

LEARNING FROM THE BRAIN

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Learning from the brain

*Best practices for the use of neuroimaging
data
in psychology research*

ACADEMISCH PROEFSCHRIFT

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aan de Universiteit van Amsterdam
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prof. dr. ir. K.I.J. Maex

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Awesome thesis title

Some funky subtitle of my
fancy thesis

Lukas Snoek

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CHAPTER 1

Introduction

The first chapter of the thesis, which introduces your PhD project. The filler-text below was created with the postmodernism generator¹.

Something about the coming about of this thesis. More of a “lessons learned” rather than a coherent research topic.

1.1 The brain is not a dictionary

Something about looking at populations of neurons/voxels/areas rather than simple one-to-one relationships. Shared states.

1.2 The brain (probably) does not care about your hypothesis

Facial expression models.

¹<http://www.elsewhere.org/journal/pomo>

1.3 Interpretability and prediction are a trade-off (for now)

A plea for prediction but a cautionary tale for interpreting predictive models (confounds)

1.4 Exploration should be embraced more

Something about the “context of discovery” (cf. TCM), preregistration, and confirmation vs. exploration (Morbid curiosity.)

1.5 Proper generalization is hard

Within and between subject variance is not noise, but unexplained variance (AU limitations).

1.6 Psychology is complex, so it needs complex models

Which need to be fit on complex, large datasets. (AOMIC)

CHAPTER 2

Shared states: using MVPA to test neural overlap between self-focused emotion imagery and other-focused emotion understanding

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Abstract

The present study tested whether the neural patterns that support imagining “performing an action”, “feeling a bodily sensation” or “being in a situation” are directly involved in understanding *other people’s* actions, bodily sensations and situations. Subjects imagined the content of short sentences describing emotional actions, interoceptive sensations and situations (self-focused task), and processed scenes and focused on *how* the target person was expressing an emotion, *what* this person was feeling, and *why* this person was feeling an emotion (other-focused task). Using a linear support vector machine classifier on brain-wide multi-voxel patterns, we accurately decoded each individual class in the self-focused task. When generalizing the classifier from the self-focused task to the other-focused task, we also accurately decoded whether subjects focused on the emotional actions, interoceptive sensations and situations of *others*. These results show that the neural patterns that underlie self-imagined experience are involved in understanding the experience of other people. This supports the theoretical assumption that the basic components of emotion experience and understanding share resources in the brain.

2.1 Introduction

To navigate the social world successfully it is crucial to understand other people. But how do people generate meaningful representations of other people’s actions, sensations, thoughts and emotions? The dominant view assumes that representations of other people’s experiences are supported by the same neural systems as those that are involved in generating experience in the self (e.g., Gallese et al., 2004; see for an overview Singer, 2012). We tested this principle of self-other neural overlap directly, using multi-voxel pattern analysis (MVPA), across three different

aspects of experience that are central to emotions: actions, sensations from the body and situational knowledge.

In recent years, evidence has accumulated that suggests a similarity between the neural patterns representing the self and others. For example, a great variety of studies have shown that observing actions and sensations in other people engages similar neural circuits as acting and feeling in the self (see for an overview Bastiaansen et al., 2009). Moreover, an extensive research program on pain has demonstrated an overlap between the experience of physical pain and the observation of pain in other people, utilizing both neuroimaging techniques (e.g., Lamm et al., 2011) and analgesic interventions (e.g., Rütgen et al., 2015; Mischkowski et al., 2016). This process of “vicarious experience” or “simulation” is viewed as an important component of empathy (Carr et al., 2003; Decety, 2011; Keysers & Gazzola, 2014). In addition, it is argued that mentalizing (e.g. understanding the mental states of other people) involves the same brain networks as those involved in self-generated thoughts (Uddin et al., 2007; Waytz & Mitchell, 2011). Specifying this idea further, a constructionist view on emotion proposes that both emotion experience and interpersonal emotion understanding are produced by the same large-scale distributed brain networks that support the processing of sensorimotor, interoceptive and situationally relevant information (Barrett & Satpute, 2013; Oosterwijk & Barrett, 2014). An implication of these views is that the representation of self- and other-focused emotional actions, interoceptive sensations and situations overlap in the brain.

Although there is experimental and theoretical support for the idea of self-other neural overlap, the present study is the first to directly test this process using MVPA across three different aspects of experience (i.e. actions, interoceptive sensations and situational knowledge). Our experimental design consisted of two

different tasks aimed at generating self- and other-focused representations with a relatively large weight given to either action information, interoceptive information or situational information.

