

Lecture 5: Inductive types

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Primitive recursive functions

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The basic primitive recursive functions consist of:

- constant functions $c_m^n(x_1, \dots, x_n) = m$ for a fixed $m \in \mathbb{N}$,
- successor function $S(x) = x + 1$,
- identity functions $\text{id}_k^n(x_1, \dots, x_n) = x_k$.

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- **Composition.** If $f : \mathbb{N}^n \rightarrow \mathbb{N}$ and $g_i : \mathbb{N}^m \rightarrow \mathbb{N}$ for $i = 1, \dots, n$, then the function $h : \mathbb{N}^m \rightarrow \mathbb{N}$ satisfying

$$h(x_1, \dots, x_m) = f(g_1(x_1, \dots, x_m), \dots, g_n(x_1, \dots, x_m))$$

is the composition of f with g_1, \dots, g_n .

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- **Primitive recursion.** If $h : \mathbb{N}^{n+1} \rightarrow \mathbb{N}$ and $g : \mathbb{N}^{n-1} \rightarrow \mathbb{N}$ then the unique function $f : \mathbb{N}^n \rightarrow \mathbb{N}$ satisfying

$$f(0, x_2, \dots, x_n) = g(x_2, \dots, x_n)$$

$$f(S(x), x_2, \dots, x_n) = h(x, f(x, x_2, \dots, x_n), x_2, \dots, x_n)$$

is defined by primitive recursion from g and h .

Digression: partial recursive functions

The class of partial recursive functions is the smallest class of partial functions containing the basic primitive recursive functions and closed under composition, primitive recursion and minimisation.

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Minimisation. If $h : \mathbb{N}^{n+1} \rightarrow \mathbb{N}$ then the minimisation f of h is defined as follows:

- if there exists $m \in \mathbb{N}$ such that $h(x_1, \dots, x_n, m) = 0$ and for all $i < m$ the value $h(x_1, \dots, x_n, i)$ is defined and nonzero, then $f(x_1, \dots, x_n) = m$;
- if such an m does not exist, then $f(x_1, \dots, x_n)$ is undefined.

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- if such an m does not exist, then $f(x_1, \dots, x_n)$ is undefined.

The total recursive functions are those partial recursive functions which happen to be total. These are exactly the computable functions on natural numbers.

Primitive recursion

If $h : \mathbb{N}^{n+1} \rightarrow \mathbb{N}$ and $g : \mathbb{N}^{n-1} \rightarrow \mathbb{N}$ then the unique function $f : \mathbb{N}^n \rightarrow \mathbb{N}$ satisfying

$$f(0, x_2, \dots, x_n) = g(x_2, \dots, x_n)$$

$$f(S(x), x_2, \dots, x_n) = h(x, f(x, x_2, \dots, x_n), x_2, \dots, x_n)$$

is defined by primitive recursion from g and h .

Primitive recursion

If $h : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ and $g \in \mathbb{N}$ then the unique function $f : \mathbb{N} \rightarrow \mathbb{N}$ satisfying

$$\begin{aligned}f(0) &= g \\f(S(x)) &= h(x, f(x))\end{aligned}$$

is defined by primitive recursion from g and h .

Higher-type primitive recursion

If $\alpha : \text{Type}$ and $h : \mathbb{N} \rightarrow \alpha \rightarrow \alpha$ and $a : \alpha$ then the unique function $f : \mathbb{N} \rightarrow \alpha$ satisfying

$$\begin{aligned}f0 &= a \\f(Sn) &= hn(fn)\end{aligned}$$

is defined from a and h by primitive recursion into type α .

Higher-type primitive recursion

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is defined from a and h by primitive recursion into type α .

```
nat_srecα : α -> (nat -> α -> α) -> nat -> α
nat_srecα a h 0 →τ a
nat_srecα a h (S n) →τ h n (nat_srecα a h n)
```

Higher-type primitive recursion

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```

Using this generalised `nat_srec` and higher-order functions it is possible to define the (non-primitive-recursive) Ackermann function.

