

LEARNING FROM THE BRAIN

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Learning from the brain

*Best practices for the use of neuroimaging
data
in psychology research*

ACADEMISCH PROEFSCHRIFT

ter verkrijging van de graad van doctor
aan de Universiteit van Amsterdam
op gezag van de Rector Magnificus
prof. dr. ir. K.I.J. Maex

ten overstaan van een door het College voor Promoties ingestelde commissie,
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door

Lukas Snoek

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Awesome thesis title

Some funky subtitle of my
fancy thesis

Lukas Snoek

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CHAPTER 1

Introduction

The first chapter of the thesis, which introduces your PhD project. The filler-text below was created with the postmodernism generator¹.

Something about the coming about of this thesis. More of a “lessons learned” rather than a coherent research topic.

1.1 The brain is not a dictionary

Something about looking at populations of neurons/voxels/areas rather than simple one-to-one relationships. Shared states.

1.2 The brain (probably) does not care about your hypothesis

Facial expression models.

¹<http://www.elsewhere.org/journal/pomo>

1.3 Interpretability and prediction are a trade-off (for now)

A plea for prediction but a cautionary tale for interpreting predictive models (confounds)

1.4 Exploration should be embraced more

Something about the “context of discovery” (cf. TCM), preregistration, and confirmation vs. exploration (Morbid curiosity.)

1.5 Proper generalization is hard

Within and between subject variance is not noise, but unexplained variance (AU limitations).

1.6 Psychology is complex, so it needs complex models

Which need to be fit on complex, large datasets. (AOMIC)

CHAPTER 2

Shared states: using MVPA to test neural overlap between self-focused emotion imagery and other-focused emotion understanding

This chapter has been published as: Oosterwijk, S., Snoek, L.*, Rottevel, M., Barrett, L. F., & Scholte, H. S. (2017). Shared states: using MVPA to test neural overlap between self-focused emotion imagery and other-focused emotion understanding. *Social cognitive and affective neuroscience*, 12(7), 1025-1035.*

* Shared first authorship

Abstract

The present study tested whether the neural patterns that support imagining “performing an action”, “feeling a bodily sensation” or “being in a situation” are directly involved in understanding *other people’s* actions, bodily sensations and situations. Subjects imagined the content of short sentences describing emotional actions, interoceptive sensations and situations (self-focused task), and processed scenes and focused on *how* the target person was expressing an emotion, *what* this person was feeling, and *why* this person was feeling an emotion (other-focused task). Using a linear support vector machine classifier on brain-wide multi-voxel patterns, we accurately decoded each individual class in the self-focused task. When generalizing the classifier from the self-focused task to the other-focused task, we also accurately decoded whether subjects focused on the emotional actions, interoceptive sensations and situations of *others*. These results show that the neural patterns that underlie self-imagined experience are involved in understanding the experience of other people. This supports the theoretical assumption that the basic components of emotion experience and understanding share resources in the brain.

2.1 Introduction

To navigate the social world successfully it is crucial to understand other people. But how do people generate meaningful representations of other people’s actions, sensations, thoughts and emotions? The dominant view assumes that representations of other people’s experiences are supported by the same neural systems as those that are involved in generating experience in the self (e.g., Gallese et al., 2004; see for an overview Singer, 2012). We tested this principle of self-other neural overlap directly, using multi-voxel pattern analysis (MVPA), across three different

aspects of experience that are central to emotions: actions, sensations from the body and situational knowledge.

In recent years, evidence has accumulated that suggests a similarity between the neural patterns representing the self and others. For example, a great variety of studies have shown that observing actions and sensations in other people engages similar neural circuits as acting and feeling in the self (see for an overview Bastiaansen et al., 2009). Moreover, an extensive research program on pain has demonstrated an overlap between the experience of physical pain and the observation of pain in other people, utilizing both neuroimaging techniques (e.g., Lamm et al., 2011) and analgesic interventions (e.g., Rütgen et al., 2015; Mischkowski et al., 2016). This process of “vicarious experience” or “simulation” is viewed as an important component of empathy (Carr et al., 2003; Decety, 2011; Keysers & Gazzola, 2014). In addition, it is argued that mentalizing (e.g. understanding the mental states of other people) involves the same brain networks as those involved in self-generated thoughts (Uddin et al., 2007; Waytz & Mitchell, 2011). Specifying this idea further, a constructionist view on emotion proposes that both emotion experience and interpersonal emotion understanding are produced by the same large-scale distributed brain networks that support the processing of sensorimotor, interoceptive and situationally relevant information (Barrett & Satpute, 2013; Oosterwijk & Barrett, 2014). An implication of these views is that the representation of self- and other-focused emotional actions, interoceptive sensations and situations overlap in the brain.

