 2019-05-22,<br>09:49:02 | 300  | 46.0673333 | 46.1000 | -13,820.20 | -1.47 | 13,805.20  | -16.47  | 9.80    | C;P |
| WFC | 2019-05-24,<br>09:31:08 | -300 | 45.7600    | 46.1700 | 13,728.00  | -0.83 | -13,727.17 | 0.00    | -123.00 | O   |
| WFC | 2019-05-24,<br>13:22:22 | 300  | 46.0900    | 46.1700 | -13,827.00 | -0.51 | 13,727.17  | -100.34 | 24.00   | C   |
| WFC | 2019-05-28,<br>09:59:59 | -300 | 46.0200667 | 45.5900 | 13,806.02  | -1.42 | -13,804.60 | 0.00    | 129.02  | O;P |

| Trades    |                         |  |        |            |          |            |        |            |           |         |     |
|-----------|-------------------------|--|--------|------------|----------|------------|--------|------------|-----------|---------|-----|
| WFC       | 2019-05-28,<br>10:02:42 |  | 300    | 46.0700    | 45.5900  | -13,821.00 | -1.41  | 13,804.60  | -17.81    | -144.00 | C;P |
| WFC       | 2019-05-29,<br>09:31:09 |  | -300   | 45.3900    | 45.4800  | 13,617.00  | -0.83  | -13,616.17 | 0.00      | -27.00  | O   |
| WFC       | 2019-05-31,<br>15:25:48 |  | 300    | 44.5366667 | 44.3700  | -13,361.00 | -0.87  | 13,616.17  | 254.30    | -50.00  | C;P |
| WFC       | 2019-06-03,<br>09:31:19 |  | -300   | 44.2900    | 44.5200  | 13,287.00  | -0.79  | -13,286.21 | 0.00      | -69.00  | O   |
| WFC       | 2019-06-03,<br>09:32:59 |  | 300    | 44.4399    | 44.5200  | -13,331.97 | -1.11  | 13,286.21  | -46.87    | 24.03   | C   |
| WFC       | 2019-06-06,<br>15:37:36 |  | 300    | 46.1700    | 45.9200  | -13,851.00 | -0.51  | 13,851.51  | 0.00      | -75.00  | O   |
| WFC       | 2019-06-06,<br>15:41:46 |  | -300   | 46.0700    | 45.9200  | 13,821.00  | -0.90  | -13,851.51 | -31.41    | 45.00   | C;P |
| WFC       | 2019-06-10,<br>09:31:47 |  | 300    | 46.2100    | 46.2700  | -13,863.00 | -0.48  | 13,863.48  | 0.00      | 18.00   | O   |
| WFC       | 2019-06-11,<br>09:30:47 |  | -300   | 45.9812    | 46.2600  | 13,794.36  | -1.42  | -13,863.48 | -70.54    | -83.64  | C;P |
| WFC       | 2019-06-12,<br>15:59:13 |  | 300    | 44.8600    | 44.9100  | -13,458.00 | -1.11  | 13,459.11  | 0.00      | 15.00   | O;P |
| WFC       | 2019-06-12,<br>15:59:39 |  | -300   | 44.8500    | 44.9100  | 13,455.00  | -2.11  | -13,459.11 | -6.23     | -18.00  | C;P |
| WFC       | 2019-06-18,<br>11:17:38 |  | 300    | 46.1700    | 46.1000  | -13,851.00 | -0.51  | 13,851.51  | 0.00      | -21.00  | O   |
| WFC       | 2019-06-18,<br>11:24:12 |  | -300   | 46.0820    | 46.1000  | 13,824.60  | -1.43  | -13,851.51 | -28.34    | -5.40   | C;P |
| WFC       | 2019-06-19,<br>09:30:36 |  | 300    | 46.3900    | 45.6500  | -13,917.00 | -0.51  | 13,917.51  | 0.00      | -222.00 | O   |
| WFC       | 2019-06-19,<br>14:00:12 |  | -300   | 46.0500    | 45.6500  | 13,815.00  | -0.90  | -13,917.51 | -103.41   | 120.00  | C;P |
| WFC       | 2019-06-21,<br>09:35:28 |  | 300    | 46.1699    | 46.8900  | -13,850.97 | -1.11  | 13,852.08  | 0.00      | 216.03  | O   |
| WFC       | 2019-06-21,<br>10:04:33 |  | -300   | 46.0625    | 46.8900  | 13,818.75  | -1.37  | -13,852.08 | -34.70    | -248.25 | C;P |
| WFC       | 2019-06-21,<br>14:06:36 |  | 300    | 46.2800    | 46.8900  | -13,884.00 | -0.75  | 13,884.75  | 0.00      | 183.00  | O   |
| WFC       | 2019-06-25,<br>09:30:28 |  | -300   | 46.0500    | 46.1400  | 13,815.00  | -2.33  | -13,884.75 | -72.08    | -27.00  | C   |
| WFC       | 2019-07-01,<br>09:31:00 |  | 300    | 47.8500    | 47.6900  | -14,355.00 | -0.51  | 14,355.51  | 0.00      | -48.00  | O   |
| WFC       | 2019-07-02,<br>11:22:38 |  | -300   | 47.3416    | 47.2300  | 14,202.48  | -1.44  | -14,355.51 | -154.47   | 33.48   | C   |
| Total WFC |                         |  | 0      |            |          | -1,134.99  | -62.81 | 0.00       | -1,197.80 | -622.78 |     |
| WIT       | 2019-04-15,<br>09:47:25 |  | 2,900  | 4.3800     | 4.3900   | -12,702.00 | -14.50 | 12,716.50  | 0.00      | 29.00   | O;P |
| WIT       | 2019-05-01,<br>10:13:32 |  | -2,900 | 4.5700     | 4.5500   | 13,253.00  | -6.89  | -12,716.50 | 529.61    | 58.00   | C;P |
| Total WIT |                         |  | 0      |            |          | 551.00     | -21.39 | 0.00       | 529.61    | 87.00   |     |
| WMT       | 2019-05-16,<br>09:33:40 |  | 100    | 102.7300   | 101.3100 | -10,273.00 | -0.16  | 10,273.16  | 0.00      | -142.00 | O   |
| WMT       | 2019-05-16,<br>09:33:55 |  | -100   | 102.5028   | 101.3100 | 10,250.28  | -0.59  | -10,273.16 | -23.47    | 119.28  | C   |
| WMT       | 2019-05-20,<br>09:30:47 |  | -100   | 100.5500   | 101.5200 | 10,055.00  | -0.47  | -10,054.53 | 0.00      | -97.00  | O;P |
| WMT       | 2019-05-20,<br>09:32:09 |  | 100    | 100.8800   | 101.5200 | -10,088.00 | -0.16  | 10,054.53  | -33.63    | 64.00   | C   |

## Trades

|                  |                         |          |          |          |               |              |             |               |                |     |
|------------------|-------------------------|----------|----------|----------|---------------|--------------|-------------|---------------|----------------|-----|
| WMT              | 2019-05-24,<br>10:28:24 | 100      | 102.8100 | 102.6700 | -10,281.00    | -0.25        | 10,281.25   | 0.00          | -14.00         | O;P |
| WMT              | 2019-05-24,<br>10:31:30 | -100     | 102.5801 | 102.6700 | 10,258.01     | -0.59        | -10,281.25  | -23.83        | -8.99          | C   |
| WMT              | 2019-05-28,<br>13:15:38 | 100      | 102.7900 | 102.4200 | -10,279.00    | -0.16        | 10,279.16   | 0.00          | -37.00         | O;P |
| WMT              | 2019-05-29,<br>09:31:01 | -100     | 101.9840 | 102.1200 | 10,198.40     | -0.59        | -10,279.16  | -81.35        | -13.60         | C   |
| WMT              | 2019-05-30,<br>10:29:53 | 100      | 102.8100 | 102.1900 | -10,281.00    | -0.16        | 10,281.16   | 0.00          | -62.00         | O   |
| WMT              | 2019-05-30,<br>10:50:06 | -100     | 102.6327 | 102.1900 | 10,263.27     | -0.59        | -10,281.16  | -18.48        | 44.27          | C   |
| WMT              | 2019-06-03,<br>09:30:11 | 100      | 101.5800 | 101.9600 | -10,158.00    | -0.16        | 10,158.16   | 0.00          | 38.00          | O   |
| WMT              | 2019-06-03,<br>09:31:49 | -100     | 101.5496 | 101.9600 | 10,154.96     | -0.59        | -10,158.16  | -3.79         | -41.04         | C   |
| WMT              | 2019-06-04,<br>09:30:07 | 100      | 102.5500 | 102.5600 | -10,255.00    | -0.16        | 10,255.16   | 0.00          | 1.00           | O;P |
| WMT              | 2019-06-04,<br>10:52:52 | -100     | 101.4900 | 102.5600 | 10,149.00     | -0.59        | -10,255.16  | -106.75       | -107.00        | C   |
| WMT              | 2019-06-05,<br>09:31:51 | 100      | 102.6400 | 104.4200 | -10,264.00    | -0.16        | 10,264.16   | 0.00          | 178.00         | O;P |
| WMT              | 2019-06-25,<br>15:50:58 | -100     | 110.5301 | 110.7200 | 11,053.01     | -0.61        | -10,264.16  | 788.24        | -18.99         | C   |
| WMT              | 2019-07-01,<br>09:30:35 | 100      | 111.2500 | 110.6200 | -11,125.00    | -0.25        | 11,125.25   | 0.00          | -63.00         | O   |
| WMT              | 2019-07-01,<br>11:24:18 | -100     | 110.4915 | 110.6200 | 11,049.15     | -0.61        | -11,125.25  | -76.71        | -12.85         | C   |
| WMT              | 2019-07-02,<br>09:31:32 | 100      | 110.5000 | 111.6000 | -11,050.00    | -0.25        | 11,050.25   | 0.00          | 110.00         | O;P |
| WMT              | 2019-07-02,<br>09:31:48 | -100     | 110.5328 | 111.6000 | 11,053.28     | -0.61        | -11,050.25  | 2.42          | -106.72        | C   |
| <b>Total WMT</b> |                         | <b>0</b> |          |          | <b>430.36</b> | <b>-7.74</b> | <b>0.00</b> | <b>422.62</b> | <b>-169.64</b> |     |
| XOM              | 2019-03-01,<br>08:28:24 | 100      | 79.3790  | 80.0000  | -7,937.90     | -1.00        | 7,938.90    | 0.00          | 62.10          | O;P |
| XOM              | 2019-03-06,<br>09:29:32 | -100     | 78.8100  | 79.2800  | 7,881.00      | -1.11        | -7,938.90   | -59.01        | -47.00         | C   |
| XOM              | 2019-03-07,<br>09:29:18 | 100      | 79.6867  | 80.1600  | -7,968.67     | -1.00        | 7,969.67    | 0.00          | 47.33          | O;P |
| XOM              | 2019-03-08,<br>09:29:23 | -100     | 78.8066  | 79.0100  | 7,880.66      | -1.11        | -7,969.67   | -90.12        | -20.34         | C;P |
| XOM              | 2019-03-11,<br>10:45:33 | 100      | 80.0500  | 79.7800  | -8,005.00     | -1.00        | 8,006.00    | 0.00          | -27.00         | O   |
| XOM              | 2019-04-03,<br>14:03:52 | -100     | 80.8000  | 80.9000  | 8,080.00      | -1.12        | -8,006.00   | 72.88         | -10.00         | C   |
| XOM              | 2019-04-04,<br>09:31:08 | 100      | 81.0650  | 82.0500  | -8,106.50     | -1.00        | 8,107.50    | 0.00          | 98.50          | O   |
| XOM              | 2019-04-04,<br>09:32:21 | -100     | 80.8428  | 82.0500  | 8,084.28      | -1.12        | -8,107.50   | -24.34        | -120.72        | C   |
| XOM              | 2019-04-05,<br>09:37:50 | 100      | 82.0900  | 82.4900  | -8,209.00     | -1.00        | 8,210.00    | 0.00          | 40.00          | O;P |
| XOM              | 2019-04-12,<br>14:31:09 | -100     | 80.8000  | 80.9200  | 8,080.00      | -1.18        | -8,210.00   | -131.18       | -12.00         | C   |
| XOM              | 2019-04-15,<br>09:30:05 | 200      | 80.8800  | 80.6500  | -16,176.00    | -1.00        | 16,177.00   | 0.00          | -46.00         | O;P |
| XOM              | 2019-04-15,<br>09:31:00 | -200     | 80.7631  | 80.6500  | 16,152.62     | -1.36        | -16,177.00  | -25.74        | 22.62          | C;P |



## Trades

|           |                      |          |             |             |                |           |            |              |         |      |
|-----------|----------------------|----------|-------------|-------------|----------------|-----------|------------|--------------|---------|------|
| XOM       | 2019-04-16, 09:30:47 | 200      | 80.80945    | 81.2000     | -16,161.89     | -1.00     | 16,162.89  | 0.00         | 78.11   | O;P  |
| XOM       | 2019-04-16, 09:34:44 | -200     | 80.6992     | 81.2000     | 16,139.84      | -1.36     | -16,162.89 | -24.41       | -100.16 | C;P  |
| XOM       | 2019-04-17, 09:29:45 | 200      | 81.4500     | 81.4300     | -16,290.00     | -1.00     | 16,291.00  | 0.00         | -4.00   | O    |
| XOM       | 2019-04-26, 09:32:26 | -200     | 80.2928     | 80.4900     | 16,058.56      | -1.10     | -16,291.00 | -233.54      | -39.44  | C    |
| XOM       | 2019-05-01, 09:38:53 | 200      | 80.2400     | 78.6700     | -16,048.00     | -0.50     | 16,048.50  | 0.00         | -314.00 | O    |
| XOM       | 2019-05-01, 09:39:11 | -200     | 80.2516     | 78.6700     | 16,050.32      | -1.10     | -16,048.50 | 0.72         | 316.32  | C    |
| XOM       | 2019-05-20, 09:30:05 | 200      | 76.0500     | 75.9000     | -15,210.00     | -0.32     | 15,210.32  | 0.00         | -30.00  | O    |
| XOM       | 2019-05-20, 09:35:33 | -200     | 76.0500     | 75.9000     | 15,210.00      | -1.33     | -15,210.32 | -1.65        | 30.00   | C;P  |
| XOM       | 2019-06-03, 09:34:03 | 200      | 71.0800     | 71.8800     | -14,216.00     | -0.32     | 14,216.32  | 0.00         | 160.00  | O;P  |
| XOM       | 2019-06-25, 15:50:55 | -200     | 76.2815     | 76.2700     | 15,256.30      | -1.08     | -14,216.32 | 1,038.90     | 2.30    | C    |
| XOM       | 2019-07-01, 09:36:57 | 200      | 77.3500     | 76.5600     | -15,470.00     | -0.32     | 15,470.32  | 0.00         | -158.00 | O    |
| XOM       | 2019-07-01, 12:59:30 | -200     | 76.6116     | 76.5600     | 15,322.32      | -1.08     | -15,470.32 | -149.08      | 10.32   | C    |
| Total XOM |                      | 0        |             |             | 396.94         | -23.50    | 0.00       | 373.44       | -61.06  |      |
| XOP       | 2019-03-13, 09:29:48 | 300      | 29.8100     | 30.0100     | -8,943.00      | -1.50     | 8,944.50   | 0.00         | 60.00   | O;P  |
| XOP       | 2019-03-13, 09:30:00 | -300     | 29.8500     | 30.0100     | 8,955.00       | -1.65     | -8,944.50  | 8.85         | -48.00  | C;P  |
| XOP       | 2019-03-14, 09:30:10 | 300      | 30.0100     | 30.1000     | -9,003.00      | -1.50     | 9,004.50   | 0.00         | 27.00   | O    |
| XOP       | 2019-03-15, 09:29:57 | -300     | 29.6900     | 29.7700     | 8,907.00       | -1.65     | -9,004.50  | -99.15       | -24.00  | C    |
| XOP       | 2019-03-27, 11:34:14 | 300      | 30.4100     | 30.6200     | -9,123.00      | -1.50     | 9,124.50   | 0.00         | 63.00   | O    |
| XOP       | 2019-04-03, 11:23:36 | -300     | 30.6750     | 30.1600     | 9,202.50       | -1.66     | -9,124.50  | 76.34        | 154.50  | C    |
| XOP       | 2019-04-04, 13:51:54 | 300      | 30.6700     | 30.7100     | -9,201.00      | -1.50     | 9,202.50   | 0.00         | 12.00   | O    |
| XOP       | 2019-04-04, 13:52:21 | -300     | 30.6701     | 30.7100     | 9,201.03       | -1.66     | -9,202.50  | -3.13        | -11.97  | C    |
| Total XOP |                      | 0        |             |             | -4.47          | -12.61    | 0.00       | -17.08       | 232.53  |      |
| Total     |                      |          |             |             | -361,461.62    | -2,024.20 | 383,052.27 | 12,390.71    | 506.76  |      |
| Symbol    | Date/Time            | Quantity | T. Price    | C. Price    | Notional Value | Comm/Fee  | Basis      | Realized P/L | MTM P/L | Code |
| Futures   |                      |          |             |             |                |           |            |              |         |      |
| USD       |                      |          |             |             |                |           |            |              |         |      |
| CNF19     | 2019-01-10, 20:53:18 | 1        | 10,720.0000 | 10,730.0000 | -10,720.00     | -1.51     | 10,463.49  | -258.02      | 10.00   | C    |
| CNF19     | 2019-01-10, 20:54:57 | 1        | 10,740.0000 | 10,730.0000 | -10,740.00     | -1.51     | 10,330.99  | -410.52      | -10.00  | C    |
| CNF19     | 2019-01-10, 20:58:32 | 1        | 10,702.5000 | 10,730.0000 | -10,702.50     | -1.51     | 10,704.01  | 0.00         | 27.50   | O    |
| CNF19     | 2019-01-10, 21:25:32 | 1        | 10,657.5000 | 10,730.0000 | -10,657.50     | -1.51     | 10,659.01  | 0.00         | 72.50   | O    |
| CNF19     | 2019-01-14, 21:00:17 | 1        | 10,650.0000 | 10,830.0000 | -10,650.00     | -1.51     | 10,651.51  | 0.00         | 180.00  | O    |

| Trades             |                         |  |          |             |             |                   |               |                  |                 |               |      |
|--------------------|-------------------------|--|----------|-------------|-------------|-------------------|---------------|------------------|-----------------|---------------|------|
| CNF19              | 2019-01-14,<br>21:00:18 |  | 1        | 10,647.5000 | 10,830.0000 | -10,647.50        | -1.51         | 10,649.01        | 0.00            | 182.50        | O    |
| CNF19              | 2019-01-22,<br>20:01:10 |  | 1        | 10,897.5000 | 10,935.0000 | -10,897.50        | -1.51         | 10,899.01        | 0.00            | 37.50         | O    |
| CNF19              | 2019-01-22,<br>21:08:20 |  | 1        | 10,952.5000 | 10,935.0000 | -10,952.50        | -1.51         | 10,954.01        | 0.00            | -17.50        | O    |
| CNF19              | 2019-01-22,<br>21:11:58 |  | 1        | 10,955.0000 | 10,935.0000 | -10,955.00        | -1.51         | 10,956.51        | 0.00            | -20.00        | O    |
| CNF19              | 2019-01-23,<br>00:26:17 |  | 1        | 10,937.5000 | 10,935.0000 | -10,937.50        | -1.51         | 10,939.01        | 0.00            | -2.50         | O    |
| CNF19              | 2019-01-23,<br>21:10:57 |  | 1        | 10,922.5000 | 11,052.5000 | -10,922.50        | -1.51         | 10,924.01        | 0.00            | 130.00        | O    |
| CNF19              | 2019-01-30,<br>07:00:00 |  | -9       | 11,194.6900 | 11,194.6900 | 100,752.21        | -13.59        | -97,336.09       | 3,402.53        | 0.00          | C;Ep |
| <b>Total CNF19</b> |                         |  | <b>2</b> |             |             | <b>-18,030.29</b> | <b>-30.20</b> | <b>20,794.48</b> | <b>2,733.99</b> | <b>590.00</b> |      |
| CNG19              | 2019-01-28,<br>21:05:05 |  | 1        | 11,190.0000 | 11,282.5000 | -11,190.00        | -1.51         | 11,191.51        | 0.00            | 92.50         | O    |
| CNG19              | 2019-01-28,<br>21:08:09 |  | 1        | 11,175.0000 | 11,282.5000 | -11,175.00        | -1.51         | 11,176.51        | 0.00            | 107.50        | O    |
| CNG19              | 2019-01-28,<br>21:10:01 |  | 1        | 11,162.5000 | 11,282.5000 | -11,162.50        | -1.51         | 11,164.01        | 0.00            | 120.00        | O    |
| CNG19              | 2019-01-28,<br>21:17:10 |  | 1        | 11,170.0000 | 11,282.5000 | -11,170.00        | -1.51         | 11,171.51        | 0.00            | 112.50        | O    |
| CNG19              | 2019-01-28,<br>21:24:43 |  | 1        | 11,145.0000 | 11,282.5000 | -11,145.00        | -1.51         | 11,146.51        | 0.00            | 137.50        | O    |
| CNG19              | 2019-01-30,<br>20:54:01 |  | 1        | 11,335.0000 | 11,487.5000 | -11,335.00        | -1.51         | 11,336.51        | 0.00            | 152.50        | O    |
| CNG19              | 2019-01-30,<br>20:54:24 |  | 1        | 11,327.5000 | 11,487.5000 | -11,327.50        | -1.51         | 11,329.01        | 0.00            | 160.00        | O    |
| CNG19              | 2019-01-30,<br>20:54:51 |  | 1        | 11,317.5000 | 11,487.5000 | -11,317.50        | -1.51         | 11,319.01        | 0.00            | 170.00        | O    |
| CNG19              | 2019-01-30,<br>20:55:28 |  | 1        | 11,317.5000 | 11,487.5000 | -11,317.50        | -1.51         | 11,319.01        | 0.00            | 170.00        | O    |
| CNG19              | 2019-01-30,<br>20:57:39 |  | 1        | 11,310.0000 | 11,487.5000 | -11,310.00        | -1.51         | 11,311.51        | 0.00            | 177.50        | O    |
| CNG19              | 2019-01-30,<br>20:57:54 |  | 1        | 11,302.5000 | 11,487.5000 | -11,302.50        | -1.51         | 11,304.01        | 0.00            | 185.00        | O    |
| CNG19              | 2019-01-31,<br>20:38:27 |  | -1       | 11,455.0000 | 11,542.5000 | 11,455.00         | -1.51         | -11,191.51       | 261.98          | -87.50        | C    |
| CNG19              | 2019-01-31,<br>20:38:29 |  | -1       | 11,455.0000 | 11,542.5000 | 11,455.00         | -1.51         | -11,176.51       | 276.98          | -87.50        | C    |
| CNG19              | 2019-01-31,<br>20:38:33 |  | -1       | 11,460.0000 | 11,542.5000 | 11,460.00         | -1.51         | -11,164.01       | 294.48          | -82.50        | C    |
| CNG19              | 2019-01-31,<br>20:38:34 |  | -1       | 11,457.5000 | 11,542.5000 | 11,457.50         | -1.51         | -11,171.51       | 284.48          | -85.00        | C    |
| CNG19              | 2019-01-31,<br>20:39:00 |  | -1       | 11,470.0000 | 11,542.5000 | 11,470.00         | -1.51         | -11,146.51       | 321.98          | -72.50        | C    |
| CNG19              | 2019-01-31,<br>20:39:07 |  | -1       | 11,472.5000 | 11,542.5000 | 11,472.50         | -1.51         | -11,336.51       | 134.48          | -70.00        | C    |
| CNG19              | 2019-01-31,<br>20:39:09 |  | -1       | 11,475.0000 | 11,542.5000 | 11,475.00         | -1.51         | -11,329.01       | 144.48          | -67.50        | C    |
| CNG19              | 2019-01-31,<br>20:40:58 |  | -1       | 11,477.5000 | 11,542.5000 | 11,477.50         | -1.51         | -11,319.01       | 156.98          | -65.00        | C    |
| CNG19              | 2019-01-31,<br>20:41:11 |  | -1       | 11,475.0000 | 11,542.5000 | 11,475.00         | -1.51         | -11,319.01       | 154.48          | -67.50        | C    |
| CNG19              | 2019-01-31,<br>20:42:15 |  | -1       | 11,475.0000 | 11,542.5000 | 11,475.00         | -1.51         | -11,311.51       | 161.98          | -67.50        | C    |

| Trades      |                      |  |    |             |             |            |        |            |          |          |      |
|-------------|----------------------|--|----|-------------|-------------|------------|--------|------------|----------|----------|------|
| CNG19       | 2019-01-31, 20:43:53 |  | -1 | 11,455.0000 | 11,542.5000 | 11,455.00  | -1.51  | -11,304.01 | 149.48   | -87.50   | C    |
| CNG19       | 2019-02-06, 20:03:58 |  | 1  | 11,592.5000 | 11,585.0000 | -11,592.50 | -1.51  | 11,594.01  | 0.00     | -7.50    | O    |
| CNG19       | 2019-02-06, 20:27:13 |  | 1  | 11,565.0000 | 11,585.0000 | -11,565.00 | -1.51  | 11,566.51  | 0.00     | 20.00    | O    |
| CNG19       | 2019-02-07, 21:26:27 |  | -1 | 11,357.5000 | 11,435.0000 | 11,357.50  | -1.51  | -11,594.01 | -238.02  | -77.50   | C    |
| CNG19       | 2019-02-07, 21:33:34 |  | -1 | 11,367.5000 | 11,435.0000 | 11,367.50  | -1.51  | -11,566.51 | -200.52  | -67.50   | C    |
| CNG19       | 2019-02-07, 21:34:55 |  | -1 | 11,367.5000 | 11,435.0000 | 11,367.50  | -1.51  | -11,365.99 | 0.00     | -67.50   | O    |
| CNG19       | 2019-02-07, 21:42:49 |  | -1 | 11,380.0000 | 11,435.0000 | 11,380.00  | -1.51  | -11,378.49 | 0.00     | -55.00   | O    |
| CNG19       | 2019-02-07, 22:16:08 |  | -1 | 11,397.5000 | 11,435.0000 | 11,397.50  | -1.51  | -11,395.99 | 0.00     | -37.50   | O    |
| CNG19       | 2019-02-08, 01:24:11 |  | -1 | 11,425.0000 | 11,435.0000 | 11,425.00  | -1.51  | -11,423.49 | 0.00     | -10.00   | O    |
| CNG19       | 2019-02-10, 21:15:00 |  | 4  | 11,490.0000 | 11,720.0000 | -45,960.00 | -6.04  | 45,563.96  | -402.08  | 920.00   | C    |
| CNG19       | 2019-02-17, 20:51:01 |  | 1  | 11,760.0000 | 11,892.5000 | -11,760.00 | -1.51  | 11,761.51  | 0.00     | 132.50   | O    |
| CNG19       | 2019-02-17, 20:51:02 |  | 1  | 11,757.5000 | 11,892.5000 | -11,757.50 | -1.51  | 11,759.01  | 0.00     | 135.00   | O    |
| CNG19       | 2019-02-17, 21:02:09 |  | 1  | 11,750.0000 | 11,892.5000 | -11,750.00 | -1.51  | 11,751.51  | 0.00     | 142.50   | O    |
| CNG19       | 2019-02-17, 21:03:04 |  | 1  | 11,737.5000 | 11,892.5000 | -11,737.50 | -1.51  | 11,739.01  | 0.00     | 155.00   | O    |
| CNG19       | 2019-02-17, 21:05:28 |  | 1  | 11,735.0000 | 11,892.5000 | -11,735.00 | -1.51  | 11,736.51  | 0.00     | 157.50   | O    |
| CNG19       | 2019-02-27, 07:00:00 |  | -5 | 12,525.0900 | 12,525.0900 | 62,625.45  | -7.55  | -58,747.55 | 3,870.35 | 0.00     | C;Ep |
| Total CNG19 |                      |  | 0  |             |             | 5,437.95   | -66.44 | 0.00       | 5,371.51 | 2,085.00 |      |
| CNH19       | 2019-02-26, 20:36:13 |  | 1  | 12,600.0000 | 12,552.5000 | -12,600.00 | -1.51  | 12,601.51  | 0.00     | -47.50   | O    |
| CNH19       | 2019-02-26, 20:36:30 |  | 1  | 12,595.0000 | 12,552.5000 | -12,595.00 | -1.51  | 12,596.51  | 0.00     | -42.50   | O    |
| CNH19       | 2019-02-26, 20:36:40 |  | 1  | 12,585.0000 | 12,552.5000 | -12,585.00 | -1.51  | 12,586.51  | 0.00     | -32.50   | O    |
| CNH19       | 2019-02-26, 20:37:24 |  | 1  | 12,572.5000 | 12,552.5000 | -12,572.50 | -1.51  | 12,574.01  | 0.00     | -20.00   | O    |
| CNH19       | 2019-02-26, 20:37:46 |  | 1  | 12,565.0000 | 12,552.5000 | -12,565.00 | -1.51  | 12,566.51  | 0.00     | -12.50   | O    |
| CNH19       | 2019-02-26, 20:38:06 |  | 1  | 12,557.5000 | 12,552.5000 | -12,557.50 | -1.51  | 12,559.01  | 0.00     | -5.00    | O    |
| CNH19       | 2019-02-26, 20:54:25 |  | 1  | 12,607.5000 | 12,552.5000 | -12,607.50 | -1.51  | 12,609.01  | 0.00     | -55.00   | O    |
| CNH19       | 2019-02-26, 20:54:29 |  | 1  | 12,605.0000 | 12,552.5000 | -12,605.00 | -1.51  | 12,606.51  | 0.00     | -52.50   | O    |
| CNH19       | 2019-02-26, 20:54:51 |  | 1  | 12,600.0000 | 12,552.5000 | -12,600.00 | -1.51  | 12,601.51  | 0.00     | -47.50   | O    |
| CNH19       | 2019-02-26, 20:59:54 |  | 1  | 12,587.5000 | 12,552.5000 | -12,587.50 | -1.51  | 12,589.01  | 0.00     | -35.00   | O    |
| CNH19       | 2019-02-26, 21:00:59 |  | 1  | 12,585.0000 | 12,552.5000 | -12,585.00 | -1.51  | 12,586.51  | 0.00     | -32.50   | O    |
| CNH19       | 2019-02-26, 21:01:30 |  | 1  | 12,580.0000 | 12,552.5000 | -12,580.00 | -1.51  | 12,581.51  | 0.00     | -27.50   | O    |

## Trades

|       |                         |  |     |             |             |            |        |             |         |          |     |
|-------|-------------------------|--|-----|-------------|-------------|------------|--------|-------------|---------|----------|-----|
| CNH19 | 2019-02-26,<br>21:01:52 |  | 1   | 12,572.5000 | 12,552.5000 | -12,572.50 | -1.51  | 12,574.01   | 0.00    | -20.00   | O   |
| CNH19 | 2019-02-26,<br>21:02:10 |  | 1   | 12,575.0000 | 12,552.5000 | -12,575.00 | -1.51  | 12,576.51   | 0.00    | -22.50   | O   |
| CNH19 | 2019-02-26,<br>21:02:39 |  | 1   | 12,570.0000 | 12,552.5000 | -12,570.00 | -1.51  | 12,571.51   | 0.00    | -17.50   | O   |
| CNH19 | 2019-02-26,<br>21:03:33 |  | 1   | 12,570.0000 | 12,552.5000 | -12,570.00 | -1.51  | 12,571.51   | 0.00    | -17.50   | O   |
| CNH19 | 2019-02-26,<br>21:03:45 |  | 1   | 12,565.0000 | 12,552.5000 | -12,565.00 | -1.51  | 12,566.51   | 0.00    | -12.50   | O   |
| CNH19 | 2019-02-26,<br>21:04:18 |  | 1   | 12,562.5000 | 12,552.5000 | -12,562.50 | -1.51  | 12,564.01   | 0.00    | -10.00   | O   |
| CNH19 | 2019-02-26,<br>21:04:28 |  | 1   | 12,557.5000 | 12,552.5000 | -12,557.50 | -1.51  | 12,559.01   | 0.00    | -5.00    | O   |
| CNH19 | 2019-02-26,<br>21:05:35 |  | 1   | 12,555.0000 | 12,552.5000 | -12,555.00 | -1.51  | 12,556.51   | 0.00    | -2.50    | O   |
| CNH19 | 2019-02-26,<br>21:05:39 |  | 1   | 12,550.0000 | 12,552.5000 | -12,550.00 | -1.51  | 12,551.51   | 0.00    | 2.50     | O   |
| CNH19 | 2019-02-26,<br>21:06:08 |  | 1   | 12,550.0000 | 12,552.5000 | -12,550.00 | -1.51  | 12,551.51   | 0.00    | 2.50     | O   |
| CNH19 | 2019-02-26,<br>21:14:25 |  | 1   | 12,555.0000 | 12,552.5000 | -12,555.00 | -1.51  | 12,556.51   | 0.00    | -2.50    | O   |
| CNH19 | 2019-02-26,<br>21:15:35 |  | 1   | 12,540.0000 | 12,552.5000 | -12,540.00 | -1.51  | 12,541.51   | 0.00    | 12.50    | O   |
| CNH19 | 2019-02-26,<br>21:16:33 |  | 1   | 12,532.5000 | 12,552.5000 | -12,532.50 | -1.51  | 12,534.01   | 0.00    | 20.00    | O   |
| CNH19 | 2019-02-27,<br>01:17:55 |  | 1   | 12,547.5000 | 12,552.5000 | -12,547.50 | -1.51  | 12,549.01   | 0.00    | 5.00     | O   |
| CNH19 | 2019-02-27,<br>01:19:39 |  | 1   | 12,525.0000 | 12,552.5000 | -12,525.00 | -1.51  | 12,526.51   | 0.00    | 27.50    | O   |
| CNH19 | 2019-02-27,<br>01:20:14 |  | 1   | 12,512.5000 | 12,552.5000 | -12,512.50 | -1.51  | 12,514.01   | 0.00    | 40.00    | O   |
| CNH19 | 2019-02-27,<br>02:57:06 |  | -1  | 12,555.0000 | 12,552.5000 | 12,555.00  | -1.51  | -12,601.51  | -48.02  | 2.50     | C   |
| CNH19 | 2019-02-27,<br>04:01:22 |  | -1  | 12,542.5000 | 12,535.0000 | 12,542.50  | -1.51  | -12,596.51  | -55.52  | 7.50     | C   |
| CNH19 | 2019-02-27,<br>04:19:43 |  | -15 | 12,522.5000 | 12,535.0000 | 187,837.50 | -22.65 | -188,720.15 | -905.30 | -187.50  | C;P |
| CNH19 | 2019-02-27,<br>04:35:22 |  | -4  | 12,540.0000 | 12,535.0000 | 50,160.00  | -6.04  | -50,231.04  | -77.08  | 20.00    | C   |
| CNH19 | 2019-03-07,<br>20:35:59 |  | -7  | 12,455.0000 | 12,270.0000 | 87,185.00  | -10.57 | -87,773.07  | -598.64 | 1,295.00 | C   |
| CNH19 | 2019-03-07,<br>20:50:30 |  | -1  | 12,495.0000 | 12,270.0000 | 12,495.00  | -1.51  | -12,493.49  | 0.00    | 225.00   | O   |
| CNH19 | 2019-03-07,<br>20:57:16 |  | 1   | 12,532.5000 | 12,270.0000 | -12,532.50 | -1.51  | 12,493.49   | -40.52  | -262.50  | C   |
| CNH19 | 2019-03-11,<br>21:05:00 |  | 1   | 12,570.0000 | 12,480.0000 | -12,570.00 | -1.51  | 12,571.51   | 0.00    | -90.00   | O   |
| CNH19 | 2019-03-11,<br>21:19:08 |  | -1  | 12,532.5000 | 12,480.0000 | 12,532.50  | -1.51  | -12,571.51  | -40.52  | 52.50    | C   |
| CNH19 | 2019-03-12,<br>10:16:51 |  | 1   | 12,535.0000 | 12,492.5000 | -12,535.00 | -1.51  | 12,536.51   | 0.00    | -42.50   | O   |
| CNH19 | 2019-03-12,<br>10:16:53 |  | -1  | 12,532.5000 | 12,492.5000 | 12,532.50  | -1.51  | -12,536.51  | -5.52   | 40.00    | C   |
| CNH19 | 2019-03-13,<br>01:30:16 |  | 3   | 12,535.0000 | 12,492.5000 | -37,605.00 | -4.53  | 37,609.53   | 0.00    | -127.50  | O   |
| CNH19 | 2019-03-13,<br>01:30:17 |  | -3  | 12,532.5000 | 12,492.5000 | 37,597.50  | -4.53  | -37,609.53  | -16.56  | 120.00   | C;P |