Higher-type primitive recursion

Let $g : \mathbb{N}^{n-1} \rightarrow \mathbb{N}$ and $h : \mathbb{N}^{n+1} \rightarrow \mathbb{N}$. By currying/uncurrying we identify $\mathbb{N}^k \rightarrow \mathbb{N}$ with $\mathbb{N} \rightarrow \mathbb{N} \rightarrow \dots \rightarrow \mathbb{N}$ where \mathbb{N} occurs $k + 1$ times.

Higher-type primitive recursion

Let $g : \mathbb{N}^{n-1} \rightarrow \mathbb{N}$ and $h : \mathbb{N}^{n+1} \rightarrow \mathbb{N}$. By currying/uncurrying we identify $\mathbb{N}^k \rightarrow \mathbb{N}$ with $\mathbb{N} \rightarrow \mathbb{N} \rightarrow \dots \rightarrow \mathbb{N}$ where \mathbb{N} occurs $k + 1$ times.

The function $f : \mathbb{N}^n \rightarrow \mathbb{N}$ defined from g and

$$h'(x, y) = \lambda x_2 \dots x_n. h(x, y(x_2, \dots, x_n), x_2, \dots, x_n)$$

by primitive recursion into type $\mathbb{N}^{n-1} \rightarrow \mathbb{N}$, satisfies:

$$f0x_2 \dots x_n = g(x_2, \dots, x_n)$$

$$f(Sn)x_2 \dots x_n = h(x, fnx_2 \dots x_n, x_2, \dots, x_n)$$

Non-dependent recursors

```
Inductive nat := 0 : nat | S : nat -> nat.
```

```
nat_srec $\alpha$  :  $\alpha \rightarrow (\text{nat} \rightarrow \alpha \rightarrow \alpha) \rightarrow \text{nat} \rightarrow \alpha$ 
```

```
nat_srec $\alpha$  a f 0  $\rightarrow_{\iota}$  a
```

```
nat_srec $\alpha$  a f (S n)  $\rightarrow_{\iota}$  f n (nat_srec $\alpha$  a f n)
```

Non-dependent recursors

```
Inductive list (A : Set) :=
```

```
| nil : list A
```

```
| cons : A -> list A -> list A.
```

```
list_srecA,α : α -> (A -> list A -> α -> α) -> list A -> α
```

```
list_srecA,α a f nil →τ a
```

```
list_srecA,α a f (cons x l) →τ f x l (list_srecA,α a f l)
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```

```
List.fold_right : (A -> α -> α) -> α -> list A -> α
```

```
List.fold_right f a = list_srec a (fun x _ acc => f x acc)
```

Non-dependent recursors

```
Inductive tree (A : Set) :=  
| leaf : A -> tree A  
| node1 : A -> tree A -> tree A  
| node2 : A -> tree A -> tree A -> tree A  
| nodeN : (nat -> tree A) -> tree A.  
  
tree_srecA,α : (A -> α) -> (A -> tree A -> α -> α) ->  
    (A -> tree A -> α -> tree A -> α -> α) ->  
    ((nat -> tree A) -> (nat -> α) -> α) -> tree A -> α  
tree_srecA,α f1 f2 f3 f4 (leaf x) →t f1 x  
tree_srecA,α f1 f2 f3 f4 (node1 x t) →t  
    f2 x t (tree_srecA,α f1 f2 f3 f4 t)  
tree_srecA,α f1 f2 f3 f4 (node2 x l r) →t  
    f3 x l (tree_srecA,α f1 f2 f3 f4 l)  
    r (tree_srecA,α f1 f2 f3 f4 r)  
tree_srecA,α f1 f2 f3 f4 (nodeN h) →t  
    f4 h (fun n => (tree_srecA,α f1 f2 f3 f4 (h n)))
```

Non-dependent recursors