Although there is experimental and theoretical support for the idea of self-other neural overlap, the present study is the first to directly test this process using MVPA across three different aspects of experience (i.e. actions, interoceptive sensations and situational knowledge). Our experimental design consisted of two

different tasks aimed at generating self- and other-focused representations with a relatively large weight given to either action information, interoceptive information or situational information.

In the *self-focused* emotion imagery task (SF-task) subjects imagined performing or experiencing actions (e.g., *pushing someone away*), interoceptive sensations (e.g., *increased heart rate*) and situations (e.g., *alone in a park at night*) associated with emotion. Previous research has demonstrated that processing linguistic descriptions of (emotional) actions and feeling states can result in neural patterns of activation associated with, respectively, the representation and generation of actions and internal states (Oosterwijk et al., 2015; Pulvermüller & Fadiga, 2010). Furthermore, imagery-based inductions of emotion have been successfully used in the MRI scanner before (Oosterwijk et al., 2012; Wilson-Mendenhall et al., 2011), and are seen as robust inducers of emotional experience (Lench et al., 2011). In the *other-focused* emotion understanding task (OF-task), subjects viewed images of people in emotional situations and focused on actions (i.e., *How does this person express his/her emotions?*), interoceptive sensations (i.e., *What does this person feel in his/her body*) or the situation (i.e., *Why does this person feel an emotion?*). This task is based on previous research studying the neural basis of emotion oriented mentalizing (Spunt & Lieberman, 2012).

With MVPA, we examined to what extent the SF- and OF-task evoked similar neural patterns. MVPA allows researchers to assess whether the neural pattern associated with one set of experimental conditions can be used to distinguish between another set of experimental conditions. This relatively novel technique has been successfully applied to the field of social neuroscience in general (e.g., Gilbert et al., 2012; Brosch et al., 2013; Parkinson et al., 2014), and the field of self-other neural overlap in particular. For example, several MVPA studies recently assessed

whether experiencing pain and observing pain in others involved similar neural patterns (Corradi-Dell'Acqua et al., 2016; Krishnan et al., 2016). Although there is an ongoing discussion about the specifics of shared representation in pain based on these MVPA results (see for an overview Zaki et al., 2016), many authors emphasize the importance of this technique in the scientific study of self-other neural overlap (e.g., Corradi-Dell'Acqua et al., 2016; Krishnan et al., 2016).

MVPA is an analysis technique that decodes latent categories from fMRI data in terms of multi-voxel patterns of activity (Norman et al., 2006). This technique is particularly suited for our research question for several reasons. First of all, although univariate techniques can demonstrate that tasks activate the same brain regions, only MVPA can statistically test for shared representation (Lamm & Majdandžić, 2015). We will evaluate whether multivariate brain patterns that distinguish between mental events in the SF-task can be used to distinguish, above chance level, between mental events in the OF-task. Second, MVPA analyses are particularly useful in research that is aimed at examining distributed representations (Singer, 2012). Based on our constructionist framework, we indeed hypothesize that the neural patterns that will represent self- and other focused mental events are distributed across large-scale brain networks. To capture these distributed patterns, we used MVPA in combination with data-driven univariate feature selection on whole-brain voxel patterns, instead of limiting our analysis to specific regions-of-interest (Haynes, 2015). And third, in contrast to univariate analyses that aggregate data across subjects, MVPA can be performed within-subjects and is thus able to incorporate individual variation in the representational content of multivariate brain patterns. In that aspect within-subject MVPA is sensitive to individual differences in how people imagine actions, sensations and situations, and how they understand others. In short, for our purpose to explicitly test the assumption that self

and other focused processes share neural resources, MVPA is the designated method.

We tested the following two hypotheses. First, we tested whether we could classify *self-imagined* actions, interoceptive sensations and situations above chance level. Second, we tested whether the multivariate pattern underlying this classification could also be used to classify the how, what and why condition in the *other-focused* task.

2.2 Methods

Subjects

In total, we tested 22 Dutch undergraduate students from the University of Amsterdam (14 females; $M_{\text{age}} = 21.48$, $s.d._{\text{age}} = 1.75$). Of those 22 subjects, 13 subjects were tested twice in 2 sessions about 1 week apart. Half of those sessions were used for the model optimization procedure. The other half of the sessions, combined with an additional nine subjects (who were tested only once), constituted the model validation set (see Model optimization procedure section). In total, two subjects were excluded from the model validation dataset: one subject was excluded because there was not enough time to complete the experimental protocol and another subject was excluded due to excessive movement (>3 mm within data acquisition runs).