## Trades

|                    |                         |    |             |             |                  |                |             |                  |                |      |
|--------------------|-------------------------|----|-------------|-------------|------------------|----------------|-------------|------------------|----------------|------|
| CNH19              | 2019-03-13,<br>21:31:53 | 3  | 12,535.0000 | 12,550.0000 | -37,605.00       | -4.53          | 37,609.53   | 0.00             | 45.00          | O    |
| CNH19              | 2019-03-13,<br>21:32:00 | -3 | 12,532.5000 | 12,550.0000 | 37,597.50        | -4.53          | -37,609.53  | -16.56           | -52.50         | C    |
| CNH19              | 2019-03-13,<br>22:33:45 | 1  | 12,592.5000 | 12,550.0000 | -12,592.50       | -1.51          | 12,594.01   | 0.00             | -42.50         | O    |
| CNH19              | 2019-03-13,<br>23:32:03 | -1 | 12,532.5000 | 12,550.0000 | 12,532.50        | -1.51          | -12,594.01  | -63.02           | -17.50         | C    |
| CNH19              | 2019-03-14,<br>01:01:15 | 1  | 12,535.0000 | 12,550.0000 | -12,535.00       | -1.51          | 12,536.51   | 0.00             | 15.00          | O    |
| CNH19              | 2019-03-14,<br>01:01:23 | -1 | 12,532.5000 | 12,550.0000 | 12,532.50        | -1.51          | -12,536.51  | -5.52            | -17.50         | C    |
| CNH19              | 2019-03-14,<br>01:30:43 | 1  | 12,535.0000 | 12,550.0000 | -12,535.00       | -1.51          | 12,536.51   | 0.00             | 15.00          | O    |
| CNH19              | 2019-03-14,<br>01:30:49 | -1 | 12,530.0000 | 12,550.0000 | 12,530.00        | -1.51          | -12,536.51  | -8.02            | -20.00         | C    |
| CNH19              | 2019-03-14,<br>21:02:01 | 1  | 12,535.0000 | 12,660.0000 | -12,535.00       | -1.51          | 12,536.51   | 0.00             | 125.00         | O    |
| CNH19              | 2019-03-14,<br>21:17:42 | -1 | 12,532.5000 | 12,660.0000 | 12,532.50        | -1.51          | -12,536.51  | -5.52            | -127.50        | C    |
| CNH19              | 2019-03-15,<br>16:23:44 | 1  | 12,705.0000 | 13,042.5000 | -12,705.00       | -1.51          | 12,706.51   | 0.00             | 337.50         | O    |
| CNH19              | 2019-03-24,<br>23:55:43 | -1 | 12,532.5000 | 12,462.5000 | 12,532.50        | -1.51          | -12,706.51  | -175.52          | 70.00          | C    |
| CNH19              | 2019-03-25,<br>21:39:30 | 1  | 12,555.0000 | 12,430.0000 | -12,555.00       | -1.51          | 12,556.51   | 0.00             | -125.00        | O    |
| CNH19              | 2019-03-25,<br>21:40:50 | -1 | 12,530.0000 | 12,430.0000 | 12,530.00        | -1.51          | -12,556.51  | -28.02           | 100.00         | C    |
| <b>Total CNH19</b> |                         |    | <b>0</b>    |             | <b>-1,960.00</b> | <b>-129.86</b> | <b>0.00</b> | <b>-2,089.86</b> | <b>947.50</b>  |      |
| CNJ19              | 2019-03-26,<br>21:21:30 | -1 | 12,527.5000 | 12,600.0000 | 12,527.50        | -1.51          | -12,525.99  | 0.00             | -72.50         | O    |
| CNJ19              | 2019-03-26,<br>21:24:19 | 1  | 12,552.5000 | 12,600.0000 | -12,552.50       | -1.51          | 12,525.99   | -28.02           | 47.50          | C    |
| CNJ19              | 2019-03-27,<br>21:00:01 | -1 | 12,550.0000 | 12,607.5000 | 12,550.00        | -1.51          | -12,548.49  | 0.00             | -57.50         | O    |
| CNJ19              | 2019-03-27,<br>21:00:04 | 1  | 12,545.0000 | 12,607.5000 | -12,545.00       | -1.51          | 12,548.49   | 1.98             | 62.50          | C    |
| CNJ19              | 2019-04-14,<br>21:14:53 | 1  | 13,745.0000 | 13,602.5000 | -13,745.00       | -1.51          | 13,746.51   | 0.00             | -142.50        | O    |
| CNJ19              | 2019-04-29,<br>07:00:00 | -1 | 13,659.6200 | 13,659.6200 | 13,659.62        | -1.51          | -13,746.51  | -88.40           | 0.00           | C;Ep |
| <b>Total CNJ19</b> |                         |    | <b>0</b>    |             | <b>-105.38</b>   | <b>-9.06</b>   | <b>0.00</b> | <b>-114.44</b>   | <b>-162.50</b> |      |
| CNK19              | 2019-04-30,<br>21:00:00 | 1  | 13,662.5000 | 13,740.0000 | -13,662.50       | -1.51          | 13,664.01   | 0.00             | 77.50          | O    |
| CNK19              | 2019-04-30,<br>21:26:02 | -1 | 13,645.0000 | 13,740.0000 | 13,645.00        | -1.51          | -13,664.01  | -20.52           | -95.00         | C    |
| CNK19              | 2019-05-01,<br>01:11:31 | 1  | 13,705.0000 | 13,740.0000 | -13,705.00       | -1.51          | 13,706.51   | 0.00             | 35.00          | O    |
| CNK19              | 2019-05-01,<br>15:26:58 | -1 | 13,635.0000 | 13,625.0000 | 13,635.00        | -1.51          | -13,706.51  | -73.02           | 10.00          | C    |
| <b>Total CNK19</b> |                         |    | <b>0</b>    |             | <b>-87.50</b>    | <b>-6.04</b>   | <b>0.00</b> | <b>-93.54</b>    | <b>27.50</b>   |      |
| CNM19              | 2019-05-30,<br>21:41:09 | 1  | 12,682.5000 | 12,557.5000 | -12,682.50       | -1.51          | 12,684.01   | 0.00             | -125.00        | O    |
| CNM19              | 2019-05-30,<br>22:42:28 | -1 | 12,667.5000 | 12,557.5000 | 12,667.50        | -1.51          | -12,684.01  | -18.02           | 110.00         | C    |
| CNM19              | 2019-06-03,<br>01:12:49 | 1  | 12,665.0000 | 12,670.0000 | -12,665.00       | -1.51          | 12,666.51   | 0.00             | 5.00           | O    |

| Trades      |                      |  |    |                           |             |            |        |            |           |           |     |
|-------------|----------------------|--|----|---------------------------|-------------|------------|--------|------------|-----------|-----------|-----|
| CNM19       | 2019-06-03, 01:24:52 |  | -1 | 12,647.5000               | 12,670.0000 | 12,647.50  | -1.51  | -12,666.51 | -20.52    | -22.50    | C   |
| CNM19       | 2019-06-03, 08:16:41 |  | 1  | 12,707.5000               | 12,617.5000 | -12,707.50 | -1.51  | 12,709.01  | 0.00      | -90.00    | O   |
| CNM19       | 2019-06-03, 22:49:55 |  | -1 | 12,530.0000               | 12,617.5000 | 12,530.00  | -1.51  | -12,709.01 | -180.52   | -87.50    | C   |
| CNM19       | 2019-06-04, 11:23:57 |  | 1  | 12,665.0000               | 12,640.0000 | -12,665.00 | -1.51  | 12,666.51  | 0.00      | -25.00    | O   |
| CNM19       | 2019-06-04, 21:49:29 |  | -1 | 12,617.5000               | 12,640.0000 | 12,617.50  | -1.51  | -12,666.51 | -50.52    | -22.50    | C   |
| CNM19       | 2019-06-07, 09:42:49 |  | 1  | 12,715.0000               | 12,755.0000 | -12,715.00 | -1.51  | 12,716.51  | 0.00      | 40.00     | O   |
| CNM19       | 2019-06-21, 13:41:44 |  | -1 | 13,565.0000               | 13,667.5000 | 13,565.00  | -1.51  | -12,716.51 | 846.98    | -102.50   | C   |
| Total CNM19 |                      |  | 0  |                           |             | 592.50     | -15.10 | 0.00       | 577.40    | -320.00   |     |
| MESM9       | 2019-06-03, 22:51:43 |  | -1 | 2,748.5000                | 2,804.9000  | 13,742.50  | -0.47  | -13,742.03 | 0.00      | -282.00   | O   |
| MESM9       | 2019-06-03, 23:35:38 |  | 1  | 2,752.7500                | 2,804.9000  | -13,763.75 | -0.47  | 13,742.03  | -22.19    | 260.75    | C   |
| Total MESM9 |                      |  | 0  |                           |             | -21.25     | -0.94  | 0.00       | -22.19    | -21.25    |     |
| MNQM9       | 2019-05-20, 03:17:57 |  | -3 | 7,519.7500                | 7,391.7500  | 45,118.50  | -1.41  | -45,117.09 | 0.00      | 768.00    | O;P |
| MNQM9       | 2019-05-20, 03:18:03 |  | 1  | 7,520.5000                | 7,391.7500  | -15,041.00 | -0.47  | 15,039.03  | -2.44     | -257.50   | C   |
| MNQM9       | 2019-05-20, 03:18:06 |  | 2  | 7,520.5000                | 7,391.7500  | -30,082.00 | -0.94  | 30,078.06  | -4.88     | -515.00   | C   |
| MNQM9       | 2019-05-20, 03:26:34 |  | -3 | 7,519.5000                | 7,391.7500  | 45,117.00  | -1.41  | -45,115.59 | 0.00      | 766.50    | O;P |
| MNQM9       | 2019-05-20, 09:35:32 |  | 3  | 7,396.0000                | 7,391.7500  | -44,376.00 | -1.41  | 45,115.59  | 738.18    | -25.50    | C   |
| MNQM9       | 2019-05-22, 22:11:32 |  | -2 | 7,384.2500                | 7,311.0000  | 29,537.00  | -0.94  | -29,536.06 | 0.00      | 293.00    | O   |
| MNQM9       | 2019-05-23, 09:30:00 |  | 2  | 7,345.2500                | 7,311.0000  | -29,381.00 | -0.94  | 29,536.06  | 154.12    | -137.00   | C   |
| MNQM9       | 2019-05-28, 20:06:33 |  | -2 | 7,285.5000                | 7,216.0000  | 29,142.00  | -0.94  | -29,141.06 | 0.00      | 278.00    | O;P |
| MNQM9       | 2019-05-29, 09:30:01 |  | 2  | 7,233.0000                | 7,216.0000  | -28,932.00 | -0.94  | 29,141.06  | 208.12    | -68.00    | C   |
| MNQM9       | 2019-05-31, 15:38:25 |  | -1 | 7,133.2500                | 7,133.5000  | 14,266.50  | -0.47  | -14,266.03 | 0.00      | -0.50     | O   |
| MNQM9       | 2019-05-31, 15:38:26 |  | 1  | 7,133.0000                | 7,133.5000  | -14,266.00 | -0.47  | 14,266.03  | -0.44     | 1.00      | C   |
| MNQM9       | 2019-06-02, 22:45:31 |  | -1 | 7,088.0000                | 6,993.7500  | 14,176.00  | -0.47  | -14,175.53 | 0.00      | 188.50    | O   |
| MNQM9       | 2019-06-03, 08:15:40 |  | -6 | 7,100.7500                | 6,993.7500  | 85,209.00  | -2.82  | -85,206.18 | 0.00      | 1,284.00  | O;P |
| MNQM9       | 2019-06-03, 09:30:02 |  | 7  | 7,117.178571 <sub>4</sub> | 6,993.7500  | -99,640.50 | -3.29  | 99,381.71  | -262.08   | -1,728.00 | C;P |
| MNQM9       | 2019-06-03, 16:00:00 |  | -7 | 6,983.035714 <sub>3</sub> | 6,993.7500  | 97,762.50  | -3.29  | -97,759.21 | 0.00      | -150.00   | O;P |
| MNQM9       | 2019-06-04, 09:30:17 |  | 7  | 7,059.892857 <sub>1</sub> | 7,179.2500  | -98,838.50 | -3.29  | 97,759.21  | -1,082.58 | 1,671.00  | C;P |
| MNQM9       | 2019-06-04, 10:15:28 |  | -1 | 7,059.7500                | 7,179.2500  | 14,119.50  | -0.47  | -14,119.03 | 0.00      | -239.00   | O   |
| MNQM9       | 2019-06-04, 11:29:12 |  | 1  | 7,134.5000                | 7,179.2500  | -14,269.00 | -0.47  | 14,119.03  | -150.44   | 89.50     | C   |

| Trades        |                      |          |              |            |             |            |            |           |          |   |
|---------------|----------------------|----------|--------------|------------|-------------|------------|------------|-----------|----------|---|
| MNQM9         | 2019-06-20, 08:35:09 | 1        | 7,792.0000   | 7,745.2500 | -15,584.00  | -0.47      | 15,584.47  | 0.00      | -93.50   | O |
| MNQM9         | 2019-06-20, 09:30:22 | -1       | 7,770.0000   | 7,745.2500 | 15,540.00   | -0.47      | -15,584.47 | -44.94    | 49.50    | C |
| Total MNQM9   |                      |          | 0            |            | -422.00     | -25.38     | 0.00       | -447.38   | 2,175.00 |   |
| MNQU9         | 2019-06-21, 11:15:39 | 1        | 7,787.2500   | 7,751.5000 | -15,574.50  | -0.47      | 15,574.97  | 0.00      | -71.50   | O |
| MNQU9         | 2019-06-21, 11:27:01 | -1       | 7,774.7500   | 7,751.5000 | 15,549.50   | -0.47      | -15,574.97 | -25.94    | 46.50    | C |
| Total MNQU9   |                      |          | 0            |            | -25.00      | -0.94      | 0.00       | -25.94    | -25.00   |   |
| XBTK9         | 2019-04-23, 03:45:35 | 1        | 5,550.0000   | 5,592.5000 | -5,550.00   | -5.82      | 5,555.82   | 0.00      | 42.50    | O |
| XBTK9         | 2019-04-30, 18:01:12 | -1       | 5,220.0000   | 5,295.0000 | 5,220.00    | -6.02      | -5,555.82  | -341.84   | -75.00   | C |
| XBTK9         | 2019-05-01, 02:23:30 | 1        | 5,305.0000   | 5,295.0000 | -5,305.00   | -5.82      | 5,310.82   | 0.00      | -10.00   | O |
| XBTK9         | 2019-05-15, 12:11:16 | -1       | 7,985.0000   | 8,161.4100 | 7,985.00    | -6.02      | -5,310.82  | 2,668.16  | -176.41  | C |
| Total XBTk9   |                      |          | 0            |            | 2,350.00    | -23.68     | 0.00       | 2,326.32  | -218.91  |   |
| XBTM9         | 2019-05-15, 19:37:12 | 1        | 8,285.0000   | 7,902.5000 | -8,285.00   | -5.82      | 8,290.82   | 0.00      | -382.50  | O |
| XBTM9         | 2019-05-15, 19:45:46 | -1       | 8,280.0000   | 7,902.5000 | 8,280.00    | -6.02      | -8,290.82  | -16.84    | 377.50   | C |
| XBTM9         | 2019-05-15, 21:03:18 | 1        | 8,430.0000   | 7,902.5000 | -8,430.00   | -6.02      | 8,436.02   | 0.00      | -527.50  | O |
| XBTM9         | 2019-05-16, 00:44:32 | -1       | 7,980.0000   | 7,902.5000 | 7,980.00    | -6.02      | -8,436.02  | -462.04   | 77.50    | C |
| XBTM9         | 2019-05-30, 21:13:40 | 1        | 8,325.0000   | 8,495.0000 | -8,325.00   | -5.82      | 8,330.82   | 0.00      | 170.00   | O |
| XBTM9         | 2019-05-30, 23:03:34 | -1       | 8,250.0000   | 8,495.0000 | 8,250.00    | -6.02      | -8,330.82  | -86.84    | -245.00  | C |
| XBTM9         | 2019-05-31, 06:40:59 | 1        | 8,345.0000   | 8,495.0000 | -8,345.00   | -5.82      | 8,350.82   | 0.00      | 150.00   | O |
| XBTM9         | 2019-05-31, 07:35:21 | -1       | 8,260.0000   | 8,495.0000 | 8,260.00    | -6.02      | -8,350.82  | -96.84    | -235.00  | C |
| XBTM9         | 2019-06-03, 03:11:18 | 1        | 8,420.0000   | 8,612.5000 | -8,420.00   | -5.67      | 8,425.67   | 0.00      | 192.50   | O |
| XBTM9         | 2019-06-03, 23:36:29 | -1       | 7,975.0000   | 7,630.0000 | 7,975.00    | -5.82      | -8,425.67  | -456.49   | 345.00   | C |
| Total XBTM9   |                      |          | 0            |            | -1,060.00   | -59.05     | 0.00       | -1,119.05 | -77.50   |   |
| Total         |                      |          |              |            | -13,330.97  | -366.69    | 20,794.48  | 7,096.82  | 4,999.84 |   |
| Symbol        | Date/Time            | Quantity | T. Price     | Proceeds   | Comm in USD | MTM in USD | Code       |           |          |   |
| Forex         |                      |          |              |            |             |            |            |           |          |   |
| CAD           |                      |          |              |            |             |            |            |           |          |   |
| USD.CAD       | 2019-05-14, 21:27:27 | 24,000   | 1.3463       |            | -32,311.20  | -2.00      |            |           | -47.61   |   |
| USD.CAD       | 2019-05-14, 21:43:20 | -48,000  | 1.34625      |            | 64,620.00   | -2.00      |            |           | 93.43    |   |
| USD.CAD       | 2019-06-06, 18:00:41 | -2.0284  | 1.33605      |            | 2.71        | 0.00       |            |           | 0.01     |   |
| Total USD.CAD |                      |          | -24,002.0284 |            | 32,311.51   | -4.00      |            |           | 45.84    |   |
| Total         |                      |          |              |            | 32,311.51   | -4.00      |            |           | 45.84    |   |
| Total in USD  |                      |          |              |            | 24,047.87   | -4.00      |            |           | 45.84    |   |
| CNH           |                      |          |              |            |             |            |            |           |          |   |

| Trades        |                         |              |          |  |               |        |  |  |       |   |
|---------------|-------------------------|--------------|----------|--|---------------|--------|--|--|-------|---|
| USD.CNH       | 2019-05-14,<br>21:35:02 | 2,500        | 6.90452  |  | -17,261.30    | -2.00  |  |  | -0.30 |   |
| Total USD.CNH |                         | 2,500        |          |  | -17,261.30    | -2.00  |  |  | -0.30 |   |
| Total         |                         |              |          |  | -17,261.30    | -2.00  |  |  | -0.30 |   |
| Total in USD  |                         |              |          |  | -2,500.30     | -2.00  |  |  | -0.30 |   |
| HKD           |                         |              |          |  |               |        |  |  |       |   |
| USD.HKD       | 2019-04-03,<br>02:14:14 | 25,000       | 7.84976  |  | -196,244.00   | -2.00  |  |  | -1.49 |   |
| USD.HKD       | 2019-04-03,<br>02:14:54 | 25,000       | 7.84976  |  | -196,244.00   | -2.00  |  |  | -1.49 |   |
| USD.HKD       | 2019-04-03,<br>02:22:15 | 25,000       | 7.84973  |  | -196,243.25   | -2.00  |  |  | -1.39 |   |
| USD.HKD       | 2019-04-17,<br>01:54:56 | 25,000       | 7.84336  |  | -196,084.00   | -2.00  |  |  | 7.13  |   |
| USD.HKD       | 2019-04-17,<br>01:55:22 | 25,000       | 7.84334  |  | -196,083.50   | -2.00  |  |  | 7.20  |   |
| USD.HKD       | 2019-04-17,<br>01:55:53 | 25,000       | 7.84325  |  | -196,081.25   | -2.00  |  |  | 7.48  | P |
| USD.HKD       | 2019-04-17,<br>01:58:47 | 25,000       | 7.84329  |  | -196,082.25   | -2.00  |  |  | 7.36  |   |
| USD.HKD       | 2019-05-14,<br>21:19:32 | 25,000       | 7.84917  |  | -196,229.25   | -2.00  |  |  | 2.36  |   |
| USD.HKD       | 2019-05-14,<br>21:25:00 | 25,000       | 7.84919  |  | -196,229.75   | -2.00  |  |  | 2.29  |   |
| USD.HKD       | 2019-05-14,<br>21:33:59 | 310          | 7.8492   |  | -2,433.25     | -2.00  |  |  | 0.03  |   |
| USD.HKD       | 2019-05-14,<br>21:42:32 | 22,090       | 7.84934  |  | -173,391.92   | -2.00  |  |  | 1.60  |   |
| USD.HKD       | 2019-06-06,<br>18:00:41 | 2,0284       | 7.84045  |  | -15.90        | 0.00   |  |  | 0.00  |   |
| Total USD.HKD |                         | 247,402.0284 |          |  | -1,941,362.33 | -22.00 |  |  | 31.09 |   |
| Total         |                         |              |          |  | -1,941,362.33 | -22.00 |  |  | 31.09 |   |
| Total in USD  |                         |              |          |  | -247,370.94   | -22.00 |  |  | 31.09 |   |
| JPY           |                         |              |          |  |               |        |  |  |       |   |
| HKD.JPY       | 2019-05-16,<br>18:00:58 | 8.9406       | 13.9990  |  | -125.16       | 0.00   |  |  | 0.00  |   |
| Total HKD.JPY |                         | 8.9406       |          |  | -125.16       | 0.00   |  |  | 0.00  |   |
| USD.JPY       | 2019-04-17,<br>01:58:44 | 1,000        | 111.9940 |  | -111,994      | -2.00  |  |  | 0.66  |   |
| USD.JPY       | 2019-05-14,<br>21:25:51 | 380          | 109.6180 |  | -41,654.84    | -2.00  |  |  | -0.08 |   |
| Total USD.JPY |                         | 1,380        |          |  | -153,648.84   | -4.00  |  |  | 0.58  |   |
| Total         |                         |              |          |  | -153,774      | -4.00  |  |  | 0.58  |   |
| Total in USD  |                         |              |          |  | -1,380.56     | -4.00  |  |  | 0.58  |   |

| Transaction Fees |        |             |          |             |        |      |
|------------------|--------|-------------|----------|-------------|--------|------|
| Date/Time        | Symbol | Description | Quantity | Trade Price | Amount | Code |
| Stocks           |        |             |          |             |        |      |
| HKD              |        |             |          |             |        |      |



## Transaction Fees

| Date/Time            | Symbol | Description                   | Quantity | Trade Price | Amount         | Code |
|----------------------|--------|-------------------------------|----------|-------------|----------------|------|
| 2019-01-10, 20:56:39 | 700    | TENCENT HOLDINGS LTD          | 100      | 333.4000    | -34.90         |      |
| 2019-01-10, 21:24:23 | 2888   | STANDARD CHARTERED PLC        | 100      | 61.9000     | -7.17          |      |
| 2019-01-10, 21:25:45 | 700    | TENCENT HOLDINGS LTD          | 100      | 333.0000    | -34.90         |      |
| 2019-01-10, 21:27:30 | 12     | HENDERSON LAND DEVELOPMENT    | 1,000    | 42.0000     | -43.13         |      |
| 2019-01-10, 21:27:38 | 700    | TENCENT HOLDINGS LTD          | 100      | 332.4000    | -34.90         |      |
| 2019-01-10, 21:29:55 | 700    | TENCENT HOLDINGS LTD          | 100      | 332.6000    | -34.90         |      |
| 2019-01-10, 22:06:05 | 12     | HENDERSON LAND DEVELOPMENT    | 1,000    | 41.9500     | -43.13         |      |
| 2019-01-13, 20:38:56 | 700    | TENCENT HOLDINGS LTD          | 100      | 326.6000    | -33.88         |      |
| 2019-01-13, 20:39:20 | 700    | TENCENT HOLDINGS LTD          | 100      | 326.2000    | -33.88         |      |
| 2019-01-17, 20:38:42 | 2888   | STANDARD CHARTERED PLC        | 100      | 61.6000     | -7.17          |      |
| 2019-01-17, 20:39:22 | 2689   | NINE DRAGONS PAPER HOLDINGS   | 3,000    | 7.5800      | -23.61         |      |
| 2019-01-21, 01:50:16 | 27     | GALAXY ENTERTAINMENT GROUP L  | 1,000    | 50.7000     | -52.37         |      |
| 2019-01-21, 02:21:10 | 27     | GALAXY ENTERTAINMENT GROUP L  | 1,000    | 50.5500     | -52.36         |      |
| 2019-01-21, 02:30:24 | 27     | GALAXY ENTERTAINMENT GROUP L  | 1,000    | 50.5500     | -52.36         |      |
| 2019-01-21, 02:55:12 | 27     | GALAXY ENTERTAINMENT GROUP L  | 1,000    | 50.2500     | -52.36         |      |
| 2019-01-21, 02:56:14 | 27     | GALAXY ENTERTAINMENT GROUP L  | 1,000    | 50.2500     | -52.36         |      |
| 2019-01-22, 21:09:07 | 27     | GALAXY ENTERTAINMENT GROUP L  | 1,000    | 49.2500     | -51.33         |      |
| 2019-01-23, 00:03:40 | 700    | TENCENT HOLDINGS LTD          | 100      | 332.2000    | -34.90         |      |
| 2019-01-24, 00:09:32 | 700    | TENCENT HOLDINGS LTD          | 100      | 328.2000    | -33.89         |      |
| 2019-01-28, 21:09:12 | 700    | TENCENT HOLDINGS LTD          | 100      | 340.2000    | -35.92         |      |
| 2019-01-31, 20:38:14 | 27     | GALAXY ENTERTAINMENT GROUP L  | -1,000   | 53.8000     | -55.45         |      |
| 2019-02-01, 01:17:13 | 700    | TENCENT HOLDINGS LTD          | -100     | 346.2000    | -35.93         |      |
| 2019-02-01, 01:20:38 | 700    | TENCENT HOLDINGS LTD          | -100     | 346.4000    | -35.94         |      |
| 2019-02-01, 01:31:10 | 700    | TENCENT HOLDINGS LTD          | -100     | 346.4000    | -35.94         |      |
| 2019-02-01, 01:31:20 | 700    | TENCENT HOLDINGS LTD          | -100     | 346.4000    | -35.94         |      |
| <b>Total</b>         |        |                               |          |             | <b>-948.61</b> |      |
| <b>Total in USD</b>  |        |                               |          |             | <b>-120.94</b> |      |
| <b>USD</b>           |        |                               |          |             |                |      |
| 2019-02-28           | TOT    | French Transaction Tax        | 0        | 0.0000      | -17.02         |      |
| 2019-03-04           | TOT    | French Transaction Tax        | 0        | 0.0000      | -17.16         |      |
| 2019-03-12           | TOT    | French Transaction Tax        | 0        | 0.0000      | -17.12         |      |
| 2019-03-12           | TOT    | Cancel French Transaction Tax | 0        | 0.0000      | 17.12          | Ca   |
| 2019-03-13           | TOT    | French Transaction Tax        | 0        | 0.0000      | -17.26         |      |
| 2019-04-01           | TOT    | French Transaction Tax        | 0        | 0.0000      | -33.66         |      |
| 2019-06-04           | TOT    | French Transaction Tax        | 0        | 0.0000      | -31.71         |      |
| 2019-07-02           | TOT    | French Transaction Tax        | 0        | 0.0000      | -33.86         |      |
| <b>Total</b>         |        |                               |          |             | <b>-150.68</b> |      |

## Corporate Actions

| Report Date   | Date/Time            | Description                                                                                | Quantity | Proceeds | Value | Realized P/L | Code |
|---------------|----------------------|--------------------------------------------------------------------------------------------|----------|----------|-------|--------------|------|
| <b>Stocks</b> |                      |                                                                                            |          |          |       |              |      |
| <b>USD</b>    |                      |                                                                                            |          |          |       |              |      |
| 2019-07-08    | 2019-07-08, 19:45:00 | LYB(N53745100) Tendered to N53SPC015 1 FOR 1 (LYB, LYONDELLBASELL INDU-CL A, NL0009434992) | -100     | 0.00     | 0.00  | 0.00         |      |

## Corporate Actions

| Report Date  | Date/Time            | Description                                                                                                                                           | Quantity | Proceeds        | Value            | Realized P/L  | Code |
|--------------|----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|----------|-----------------|------------------|---------------|------|
| 2019-07-08   | 2019-07-08, 19:45:00 | LYB(N53745100) Tendered to N53SPC015 1 FOR 1 (LYB.SPC, LYONDELLBASELL INDU-CL A - TENDER AT BID PRICE, NLN53SPC0150)                                  | 100      | 0.00            | 0.00             | 0.00          |      |
| 2019-07-12   | 2019-07-11, 20:25:00 | LYB.SPC(N53SPC015) Merged(Voluntary Offer Allocation) FOR USD 88.00 PER SHARE (LYB.SPC, LYONDELLBASELL INDU-CL A - TENDER AT BID PRICE, NLN53SPC0150) | -100     | 8,800.00        | -8,492.00        | 114.75        |      |
| <b>Total</b> |                      |                                                                                                                                                       |          | <b>8,800.00</b> | <b>-8,492.00</b> | <b>114.75</b> |      |

## Transfers

| Symbol        | Date       | Type     | Direction | Xfer Company | Xfer Account | Quantity | Xfer Price | Market Value       | Realized P/L | Cash Amount | Code |
|---------------|------------|----------|-----------|--------------|--------------|----------|------------|--------------------|--------------|-------------|------|
| <b>Stocks</b> |            |          |           |              |              |          |            |                    |              |             |      |
| <b>USD</b>    |            |          |           |              |              |          |            |                    |              |             |      |
| AXP           | 2019-07-15 | Internal | Out       | --           | U3065498     | -100     | --         | -12,796.00         | 0.00         | 0.00        |      |
| BBL           | 2019-07-15 | Internal | Out       | --           | U3065498     | -200     | --         | -9,988.00          | 0.00         | 0.00        |      |
| BHP           | 2019-07-15 | Internal | Out       | --           | U3065498     | -200     | --         | -11,448.00         | 0.00         | 0.00        |      |
| BUD           | 2019-07-15 | Internal | Out       | --           | U3065498     | -100     | --         | -8,694.00          | 0.00         | 0.00        |      |
| C             | 2019-07-15 | Internal | Out       | --           | U3065498     | -200     | --         | -14,354.00         | 0.00         | 0.00        |      |
| CRM           | 2019-07-15 | Internal | Out       | --           | U3065498     | -100     | --         | -15,808.00         | 0.00         | 0.00        |      |
| DIS           | 2019-07-15 | Internal | Out       | --           | U3065498     | -100     | --         | -14,488.00         | 0.00         | 0.00        |      |
| EEM           | 2019-07-15 | Internal | Out       | --           | U3065498     | -300     | --         | -12,855.00         | 0.00         | 0.00        |      |
| HD            | 2019-07-15 | Internal | Out       | --           | U3065498     | -100     | --         | -21,823.00         | 0.00         | 0.00        |      |
| HDB           | 2019-07-15 | Internal | Out       | --           | U3065498     | -100     | --         | -12,595.00         | 0.00         | 0.00        |      |
| HPE           | 2019-07-15 | Internal | Out       | --           | U3065498     | -800     | --         | -11,928.00         | 0.00         | 0.00        |      |
| IBM           | 2019-07-15 | Internal | Out       | --           | U3065498     | -100     | --         | -14,274.00         | 0.00         | 0.00        |      |
| JPM           | 2019-07-15 | Internal | Out       | --           | U3065498     | -100     | --         | -11,530.00         | 0.00         | 0.00        |      |
| KO            | 2019-07-15 | Internal | Out       | --           | U3065498     | -200     | --         | -10,424.00         | 0.00         | 0.00        |      |
| LOW           | 2019-07-15 | Internal | Out       | --           | U3065498     | -100     | --         | -10,740.00         | 0.00         | 0.00        |      |
| MDT           | 2019-07-15 | Internal | Out       | --           | U3065498     | -100     | --         | -9,925.00          | 0.00         | 0.00        |      |
| MRK           | 2019-07-15 | Internal | Out       | --           | U3065498     | -100     | --         | -7,973.00          | 0.00         | 0.00        |      |
| MSFT          | 2019-07-15 | Internal | Out       | --           | U3065498     | -100     | --         | -13,890.00         | 0.00         | 0.00        |      |
| NKE           | 2019-07-15 | Internal | Out       | --           | U3065498     | -100     | --         | -8,912.00          | 0.00         | 0.00        |      |
| NVS           | 2019-07-15 | Internal | Out       | --           | U3065498     | -100     | --         | -8,819.00          | 0.00         | 0.00        |      |
| PFE           | 2019-07-15 | Internal | Out       | --           | U3065498     | -300     | --         | -12,720.00         | 0.00         | 0.00        |      |
| PG            | 2019-07-15 | Internal | Out       | --           | U3065498     | -100     | --         | -11,499.00         | 0.00         | 0.00        |      |
| QQQ           | 2019-07-15 | Internal | Out       | --           | U3065498     | -100     | --         | -19,353.00         | 0.00         | 0.00        |      |
| RY            | 2019-07-15 | Internal | Out       | --           | U3065498     | -200     | --         | -16,008.00         | 0.00         | 0.00        |      |
| T             | 2019-07-15 | Internal | Out       | --           | U3065498     | -400     | --         | -13,460.00         | 0.00         | 0.00        |      |
| UAL           | 2019-07-15 | Internal | Out       | --           | U3065498     | -100     | --         | -9,123.00          | 0.00         | 0.00        |      |
| UNP           | 2019-07-15 | Internal | Out       | --           | U3065498     | -100     | --         | -17,405.00         | 0.00         | 0.00        |      |
| V             | 2019-07-15 | Internal | Out       | --           | U3065498     | -100     | --         | -18,033.00         | 0.00         | 0.00        |      |
| VIAB          | 2019-07-15 | Internal | Out       | --           | U3065498     | -400     | --         | -12,680.00         | 0.00         | 0.00        |      |
| VZ            | 2019-07-15 | Internal | Out       | --           | U3065498     | -200     | --         | -11,438.00         | 0.00         | 0.00        |      |
| <b>Total</b>  |            |          |           |              |              |          |            | <b>-384,983.00</b> | <b>0.00</b>  | <b>0.00</b> |      |

| Fees              |                                                                                        |         |
|-------------------|----------------------------------------------------------------------------------------|---------|
| Date              | Description                                                                            | Amount  |
| <b>Other Fees</b> |                                                                                        |         |
| <b>HKD</b>        |                                                                                        |         |
| 2019-01-03        | & BONDS) (L1) FOR JAN 2019                                                             | -130.00 |
| 2019-01-03        | I*****22:US Equity and Options Add-On Streaming Bundle Non-Professional for Jan 2019   | -35.24  |
| 2019-01-03        | I*****22:CFE ENHANCED Non-Professional Level I for Jan 2019                            | -23.49  |
| 2019-01-03        | L*****22:HKFE NP L1 FOR JAN 2019                                                       | -25.00  |
| 2019-01-03        | L*****22:HSI NP FOR JAN 2019                                                           | -15.00  |
| 2019-01-03        | I*****22:US Securities and Futures Value PLUS Bundle for Jan 2019                      | -39.16  |
| 2019-01-03        | L*****22:SGX DERIV L1 FOR JAN 2019                                                     | -11.49  |
| 2019-01-03        | L*****22:SHANGHAI 5 SEC AD FOR JAN 2019                                                | -39.16  |
| 2019-01-03        | L*****22:SHENZHEN SNAPSHOT ALTERNATIVE DISPLAY FOR JAN 2019                            | -39.16  |
| 2019-01-03        | I*****22:US Securities Snapshot and Futures Value Bundle Non-Professional for Dec 2018 | 78.24   |
| 2019-01-03        | I*****22:US Securities Snapshot and Futures Value Bundle Non-Professional for Jan 2019 | -78.32  |
| 2019-02-05        | I*****22:US Equity and Options Add-On Streaming Bundle Non-Professional for Feb 2019   | -35.31  |
| 2019-02-05        | I*****22:CFE ENHANCED Non-Professional Level I for Feb 2019                            | -23.54  |
| 2019-02-05        | L*****22:HKEX L1 FOR FEB 2019                                                          | -130.00 |
| 2019-02-05        | L*****22:HKFE NP L1 FOR FEB 2019                                                       | -25.00  |
| 2019-02-05        | L*****22:HSI NP FOR FEB 2019                                                           | -15.00  |
| 2019-02-05        | I*****22:US Securities and Futures Value PLUS Bundle for Feb 2019                      | -39.23  |
| 2019-02-05        | L*****22:SGX DERIV L1 FOR FEB 2019                                                     | -11.66  |
| 2019-02-05        | L*****22:SHANGHAI 5 SEC AD FOR FEB 2019                                                | -39.23  |
| 2019-02-05        | L*****22:SHENZHEN SNAPSHOT ALTERNATIVE DISPLAY FOR FEB 2019                            | -39.23  |
| 2019-02-05        | I*****22:US Securities Snapshot and Futures Value Bundle Non-Professional for Jan 2019 | 78.31   |
| 2019-02-05        | I*****22:US Securities Snapshot and Futures Value Bundle Non-Professional for Feb 2019 | -78.47  |
| 2019-03-05        | I*****22:US Equity and Options Add-On Streaming Bundle Non-Professional for Mar 2019   | -35.32  |
| 2019-03-05        | I*****22:CFE ENHANCED Non-Professional Level I for Mar 2019                            | -23.55  |
| 2019-03-05        | L*****22:HKEX L1 FOR MAR 2019                                                          | -130.00 |
| 2019-03-05        | L*****22:HKFE NP L1 FOR MAR 2019                                                       | -25.00  |
| 2019-03-05        | L*****22:HSI NP FOR MAR 2019                                                           | -15.00  |
| 2019-03-05        | I*****22:US Securities and Futures Value PLUS Bundle for Mar 2019                      | -39.25  |
| 2019-03-05        | L*****22:SGX DERIV L1 FOR MAR 2019                                                     | -11.61  |
| 2019-03-05        | L*****22:SHANGHAI 5 SEC AD FOR MAR 2019                                                | -39.25  |
| 2019-03-05        | L*****22:SHENZHEN SNAPSHOT ALTERNATIVE DISPLAY FOR MAR 2019                            | -39.25  |
| 2019-03-05        | I*****22:US Securities Snapshot and Futures Value Bundle Non-Professional for Feb 2019 | 78.50   |
| 2019-03-05        | I*****22:US Securities Snapshot and Futures Value Bundle Non-Professional for Mar 2019 | -78.50  |
| 2019-04-03        | I*****22:US Equity and Options Add-On Streaming Bundle Non-Professional for Apr 2019   | -35.32  |

