

# Lecture 6: Equality

Łukasz Czajka

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- $\iota$ -equality: generated by the reductions associated with fixpoints and matches.
- $\delta$ -equality: a defined constant is definitionally equal to its definition (unfolding/folding a definition).
- $\zeta$ -equality:  
$$(\text{let } x := s \text{ in } t) =_{\zeta} t[s/x]$$

## Conversion rule

Coq's conversion relation  $\leq$  includes definitional equality and subtyping between universes.

$$\frac{\Gamma \vdash t : \tau \quad \Gamma \vdash \tau' : \mathcal{U} \quad \tau \leq \tau'}{\Gamma \vdash t : \tau'} \text{ (conv)}$$

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  - $=$  is defined in Coq's logic as an inductive predicate.
  - if  $t \equiv t'$  and  $t, t' : \tau$  then  $t =_{\tau} t'$  is inhabited (has an element/proof).

## Propositional equality

```
Inductive eq (A : Type) (x : A) : A -> Prop :=  
| eq_refl : eq A x x.
```

Arguments eq {A}.

Arguments eq\_refl {A x}, {A}.

(\* we can write just `eq\_refl' or `eq\_refl y' \*)

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Notation "x = y :> A" := (@eq A x y) (at level 70).
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- The full type of the constructor `eq_refl` states the reflexivity of equality:

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`eq_refl : forall (A : Type) (x : A), x = x`

- `eq` is a small propositional inductive type, so equality proofs may be eliminated to create programs.

# Propositional equality elimination

```
eq_ind =
  fun (A : Type) (x : A) (P : A -> Prop) (t : P x)
    (y : A) (e : x = y) =>
  match e in @eq _ _ y' return P y' with
  | eq_refl => t
end
: forall (A : Type) (x : A) (P : A -> Prop) ,
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- The type of the entire match expression is  $P y$ .
- $\text{eq\_ind}$  computes on  $\text{eq\_refl}$ :

```
eq_ind A a P t a (@eq_refl A a) ->_t t
```

# Propositional equality elimination

Elimination into Type or Set is allowed for eq, because it is a small propositional inductive type.

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Used to implement type casts.

# Symmetry of equality

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eq_sym =  
fun (A : Type) (x y : A) (H : x = y) =>  
match H in _ = y' return y' = x with  
| eq_refl => @eq_refl A x  
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- The entire match expression has type  $y = x$ .

## Transitivity of equality

```
eq_trans =  
fun (A : Type) (x y z : A) (H1 : x = y) (H2 : y = z) =>  
match H2 in (_ = z') return (x = z') with  
| eq_refl => H1  
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## Compatibility of functions with equality

```
f_equal =
fun (A B : Type) (f : A -> B) (x y : A) (H : x = y) =>
match H in _ = y' return f x = f y' with
| eq_refl => @eq_refl B (f x)
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- Inside the match branch, the index variable  $y'$  is replaced with  $x$ , so  $\text{@eq_refl } B \ (f \ x)$  is required to have type  $f \ x = f \ x$ .
- The entire match expression has type  $f \ x = f \ y$ .

## Equality tactics

- `reflexivity` is `apply eq_refl.`

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`eq_trans : forall (A : Type) (x y z : A),  
 x = y -> y = z -> x = z`
- rewrite H with H : a = b is refine (eq\_ind ..) with appropriate arguments.  
`eq_ind : forall (A : Type) (x : A) (P : A -> Prop),  
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# Equality tactics

- reflexivity is apply eq\_refl.  
 $\text{eq_refl} : \text{forall } (A : \text{Type}) \ (x : A), \ x = x$
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 $\text{eq_sym} : \text{forall } (A : \text{Type}) \ (x y : A), \ x = y \rightarrow y = x$
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 $\text{eq_ind} : \text{forall } (A : \text{Type}) \ (x : A) \ (P : A \rightarrow \text{Prop}),$   
 $P x \rightarrow \text{forall } y : A, \ x = y \rightarrow P y$
- E.g., if H : a = b and the goal is P a then rewrite H is refine (eq\_ind A b P \_ a (eq\_sym H)).

## Type casts

- `eq_rect` is used to implement type casts.

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- For  $p : a = a$ , shouldn't `eq_rect A a P t a p` be (propositionally) equal to  $t$ ?
- After all, `eq_refl` is the only constructor of `eq`, so we “should” have  $p = \text{eq\_refl } a$ .
- This is indeed the case if  $p$  is closed (contains no free variables/axioms/opaque constants), because then  $p$  just computes to `eq_refl`.
- But in general it is not possible to prove  $p = \text{eq\_refl } a$ !

# Uniqueness of identity proofs

- The Uniqueness of Identity Proofs (UIP) axiom:

**Axiom UIP**

: **forall** (A : **Type**) (x y : A) (p1 p2 : x = y), p1 = p2

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- The Uniqueness of Identity Proofs (UIP) axiom:

**Axiom UIP**

: **forall** (A : **Type**) (x y : A) (p1 p2 : x = y), p1 = p2

- The Uniqueness of Reflexive Identity Proofs (UIP-refl) axiom:

**Axiom UIP\_refl**

: **forall** (A : **Type**) (x : A) (p : x = x), p = **eq\_refl** x

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These axioms are equivalent. They are not provable in Coq's logic but consistent with it.

# Invariance by substitution of reflexive equality proofs

```
Axiom eq_rect_eq
: forall (A : Type) (a : A) (P : A -> Type)
  (t : P a) (p : a = a),
  t = eq_rect A a P t a p.
```

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```

- This axiom is equivalent to UIP.
- This axiom is the one actually present as an axiom in Coq's standard library, with UIP and UIP-refl derived from it as theorems.

## Streicher's Axiom K