All subjects signed informed consent prior to the experiment. The experiment was approved by the University of Amsterdam's ethical review board. Subjects received 22.50 euro per session. Standard exclusion criteria regarding MRI safety were applied and people who were on psychopharmacological medication were excluded a priori.

Experimental design

Self-focused emotion imagery task

The self-focused emotion imagery task (SF-task) was created to preferentially elicit *self-focused* processing of action, interoceptive or situational information associated with emotion. Subjects processed short linguistic cues that described actions (e.g., *pushing someone away*; *making a fist*), interoceptive sensations (e.g., *being out of breath*; *an increased heart rate*), or situations (e.g., *alone in a park at night*; *being falsely accused*) and were instructed to imagine performing or experiencing the content. The complete instruction is presented in the Supplementary Materials; all stimuli used in the SF-task are presented in Supplementary Table S1. Linguistic cues were selected from a pilot study performed on an independent sample of subjects ($n = 24$). Details about this pilot study are available on request. The descriptions generated in this pilot study were used as qualitative input to create short sentences that described actions, sensations or situations that were associated with negative emotions, without including discrete emotion terms. The cues did not differ in number of words, nor in number of characters ($F < 1$).

CHAPTER 3

How to control for confounds in decoding analyses of neuroimaging data

CHAPTER 4

The Amsterdam Open MRI Collection, a set of multimodal MRI datasets for individual difference analyses

CHAPTER 5

Choosing to view morbid information involves reward circuitry

CHAPTER 6

Using predictive modeling to quantify the importance and limitations of action units in emotion perception

CHAPTER 7

Comparing models of dynamic facial expression perception

CHAPTER 8

Summary and general discussion

My view on going forward.

8.1 Explore!

Theories are like toothbrushes, no one likes to use someone else's.

8.2 Think *big*

Big, complex datasets to train big, complex models.

8.3 Rethink psychology education

Embrace and teach interdisciplinary.

Appendices

APPENDIX A

Supplement to Chapter 2

A.1 Stimuli used for SF-task

A.2 Full instruction for the other-focused emotion understanding task

Translated from Dutch; task presented first.

In this study we are interested in how the brain responds when people understand the emotions of others in different ways. In the scanner you will see images that display emotional situations, sometimes with multiple people. In every image one person will be marked with a red square. While viewing the image we ask you to focus on the emotion of that person in three different ways.

TABLE A.1 Stimuli used for SF-task

[illegible]

With some images we ask you to focus on HOW this person expresses his or her emotion. Here we ask you to identify expressions in the face or body that are informative about the emotional state that the person is experiencing.

With other images we ask you to focus on WHAT this person may feel in his or her body. Here we ask you to identify sensations, such as a change in heart rate, breathing or other internal feeling, that the person might feel in this situation.

With other images we ask you to focus on WHY this person experiences an emotion. Here we ask you to identify a specific reason or cause that explains why the person feels what he or she feels.

Every image will be presented for six seconds. During this period we ask you to silently focus on HOW this person expresses emotion, WHAT this person feels in his/her body, and WHY this person feels an emotion.

Before you will enter the scanner we will practice. I will show you three images and will ask you to perform each of the three instructions out loud.

It is important to note that there are no correct or incorrect answers, it is about how you interpret the image. For the success of the study it is very important that you apply the HOW, WHAT or WHY instruction for each image. Please do not skip any images and try to apply each instruction with the same motivation. It is also important to treat every image separately, although it is possible that you have similar interpretations for different images.

The three instructions are combined with the images in blocks. In every block you will see five images with the same instruction. Each block will start with a cue that tells you what to focus on in that block.

Each image is combined with all three instructions, so you will see the same image multiple times. In between images you will sometimes see a black screen for a longer period of time.

Do you have any questions?

A.3 Full instruction for the self-focused emotion imagery task

Translated from Dutch; task presented second.

In this study we are interested in how the brain responds when people imagine different aspects of emotion. In the scanner you will see sentences that describe aspects of emotional experience. We ask you to try to imagine the content of each sentence as rich and detailed as possible.

Some sentences describe actions and expressions. We ask you to imagine that you are performing this action or expression. Other sentences describe sensations or feelings that you can have *inside* your body. We ask you to imagine that you are experiencing this sensation or feeling. Other sentences describe emotional situations. We ask you to imagine that you are experiencing this specific situation.

We ask you to always imagine that YOU have the experience. Thus, it is about imagining an action or expression of your body, a sensation inside your body, or a situation that you are part of.

I will give some examples now.

For each sentence you have six seconds to imagine the content. All sentences will be presented twice. In between sentences you will sometimes see a black screen for a longer period of time. For this experiment to succeed it is important that you imagine each sentence with the same motivation, even if you have seen the sentence before. Please do not skip sentences.