| Dividends           |                                                                                              |                  |
|---------------------|----------------------------------------------------------------------------------------------|------------------|
| Date                | Description                                                                                  | Amount           |
| <b>HKD</b>          |                                                                                              |                  |
| 2019-01-11          | 2689(BMG653181005) Payment in Lieu of Dividend (Return of Capital)                           | -1,363.62        |
| 2019-02-20          | 700 (KYG875721634) Cash Dividend HKD 0.02589744 PAYMENT IN LIEU OF DIVIDEND (Bonus Dividend) | -12.95           |
| <b>Total</b>        |                                                                                              | <b>-1,376.57</b> |
| <b>Total in USD</b> |                                                                                              | <b>-175.53</b>   |
| <b>USD</b>          |                                                                                              |                  |
| 2019-02-14          | AAPL(US0378331005) Cash Dividend 0.73000000 USD per Share (Ordinary Dividend)                | 73.00            |
| 2019-03-01          | PFE(US7170811035) Payment in Lieu of Dividend (Ordinary Dividend)                            | -36.00           |
| 2019-03-05          | V(US92826C8394) Cash Dividend 0.25000000 USD per Share (Ordinary Dividend)                   | 25.00            |
| 2019-03-20          | XOP(US78464A7303) Cash Dividend 0.07298600 USD per Share (Ordinary Dividend)                 | 21.90            |
| 2019-03-26          | BBL(US05545E2090) Cash Dividend 1.10000000 USD per Share (Ordinary Dividend)                 | 110.00           |
| 2019-03-26          | BHP(US0886061086) Cash Dividend 1.10000000 USD per Share (Ordinary Dividend)                 | 110.00           |
| 2019-04-08          | HSBC (US4042804066) Cash Dividend USD 1.05 (Ordinary Dividend)                               | 105.00           |
| 2019-04-12          | TOT (US89151E1091) Cash Dividend USD 0.71744 (Ordinary Dividend)                             | 71.75            |
| 2019-04-24          | CSCO (US17275R1023) Cash Dividend USD 0.35 (Ordinary Dividend)                               | 35.00            |
| 2019-04-30          | JPM(US46625H1005) Cash Dividend 0.80000000 USD per Share (Ordinary Dividend)                 | 80.00            |
| 2019-04-30          | QQQ(US46090E1038) Cash Dividend 0.32421000 USD per Share (Ordinary Dividend)                 | 32.42            |
| 2019-04-30          | SPY(US78462F1030) Cash Dividend 1.23311900 USD per Share (Ordinary Dividend)                 | 123.31           |
| 2019-05-01          | T(US00206R1023) Cash Dividend 0.51000000 USD per Share (Ordinary Dividend)                   | 153.00           |
| 2019-05-08          | LOW(US5486611073) Cash Dividend 0.48000000 USD per Share (Ordinary Dividend)                 | 48.00            |
| 2019-05-10          | AXP(US0258161092) Cash Dividend 0.39000000 USD per Share (Ordinary Dividend)                 | 39.00            |
| 2019-05-15          | PG(US7427181091) Cash Dividend 0.74590000 USD per Share (Ordinary Dividend)                  | 74.59            |
| 2019-05-20          | CAT(US1491231015) Cash Dividend 0.86000000 USD per Share (Ordinary Dividend)                 | 86.00            |
| 2019-05-24          | RY(CA7800871021) Payment in Lieu of Dividend (Ordinary Dividend)                             | 3.03             |
| 2019-05-24          | RY(CA7800871021) Cash Dividend 0.75785300 USD per Share (Ordinary Dividend)                  | 72.75            |
| 2019-06-07          | AMGN (US0311621009) Cash Dividend USD 1.45 PAYMENT IN LIEU OF DIVIDEND (Ordinary Dividend)   | -145.00          |
| 2019-06-21          | EEM(US4642872349) Cash Dividend 0.31143700 USD per Share (Ordinary Dividend)                 | 93.43            |
| 2019-06-27          | QCOM(US7475251036) Cash Dividend 0.62000000 USD per Share (Ordinary Dividend)                | 124.00           |
| 2019-06-28          | BDX(US0758871091) Cash Dividend 0.77000000 USD per Share (Ordinary Dividend)                 | 77.00            |

| Fees                |                                                                                        |                  |
|---------------------|----------------------------------------------------------------------------------------|------------------|
| Date                | Description                                                                            | Amount           |
| 2019-04-03          | I*****22:CFE ENHANCED Non-Professional Level I for Apr 2019                            | -23.55           |
| 2019-04-03          | L*****22:HKEX L1 FOR APR 2019                                                          | -130.00          |
| 2019-04-03          | L*****22:HKFE NP L1 FOR APR 2019                                                       | -25.00           |
| 2019-04-03          | L*****22:HSI NP FOR APR 2019                                                           | -15.00           |
| 2019-04-03          | I*****22:US Securities and Futures Value PLUS Bundle for Apr 2019                      | -39.25           |
| 2019-04-03          | L*****22:SGX DERIV L1 FOR APR 2019                                                     | -11.58           |
| 2019-04-03          | L*****22:SHANGHAI 5 SEC AD FOR APR 2019                                                | -39.25           |
| 2019-04-03          | I*****22:SHENZHEN SNAPSHOT ALTERNATIVE DISPLAY FOR APR 2019                            | -39.25           |
| 2019-04-03          | I*****22:US Securities Snapshot and Futures Value Bundle Non-Professional for Mar 2019 | 78.50            |
| 2019-04-03          | I*****22:US Securities Snapshot and Futures Value Bundle Non-Professional for Apr 2019 | -78.50           |
| 2019-05-02          | I*****22:US Equity and Options Add-On Streaming Bundle Non-Professional for May 2019   | -35.30           |
| 2019-05-02          | L*****22:SGX DERIV L1 FOR MAY 2019                                                     | -11.53           |
| 2019-05-02          | I*****22:US Securities Snapshot and Futures Value Bundle Non-Professional for May 2019 | -78.44           |
| 2019-05-03          | I*****22:CFE ENHANCED Non-Professional Level I for May 2019                            | -23.54           |
| 2019-05-03          | I*****22:US Securities Snapshot and Futures Value Bundle Non-Professional for Apr 2019 | 78.44            |
| 2019-05-09          | I*****22:Professional US Securities Snapshot Bundle for May 2019                       | -78.48           |
| 2019-06-04          | I*****22:US Equity and Options Add-On Streaming Bundle Non-Professional for Jun 2019   | -35.27           |
| 2019-06-04          | I*****22:CFE ENHANCED Non-Professional Level I for Jun 2019                            | -23.52           |
| 2019-06-04          | L*****22:SGX DERIV L1 FOR JUN 2019                                                     | -11.41           |
| 2019-06-04          | I*****22:US Securities Snapshot and Futures Value Bundle Non-Professional for May 2019 | 78.38            |
| 2019-06-04          | I*****22:US Securities Snapshot and Futures Value Bundle Non-Professional for Jun 2019 | -78.38           |
| 2019-06-04          | I*****22:Professional US Securities Snapshot Bundle for May 2019                       | 78.38            |
| 2019-07-02          | I*****22:US Equity and Options Add-On Streaming Bundle Non-Professional for Jul 2019   | -35.16           |
| 2019-07-02          | I*****22:CFE ENHANCED Non-Professional Level I for Jul 2019                            | -23.44           |
| 2019-07-02          | L*****22:SGX DERIV L1 FOR JUL 2019                                                     | -11.55           |
| 2019-07-02          | I*****22:US Securities Snapshot and Futures Value Bundle Non-Professional for Jul 2019 | -78.13           |
| 2019-07-03          | I*****22:US Securities Snapshot and Futures Value Bundle Non-Professional for Jun 2019 | 78.13            |
| <b>Total</b>        |                                                                                        | <b>-1,643.39</b> |
| <b>Total in USD</b> |                                                                                        | <b>-209.56</b>   |
| <b>USD</b>          |                                                                                        |                  |
| 2019-04-12          | TOT(US89151E1091) Choice Dividend 0.71744000 USD Distribution Value - FEE              | -1.00            |
| 2019-07-02          | TOT(US89151E1091) Choice Dividend 0.72155800 USD Distribution Value - FEE              | -2.00            |
| 2019-07-25          | HDB(US40415F1012) Cash Dividend 0.65215000 USD per Share - FEE                         | -2.00            |

| Dividends                     |                                                                              |                 |
|-------------------------------|------------------------------------------------------------------------------|-----------------|
| Date                          | Description                                                                  | Amount          |
| 2019-06-28                    | CCI(US22822V1017) Cash Dividend 1.12500000 USD per Share (Ordinary Dividend) | 112.50          |
| 2019-07-01                    | KO(US1912161007) Cash Dividend 0.40000000 USD per Share (Ordinary Dividend)  | 120.00          |
| 2019-07-02                    | TOT (US89151E1091) Cash Dividend USD 0.721558 (Ordinary Dividend)            | 144.31          |
| 2019-07-03                    | HPE(US42824C1099) Cash Dividend 0.11250000 USD per Share (Ordinary Dividend) | 90.00           |
| 2019-07-08                    | CHL(US16941M1099) Payment in Lieu of Dividend (Ordinary Dividend)            | -267.05         |
| 2019-07-08                    | MRK(US58933Y1055) Cash Dividend 0.55000000 USD per Share (Ordinary Dividend) | 110.00          |
| 2019-07-10                    | MO(US02209S1033) Cash Dividend 0.80000000 USD per Share (Ordinary Dividend)  | 160.00          |
| 2019-07-25                    | DIS(US2546871060) Cash Dividend 0.88000000 USD per Share (Ordinary Dividend) | 88.00           |
| 2019-07-25                    | HDB(US40415F1012) Cash Dividend 0.65215000 USD per Share (Ordinary Dividend) | 65.22           |
| 2019-07-25                    | MDT(IE00BTN1Y115) Cash Dividend 0.54000000 USD per Share (Ordinary Dividend) | 54.00           |
| 2019-07-31                    | JPM(US46625H1005) Cash Dividend 0.80000000 USD per Share (Ordinary Dividend) | 80.00           |
| 2019-07-31                    | QQQ(US46090E1038) Cash Dividend 0.41558000 USD per Share (Ordinary Dividend) | 32.42           |
| 2019-07-31                    | QQQ(US46090E1038) Payment in Lieu of Dividend (Ordinary Dividend)            | 9.14            |
| 2019-08-01                    | T(US00206R1023) Cash Dividend 0.51000000 USD per Share (Ordinary Dividend)   | 204.00          |
| 2019-08-01                    | VZ(US92343V1044) Cash Dividend 0.60250000 USD per Share (Ordinary Dividend)  | 120.50          |
| 2019-08-09                    | AXP(US0258161092) Cash Dividend 0.39000000 USD per Share (Ordinary Dividend) | 39.00           |
| <b>Total</b>                  |                                                                              | <b>2,539.22</b> |
| <b>Total Dividends in USD</b> |                                                                              | <b>2,363.69</b> |

## Fees

| Date                           | Description                                                                            | Amount         |
|--------------------------------|----------------------------------------------------------------------------------------|----------------|
| 2019-08-05                     | I*****22:US Securities Snapshot and Futures Value Bundle Non-Professional for Jul 2019 | 9.98           |
| <b>Total</b>                   |                                                                                        | <b>4.98</b>    |
| <b>Total Other Fees in USD</b> |                                                                                        | <b>-204.58</b> |

## Withholding Tax

| Date       | Description                                                        | Amount | Code |
|------------|--------------------------------------------------------------------|--------|------|
| <b>USD</b> |                                                                    |        |      |
| 2019-02-14 | AAPL(US0378331005) Cash Dividend 0.73000000 USD per Share - US Tax | -21.90 |      |
| 2019-03-05 | V(US92826C8394) Cash Dividend 0.25000000 USD per Share - US Tax    | -7.50  |      |
| 2019-03-20 | XOP(US78464A7303) Cash Dividend 0.07298600 USD per Share - US Tax  | -6.57  |      |
| 2019-04-12 | TOT (US89151E1091) Cash Dividend USD 0.71744 - FR Tax              | -21.53 |      |
| 2019-04-24 | CSCO (US17275R1023) Cash Dividend USD 0.35 - US Tax                | -10.50 |      |
| 2019-04-30 | JPM(US46625H1005) Cash Dividend 0.80000000 USD per Share - US Tax  | -24.00 |      |
| 2019-04-30 | QQQ(US46090E1038) Cash Dividend 0.32421000 USD per Share - US Tax  | -9.73  |      |
| 2019-04-30 | SPY(US78462F1030) Cash Dividend 1.23311900 USD per Share - US Tax  | -36.99 |      |
| 2019-05-01 | T(US00206R1023) Cash Dividend 0.51000000 USD per Share - US Tax    | -45.90 |      |
| 2019-05-08 | LOW(US5486611073) Cash Dividend 0.48000000 USD per Share - US Tax  | -14.40 |      |
| 2019-05-10 | AXP(US0258161092) Cash Dividend 0.39000000 USD per Share - US Tax  | -11.70 |      |
| 2019-05-15 | PG(US7427181091) Cash Dividend 0.74590000 USD per Share - US Tax   | -22.38 |      |
| 2019-05-20 | CAT(US1491231015) Cash Dividend 0.86000000 USD per Share - US Tax  | -25.80 |      |
| 2019-05-24 | RY(CA7800871021) Cash Dividend 0.75785300 USD per Share - CA Tax   | -10.91 |      |
| 2019-06-21 | EEM(US4642872349) Cash Dividend 0.31143700 USD per Share - US Tax  | -28.03 |      |
| 2019-06-27 | QCOM(US7475251036) Cash Dividend 0.62000000 USD per Share - US Tax | -37.20 |      |
| 2019-06-28 | BDX(US0758871091) Cash Dividend 0.77000000 USD per Share - US Tax  | -23.10 |      |
| 2019-06-28 | CCI(US22822V1017) Cash Dividend 1.12500000 USD per Share - US Tax  | -33.75 |      |
| 2019-07-01 | KO(US1912161007) Cash Dividend 0.40000000 USD per Share - US Tax   | -36.00 |      |
| 2019-07-02 | TOT (US89151E1091) Cash Dividend USD 0.721558 - FR Tax             | -43.29 |      |
| 2019-07-03 | HPE(US42824C1099) Cash Dividend 0.11250000 USD per Share - US Tax  | -27.00 |      |
| 2019-07-08 | MRK(US58933Y1055) Cash Dividend 0.55000000 USD per Share - US Tax  | -33.00 |      |
| 2019-07-10 | MO(US02209S1033) Cash Dividend 0.80000000 USD per Share - US Tax   | -48.00 |      |
| 2019-07-25 | DIS(US2546871060) Cash Dividend 0.88000000 USD per Share - US Tax  | -26.40 |      |
| 2019-07-25 | MDT(IE00BTN1Y115) Cash Dividend 0.54000000 USD per Share - IE Tax  | -10.80 |      |
| 2019-07-31 | JPM(US46625H1005) Cash Dividend 0.80000000 USD per Share - US Tax  | -24.00 |      |
| 2019-07-31 | QQQ(US46090E1038) Cash Dividend 0.41558000 USD per Share - US Tax  | -9.73  |      |
| 2019-07-31 | QQQ(US46090E1038) Payment in Lieu of Dividend - US Tax             | -2.74  |      |
| 2019-08-01 | T(US00206R1023) Cash Dividend 0.51000000 USD per Share - US Tax    | -61.20 |      |

## Interest

| Date                         | Description                               | Amount          |
|------------------------------|-------------------------------------------|-----------------|
| <b>CAD</b>                   |                                           |                 |
| 2019-06-05                   | CAD Debit Interest for May-2019           | -2.71           |
| <b>Total</b>                 |                                           | <b>-2.71</b>    |
| <b>Total in USD</b>          |                                           | <b>-2.02</b>    |
| <b>HKD</b>                   |                                           |                 |
| 2019-01-04                   | HKD Credit Interest for Dec-2018          | 36.64           |
| 2019-01-04                   | HKD Net Short Stock Interest for Dec-2018 | -664.26         |
| 2019-02-05                   | HKD Credit Interest for Jan-2019          | 20.94           |
| 2019-02-05                   | HKD Debit Interest for Jan-2019           | -9.49           |
| 2019-02-05                   | HKD Net Short Stock Interest for Jan-2019 | -364.74         |
| 2019-03-05                   | HKD Credit Interest for Feb-2019          | 1.58            |
| 2019-03-05                   | HKD Debit Interest for Feb-2019           | -48.32          |
| 2019-04-03                   | HKD Credit Interest for Mar-2019          | 23.25           |
| 2019-05-03                   | HKD Credit Interest for Apr-2019          | 1,276.95        |
| 2019-06-05                   | HKD Credit Interest for May-2019          | 133.33          |
| <b>Total</b>                 |                                           | <b>405.88</b>   |
| <b>Total in USD</b>          |                                           | <b>51.64</b>    |
| <b>USD</b>                   |                                           |                 |
| 2019-01-04                   | USD Credit Interest for Dec-2018          | 389.65          |
| 2019-01-04                   | USD Net Short Stock Interest for Dec-2018 | -28.78          |
| 2019-02-05                   | USD Credit Interest for Jan-2019          | 413.74          |
| 2019-02-05                   | USD Net Short Stock Interest for Jan-2019 | -26.13          |
| 2019-03-05                   | USD Credit Interest for Feb-2019          | 316.54          |
| 2019-03-05                   | USD Net Short Stock Interest for Feb-2019 | 1.23            |
| 2019-04-03                   | USD Credit Interest for Mar-2019          | 201.14          |
| 2019-04-03                   | USD Net Short Stock Interest for Mar-2019 | -12.88          |
| 2019-05-03                   | USD Credit Interest for Apr-2019          | 6.38            |
| 2019-05-03                   | USD Debit Interest for Apr-2019           | -183.20         |
| 2019-05-03                   | USD Net Short Stock Interest for Apr-2019 | -47.10          |
| 2019-06-05                   | USD Credit Interest for May-2019          | 661.51          |
| 2019-06-05                   | USD Debit Interest for May-2019           | -14.44          |
| 2019-06-05                   | USD Net Short Stock Interest for May-2019 | -27.14          |
| 2019-07-03                   | USD Credit Interest for Jun-2019          | 252.18          |
| 2019-07-03                   | USD Debit Interest for Jun-2019           | -79.56          |
| 2019-07-03                   | USD Net Short Stock Interest for Jun-2019 | 0.14            |
| 2019-08-05                   | USD Credit Interest for Jul-2019          | 183.94          |
| 2019-08-05                   | USD Net Short Stock Interest for Jul-2019 | -0.12           |
| <b>Total</b>                 |                                           | <b>2,007.10</b> |
| <b>Total Interest in USD</b> |                                           | <b>2,056.72</b> |

## Withholding Tax

| Date         | Description                                                       | Amount         | Code |
|--------------|-------------------------------------------------------------------|----------------|------|
| 2019-08-01   | VZ(US92343V1044) Cash Dividend 0.60250000 USD per Share - US Tax  | -36.15         |      |
| 2019-08-09   | AXP(US0258161092) Cash Dividend 0.39000000 USD per Share - US Tax | -11.70         |      |
| <b>Total</b> |                                                                   | <b>-761.90</b> |      |

## Deposits & Withdrawals

| Date                                           | Description                               | Amount              |
|------------------------------------------------|-------------------------------------------|---------------------|
| <b>CAD</b>                                     |                                           |                     |
| 2019-05-15                                     | Disbursement Initiated by Ji Shi          | -32,308.80          |
| <b>Total</b>                                   |                                           | <b>-32,308.80</b>   |
| <b>Total in USD</b>                            |                                           | <b>-24,045.82</b>   |
| <b>CNH</b>                                     |                                           |                     |
| 2019-07-15                                     | ACATS Transfer Out To Account U3065498    | -130.75             |
| <b>Total</b>                                   |                                           | <b>-130.75</b>      |
| <b>Total in USD</b>                            |                                           | <b>-19.02</b>       |
| <b>HKD</b>                                     |                                           |                     |
| 2019-04-01                                     | Electronic Fund Transfer                  | 100,000.00          |
| 2019-04-02                                     | Electronic Fund Transfer                  | 500,000.00          |
| 2019-04-03                                     | Electronic Fund Transfer                  | 500,000.00          |
| 2019-04-04                                     | Electronic Fund Transfer                  | 500,000.00          |
| 2019-04-08                                     | Electronic Fund Transfer                  | 200,000.00          |
| 2019-07-15                                     | ACATS Transfer Out To Account U3065498    | -783.61             |
| <b>Total</b>                                   |                                           | <b>1,799,216.39</b> |
| <b>Total in USD</b>                            |                                           | <b>229,226.87</b>   |
| <b>USD</b>                                     |                                           |                     |
| 2019-04-04                                     | Electronic Fund Transfer                  | 3,400.00            |
| 2019-05-14                                     | Electronic Fund Transfer                  | 24,500.00           |
| 2019-07-15                                     | ACATS Transfer Out To Account U3065498    | -202,676.55         |
| 2019-08-07                                     | Disbursement Initiated by Ji Shi          | -674.06             |
| 2019-08-14                                     | Disbursement Initiated by Account Closure | -27.30              |
| <b>Total</b>                                   |                                           | <b>-175,477.91</b>  |
| <b>Total Deposits &amp; Withdrawals in USD</b> |                                           | <b>29,684.11</b>    |

## Change in Dividend Accruals

| Symbol                                   | Date | Ex Date | Pay Date | Quantity | Tax | Fee | Gross Rate | Gross Amount | Net Amount     | Code |
|------------------------------------------|------|---------|----------|----------|-----|-----|------------|--------------|----------------|------|
| <b>Starting Dividend Accruals in USD</b> |      |         |          |          |     |     |            |              | <b>-175.91</b> |      |

## Change in Dividend Accruals

| Symbol              | Date       | Ex Date    | Pay Date   | Quantity | Tax         | Fee         | Gross Rate | Gross Amount    | Net Amount      | Code |
|---------------------|------------|------------|------------|----------|-------------|-------------|------------|-----------------|-----------------|------|
| <b>Stocks</b>       |            |            |            |          |             |             |            |                 |                 |      |
| <b>HKD</b>          |            |            |            |          |             |             |            |                 |                 |      |
| 2689                | 2019-01-11 | 2018-12-21 | 2019-01-11 | -3,000   | 0.00        | 0.00        | 0.45       | 1,364.76        | 1,364.76        | Re   |
| 700                 | 2018-12-27 | 2018-12-28 | 2019-02-20 | -500     | 0.00        | 0.00        | 0.03       | -12.95          | -12.95          | Po   |
| 700                 | 2018-12-27 | 2018-12-28 | 2019-02-20 | -500     | 0.00        | 0.00        | 0.03       | 12.90           | 12.90           | Re   |
| 700                 | 2019-02-20 | 2018-12-28 | 2019-02-20 | -500     | 0.00        | 0.00        | 0.03       | 12.95           | 12.95           | Re   |
| <b>Total</b>        |            |            |            |          | <b>0.00</b> | <b>0.00</b> |            | <b>1,377.66</b> | <b>1,377.66</b> |      |
| <b>Total in USD</b> |            |            |            |          | <b>0.00</b> | <b>0.00</b> |            | <b>175.66</b>   | <b>175.66</b>   |      |
| <b>USD</b>          |            |            |            |          |             |             |            |                 |                 |      |
| AAPL                | 2019-02-07 | 2019-02-08 | 2019-02-14 | 100      | 21.90       | 0.00        | 0.73       | 73.00           | 51.10           | Po   |
| AAPL                | 2019-02-14 | 2019-02-08 | 2019-02-14 | 100      | -21.90      | 0.00        | 0.73       | -73.00          | -51.10          | Re   |
| AMGN                | 2019-05-15 | 2019-05-16 | 2019-06-07 | -100     | 0.00        | 0.00        | 1.45       | -145.00         | -145.00         | Po   |
| AMGN                | 2019-06-07 | 2019-05-16 | 2019-06-07 | -100     | 0.00        | 0.00        | 1.45       | 145.00          | 145.00          | Re   |
| AXP                 | 2019-04-03 | 2019-04-04 | 2019-05-10 | 100      | 11.70       | 0.00        | 0.39       | 39.00           | 27.30           | Po   |
| AXP                 | 2019-05-10 | 2019-04-04 | 2019-05-10 | 100      | -11.70      | 0.00        | 0.39       | -39.00          | -27.30          | Re   |
| AXP                 | 2019-07-02 | 2019-07-03 | 2019-08-09 | 100      | 11.70       | 0.00        | 0.39       | 39.00           | 27.30           | Po   |
| AXP                 | 2019-08-09 | 2019-07-03 | 2019-08-09 | 100      | -11.70      | 0.00        | 0.39       | -39.00          | -27.30          | Re   |
| BBL                 | 2019-03-06 | 2019-03-07 | 2019-03-26 | 100      | 0.00        | 0.00        | 1.10       | 110.00          | 110.00          | Po   |
| BBL                 | 2019-03-26 | 2019-03-07 | 2019-03-26 | 100      | 0.00        | 0.00        | 1.10       | -110.00         | -110.00         | Re   |
| BDX                 | 2019-06-05 | 2019-06-06 | 2019-06-28 | 100      | 23.10       | 0.00        | 0.77       | 77.00           | 53.90           | Po   |
| BDX                 | 2019-06-28 | 2019-06-06 | 2019-06-28 | 100      | -23.10      | 0.00        | 0.77       | -77.00          | -53.90          | Re   |
| BHP                 | 2019-03-06 | 2019-03-07 | 2019-03-26 | 100      | 15.02       | 0.00        | 1.10       | 110.00          | 94.98           | Po   |
| BHP                 | 2019-03-06 | 2019-03-07 | 2019-03-26 | 100      | 16.51       | 0.00        | 1.10       | 110.01          | 93.50           | Po   |
| BHP                 | 2019-03-06 | 2019-03-07 | 2019-03-26 | 100      | -15.02      | 0.00        | 1.10       | -110.00         | -94.98          | Re   |
| BHP                 | 2019-03-06 | 2019-03-07 | 2019-03-26 | 100      | -16.50      | 0.00        | 1.10       | -110.00         | -93.50          | Re   |
| CAT                 | 2019-04-17 | 2019-04-18 | 2019-05-20 | 100      | 25.80       | 0.00        | 0.86       | 86.00           | 60.20           | Po   |
| CAT                 | 2019-05-20 | 2019-04-18 | 2019-05-20 | 100      | -25.80      | 0.00        | 0.86       | -86.00          | -60.20          | Re   |
| CCI                 | 2019-06-12 | 2019-06-13 | 2019-06-28 | 100      | 33.74       | 0.00        | 1.12       | 112.50          | 78.76           | Po   |
| CCI                 | 2019-06-12 | 2019-06-13 | 2019-06-28 | 100      | 33.75       | 0.00        | 1.12       | 112.50          | 78.75           | Po   |
| CCI                 | 2019-06-12 | 2019-06-13 | 2019-06-28 | 100      | -33.74      | 0.00        | 1.12       | -112.50         | -78.76          | Re   |
| CCI                 | 2019-06-28 | 2019-06-13 | 2019-06-28 | 100      | -33.75      | 0.00        | 1.12       | -112.50         | -78.75          | Re   |
| CHL                 | 2019-05-22 | 2019-05-23 | 2019-07-08 | -300     | 0.00        | 0.00        | 0.89       | -265.89         | -265.89         | Po   |
| CHL                 | 2019-07-08 | 2019-05-23 | 2019-07-08 | -300     | 0.00        | 0.00        | 0.89       | 265.89          | 265.89          | Re   |
| CSCO                | 2019-04-03 | 2019-04-04 | 2019-04-24 | 100      | 10.50       | 0.00        | 0.35       | 35.00           | 24.50           | Po   |
| CSCO                | 2019-04-24 | 2019-04-04 | 2019-04-24 | 100      | -10.50      | 0.00        | 0.35       | -35.00          | -24.50          | Re   |
| DIS                 | 2019-07-04 | 2019-07-05 | 2019-07-25 | 100      | 26.40       | 0.00        | 0.88       | 88.00           | 61.60           | Po   |
| DIS                 | 2019-07-25 | 2019-07-05 | 2019-07-25 | 100      | -26.40      | 0.00        | 0.88       | -88.00          | -61.60          | Re   |
| EEM                 | 2019-06-14 | 2019-06-17 | 2019-06-21 | 300      | 28.03       | 0.00        | 0.31       | 93.43           | 65.40           | Po   |
| EEM                 | 2019-06-21 | 2019-06-17 | 2019-06-21 | 300      | -28.03      | 0.00        | 0.31       | -93.43          | -65.40          | Re   |
| HDB                 | 2019-06-18 | 2019-06-19 | 2019-07-11 | 100      | 0.00        | 0.00        | 0.65       | 64.59           | 64.59           | Po   |
| HDB                 | 2019-07-25 | 2019-06-19 | 2019-07-25 | 100      | 0.00        | 0.00        | 0.65       | -64.59          | -64.59          | Re   |
| HPE                 | 2019-06-10 | 2019-06-11 | 2019-07-03 | 800      | 27.00       | 0.00        | 0.11       | 90.01           | 63.01           | Po   |
| HPE                 | 2019-06-10 | 2019-06-11 | 2019-07-03 | 800      | 27.00       | 0.00        | 0.11       | 90.00           | 63.00           | Po   |
| HPE                 | 2019-06-10 | 2019-06-11 | 2019-07-03 | 800      | -27.00      | 0.00        | 0.11       | -90.01          | -63.01          | Re   |
| HPE                 | 2019-07-03 | 2019-06-11 | 2019-07-03 | 800      | -27.00      | 0.00        | 0.11       | -90.00          | -63.00          | Re   |
| HSBC                | 2019-02-20 | 2019-02-21 | 2019-04-08 | 100      | 0.00        | 0.00        | 1.05       | 105.00          | 105.00          | Po   |



## Change in Dividend Accruals

| Symbol | Date       | Ex Date    | Pay Date   | Quantity | Tax    | Fee  | Gross Rate | Gross Amount | Net Amount | Code |
|--------|------------|------------|------------|----------|--------|------|------------|--------------|------------|------|
| HSBC   | 2019-04-08 | 2019-02-21 | 2019-04-08 | 100      | 0.00   | 0.00 | 1.05       | -105.00      | -105.00    | Re   |
| JPM    | 2019-04-03 | 2019-04-04 | 2019-04-30 | 100      | 24.00  | 0.00 | 0.80       | 80.00        | 56.00      | Po   |
| JPM    | 2019-04-30 | 2019-04-04 | 2019-04-30 | 100      | -24.00 | 0.00 | 0.80       | -80.00       | -56.00     | Re   |
| JPM    | 2019-07-02 | 2019-07-03 | 2019-07-31 | 100      | 24.00  | 0.00 | 0.80       | 80.00        | 56.00      | Po   |
| JPM    | 2019-07-31 | 2019-07-03 | 2019-07-31 | 100      | -24.00 | 0.00 | 0.80       | -80.00       | -56.00     | Re   |
| KO     | 2019-06-12 | 2019-06-13 | 2019-07-01 | 300      | 36.00  | 0.00 | 0.40       | 120.00       | 84.00      | Po   |
| KO     | 2019-07-01 | 2019-06-13 | 2019-07-01 | 300      | -36.00 | 0.00 | 0.40       | -120.00      | -84.00     | Re   |
| LOW    | 2019-04-22 | 2019-04-23 | 2019-05-08 | 100      | 14.40  | 0.00 | 0.48       | 48.00        | 33.60      | Po   |
| LOW    | 2019-05-08 | 2019-04-23 | 2019-05-08 | 100      | -14.40 | 0.00 | 0.48       | -48.00       | -33.60     | Re   |
| MDT    | 2019-07-04 | 2019-07-05 | 2019-07-25 | 100      | 9.39   | 0.00 | 0.54       | 53.99        | 44.60      | Po   |
| MDT    | 2019-07-04 | 2019-07-05 | 2019-07-25 | 100      | 10.80  | 0.00 | 0.54       | 54.00        | 43.20      | Po   |
| MDT    | 2019-07-04 | 2019-07-05 | 2019-07-25 | 100      | -9.39  | 0.00 | 0.54       | -53.99       | -44.60     | Re   |
| MDT    | 2019-07-25 | 2019-07-05 | 2019-07-25 | 100      | -10.80 | 0.00 | 0.54       | -54.00       | -43.20     | Re   |
| MO     | 2019-06-12 | 2019-06-13 | 2019-07-10 | 200      | 48.00  | 0.00 | 0.80       | 160.00       | 112.00     | Po   |
| MO     | 2019-07-10 | 2019-06-13 | 2019-07-10 | 200      | -48.00 | 0.00 | 0.80       | -160.00      | -112.00    | Re   |
| MRK    | 2019-06-13 | 2019-06-14 | 2019-07-08 | 200      | 33.01  | 0.00 | 0.55       | 110.00       | 76.99      | Po   |
| MRK    | 2019-06-13 | 2019-06-14 | 2019-07-08 | 200      | 33.00  | 0.00 | 0.55       | 110.00       | 77.00      | Po   |
| MRK    | 2019-06-13 | 2019-06-14 | 2019-07-08 | 200      | -33.01 | 0.00 | 0.55       | -110.00      | -76.99     | Re   |
| MRK    | 2019-07-08 | 2019-06-14 | 2019-07-08 | 200      | -33.00 | 0.00 | 0.55       | -110.00      | -77.00     | Re   |
| PFE    | 2019-01-30 | 2019-01-31 | 2019-03-01 | -100     | 0.00   | 0.00 | 0.36       | -36.00       | -36.00     | Po   |
| PFE    | 2019-03-01 | 2019-01-31 | 2019-03-01 | -100     | 0.00   | 0.00 | 0.36       | 36.00        | 36.00      | Re   |
| PG     | 2019-04-16 | 2019-04-17 | 2019-05-15 | 100      | 22.38  | 0.00 | 0.75       | 74.59        | 52.21      | Po   |
| PG     | 2019-05-15 | 2019-04-17 | 2019-05-15 | 100      | -22.38 | 0.00 | 0.75       | -74.59       | -52.21     | Re   |
| QCOM   | 2019-06-04 | 2019-06-05 | 2019-06-27 | 200      | 37.20  | 0.00 | 0.62       | 124.00       | 86.80      | Po   |
| QCOM   | 2019-06-27 | 2019-06-05 | 2019-06-27 | 200      | -37.20 | 0.00 | 0.62       | -124.00      | -86.80     | Re   |
| QQQ    | 2019-03-15 | 2019-03-18 | 2019-03-29 | 100      | 9.72   | 0.00 | 0.32       | 32.42        | 22.70      | Po   |
| QQQ    | 2019-03-15 | 2019-03-18 | 2019-03-29 | 100      | 0.00   | 0.00 | 0.32       | 32.42        | 32.42      | Po   |
| QQQ    | 2019-03-15 | 2019-03-18 | 2019-03-29 | 100      | 9.73   | 0.00 | 0.32       | 32.42        | 22.69      | Po   |
| QQQ    | 2019-03-15 | 2019-03-18 | 2019-03-29 | 100      | -9.72  | 0.00 | 0.32       | -32.42       | -22.70     | Re   |
| QQQ    | 2019-03-15 | 2019-03-18 | 2019-03-29 | 100      | 0.00   | 0.00 | 0.32       | -32.42       | -32.42     | Re   |
| QQQ    | 2019-04-30 | 2019-03-18 | 2019-04-30 | 100      | -9.73  | 0.00 | 0.32       | -32.42       | -22.69     | Re   |
| QQQ    | 2019-06-21 | 2019-06-24 | 2019-06-28 | 100      | 12.47  | 0.00 | 0.42       | 41.56        | 29.09      | Po   |
| QQQ    | 2019-07-31 | 2019-06-24 | 2019-07-31 | 100      | -12.47 | 0.00 | 0.42       | -41.56       | -29.09     | Re   |
| RY     | 2019-04-23 | 2019-04-24 | 2019-05-24 | 100      | 6.41   | 0.00 | 0.76       | 76.31        | 69.90      | Po   |
| RY     | 2019-04-23 | 2019-04-24 | 2019-05-24 | 100      | 11.45  | 0.00 | 0.76       | 76.31        | 64.86      | Po   |
| RY     | 2019-04-23 | 2019-04-24 | 2019-05-24 | 100      | 10.99  | 0.00 | 0.76       | 76.31        | 65.32      | Po   |
| RY     | 2019-04-23 | 2019-04-24 | 2019-05-24 | 100      | -6.41  | 0.00 | 0.18       | -76.31       | -69.90     | Re   |
| RY     | 2019-04-23 | 2019-04-24 | 2019-05-24 | 100      | -11.45 | 0.00 | 0.76       | -76.31       | -64.86     | Re   |
| RY     | 2019-05-24 | 2019-04-24 | 2019-05-24 | 100      | -10.99 | 0.00 | 0.76       | -76.31       | -65.32     | Re   |
| SPY    | 2019-03-14 | 2019-03-15 | 2019-04-30 | 100      | 36.99  | 0.00 | 1.23       | 123.31       | 86.32      | Po   |
| SPY    | 2019-04-30 | 2019-03-15 | 2019-04-30 | 100      | -36.99 | 0.00 | 1.23       | -123.31      | -86.32     | Re   |
| T      | 2019-04-08 | 2019-04-09 | 2019-05-01 | 300      | 45.90  | 0.00 | 0.51       | 153.00       | 107.10     | Po   |
| T      | 2019-05-01 | 2019-04-09 | 2019-05-01 | 300      | -45.90 | 0.00 | 0.51       | -153.00      | -107.10    | Re   |
| T      | 2019-07-08 | 2019-07-09 | 2019-08-01 | 400      | 61.19  | 0.00 | 0.51       | 204.00       | 142.81     | Po   |
| T      | 2019-07-08 | 2019-07-09 | 2019-08-01 | 400      | 61.20  | 0.00 | 0.51       | 204.00       | 142.80     | Po   |
| T      | 2019-07-08 | 2019-07-09 | 2019-08-01 | 400      | -61.19 | 0.00 | 0.51       | -204.00      | -142.81    | Re   |