```
Inductive or (A B : Prop) :=
```

```
| or_introl : A -> A \vee B  
| or_intror : B -> A \vee B.
```

```
or_srecA,B,α : (A -> α) -> (B -> α) -> A \vee B -> α
```

```
or_srecA,B,α f1 f2 (or_introl x) →l f1 x
```

```
or_srecA,B,α f1 f2 (or_intror x) →l f2 x
```

Non-dependent recursors

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or_srecA,B,α f1 f2 (or_intror x) →l f2 x
```

Recall the higher-order encoding of disjunction:

$$\varphi \vee \psi := \forall P. (\varphi \rightarrow P) \rightarrow (\psi \rightarrow P) \rightarrow P$$

Non-dependent recursors

```
Inductive vector (A : Set) : nat -> Set :=
| nil : vector A 0
| cons : A -> forall n, vector A n -> vector A (S n).

vector_srecA,α : α -> (A -> forall n, vector A n -> α -> α) ->
    forall n, vector A n -> α
vector_srecA,α f1 f2 0 nil →t f1
vector_srecA,α f1 f2 (S n) (cons x n v) →t
    f2 x n v (vector_srecA,α f1 f2 n v)
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Non-dependent recursors

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vector_srecA,α : α -> (A -> forall n, vector A n -> α -> α) ->
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vector_srecA,α f1 f2 0 nil →t f1
vector_srecA,α f1 f2 (S n) (cons x n v) →t
    f2 x n v (vector_srecA,α f1 f2 n v)

vector_rec : forall (A : Set) (P : nat -> Set),
    P 0 -> (A -> forall n, vector A n -> P n -> P (S n)) ->
    forall n, vector A n -> P n
vector_rec A P f1 f2 0 nil →t f1
vector_rec A P f1 f2 (S n) (cons x n v) →t
    f2 x n v (vector_rec A P f1 f2 n v)
```

Recursors

```
Inductive Even : nat -> Prop :=
| Even_0 : Even 0
| Even_1 : forall n, Even n -> Even (S (S n)).
```



```
Even_rec : forall P : nat -> Prop,
  P 0 -> (forall n, Even n -> P n -> P (S (S n))) ->
  forall n, Even n -> P n
```



```
Even_rec P f1 f2 0 Even_0 →t f1
Even_rec P f1 f2 (S (S n)) (Even_1 n e) →t
  f2 n e (Even_rec P f1 f2 n e)
```

Dependent elimination

```
Inductive Even : nat -> Prop :=
| Even_0 : Even 0
| Even_1 : forall n, Even n -> Even (S (S n)).
```



```
Even_elim : forall P : forall n, Even n -> Prop,
P 0 Even_0 ->
(forall n (e : Even n),
P n e -> P (S (S n)) (Even_1 n e)) ->
forall n (e : Even n), P n e
```

```
Even_elim P f1 f2 0 Even_0 →t f1
Even_elim P f1 f2 (S (S n)) (Even_1 n e) →t
f2 n e (Even_elim P f1 f2 n e)
```

Dependent elimination

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Inductive nat : Set := 0 : nat | S : nat -> nat.

nat_srec $\alpha$  :  $\alpha \rightarrow (\text{nat} \rightarrow \alpha \rightarrow \alpha) \rightarrow \text{nat} \rightarrow \alpha$ 
nat_srec $\alpha$  a f 0  $\rightarrow_t$  a
nat_srec $\alpha$  a f (S n)  $\rightarrow_t$  f n (nat_srec $\alpha$  a f n)

nat_elim : forall P : nat -> Set,
    P 0 -> (forall n, P n -> P (S n)) -> forall n, P n
nat_elim P a f 0  $\rightarrow_t$  a
nat_elim P a f (S n)  $\rightarrow_t$  f n (nat_elim P a f n)
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Dependent elimination

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Inductive list (A : Set) : Set :=  
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| cons : A -> list A -> list A.  
  
list_srecA,α : α -> (A -> list A -> α -> α) -> list A -> α  
list_srecA,α a f nil →τ a  
list_srecA,α a f (cons x l) →τ f x l (list_srecA,α a f l)  
  
list_elim : forall A (P : list A -> Set),  
    P nil -> (forall x l, P l -> P (cons x l)) ->  
    forall l, P l  
list_elim A P a f nil →τ a  
list_elim A P a f (cons x l) →τ f x l (list_elim A P a f l)
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Dependent elimination