Do you have any questions?

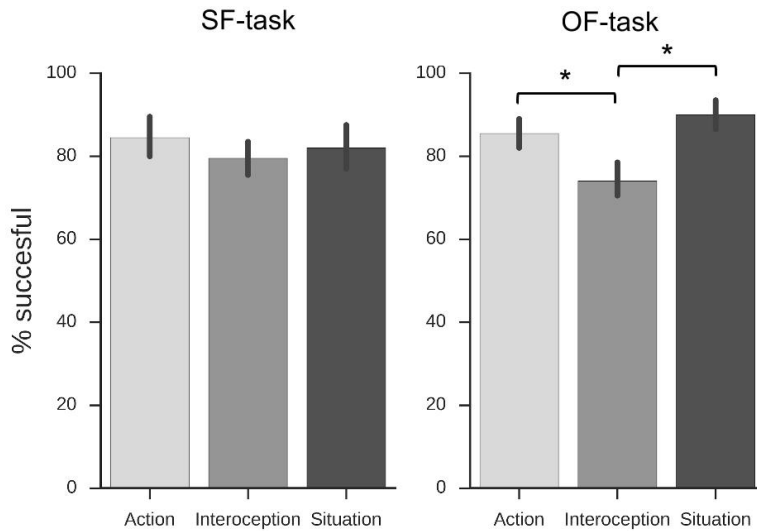


FIGURE A.1 Mean percentage of trials successfully executed for the SF-task (left panel) and OF-task (right panel). Error bars indicate 95% confidence intervals. A one-way ANOVA of the success-rates of the SF-task (left-panel) indicated no significant overall differences, $F(2, 17) = 1.03$, $p = 0.38$. In the OF-task (right panel) however, a one-way ANOVA indicated that success-rates differed significantly between classes, $F(2, 17) = 17.74$, $p < 0.001$. Follow-up pairwise comparisons (Bonferroni corrected, two tailed) revealed that interoception-trials ($M = 74.00$, $SE = 2.10$) were significantly less successful ($p < 0.001$) than both action-trials ($M = 85.50$, $SE = 1.85$) and situation trials ($M = 90.00$, $SE = 1.92$).