In the *self-focused* emotion imagery task (SF-task) subjects imagined performing or experiencing actions (e.g., *pushing someone away*), interoceptive sensations (e.g., *increased heart rate*) and situations (e.g., *alone in a park at night*) associated with emotion. Previous research has demonstrated that processing linguistic descriptions of (emotional) actions and feeling states can result in neural patterns of activation associated with, respectively, the representation and generation of actions and internal states (Oosterwijk et al., 2015; Pulvermüller & Fadiga, 2010). Furthermore, imagery-based inductions of emotion have been successfully used in the MRI scanner before (Oosterwijk et al., 2012; Wilson-Mendenhall et al., 2011), and are seen as robust inducers of emotional experience (Lench et al., 2011). In the *other-focused* emotion understanding task (OF-task), subjects viewed images of people in emotional situations and focused on actions (i.e., *How does this person express his/her emotions?*), interoceptive sensations (i.e., *What does this person feel in his/her body*) or the situation (i.e., *Why does this person feel an emotion?*). This task is based on previous research studying the neural basis of emotion oriented mentalizing (Spunt & Lieberman, 2012).

With MVPA, we examined to what extent the SF- and OF-task evoked similar neural patterns. MVPA allows researchers to assess whether the neural pattern associated with one set of experimental conditions can be used to distinguish between another set of experimental conditions. This relatively novel technique has been successfully applied to the field of social neuroscience in general (e.g., Gilbert et al., 2012; Brosch et al., 2013; Parkinson et al., 2014), and the field of self-other neural overlap in particular. For example, several MVPA studies recently assessed

whether experiencing pain and observing pain in others involved similar neural patterns (Corradi-Dell'Acqua et al., 2016; Krishnan et al., 2016). Although there is an ongoing discussion about the specifics of shared representation in pain based on these MVPA results (see for an overview Zaki et al., 2016), many authors emphasize the importance of this technique in the scientific study of self-other neural overlap (e.g., Corradi-Dell'Acqua et al., 2016; Krishnan et al., 2016).

MVPA is an analysis technique that decodes latent categories from fMRI data in terms of multi-voxel patterns of activity (Norman et al., 2006). This technique is particularly suited for our research question for several reasons. First of all, although univariate techniques can demonstrate that tasks activate the same brain regions, only MVPA can statistically test for shared representation (Lamm & Majdandžić, 2015). We will evaluate whether multivariate brain patterns that distinguish between mental events in the SF-task can be used to distinguish, above chance level, between mental events in the OF-task. Second, MVPA analyses are particularly useful in research that is aimed at examining distributed representations (Singer, 2012). Based on our constructionist framework, we indeed hypothesize that the neural patterns that will represent self- and other focused mental events are distributed across large-scale brain networks. To capture these distributed patterns, we used MVPA in combination with data-driven univariate feature selection on whole-brain voxel patterns, instead of limiting our analysis to specific regions-of-interest (Haynes, 2015). And third, in contrast to univariate analyses that aggregate data across subjects, MVPA can be performed within-subjects and is thus able to incorporate individual variation in the representational content of multivariate brain patterns. In that aspect within-subject MVPA is sensitive to individual differences in how people imagine actions, sensations and situations, and how they understand others. In short, for our purpose to explicitly test the assumption that self

and other focused processes share neural resources, MVPA is the designated method.

We tested the following two hypotheses. First, we tested whether we could classify *self-imagined* actions, interoceptive sensations and situations above chance level. Second, we tested whether the multivariate pattern underlying this classification could also be used to classify the how, what and why condition in the *other-focused* task.

2.2 Methods

Subjects

In total, we tested 22 Dutch undergraduate students from the University of Amsterdam (14 females; $M_{\text{age}} = 21.48$, $s.d._{\text{age}} = 1.75$). Of those 22 subjects, 13 subjects were tested twice in 2 sessions about 1 week apart. Half of those sessions were used for the model optimization procedure. The other half of the sessions, combined with an additional nine subjects (who were tested only once), constituted the model validation set (see Model optimization procedure section). In total, two subjects were excluded from the model validation dataset: one subject was excluded because there was not enough time to complete the experimental protocol and another subject was excluded due to excessive movement (>3 mm within data acquisition runs).

