

# Lecture 3: Higher-order logic

Łukasz Czajka

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- Higher-order logic: why not go all the way up?



# Higher-order logic: object types

## Definition

The object types (or domains)  $A, B, C$  are given by

$$\mathcal{D} ::= \mathcal{B} \mid \text{Prop} \mid \mathcal{D} \rightarrow \mathcal{D}$$

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- higher-order functions:  $(\text{nat} \rightarrow \text{bool}) \rightarrow \text{nat};$   
 $((\text{bool} \rightarrow \text{bool}) \rightarrow \text{bool}) \rightarrow \text{nat};$
- functions with predicate arguments:  $(\text{nat} \rightarrow \text{Prop}) \rightarrow \text{nat};$   
 $\text{Prop} \rightarrow \text{bool}.$

## Higher-order logic: object terms

- An object term  $t$  is an object variable  $x, y, z$ , an application  $t_1 t_2$ , an abstraction  $\lambda x : A. t'$ , an implication  $t_1 \Rightarrow t_2$ , or a universal quantification  $\forall x : A. t$ .

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$$\frac{\Gamma, x : A \vdash t : B}{\Gamma \vdash \lambda x : A. t : A \rightarrow B} \quad \frac{\Gamma \vdash t_1 : A \rightarrow B \quad \Gamma \vdash t_2 : A}{\Gamma \vdash t_1 t_2 : B}$$

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- A formula  $\varphi, \psi$  is an object term of type  $\mathbf{Prop}$ .

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- $x : A, y : A \vdash \forall R : A \rightarrow \mathbf{Prop}. Rx \Rightarrow Ry : \mathbf{Prop}.$

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    - E.g. if  $t \equiv t'$  then  $\lambda x : A.f t x \equiv \lambda x : A.f t' x$ .
  - Definitional equality is decidable.

# Syntactic functional extensionality and $\eta$ -reduction

## Definition

Syntactic functional extensionality for  $\Gamma, A, B$  is the following (meta) statement:

- for any  $f, g$  with  $\Gamma \vdash f : A \rightarrow B$  and  $\Gamma \vdash g : A \rightarrow B$ , if  $ft \equiv gt$  for every  $t$  with  $\Gamma' \vdash t : A$  for some  $\Gamma' \supseteq \Gamma$ , then  $f \equiv g$ .

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## Fact

*If definitional equality includes  $\eta$ -reduction then syntactic functional extensionality holds.*

## Proof.

Let  $f, g : A \rightarrow B$  in  $\Gamma$ . Assume  $ft \equiv gt$  for all  $t$  such that  $\Gamma' \vdash t : A$  for some  $\Gamma' \supseteq \Gamma$ . Take a fresh variable  $x \notin \text{FV}(f, g, \Gamma)$  and let  $\Gamma' = \Gamma, x : A$ . Then  $\Gamma' \vdash x : A$ , so  $fx \equiv gx$ . Hence also  $\lambda x : A. fx \equiv \lambda x : A. gx$ . But  $\lambda x : A. fx \rightarrow_{\eta} f$  and  $\lambda x : A. gx \rightarrow_{\eta} g$  (recall  $x \notin \text{FV}(f, g)$ ). Then  $\lambda x : A. fx \equiv f$  and  $\lambda x : A. gx \equiv g$  because  $\equiv$  includes  $\eta$ -reduction.

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Trivially, if syntactic functional extensionality holds and definitional equality includes  $\beta$ -reduction, then it also includes  $\eta$ -reduction (exercise).

## Intermission: the simply-typed lambda-calculus

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- Exercise:  $\beta$ -equality on simply-typed terms is decidable.

# Higher-order logic: proof terms

- A proof term  $M, N$  is a proof variable  $X, Y, Z$ , a lambda abstraction  $\lambda X : \varphi.M$  or  $\lambda x : A.M$ , or an application  $M_1 M_2$  or  $Mt$ .

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- A judgement has the form  $\Gamma; \Delta \vdash M : \varphi$ .

## Intermission: derivation rules

$$\frac{J_1 \quad \dots \quad J_n}{J} S$$

- If we have derived the judgements  $J_1, \dots, J_n$  and the side condition  $S$  holds, then we can derive the judgement  $J$ .



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- Sometimes we write the side condition(s) above the line together with the judgements  $J_1, \dots, J_n$ .

## Intermission: derivation trees

$$\frac{\frac{\overline{J_3} \quad \frac{\overline{J_5}}{\overline{J_4}}}{\overline{J_1}} \quad \overline{J_2}}{\overline{J}}$$

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- To derive a judgement  $J$  we build a derivation tree using the derivation rules: each node is a valid application of a derivation rule.
- At the leaves of the tree we need rules with no judgements above the line.