A.4 Optimization results

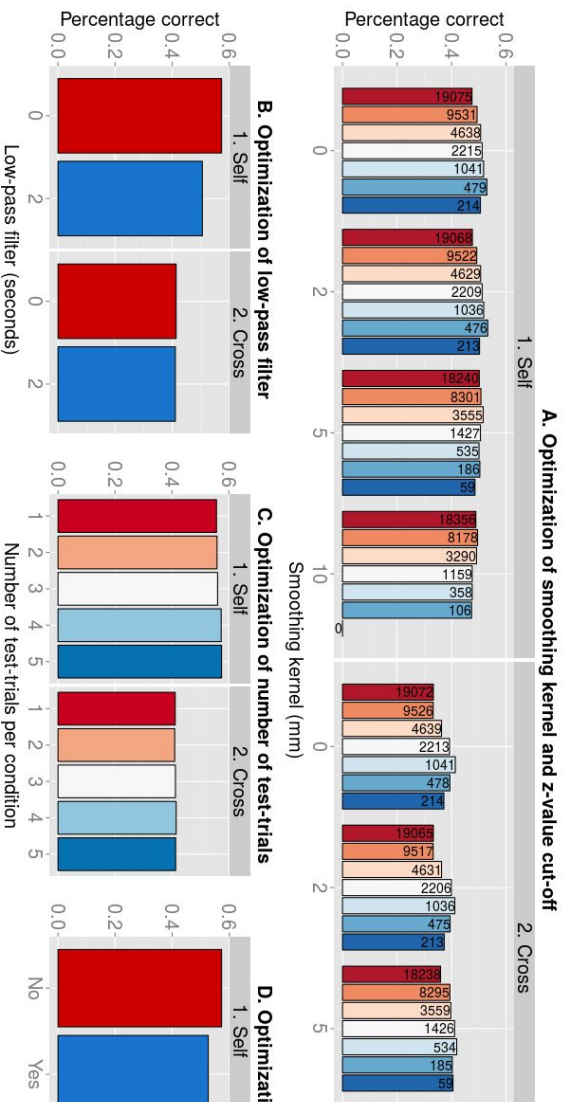


FIGURE A.2 Results of the parameter-optimization procedure. Reported scores reflect the classification over subjects and classes (i.e. the diagonal of the confusion matrix). All optimization analyses were iteratively performed for different smoothing kernels (0, 2, 5, and 10 mm) and z-value threshold for different feature selection (see MPPA pipeline section in the main text for a description of the particular feature selection employed). Numbers reflect the average number of voxels selected across iterations. **B**) Classification results for different smoothing kernels (0, 2, 5, and 10 mm) and z-value threshold for different feature selection (see MPPA pipeline section in the main text for a description of the particular feature selection employed). Numbers reflect the average number of voxels selected across iterations. **C**) Classification results for different numbers of test-trials per class (1 to 40 test-trials per condition) and z-value threshold for different feature selection (see MPPA pipeline section in the main text for a description of the particular feature selection employed). Numbers reflect the average number of voxels selected across iterations. **D**) Classification results when preprocessing the data with Independent Component Analysis (ICA) or not.

TABLE A.2 Parameters assessed in the optimization set.

[illegible]

A.5 Bagging procedure

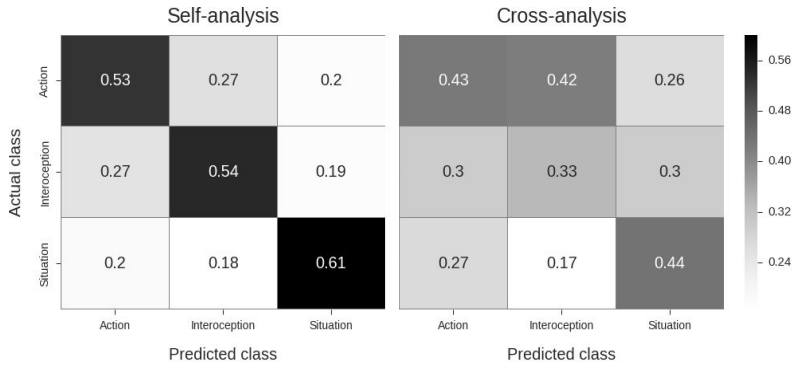


FIGURE A.3 Confusion matrices displaying precision-values yielded by the classification analysis of the optimization dataset with the final set of parameters. Because no permutation statistics were calculated for the optimization set, significance was calculated using a one-sample independent t -test against chance-level classification (i.e. 0.333) for each cell in the diagonal of the confusion matrices. Here, all t -statistics use a degrees of freedom of 12 (i.e. 13 subjects - 1) and are evaluated against a significance level of 0.05, Bonferroni-corrected. For the diagonal of the self-analysis confusion matrix, all values were significantly above chance-level, all $p < 0.0001$. For the diagonal of the cross-analysis confusion matrix, both the action (43% correct) and situation (44% correct) classes scored significantly above chance, $p = 0.014$ and $p = 0.0007$ respectively. Interoception was classified at chance level, $p = 0.99$, which stands in contrast with the results in the validation-set.

A.6 Precision vs. recall

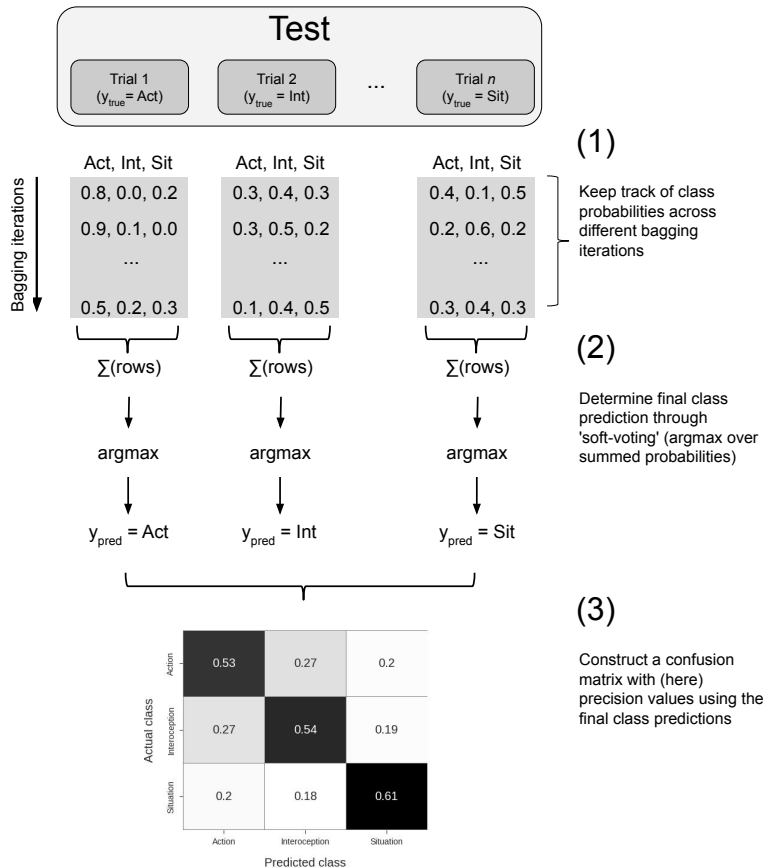


FIGURE A.4 Schematic overview of the bagging procedure. Class probabilities across different bagging iterations are summed and the class with the maximum probability determines each trial's final predicted class, which are subsequently summarized in a confusion matrix on which final recall/precision scores are calculated.