## Change in Dividend Accruals

| Symbol                                 | Date       | Ex Date    | Pay Date   | Quantity | Tax         | Fee         | Gross Rate | Gross Amount  | Net Amount    | Code |
|----------------------------------------|------------|------------|------------|----------|-------------|-------------|------------|---------------|---------------|------|
| T                                      | 2019-08-01 | 2019-07-09 | 2019-08-01 | 400      | -61.20      | 0.00        | 0.51       | -204.00       | -142.80       | Re   |
| TOT                                    | 2019-03-14 | 2019-03-15 | 2019-04-12 | 100      | 0.00        | 0.00        | 0.72       | 72.36         | 72.36         | Po   |
| TOT                                    | 2019-03-14 | 2019-03-15 | 2019-04-12 | 100      | 0.00        | 0.00        | 0.72       | 71.74         | 71.74         | Po   |
| TOT                                    | 2019-03-14 | 2019-03-15 | 2019-04-12 | 100      | 0.00        | 0.00        | 0.72       | -72.36        | -72.36        | Re   |
| TOT                                    | 2019-04-12 | 2019-03-15 | 2019-04-12 | 100      | 0.00        | 0.00        | 0.72       | -71.74        | -71.74        | Re   |
| TOT                                    | 2019-06-06 | 2019-06-07 | 2019-07-02 | 200      | 0.00        | 0.00        | 0.71       | 142.56        | 142.56        | Po   |
| TOT                                    | 2019-06-06 | 2019-06-07 | 2019-07-02 | 200      | 0.00        | 0.00        | 0.71       | 142.57        | 142.57        | Po   |
| TOT                                    | 2019-06-06 | 2019-06-07 | 2019-07-02 | 200      | 0.00        | 0.00        | 0.71       | -142.56       | -142.56       | Re   |
| TOT                                    | 2019-07-02 | 2019-06-07 | 2019-07-02 | 200      | 0.00        | 0.00        | 0.72       | -142.57       | -142.57       | Re   |
| V                                      | 2019-02-13 | 2019-02-14 | 2019-03-05 | 100      | 7.51        | 0.00        | 0.25       | 25.00         | 17.49         | Po   |
| V                                      | 2019-02-13 | 2019-02-14 | 2019-03-05 | 100      | 7.50        | 0.00        | 0.25       | 25.00         | 17.50         | Po   |
| V                                      | 2019-02-13 | 2019-02-14 | 2019-03-05 | 100      | -7.51       | 0.00        | 0.25       | -25.00        | -17.49        | Re   |
| V                                      | 2019-03-05 | 2019-02-14 | 2019-03-05 | 100      | -7.50       | 0.00        | 0.25       | -25.00        | -17.50        | Re   |
| VZ                                     | 2019-07-08 | 2019-07-09 | 2019-08-01 | 200      | 36.15       | 0.00        | 0.60       | 120.50        | 84.35         | Po   |
| VZ                                     | 2019-08-01 | 2019-07-09 | 2019-08-01 | 200      | -36.15      | 0.00        | 0.60       | -120.50       | -84.35        | Re   |
| XOP                                    | 2019-03-14 | 2019-03-15 | 2019-03-20 | 300      | 6.57        | 0.00        | 0.07       | 21.90         | 15.33         | Po   |
| XOP                                    | 2019-03-20 | 2019-03-15 | 2019-03-20 | 300      | -6.57       | 0.00        | 0.07       | -21.90        | -15.33        | Re   |
| <b>Total</b>                           |            |            |            |          | <b>0.01</b> | <b>0.00</b> |            | <b>0.00</b>   | <b>0.00</b>   |      |
| <b>Total Stocks in USD</b>             |            |            |            |          | <b>0.01</b> | <b>0.00</b> |            | <b>175.67</b> | <b>175.66</b> |      |
| <b>Ending Dividend Accruals in USD</b> |            |            |            |          |             |             |            |               | <b>0.00</b>   |      |

## Non-Direct Hard to Borrow Details

| Value Date | Symbol | Quantity | Price    | Value      | Fee Rate (%) | Borrow Fee | Code |
|------------|--------|----------|----------|------------|--------------|------------|------|
| <b>HKD</b> |        |          |          |            |              |            |      |
| 2018-12-31 | 12     | 2,000    | 40.4800  | 80,960.00  | 1.98         | -4.39      | Po   |
| 2018-12-31 | 2689   | 3,000    | 7.6200   | 22,860.00  | 2.25         | -1.41      | Po   |
| 2018-12-31 | 27     | 5,000    | 50.9800  | 254,900.00 | 1.93         | -13.51     | Po   |
| 2018-12-31 | 2888   | 200      | 61.1100  | 12,222.00  | 1.87         | -0.62      | Po   |
| 2018-12-31 | 700    | 500      | 325.5000 | 162,750.00 | 1.95         | -8.69      | Po   |
| 2019-01-01 | 12     | 2,000    | 40.4800  | 80,960.00  | 1.98         | -4.39      | Po   |
| 2019-01-01 | 2689   | 3,000    | 7.6200   | 22,860.00  | 2.25         | -1.41      | Po   |
| 2019-01-01 | 27     | 5,000    | 50.9800  | 254,900.00 | 1.93         | -13.51     | Po   |
| 2019-01-01 | 2888   | 200      | 61.1100  | 12,222.00  | 1.87         | -0.62      | Po   |
| 2019-01-01 | 700    | 500      | 325.5000 | 162,750.00 | 1.95         | -8.69      | Po   |
| 2019-01-02 | 12     | 2,000    | 40.9500  | 81,900.00  | 1.32         | -2.96      | Po   |
| 2019-01-02 | 2689   | 3,000    | 7.6200   | 22,860.00  | 1.62         | -1.01      | Po   |
| 2019-01-02 | 27     | 5,000    | 52.2900  | 261,450.00 | 1.32         | -9.47      | Po   |
| 2019-01-02 | 2888   | 200      | 62.4300  | 12,486.00  | 1.22         | -0.42      | Po   |
| 2019-01-02 | 700    | 500      | 329.7000 | 164,850.00 | 1.36         | -6.16      | Po   |
| 2019-01-03 | 12     | 2,000    | 40.1700  | 80,340.00  | 0.97         | -2.15      | Po   |
| 2019-01-03 | 2689   | 3,000    | 7.5100   | 22,530.00  | 1.27         | -0.79      | Po   |
| 2019-01-03 | 27     | 5,000    | 49.7200  | 248,600.00 | 0.97         | -6.64      | Po   |
| 2019-01-03 | 2888   | 200      | 62.3700  | 12,474.00  | 0.88         | -0.30      | Po   |
| 2019-01-03 | 700    | 500      | 321.9300 | 160,965.00 | 1.02         | -4.50      | Po   |

## Non-Direct Hard to Borrow Details

| Value Date | Symbol | Quantity | Price    | Value      | Fee Rate (%) | Borrow Fee | Code |
|------------|--------|----------|----------|------------|--------------|------------|------|
| 2019-01-04 | 12     | 2,000    | 40.6400  | 81,280.00  | 1.24         | -2.75      | Po   |
| 2019-01-04 | 2689   | 3,000    | 7.3000   | 21,900.00  | 1.32         | -0.79      | Po   |
| 2019-01-04 | 27     | 5,000    | 50.1400  | 250,700.00 | 1.54         | -10.59     | Po   |
| 2019-01-04 | 2888   | 200      | 62.2200  | 12,444.00  | 1.15         | -0.39      | Po   |
| 2019-01-04 | 700    | 500      | 320.2500 | 160,125.00 | 1.23         | -5.41      | Po   |
| 2019-01-05 | 12     | 2,000    | 40.6400  | 81,280.00  | 1.24         | -2.75      | Po   |
| 2019-01-05 | 2689   | 3,000    | 7.3000   | 21,900.00  | 1.32         | -0.79      | Po   |
| 2019-01-05 | 27     | 5,000    | 50.1400  | 250,700.00 | 1.54         | -10.59     | Po   |
| 2019-01-05 | 2888   | 200      | 62.2200  | 12,444.00  | 1.15         | -0.39      | Po   |
| 2019-01-05 | 700    | 500      | 320.2500 | 160,125.00 | 1.23         | -5.41      | Po   |
| 2019-01-06 | 12     | 2,000    | 40.6400  | 81,280.00  | 1.24         | -2.75      | Po   |
| 2019-01-06 | 2689   | 3,000    | 7.3000   | 21,900.00  | 1.32         | -0.79      | Po   |
| 2019-01-06 | 27     | 5,000    | 50.1400  | 250,700.00 | 1.54         | -10.59     | Po   |
| 2019-01-06 | 2888   | 200      | 62.2200  | 12,444.00  | 1.15         | -0.39      | Po   |
| 2019-01-06 | 700    | 500      | 320.2500 | 160,125.00 | 1.23         | -5.41      | Po   |
| 2019-01-07 | 12     | 2,000    | 42.6900  | 85,380.00  | 1.72         | -4.02      | Po   |
| 2019-01-07 | 2689   | 3,000    | 7.1700   | 21,510.00  | 1.76         | -1.03      | Po   |
| 2019-01-07 | 27     | 5,000    | 51.0300  | 255,150.00 | 2.02         | -14.11     | Po   |
| 2019-01-07 | 2888   | 200      | 61.5900  | 12,318.00  | 1.62         | -0.55      | Po   |
| 2019-01-07 | 700    | 500      | 326.1300 | 163,065.00 | 1.71         | -7.64      | Po   |
| 2019-01-08 | 12     | 2,000    | 43.2600  | 86,520.00  | 1.61         | -3.81      | Po   |
| 2019-01-08 | 2689   | 3,000    | 7.3700   | 22,110.00  | 1.61         | -0.98      | Po   |
| 2019-01-08 | 27     | 5,000    | 50.5600  | 252,800.00 | 1.88         | -13.01     | Po   |
| 2019-01-08 | 2888   | 200      | 63.2100  | 12,642.00  | 1.48         | -0.51      | Po   |
| 2019-01-08 | 700    | 500      | 333.4800 | 166,740.00 | 1.57         | -7.17      | Po   |
| 2019-01-09 | 12     | 2,000    | 43.6800  | 87,360.00  | 1.37         | -3.29      | Po   |
| 2019-01-09 | 2689   | 3,000    | 7.3200   | 21,960.00  | 1.37         | -0.82      | Po   |
| 2019-01-09 | 27     | 5,000    | 50.4000  | 252,000.00 | 1.64         | -11.31     | Po   |
| 2019-01-09 | 2888   | 200      | 62.5800  | 12,516.00  | 1.24         | -0.43      | Po   |
| 2019-01-09 | 700    | 500      | 331.3800 | 165,690.00 | 1.33         | -6.03      | Po   |
| 2019-01-10 | 12     | 2,000    | 44.1000  | 88,200.00  | 1.31         | -3.17      | Po   |
| 2019-01-10 | 2689   | 3,000    | 7.6000   | 22,800.00  | 1.31         | -0.82      | Po   |
| 2019-01-10 | 27     | 5,000    | 52.2900  | 261,450.00 | 1.59         | -11.38     | Po   |
| 2019-01-10 | 2888   | 200      | 65.1000  | 13,020.00  | 1.19         | -0.43      | Po   |
| 2019-01-10 | 700    | 500      | 343.9800 | 171,990.00 | 1.33         | -6.28      | Po   |
| 2019-01-11 | 12     | 2,000    | 44.1600  | 88,320.00  | 1.26         | -3.05      | Po   |
| 2019-01-11 | 2689   | 3,000    | 7.7000   | 23,100.00  | 1.26         | -0.80      | Po   |
| 2019-01-11 | 27     | 5,000    | 51.9300  | 259,650.00 | 1.23         | -8.73      | Po   |
| 2019-01-11 | 2888   | 200      | 63.8400  | 12,768.00  | 1.14         | -0.40      | Po   |
| 2019-01-11 | 700    | 500      | 345.4500 | 172,725.00 | 1.27         | -5.99      | Po   |
| 2019-01-12 | 12     | 2,000    | 44.1600  | 88,320.00  | 1.26         | -3.05      | Po   |
| 2019-01-12 | 2689   | 3,000    | 7.7000   | 23,100.00  | 1.26         | -0.80      | Po   |
| 2019-01-12 | 27     | 5,000    | 51.9300  | 259,650.00 | 1.23         | -8.73      | Po   |
| 2019-01-12 | 2888   | 200      | 63.8400  | 12,768.00  | 1.14         | -0.40      | Po   |
| 2019-01-12 | 700    | 500      | 345.4500 | 172,725.00 | 1.27         | -5.99      | Po   |
| 2019-01-13 | 12     | 2,000    | 44.1600  | 88,320.00  | 1.26         | -3.05      | Po   |

## Non-Direct Hard to Borrow Details

| Value Date          | Symbol | Quantity | Price    | Value      | Fee Rate (%) | Borrow Fee     | Code |
|---------------------|--------|----------|----------|------------|--------------|----------------|------|
| 2019-01-13          | 2689   | 3,000    | 7.7000   | 23,100.00  | 1.26         | -0.80          | Po   |
| 2019-01-13          | 27     | 5,000    | 51.9300  | 259,650.00 | 1.23         | -8.73          | Po   |
| 2019-01-13          | 2888   | 200      | 63.8400  | 12,768.00  | 1.14         | -0.40          | Po   |
| 2019-01-13          | 700    | 500      | 345.4500 | 172,725.00 | 1.27         | -5.99          | Po   |
| 2019-01-14          | 12     | 2,000    | 44.5200  | 89,040.00  | 1.12         | -2.73          | Po   |
| 2019-01-14          | 2689   | 3,000    | 7.8600   | 23,580.00  | 1.13         | -0.73          | Po   |
| 2019-01-14          | 27     | 5,000    | 50.4600  | 252,300.00 | 1.09         | -7.52          | Po   |
| 2019-01-14          | 2888   | 200      | 65.3100  | 13,062.00  | 1.01         | -0.36          | Po   |
| 2019-01-14          | 700    | 500      | 347.7600 | 173,880.00 | 1.13         | -5.37          | Po   |
| 2019-01-15          | 2689   | 3,000    | 7.7300   | 23,190.00  | 1.10         | -0.70          | Po   |
| 2019-01-15          | 27     | 5,000    | 48.6200  | 243,100.00 | 1.11         | -7.38          | Po   |
| 2019-01-15          | 2888   | 100      | 64.2600  | 6,426.00   | 1.01         | -0.18          | Po   |
| 2019-01-15          | 700    | 100      | 337.8900 | 33,789.00  | 1.09         | -1.01          | Po   |
| 2019-01-16          | 2689   | 3,000    | 7.8300   | 23,490.00  | 1.19         | -0.77          | Po   |
| 2019-01-16          | 27     | 5,000    | 49.5100  | 247,550.00 | 1.21         | -8.23          | Po   |
| 2019-01-16          | 2888   | 100      | 64.8900  | 6,489.00   | 1.10         | -0.20          | Po   |
| 2019-01-17          | 2689   | 3,000    | 7.9000   | 23,700.00  | 1.10         | -0.72          | Po   |
| 2019-01-17          | 27     | 5,000    | 50.0900  | 250,450.00 | 1.10         | -7.55          | Po   |
| 2019-01-17          | 2888   | 100      | 65.2100  | 6,521.00   | 1.02         | -0.18          | Po   |
| 2019-01-18          | 2689   | 3,000    | 7.9200   | 23,760.00  | 1.14         | -0.74          | Po   |
| 2019-01-18          | 27     | 5,000    | 49.8800  | 249,400.00 | 1.17         | -8.01          | Po   |
| 2019-01-18          | 2888   | 100      | 64.0500  | 6,405.00   | 1.05         | -0.18          | Po   |
| 2019-01-19          | 2689   | 3,000    | 7.9200   | 23,760.00  | 1.14         | -0.74          | Po   |
| 2019-01-19          | 27     | 5,000    | 49.8800  | 249,400.00 | 1.17         | -8.01          | Po   |
| 2019-01-19          | 2888   | 100      | 64.0500  | 6,405.00   | 1.05         | -0.18          | Po   |
| 2019-01-20          | 2689   | 3,000    | 7.9200   | 23,760.00  | 1.14         | -0.74          | Po   |
| 2019-01-20          | 27     | 5,000    | 49.8800  | 249,400.00 | 1.17         | -8.01          | Po   |
| 2019-01-20          | 2888   | 100      | 64.0500  | 6,405.00   | 1.05         | -0.18          | Po   |
| 2019-01-21          | 2689   | 3,000    | 8.0700   | 24,210.00  | 1.14         | -0.76          | Po   |
| 2019-01-21          | 27     | 5,000    | 51.6100  | 258,050.00 | 1.17         | -8.28          | Po   |
| 2019-01-21          | 2888   | 100      | 64.8400  | 6,484.00   | 1.05         | -0.19          | Po   |
| 2019-01-22          | 27     | 5,000    | 52.8700  | 264,350.00 | 1.18         | -8.58          | Po   |
| <b>Total</b>        |        |          |          |            |              | <b>-393.36</b> |      |
| <b>Total in USD</b> |        |          |          |            |              | <b>-50.18</b>  |      |
| <b>USD</b>          |        |          |          |            |              |                |      |
| 2018-12-31          | FB     | 100      | 136.0000 | 13,600.00  | 0.54         | -0.20          | Po   |
| 2018-12-31          | PDD    | 200      | 23.0000  | 4,600.00   | 5.51         | -0.70          | Po   |
| 2018-12-31          | WB     | 100      | 61.0000  | 6,100.00   | 0.34         | -0.06          | Po   |
| 2019-01-01          | FB     | 100      | 136.0000 | 13,600.00  | 0.54         | -0.20          | Po   |
| 2019-01-01          | PDD    | 200      | 23.0000  | 4,600.00   | 5.51         | -0.70          | Po   |
| 2019-01-01          | WB     | 100      | 61.0000  | 6,100.00   | 0.34         | -0.06          | Po   |
| 2019-01-02          | FB     | 100      | 134.0000 | 13,400.00  | 0.54         | -0.20          | Po   |
| 2019-01-02          | PDD    | 200      | 23.0000  | 4,600.00   | 5.24         | -0.67          | Po   |
| 2019-01-02          | WB     | 100      | 60.0000  | 6,000.00   | 0.33         | -0.05          | Po   |
| 2019-01-03          | FB     | 100      | 139.0000 | 13,900.00  | 0.54         | -0.21          | Po   |
| 2019-01-03          | PDD    | 200      | 23.0000  | 4,600.00   | 5.09         | -0.65          | Po   |

## Non-Direct Hard to Borrow Details

| Value Date | Symbol | Quantity | Price    | Value     | Fee Rate (%) | Borrow Fee | Code |
|------------|--------|----------|----------|-----------|--------------|------------|------|
| 2019-01-03 | WB     | 100      | 61.0000  | 6,100.00  | 0.31         | -0.05      | Po   |
| 2019-01-04 | FB     | 100      | 135.0000 | 13,500.00 | 0.58         | -0.22      | Po   |
| 2019-01-04 | PDD    | 200      | 22.0000  | 4,400.00  | 5.58         | -0.68      | Po   |
| 2019-01-04 | WB     | 100      | 58.0000  | 5,800.00  | 0.31         | -0.05      | Po   |
| 2019-01-05 | FB     | 100      | 135.0000 | 13,500.00 | 0.58         | -0.22      | Po   |
| 2019-01-05 | PDD    | 200      | 22.0000  | 4,400.00  | 5.58         | -0.68      | Po   |
| 2019-01-05 | WB     | 100      | 58.0000  | 5,800.00  | 0.31         | -0.05      | Po   |
| 2019-01-06 | FB     | 100      | 135.0000 | 13,500.00 | 0.58         | -0.22      | Po   |
| 2019-01-06 | PDD    | 200      | 22.0000  | 4,400.00  | 5.58         | -0.68      | Po   |
| 2019-01-06 | WB     | 100      | 58.0000  | 5,800.00  | 0.31         | -0.05      | Po   |
| 2019-01-07 | FB     | 100      | 141.0000 | 14,100.00 | 0.56         | -0.22      | Po   |
| 2019-01-07 | PDD    | 200      | 24.0000  | 4,800.00  | 5.81         | -0.77      | Po   |
| 2019-01-07 | WB     | 100      | 63.0000  | 6,300.00  | 0.31         | -0.05      | Po   |
| 2019-01-08 | FB     | 100      | 141.0000 | 14,100.00 | 0.52         | -0.20      | Po   |
| 2019-01-08 | PDD    | 200      | 24.0000  | 4,800.00  | 5.87         | -0.78      | Po   |
| 2019-01-08 | WB     | 100      | 64.0000  | 6,400.00  | 0.31         | -0.06      | Po   |
| 2019-01-09 | FB     | 100      | 146.0000 | 14,600.00 | 0.55         | -0.22      | Po   |
| 2019-01-09 | PDD    | 200      | 25.0000  | 5,000.00  | 8.15         | -1.13      | Po   |
| 2019-01-09 | WB     | 100      | 61.0000  | 6,100.00  | 0.31         | -0.05      | Po   |
| 2019-01-10 | FB     | 100      | 148.0000 | 14,800.00 | 0.55         | -0.23      | Po   |
| 2019-01-10 | PDD    | 200      | 27.0000  | 5,400.00  | 8.15         | -1.22      | Po   |
| 2019-01-10 | WB     | 100      | 62.0000  | 6,200.00  | 0.33         | -0.06      | Po   |
| 2019-01-11 | FB     | 100      | 148.0000 | 14,800.00 | 0.57         | -0.23      | Po   |
| 2019-01-11 | PDD    | 200      | 27.0000  | 5,400.00  | 7.61         | -1.14      | Po   |
| 2019-01-11 | WB     | 100      | 61.0000  | 6,100.00  | 0.36         | -0.06      | Po   |
| 2019-01-12 | FB     | 100      | 148.0000 | 14,800.00 | 0.57         | -0.23      | Po   |
| 2019-01-12 | PDD    | 200      | 27.0000  | 5,400.00  | 7.61         | -1.14      | Po   |
| 2019-01-12 | WB     | 100      | 61.0000  | 6,100.00  | 0.36         | -0.06      | Po   |
| 2019-01-13 | FB     | 100      | 148.0000 | 14,800.00 | 0.57         | -0.23      | Po   |
| 2019-01-13 | PDD    | 200      | 27.0000  | 5,400.00  | 7.61         | -1.14      | Po   |
| 2019-01-13 | WB     | 100      | 61.0000  | 6,100.00  | 0.36         | -0.06      | Po   |
| 2019-01-14 | FB     | 100      | 147.0000 | 14,700.00 | 0.60         | -0.24      | Po   |
| 2019-01-14 | PDD    | 200      | 27.0000  | 5,400.00  | 8.08         | -1.21      | Po   |
| 2019-01-14 | WB     | 100      | 59.0000  | 5,900.00  | 0.35         | -0.06      | Po   |
| 2019-01-15 | FB     | 100      | 149.0000 | 14,900.00 | 0.51         | -0.21      | Po   |
| 2019-01-15 | PDD    | 200      | 25.0000  | 5,000.00  | 8.08         | -1.12      | Po   |
| 2019-01-15 | WB     | 100      | 56.0000  | 5,600.00  | 0.34         | -0.05      | Po   |
| 2019-01-16 | FB     | 100      | 152.0000 | 15,200.00 | 0.33         | -0.14      | Po   |
| 2019-01-16 | PDD    | 200      | 25.0000  | 5,000.00  | 8.01         | -1.11      | Po   |
| 2019-01-16 | WB     | 100      | 56.0000  | 5,600.00  | 0.36         | -0.06      | Po   |
| 2019-01-17 | FB     | 100      | 151.0000 | 15,100.00 | 0.37         | -0.16      | Po   |
| 2019-01-17 | PDD    | 200      | 26.0000  | 5,200.00  | 7.64         | -1.10      | Po   |
| 2019-01-17 | WB     | 100      | 59.0000  | 5,900.00  | 0.34         | -0.06      | Po   |
| 2019-01-18 | FB     | 100      | 152.0000 | 15,200.00 | 0.47         | -0.20      | Po   |
| 2019-01-18 | PDD    | 200      | 26.0000  | 5,200.00  | 7.74         | -1.12      | Po   |
| 2019-01-18 | WB     | 100      | 60.0000  | 6,000.00  | 0.36         | -0.06      | Po   |

## Non-Direct Hard to Borrow Details

| Value Date | Symbol | Quantity | Price    | Value     | Fee Rate (%) | Borrow Fee | Code |
|------------|--------|----------|----------|-----------|--------------|------------|------|
| 2019-01-19 | FB     | 100      | 152.0000 | 15,200.00 | 0.47         | -0.20      | Po   |
| 2019-01-19 | PDD    | 200      | 26.0000  | 5,200.00  | 7.74         | -1.12      | Po   |
| 2019-01-19 | WB     | 100      | 60.0000  | 6,000.00  | 0.36         | -0.06      | Po   |
| 2019-01-20 | FB     | 100      | 152.0000 | 15,200.00 | 0.47         | -0.20      | Po   |
| 2019-01-20 | PDD    | 200      | 26.0000  | 5,200.00  | 7.74         | -1.12      | Po   |
| 2019-01-20 | WB     | 100      | 60.0000  | 6,000.00  | 0.36         | -0.06      | Po   |
| 2019-01-21 | FB     | 100      | 152.0000 | 15,200.00 | 0.47         | -0.20      | Po   |
| 2019-01-21 | PDD    | 200      | 26.0000  | 5,200.00  | 7.74         | -1.12      | Po   |
| 2019-01-21 | WB     | 100      | 60.0000  | 6,000.00  | 0.36         | -0.06      | Po   |
| 2019-01-22 | AAPL   | 100      | 160.0000 | 16,000.00 | 0.25         | -0.11      | Po   |
| 2019-01-22 | PFE    | 100      | 44.0000  | 4,400.00  | 0.25         | -0.03      | Po   |
| 2019-01-22 | WB     | 100      | 63.0000  | 6,300.00  | 0.33         | -0.06      | Po   |
| 2019-01-23 | AAPL   | 100      | 157.0000 | 15,700.00 | 0.26         | -0.11      | Po   |
| 2019-01-23 | PFE    | 100      | 44.0000  | 4,400.00  | 0.26         | -0.03      | Po   |
| 2019-01-23 | WB     | 100      | 54.0000  | 5,400.00  | 0.34         | -0.05      | Po   |
| 2019-01-24 | AAPL   | 100      | 157.0000 | 15,700.00 | 0.26         | -0.11      | Po   |
| 2019-01-24 | PFE    | 100      | 44.0000  | 4,400.00  | 0.27         | -0.03      | Po   |
| 2019-01-24 | WB     | 100      | 54.0000  | 5,400.00  | 0.50         | -0.08      | Po   |
| 2019-01-25 | AAPL   | 100      | 156.0000 | 15,600.00 | 0.26         | -0.11      | Po   |
| 2019-01-25 | PFE    | 100      | 42.0000  | 4,200.00  | 0.26         | -0.03      | Po   |
| 2019-01-25 | WB     | 100      | 56.0000  | 5,600.00  | 0.50         | -0.08      | Po   |
| 2019-01-26 | AAPL   | 100      | 156.0000 | 15,600.00 | 0.26         | -0.11      | Po   |
| 2019-01-26 | PFE    | 100      | 42.0000  | 4,200.00  | 0.26         | -0.03      | Po   |
| 2019-01-26 | WB     | 100      | 56.0000  | 5,600.00  | 0.50         | -0.08      | Po   |
| 2019-01-27 | AAPL   | 100      | 156.0000 | 15,600.00 | 0.26         | -0.11      | Po   |
| 2019-01-27 | PFE    | 100      | 42.0000  | 4,200.00  | 0.26         | -0.03      | Po   |
| 2019-01-27 | WB     | 100      | 56.0000  | 5,600.00  | 0.50         | -0.08      | Po   |
| 2019-01-28 | AAPL   | 100      | 161.0000 | 16,100.00 | 0.26         | -0.12      | Po   |
| 2019-01-28 | PFE    | 100      | 42.0000  | 4,200.00  | 0.26         | -0.03      | Po   |
| 2019-01-28 | WB     | 100      | 60.0000  | 6,000.00  | 0.51         | -0.08      | Po   |
| 2019-01-29 | AAPL   | 100      | 160.0000 | 16,000.00 | 0.26         | -0.12      | Po   |
| 2019-01-29 | PFE    | 100      | 41.0000  | 4,100.00  | 0.26         | -0.03      | Po   |
| 2019-01-29 | WB     | 100      | 60.0000  | 6,000.00  | 0.52         | -0.09      | Po   |
| 2019-01-31 | AAPL   | 100      | 169.0000 | 16,900.00 | 0.26         | -0.12      | Po   |
| 2019-01-31 | PFE    | 100      | 43.0000  | 4,300.00  | 0.26         | -0.03      | Po   |
| 2019-01-31 | WB     | 100      | 61.0000  | 6,100.00  | 0.52         | -0.09      | Po   |
| 2019-02-01 | PFE    | 100      | 44.0000  | 4,400.00  | 0.25         | -0.03      | Po   |
| 2019-02-01 | WB     | 100      | 62.0000  | 6,200.00  | 0.53         | -0.09      | Po   |
| 2019-02-02 | PFE    | 100      | 44.0000  | 4,400.00  | 0.25         | -0.03      | Po   |
| 2019-02-02 | WB     | 100      | 62.0000  | 6,200.00  | 0.53         | -0.09      | Po   |
| 2019-02-03 | PFE    | 100      | 44.0000  | 4,400.00  | 0.25         | -0.03      | Po   |
| 2019-02-03 | WB     | 100      | 62.0000  | 6,200.00  | 0.53         | -0.09      | Po   |
| 2019-02-04 | PFE    | 100      | 44.0000  | 4,400.00  | 0.25         | -0.03      | Po   |
| 2019-02-04 | WB     | 100      | 63.0000  | 6,300.00  | 0.57         | -0.10      | Po   |
| 2019-02-05 | PFE    | 100      | 44.0000  | 4,400.00  | 0.26         | -0.03      | Po   |
| 2019-02-05 | WB     | 100      | 64.0000  | 6,400.00  | 0.58         | -0.10      | Po   |

## Non-Direct Hard to Borrow Details

| Value Date | Symbol | Quantity | Price   | Value     | Fee Rate (%) | Borrow Fee | Code |
|------------|--------|----------|---------|-----------|--------------|------------|------|
| 2019-02-06 | PFE    | 100      | 43.0000 | 4,300.00  | 0.26         | -0.03      | Po   |
| 2019-02-06 | WB     | 100      | 64.0000 | 6,400.00  | 0.52         | -0.09      | Po   |
| 2019-02-07 | PFE    | 100      | 44.0000 | 4,400.00  | 0.26         | -0.03      | Po   |
| 2019-02-07 | WB     | 100      | 64.0000 | 6,400.00  | 0.53         | -0.09      | Po   |
| 2019-02-08 | PFE    | 100      | 43.0000 | 4,300.00  | 0.26         | -0.03      | Po   |
| 2019-02-09 | PFE    | 100      | 43.0000 | 4,300.00  | 0.26         | -0.03      | Po   |
| 2019-02-10 | PFE    | 100      | 43.0000 | 4,300.00  | 0.26         | -0.03      | Po   |
| 2019-02-11 | PFE    | 100      | 44.0000 | 4,400.00  | 0.26         | -0.03      | Po   |
| 2019-02-12 | PFE    | 100      | 43.0000 | 4,300.00  | 0.25         | -0.03      | Po   |
| 2019-02-13 | PFE    | 100      | 43.0000 | 4,300.00  | 0.25         | -0.03      | Po   |
| 2019-02-14 | PFE    | 100      | 43.0000 | 4,300.00  | 0.25         | -0.03      | Po   |
| 2019-02-15 | PFE    | 100      | 43.0000 | 4,300.00  | 0.25         | -0.03      | Po   |
| 2019-02-16 | PFE    | 100      | 43.0000 | 4,300.00  | 0.25         | -0.03      | Po   |
| 2019-02-17 | PFE    | 100      | 43.0000 | 4,300.00  | 0.25         | -0.03      | Po   |
| 2019-02-18 | PFE    | 100      | 43.0000 | 4,300.00  | 0.25         | -0.03      | Po   |
| 2019-02-19 | PFE    | 100      | 44.0000 | 4,400.00  | 0.25         | -0.03      | Po   |
| 2019-02-20 | PFE    | 100      | 44.0000 | 4,400.00  | 0.25         | -0.03      | Po   |
| 2019-02-26 | HSBC   | 100      | 42.0000 | 4,200.00  | 0.51         | -0.06      | Po   |
| 2019-02-27 | HSBC   | 100      | 42.0000 | 4,200.00  | 0.49         | -0.06      | Po   |
| 2019-02-28 | HSBC   | 100      | 42.0000 | 4,200.00  | 0.48         | -0.06      | Po   |
| 2019-03-04 | HSBC   | 100      | 42.0000 | 4,200.00  | 0.47         | -0.05      | Po   |
| 2019-03-04 | PFE    | 100      | 45.0000 | 4,500.00  | 0.25         | -0.03      | Po   |
| 2019-03-07 | PFE    | 100      | 43.0000 | 4,300.00  | 0.25         | -0.03      | Po   |
| 2019-03-08 | PFE    | 100      | 43.0000 | 4,300.00  | 0.25         | -0.03      | Po   |
| 2019-03-09 | PFE    | 100      | 43.0000 | 4,300.00  | 0.25         | -0.03      | Po   |
| 2019-03-10 | PFE    | 100      | 43.0000 | 4,300.00  | 0.25         | -0.03      | Po   |
| 2019-03-11 | PFE    | 100      | 42.0000 | 4,200.00  | 0.25         | -0.03      | Po   |
| 2019-03-12 | PFE    | 100      | 43.0000 | 4,300.00  | 0.25         | -0.03      | Po   |
| 2019-03-13 | PFE    | 100      | 43.0000 | 4,300.00  | 0.25         | -0.03      | Po   |
| 2019-03-14 | PFE    | 100      | 43.0000 | 4,300.00  | 0.25         | -0.03      | Po   |
| 2019-03-15 | PFE    | 100      | 43.0000 | 4,300.00  | 0.25         | -0.03      | Po   |
| 2019-03-15 | UAL    | 100      | 84.0000 | 8,400.00  | 0.25         | -0.06      | Po   |
| 2019-03-16 | PFE    | 100      | 43.0000 | 4,300.00  | 0.25         | -0.03      | Po   |
| 2019-03-16 | UAL    | 100      | 84.0000 | 8,400.00  | 0.25         | -0.06      | Po   |
| 2019-03-17 | PFE    | 100      | 43.0000 | 4,300.00  | 0.25         | -0.03      | Po   |
| 2019-03-17 | UAL    | 100      | 84.0000 | 8,400.00  | 0.25         | -0.06      | Po   |
| 2019-03-18 | PFE    | 100      | 43.0000 | 4,300.00  | 0.25         | -0.03      | Po   |
| 2019-03-18 | UAL    | 100      | 84.0000 | 8,400.00  | 0.25         | -0.06      | Po   |
| 2019-03-19 | KO     | 200      | 47.0000 | 9,400.00  | 0.25         | -0.07      | Po   |
| 2019-03-19 | NIO    | 1,500    | 6.0000  | 9,000.00  | 8.40         | -2.10      | Po   |
| 2019-03-19 | PFE    | 100      | 43.0000 | 4,300.00  | 0.25         | -0.03      | Po   |
| 2019-03-19 | UAL    | 100      | 83.0000 | 8,300.00  | 0.25         | -0.06      | Po   |
| 2019-03-20 | NIO    | 1,500    | 7.0000  | 10,500.00 | 6.30         | -1.84      | Po   |
| 2019-03-20 | PFE    | 100      | 44.0000 | 4,400.00  | 0.28         | -0.03      | Po   |
| 2019-03-20 | UAL    | 100      | 83.0000 | 8,300.00  | 0.26         | -0.06      | Po   |
| 2019-03-21 | NIO    | 1,500    | 6.0000  | 9,000.00  | 3.76         | -0.94      | Po   |