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Inductive tree (A : Set) : Set :=
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tree_srecA,α : (A -> α) -> (A -> tree A -> α -> α) ->
(A -> tree A -> α -> tree A -> α -> α) ->
((nat -> tree A) -> (nat -> α) -> α) -> tree A -> α

tree_elim : forall (A : Set) (P : tree A -> Set),
(forall x, P (leaf x)) ->
(forall x t, P t -> P (node1 x t)) ->
(forall x l, P l -> forall r, P r -> P (node2 x l r)) ->
(forall (f : nat -> tree A),
(forall n, P (f n)) -> P (nodeN f)) ->
forall t : tree A, P t
```

Dependent elimination

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Inductive or (A B : Prop) : Prop :=  
| or_introl : A -> A \vee B  
| or_intror : B -> A \vee B.  
  
or_srecA,B,α : (A -> α) -> (B -> α) -> A \vee B -> α  
or_srecA,B,α f1 f2 (or_introl x) →l f1 x  
or_srecA,B,α f1 f2 (or_intror x) →l f2 x  
  
or_elim : forall (A B : Prop) (P : A \vee B -> Prop),  
        (forall x : A, P (or_introl x)) ->  
        (forall x : B, P (or_intror x)) ->  
        forall p : A \vee B, P p  
or_elim A B P f1 f2 (or_introl x) →l f1 x  
or_elim A B P f1 f2 (or_intror x) →l f2 x
```

Dependent elimination

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Inductive vector (A : Set) : nat -> Set :=
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vector_srecA,α : α -> (A -> forall n, vector A n -> α -> α) ->
    forall n, vector A n -> α
vector_srecA,α f1 f2 0 nil →τ f1
vector_srecA,α f1 f2 (S n) (cons x n v) →τ
    f2 x n e (vector_srecA,α f1 f2 n v)

vector_elim : forall (A : Set),
    forall (P : forall n : nat, vector A n -> Set),
        P 0 nil ->
            (forall x n (v : vector A n),
                P n v -> P (S n) (cons x n v)) ->
            forall (n : nat) (v : vector A n), P n v
vector_elim A P f1 f2 0 nil →τ f1
vector_elim A P f1 f2 (S n) (cons x n v) →τ
    f2 x n v (vector_elim A P f1 f2 n v)
```

Dependent elimination = `fix` + `match`

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- `fix` implements the “structural recursion” aspect of an eliminator.

Dependent elimination = `fix` + `match`

In contrast to e.g. Martin-Löf type theory, in Coq the eliminators are not primitive constants, but can be implemented using `fix` and `match`.

- `fix` implements the “structural recursion” aspect of an eliminator.
- `match` implements the “reasoning by cases” aspect of an eliminator.

The fix expressions

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fix F : τ := b
```

where $\tau = \forall(x_1 : \sigma_1) \dots (x_k : \sigma_k).\rho$ and σ_k is an inductive type.

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- The k -th argument is the principal argument.
- The definition needs to be guarded, meaning that in each recursive call the principal argument needs to structurally decrease.
- For precise definitions see: Giménez, “Codifying guarded definitions with recursive schemes”, TYPES 1994.

Reduction of fixpoints

$(\text{fix } F : \tau := b) \ a_1 \dots a_k \rightarrow_t$
 $b[(\text{fix } F : \tau := b)/F] \ a_1 \dots a_k$

where a_k is the principal argument and it begins with a constructor:
 $a_k = ct_1 \dots t_n$.

Reduction of fixpoints

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 $b[(\text{fix } F : \tau := b)/F] \ a_1 \dots a_k$

where a_k is the principal argument and it begins with a constructor:
 $a_k = ct_1 \dots t_n$.