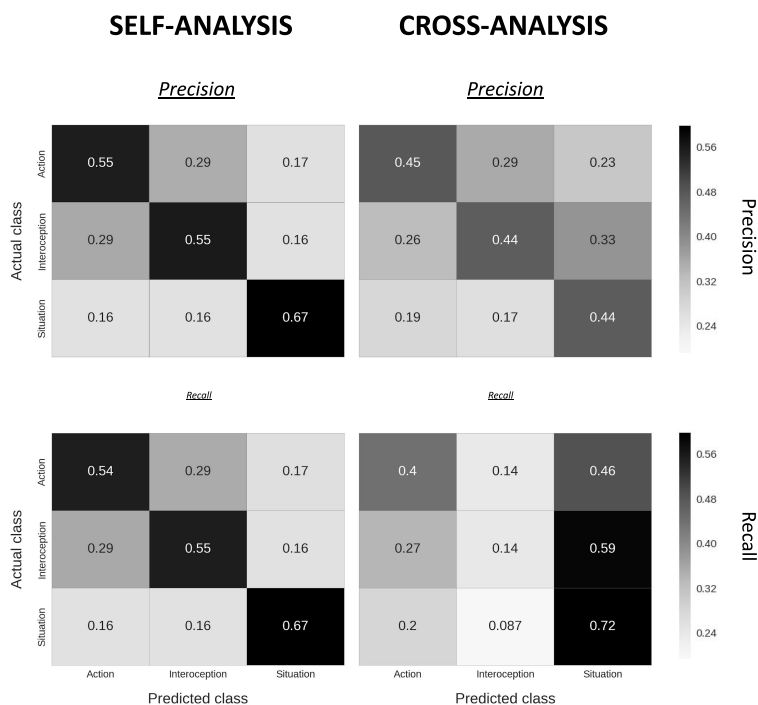


FIGURE A.5 A comparison between precision and recall confusion matrices of the self- and cross-analysis of the validation dataset. Precision refers to the amount of true positive predictions of a given class relative to all predictions for that class. Recall refers to the amount of true positive predictions of a given class relative to the total number of samples in that class. In the self-analysis, all classes were decoded significantly above chance for both precision and recall (all $p < 0.001$). In the cross-analysis, all classes were decoded significantly above chance for precision (all $p < 0.001$); for recall both action and situation were decoded significantly above chance ($p = 0.0013$ and $p < 0.001$, respectively), while interoception was decoded below chance. All p -values were calculated using a permutation test with 1300 permutations (as described in the Methods section in the main text). When comparing precision and recall scores for both analyses, precision and recall showed very little differences in the self-analysis, while the cross-analysis shows a clear difference between metrics, especially for interoception and situation. For the interoception class, the relatively high precision score (44%) compared to its recall score (14%) suggests that trials are very infrequently classified as interoception, but when they are, it is (relatively) often correct. For the situation class, the relatively high recall score (72 %) compared to its precision score (44%) suggests that situation is strongly over-classified, which is especially clear in the lower-right confusion matrix, which indicates that 59% of the interoception-trials are misclassified as situation-trials.