## Non-Direct Hard to Borrow Details

| Value Date | Symbol | Quantity | Price   | Value     | Fee Rate (%) | Borrow Fee | Code |
|------------|--------|----------|---------|-----------|--------------|------------|------|
| 2019-03-21 | PFE    | 100      | 44.0000 | 4,400.00  | 0.26         | -0.03      | Po   |
| 2019-03-21 | UAL    | 100      | 82.0000 | 8,200.00  | 0.26         | -0.06      | Po   |
| 2019-03-22 | KO     | 200      | 47.0000 | 9,400.00  | 0.26         | -0.07      | Po   |
| 2019-03-22 | NIO    | 1,500    | 6.0000  | 9,000.00  | 2.88         | -0.72      | Po   |
| 2019-03-22 | PFE    | 100      | 44.0000 | 4,400.00  | 0.26         | -0.03      | Po   |
| 2019-03-22 | UAL    | 100      | 83.0000 | 8,300.00  | 0.26         | -0.06      | Po   |
| 2019-03-23 | KO     | 200      | 47.0000 | 9,400.00  | 0.26         | -0.07      | Po   |
| 2019-03-23 | NIO    | 1,500    | 6.0000  | 9,000.00  | 2.88         | -0.72      | Po   |
| 2019-03-23 | PFE    | 100      | 44.0000 | 4,400.00  | 0.26         | -0.03      | Po   |
| 2019-03-23 | UAL    | 100      | 83.0000 | 8,300.00  | 0.26         | -0.06      | Po   |
| 2019-03-24 | KO     | 200      | 47.0000 | 9,400.00  | 0.26         | -0.07      | Po   |
| 2019-03-24 | NIO    | 1,500    | 6.0000  | 9,000.00  | 2.88         | -0.72      | Po   |
| 2019-03-24 | PFE    | 100      | 44.0000 | 4,400.00  | 0.26         | -0.03      | Po   |
| 2019-03-24 | UAL    | 100      | 83.0000 | 8,300.00  | 0.26         | -0.06      | Po   |
| 2019-03-25 | KO     | 200      | 47.0000 | 9,400.00  | 0.25         | -0.07      | Po   |
| 2019-03-25 | NIO    | 1,500    | 6.0000  | 9,000.00  | 2.57         | -0.64      | Po   |
| 2019-03-25 | NKTR   | 300      | 33.0000 | 9,900.00  | 0.26         | -0.07      | Po   |
| 2019-03-25 | PFE    | 100      | 43.0000 | 4,300.00  | 0.25         | -0.03      | Po   |
| 2019-03-25 | UAL    | 100      | 82.0000 | 8,200.00  | 0.25         | -0.06      | Po   |
| 2019-03-26 | KO     | 200      | 47.0000 | 9,400.00  | 0.25         | -0.07      | Po   |
| 2019-03-26 | NIO    | 1,500    | 6.0000  | 9,000.00  | 2.15         | -0.54      | Po   |
| 2019-03-26 | NKTR   | 300      | 34.0000 | 10,200.00 | 0.25         | -0.07      | Po   |
| 2019-03-26 | PFE    | 100      | 43.0000 | 4,300.00  | 0.25         | -0.03      | Po   |
| 2019-03-26 | UAL    | 100      | 80.0000 | 8,000.00  | 0.25         | -0.06      | Po   |
| 2019-03-27 | NIO    | 1,500    | 6.0000  | 9,000.00  | 1.90         | -0.47      | Po   |
| 2019-03-27 | NKTR   | 300      | 33.0000 | 9,900.00  | 0.27         | -0.07      | Po   |
| 2019-03-27 | PFE    | 100      | 44.0000 | 4,400.00  | 0.26         | -0.03      | Po   |
| 2019-03-27 | UAL    | 100      | 80.0000 | 8,000.00  | 0.26         | -0.06      | Po   |
| 2019-03-27 | WB     | 100      | 60.0000 | 6,000.00  | 0.31         | -0.05      | Po   |
| 2019-03-28 | NIO    | 1,500    | 6.0000  | 9,000.00  | 1.68         | -0.42      | Po   |
| 2019-03-28 | NKTR   | 300      | 33.0000 | 9,900.00  | 0.27         | -0.08      | Po   |
| 2019-03-28 | PFE    | 100      | 43.0000 | 4,300.00  | 0.26         | -0.03      | Po   |
| 2019-03-28 | UAL    | 100      | 81.0000 | 8,100.00  | 0.26         | -0.06      | Po   |
| 2019-03-28 | WB     | 100      | 60.0000 | 6,000.00  | 0.31         | -0.05      | Po   |
| 2019-03-29 | NIO    | 1,500    | 6.0000  | 9,000.00  | 1.47         | -0.37      | Po   |
| 2019-03-29 | NKTR   | 300      | 34.0000 | 10,200.00 | 0.28         | -0.08      | Po   |
| 2019-03-29 | PFE    | 100      | 44.0000 | 4,400.00  | 0.28         | -0.03      | Po   |
| 2019-03-29 | UAL    | 100      | 81.0000 | 8,100.00  | 0.28         | -0.06      | Po   |
| 2019-03-29 | WB     | 100      | 60.0000 | 6,000.00  | 0.33         | -0.06      | Po   |
| 2019-03-30 | NIO    | 1,500    | 6.0000  | 9,000.00  | 1.47         | -0.37      | Po   |
| 2019-03-30 | NKTR   | 300      | 34.0000 | 10,200.00 | 0.28         | -0.08      | Po   |
| 2019-03-30 | PFE    | 100      | 44.0000 | 4,400.00  | 0.28         | -0.03      | Po   |
| 2019-03-30 | UAL    | 100      | 81.0000 | 8,100.00  | 0.28         | -0.06      | Po   |
| 2019-03-30 | WB     | 100      | 60.0000 | 6,000.00  | 0.33         | -0.06      | Po   |
| 2019-03-31 | NIO    | 1,500    | 6.0000  | 9,000.00  | 1.47         | -0.37      | Po   |
| 2019-03-31 | NKTR   | 300      | 34.0000 | 10,200.00 | 0.28         | -0.08      | Po   |

## Non-Direct Hard to Borrow Details

| Value Date | Symbol | Quantity | Price    | Value     | Fee Rate (%) | Borrow Fee | Code |
|------------|--------|----------|----------|-----------|--------------|------------|------|
| 2019-03-31 | PFE    | 100      | 44.0000  | 4,400.00  | 0.28         | -0.03      | Po   |
| 2019-03-31 | UAL    | 100      | 81.0000  | 8,100.00  | 0.28         | -0.06      | Po   |
| 2019-03-31 | WB     | 100      | 60.0000  | 6,000.00  | 0.33         | -0.06      | Po   |
| 2019-04-01 | NIO    | 1,500    | 6.0000   | 9,000.00  | 1.40         | -0.35      | Po   |
| 2019-04-01 | PFE    | 100      | 44.0000  | 4,400.00  | 0.25         | -0.03      | Po   |
| 2019-04-01 | UAL    | 100      | 82.0000  | 8,200.00  | 0.25         | -0.06      | Po   |
| 2019-04-01 | WB     | 100      | 64.0000  | 6,400.00  | 0.31         | -0.06      | Po   |
| 2019-04-02 | NIO    | 1,500    | 6.0000   | 9,000.00  | 1.39         | -0.35      | Po   |
| 2019-04-02 | NKTR   | 300      | 34.0000  | 10,200.00 | 0.27         | -0.08      | Po   |
| 2019-04-02 | PFE    | 100      | 44.0000  | 4,400.00  | 0.26         | -0.03      | Po   |
| 2019-04-02 | UAL    | 100      | 83.0000  | 8,300.00  | 0.26         | -0.06      | Po   |
| 2019-04-03 | BILI   | 400      | 19.0000  | 7,600.00  | 1.01         | -0.21      | Po   |
| 2019-04-03 | KO     | 200      | 48.0000  | 9,600.00  | 0.26         | -0.07      | Po   |
| 2019-04-03 | NKTR   | 100      | 35.0000  | 3,500.00  | 0.29         | -0.03      | Po   |
| 2019-04-04 | KO     | 200      | 48.0000  | 9,600.00  | 0.26         | -0.07      | Po   |
| 2019-04-05 | KO     | 200      | 48.0000  | 9,600.00  | 0.26         | -0.07      | Po   |
| 2019-04-06 | KO     | 200      | 48.0000  | 9,600.00  | 0.26         | -0.07      | Po   |
| 2019-04-07 | KO     | 200      | 48.0000  | 9,600.00  | 0.26         | -0.07      | Po   |
| 2019-04-08 | KO     | 200      | 48.0000  | 9,600.00  | 0.26         | -0.07      | Po   |
| 2019-04-09 | KO     | 200      | 48.0000  | 9,600.00  | 0.26         | -0.07      | Po   |
| 2019-04-10 | KO     | 200      | 48.0000  | 9,600.00  | 0.26         | -0.07      | Po   |
| 2019-04-10 | PDD    | 500      | 23.0000  | 11,500.00 | 0.38         | -0.12      | Po   |
| 2019-04-11 | KO     | 200      | 48.0000  | 9,600.00  | 0.26         | -0.07      | Po   |
| 2019-04-11 | NVS    | 100      | 84.0000  | 8,400.00  | 0.33         | -0.08      | Po   |
| 2019-04-12 | MDT    | 100      | 90.0000  | 9,000.00  | 0.28         | -0.07      | Po   |
| 2019-04-13 | MDT    | 100      | 90.0000  | 9,000.00  | 0.28         | -0.07      | Po   |
| 2019-04-14 | MDT    | 100      | 90.0000  | 9,000.00  | 0.28         | -0.07      | Po   |
| 2019-04-15 | KO     | 300      | 48.0000  | 14,400.00 | 0.26         | -0.10      | Po   |
| 2019-04-15 | MDT    | 100      | 89.0000  | 8,900.00  | 0.31         | -0.08      | Po   |
| 2019-04-15 | NIO    | 2,500    | 6.0000   | 15,000.00 | 4.70         | -1.96      | Po   |
| 2019-04-15 | PDD    | 600      | 23.0000  | 13,800.00 | 0.37         | -0.14      | Po   |
| 2019-04-15 | QCOM   | 200      | 59.0000  | 11,800.00 | 0.26         | -0.09      | Po   |
| 2019-04-16 | KO     | 300      | 48.0000  | 14,400.00 | 0.26         | -0.10      | Po   |
| 2019-04-16 | MDT    | 100      | 89.0000  | 8,900.00  | 0.33         | -0.08      | Po   |
| 2019-04-16 | NIO    | 2,500    | 5.0000   | 12,500.00 | 4.84         | -1.68      | Po   |
| 2019-04-16 | PDD    | 600      | 23.0000  | 13,800.00 | 0.37         | -0.14      | Po   |
| 2019-04-17 | MDT    | 100      | 88.0000  | 8,800.00  | 0.27         | -0.07      | Po   |
| 2019-04-17 | NIO    | 2,500    | 5.0000   | 12,500.00 | 4.77         | -1.66      | Po   |
| 2019-04-17 | PDD    | 600      | 23.0000  | 13,800.00 | 0.37         | -0.14      | Po   |
| 2019-04-17 | PFE    | 300      | 42.0000  | 12,600.00 | 0.27         | -0.09      | Po   |
| 2019-04-17 | UNH    | 100      | 226.0000 | 22,600.00 | 0.27         | -0.17      | Po   |
| 2019-04-18 | MDT    | 100      | 87.0000  | 8,700.00  | 0.27         | -0.07      | Po   |
| 2019-04-18 | NIO    | 2,500    | 5.0000   | 12,500.00 | 7.90         | -2.74      | Po   |
| 2019-04-18 | PFE    | 300      | 41.0000  | 12,300.00 | 0.27         | -0.09      | Po   |
| 2019-04-18 | UNH    | 100      | 222.0000 | 22,200.00 | 0.27         | -0.17      | Po   |
| 2019-04-19 | MDT    | 100      | 86.0000  | 8,600.00  | 0.27         | -0.06      | Po   |



## Non-Direct Hard to Borrow Details

| Value Date | Symbol | Quantity | Price    | Value     | Fee Rate (%) | Borrow Fee | Code |
|------------|--------|----------|----------|-----------|--------------|------------|------|
| 2019-04-19 | NIO    | 2,500    | 5.0000   | 12,500.00 | 7.90         | -2.74      | Po   |
| 2019-04-19 | PFE    | 300      | 41.0000  | 12,300.00 | 0.27         | -0.09      | Po   |
| 2019-04-19 | UNH    | 100      | 227.0000 | 22,700.00 | 0.27         | -0.17      | Po   |
| 2019-04-20 | MDT    | 100      | 86.0000  | 8,600.00  | 0.27         | -0.06      | Po   |
| 2019-04-20 | NIO    | 2,500    | 5.0000   | 12,500.00 | 7.90         | -2.74      | Po   |
| 2019-04-20 | PFE    | 300      | 41.0000  | 12,300.00 | 0.27         | -0.09      | Po   |
| 2019-04-20 | UNH    | 100      | 227.0000 | 22,700.00 | 0.27         | -0.17      | Po   |
| 2019-04-21 | MDT    | 100      | 86.0000  | 8,600.00  | 0.27         | -0.06      | Po   |
| 2019-04-21 | NIO    | 2,500    | 5.0000   | 12,500.00 | 7.90         | -2.74      | Po   |
| 2019-04-21 | PFE    | 300      | 41.0000  | 12,300.00 | 0.27         | -0.09      | Po   |
| 2019-04-21 | UNH    | 100      | 227.0000 | 22,700.00 | 0.27         | -0.17      | Po   |
| 2019-04-22 | MDT    | 100      | 86.0000  | 8,600.00  | 0.26         | -0.06      | Po   |
| 2019-04-22 | NIO    | 2,500    | 5.0000   | 12,500.00 | 7.91         | -2.75      | Po   |
| 2019-04-22 | PFE    | 300      | 41.0000  | 12,300.00 | 0.26         | -0.09      | Po   |
| 2019-04-22 | UNH    | 100      | 227.0000 | 22,700.00 | 0.26         | -0.16      | Po   |
| 2019-04-23 | ABBV   | 200      | 80.0000  | 16,000.00 | 0.27         | -0.12      | Po   |
| 2019-04-23 | AMGN   | 100      | 180.0000 | 18,000.00 | 0.27         | -0.14      | Po   |
| 2019-04-23 | BGNE   | 100      | 123.0000 | 12,300.00 | 0.40         | -0.14      | Po   |
| 2019-04-23 | CI     | 100      | 151.0000 | 15,100.00 | 0.49         | -0.20      | Po   |
| 2019-04-23 | LLY    | 100      | 118.0000 | 11,800.00 | 0.28         | -0.09      | Po   |
| 2019-04-23 | MDT    | 100      | 86.0000  | 8,600.00  | 0.27         | -0.06      | Po   |
| 2019-04-23 | MRK    | 200      | 75.0000  | 15,000.00 | 0.27         | -0.11      | Po   |
| 2019-04-23 | NIO    | 2,500    | 5.0000   | 12,500.00 | 8.19         | -2.84      | Po   |
| 2019-04-23 | NKTR   | 400      | 32.0000  | 12,800.00 | 0.27         | -0.10      | Po   |
| 2019-04-23 | PFE    | 300      | 40.0000  | 12,000.00 | 0.27         | -0.09      | Po   |
| 2019-04-23 | UNH    | 100      | 232.0000 | 23,200.00 | 0.27         | -0.17      | Po   |
| 2019-04-24 | ABBV   | 200      | 81.0000  | 16,200.00 | 0.27         | -0.12      | Po   |
| 2019-04-24 | AMGN   | 100      | 184.0000 | 18,400.00 | 0.27         | -0.14      | Po   |
| 2019-04-24 | BGNE   | 100      | 124.0000 | 12,400.00 | 0.41         | -0.14      | Po   |
| 2019-04-24 | CHL    | 100      | 49.0000  | 4,900.00  | 0.38         | -0.05      | Po   |
| 2019-04-24 | CI     | 100      | 157.0000 | 15,700.00 | 0.47         | -0.21      | Po   |
| 2019-04-24 | LLY    | 100      | 120.0000 | 12,000.00 | 0.27         | -0.09      | Po   |
| 2019-04-24 | MDT    | 100      | 87.0000  | 8,700.00  | 0.27         | -0.07      | Po   |
| 2019-04-24 | MRK    | 200      | 77.0000  | 15,400.00 | 0.27         | -0.12      | Po   |
| 2019-04-24 | NIO    | 2,500    | 5.0000   | 12,500.00 | 9.78         | -3.40      | Po   |
| 2019-04-24 | NKTR   | 400      | 33.0000  | 13,200.00 | 0.27         | -0.10      | Po   |
| 2019-04-24 | PFE    | 300      | 41.0000  | 12,300.00 | 0.27         | -0.09      | Po   |
| 2019-04-24 | UNH    | 100      | 236.0000 | 23,600.00 | 0.27         | -0.18      | Po   |
| 2019-04-25 | ABBV   | 200      | 81.0000  | 16,200.00 | 0.27         | -0.12      | Po   |
| 2019-04-25 | AMGN   | 100      | 182.0000 | 18,200.00 | 0.27         | -0.14      | Po   |
| 2019-04-25 | BGNE   | 100      | 123.0000 | 12,300.00 | 0.42         | -0.14      | Po   |
| 2019-04-25 | CI     | 100      | 158.0000 | 15,800.00 | 0.27         | -0.12      | Po   |
| 2019-04-25 | MDT    | 100      | 88.0000  | 8,800.00  | 0.27         | -0.07      | Po   |
| 2019-04-25 | MRK    | 200      | 77.0000  | 15,400.00 | 0.27         | -0.12      | Po   |
| 2019-04-25 | NIO    | 2,500    | 6.0000   | 15,000.00 | 14.86        | -6.19      | Po   |
| 2019-04-25 | NKTR   | 400      | 32.0000  | 12,800.00 | 0.27         | -0.10      | Po   |

## Non-Direct Hard to Borrow Details

| Value Date | Symbol | Quantity | Price    | Value     | Fee Rate (%) | Borrow Fee | Code |
|------------|--------|----------|----------|-----------|--------------|------------|------|
| 2019-04-25 | PFE    | 300      | 41.0000  | 12,300.00 | 0.27         | -0.09      | Po   |
| 2019-04-25 | UNH    | 100      | 235.0000 | 23,500.00 | 0.27         | -0.18      | Po   |
| 2019-04-26 | ABBV   | 200      | 81.0000  | 16,200.00 | 0.27         | -0.12      | Po   |
| 2019-04-26 | AMGN   | 100      | 185.0000 | 18,500.00 | 0.27         | -0.14      | Po   |
| 2019-04-26 | BGNE   | 100      | 124.0000 | 12,400.00 | 0.41         | -0.14      | Po   |
| 2019-04-26 | CI     | 100      | 160.0000 | 16,000.00 | 0.27         | -0.12      | Po   |
| 2019-04-26 | MDT    | 100      | 89.0000  | 8,900.00  | 0.27         | -0.07      | Po   |
| 2019-04-26 | MRK    | 200      | 78.0000  | 15,600.00 | 0.27         | -0.12      | Po   |
| 2019-04-26 | NIO    | 2,500    | 5.0000   | 12,500.00 | 12.57        | -4.36      | Po   |
| 2019-04-26 | NKTR   | 400      | 33.0000  | 13,200.00 | 0.27         | -0.10      | Po   |
| 2019-04-26 | PFE    | 300      | 41.0000  | 12,300.00 | 0.27         | -0.09      | Po   |
| 2019-04-26 | UNH    | 100      | 236.0000 | 23,600.00 | 0.27         | -0.18      | Po   |
| 2019-04-27 | ABBV   | 200      | 81.0000  | 16,200.00 | 0.27         | -0.12      | Po   |
| 2019-04-27 | AMGN   | 100      | 185.0000 | 18,500.00 | 0.27         | -0.14      | Po   |
| 2019-04-27 | BGNE   | 100      | 124.0000 | 12,400.00 | 0.41         | -0.14      | Po   |
| 2019-04-27 | CI     | 100      | 160.0000 | 16,000.00 | 0.27         | -0.12      | Po   |
| 2019-04-27 | MDT    | 100      | 89.0000  | 8,900.00  | 0.27         | -0.07      | Po   |
| 2019-04-27 | MRK    | 200      | 78.0000  | 15,600.00 | 0.27         | -0.12      | Po   |
| 2019-04-27 | NIO    | 2,500    | 5.0000   | 12,500.00 | 12.57        | -4.36      | Po   |
| 2019-04-27 | NKTR   | 400      | 33.0000  | 13,200.00 | 0.27         | -0.10      | Po   |
| 2019-04-27 | PFE    | 300      | 41.0000  | 12,300.00 | 0.27         | -0.09      | Po   |
| 2019-04-27 | UNH    | 100      | 236.0000 | 23,600.00 | 0.27         | -0.18      | Po   |
| 2019-04-28 | ABBV   | 200      | 81.0000  | 16,200.00 | 0.27         | -0.12      | Po   |
| 2019-04-28 | AMGN   | 100      | 185.0000 | 18,500.00 | 0.27         | -0.14      | Po   |
| 2019-04-28 | BGNE   | 100      | 124.0000 | 12,400.00 | 0.41         | -0.14      | Po   |
| 2019-04-28 | CI     | 100      | 160.0000 | 16,000.00 | 0.27         | -0.12      | Po   |
| 2019-04-28 | MDT    | 100      | 89.0000  | 8,900.00  | 0.27         | -0.07      | Po   |
| 2019-04-28 | MRK    | 200      | 78.0000  | 15,600.00 | 0.27         | -0.12      | Po   |
| 2019-04-28 | NIO    | 2,500    | 5.0000   | 12,500.00 | 12.57        | -4.36      | Po   |
| 2019-04-28 | NKTR   | 400      | 33.0000  | 13,200.00 | 0.27         | -0.10      | Po   |
| 2019-04-28 | PFE    | 300      | 41.0000  | 12,300.00 | 0.27         | -0.09      | Po   |
| 2019-04-28 | UNH    | 100      | 236.0000 | 23,600.00 | 0.27         | -0.18      | Po   |
| 2019-04-29 | ABBV   | 200      | 82.0000  | 16,400.00 | 0.27         | -0.12      | Po   |
| 2019-04-29 | AMGN   | 100      | 186.0000 | 18,600.00 | 0.27         | -0.14      | Po   |
| 2019-04-29 | BGNE   | 100      | 124.0000 | 12,400.00 | 0.39         | -0.14      | Po   |
| 2019-04-29 | CHL    | 300      | 49.0000  | 14,700.00 | 0.36         | -0.15      | Po   |
| 2019-04-29 | CI     | 100      | 165.0000 | 16,500.00 | 0.27         | -0.12      | Po   |
| 2019-04-29 | MDT    | 100      | 90.0000  | 9,000.00  | 0.27         | -0.07      | Po   |
| 2019-04-29 | NKTR   | 400      | 33.0000  | 13,200.00 | 0.27         | -0.10      | Po   |
| 2019-04-29 | PFE    | 300      | 41.0000  | 12,300.00 | 0.27         | -0.09      | Po   |
| 2019-04-29 | UNH    | 100      | 242.0000 | 24,200.00 | 0.27         | -0.18      | Po   |
| 2019-04-29 | VZ     | 200      | 58.0000  | 11,600.00 | 0.27         | -0.09      | Po   |
| 2019-04-30 | ABBV   | 200      | 82.0000  | 16,400.00 | 0.28         | -0.13      | Po   |
| 2019-04-30 | AMGN   | 100      | 185.0000 | 18,500.00 | 0.28         | -0.14      | Po   |
| 2019-04-30 | BGNE   | 100      | 131.0000 | 13,100.00 | 0.42         | -0.15      | Po   |
| 2019-04-30 | CHL    | 300      | 49.0000  | 14,700.00 | 0.36         | -0.15      | Po   |

## Non-Direct Hard to Borrow Details

| Value Date | Symbol | Quantity | Price    | Value     | Fee Rate (%) | Borrow Fee | Code |
|------------|--------|----------|----------|-----------|--------------|------------|------|
| 2019-04-30 | MDT    | 100      | 90.0000  | 9,000.00  | 0.28         | -0.07      | Po   |
| 2019-04-30 | NIO    | 2,600    | 6.0000   | 15,600.00 | 8.31         | -3.60      | Po   |
| 2019-04-30 | NKTR   | 400      | 33.0000  | 13,200.00 | 0.29         | -0.11      | Po   |
| 2019-04-30 | PFE    | 300      | 41.0000  | 12,300.00 | 0.28         | -0.10      | Po   |
| 2019-04-30 | UNH    | 100      | 243.0000 | 24,300.00 | 0.28         | -0.19      | Po   |
| 2019-05-01 | AMGN   | 100      | 183.0000 | 18,300.00 | 0.25         | -0.13      | Po   |
| 2019-05-01 | BGNE   | 100      | 127.0000 | 12,700.00 | 0.39         | -0.14      | Po   |
| 2019-05-01 | CHL    | 300      | 49.0000  | 14,700.00 | 0.35         | -0.14      | Po   |
| 2019-05-01 | MDT    | 100      | 91.0000  | 9,100.00  | 0.25         | -0.06      | Po   |
| 2019-05-01 | NIO    | 2,600    | 5.0000   | 13,000.00 | 7.44         | -2.69      | Po   |
| 2019-05-01 | NKTR   | 400      | 33.0000  | 13,200.00 | 0.25         | -0.09      | Po   |
| 2019-05-01 | PDD    | 500      | 23.0000  | 11,500.00 | 0.35         | -0.11      | Po   |
| 2019-05-01 | PFE    | 300      | 42.0000  | 12,600.00 | 0.25         | -0.09      | Po   |
| 2019-05-01 | UNH    | 100      | 238.0000 | 23,800.00 | 0.25         | -0.17      | Po   |
| 2019-05-02 | ABBV   | 200      | 81.0000  | 16,200.00 | 0.22         | -0.10      | Po   |
| 2019-05-02 | AMGN   | 100      | 180.0000 | 18,000.00 | 0.22         | -0.11      | Po   |
| 2019-05-02 | BGNE   | 100      | 129.0000 | 12,900.00 | 0.36         | -0.13      | Po   |
| 2019-05-02 | CHL    | 300      | 49.0000  | 14,700.00 | 0.29         | -0.12      | Po   |
| 2019-05-02 | MDT    | 100      | 91.0000  | 9,100.00  | 0.22         | -0.06      | Po   |
| 2019-05-02 | NIO    | 2,600    | 5.0000   | 13,000.00 | 6.85         | -2.47      | Po   |
| 2019-05-02 | NKTR   | 400      | 33.0000  | 13,200.00 | 0.22         | -0.08      | Po   |
| 2019-05-02 | PDD    | 500      | 24.0000  | 12,000.00 | 0.31         | -0.10      | Po   |
| 2019-05-02 | PFE    | 300      | 42.0000  | 12,600.00 | 0.22         | -0.08      | Po   |
| 2019-05-02 | UNH    | 100      | 237.0000 | 23,700.00 | 0.22         | -0.14      | Po   |
| 2019-05-03 | AMGN   | 100      | 181.0000 | 18,100.00 | 0.25         | -0.13      | Po   |
| 2019-05-03 | CHL    | 300      | 49.0000  | 14,700.00 | 0.28         | -0.11      | Po   |
| 2019-05-04 | AMGN   | 100      | 181.0000 | 18,100.00 | 0.25         | -0.13      | Po   |
| 2019-05-04 | CHL    | 300      | 49.0000  | 14,700.00 | 0.28         | -0.11      | Po   |
| 2019-05-05 | AMGN   | 100      | 181.0000 | 18,100.00 | 0.25         | -0.13      | Po   |
| 2019-05-05 | CHL    | 300      | 49.0000  | 14,700.00 | 0.28         | -0.11      | Po   |
| 2019-05-06 | ABBV   | 200      | 81.0000  | 16,200.00 | 0.25         | -0.11      | Po   |
| 2019-05-06 | AMGN   | 100      | 181.0000 | 18,100.00 | 0.25         | -0.13      | Po   |
| 2019-05-06 | CHL    | 300      | 49.0000  | 14,700.00 | 0.28         | -0.11      | Po   |
| 2019-05-06 | NIO    | 2,600    | 6.0000   | 15,600.00 | 6.21         | -2.69      | Po   |
| 2019-05-07 | ABBV   | 200      | 81.0000  | 16,200.00 | 0.27         | -0.12      | Po   |
| 2019-05-07 | AMGN   | 100      | 181.0000 | 18,100.00 | 0.27         | -0.14      | Po   |
| 2019-05-07 | CHL    | 300      | 49.0000  | 14,700.00 | 0.29         | -0.12      | Po   |
| 2019-05-07 | CI     | 100      | 162.0000 | 16,200.00 | 0.27         | -0.12      | Po   |
| 2019-05-07 | NIO    | 2,600    | 5.0000   | 13,000.00 | 5.90         | -2.13      | Po   |
| 2019-05-08 | AMGN   | 100      | 178.0000 | 17,800.00 | 0.26         | -0.13      | Po   |
| 2019-05-08 | CHL    | 300      | 48.0000  | 14,400.00 | 0.28         | -0.11      | Po   |
| 2019-05-09 | ABBV   | 200      | 80.0000  | 16,000.00 | 0.25         | -0.11      | Po   |
| 2019-05-09 | AMGN   | 100      | 176.0000 | 17,600.00 | 0.25         | -0.12      | Po   |
| 2019-05-09 | BGNE   | 100      | 120.0000 | 12,000.00 | 0.37         | -0.12      | Po   |
| 2019-05-09 | CHL    | 300      | 48.0000  | 14,400.00 | 0.27         | -0.11      | Po   |
| 2019-05-09 | LLY    | 100      | 119.0000 | 11,900.00 | 0.25         | -0.08      | Po   |

## Non-Direct Hard to Borrow Details

| Value Date | Symbol | Quantity | Price    | Value     | Fee Rate (%) | Borrow Fee | Code |
|------------|--------|----------|----------|-----------|--------------|------------|------|
| 2019-05-09 | LYB    | 100      | 85.0000  | 8,500.00  | 0.25         | -0.06      | Po   |
| 2019-05-09 | PFE    | 300      | 42.0000  | 12,600.00 | 0.25         | -0.09      | Po   |
| 2019-05-10 | ABBV   | 200      | 80.0000  | 16,000.00 | 0.26         | -0.12      | Po   |
| 2019-05-10 | AMGN   | 100      | 177.0000 | 17,700.00 | 0.26         | -0.13      | Po   |
| 2019-05-10 | BGNE   | 100      | 124.0000 | 12,400.00 | 0.37         | -0.13      | Po   |
| 2019-05-10 | CHL    | 300      | 48.0000  | 14,400.00 | 0.28         | -0.11      | Po   |
| 2019-05-10 | CI     | 100      | 159.0000 | 15,900.00 | 0.26         | -0.11      | Po   |
| 2019-05-10 | LLY    | 100      | 119.0000 | 11,900.00 | 0.26         | -0.09      | Po   |
| 2019-05-11 | ABBV   | 200      | 80.0000  | 16,000.00 | 0.26         | -0.12      | Po   |
| 2019-05-11 | AMGN   | 100      | 177.0000 | 17,700.00 | 0.26         | -0.13      | Po   |
| 2019-05-11 | BGNE   | 100      | 124.0000 | 12,400.00 | 0.37         | -0.13      | Po   |
| 2019-05-11 | CHL    | 300      | 48.0000  | 14,400.00 | 0.28         | -0.11      | Po   |
| 2019-05-11 | CI     | 100      | 159.0000 | 15,900.00 | 0.26         | -0.11      | Po   |
| 2019-05-11 | LLY    | 100      | 119.0000 | 11,900.00 | 0.26         | -0.09      | Po   |
| 2019-05-12 | ABBV   | 200      | 80.0000  | 16,000.00 | 0.26         | -0.12      | Po   |
| 2019-05-12 | AMGN   | 100      | 177.0000 | 17,700.00 | 0.26         | -0.13      | Po   |
| 2019-05-12 | BGNE   | 100      | 124.0000 | 12,400.00 | 0.37         | -0.13      | Po   |
| 2019-05-12 | CHL    | 300      | 48.0000  | 14,400.00 | 0.28         | -0.11      | Po   |
| 2019-05-12 | CI     | 100      | 159.0000 | 15,900.00 | 0.26         | -0.11      | Po   |
| 2019-05-12 | LLY    | 100      | 119.0000 | 11,900.00 | 0.26         | -0.09      | Po   |
| 2019-05-13 | ABBV   | 200      | 79.0000  | 15,800.00 | 0.26         | -0.11      | Po   |
| 2019-05-13 | AMGN   | 100      | 176.0000 | 17,600.00 | 0.26         | -0.13      | Po   |
| 2019-05-13 | BGNE   | 100      | 137.0000 | 13,700.00 | 0.37         | -0.14      | Po   |
| 2019-05-13 | CHL    | 300      | 48.0000  | 14,400.00 | 0.26         | -0.10      | Po   |
| 2019-05-13 | CI     | 100      | 162.0000 | 16,200.00 | 0.26         | -0.12      | Po   |
| 2019-05-13 | LYB    | 100      | 85.0000  | 8,500.00  | 0.26         | -0.06      | Po   |
| 2019-05-14 | ABBV   | 200      | 79.0000  | 15,800.00 | 0.27         | -0.12      | Po   |
| 2019-05-14 | AMGN   | 100      | 171.0000 | 17,100.00 | 0.27         | -0.13      | Po   |
| 2019-05-14 | CHL    | 300      | 47.0000  | 14,100.00 | 0.48         | -0.19      | Po   |
| 2019-05-14 | CI     | 100      | 161.0000 | 16,100.00 | 0.27         | -0.12      | Po   |
| 2019-05-14 | INTC   | 200      | 46.0000  | 9,200.00  | 0.27         | -0.07      | Po   |
| 2019-05-14 | LLY    | 100      | 117.0000 | 11,700.00 | 0.27         | -0.09      | Po   |
| 2019-05-14 | LYB    | 100      | 82.0000  | 8,200.00  | 0.36         | -0.08      | Po   |
| 2019-05-14 | MDT    | 100      | 90.0000  | 9,000.00  | 0.36         | -0.09      | Po   |
| 2019-05-14 | PFE    | 300      | 42.0000  | 12,600.00 | 0.27         | -0.09      | Po   |
| 2019-05-14 | UAL    | 100      | 84.0000  | 8,400.00  | 0.27         | -0.06      | Po   |
| 2019-05-15 | ABBV   | 200      | 81.0000  | 16,200.00 | 0.28         | -0.13      | Po   |
| 2019-05-15 | AMGN   | 100      | 172.0000 | 17,200.00 | 0.28         | -0.13      | Po   |
| 2019-05-15 | CAT    | 100      | 131.0000 | 13,100.00 | 0.28         | -0.10      | Po   |
| 2019-05-15 | CHL    | 300      | 48.0000  | 14,400.00 | 0.54         | -0.21      | Po   |
| 2019-05-15 | CI     | 100      | 159.0000 | 15,900.00 | 0.28         | -0.12      | Po   |
| 2019-05-15 | INTC   | 200      | 47.0000  | 9,400.00  | 0.28         | -0.07      | Po   |
| 2019-05-15 | LLY    | 100      | 118.0000 | 11,800.00 | 0.29         | -0.10      | Po   |
| 2019-05-15 | LYB    | 100      | 83.0000  | 8,300.00  | 0.33         | -0.08      | Po   |
| 2019-05-15 | MDT    | 100      | 90.0000  | 9,000.00  | 0.43         | -0.11      | Po   |
| 2019-05-15 | PFE    | 300      | 42.0000  | 12,600.00 | 0.28         | -0.10      | Po   |