```
Axiom K : forall (A : Type) (x : A) (P : x = x -> Type),  
          P (eq_refl x) -> forall p : x = x, P p.
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- Compare the (definable) dependent eliminator for equality:

```
eq_rect_dep  
: forall (A : Type) (x : A)  
  (P : forall a : A, x = a -> Type) ,  
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- Streicher's axiom K can be given a computational interpretation:  
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- This rule holds definitionally in e.g. Agda, which makes working with dependent types a bit easier.
- Agda's dependent pattern matching relies on Streicher's K.
- Streicher's axiom K is incompatible with some recent developments in type theory (univalence, Homotopy Type Theory).

## UIP for types with decidable equality

- A type  $A$  has decidable equality if:

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# UIP for types with decidable equality

- A type  $A$  has decidable equality if:  
$$\text{forall } x \ y : A, \ \{x = y\} + \{x \neq y\}$$
- In Coq, UIP is provable for types with decidable equality:

```
Theorem UIP_dec
: forall A : Type,
  (forall x y : A, {x = y} + {x <> y}) ->
  forall (x y : A) (p1 p2 : x = y), p1 = p2
```

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- Propositional equality `eq` can be used to compare only elements of the same type.
- Equality between two elements  $a, b$  of two different types  $A, B$  cannot be stated in terms of `eq`. Not even when  $A$  is propositionally equal to  $B$ !

## Heterogeneous equality

```
vapp : forall {A n m},  
          vector A n -> vector A m -> vector A (n + m).  
  
Lemma lem_vapp_nil {A} :  
  forall n (v : vector A n), vapp v vnil = v.
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Lemma lem_vapp_nil {A} :  
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```

Error:

In environment

A : Type

n : nat

v : vector A n

The term "v" has type "vector A n" while it  
is expected to have type "vector A (n + 0)".

## John Major equality

```
Inductive JMeq (A : Type) (x : A)
  : forall B : Type, B -> Prop := 
| JMeq_refl : JMeq A x A x.
```

```
Arguments JMeq [A] _ [B].
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Arguments JMeq_refl {A x}, [A] _.
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```
Notation "x ~ y" := (JMeq x y) (at level 70).
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- John Major equality enables us to state equality between elements in two different types.
- However, we may use John Major equality only when the two types are actually the same:

```
JMeq_ind : forall (A : Type) (x : A) (P : A -> Prop),
  P x -> forall y : A, x ~ y -> P y
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- `JMeq_ind` is defined using `JMeq_eq`:

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JMeq_eq : forall (A : Type) (x y : A), x ~ y -> x = y
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```

- `JMeq_ind` is defined using `JMeq_eq`:
- `JMeq_eq : forall (A : Type) (x y : A), x ~ y -> x = y`
- `JMeq_eq` is an axiom equivalent to UIP.

## John Major equality

This works:

```
vapp : forall {A n m},  
          vector A n -> vector A m -> vector A (n + m).
```

```
Lemma lem_vapp_nil {A} :  
  forall n (v : vector A n), vapp v vnil ~= v.
```

## John Major equality

*John Major's “classless society” widened people's aspirations to equality, but also the gap between rich and poor. (...) In much the same way, `JMeq` forms equations between members of any type, but they cannot be treated as equals (i.e. substituted) unless they are of the same type. Just as before, each thing is only equal to itself.*

Conor McBride, “Dependently Typed Functional Programs and their Proofs”, PhD thesis, 1999

# Dependent equality

```
Inductive eq_dep (U : Type) (P : U -> Type) (p : U) (x : P p)
  : forall q : U, P q -> Prop :=
| eq_dep_intro : eq_dep U P p x p x

eq_dep_ind =
fun (U : Type) (P : U -> Type) (p : U) (x : P p)
  (Q : forall q : U, P q -> Prop) (f : Q p x) (q : U)
  (y : P q) (e : eq_dep U P p x q y) =>
match e in eq_dep _ _ _ _ q' y' return Q q' y' with
| eq_dep_intro _ _ _ _ => f
end
: forall (U : Type) (P : U -> Type) (p : U) (x : P p)
  (Q : forall q : U, P q -> Prop),
  Q p x -> forall (q : U) (y : P q),
  eq_dep U P p x q y -> Q q y
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  eq_dep U P p x q y -> Q q y
```

The eliminator `eq_dep_ind` does not depend on any axioms. We may rewrite dependent equalities without UIP.

# Dependent equality

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```

To convert `eq_dep` to `eq` we need the axiom

```
eq_dep_eq
: forall (U : Type) (P : U -> Type) (p : U) (x y : P p) ,
  eq_dep U P p x p y -> x = y
```

which is equivalent to UIP.

# Dependent equality

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Inductive eq_dep (U : Type) (P : U -> Type) (p : U) (x : P p)
  : forall q : U, P q -> Prop :=
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  · JMeq is equivalent to eq_dep Type (fun X => X).
```

# Dependent equality

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Inductive eq_dep (U : Type) (P : U -> Type) (p : U) (x : P p)
  : forall q : U, P q -> Prop :=
```

```
| eq_dep_intro : eq_dep U P p x p x
```

- JMeq is equivalent to `eq_dep Type (fun X => X)`.
- `eq_dep` is strictly finer than JMeq:

```
forall U P p q (x : P p) (y : P q),
  eq_dep U P p x q y -> x ~ = y.
```

```
exists U P p q (x : P p) (y : P q),
  x ~ = y /\ ~ eq_dep U P p x q y.
```