All subjects signed informed consent prior to the experiment. The experiment was approved by the University of Amsterdam's ethical review board. Subjects received 22.50 euro per session. Standard exclusion criteria regarding MRI safety were applied and people who were on psychopharmacological medication were excluded a priori.

Experimental design

Self-focused emotion imagery task

The self-focused emotion imagery task (SF-task) was created to preferentially elicit *self-focused* processing of action, interoceptive or situational information associated with emotion. Subjects processed short linguistic cues that described actions (e.g., *pushing someone away*; *making a fist*), interoceptive sensations (e.g., *being out of breath*; *an increased heart rate*), or situations (e.g., *alone in a park at night*; *being falsely accused*) and were instructed to imagine performing or experiencing the content. The complete instruction is presented in the Supplementary Materials; all stimuli used in the SF-task are presented in Supplementary Table S1. Linguistic cues were selected from a pilot study performed on an independent sample of subjects ($n = 24$). Details about this pilot study are available on request. The descriptions generated in this pilot study were used as qualitative input to create short sentences that described actions, sensations or situations that were associated with negative emotions, without including discrete emotion terms. The cues did not differ in number of words, nor in number of characters ($F < 1$).

CHAPTER 3

How to control for confounds in decoding analyses of neuroimaging data

CHAPTER 4

The Amsterdam Open MRI Collection, a set of multimodal MRI datasets for individual difference analyses

CHAPTER 5

Choosing to view morbid information involves reward circuitry

CHAPTER 6

Using predictive modeling to quantify the importance and limitations of action units in emotion perception

CHAPTER 7

Comparing models of dynamic facial expression perception

CHAPTER 8

Summary and general discussion

My view on going forward.

8.1 Explore!

Theories are like toothbrushes, no one likes to use someone else's.

8.2 Think *big*

Big, complex datasets to train big, complex models.

8.3 Rethink psychology education

Embrace and teach interdisciplinary.

Appendices

APPENDIX A

Supplement to Chapter 2

A.1 Stimuli used for SF-task

TABLE A.1 Stimuli used for SF-task

| Class | Dutch | English translation |
|-------|---------------------------|-----------------------------|
| | Hard wegrennen | Running away fast |
| | Iemand wegduwen | Pushing someone away |
| | Iemand stevig vastpakken | Holding someone tightly |
| | Je hoofd schudden | Shaking your head |
| | Heftige armgebaren maken | Making big arm gestures |
| | Ergens voor terugdeinzen | Recoiling from something |
| | Je ogen dichtknijpen | Closing your eyes tightly |
| | Je ogen wijd open sperren | Opening your eyes widely |
| | Je wenkbrauwen fronsen | Frowning with your eyebrows |
| | Je schouders ophalen | Raising your shoulders |
| | Op de vloer stampen | Stamping on the floor |

TABLE A.1 Stimuli used for SF-task (*continued*)

| Class | Dutch | English translation |
|--------|----------------------------|--------------------------------------|
| Action | In elkaar duiken | Cowering |
| | Je schouders laten hangen | Slumping your shoulders |
| | Je vuisten ballen | Tighten your fists |
| | Je borst vooruit duwen | Push your chest forward |
| | Je tanden op elkaar zetten | Clench your teeth |
| | Je hand voor je mond slaan | Put your hand in front of your mouth |
| | Onrustig bewegen | Moving restlessly |
| | Heen en weer lopen | Walking back and forth |
| | Je hoofd afkeren | Turning your head away |
| | Een brok in je keel | A lump in your throat |
| | Buiten adem zijn | Being out of breath |
| | Een versnelde hartslag | A fast beating heart |
| | Je hart klopt in de keel | Your heart is beating in your throat |
| | Een benauwd gevoel | An oppressed feeling |
| | Een misselijk gevoel | Being nauseous |
| | Druk op je borst | A pressure on your chest |
| | Strak aangespannen spieren | Tense muscles |
| | Een droge keel | A dry throat |

TABLE A.1 Stimuli used for SF-task (*continued*)

| Class | Dutch | English translation |
|---------------|-------------------------------|-----------------------------|
| Interoception | Koude rillingen hebben | Cold shivers |
| | Bloed stroomt naar je hoofd | Blood is going to your head |
| | Een verdoofd gevoel | A numb feeling |
| | Je hebt tintelende ledematen | Tingling limbs |
| | Een verlaagde hartslag | A slow heartbeat |
| | Je hebt zware ledematen | Heavy limbs |
| | Een versnelde ademhaling | Fast breathing |
| | Je hebt hoofdpijn | Headache |
| | Je hebt buikpijn | Stomachache |
| | Zweet staat in je handen | Sweaty palms |
| | Je maag keert zich om | Your stomach churns |
| | Vals beschuldigd worden | Being falsely accused |
| | Dierbare overlijdt | A loved one dies |
| | Vlees is bedorven | Meat that has gone off |
| | Je wordt bijna aangereden | You are almost hit by a car |
| | Iemand naast je braakt | Someone next to you vomits |
| | Huis staat in brand | House is on fire |
| | Zonder reden ontslagen worden | Being fired for no reason |