## Non-Direct Hard to Borrow Details

| Value Date | Symbol | Quantity | Price    | Value     | Fee Rate (%) | Borrow Fee | Code |
|------------|--------|----------|----------|-----------|--------------|------------|------|
| 2019-05-15 | UAL    | 100      | 86.0000  | 8,600.00  | 0.28         | -0.07      | Po   |
| 2019-05-16 | ABBV   | 200      | 81.0000  | 16,200.00 | 0.25         | -0.11      | Po   |
| 2019-05-16 | AMGN   | 100      | 172.0000 | 17,200.00 | 0.25         | -0.12      | Po   |
| 2019-05-16 | CHL    | 300      | 47.0000  | 14,100.00 | 0.54         | -0.21      | Po   |
| 2019-05-16 | INTC   | 200      | 47.0000  | 9,400.00  | 0.25         | -0.07      | Po   |
| 2019-05-16 | LLY    | 100      | 118.0000 | 11,800.00 | 0.26         | -0.09      | Po   |
| 2019-05-16 | LYB    | 100      | 83.0000  | 8,300.00  | 0.32         | -0.07      | Po   |
| 2019-05-17 | ABBV   | 200      | 82.0000  | 16,400.00 | 0.26         | -0.12      | Po   |
| 2019-05-17 | AMGN   | 100      | 174.0000 | 17,400.00 | 0.26         | -0.13      | Po   |
| 2019-05-17 | BK     | 300      | 48.0000  | 14,400.00 | 0.26         | -0.10      | Po   |
| 2019-05-17 | CHL    | 300      | 47.0000  | 14,100.00 | 0.54         | -0.21      | Po   |
| 2019-05-17 | CI     | 100      | 157.0000 | 15,700.00 | 0.26         | -0.11      | Po   |
| 2019-05-17 | COP    | 200      | 64.0000  | 12,800.00 | 0.43         | -0.15      | Po   |
| 2019-05-17 | INTC   | 200      | 47.0000  | 9,400.00  | 0.26         | -0.07      | Po   |
| 2019-05-17 | LYB    | 100      | 84.0000  | 8,400.00  | 0.33         | -0.08      | Po   |
| 2019-05-17 | UBER   | 300      | 44.0000  | 13,200.00 | 2.59         | -0.95      | Po   |
| 2019-05-18 | ABBV   | 200      | 82.0000  | 16,400.00 | 0.26         | -0.12      | Po   |
| 2019-05-18 | AMGN   | 100      | 174.0000 | 17,400.00 | 0.26         | -0.13      | Po   |
| 2019-05-18 | BK     | 300      | 48.0000  | 14,400.00 | 0.26         | -0.10      | Po   |
| 2019-05-18 | CHL    | 300      | 47.0000  | 14,100.00 | 0.54         | -0.21      | Po   |
| 2019-05-18 | CI     | 100      | 157.0000 | 15,700.00 | 0.26         | -0.11      | Po   |
| 2019-05-18 | COP    | 200      | 64.0000  | 12,800.00 | 0.43         | -0.15      | Po   |
| 2019-05-18 | INTC   | 200      | 47.0000  | 9,400.00  | 0.26         | -0.07      | Po   |
| 2019-05-18 | LYB    | 100      | 84.0000  | 8,400.00  | 0.33         | -0.08      | Po   |
| 2019-05-18 | UBER   | 300      | 44.0000  | 13,200.00 | 2.59         | -0.95      | Po   |
| 2019-05-19 | ABBV   | 200      | 82.0000  | 16,400.00 | 0.26         | -0.12      | Po   |
| 2019-05-19 | AMGN   | 100      | 174.0000 | 17,400.00 | 0.26         | -0.13      | Po   |
| 2019-05-19 | BK     | 300      | 48.0000  | 14,400.00 | 0.26         | -0.10      | Po   |
| 2019-05-19 | CHL    | 300      | 47.0000  | 14,100.00 | 0.54         | -0.21      | Po   |
| 2019-05-19 | CI     | 100      | 157.0000 | 15,700.00 | 0.26         | -0.11      | Po   |
| 2019-05-19 | COP    | 200      | 64.0000  | 12,800.00 | 0.43         | -0.15      | Po   |
| 2019-05-19 | INTC   | 200      | 47.0000  | 9,400.00  | 0.26         | -0.07      | Po   |
| 2019-05-19 | LYB    | 100      | 84.0000  | 8,400.00  | 0.33         | -0.08      | Po   |
| 2019-05-19 | UBER   | 300      | 44.0000  | 13,200.00 | 2.59         | -0.95      | Po   |
| 2019-05-20 | AMGN   | 100      | 174.0000 | 17,400.00 | 0.27         | -0.13      | Po   |
| 2019-05-20 | BK     | 300      | 48.0000  | 14,400.00 | 0.27         | -0.11      | Po   |
| 2019-05-20 | CHL    | 300      | 48.0000  | 14,400.00 | 0.52         | -0.21      | Po   |
| 2019-05-20 | CI     | 100      | 158.0000 | 15,800.00 | 0.27         | -0.12      | Po   |
| 2019-05-20 | INTC   | 200      | 46.0000  | 9,200.00  | 0.27         | -0.07      | Po   |
| 2019-05-20 | LYB    | 100      | 82.0000  | 8,200.00  | 0.34         | -0.08      | Po   |
| 2019-05-20 | MDT    | 100      | 90.0000  | 9,000.00  | 0.40         | -0.10      | Po   |
| 2019-05-21 | AMGN   | 100      | 172.0000 | 17,200.00 | 0.26         | -0.12      | Po   |
| 2019-05-21 | BK     | 300      | 47.0000  | 14,100.00 | 0.26         | -0.10      | Po   |
| 2019-05-21 | CAT    | 100      | 125.0000 | 12,500.00 | 0.26         | -0.09      | Po   |
| 2019-05-21 | CHL    | 300      | 47.0000  | 14,100.00 | 0.48         | -0.19      | Po   |
| 2019-05-21 | CI     | 100      | 157.0000 | 15,700.00 | 0.26         | -0.11      | Po   |

## Non-Direct Hard to Borrow Details

| Value Date | Symbol | Quantity | Price    | Value     | Fee Rate (%) | Borrow Fee | Code |
|------------|--------|----------|----------|-----------|--------------|------------|------|
| 2019-05-21 | INTC   | 200      | 45.0000  | 9,000.00  | 0.26         | -0.07      | Po   |
| 2019-05-21 | LYB    | 100      | 82.0000  | 8,200.00  | 0.31         | -0.07      | Po   |
| 2019-05-21 | UAL    | 200      | 84.0000  | 16,800.00 | 0.26         | -0.12      | Po   |
| 2019-05-22 | AMGN   | 100      | 174.0000 | 17,400.00 | 0.26         | -0.13      | Po   |
| 2019-05-22 | CAT    | 100      | 128.0000 | 12,800.00 | 0.26         | -0.09      | Po   |
| 2019-05-22 | CHL    | 300      | 48.0000  | 14,400.00 | 0.48         | -0.19      | Po   |
| 2019-05-22 | MDT    | 100      | 90.0000  | 9,000.00  | 0.46         | -0.12      | Po   |
| 2019-05-22 | UAL    | 200      | 85.0000  | 17,000.00 | 0.26         | -0.12      | Po   |
| 2019-05-22 | UBER   | 300      | 43.0000  | 12,900.00 | 1.59         | -0.57      | Po   |
| 2019-05-22 | WFC    | 300      | 48.0000  | 14,400.00 | 0.26         | -0.10      | Po   |
| 2019-05-23 | AMGN   | 100      | 175.0000 | 17,500.00 | 0.26         | -0.13      | Po   |
| 2019-05-23 | BK     | 300      | 48.0000  | 14,400.00 | 0.26         | -0.10      | Po   |
| 2019-05-23 | CAT    | 100      | 127.0000 | 12,700.00 | 0.26         | -0.09      | Po   |
| 2019-05-23 | CHL    | 300      | 47.0000  | 14,100.00 | 0.55         | -0.21      | Po   |
| 2019-05-23 | CI     | 100      | 156.0000 | 15,600.00 | 0.26         | -0.11      | Po   |
| 2019-05-23 | LYB    | 200      | 83.0000  | 16,600.00 | 0.30         | -0.14      | Po   |
| 2019-05-23 | UAL    | 200      | 84.0000  | 16,800.00 | 0.26         | -0.12      | Po   |
| 2019-05-24 | AMGN   | 100      | 176.0000 | 17,600.00 | 0.27         | -0.13      | Po   |
| 2019-05-24 | BK     | 300      | 47.0000  | 14,100.00 | 0.27         | -0.11      | Po   |
| 2019-05-24 | CAT    | 100      | 125.0000 | 12,500.00 | 0.27         | -0.09      | Po   |
| 2019-05-24 | CHL    | 300      | 46.0000  | 13,800.00 | 0.54         | -0.21      | Po   |
| 2019-05-24 | CI     | 100      | 154.0000 | 15,400.00 | 0.27         | -0.12      | Po   |
| 2019-05-24 | LYB    | 200      | 81.0000  | 16,200.00 | 0.27         | -0.12      | Po   |
| 2019-05-24 | UAL    | 200      | 83.0000  | 16,600.00 | 0.27         | -0.12      | Po   |
| 2019-05-24 | UBER   | 300      | 42.0000  | 12,600.00 | 2.10         | -0.73      | Po   |
| 2019-05-25 | AMGN   | 100      | 176.0000 | 17,600.00 | 0.27         | -0.13      | Po   |
| 2019-05-25 | BK     | 300      | 47.0000  | 14,100.00 | 0.27         | -0.11      | Po   |
| 2019-05-25 | CAT    | 100      | 125.0000 | 12,500.00 | 0.27         | -0.09      | Po   |
| 2019-05-25 | CHL    | 300      | 46.0000  | 13,800.00 | 0.54         | -0.21      | Po   |
| 2019-05-25 | CI     | 100      | 154.0000 | 15,400.00 | 0.27         | -0.12      | Po   |
| 2019-05-25 | LYB    | 200      | 81.0000  | 16,200.00 | 0.27         | -0.12      | Po   |
| 2019-05-25 | UAL    | 200      | 83.0000  | 16,600.00 | 0.27         | -0.12      | Po   |
| 2019-05-25 | UBER   | 300      | 42.0000  | 12,600.00 | 2.10         | -0.73      | Po   |
| 2019-05-26 | AMGN   | 100      | 176.0000 | 17,600.00 | 0.27         | -0.13      | Po   |
| 2019-05-26 | BK     | 300      | 47.0000  | 14,100.00 | 0.27         | -0.11      | Po   |
| 2019-05-26 | CAT    | 100      | 125.0000 | 12,500.00 | 0.27         | -0.09      | Po   |
| 2019-05-26 | CHL    | 300      | 46.0000  | 13,800.00 | 0.54         | -0.21      | Po   |
| 2019-05-26 | CI     | 100      | 154.0000 | 15,400.00 | 0.27         | -0.12      | Po   |
| 2019-05-26 | LYB    | 200      | 81.0000  | 16,200.00 | 0.27         | -0.12      | Po   |
| 2019-05-26 | UAL    | 200      | 83.0000  | 16,600.00 | 0.27         | -0.12      | Po   |
| 2019-05-26 | UBER   | 300      | 42.0000  | 12,600.00 | 2.10         | -0.73      | Po   |
| 2019-05-27 | AMGN   | 100      | 176.0000 | 17,600.00 | 0.27         | -0.13      | Po   |
| 2019-05-27 | BK     | 300      | 47.0000  | 14,100.00 | 0.27         | -0.11      | Po   |
| 2019-05-27 | CAT    | 100      | 125.0000 | 12,500.00 | 0.27         | -0.09      | Po   |
| 2019-05-27 | CHL    | 300      | 46.0000  | 13,800.00 | 0.54         | -0.21      | Po   |
| 2019-05-27 | CI     | 100      | 154.0000 | 15,400.00 | 0.27         | -0.12      | Po   |

## Non-Direct Hard to Borrow Details

| Value Date | Symbol | Quantity | Price    | Value     | Fee Rate (%) | Borrow Fee | Code |
|------------|--------|----------|----------|-----------|--------------|------------|------|
| 2019-05-27 | LYB    | 200      | 81.0000  | 16,200.00 | 0.27         | -0.12      | Po   |
| 2019-05-27 | UAL    | 200      | 83.0000  | 16,600.00 | 0.27         | -0.12      | Po   |
| 2019-05-27 | UBER   | 300      | 42.0000  | 12,600.00 | 2.10         | -0.73      | Po   |
| 2019-05-28 | AMGN   | 100      | 175.0000 | 17,500.00 | 0.27         | -0.13      | Po   |
| 2019-05-28 | BK     | 300      | 47.0000  | 14,100.00 | 0.27         | -0.11      | Po   |
| 2019-05-28 | CAT    | 100      | 126.0000 | 12,600.00 | 0.27         | -0.09      | Po   |
| 2019-05-28 | CHL    | 300      | 47.0000  | 14,100.00 | 0.52         | -0.20      | Po   |
| 2019-05-28 | CI     | 100      | 153.0000 | 15,300.00 | 0.27         | -0.11      | Po   |
| 2019-05-28 | COP    | 200      | 62.0000  | 12,400.00 | 0.42         | -0.14      | Po   |
| 2019-05-28 | LYB    | 200      | 80.0000  | 16,000.00 | 0.27         | -0.12      | Po   |
| 2019-05-28 | UAL    | 200      | 83.0000  | 16,600.00 | 0.27         | -0.12      | Po   |
| 2019-05-28 | UBER   | 300      | 43.0000  | 12,900.00 | 1.46         | -0.52      | Po   |
| 2019-05-29 | AMGN   | 100      | 173.0000 | 17,300.00 | 0.27         | -0.13      | Po   |
| 2019-05-29 | BK     | 300      | 46.0000  | 13,800.00 | 0.27         | -0.10      | Po   |
| 2019-05-29 | CAT    | 100      | 125.0000 | 12,500.00 | 0.27         | -0.09      | Po   |
| 2019-05-29 | CHL    | 300      | 46.0000  | 13,800.00 | 0.61         | -0.24      | Po   |
| 2019-05-29 | CI     | 100      | 150.0000 | 15,000.00 | 0.27         | -0.11      | Po   |
| 2019-05-29 | COP    | 200      | 62.0000  | 12,400.00 | 0.27         | -0.09      | Po   |
| 2019-05-29 | LYB    | 200      | 79.0000  | 15,800.00 | 0.27         | -0.12      | Po   |
| 2019-05-29 | UAL    | 200      | 82.0000  | 16,400.00 | 0.27         | -0.12      | Po   |
| 2019-05-29 | UBER   | 300      | 42.0000  | 12,600.00 | 1.38         | -0.48      | Po   |
| 2019-05-29 | UPS    | 100      | 96.0000  | 9,600.00  | 0.27         | -0.07      | Po   |
| 2019-05-30 | AMGN   | 100      | 173.0000 | 17,300.00 | 0.27         | -0.13      | Po   |
| 2019-05-30 | BK     | 300      | 45.0000  | 13,500.00 | 0.27         | -0.10      | Po   |
| 2019-05-30 | CAT    | 100      | 124.0000 | 12,400.00 | 0.27         | -0.09      | Po   |
| 2019-05-30 | CHL    | 300      | 46.0000  | 13,800.00 | 0.40         | -0.15      | Po   |
| 2019-05-30 | CI     | 100      | 152.0000 | 15,200.00 | 0.27         | -0.11      | Po   |
| 2019-05-30 | COP    | 200      | 62.0000  | 12,400.00 | 0.27         | -0.09      | Po   |
| 2019-05-30 | LYB    | 200      | 80.0000  | 16,000.00 | 0.27         | -0.12      | Po   |
| 2019-05-30 | UAL    | 200      | 81.0000  | 16,200.00 | 0.27         | -0.12      | Po   |
| 2019-05-30 | UBER   | 300      | 41.0000  | 12,300.00 | 1.36         | -0.47      | Po   |
| 2019-05-30 | UPS    | 100      | 97.0000  | 9,700.00  | 0.27         | -0.07      | Po   |
| 2019-05-31 | ABBV   | 200      | 80.0000  | 16,000.00 | 0.28         | -0.12      | Po   |
| 2019-05-31 | AMGN   | 100      | 173.0000 | 17,300.00 | 0.28         | -0.13      | Po   |
| 2019-05-31 | BK     | 300      | 45.0000  | 13,500.00 | 0.28         | -0.11      | Po   |
| 2019-05-31 | CAT    | 100      | 125.0000 | 12,500.00 | 0.28         | -0.10      | Po   |
| 2019-05-31 | CHL    | 300      | 46.0000  | 13,800.00 | 0.28         | -0.11      | Po   |
| 2019-05-31 | CI     | 100      | 151.0000 | 15,100.00 | 0.28         | -0.12      | Po   |
| 2019-05-31 | COP    | 200      | 61.0000  | 12,200.00 | 0.28         | -0.09      | Po   |
| 2019-05-31 | LYB    | 200      | 79.0000  | 15,800.00 | 0.28         | -0.12      | Po   |
| 2019-05-31 | NKTR   | 400      | 33.0000  | 13,200.00 | 0.28         | -0.10      | Po   |
| 2019-05-31 | UAL    | 200      | 82.0000  | 16,400.00 | 0.28         | -0.13      | Po   |
| 2019-05-31 | UBER   | 300      | 41.0000  | 12,300.00 | 1.28         | -0.44      | Po   |
| 2019-05-31 | UPS    | 100      | 98.0000  | 9,800.00  | 0.28         | -0.08      | Po   |
| 2019-05-31 | WFC    | 300      | 46.0000  | 13,800.00 | 0.28         | -0.11      | Po   |
| 2019-06-01 | ABBV   | 200      | 80.0000  | 16,000.00 | 0.28         | -0.12      | Po   |

## Non-Direct Hard to Borrow Details

| Value Date | Symbol | Quantity | Price    | Value     | Fee Rate (%) | Borrow Fee | Code |
|------------|--------|----------|----------|-----------|--------------|------------|------|
| 2019-06-01 | AMGN   | 100      | 173.0000 | 17,300.00 | 0.28         | -0.13      | Po   |
| 2019-06-01 | BK     | 300      | 45.0000  | 13,500.00 | 0.28         | -0.11      | Po   |
| 2019-06-01 | CAT    | 100      | 125.0000 | 12,500.00 | 0.28         | -0.10      | Po   |
| 2019-06-01 | CHL    | 300      | 46.0000  | 13,800.00 | 0.28         | -0.11      | Po   |
| 2019-06-01 | CI     | 100      | 151.0000 | 15,100.00 | 0.28         | -0.12      | Po   |
| 2019-06-01 | COP    | 200      | 61.0000  | 12,200.00 | 0.28         | -0.09      | Po   |
| 2019-06-01 | LYB    | 200      | 79.0000  | 15,800.00 | 0.28         | -0.12      | Po   |
| 2019-06-01 | NKTR   | 400      | 33.0000  | 13,200.00 | 0.28         | -0.10      | Po   |
| 2019-06-01 | UAL    | 200      | 82.0000  | 16,400.00 | 0.28         | -0.13      | Po   |
| 2019-06-01 | UBER   | 300      | 41.0000  | 12,300.00 | 1.28         | -0.44      | Po   |
| 2019-06-01 | UPS    | 100      | 98.0000  | 9,800.00  | 0.28         | -0.08      | Po   |
| 2019-06-01 | WFC    | 300      | 46.0000  | 13,800.00 | 0.28         | -0.11      | Po   |
| 2019-06-02 | ABBV   | 200      | 80.0000  | 16,000.00 | 0.28         | -0.12      | Po   |
| 2019-06-02 | AMGN   | 100      | 173.0000 | 17,300.00 | 0.28         | -0.13      | Po   |
| 2019-06-02 | BK     | 300      | 45.0000  | 13,500.00 | 0.28         | -0.11      | Po   |
| 2019-06-02 | CAT    | 100      | 125.0000 | 12,500.00 | 0.28         | -0.10      | Po   |
| 2019-06-02 | CHL    | 300      | 46.0000  | 13,800.00 | 0.28         | -0.11      | Po   |
| 2019-06-02 | CI     | 100      | 151.0000 | 15,100.00 | 0.28         | -0.12      | Po   |
| 2019-06-02 | COP    | 200      | 61.0000  | 12,200.00 | 0.28         | -0.09      | Po   |
| 2019-06-02 | LYB    | 200      | 79.0000  | 15,800.00 | 0.28         | -0.12      | Po   |
| 2019-06-02 | NKTR   | 400      | 33.0000  | 13,200.00 | 0.28         | -0.10      | Po   |
| 2019-06-02 | UAL    | 200      | 82.0000  | 16,400.00 | 0.28         | -0.13      | Po   |
| 2019-06-02 | UBER   | 300      | 41.0000  | 12,300.00 | 1.28         | -0.44      | Po   |
| 2019-06-02 | UPS    | 100      | 98.0000  | 9,800.00  | 0.28         | -0.08      | Po   |
| 2019-06-02 | WFC    | 300      | 46.0000  | 13,800.00 | 0.28         | -0.11      | Po   |
| 2019-06-03 | ABBV   | 200      | 79.0000  | 15,800.00 | 0.25         | -0.11      | Po   |
| 2019-06-03 | AMGN   | 100      | 171.0000 | 17,100.00 | 0.25         | -0.12      | Po   |
| 2019-06-03 | BK     | 300      | 44.0000  | 13,200.00 | 0.25         | -0.09      | Po   |
| 2019-06-03 | CAT    | 100      | 123.0000 | 12,300.00 | 0.25         | -0.09      | Po   |
| 2019-06-03 | CHL    | 300      | 45.0000  | 13,500.00 | 0.25         | -0.09      | Po   |
| 2019-06-03 | CI     | 100      | 151.0000 | 15,100.00 | 0.25         | -0.10      | Po   |
| 2019-06-03 | COP    | 200      | 61.0000  | 12,200.00 | 0.25         | -0.08      | Po   |
| 2019-06-03 | LYB    | 200      | 76.0000  | 15,200.00 | 0.25         | -0.11      | Po   |
| 2019-06-03 | SLB    | 300      | 36.0000  | 10,800.00 | 0.25         | -0.08      | Po   |
| 2019-06-03 | UAL    | 200      | 80.0000  | 16,000.00 | 0.25         | -0.11      | Po   |
| 2019-06-03 | UBER   | 300      | 42.0000  | 12,600.00 | 0.59         | -0.21      | Po   |
| 2019-06-03 | UPS    | 100      | 95.0000  | 9,500.00  | 0.25         | -0.07      | Po   |
| 2019-06-03 | WFC    | 300      | 46.0000  | 13,800.00 | 0.25         | -0.10      | Po   |
| 2019-06-04 | INTC   | 300      | 45.0000  | 13,500.00 | 0.27         | -0.10      | Po   |
| 2019-06-04 | PFE    | 300      | 43.0000  | 12,900.00 | 0.26         | -0.09      | Po   |
| 2019-06-04 | UNH    | 100      | 242.0000 | 24,200.00 | 0.26         | -0.17      | Po   |
| 2019-06-06 | UNH    | 100      | 248.0000 | 24,800.00 | 0.25         | -0.17      | Po   |
| 2019-06-10 | COP    | 200      | 61.0000  | 12,200.00 | 0.26         | -0.09      | Po   |
| 2019-06-10 | FOXA   | 300      | 35.0000  | 10,500.00 | 0.26         | -0.08      | Po   |
| 2019-06-11 | FOXA   | 300      | 35.0000  | 10,500.00 | 0.25         | -0.07      | Po   |
| 2019-06-12 | FOXA   | 300      | 35.0000  | 10,500.00 | 0.26         | -0.08      | Po   |



## Non-Direct Hard to Borrow Details

| Value Date   | Symbol | Quantity | Price    | Value     | Fee Rate (%) | Borrow Fee     | Code |
|--------------|--------|----------|----------|-----------|--------------|----------------|------|
| 2019-06-13   | FOXA   | 300      | 36.0000  | 10,800.00 | 0.27         | -0.08          | Po   |
| 2019-06-13   | LLY    | 100      | 118.0000 | 11,800.00 | 0.27         | -0.09          | Po   |
| 2019-06-14   | CHL    | 300      | 46.0000  | 13,800.00 | 0.28         | -0.11          | Po   |
| 2019-06-14   | LLY    | 100      | 115.0000 | 11,500.00 | 0.26         | -0.08          | Po   |
| 2019-06-15   | CHL    | 300      | 46.0000  | 13,800.00 | 0.28         | -0.11          | Po   |
| 2019-06-15   | LLY    | 100      | 115.0000 | 11,500.00 | 0.26         | -0.08          | Po   |
| 2019-06-16   | CHL    | 300      | 46.0000  | 13,800.00 | 0.28         | -0.11          | Po   |
| 2019-06-16   | LLY    | 100      | 115.0000 | 11,500.00 | 0.26         | -0.08          | Po   |
| 2019-06-17   | CHL    | 300      | 45.0000  | 13,500.00 | 0.30         | -0.11          | Po   |
| 2019-06-17   | LLY    | 100      | 114.0000 | 11,400.00 | 0.28         | -0.09          | Po   |
| 2019-06-18   | LLY    | 100      | 116.0000 | 11,600.00 | 0.73         | -0.24          | Po   |
| 2019-06-19   | CHL    | 300      | 46.0000  | 13,800.00 | 0.25         | -0.10          | Po   |
| 2019-06-19   | LLY    | 100      | 117.0000 | 11,700.00 | 0.25         | -0.08          | Po   |
| 2019-06-20   | CHL    | 300      | 46.0000  | 13,800.00 | 0.26         | -0.10          | Po   |
| 2019-06-20   | LLY    | 100      | 118.0000 | 11,800.00 | 0.26         | -0.09          | Po   |
| 2019-06-21   | LLY    | 100      | 118.0000 | 11,800.00 | 0.27         | -0.09          | Po   |
| 2019-06-22   | LLY    | 100      | 118.0000 | 11,800.00 | 0.27         | -0.09          | Po   |
| 2019-06-23   | LLY    | 100      | 118.0000 | 11,800.00 | 0.27         | -0.09          | Po   |
| 2019-06-26   | MO     | 200      | 50.0000  | 10,000.00 | 0.27         | -0.07          | Po   |
| 2019-07-03   | IP     | 300      | 45.0000  | 13,500.00 | 0.28         | -0.10          | Po   |
| 2019-07-04   | IP     | 300      | 45.0000  | 13,500.00 | 0.28         | -0.10          | Po   |
| 2019-07-05   | UNH    | 100      | 251.0000 | 25,100.00 | 0.27         | -0.19          | Po   |
| 2019-07-06   | UNH    | 100      | 251.0000 | 25,100.00 | 0.27         | -0.19          | Po   |
| 2019-07-07   | UNH    | 100      | 251.0000 | 25,100.00 | 0.27         | -0.19          | Po   |
| <b>Total</b> |        |          |          |           |              | <b>-156.81</b> |      |

## IB Managed Securities Lent Activity

| Symbol        | Date       | Description            | Transaction ID | Quantity | Interest Rate on Customer Collateral (%) | Collateral Amount |
|---------------|------------|------------------------|----------------|----------|------------------------------------------|-------------------|
| <b>Stocks</b> |            |                        |                |          |                                          |                   |
| <b>USD</b>    |            |                        |                |          |                                          |                   |
| ASHR          | 2019-04-18 | New Loan Allocation    | SLB.51790381   | -272     | 0.14                                     | 8,704.00          |
| ASHR          | 2019-04-19 | New Loan Allocation    | SLB.51794651   | -270     | 0.10                                     | 8,640.00          |
| ASHR          | 2019-04-19 | Loan Return Allocation | SLB.51790381   | 272      | 0.14                                     | -8,704.00         |
| ASHR          | 2019-04-22 | Loan Return Allocation | SLB.51794651   | 270      | 0.10                                     | -8,640.00         |
| ASHR          | 2019-04-22 | New Loan Allocation    | SLB.51806535   | -347     | 0.15                                     | 11,104.00         |
| ASHR          | 2019-04-23 | New Loan Allocation    | SLB.51819248   | -321     | 0.15                                     | 9,951.00          |
| ASHR          | 2019-04-23 | Loan Return Allocation | SLB.51806535   | 347      | 0.15                                     | -11,104.00        |
| ASHR          | 2019-04-24 | Loan Return Allocation | SLB.51819248   | 321      | 0.15                                     | -9,951.00         |
| ASHR          | 2019-04-24 | New Loan Allocation    | SLB.51831980   | -275     | 0.09                                     | 8,525.00          |
| ASHR          | 2019-04-25 | Loan Return Allocation | SLB.51831980   | 275      | 0.09                                     | -8,525.00         |
| ASHR          | 2019-04-25 | New Loan Allocation    | SLB.51844858   | -180     | 0.10                                     | 5,580.00          |
| ASHR          | 2019-04-26 | Loan Return Allocation | SLB.51844858   | 180      | 0.10                                     | -5,580.00         |
| ASHR          | 2019-04-26 | New Loan Allocation    | SLB.51856996   | -97      | 0.10                                     | 2,910.00          |

## IB Managed Securities Lent Activity

| Symbol | Date       | Description            | Transaction ID | Quantity | Interest Rate on Customer Collateral (%) | Collateral Amount |
|--------|------------|------------------------|----------------|----------|------------------------------------------|-------------------|
| ASHR   | 2019-04-29 | Loan Return Allocation | SLB.51856996   | 97       | 0.10                                     | -2,910.00         |
| BHP    | 2019-04-02 | New Loan Allocation    | SLB.51637044   | -7       | 0.42                                     | 406.00            |
| BHP    | 2019-04-03 | Loan Return Allocation | SLB.51637044   | 7        | 0.42                                     | -406.00           |
| BHP    | 2019-04-05 | New Loan Allocation    | SLB.51676430   | -25      | 0.26                                     | 1,437.50          |
| BHP    | 2019-04-08 | Loan Return Allocation | SLB.51676430   | 25       | 0.26                                     | -1,437.50         |
| BHP    | 2019-04-09 | New Loan Allocation    | SLB.51701791   | -97      | 0.11                                     | 5,723.00          |
| BHP    | 2019-04-10 | Loan Return Allocation | SLB.51701791   | 97       | 0.11                                     | -5,723.00         |
| BHP    | 2019-04-10 | New Loan Allocation    | SLB.51714553   | -79      | 0.12                                     | 4,582.00          |
| BHP    | 2019-04-11 | Loan Return Allocation | SLB.51714553   | 79       | 0.12                                     | -4,582.00         |
| BHP    | 2019-04-16 | New Loan Allocation    | SLB.51765349   | -2       | 0.28                                     | 116.00            |
| BHP    | 2019-04-17 | Loan Return Allocation | SLB.51765349   | 2        | 0.28                                     | -116.00           |
| BHP    | 2019-06-07 | New Loan Allocation    | SLB.52231339   | -6       | 0.17                                     | 324.00            |
| BHP    | 2019-06-10 | Loan Return Allocation | SLB.52231339   | 6        | 0.17                                     | -324.00           |
| BHP    | 2019-06-18 | New Loan Allocation    | SLB.52319614   | -90      | 0.10                                     | 5,040.00          |
| BHP    | 2019-06-19 | Loan Return Allocation | SLB.52319614   | 90       | 0.10                                     | -5,040.00         |
| BHP    | 2019-07-05 | New Loan Allocation    | SLB.52469120   | -54      | 0.14                                     | 3,294.00          |
| BHP    | 2019-07-08 | Loan Return Allocation | SLB.52469120   | 54       | 0.14                                     | -3,294.00         |
| BHP    | 2019-07-08 | New Loan Allocation    | SLB.52480962   | -81      | 0.13                                     | 4,617.00          |
| BHP    | 2019-07-08 | New Loan Allocation    | SLB.52480960   | -44      | 0.13                                     | 2,552.00          |
| BHP    | 2019-07-09 | New Loan Allocation    | SLB.52492945   | -2       | 0.13                                     | 118.00            |
| BHP    | 2019-07-09 | Loan Return Allocation | SLB.52480962   | 81       | 0.13                                     | -4,617.00         |
| BHP    | 2019-07-09 | Loan Return Allocation | SLB.52480960   | 44       | 0.13                                     | -2,552.00         |
| BHP    | 2019-07-10 | Loan Return Allocation | SLB.52492945   | 2        | 0.13                                     | -118.00           |
| BHP    | 2019-07-10 | New Loan Allocation    | SLB.52504863   | -34      | 0.13                                     | 2,006.00          |
| BHP    | 2019-07-11 | Loan Return Allocation | SLB.52504863   | 34       | 0.13                                     | -2,006.00         |
| BHP    | 2019-07-11 | New Loan Allocation    | SLB.52517003   | -91      | 0.13                                     | 5,369.00          |
| BHP    | 2019-07-12 | New Loan Allocation    | SLB.52529045   | -193     | 0.13                                     | 11,387.00         |
| BHP    | 2019-07-12 | Loan Return Allocation | SLB.52517003   | 91       | 0.13                                     | -5,369.00         |
| BHP    | 2019-07-15 | New Loan Allocation    | SLB.52540923   | -55      | 0.14                                     | 3,245.00          |
| BHP    | 2019-07-15 | Loan Return Allocation | SLB.52529045   | 193      | 0.13                                     | -11,387.00        |
| BHP    | 2019-07-16 | Loan Return Allocation | SLB.52540923   | 55       | 0.14                                     | -3,245.00         |
| BILI   | 2019-02-25 | New Loan Allocation    | SLB.51301903   | -49      | 0.43                                     | 980.00            |
| BILI   | 2019-02-26 | Loan Return Allocation | SLB.51301903   | 49       | 0.43                                     | -980.00           |
| BUD    | 2019-07-09 | New Loan Allocation    | SLB.52492594   | -1       | 0.11                                     | 94.00             |
| BUD    | 2019-07-10 | Loan Return Allocation | SLB.52492594   | 1        | 0.11                                     | -94.00            |
| BUD    | 2019-07-12 | New Loan Allocation    | SLB.52528684   | -100     | 0.10                                     | 9,200.00          |
| BUD    | 2019-07-15 | Loan Return Allocation | SLB.52528684   | 100      | 0.10                                     | -9,200.00         |
| BUD    | 2019-07-15 | New Loan Allocation    | SLB.52540525   | -100     | 0.11                                     | 8,900.00          |
| BUD    | 2019-07-16 | Loan Return Allocation | SLB.52540525   | 100      | 0.11                                     | -8,900.00         |
| HDB    | 2019-06-21 | New Loan Allocation    | SLB.52351820   | -39      | 0.03                                     | 5,148.00          |
| HDB    | 2019-06-24 | Loan Return Allocation | SLB.52351820   | 39       | 0.03                                     | -5,148.00         |
| HDB    | 2019-06-24 | New Loan Allocation    | SLB.52362762   | -39      | 0.03                                     | 5,070.00          |
| HDB    | 2019-06-25 | New Loan Allocation    | SLB.52376174   | -38      | 0.03                                     | 4,978.00          |
| HDB    | 2019-06-25 | Loan Return Allocation | SLB.52362762   | 39       | 0.03                                     | -5,070.00         |
| HDB    | 2019-06-26 | New Loan Allocation    | SLB.52387871   | -34      | 0.03                                     | 4,454.00          |