NOTE:

$(\text{fix } F (x : A) : \tau := b) \equiv (\text{fix } F : A \rightarrow \tau := \text{fun } x \Rightarrow b)$

Reduction of fixpoints

`(fix F : τ := b) a_1 ... a_k →τ
b[(fix F : τ := b)/F] a_1 ... a_k`

where a_k is the principal argument and it begins with a constructor:
 $a_k = ct_1 \dots t_n$.

NOTE:

`(fix F (x : A) : τ := b) ≡ (fix F : A -> τ := fun x => b)`

BTW, this “argument shifting” also works for **Definition**, **Lemma**, etc.:

Definition `F (x : A) : τ := b.`

`≡`

Definition `F : A -> τ := fun x => b.`

The `match` expressions

```
Inductive nat : Set := 0 : nat | S : nat -> nat.

nat_elim : forall P : nat -> Set,
          P 0 -> (forall n, P n -> P (S n)) -> forall n, P n
nat_elim P a f 0 →t a
nat_elim P a f (S n) →t f n (nat_elim P a f n)

match n as x in nat return P x with
| 0 => a
| S n => b
end
```

The match expressions

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nat_elim P a f (S n) →t f n (nat_elim P a f n)

match n as x in nat return P x with
| 0 => a
| S n => b
end

P : nat -> Set
a : P 0
(fun n => b) : forall n, P (S n)
```

The match expressions

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nat_elim : forall P : nat -> Set,
          P 0 -> (forall n, P n -> P (S n)) -> forall n, P n
nat_elim P a f 0 →t a
nat_elim P a f (S n) →t f n (nat_elim P a f n)

match n as x in nat return P x with
| 0 => a
| S n => b
end

P : nat -> Set
a : P 0
(fun n => b) : forall n, P (S n)

nat_elim P a f :=
  fix F (n : nat) : P n := match n as x return P x with
    | 0 => a
    | S n => f n (F n)
  end
```

The match expressions

```
match n as x in nat return P x with
| 0 => a
| S y => b
end
```

```
P : nat -> Set
a : P 0
(fun y => b) : forall y, P (S y)
```

The type of the entire match expression is $P\ n$.

The match expressions

```
match n as x in nat return P x with
| 0 => a
| S y => b
end
```

```
P : nat -> Set
a : P 0
(fun y => b) : forall y, P (S y)
```

The type of the entire match expression is $P\ n$.

```
match 0 as x in nat return P x with
| 0 => a
| S y => b
end →l a
```

```
match S n as x in nat return P x with
| 0 => a
| S y => b
end →l b[n/y]
```

The match expressions

```
Inductive list (A : Set) : Set :=
| nil : list A
| cons : A -> list A -> list A.

list_elim : forall A (P : list A -> Set),
  P nil -> (forall x l, P l -> P (cons x l)) ->
  forall l, P l
list_elim A P a f nil →t a
list_elim A P a f (cons x l) →t f x l (list_elim A P a f l)

match l as x in list _ return P x with
| nil => a
| cons x l' => b
end

P : list A -> Set
a : P nil
(fun x l' => b) : forall x l', P (cons x l')

The type of the entire match expression is P l.
```

The match expressions

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Inductive list (A : Set) : Set :=  
| nil : list A  
| cons : A -> list A -> list A.  
  
list_elim : forall A (P : list A -> Set),  
    P nil -> (forall x l, P l -> P (cons x l)) ->  
    forall l, P l  
  
list_elim A P a f :=  
  fix F (l : list A) : P l :=  
    match l as x return P x with  
    | nil => a  
    | cons x l' => f x l' (F l')  
  end
```

The match expressions

```
Inductive vector (A : Set) : nat -> Set :=
| nil : vector A 0
| cons : A -> forall n, vector A n -> vector A (S n).

vector_elim : forall (A : Set),
  forall (P : forall n : nat, vector A n -> Set),
  P 0 nil ->
  (forall x n (v : vector A n),
    P n v -> P (S n) (cons x n v)) ->
  forall (n : nat) (v : vector A n), P n v

match v as x in vector _ m return P m x with
| nil => a
| cons x y u => b
end

P : forall n, vector A n -> Set
a : P 0 nil
(fun x y u => b) : forall x y (u : vector A y), P (S y) (cons x y u)
If the actual type of v is vector A n, then the type of the entire
match expression is P n v.
```

The match expressions