# Intuitionistic higher-order logic: rules

$$\overline{\Gamma; \Delta, X : \varphi \vdash X : \varphi} \text{ (Ax)}$$

$$\frac{\Gamma; \Delta, X : \varphi \vdash M : \psi}{\Gamma; \Delta \vdash \lambda X : \varphi. M : \varphi \Rightarrow \psi} \text{ (}\Rightarrow\text{I)} \quad \frac{\Gamma; \Delta \vdash M : \varphi \Rightarrow \psi \quad \Gamma; \Delta \vdash N : \varphi}{\Gamma; \Delta \vdash MN : \psi} \text{ (}\Rightarrow\text{E)}$$

$$\frac{\Gamma, x : A; \Delta \vdash M : \varphi \quad x \notin \text{FV}(\Delta)}{\Gamma; \Delta \vdash \lambda x : A. M : \forall x : A. \varphi} \text{ (}\forall\text{I)} \quad \frac{\Gamma; \Delta \vdash M : \forall x : A. \varphi \quad \Gamma \vdash t : A}{\Gamma; \Delta \vdash Mt : \varphi[t/x]} \text{ (}\forall\text{E)}$$

$$\frac{\Gamma; \Delta \vdash M : \varphi \quad \Gamma \vdash \psi : \mathbf{Prop} \quad \varphi \equiv \psi}{\Gamma; \Delta \vdash M : \psi} \text{ (conv)}$$

# Intuitionistic higher-order logic: example derivation

$$\frac{\frac{\frac{\Gamma; \Delta \vdash X_1 : \forall x : A. Px \Rightarrow Q}{\Gamma; \Delta \vdash X_1 x : Px \Rightarrow Q} \quad \overline{\Gamma \vdash x : A}}{\Gamma; \Delta \vdash X_1 x (X_2 x) : Q} \quad \frac{\frac{\Gamma; \Delta \vdash X_2 : \forall x : A. Px}{\Gamma; \Delta \vdash X_2 x : Px} \quad \overline{\Gamma \vdash x : A}}$$

- $\Gamma = P : A \rightarrow \mathbf{Prop}, \quad Q : \mathbf{Prop}, \quad x : A.$
- $\Delta = X_1 : \forall x : A. Px \Rightarrow Q, \quad X_2 : \forall x : A. Px.$

## Higher-order logic: expressiveness

- A second-order predicate expressing the transitivity of a binary relation:

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- The transitive closure of a binary relation  $R$  is the least transitive relation including  $R$ . This can be defined as the intersection of all transitive relations including  $R$ :

$$\begin{aligned} \text{TC} := \lambda R : A \rightarrow A \rightarrow \text{Prop}. & \lambda xy : A. \forall S : A \rightarrow A \rightarrow \text{Prop}. \\ & \text{Trans}(S) \Rightarrow \text{Subrel } R S \Rightarrow Sxy \end{aligned}$$

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Exercise: for arbitrary  $R : A \rightarrow A \rightarrow \text{Prop}$  prove that  $\text{TC}(R)$  is indeed the least transitive relation including  $R$ , i.e.,

- it is transitive:

$$\text{Trans}(\text{TC}(R))$$

- it includes  $R$ :

$$\text{Subrel } R (\text{TC}(R))$$

- every other transitive relation which includes  $R$  also includes  $\text{TC}(R)$ :

$$\forall S : A \rightarrow A \rightarrow \text{Prop}. \text{Trans}(S) \Rightarrow \text{Subrel } R S \Rightarrow \text{Subrel } (\text{TC}(R)) S$$

## Higher-order logic: expressiveness

Induction principle for natural numbers:

$$\forall P : \mathbf{nat} \rightarrow \mathbf{Prop}. P0 \Rightarrow (\forall n : \mathbf{nat}. Pn \Rightarrow P(Sn)) \Rightarrow \forall n : \mathbf{nat}. Pn$$

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The corresponding introduction and elimination rules are derivable.

# Classical higher-order logic

Excluded middle axiom:

$$\forall P : \mathbf{Prop}. P \vee \neg P$$

# Extensionality

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- Predicate extensionality axiom (scheme):

$$\forall R_1 R_2 : A \rightarrow \mathbf{Prop}. (\forall x : A. R_1 x \Leftrightarrow R_2 x) \Rightarrow R_1 = R_2.$$

# Choice

Axiom of choice (scheme):

$$(\forall x : A. \exists y : B. Rxy) \Rightarrow \exists f : A \rightarrow B. \forall x : A. Rx(fx).$$

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  - The simply-typed lambda-calculus originates from this paper, where it was used to define the object terms of Church's higher-order logic.

## Relativised choice

Relativised axiom of choice:

$$(\forall x : A. Qx \Rightarrow \exists y : B. Rxy) \Rightarrow \exists f : A \rightarrow B. \forall x : A. Qx \Rightarrow Rx(fx).$$

# Diaconescu's theorem

## Theorem (Diaconescu)

*In intuitionistic higher-order logic, the predicate extensionality axiom and the relativised axiom of choice together imply the excluded middle axiom.*