## IB Managed Securities Lent Activity

| Symbol | Date       | Description            | Transaction ID | Quantity | Interest Rate on Customer Collateral (%) | Collateral Amount |
|--------|------------|------------------------|----------------|----------|------------------------------------------|-------------------|
| HDB    | 2019-06-26 | Loan Return Allocation | SLB.52376174   | 38       | 0.03                                     | -4,978.00         |
| HDB    | 2019-06-27 | Loan Return Allocation | SLB.52387871   | 34       | 0.03                                     | -4,454.00         |
| HDB    | 2019-07-08 | New Loan Allocation    | SLB.52479874   | -36      | 0.03                                     | 4,788.00          |
| HDB    | 2019-07-09 | Loan Return Allocation | SLB.52479874   | 36       | 0.03                                     | -4,788.00         |
| HDB    | 2019-07-09 | New Loan Allocation    | SLB.52491888   | -35      | 0.03                                     | 4,515.00          |
| HDB    | 2019-07-10 | Loan Return Allocation | SLB.52491888   | 35       | 0.03                                     | -4,515.00         |
| HDB    | 2019-07-10 | New Loan Allocation    | SLB.52503791   | -37      | 0.03                                     | 4,699.00          |
| HDB    | 2019-07-11 | Loan Return Allocation | SLB.52503791   | 37       | 0.03                                     | -4,699.00         |
| HDB    | 2019-07-11 | New Loan Allocation    | SLB.52515859   | -37      | 0.03                                     | 4,810.00          |
| HDB    | 2019-07-12 | Loan Return Allocation | SLB.52515859   | 37       | 0.03                                     | -4,810.00         |
| HDB    | 2019-07-12 | New Loan Allocation    | SLB.52527979   | -37      | 0.03                                     | 4,847.00          |
| HDB    | 2019-07-15 | New Loan Allocation    | SLB.52539812   | -37      | 0.03                                     | 4,773.00          |
| HDB    | 2019-07-15 | Loan Return Allocation | SLB.52527979   | 37       | 0.03                                     | -4,847.00         |
| HDB    | 2019-07-16 | Loan Return Allocation | SLB.52539812   | 37       | 0.03                                     | -4,773.00         |
| IQ     | 2019-04-04 | New Loan Allocation    | SLB.51663662   | -387     | 0.15                                     | 9,675.00          |
| IQ     | 2019-04-05 | Loan Return Allocation | SLB.51663662   | 387      | 0.15                                     | -9,675.00         |
| PDD    | 2019-01-23 | New Loan Allocation    | SLB.51001663   | -88      | 2.45                                     | 2,288.00          |
| PDD    | 2019-01-24 | Loan Return Allocation | SLB.51001663   | 88       | 2.45                                     | -2,288.00         |
| PDD    | 2019-01-24 | New Loan Allocation    | SLB.51014113   | -89      | 2.62                                     | 2,492.00          |
| PDD    | 2019-01-25 | Loan Return Allocation | SLB.51014113   | 89       | 2.62                                     | -2,492.00         |
| PDD    | 2019-01-25 | New Loan Allocation    | SLB.51025931   | -98      | 2.66                                     | 2,940.00          |
| PDD    | 2019-01-28 | Loan Return Allocation | SLB.51025931   | 98       | 2.66                                     | -2,940.00         |
| PDD    | 2019-01-28 | New Loan Allocation    | SLB.51037846   | -94      | 2.64                                     | 2,914.00          |
| PDD    | 2019-01-29 | Loan Return Allocation | SLB.51037846   | 94       | 2.64                                     | -2,914.00         |
| PDD    | 2019-01-29 | New Loan Allocation    | SLB.51051301   | -56      | 2.74                                     | 1,680.00          |
| PDD    | 2019-01-30 | Loan Return Allocation | SLB.51051301   | 56       | 2.74                                     | -1,680.00         |
| PDD    | 2019-01-30 | New Loan Allocation    | SLB.51063437   | -85      | 2.66                                     | 2,635.00          |
| PDD    | 2019-01-31 | New Loan Allocation    | SLB.51076222   | -98      | 2.69                                     | 2,940.00          |
| PDD    | 2019-01-31 | Loan Return Allocation | SLB.51063437   | 85       | 2.66                                     | -2,635.00         |
| PDD    | 2019-02-01 | Loan Return Allocation | SLB.51076222   | 98       | 2.69                                     | -2,940.00         |
| PDD    | 2019-02-01 | New Loan Allocation    | SLB.51088345   | -95      | 2.69                                     | 2,850.00          |
| PDD    | 2019-02-04 | Loan Return Allocation | SLB.51088345   | 95       | 2.69                                     | -2,850.00         |
| PDD    | 2019-02-04 | New Loan Allocation    | SLB.51101106   | -97      | 2.69                                     | 2,910.00          |
| PDD    | 2019-02-05 | New Loan Allocation    | SLB.51113671   | -80      | 2.66                                     | 2,480.00          |
| PDD    | 2019-02-05 | Loan Return Allocation | SLB.51101106   | 97       | 2.69                                     | -2,910.00         |
| PDD    | 2019-02-06 | Loan Return Allocation | SLB.51113671   | 80       | 2.66                                     | -2,480.00         |
| PDD    | 2019-02-06 | New Loan Allocation    | SLB.51126255   | -75      | 2.65                                     | 2,325.00          |
| PDD    | 2019-02-07 | Loan Return Allocation | SLB.51126255   | 75       | 2.65                                     | -2,325.00         |
| PDD    | 2019-02-07 | New Loan Allocation    | SLB.51138701   | -79      | 2.57                                     | 2,291.00          |
| PDD    | 2019-02-08 | Loan Return Allocation | SLB.51138701   | 79       | 2.57                                     | -2,291.00         |
| PDD    | 2019-02-22 | New Loan Allocation    | SLB.51287698   | -1       | 0.13                                     | 30.00             |
| PDD    | 2019-02-25 | Loan Return Allocation | SLB.51287698   | 1        | 0.13                                     | -30.00            |
| PDD    | 2019-02-26 | New Loan Allocation    | SLB.51315385   | -4       | 0.11                                     | 120.00            |
| PDD    | 2019-02-27 | Loan Return Allocation | SLB.51315385   | 4        | 0.11                                     | -120.00           |
| PDD    | 2019-03-06 | New Loan Allocation    | SLB.51392807   | -87      | 0.10                                     | 2,784.00          |

## IB Managed Securities Lent Activity

| Symbol | Date       | Description            | Transaction ID | Quantity | Interest Rate on Customer Collateral (%) | Collateral Amount |
|--------|------------|------------------------|----------------|----------|------------------------------------------|-------------------|
| PDD    | 2019-03-07 | Loan Return Allocation | SLB.51392807   | 87       | 0.10                                     | -2,784.00         |
| PDD    | 2019-03-07 | New Loan Allocation    | SLB.51405267   | -47      | 0.10                                     | 1,457.00          |
| PDD    | 2019-03-08 | Loan Return Allocation | SLB.51405267   | 47       | 0.10                                     | -1,457.00         |
| QQQ    | 2019-01-23 | New Loan Allocation    | SLB.51003098   | -55      | 0.05                                     | 9,130.00          |
| QQQ    | 2019-01-24 | Loan Return Allocation | SLB.51003098   | 55       | 0.05                                     | -9,130.00         |
| QQQ    | 2019-01-24 | New Loan Allocation    | SLB.51015456   | -100     | 0.10                                     | 16,600.00         |
| QQQ    | 2019-01-25 | Loan Return Allocation | SLB.51015456   | 100      | 0.10                                     | -16,600.00        |
| QQQ    | 2019-01-25 | New Loan Allocation    | SLB.51026632   | -99      | 0.05                                     | 16,533.00         |
| QQQ    | 2019-01-28 | Loan Return Allocation | SLB.51026632   | 99       | 0.05                                     | -16,533.00        |
| QQQ    | 2019-01-28 | New Loan Allocation    | SLB.51038631   | -98      | 0.05                                     | 16,562.00         |
| QQQ    | 2019-01-29 | Loan Return Allocation | SLB.51038631   | 98       | 0.05                                     | -16,562.00        |
| QQQ    | 2019-01-29 | New Loan Allocation    | SLB.51052025   | -76      | 0.05                                     | 12,692.00         |
| QQQ    | 2019-01-30 | Loan Return Allocation | SLB.51052025   | 76       | 0.05                                     | -12,692.00        |
| QQQ    | 2019-01-30 | New Loan Allocation    | SLB.51064209   | -68      | 0.06                                     | 11,220.00         |
| QQQ    | 2019-01-31 | New Loan Allocation    | SLB.51077000   | -38      | 0.19                                     | 6,422.00          |
| QQQ    | 2019-01-31 | Loan Return Allocation | SLB.51064209   | 68       | 0.06                                     | -11,220.00        |
| QQQ    | 2019-02-01 | Loan Return Allocation | SLB.51077000   | 38       | 0.19                                     | -6,422.00         |
| QQQ    | 2019-02-01 | New Loan Allocation    | SLB.51089137   | -26      | 0.18                                     | 4,472.00          |
| QQQ    | 2019-02-04 | Loan Return Allocation | SLB.51089137   | 26       | 0.18                                     | -4,472.00         |
| QQQ    | 2019-02-04 | New Loan Allocation    | SLB.51101875   | -37      | 0.17                                     | 6,327.00          |
| QQQ    | 2019-02-05 | New Loan Allocation    | SLB.51114467   | -98      | 0.16                                     | 16,954.00         |
| QQQ    | 2019-02-05 | Loan Return Allocation | SLB.51101875   | 37       | 0.17                                     | -6,327.00         |
| QQQ    | 2019-02-06 | Loan Return Allocation | SLB.51114467   | 98       | 0.16                                     | -16,954.00        |
| QQQ    | 2019-02-06 | New Loan Allocation    | SLB.51127051   | -82      | 0.16                                     | 14,350.00         |
| QQQ    | 2019-02-07 | Loan Return Allocation | SLB.51127051   | 82       | 0.16                                     | -14,350.00        |
| QQQ    | 2019-02-07 | New Loan Allocation    | SLB.51139451   | -92      | 0.16                                     | 16,008.00         |
| QQQ    | 2019-02-08 | New Loan Allocation    | SLB.51151814   | -99      | 0.15                                     | 17,028.00         |
| QQQ    | 2019-02-08 | Loan Return Allocation | SLB.51139451   | 92       | 0.16                                     | -16,008.00        |
| QQQ    | 2019-02-11 | Loan Return Allocation | SLB.51151814   | 99       | 0.15                                     | -17,028.00        |
| QQQ    | 2019-02-11 | New Loan Allocation    | SLB.51163600   | -85      | 0.10                                     | 14,620.00         |
| QQQ    | 2019-02-12 | Loan Return Allocation | SLB.51163600   | 85       | 0.10                                     | -14,620.00        |
| QQQ    | 2019-03-20 | New Loan Allocation    | SLB.51521133   | -100     | 0.18                                     | 18,300.00         |
| QQQ    | 2019-03-21 | Loan Return Allocation | SLB.51521133   | 100      | 0.18                                     | -18,300.00        |
| QQQ    | 2019-03-21 | New Loan Allocation    | SLB.51533515   | -70      | 0.03                                     | 12,880.00         |
| QQQ    | 2019-03-22 | Loan Return Allocation | SLB.51533515   | 70       | 0.03                                     | -12,880.00        |
| QQQ    | 2019-03-22 | New Loan Allocation    | SLB.51545306   | -39      | 0.13                                     | 7,293.00          |
| QQQ    | 2019-03-25 | Loan Return Allocation | SLB.51545306   | 39       | 0.13                                     | -7,293.00         |
| QQQ    | 2019-03-25 | New Loan Allocation    | SLB.51558279   | -35      | 0.12                                     | 6,405.00          |
| QQQ    | 2019-03-26 | Loan Return Allocation | SLB.51558279   | 35       | 0.12                                     | -6,405.00         |
| QQQ    | 2019-03-26 | New Loan Allocation    | SLB.51571469   | -49      | 0.12                                     | 8,918.00          |
| QQQ    | 2019-03-27 | Loan Return Allocation | SLB.51571469   | 49       | 0.12                                     | -8,918.00         |
| QQQ    | 2019-03-27 | New Loan Allocation    | SLB.51584451   | -79      | 0.11                                     | 14,457.00         |
| QQQ    | 2019-03-28 | Loan Return Allocation | SLB.51584451   | 79       | 0.11                                     | -14,457.00        |
| QQQ    | 2019-03-28 | New Loan Allocation    | SLB.51597095   | -47      | 0.12                                     | 8,554.00          |
| QQQ    | 2019-03-29 | New Loan Allocation    | SLB.51610503   | -53      | 0.12                                     | 9,646.00          |

## IB Managed Securities Lent Activity

| Symbol | Date       | Description            | Transaction ID | Quantity | Interest Rate on Customer Collateral (%) | Collateral Amount |
|--------|------------|------------------------|----------------|----------|------------------------------------------|-------------------|
| QQQ    | 2019-03-29 | Loan Return Allocation | SLB.51597095   | 47       | 0.12                                     | -8,554.00         |
| QQQ    | 2019-04-01 | Loan Return Allocation | SLB.51610503   | 53       | 0.12                                     | -9,646.00         |
| QQQ    | 2019-04-01 | New Loan Allocation    | SLB.51623938   | -86      | 0.12                                     | 15,824.00         |
| QQQ    | 2019-04-02 | Loan Return Allocation | SLB.51623938   | 86       | 0.12                                     | -15,824.00        |
| QQQ    | 2019-04-02 | New Loan Allocation    | SLB.51637198   | -100     | 0.11                                     | 18,600.00         |
| QQQ    | 2019-04-03 | Loan Return Allocation | SLB.51637198   | 100      | 0.11                                     | -18,600.00        |
| QQQ    | 2019-04-03 | New Loan Allocation    | SLB.51650219   | -71      | 0.11                                     | 13,277.00         |
| QQQ    | 2019-04-04 | Loan Return Allocation | SLB.51650219   | 71       | 0.11                                     | -13,277.00        |
| QQQ    | 2019-04-04 | New Loan Allocation    | SLB.51663857   | -98      | 0.11                                     | 18,424.00         |
| QQQ    | 2019-04-05 | Loan Return Allocation | SLB.51663857   | 98       | 0.11                                     | -18,424.00        |
| QQQ    | 2019-04-05 | New Loan Allocation    | SLB.51676577   | -60      | 0.09                                     | 11,280.00         |
| QQQ    | 2019-04-08 | New Loan Allocation    | SLB.51689118   | -64      | 0.09                                     | 12,096.00         |
| QQQ    | 2019-04-08 | Loan Return Allocation | SLB.51676577   | 60       | 0.09                                     | -11,280.00        |
| QQQ    | 2019-04-09 | New Loan Allocation    | SLB.51701945   | -6       | 0.04                                     | 1,116.00          |
| QQQ    | 2019-04-09 | New Loan Allocation    | SLB.51701941   | -65      | 0.04                                     | 12,285.00         |
| QQQ    | 2019-04-09 | Loan Return Allocation | SLB.51689118   | 64       | 0.09                                     | -12,096.00        |
| QQQ    | 2019-04-10 | Loan Return Allocation | SLB.51701945   | 6        | 0.04                                     | -1,116.00         |
| QQQ    | 2019-04-10 | Loan Return Allocation | SLB.51701941   | 65       | 0.04                                     | -12,285.00        |
| QQQ    | 2019-04-10 | New Loan Allocation    | SLB.51714709   | -43      | 0.05                                     | 8,127.00          |
| QQQ    | 2019-04-11 | Loan Return Allocation | SLB.51714709   | 43       | 0.05                                     | -8,127.00         |
| QQQ    | 2019-04-11 | New Loan Allocation    | SLB.51727660   | -22      | 0.15                                     | 4,180.00          |
| QQQ    | 2019-04-12 | Loan Return Allocation | SLB.51727660   | 22       | 0.15                                     | -4,180.00         |
| QQQ    | 2019-04-12 | New Loan Allocation    | SLB.51740200   | -32      | 0.15                                     | 6,048.00          |
| QQQ    | 2019-04-15 | Loan Return Allocation | SLB.51740200   | 32       | 0.15                                     | -6,048.00         |
| QQQ    | 2019-04-15 | New Loan Allocation    | SLB.51752816   | -30      | 0.15                                     | 5,700.00          |
| QQQ    | 2019-04-16 | Loan Return Allocation | SLB.51752816   | 30       | 0.15                                     | -5,700.00         |
| QQQ    | 2019-04-16 | New Loan Allocation    | SLB.51765500   | -57      | 0.12                                     | 10,830.00         |
| QQQ    | 2019-04-17 | Loan Return Allocation | SLB.51765500   | 57       | 0.12                                     | -10,830.00        |
| QQQ    | 2019-04-17 | New Loan Allocation    | SLB.51778284   | -40      | 0.12                                     | 7,640.00          |
| QQQ    | 2019-04-18 | Loan Return Allocation | SLB.51778284   | 40       | 0.12                                     | -7,640.00         |
| QQQ    | 2019-04-18 | New Loan Allocation    | SLB.51790629   | -32      | 0.12                                     | 6,112.00          |
| QQQ    | 2019-04-19 | New Loan Allocation    | SLB.51794899   | -32      | 0.12                                     | 6,112.00          |
| QQQ    | 2019-04-19 | Loan Return Allocation | SLB.51790629   | 32       | 0.12                                     | -6,112.00         |
| QQQ    | 2019-04-22 | Loan Return Allocation | SLB.51794899   | 32       | 0.12                                     | -6,112.00         |
| QQQ    | 2019-04-22 | New Loan Allocation    | SLB.51806800   | -81      | 0.12                                     | 15,552.00         |
| QQQ    | 2019-04-23 | New Loan Allocation    | SLB.51819498   | -100     | 0.12                                     | 19,200.00         |
| QQQ    | 2019-04-23 | Loan Return Allocation | SLB.51806800   | 81       | 0.12                                     | -15,552.00        |
| QQQ    | 2019-04-24 | Loan Return Allocation | SLB.51819498   | 100      | 0.12                                     | -19,200.00        |
| QQQ    | 2019-04-24 | New Loan Allocation    | SLB.51832232   | -35      | 0.13                                     | 6,825.00          |
| QQQ    | 2019-04-25 | Loan Return Allocation | SLB.51832232   | 35       | 0.13                                     | -6,825.00         |
| QQQ    | 2019-04-25 | New Loan Allocation    | SLB.51845103   | -3       | 0.05                                     | 582.00            |
| QQQ    | 2019-04-26 | Loan Return Allocation | SLB.51845103   | 3        | 0.05                                     | -582.00           |
| QQQ    | 2019-05-01 | New Loan Allocation    | SLB.51894101   | -6       | 0.12                                     | 1,164.00          |
| QQQ    | 2019-05-02 | Loan Return Allocation | SLB.51894101   | 6        | 0.12                                     | -1,164.00         |
| QQQ    | 2019-05-02 | New Loan Allocation    | SLB.51908224   | -15      | 0.12                                     | 2,895.00          |

## IB Managed Securities Lent Activity

| Symbol | Date       | Description            | Transaction ID | Quantity | Interest Rate on Customer Collateral (%) | Collateral Amount |
|--------|------------|------------------------|----------------|----------|------------------------------------------|-------------------|
| QQQ    | 2019-05-03 | Loan Return Allocation | SLB.51908224   | 15       | 0.12                                     | -2,895.00         |
| QQQ    | 2019-07-04 | New Loan Allocation    | SLB.52456645   | -51      | 0.09                                     | 9,894.00          |
| QQQ    | 2019-07-05 | New Loan Allocation    | SLB.52469273   | -49      | 0.05                                     | 9,604.00          |
| QQQ    | 2019-07-05 | Loan Return Allocation | SLB.52456645   | 51       | 0.09                                     | -9,894.00         |
| QQQ    | 2019-07-08 | Loan Return Allocation | SLB.52469273   | 49       | 0.05                                     | -9,604.00         |
| QQQ    | 2019-07-08 | New Loan Allocation    | SLB.52481123   | -80      | 0.09                                     | 15,600.00         |
| QQQ    | 2019-07-09 | Loan Return Allocation | SLB.52481123   | 80       | 0.09                                     | -15,600.00        |
| QQQ    | 2019-07-09 | New Loan Allocation    | SLB.52493087   | -69      | 0.06                                     | 13,386.00         |
| QQQ    | 2019-07-10 | Loan Return Allocation | SLB.52493087   | 69       | 0.06                                     | -13,386.00        |
| QQQ    | 2019-07-10 | New Loan Allocation    | SLB.52505000   | -48      | 0.09                                     | 9,360.00          |
| QQQ    | 2019-07-11 | Loan Return Allocation | SLB.52505000   | 48       | 0.09                                     | -9,360.00         |
| QQQ    | 2019-07-11 | New Loan Allocation    | SLB.52517140   | -42      | 0.06                                     | 8,274.00          |
| QQQ    | 2019-07-12 | Loan Return Allocation | SLB.52517140   | 42       | 0.06                                     | -8,274.00         |
| QQQ    | 2019-07-12 | New Loan Allocation    | SLB.52529181   | -34      | 0.02                                     | 6,698.00          |
| QQQ    | 2019-07-15 | Loan Return Allocation | SLB.52529181   | 34       | 0.02                                     | -6,698.00         |
| QQQ    | 2019-07-15 | New Loan Allocation    | SLB.52541049   | -35      | 0.01                                     | 6,930.00          |
| QQQ    | 2019-07-16 | Loan Return Allocation | SLB.52541049   | 35       | 0.01                                     | -6,930.00         |
| RY     | 2019-04-29 | New Loan Allocation    | SLB.51871310   | -2       | 0.03                                     | 162.00            |
| RY     | 2019-04-30 | Loan Return Allocation | SLB.51871310   | 2        | 0.03                                     | -162.00           |
| TLRY   | 2019-02-25 | New Loan Allocation    | SLB.51303201   | -41      | 7.31                                     | 3,321.00          |
| TLRY   | 2019-02-26 | Loan Return Allocation | SLB.51303201   | 41       | 7.31                                     | -3,321.00         |
| UBER   | 2019-06-10 | New Loan Allocation    | SLB.52241491   | -261     | 0.05                                     | 12,006.00         |
| UBER   | 2019-06-11 | Loan Return Allocation | SLB.52241491   | 261      | 0.05                                     | -12,006.00        |
| UBER   | 2019-06-11 | New Loan Allocation    | SLB.52253257   | -235     | 0.02                                     | 10,340.00         |
| UBER   | 2019-06-12 | Loan Return Allocation | SLB.52253257   | 235      | 0.02                                     | -10,340.00        |
| UBER   | 2019-06-12 | New Loan Allocation    | SLB.52264932   | -216     | 0.02                                     | 9,504.00          |
| UBER   | 2019-06-13 | Loan Return Allocation | SLB.52264932   | 216      | 0.02                                     | -9,504.00         |
| WB     | 2019-03-06 | New Loan Allocation    | SLB.51393402   | -53      | 0.14                                     | 3,816.00          |
| WB     | 2019-03-07 | Loan Return Allocation | SLB.51393402   | 53       | 0.14                                     | -3,816.00         |
| WB     | 2019-04-18 | New Loan Allocation    | SLB.51791273   | -102     | 0.08                                     | 7,446.00          |
| WB     | 2019-04-19 | New Loan Allocation    | SLB.51795601   | -102     | 0.09                                     | 7,446.00          |
| WB     | 2019-04-19 | Loan Return Allocation | SLB.51791273   | 102      | 0.08                                     | -7,446.00         |
| WB     | 2019-04-22 | Loan Return Allocation | SLB.51795601   | 102      | 0.09                                     | -7,446.00         |
| WB     | 2019-04-22 | New Loan Allocation    | SLB.51807508   | -161     | 0.09                                     | 11,753.00         |
| WB     | 2019-04-23 | New Loan Allocation    | SLB.51820141   | -195     | 0.08                                     | 14,430.00         |
| WB     | 2019-04-23 | Loan Return Allocation | SLB.51807508   | 161      | 0.09                                     | -11,753.00        |
| WB     | 2019-04-24 | Loan Return Allocation | SLB.51820141   | 195      | 0.08                                     | -14,430.00        |
| WB     | 2019-04-24 | New Loan Allocation    | SLB.51832830   | -200     | 0.09                                     | 14,800.00         |
| WB     | 2019-04-25 | Loan Return Allocation | SLB.51832830   | 200      | 0.09                                     | -14,800.00        |
| WB     | 2019-04-25 | New Loan Allocation    | SLB.51845795   | -177     | 0.09                                     | 12,744.00         |
| WB     | 2019-04-26 | Loan Return Allocation | SLB.51845795   | 177      | 0.09                                     | -12,744.00        |
| WB     | 2019-04-26 | New Loan Allocation    | SLB.51857856   | -111     | 0.09                                     | 7,992.00          |
| WB     | 2019-04-29 | New Loan Allocation    | SLB.51870851   | -177     | 0.09                                     | 12,390.00         |
| WB     | 2019-04-29 | Loan Return Allocation | SLB.51857856   | 111      | 0.09                                     | -7,992.00         |
| WB     | 2019-04-30 | Loan Return Allocation | SLB.51870851   | 177      | 0.09                                     | -12,390.00        |

## IB Managed Securities Lent Activity

| Symbol       | Date       | Description            | Transaction ID | Quantity | Interest Rate on Customer Collateral (%) | Collateral Amount |
|--------------|------------|------------------------|----------------|----------|------------------------------------------|-------------------|
| WB           | 2019-04-30 | New Loan Allocation    | SLB.51883265   | -110     | 0.08                                     | 7,700.00          |
| WB           | 2019-05-01 | Loan Return Allocation | SLB.51883265   | 110      | 0.08                                     | -7,700.00         |
| WB           | 2019-05-01 | New Loan Allocation    | SLB.51894727   | -106     | 0.09                                     | 7,420.00          |
| WB           | 2019-05-02 | Loan Return Allocation | SLB.51894727   | 106      | 0.09                                     | -7,420.00         |
| WB           | 2019-05-02 | New Loan Allocation    | SLB.51908896   | -99      | 0.08                                     | 6,930.00          |
| WB           | 2019-05-03 | Loan Return Allocation | SLB.51908896   | 99       | 0.08                                     | -6,930.00         |
| XOP          | 2019-04-01 | New Loan Allocation    | SLB.51624807   | -290     | 0.31                                     | 9,280.00          |
| XOP          | 2019-04-02 | Loan Return Allocation | SLB.51624807   | 290      | 0.31                                     | -9,280.00         |
| XOP          | 2019-04-02 | New Loan Allocation    | SLB.51638118   | -300     | 0.20                                     | 9,600.00          |
| XOP          | 2019-04-03 | Loan Return Allocation | SLB.51638118   | 300      | 0.20                                     | -9,600.00         |
| XOP          | 2019-04-03 | New Loan Allocation    | SLB.51651128   | -241     | 0.18                                     | 7,712.00          |
| XOP          | 2019-04-04 | Loan Return Allocation | SLB.51651128   | 241      | 0.18                                     | -7,712.00         |
| XOP          | 2019-04-04 | New Loan Allocation    | SLB.51664818   | -300     | 0.18                                     | 9,300.00          |
| XOP          | 2019-04-05 | Loan Return Allocation | SLB.51664818   | 300      | 0.18                                     | -9,300.00         |
| <b>Total</b> |            |                        |                |          |                                          | <b>0.00</b>       |

### Notes

- Important Notice re: SIPC Protection for Loans of Fully Paid and Excess Margin Securities: Please be aware that if you execute loans of your fully paid or excess margin securities, the provisions of the Securities Investor Protection Act of 1970 may not protect you with respect to the securities loan transaction. Therefore, the cash collateral credited to your account by Interactive Brokers (see above) may constitute the only source of satisfaction in the event that Interactive Brokers cannot return the securities.

## IB Managed Securities Lent Interest Details

| Value Date | Symbol | Start Date | Quantity | Collateral Amount | Interest Rate Earned by IB (%) | Interest Paid to IB | Interest Rate on Customer Collateral (%) | Interest Paid to Customer | Code |
|------------|--------|------------|----------|-------------------|--------------------------------|---------------------|------------------------------------------|---------------------------|------|
| <b>USD</b> |        |            |          |                   |                                |                     |                                          |                           |      |
| 2019-01-23 | PDD    | 2019-01-23 | -88      | 2,288.00          | 4.89                           | 0.31                | 2.45                                     | 0.16                      | Po   |
| 2019-01-23 | QQQ    | 2019-01-23 | -55      | 9,130.00          | 0.09                           | 0.02                | 0.05                                     | 0.01                      | Po   |
| 2019-01-24 | PDD    | 2019-01-24 | -89      | 2,492.00          | 5.23                           | 0.36                | 2.62                                     | 0.18                      | Po   |
| 2019-01-24 | QQQ    | 2019-01-24 | -100     | 16,600.00         | 0.19                           | 0.09                | 0.10                                     | 0.05                      | Po   |
| 2019-01-25 | PDD    | 2019-01-25 | -98      | 2,940.00          | 5.31                           | 0.43                | 2.66                                     | 0.22                      | Po   |
| 2019-01-25 | QQQ    | 2019-01-25 | -99      | 16,533.00         | 0.10                           | 0.05                | 0.05                                     | 0.03                      | Po   |
| 2019-01-26 | PDD    | 2019-01-26 | -98      | 2,940.00          | 5.31                           | 0.43                | 2.66                                     | 0.22                      | Po   |
| 2019-01-26 | QQQ    | 2019-01-26 | -99      | 16,533.00         | 0.10                           | 0.05                | 0.05                                     | 0.03                      | Po   |
| 2019-01-27 | PDD    | 2019-01-27 | -98      | 2,940.00          | 5.31                           | 0.43                | 2.66                                     | 0.22                      | Po   |
| 2019-01-27 | QQQ    | 2019-01-27 | -99      | 16,533.00         | 0.10                           | 0.05                | 0.05                                     | 0.03                      | Po   |
| 2019-01-28 | PDD    | 2019-01-28 | -94      | 2,914.00          | 5.28                           | 0.43                | 2.64                                     | 0.22                      | Po   |
| 2019-01-28 | QQQ    | 2019-01-28 | -98      | 16,562.00         | 0.10                           | 0.05                | 0.05                                     | 0.03                      | Po   |
| 2019-01-29 | PDD    | 2019-01-29 | -56      | 1,680.00          | 5.47                           | 0.26                | 2.74                                     | 0.13                      | Po   |
| 2019-01-29 | QQQ    | 2019-01-29 | -76      | 12,692.00         | 0.09                           | 0.03                | 0.05                                     | 0.02                      | Po   |
| 2019-01-31 | PDD    | 2019-01-31 | -98      | 2,940.00          | 5.37                           | 0.44                | 2.69                                     | 0.22                      | Po   |
| 2019-01-31 | QQQ    | 2019-01-31 | -38      | 6,422.00          | 0.37                           | 0.07                | 0.19                                     | 0.04                      | Po   |
| 2019-02-01 | PDD    | 2019-02-01 | -95      | 2,850.00          | 5.37                           | 0.43                | 2.69                                     | 0.22                      | Po   |
| 2019-02-01 | QQQ    | 2019-02-01 | -26      | 4,472.00          | 0.35                           | 0.04                | 0.18                                     | 0.02                      | Po   |
| 2019-02-02 | PDD    | 2019-02-02 | -95      | 2,850.00          | 5.37                           | 0.43                | 2.69                                     | 0.22                      | Po   |
| 2019-02-02 | QQQ    | 2019-02-02 | -26      | 4,472.00          | 0.35                           | 0.04                | 0.18                                     | 0.02                      | Po   |

## IB Managed Securities Lent Interest Details

| Value Date | Symbol | Start Date | Quantity | Collateral Amount | Interest Rate Earned by IB (%) | Interest Paid to IB | Interest Rate on Customer Collateral (%) | Interest Paid to Customer | Code |
|------------|--------|------------|----------|-------------------|--------------------------------|---------------------|------------------------------------------|---------------------------|------|
| 2019-02-03 | PDD    | 2019-02-03 | -95      | 2,850.00          | 5.37                           | 0.43                | 2.69                                     | 0.22                      | Po   |
| 2019-02-03 | QQQ    | 2019-02-03 | -26      | 4,472.00          | 0.35                           | 0.04                | 0.18                                     | 0.02                      | Po   |
| 2019-02-04 | PDD    | 2019-02-04 | -97      | 2,910.00          | 5.37                           | 0.43                | 2.69                                     | 0.22                      | Po   |
| 2019-02-04 | QQQ    | 2019-02-04 | -37      | 6,327.00          | 0.33                           | 0.06                | 0.17                                     | 0.03                      | Po   |
| 2019-02-05 | PDD    | 2019-02-05 | -80      | 2,480.00          | 5.32                           | 0.37                | 2.66                                     | 0.19                      | Po   |
| 2019-02-05 | QQQ    | 2019-02-05 | -98      | 16,954.00         | 0.32                           | 0.15                | 0.16                                     | 0.08                      | Po   |
| 2019-02-06 | PDD    | 2019-02-06 | -75      | 2,325.00          | 5.30                           | 0.34                | 2.65                                     | 0.17                      | Po   |
| 2019-02-06 | QQQ    | 2019-02-06 | -82      | 14,350.00         | 0.32                           | 0.13                | 0.16                                     | 0.07                      | Po   |
| 2019-02-07 | PDD    | 2019-02-07 | -79      | 2,291.00          | 5.13                           | 0.33                | 2.57                                     | 0.17                      | Po   |
| 2019-02-07 | QQQ    | 2019-02-07 | -92      | 16,008.00         | 0.31                           | 0.14                | 0.16                                     | 0.07                      | Po   |
| 2019-02-08 | QQQ    | 2019-02-08 | -99      | 17,028.00         | 0.30                           | 0.14                | 0.15                                     | 0.07                      | Po   |
| 2019-02-09 | QQQ    | 2019-02-09 | -99      | 17,028.00         | 0.30                           | 0.14                | 0.15                                     | 0.07                      | Po   |
| 2019-02-10 | QQQ    | 2019-02-10 | -99      | 17,028.00         | 0.30                           | 0.14                | 0.15                                     | 0.07                      | Po   |
| 2019-02-11 | QQQ    | 2019-02-11 | -85      | 14,620.00         | 0.19                           | 0.08                | 0.10                                     | 0.04                      | Po   |
| 2019-02-25 | BILI   | 2019-02-25 | -49      | 980.00            | 0.86                           | 0.02                | 0.43                                     | 0.01                      | Po   |
| 2019-02-25 | TLRY   | 2019-02-25 | -41      | 3,321.00          | 14.61                          | 1.35                | 7.31                                     | 0.68                      | Po   |
| 2019-02-26 | PDD    | 2019-02-26 | -4       | 120.00            | 0.21                           | 0.00                | 0.11                                     | 0.00                      | Po   |
| 2019-03-07 | PDD    | 2019-03-07 | -47      | 1,457.00          | 0.20                           | 0.01                | 0.10                                     | 0.01                      | Po   |
| 2019-03-20 | QQQ    | 2019-03-20 | -100     | 18,300.00         | 0.35                           | 0.18                | 0.18                                     | 0.09                      | Po   |
| 2019-03-21 | QQQ    | 2019-03-21 | -70      | 12,880.00         | 0.05                           | 0.02                | 0.03                                     | 0.01                      | Po   |
| 2019-03-22 | QQQ    | 2019-03-22 | -39      | 7,293.00          | 0.25                           | 0.05                | 0.13                                     | 0.03                      | Po   |
| 2019-03-23 | QQQ    | 2019-03-23 | -39      | 7,293.00          | 0.25                           | 0.05                | 0.13                                     | 0.03                      | Po   |
| 2019-03-24 | QQQ    | 2019-03-24 | -39      | 7,293.00          | 0.25                           | 0.05                | 0.13                                     | 0.03                      | Po   |
| 2019-03-25 | QQQ    | 2019-03-25 | -35      | 6,405.00          | 0.24                           | 0.04                | 0.12                                     | 0.02                      | Po   |
| 2019-03-26 | QQQ    | 2019-03-26 | -49      | 8,918.00          | 0.24                           | 0.06                | 0.12                                     | 0.03                      | Po   |
| 2019-03-27 | QQQ    | 2019-03-27 | -79      | 14,457.00         | 0.22                           | 0.09                | 0.11                                     | 0.05                      | Po   |
| 2019-03-28 | QQQ    | 2019-03-28 | -47      | 8,554.00          | 0.23                           | 0.05                | 0.12                                     | 0.03                      | Po   |
| 2019-03-29 | QQQ    | 2019-03-29 | -53      | 9,646.00          | 0.23                           | 0.06                | 0.12                                     | 0.03                      | Po   |
| 2019-03-30 | QQQ    | 2019-03-30 | -53      | 9,646.00          | 0.23                           | 0.06                | 0.12                                     | 0.03                      | Po   |
| 2019-03-31 | QQQ    | 2019-03-31 | -53      | 9,646.00          | 0.23                           | 0.06                | 0.12                                     | 0.03                      | Po   |
| 2019-04-01 | QQQ    | 2019-04-01 | -86      | 15,824.00         | 0.23                           | 0.10                | 0.12                                     | 0.05                      | Po   |
| 2019-04-01 | XOP    | 2019-04-01 | -290     | 9,280.00          | 0.61                           | 0.16                | 0.31                                     | 0.08                      | Po   |
| 2019-04-02 | BHP    | 2019-04-02 | -7       | 406.00            | 0.83                           | 0.01                | 0.42                                     | 0.01                      | Po   |
| 2019-04-02 | QQQ    | 2019-04-02 | -100     | 18,600.00         | 0.22                           | 0.11                | 0.11                                     | 0.06                      | Po   |
| 2019-04-02 | XOP    | 2019-04-02 | -300     | 9,600.00          | 0.39                           | 0.10                | 0.20                                     | 0.05                      | Po   |
| 2019-04-03 | QQQ    | 2019-04-03 | -71      | 13,277.00         | 0.21                           | 0.08                | 0.11                                     | 0.04                      | Po   |
| 2019-04-03 | XOP    | 2019-04-03 | -241     | 7,712.00          | 0.36                           | 0.08                | 0.18                                     | 0.04                      | Po   |
| 2019-04-04 | IQ     | 2019-04-04 | -387     | 9,675.00          | 0.29                           | 0.08                | 0.15                                     | 0.04                      | Po   |
| 2019-04-04 | QQQ    | 2019-04-04 | -98      | 18,424.00         | 0.21                           | 0.11                | 0.11                                     | 0.06                      | Po   |
| 2019-04-04 | XOP    | 2019-04-04 | -300     | 9,300.00          | 0.35                           | 0.09                | 0.18                                     | 0.05                      | Po   |
| 2019-04-05 | BHP    | 2019-04-05 | -25      | 1,437.50          | 0.51                           | 0.02                | 0.26                                     | 0.01                      | Po   |
| 2019-04-05 | QQQ    | 2019-04-05 | -60      | 11,280.00         | 0.17                           | 0.05                | 0.09                                     | 0.03                      | Po   |
| 2019-04-06 | BHP    | 2019-04-06 | -25      | 1,437.50          | 0.51                           | 0.02                | 0.26                                     | 0.01                      | Po   |
| 2019-04-06 | QQQ    | 2019-04-06 | -60      | 11,280.00         | 0.17                           | 0.05                | 0.09                                     | 0.03                      | Po   |
| 2019-04-07 | BHP    | 2019-04-07 | -25      | 1,437.50          | 0.51                           | 0.02                | 0.26                                     | 0.01                      | Po   |