```
Inductive vector (A : Set) : nat -> Set :=
| nil : vector A 0
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```

```
vector_elim : forall (A : Set),
  forall (P : forall n : nat, vector A n -> Set),
  P 0 nil ->
  (forall x n (v : vector A n),
    P n v -> P (S n) (cons x n v)) ->
  forall (n : nat) (v : vector A n), P n v
vector_elim A P f1 f2 0 nil →t f1
vector_elim A P f1 f2 (S n) (cons x n v) →t
  f2 x n v (vector_elim A P f1 f2 n v)
```

```
vector_elim A P f1 f2 :=
fix F (n : nat) (v : vector A n) {struct v} : P n v :=
  match v as x in vector _ m return P m x with
  | nil => f1
  | cons x y u => f2 x y u (F y u)
  end
```

General form of an inductive definition

```
Inductive I (p1 : ρ1) ... (pk : ρk) :  
  forall (i1 : A1) ... (im : Am), U :=  
  | c1 : forall (x1,1 : τ1,1) ... (x1,l1 : τ1,l1), I p1...pk u1,1...u1,m  
  ...  
  | cn : forall (xn,1 : τn,1) ... (xn,ln : τn,ln), I p1...pk un,1...un,m.
```

General form of an inductive definition

```
Inductive I (p1 : ρ1) ... (pk : ρk) :  
  forall (i1 : A1) ... (im : Am), U :=  
  | c1 : forall (x1,1 : τ1,1) ... (x1,l1 : τ1,l1), I p1...pk u1,1...u1,m  
  ...  
  | cn : forall (xn,1 : τn,1) ... (xn,ln : τn,ln), I p1...pk un,1...un,m.  
  · Parameters: p1, ..., pk.
```

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```
Inductive I (p1 : ρ1) ... (pk : ρk) :  
  forall (i1 : A1) ... (im : Am), U :=  
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  ...  
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```

- Parameters: p_1, \dots, p_k .
- Indices: i_1, \dots, i_m .

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  ...  
  | cn : forall (xn,1 : τn,1) ... (xn,ln : τn,ln), I p1...pk un,1...un,m.
```

- Parameters: p_1, \dots, p_k .
- Indices: i_1, \dots, i_m .
- Arity: **forall** $(i_1 : A_1) \dots (i_m : A_m)$, U .

General form of an inductive definition

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Inductive I (p1 : ρ1) ... (pk : ρk) :  
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  ...  
  | cn : forall (xn,1 : τn,1) ... (xn,ln : τn,ln), I p1...pk un,1...un,m.
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- Parameters: p_1, \dots, p_k .
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  ...  
  | cn : forall (xn,1 : τn,1) ... (xn,ln : τn,ln), I p1...pk un,1...un,m.
```

- Parameters: p_1, \dots, p_k .
- Indices: i_1, \dots, i_m .
- Arity: **forall** $(i_1 : A_1) \dots (i_m : A_m)$, U .
- Constructors: c_1, \dots, c_n .
- The declared type of the constructor c_j is
forall $(x_{1,1} : \tau_{1,1}) \dots (x_{1,l_1} : \tau_{1,l_1})$,
 $I p_1 \dots p_k u_{1,1} \dots u_{1,m}$

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  ...  
  | cn : forall (xn,1 : τn,1) ... (xn,ln : τn,ln), I p1...pk un,1...un,m.
```

- Parameters: p_1, \dots, p_k .
- Indices: i_1, \dots, i_m .
- Arity: **forall** $(i_1 : A_1) \dots (i_m : A_m)$, U .
- Constructors: c_1, \dots, c_n .
- The declared type of the constructor c_j is
forall $(x_{1,1} : \tau_{1,1}) \dots (x_{1,1} : \tau_{1,l_1})$,
 $I p_1 \dots p_k u_{1,1} \dots u_{1,m}$
- The type of constructor c_j is actually
forall $(p_1 : \rho_1) \dots (p_k : \rho_k)$,
forall $(x_{1,1} : \tau_{1,1}) \dots (x_{1,1} : \tau_{1,l_1})$,
 $I p_1 \dots p_k u_{1,1} \dots u_{1,m}$
i.e., the declared type of c_j with quantification over the parameters prepended.

The strict positivity restriction