TABLE A.1 Stimuli used for SF-task (*continued*)

| Class | Dutch | English translation |
|-----------|-----------------------------------|----------------------------------|
| Situation | Een ongemakkelijke stilte | An uncomfortable silence |
| | Alleen in donker park | Alone in a dark park |
| | Inbraak in je huis | A house burglary |
| | Een gewond dier zien | Seeing a wounded animal |
| | Tentamen verknallen | Messing up your exam |
| | Je partner bedriegt je | You partner cheats on you |
| | Dierbare is vermist | A loved one is missing |
| | Belangrijke sollicitatie vergeten | Forgot a job interview |
| | Onvoorbereid presentatie geven | Giving a presentation unprepared |
| | Je baas beledigt je | Your boss offends you |
| | Goede vriend negeert je | A good friend neglects you |
| | Slecht nieuws bij arts | Bad news at the doctor |
| | Bommelding in metro | A bomb alarm in the metro |

Note: The stimulus materials presented in Table S1 were selected from a pilot study. In this pilot study we asked an independent sample of twenty-four subjects to describe how they would express an emotion in their behavior, body posture or facial expression (action information), what specific sensations they would feel inside their body when they would experience an emotion (interoceptive information), and for what reason or in what situation they would experience an emotion (situational information). These three questions were asked in random order for twenty-eight different negative emotional states, including anger, fear, disgust, sadness, contempt, worry, disappointment, regret and shame. The descriptions generated by these subjects were used as qualitative input in order to create our stimulus set of twenty short sentences that described emotional actions, sensations or situations. With this procedure, we ensured that our stimulus set held sentences that were validated and ecologically appropriate for our sample.

APPENDIX B

Supplement to Chapter 3

APPENDIX C

Supplement to Chapter 5

APPENDIX D

Supplement to Chapter 6

APPENDIX E

Supplement to Chapter 7

APPENDIX F

Data, code and materials

Bibliography

Contributions to the chapters

List of other publications

Alilović, J., Timmermans, B., **Reteig, L. C.**, van Gaal, S., & Slagter, H. A. (2019). No evidence that predictions and attention modulate the first feedforward sweep of cortical information processing. *Cerebral Cortex*, 29 2261–2278. <https://doi.org/10.1093/cercor/bhz038>

van Schouwenburg, M. R., Sörensen, L. K. A., de Klerk, R., **Reteig, L. C.**, & Slagter, H. A. (2018). No differential effects of two different alpha-band electrical stimulation protocols over fronto-parietal regions on spatial attention. *Frontiers in Neuroscience* 12:433. <https://doi.org/10.3389/fnins.2018.00433>

Slagter, H. A., Mazaheri, A., **Reteig, L. C.**, Smolders, R., Figeo, M., Mantione, M., ... Denys, D. (2017). Contributions of the Ventral Striatum to Conscious Perception: An Intracranial EEG Study of the Attentional Blink. *Journal of Neuroscience*, 37, 1081–1089. <https://doi.org/10.1523/jneurosci.2282-16.2016>

Slagter, H. A., Prinssen, S., **Reteig, L. C.**, & Mazaheri, A. (2016). Facilitation and inhibition in attention: Functional dissociation of pre-stimulus alpha activity, P1, and N1 components. *NeuroImage*, 125, 25–35. <https://doi.org/10.1016/j.neuroimage.2015.09.058>

Nederlandse samenvatting (Summary in Dutch)

Replace this with the Dutch title of your thesis

The summary goes here.

Acknowledgments

This section is optional, but theses typically include acknowledgments (*dankwoord* in Dutch) at the end. You may want to mix languages to thank people in their native tongue (though most Dutch speakers write it entirely in Dutch). But the standard language of the thesis template is English. You can switch temporarily by wrapping the text in language tags like so: [Your Dutch text here]{lang=nl}. This is important for things like hyphenation to work properly.

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