A.7 Self vs. other classification

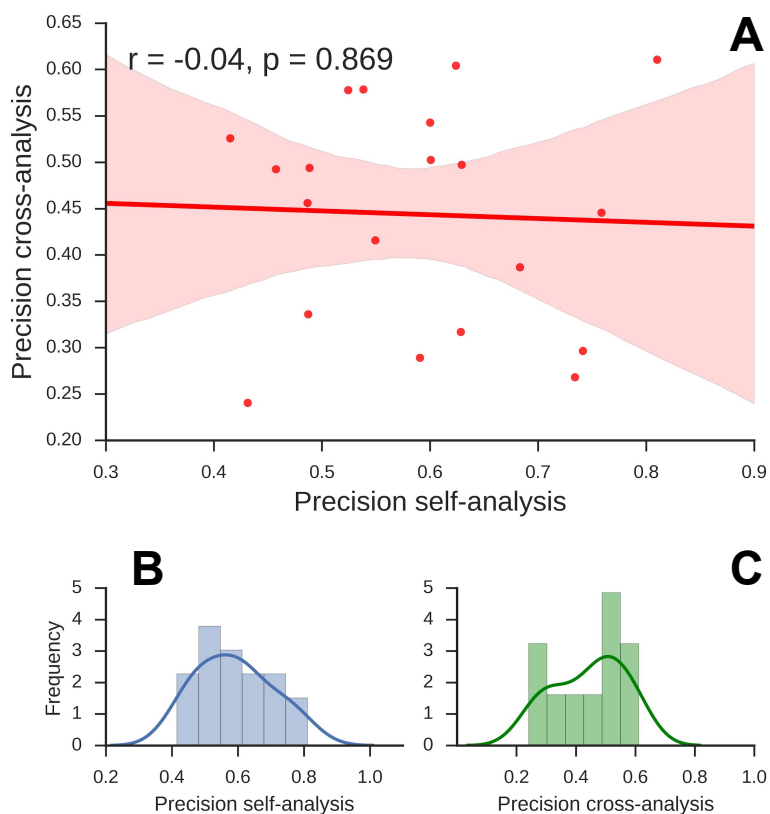


FIGURE A.6 Relation between self- and cross-analysis scores across subjects and their respective distributions. Note that the scores here represent the average of the class-specific precision scores. **A)** There is no significant correlation between precision-scores on the self-analysis and the corresponding scores on the cross-analysis, $r = -0.04$, $p = .86$, implying that classification scores in the self-analysis is not predictive of scores in the cross-analysis. **B)** The distribution of precision-scores in the self-analysis, appearing to be normally distributed. **C)** The distribution of precision-scores in the cross-analysis, on the other hand, appears to be bimodal, with one group of subjects having scores around chance level (0.333) while another group of subjects clearly scores above chance level (see individual scores and follow-up analyses in (ref:fig-shared-states-S4)).

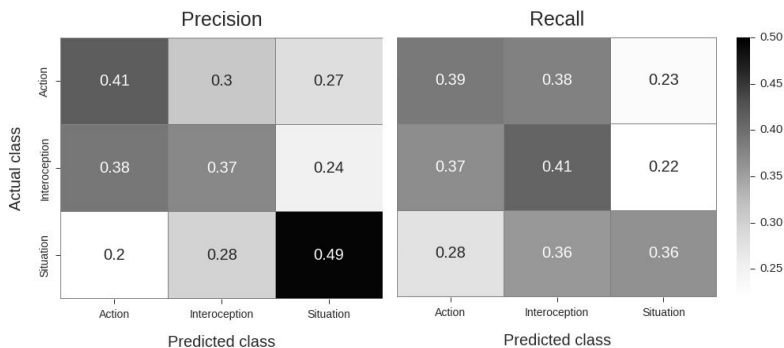


FIGURE A.7 Confusion matrices with precision (left matrix) and recall (right matrix) estimates of the other-to-self decoding analysis. The MVPA-pipeline used was exactly the same as for the (self-to-other) cross-analysis in the main text. P -values corresponding to the classification scores were calculated using a permutation analysis with 1000 permutations of the other-to-self analysis with randomly shuffled class-labels. Similar to the self-to-other analysis, the precision-scores for all classes in the other-to-self analysis were significant, $p(\text{action}) < 0.001$, $p(\text{interoception}) = 0.008$, $p(\text{situation}) < 0.001$. For recall, classification scores for action and interoception were significant (both $p < 0.001$), but not significant for situation ($p = 0.062$). The discrepancy between the self-to-other and other-to-self decoding analyses can be explained by two factors. First, the other-to-self classifier was trained on fewer samples (i.e. 90 trials) than the self-to-other classifier (which was trained on 120 trials), which may cause a substantial drop in power. Second, the preprocessing pipeline and MVPA hyperparameters were optimized based on the self-analysis and self-to-other cross-analysis. Given the vast differences between the nature of the self- and other-data, these optimal preprocessing and MVPA hyperparameters for the original analyses may not cross-validate well to the other-to-self decoding analysis.