```
Inductive I (p1 : ρ1) ... (pk : ρk) :  
  forall (i1 : A1) ... (im : Am), U :=  
  | c1 : forall (x1,1 : τ1,1) ... (x1,l1 : τ1,l1), I p1...pk u1,1...u1,m  
  ...  
  | cn : forall (xn,1 : τn,1) ... (xn,ln : τn,ln), I p1...pk un,1...un,m.
```

The strict positivity restriction

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Inductive I (p1 : ρ1) ... (pk : ρk) :  
  forall (i1 : A1) ... (im : Am), U :=  
  | c1 : forall (x1,1 : τ1,1) ... (x1,l1 : τ1,l1), I p1...pk u1,1...u1,m  
  ...  
  | cn : forall (xn,1 : τn,1) ... (xn,ln : τn,ln), I p1...pk un,1...un,m.
```

The declared type of each constructor c_j

$\text{forall } (x_{j,1} : \tau_{j,1}) \dots (x_{j,l_j} : \tau_{j,l_j}), \text{I } p_1 \dots p_k \ u_{j,1} \dots u_{j,m}.$

is required to satisfy the positivity condition, meaning that:

- I does not occur in any of $p_1, \dots, p_k, u_{j,1}, \dots, u_{j,m}$; and
- I may occur only strictly positively in each $\tau_{j,i}$ for $i = 1, \dots, l_j$, i.e., either I does not occur in $\tau_{j,i}$ at all or $\tau_{j,i}$ has the form:

$\text{forall } (y_1 : \sigma_1) \dots (y_r : \sigma_r), \text{I } p_1 \dots p_k \ w_1 \dots w_m.$

where I does not occur in $\sigma_1, \dots, \sigma_r, p_1, \dots, p_k, w_1, \dots, w_m$.

The strict positivity restriction

```
Inductive I (p1 : ρ1) ... (pk : ρk) :  
  forall (i1 : A1) ... (im : Am), U :=  
  | c1 : forall (x1,1 : τ1,1) ... (x1,l1 : τ1,l1), I p1...pk u1,1...u1,m  
  ...  
  | cn : forall (xn,1 : τn,1) ... (xn,ln : τn,ln), I p1...pk un,1...un,m.
```

The declared type of each constructor c_j

$\text{forall } (x_{j,1} : \tau_{j,1}) \dots (x_{j,l_j} : \tau_{j,l_j}), \text{I } p_1 \dots p_k \ u_{j,1} \dots u_{j,m}.$

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$\text{forall } (y_1 : \sigma_1) \dots (y_r : \sigma_r), \text{I } p_1 \dots p_k \ w_1 \dots w_m.$

where I does not occur in $\sigma_1, \dots, \sigma_r, p_1, \dots, p_k, w_1, \dots, w_m$.

Actually, in Coq the strict positivity condition is slightly more liberal than described above, with I allowed to occur, under certain restrictions, in the parameters of another inductive type in the target of a constructor type.

See the Coq reference manual for details: <https://coq.inria.fr/distrib/current/refman/language/cic.html#inductive-definitions>.

The strict positivity restriction

The declared type of each constructor c_j

`forall` $(x_{j,1} : \tau_{j,1}) \dots (x_{j,l_j} : \tau_{j,l_j})$, \mathbf{I} $p_1 \dots p_k \ u_{j,1} \dots u_{j,m}$.

is required to satisfy the positivity condition, meaning that:

- I does not occur in any of $p_1, \dots, p_k, u_{j,1}, \dots, u_{j,m}$; and
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i.e., either I does not occur in $\tau_{j,i}$ at all or $\tau_{j,i}$ has the form:

`forall` $(y_1 : \sigma_1) \dots (y_r : \sigma_r)$, \mathbf{I} $p_1 \dots p_k \ w_1 \dots w_m$.

where I does not occur in $\sigma_1, \dots, \sigma_r, p_1, \dots, p_k, w_1, \dots, w_m$.