## IB Managed Securities Lent Interest Details

| Value Date | Symbol | Start Date | Quantity | Collateral Amount | Interest Rate Earned by IB (%) | Interest Paid to IB | Interest Rate on Customer Collateral (%) | Interest Paid to Customer | Code |
|------------|--------|------------|----------|-------------------|--------------------------------|---------------------|------------------------------------------|---------------------------|------|
| 2019-04-07 | QQQ    | 2019-04-07 | -60      | 11,280.00         | 0.17                           | 0.05                | 0.09                                     | 0.03                      | Po   |
| 2019-04-08 | QQQ    | 2019-04-08 | -64      | 12,096.00         | 0.17                           | 0.06                | 0.09                                     | 0.03                      | Po   |
| 2019-04-09 | BHP    | 2019-04-09 | -97      | 5,723.00          | 0.22                           | 0.03                | 0.11                                     | 0.02                      | Po   |
| 2019-04-09 | QQQ    | 2019-04-09 | -65      | 12,285.00         | 0.08                           | 0.03                | 0.04                                     | 0.02                      | Po   |
| 2019-04-09 | QQQ    | 2019-04-09 | -6       | 1,116.00          | 0.08                           | 0.00                | 0.04                                     | 0.00                      | Po   |
| 2019-04-10 | BHP    | 2019-04-10 | -79      | 4,582.00          | 0.24                           | 0.03                | 0.12                                     | 0.02                      | Po   |
| 2019-04-10 | QQQ    | 2019-04-10 | -43      | 8,127.00          | 0.10                           | 0.02                | 0.05                                     | 0.01                      | Po   |
| 2019-04-11 | QQQ    | 2019-04-11 | -22      | 4,180.00          | 0.29                           | 0.03                | 0.15                                     | 0.02                      | Po   |
| 2019-04-12 | QQQ    | 2019-04-12 | -32      | 6,048.00          | 0.30                           | 0.05                | 0.15                                     | 0.03                      | Po   |
| 2019-04-13 | QQQ    | 2019-04-13 | -32      | 6,048.00          | 0.30                           | 0.05                | 0.15                                     | 0.03                      | Po   |
| 2019-04-14 | QQQ    | 2019-04-14 | -32      | 6,048.00          | 0.30                           | 0.05                | 0.15                                     | 0.03                      | Po   |
| 2019-04-15 | QQQ    | 2019-04-15 | -30      | 5,700.00          | 0.29                           | 0.05                | 0.15                                     | 0.03                      | Po   |
| 2019-04-16 | BHP    | 2019-04-16 | -2       | 116.00            | 0.56                           | 0.00                | 0.28                                     | 0.00                      | Po   |
| 2019-04-16 | QQQ    | 2019-04-16 | -57      | 10,830.00         | 0.23                           | 0.07                | 0.12                                     | 0.04                      | Po   |
| 2019-04-17 | QQQ    | 2019-04-17 | -40      | 7,640.00          | 0.23                           | 0.05                | 0.12                                     | 0.03                      | Po   |
| 2019-04-18 | ASHR   | 2019-04-18 | -272     | 8,704.00          | 0.28                           | 0.07                | 0.14                                     | 0.04                      | Po   |
| 2019-04-18 | QQQ    | 2019-04-18 | -32      | 6,112.00          | 0.23                           | 0.04                | 0.12                                     | 0.02                      | Po   |
| 2019-04-18 | WB     | 2019-04-18 | -102     | 7,446.00          | 0.16                           | 0.03                | 0.08                                     | 0.02                      | Po   |
| 2019-04-19 | ASHR   | 2019-04-19 | -270     | 8,640.00          | 0.19                           | 0.05                | 0.10                                     | 0.03                      | Po   |
| 2019-04-19 | QQQ    | 2019-04-19 | -32      | 6,112.00          | 0.23                           | 0.04                | 0.12                                     | 0.02                      | Po   |
| 2019-04-19 | WB     | 2019-04-19 | -102     | 7,446.00          | 0.17                           | 0.04                | 0.09                                     | 0.02                      | Po   |
| 2019-04-20 | ASHR   | 2019-04-20 | -270     | 8,640.00          | 0.19                           | 0.05                | 0.10                                     | 0.03                      | Po   |
| 2019-04-20 | QQQ    | 2019-04-20 | -32      | 6,112.00          | 0.23                           | 0.04                | 0.12                                     | 0.02                      | Po   |
| 2019-04-20 | WB     | 2019-04-20 | -102     | 7,446.00          | 0.17                           | 0.04                | 0.09                                     | 0.02                      | Po   |
| 2019-04-21 | ASHR   | 2019-04-21 | -270     | 8,640.00          | 0.19                           | 0.05                | 0.10                                     | 0.03                      | Po   |
| 2019-04-21 | QQQ    | 2019-04-21 | -32      | 6,112.00          | 0.23                           | 0.04                | 0.12                                     | 0.02                      | Po   |
| 2019-04-21 | WB     | 2019-04-21 | -102     | 7,446.00          | 0.17                           | 0.04                | 0.09                                     | 0.02                      | Po   |
| 2019-04-22 | ASHR   | 2019-04-22 | -347     | 11,104.00         | 0.30                           | 0.09                | 0.15                                     | 0.05                      | Po   |
| 2019-04-22 | QQQ    | 2019-04-22 | -81      | 15,552.00         | 0.23                           | 0.10                | 0.12                                     | 0.05                      | Po   |
| 2019-04-22 | WB     | 2019-04-22 | -161     | 11,753.00         | 0.17                           | 0.06                | 0.09                                     | 0.03                      | Po   |
| 2019-04-23 | ASHR   | 2019-04-23 | -321     | 9,951.00          | 0.29                           | 0.08                | 0.15                                     | 0.04                      | Po   |
| 2019-04-23 | QQQ    | 2019-04-23 | -100     | 19,200.00         | 0.23                           | 0.12                | 0.12                                     | 0.06                      | Po   |
| 2019-04-23 | WB     | 2019-04-23 | -195     | 14,430.00         | 0.16                           | 0.06                | 0.08                                     | 0.03                      | Po   |
| 2019-04-24 | ASHR   | 2019-04-24 | -275     | 8,525.00          | 0.17                           | 0.04                | 0.09                                     | 0.02                      | Po   |
| 2019-04-24 | QQQ    | 2019-04-24 | -35      | 6,825.00          | 0.25                           | 0.05                | 0.13                                     | 0.03                      | Po   |
| 2019-04-24 | WB     | 2019-04-24 | -200     | 14,800.00         | 0.17                           | 0.07                | 0.09                                     | 0.04                      | Po   |
| 2019-04-25 | ASHR   | 2019-04-25 | -180     | 5,580.00          | 0.19                           | 0.03                | 0.10                                     | 0.02                      | Po   |
| 2019-04-25 | QQQ    | 2019-04-25 | -3       | 582.00            | 0.10                           | 0.00                | 0.05                                     | 0.00                      | Po   |
| 2019-04-25 | WB     | 2019-04-25 | -177     | 12,744.00         | 0.17                           | 0.06                | 0.09                                     | 0.03                      | Po   |
| 2019-04-26 | ASHR   | 2019-04-26 | -97      | 2,910.00          | 0.19                           | 0.02                | 0.10                                     | 0.01                      | Po   |
| 2019-04-26 | WB     | 2019-04-26 | -111     | 7,992.00          | 0.17                           | 0.04                | 0.09                                     | 0.02                      | Po   |
| 2019-04-27 | ASHR   | 2019-04-27 | -97      | 2,910.00          | 0.19                           | 0.02                | 0.10                                     | 0.01                      | Po   |
| 2019-04-27 | WB     | 2019-04-27 | -111     | 7,992.00          | 0.17                           | 0.04                | 0.09                                     | 0.02                      | Po   |
| 2019-04-28 | ASHR   | 2019-04-28 | -97      | 2,910.00          | 0.19                           | 0.02                | 0.10                                     | 0.01                      | Po   |
| 2019-04-28 | WB     | 2019-04-28 | -111     | 7,992.00          | 0.17                           | 0.04                | 0.09                                     | 0.02                      | Po   |

## IB Managed Securities Lent Interest Details

| Value Date | Symbol | Start Date | Quantity | Collateral Amount | Interest Rate Earned by IB (%) | Interest Paid to IB | Interest Rate on Customer Collateral (%) | Interest Paid to Customer | Code |
|------------|--------|------------|----------|-------------------|--------------------------------|---------------------|------------------------------------------|---------------------------|------|
| 2019-04-29 | RY     | 2019-04-29 | -2       | 162.00            | 0.06                           | 0.00                | 0.03                                     | 0.00                      | Po   |
| 2019-04-29 | WB     | 2019-04-29 | -177     | 12,390.00         | 0.18                           | 0.06                | 0.09                                     | 0.03                      | Po   |
| 2019-04-30 | WB     | 2019-04-30 | -110     | 7,700.00          | 0.16                           | 0.03                | 0.08                                     | 0.02                      | Po   |
| 2019-05-01 | QQQ    | 2019-05-01 | -6       | 1,164.00          | 0.23                           | 0.01                | 0.12                                     | 0.01                      | Po   |
| 2019-05-01 | WB     | 2019-05-01 | -106     | 7,420.00          | 0.18                           | 0.04                | 0.09                                     | 0.02                      | Po   |
| 2019-05-02 | QQQ    | 2019-05-02 | -15      | 2,895.00          | 0.23                           | 0.02                | 0.12                                     | 0.01                      | Po   |
| 2019-05-02 | WB     | 2019-05-02 | -99      | 6,930.00          | 0.15                           | 0.03                | 0.08                                     | 0.02                      | Po   |
| 2019-06-10 | UBER   | 2019-06-10 | -261     | 12,006.00         | 0.09                           | 0.03                | 0.05                                     | 0.02                      | Po   |
| 2019-06-11 | UBER   | 2019-06-11 | -235     | 10,340.00         | 0.03                           | 0.01                | 0.02                                     | 0.01                      | Po   |
| 2019-06-12 | UBER   | 2019-06-12 | -216     | 9,504.00          | 0.03                           | 0.01                | 0.02                                     | 0.01                      | Po   |
| 2019-06-18 | BHP    | 2019-06-18 | -90      | 5,040.00          | 0.20                           | 0.03                | 0.10                                     | 0.02                      | Po   |
| 2019-06-21 | HDB    | 2019-06-21 | -39      | 5,148.00          | 0.05                           | 0.01                | 0.03                                     | 0.01                      | Po   |
| 2019-06-22 | HDB    | 2019-06-22 | -39      | 5,148.00          | 0.05                           | 0.01                | 0.03                                     | 0.01                      | Po   |
| 2019-06-23 | HDB    | 2019-06-23 | -39      | 5,148.00          | 0.05                           | 0.01                | 0.03                                     | 0.01                      | Po   |
| 2019-06-24 | HDB    | 2019-06-24 | -39      | 5,070.00          | 0.05                           | 0.01                | 0.03                                     | 0.01                      | Po   |
| 2019-06-25 | HDB    | 2019-06-25 | -38      | 4,978.00          | 0.05                           | 0.01                | 0.03                                     | 0.01                      | Po   |
| 2019-06-26 | HDB    | 2019-06-26 | -34      | 4,454.00          | 0.05                           | 0.01                | 0.03                                     | 0.01                      | Po   |
| 2019-07-04 | QQQ    | 2019-07-04 | -51      | 9,894.00          | 0.18                           | 0.05                | 0.09                                     | 0.03                      | Po   |
| 2019-07-05 | BHP    | 2019-07-05 | -54      | 3,294.00          | 0.27                           | 0.02                | 0.14                                     | 0.01                      | Po   |
| 2019-07-05 | QQQ    | 2019-07-05 | -49      | 9,604.00          | 0.10                           | 0.03                | 0.05                                     | 0.02                      | Po   |
| 2019-07-06 | BHP    | 2019-07-06 | -54      | 3,294.00          | 0.27                           | 0.02                | 0.14                                     | 0.01                      | Po   |
| 2019-07-06 | QQQ    | 2019-07-06 | -49      | 9,604.00          | 0.10                           | 0.03                | 0.05                                     | 0.02                      | Po   |
| 2019-07-07 | BHP    | 2019-07-07 | -54      | 3,294.00          | 0.27                           | 0.02                | 0.14                                     | 0.01                      | Po   |
| 2019-07-07 | QQQ    | 2019-07-07 | -49      | 9,604.00          | 0.10                           | 0.03                | 0.05                                     | 0.02                      | Po   |
| 2019-07-08 | BHP    | 2019-07-08 | -44      | 2,552.00          | 0.25                           | 0.02                | 0.13                                     | 0.01                      | Po   |
| 2019-07-08 | BHP    | 2019-07-08 | -81      | 4,617.00          | 0.25                           | 0.03                | 0.13                                     | 0.02                      | Po   |
| 2019-07-08 | HDB    | 2019-07-08 | -36      | 4,788.00          | 0.05                           | 0.01                | 0.03                                     | 0.01                      | Po   |
| 2019-07-08 | QQQ    | 2019-07-08 | -80      | 15,600.00         | 0.18                           | 0.08                | 0.09                                     | 0.04                      | Po   |
| 2019-07-09 | BHP    | 2019-07-09 | -2       | 118.00            | 0.26                           | 0.00                | 0.13                                     | 0.00                      | Po   |
| 2019-07-09 | BUD    | 2019-07-09 | -1       | 94.00             | 0.22                           | 0.00                | 0.11                                     | 0.00                      | Po   |
| 2019-07-09 | HDB    | 2019-07-09 | -35      | 4,515.00          | 0.05                           | 0.01                | 0.03                                     | 0.01                      | Po   |
| 2019-07-09 | QQQ    | 2019-07-09 | -69      | 13,386.00         | 0.12                           | 0.04                | 0.06                                     | 0.02                      | Po   |
| 2019-07-10 | BHP    | 2019-07-10 | -34      | 2,006.00          | 0.25                           | 0.01                | 0.13                                     | 0.01                      | Po   |
| 2019-07-10 | HDB    | 2019-07-10 | -37      | 4,699.00          | 0.05                           | 0.01                | 0.03                                     | 0.01                      | Po   |
| 2019-07-10 | QQQ    | 2019-07-10 | -48      | 9,360.00          | 0.18                           | 0.05                | 0.09                                     | 0.03                      | Po   |
| 2019-07-11 | BHP    | 2019-07-11 | -91      | 5,369.00          | 0.25                           | 0.04                | 0.13                                     | 0.02                      | Po   |
| 2019-07-11 | HDB    | 2019-07-11 | -37      | 4,810.00          | 0.05                           | 0.01                | 0.03                                     | 0.01                      | Po   |
| 2019-07-11 | QQQ    | 2019-07-11 | -42      | 8,274.00          | 0.11                           | 0.03                | 0.06                                     | 0.02                      | Po   |
| 2019-07-12 | BHP    | 2019-07-12 | -193     | 11,387.00         | 0.25                           | 0.08                | 0.13                                     | 0.04                      | Po   |
| 2019-07-12 | BUD    | 2019-07-12 | -100     | 9,200.00          | 0.20                           | 0.05                | 0.10                                     | 0.03                      | Po   |
| 2019-07-12 | HDB    | 2019-07-12 | -37      | 4,847.00          | 0.05                           | 0.01                | 0.03                                     | 0.01                      | Po   |
| 2019-07-12 | QQQ    | 2019-07-12 | -34      | 6,698.00          | 0.03                           | 0.01                | 0.02                                     | 0.01                      | Po   |
| 2019-07-13 | BHP    | 2019-07-13 | -193     | 11,387.00         | 0.25                           | 0.08                | 0.13                                     | 0.04                      | Po   |
| 2019-07-13 | BUD    | 2019-07-13 | -100     | 9,200.00          | 0.20                           | 0.05                | 0.10                                     | 0.03                      | Po   |
| 2019-07-13 | HDB    | 2019-07-13 | -37      | 4,847.00          | 0.05                           | 0.01                | 0.03                                     | 0.01                      | Po   |

## IB Managed Securities Lent Interest Details

| Value Date   | Symbol | Start Date | Quantity | Collateral Amount | Interest Rate Earned by IB (%) | Interest Paid to IB | Interest Rate on Customer Collateral (%) | Interest Paid to Customer | Code |
|--------------|--------|------------|----------|-------------------|--------------------------------|---------------------|------------------------------------------|---------------------------|------|
| 2019-07-13   | QQQ    | 2019-07-13 | -34      | 6,698.00          | 0.03                           | 0.01                | 0.02                                     | 0.01                      | Po   |
| 2019-07-14   | BHP    | 2019-07-14 | -193     | 11,387.00         | 0.25                           | 0.08                | 0.13                                     | 0.04                      | Po   |
| 2019-07-14   | BUD    | 2019-07-14 | -100     | 9,200.00          | 0.20                           | 0.05                | 0.10                                     | 0.03                      | Po   |
| 2019-07-14   | HDB    | 2019-07-14 | -37      | 4,847.00          | 0.05                           | 0.01                | 0.03                                     | 0.01                      | Po   |
| 2019-07-14   | QQQ    | 2019-07-14 | -34      | 6,698.00          | 0.03                           | 0.01                | 0.02                                     | 0.01                      | Po   |
| 2019-07-15   | BHP    | 2019-07-15 | -55      | 3,245.00          | 0.27                           | 0.02                | 0.14                                     | 0.01                      | Po   |
| 2019-07-15   | BUD    | 2019-07-15 | -100     | 8,900.00          | 0.21                           | 0.05                | 0.11                                     | 0.03                      | Po   |
| 2019-07-15   | HDB    | 2019-07-15 | -37      | 4,773.00          | 0.05                           | 0.01                | 0.03                                     | 0.01                      | Po   |
| 2019-07-15   | QQQ    | 2019-07-15 | -35      | 6,930.00          | 0.02                           | 0.00                | 0.01                                     | 0.00                      | Po   |
| <b>Total</b> |        |            |          |                   |                                | <b>14.03</b>        |                                          | <b>7.46</b>               |      |

## Financial Instrument Information

| Symbol        | Description                  | Conid     | Security ID  | Multiplier | Type   | Code |
|---------------|------------------------------|-----------|--------------|------------|--------|------|
| <b>Stocks</b> |                              |           |              |            |        |      |
| 12            | HENDERSON LAND DEVELOPMENT   | 1616450   | HK0012000102 | 1          | COMMON |      |
| 2689          | NINE DRAGONS PAPER HOLDINGS  | 42446354  | BMG653181005 | 1          | COMMON |      |
| 27            | GALAXY ENTERTAINMENT GROUP L | 42445927  | HK0027032686 | 1          | COMMON |      |
| 2888          | STANDARD CHARTERED PLC       | 42453515  | GB0004082847 | 1          | COMMON |      |
| 700           | TENCENT HOLDINGS LTD         | 152791428 | KYG875721634 | 1          | COMMON |      |
| AAPL          | APPLE INC                    | 265598    | 037833100    | 1          | COMMON |      |
| ABBV          | ABBVIE INC                   | 118089500 | 00287Y109    | 1          | COMMON |      |
| ABEV          | AMBEV SA-ADR                 | 137736417 | 02319V103    | 1          | ADR    |      |
| ADBE          | ADOBE INC                    | 265768    | 00724F101    | 1          | COMMON |      |
| AMGN          | AMGEN INC                    | 266145    | 031162100    | 1          | COMMON |      |
| ASHR          | XTRACKERS HARVEST CSI 300 CH | 137868087 | 233051879    | 1          | ETF    |      |
| AXP           | AMERICAN EXPRESS CO          | 4721      | 025816109    | 1          | COMMON |      |
| BABA          | ALIBABA GROUP HOLDING-SP ADR | 166090175 | 01609W102    | 1          | ADR    |      |
| BBL           | BHP GROUP PLC-ADR            | 18740662  | 05545E209    | 1          | ADR    |      |
| BDX           | BECTON DICKINSON AND CO      | 4886      | 075887109    | 1          | COMMON |      |
| BGNE          | BEIGENE LTD-ADR              | 221232455 | 07725L102    | 1          | ADR    |      |
| BHP           | BHP GROUP LTD-SPON ADR       | 4986      | 088606108    | 1          | ADR    |      |
| BIDU          | BAIDU INC - SPON ADR         | 35359385  | 056752108    | 1          | ADR    |      |
| BIIB          | BIOGEN INC                   | 26734397  | 09062X103    | 1          | COMMON |      |
| BILI          | BILIBILI INC-SPONSORED ADR   | 311571413 | 090040106    | 1          | ADR    |      |
| BK            | BANK OF NEW YORK MELLON CORP | 44986804  | 064058100    | 1          | COMMON |      |
| BUD           | ANHEUSER-BUSCH INBEV SPN ADR | 61481454  | 03524A108    | 1          | ADR    |      |
| C             | CITIGROUP INC                | 87335484  | 172967424    | 1          | COMMON |      |
| CAT           | CATERPILLAR INC              | 5437      | 149123101    | 1          | COMMON |      |
| CCI           | CROWN CASTLE INTL CORP       | 176571182 | 22822V101    | 1          | REIT   |      |
| CEO           | CNOOC LTD-SPON ADR           | 11652566  | 126132109    | 1          | ADR    |      |
| CHL           | CHINA MOBILE LTD-SPON ADR    | 5022638   | 16941M109    | 1          | ADR    |      |
| CHU           | CHINA UNICOM HONG KONG-ADR   | 9541641   | 16945R104    | 1          | ADR    |      |
| CI            | CIGNA CORP                   | 346403540 | 125523100    | 1          | COMMON |      |

## Financial Instrument Information

|         |                                                   |           |              |   |        |
|---------|---------------------------------------------------|-----------|--------------|---|--------|
| CMCSA   | COMCAST CORP-CLASS A                              | 267748    | 20030N101    | 1 | COMMON |
| CNQ     | CANADIAN NATURAL RESOURCES                        | 11926119  | 136385101    | 1 | COMMON |
| COP     | CONOCOPHILLIPS                                    | 10885     | 20825C104    | 1 | COMMON |
| CRM     | SALESFORCE.COM INC                                | 29624264  | 79466L302    | 1 | COMMON |
| CSCO    | CISCO SYSTEMS INC                                 | 268084    | 17275R102    | 1 | COMMON |
| CTRP    | CTRP.COM INTERNATIONAL-ADR                        | 27008785  | 22943F100    | 1 | ADR    |
| DIS     | WALT DISNEY CO/THE                                | 6459      | 254687106    | 1 | COMMON |
| EA      | ELECTRONIC ARTS INC                               | 268995    | 285512109    | 1 | COMMON |
| EDU     | NEW ORIENTAL EDUCATIO-SP ADR                      | 40643847  | 647581107    | 1 | ADR    |
| EEM     | ISHARES MSCI EMERGING MARKET                      | 6604766   | 464287234    | 1 | ETF    |
| FB      | FACEBOOK INC-CLASS A                              | 107113386 | 30303M102    | 1 | COMMON |
| FOXA    | FOX CORP - CLASS A                                | 356858008 | 35137L105    | 1 | COMMON |
| FXI     | ISHARES CHINA LARGE-CAP ETF                       | 31421120  | 464287184    | 1 | ETF    |
| GE      | GENERAL ELECTRIC CO                               | 7516      | 369604103    | 1 | COMMON |
| GS      | GOLDMAN SACHS GROUP INC                           | 4627828   | 38141G104    | 1 | COMMON |
| HD      | HOME DEPOT INC                                    | 7930      | 437076102    | 1 | COMMON |
| HDB     | HDFC BANK LTD-ADR                                 | 12796138  | 40415F101    | 1 | ADR    |
| HPE     | HEWLETT PACKARD ENTERPRISE                        | 209411798 | 42824C109    | 1 | COMMON |
| HSBC    | HSBC HOLDINGS PLC-SPONS ADR                       | 4875668   | 404280406    | 1 | ADR    |
| HUYA    | HUYA INC-ADR                                      | 316805134 | 44852D108    | 1 | ADR    |
| IBM     | INTL BUSINESS MACHINES CORP                       | 8314      | 459200101    | 1 | COMMON |
| INTC    | INTEL CORP                                        | 270639    | 458140100    | 1 | COMMON |
| IP      | INTERNATIONAL PAPER CO                            | 8511      | 460146103    | 1 | COMMON |
| IQ      | IQIYI INC-ADR                                     | 312029611 | 46267X108    | 1 | ADR    |
| JD      | JD.COM INC-ADR                                    | 152486141 | 47215P106    | 1 | ADR    |
| JNJ     | JOHNSON & JOHNSON                                 | 8719      | 478160104    | 1 | COMMON |
| JPM     | JPMORGAN CHASE & CO                               | 1520593   | 46625H100    | 1 | COMMON |
| KO      | COCA-COLA CO/THE                                  | 8894      | 191216100    | 1 | COMMON |
| LFC     | CHINA LIFE INSURANCE CO-ADR                       | 27049618  | 16939P106    | 1 | ADR    |
| LLY     | ELI LILLY & CO                                    | 9160      | 532457108    | 1 | COMMON |
| LOW     | LOWE'S COS INC                                    | 9199      | 548661107    | 1 | COMMON |
| LYB     | LYONDELLBASELL INDU-CL A                          | 74866700  | NL0009434992 | 1 | COMMON |
| LYB.SPC | LYONDELLBASELL INDU-CL A -<br>TENDER AT BID PRICE | 374307809 | NLN53SPC0150 | 1 | TENDER |
| MCD     | MCDONALD'S CORP                                   | 9408      | 580135101    | 1 | COMMON |
| MDT     | MEDTRONIC PLC                                     | 181387075 | IE00BTN1Y115 | 1 | COMMON |
| MO      | ALTRIA GROUP INC                                  | 9769      | 02209S103    | 1 | COMMON |
| MRK     | MERCK & CO INC                                    | 70101545  | 58933Y105    | 1 | COMMON |
| MSFT    | MICROSOFT CORP                                    | 272093    | 594918104    | 1 | COMMON |
| NEE     | NEXTERA ENERGY INC                                | 75960201  | 65339F101    | 1 | COMMON |
| NFLX    | NETFLIX INC                                       | 15124833  | 64110L106    | 1 | COMMON |
| NIO     | NIO INC - ADR                                     | 332794741 | 62914V106    | 1 | ADR    |
| NKE     | NIKE INC -CL B                                    | 10291     | 654106103    | 1 | COMMON |
| NKTR    | NEKTAR THERAPEUTICS                               | 4813467   | 640268108    | 1 | COMMON |
| NTR     | NUTRIEN LTD                                       | 301035662 | 67077M108    | 1 | COMMON |
| NVDA    | NVIDIA CORP                                       | 4815747   | 67066G104    | 1 | COMMON |
| NVS     | NOVARTIS AG-SPONSORED ADR                         | 8794522   | 66987V109    | 1 | ADR    |

## Financial Instrument Information

|      |                              |           |           |   |        |  |
|------|------------------------------|-----------|-----------|---|--------|--|
| PDD  | PINDUODUO INC-ADR            | 326398585 | 722304102 | 1 | ADR    |  |
| PEP  | PEPSICO INC                  | 11017     | 713448108 | 1 | COMMON |  |
| PFE  | PFIZER INC                   | 11031     | 717081103 | 1 | COMMON |  |
| PG   | PROCTER & GAMBLE CO/THE      | 11054     | 742718109 | 1 | COMMON |  |
| PM   | PHILIP MORRIS INTERNATIONAL  | 49588376  | 718172109 | 1 | COMMON |  |
| PTR  | PETROCHINA CO LTD -ADR       | 611249    | 71646E100 | 1 | ADR    |  |
| PYPL | PAYPAL HOLDINGS INC          | 199169591 | 70450Y103 | 1 | COMMON |  |
| QCOM | QUALCOMM INC                 | 273544    | 747525103 | 1 | COMMON |  |
| QQQ  | INVESCO QQQ TRUST SERIES 1   | 320227571 | 46090E103 | 1 | ETF    |  |
| QTT  | QUTOUTIAO INC-ADR            | 332928577 | 74915J107 | 1 | ADR    |  |
| RY   | ROYAL BANK OF CANADA         | 2008980   | 780087102 | 1 | COMMON |  |
| SLB  | SCHLUMBERGER LTD             | 12200     | 806857108 | 1 | COMMON |  |
| SPY  | SPDR S&P 500 ETF TRUST       | 756733    | 78462F103 | 1 | ETF    |  |
| T    | AT&T INC                     | 37018770  | 00206R102 | 1 | COMMON |  |
| TLRY | TILRAY INC-CLASS 2 COMMON    | 326196509 | 88688T100 | 1 | COMMON |  |
| TM   | TOYOTA MOTOR CORP -SPON ADR  | 275241    | 892331307 | 1 | ADR    |  |
| TOT  | TOTAL SA-SPON ADR            | 12924     | 89151E109 | 1 | ADR    |  |
| TSM  | TAIWAN SEMICONDUCTOR-SP ADR  | 6223250   | 874039100 | 1 | ADR    |  |
| TTM  | TATA MOTORS LTD-SPON ADR     | 31232219  | 876568502 | 1 | ADR    |  |
| UAL  | UNITED AIRLINES HOLDINGS INC | 79498203  | 910047109 | 1 | COMMON |  |
| UBER | UBER TECHNOLOGIES INC        | 365207014 | 90353T100 | 1 | COMMON |  |
| UNH  | UNITEDHEALTH GROUP INC       | 13272     | 91324P102 | 1 | COMMON |  |
| UNP  | UNION PACIFIC CORP           | 13284     | 907818108 | 1 | COMMON |  |
| UPS  | UNITED PARCEL SERVICE-CL B   | 6231002   | 911312106 | 1 | COMMON |  |
| URI  | UNITED RENTALS INC           | 3629755   | 911363109 | 1 | COMMON |  |
| V    | VISA INC-CLASS A SHARES      | 49462172  | 92826C839 | 1 | COMMON |  |
| VIAB | VIACOM INC-CLASS B           | 37083557  | 92553P201 | 1 | COMMON |  |
| VZ   | VERIZON COMMUNICATIONS INC   | 4901      | 92343V104 | 1 | COMMON |  |
| WB   | WEIBO CORP-SPON ADR          | 148782724 | 948596101 | 1 | ADR    |  |
| WDC  | WESTERN DIGITAL CORP         | 13681     | 958102105 | 1 | COMMON |  |
| WFC  | WELLS FARGO & CO             | 10375     | 949746101 | 1 | COMMON |  |
| WIT  | WIPRO LTD-ADR                | 13775     | 97651M109 | 1 | ADR    |  |
| WMT  | WALMART INC                  | 13824     | 931142103 | 1 | COMMON |  |
| XOM  | EXXON MOBIL CORP             | 13977     | 30231G102 | 1 | COMMON |  |
| XOP  | SPDR S&P OIL & GAS EXP & PR  | 45540782  | 78464A730 | 1 | ETF    |  |

| Symbol         | Description    | Conid     | Multiplier | Expiry     | Delivery Month | Code |
|----------------|----------------|-----------|------------|------------|----------------|------|
| <b>Futures</b> |                |           |            |            |                |      |
| CNF19          | XINA50 30JAN19 | 337593408 | 1          | 2019-01-30 | 2019-01        |      |
| CNG19          | XINA50 27FEB19 | 341898023 | 1          | 2019-02-27 | 2019-02        |      |
| CNH19          | XINA50 28MAR19 | 310617523 | 1          | 2019-03-28 | 2019-03        |      |
| CNJ19          | XINA50 29APR19 | 354932434 | 1          | 2019-04-29 | 2019-04        |      |
| CNK19          | XINA50 30MAY19 | 354932439 | 1          | 2019-05-30 | 2019-05        |      |
| CNM19          | XINA50 27JUN19 | 321410624 | 1          | 2019-06-27 | 2019-06        |      |
| MESM9          | MES 21JUN19    | 362699310 | 5          | 2019-06-21 | 2019-06        |      |
| MNQM9          | MNQ 21JUN19    | 362702815 | 2          | 2019-06-21 | 2019-06        |      |
| MNQU9          | MNQ 20SEP19    | 362703084 | 2          | 2019-09-20 | 2019-09        |      |

## Financial Instrument Information

|       |              |           |   |            |         |  |
|-------|--------------|-----------|---|------------|---------|--|
| XBTk9 | GXBT 15MAY19 | 350349730 | 1 | 2019-05-15 | 2019-05 |  |
| XBTm9 | GXBT 19JUN19 | 353797941 | 1 | 2019-06-19 | 2019-06 |  |

## Codes

| Code | Meaning                                                                                                                               | Code (Cont.) | Meaning (Cont.)                                                                                                                                                             |
|------|---------------------------------------------------------------------------------------------------------------------------------------|--------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| A    | Assignment                                                                                                                            | LI           | Last In, First Out (LIFO) tax basis election                                                                                                                                |
| ADR  | ADR Fee Accrual                                                                                                                       | LT           | Long Term P/L                                                                                                                                                               |
| AEx  | Automatic exercise for dividend-related recommendation.                                                                               | Lo           | Direct Loan                                                                                                                                                                 |
| Adj  | Adjustment                                                                                                                            | M            | Entered manually by IB                                                                                                                                                      |
| Al   | Allocation                                                                                                                            | MEx          | Manual exercise for dividend-related recommendation.                                                                                                                        |
| Aw   | Away Trade                                                                                                                            | ML           | Maximize Losses tax basis election                                                                                                                                          |
| B    | Automatic Buy-in                                                                                                                      | MLG          | Maximize Long Term Gain tax basis election                                                                                                                                  |
| Bo   | Direct Borrow                                                                                                                         | MLL          | Maximize Long Term Loss tax basis election                                                                                                                                  |
| C    | Closing Trade                                                                                                                         | MSG          | Maximize Short Term Gain tax basis election                                                                                                                                 |
| CD   | Cash Delivery                                                                                                                         | MSL          | Maximize Short Term Loss tax basis election                                                                                                                                 |
| CP   | Complex Position                                                                                                                      | O            | Opening Trade                                                                                                                                                               |
| Ca   | Cancelled                                                                                                                             | P            | Partial Execution                                                                                                                                                           |
| Co   | Corrected Trade                                                                                                                       | PI           | Price Improvement                                                                                                                                                           |
| Cx   | Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers                                      | Po           | Interest or Dividend Accrual Posting                                                                                                                                        |
| ETF  | ETF Creation/Redemption                                                                                                               | Pr           | Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade |
| Ep   | Resulted from an Expired Position                                                                                                     | R            | Dividend Reinvestment                                                                                                                                                       |
| Ex   | Exercise                                                                                                                              | RED          | Redemption to Investor                                                                                                                                                      |
| FP   | IB acted as principal for the fractional share portion of this trade                                                                  | RP           | IB acted as riskless principal for the fractional share portion of this trade                                                                                               |
| FPA  | IB acted as principal for the fractional share portion and as agent for the whole share portion of this trade                         | RPA          | IB acted as riskless principal for the fractional share portion and as agent for the whole share portion of this trade                                                      |
| G    | Trade in Guaranteed Account Segment                                                                                                   | Re           | Interest or Dividend Accrual Reversal                                                                                                                                       |
| HC   | Highest Cost tax basis election                                                                                                       | Ri           | Reimbursement                                                                                                                                                               |
| HFI  | Investment Transferred to Hedge Fund                                                                                                  | SI           | This order was solicited by Interactive Brokers                                                                                                                             |
| HFR  | Redemption from Hedge Fund                                                                                                            | SL           | Specific Lot tax basis election                                                                                                                                             |
| I    | Internal Transfer                                                                                                                     | SO           | This order was marked as solicited by your Introducing Broker                                                                                                               |
| IA   | This transaction was executed against an IB affiliate                                                                                 | SS           | Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market                                                |
| INV  | Investment Transfer from Investor                                                                                                     | ST           | Short Term P/L                                                                                                                                                              |
| IPO  | This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade. | T            | Transfer                                                                                                                                                                    |
| L    | Ordered by IB (Margin Violation)                                                                                                      | Un           | Unvested shares from stock grant                                                                                                                                            |
| LD   | Adjusted by Loss Disallowed from Wash Sale                                                                                            |              |                                                                                                                                                                             |

## Notes/Legal Notes

### Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation or the Margin Report available in Account Management.

## Notes/Legal Notes

3. Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
4. If IB acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If IB is acting as riskless principal in connection with filling the fractional share portion of your order, IB will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
5. In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
6. Trade execution times are displayed in United States Eastern Time.
7. Trade execution times are displayed in Eastern Time.
8. Applicable commodity Regulatory Fees for your transactions are available on the IB website at [www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php](http://www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php).
9. Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
10. Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
11. The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.

### Fixed Income Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: [help@interactivebrokers.com](mailto:help@interactivebrokers.com). If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

### Legal Notes

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## Notes/Legal Notes

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