A.8 Self-to-other cross-validation results

A.9 Condition-average results

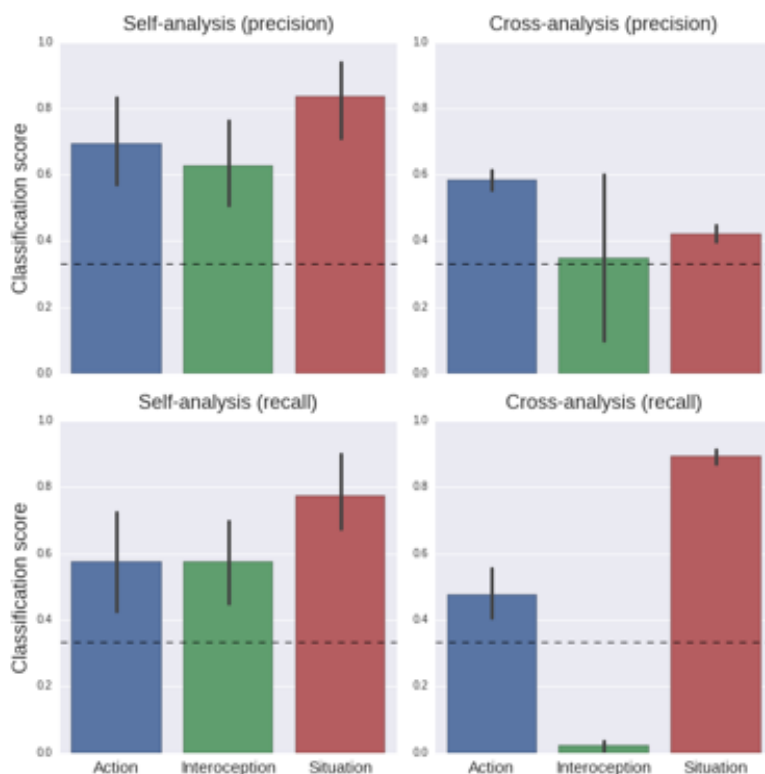


FIGURE A.8 Results of MVPA analyses using condition-average voxel patterns across subjects instead of single-trial patterns within subjects. Here, patterns are estimated in a GLM in which each condition, as opposed to each trial, is modeled with a single regressor, from which whole-brain t-value patterns were extracted. In this condition-average multi-voxel pattern analysis, condition-average patterns across subjects were used as samples. The condition-average patterns were extracted from the univariate first-level contrasts. In total, this yielded 120 samples for the self-data (3 conditions * 2 runs * 20 participants) and 60 samples for the other-data (3 conditions * 20 participants). For these analyses, the same hyperparameters were used as the original analyses reported in the main text, except with regard to the cross-validation and bagging procedure. Here, we used (stratified) 10-fold cross-validation without bagging. The upper panels show precision scores (per class) for the self- and (self-to-other) cross-analysis; the lower panels show results from the same analyses but expressed in recall-estimates (error bars indicate 95% confidence intervals). Apart from interoception in the cross-analysis (both precision and recall), all scores were significant ($p < 0.001$) in a permutation test with 1000 permutations. These results largely replicate our findings as reported in the main text. This suggests that the neural patterns involved in self-focused emotional imagery and other-focused emotion understanding are relatively consistent in terms of spatial distribution across subjects. We explain this consistency by as-

TABLE A.3 Mean general classification scores (i.e., mean precision scores across action, interoception and situation) per subject for the self- and cross-analysis on the validation-set only.


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


A.10 Individual subject scores

Category	Sub-category	Value
1. General Information	1.1. Name of the Project	Project A
2. Financial Data	2.1. Total Budget	\$1,000,000
3. Operational Data	3.1. Number of Employees	50
4. Risk Assessment	4.1. Risk Level	High
5. Compliance	5.1. Regulatory Requirements	GDPR, ISO 9001
6. Performance Metrics	6.1. Key Performance Indicators	Revenue, Profit, Customer Satisfaction
7. Conclusion	7.1. Summary of Findings	Project A is on track and within budget.

A.12 General note about tables with voxel-coordinates

Branch: **master**
New pull request
Create new file
Upload files
Find file
Clone or download

 **lukassnoek** Add voxel tables (so that it can be removed from the suppl materials)
Latest commit 04861c7 23 hours ago

 ANALYSES	Split extract_roi_info call to new notebook	23 hours ago
 MATERIALS_PROCEduRES_DES...	Remove potentially copyrighted stimulus materials and change director...	6 months ago
 RESULTS/Voxel_tables	Add voxel tables (so that it can be removed from the suppl materials)	23 hours ago
 .gitignore	Add voxel tables (so that it can be removed from the suppl materials)	23 hours ago
 Dockerfile	Add Dockerfile to run analysis (not tested)	8 months ago
 README.md	Update README.md	6 months ago

¹<https://github.com/lukassnoek/SharedStates>

APPENDIX B

Supplement to Chapter 3

APPENDIX C

Supplement to Chapter 5

APPENDIX D

Supplement to Chapter 6

APPENDIX E

Supplement to Chapter 7

APPENDIX F

Data, code and materials

Bibliography

Contributions to the chapters

List of other publications

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Acknowledgments

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