This is valid:

```
Inductive I : Set := c : (nat -> I) -> I.
```

The strict positivity restriction

The declared type of each constructor c_j

forall $(x_{j,1} : \tau_{j,1}) \dots (x_{j,l_j} : \tau_{j,l_j})$, I $p_1 \dots p_k u_{j,1} \dots u_{j,m}$.

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forall $(y_1 : \sigma_1) \dots (y_r : \sigma_r)$, I $p_1 \dots p_k w_1 \dots w_m$.

where I does not occur in $\sigma_1, \dots, \sigma_r, p_1, \dots, p_k, w_1, \dots, w_m$.

This is valid:

```
Inductive I : Set := c : (nat -> I) -> I.
```

This is not valid:

```
Inductive I : Set := c : (I -> I) -> I.
```

The strict positivity restriction

The declared type of each constructor c_j

forall $(x_{j,1} : \tau_{j,1}) \dots (x_{j,l_j} : \tau_{j,l_j})$, I $p_1 \dots p_k u_{j,1} \dots u_{j,m}$.

is required to satisfy the positivity condition, meaning that:

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where I does not occur in $\sigma_1, \dots, \sigma_r, p_1, \dots, p_k, w_1, \dots, w_m$.

This is valid:

```
Inductive I : Set := c : (nat -> I) -> I.
```

This is not valid:

```
Inductive I : Set := c : (I -> I) -> I.
```

This is not valid either:

```
Inductive I : Set := c : ((I -> nat) -> I) -> I.
```

The strict positivity restriction

```
Inductive I (p1 : ρ1) ... (pk : ρk) :  
  forall (i1 : A1) ... (im : Am), U :=  
  | c1 : forall (x1,1 : τ1,1) ... (x1,l1 : τ1,l1), I p1...pk u1,1...u1,m  
  ...  
  | cn : forall (xn,1 : τn,1) ... (xn,ln : τn,ln), I p1...pk un,1...un,m.
```

The declared type of each constructor c_j

$\forall (x_{j,1} : \tau_{j,1}) \dots (x_{j,l_j} : \tau_{j,l_j}), I p_1 \dots p_k u_{j,1} \dots u_{j,m}$.

is required to satisfy the positivity condition, meaning that:

- I does not occur in any of $p_1, \dots, p_k, u_{j,1}, \dots, u_{j,m}$; and
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$\forall (y_1 : \sigma_1) \dots (y_r : \sigma_r), I p_1 \dots p_k w_1 \dots w_m$.

where I does not occur in $\sigma_1, \dots, \sigma_r, p_1, \dots, p_k, w_1, \dots, w_m$.

Relaxing the strict positivity restriction may result in undecidability of type checking or even inconsistency!

Universe constraints

```
Inductive I (p1 : ρ1) ... (pk : ρk) :  
  forall (i1 : A1) ... (im : Am), U :=  
  | c1 : forall (x1,1 : τ1,1) ... (x1,l1 : τ1,l1), I p1...pk u1,1...u1,m  
  ...  
  | cn : forall (xn,1 : τn,1) ... (xn,ln : τn,ln), I p1...pk un,1...un,m.
```

The declared type of each constructor needs to be in \mathcal{U} :

$$\Gamma, p_1 : \rho_1, \dots, p_k : \rho_k, I : \forall(i_1 : A_1) \dots (i_m : A_m). \mathcal{U} \vdash
(\forall(x_{j,1} : \tau_{j,1}) \dots (x_{j,l_j} : \tau_{j,l_j}). I p_1 \dots p_k u_{j,1} \dots u_{j,m}) : \mathcal{U}$$

Universe constraints

The declared type of each constructor needs to be in \mathcal{U} :

$$\Gamma, p_1 : \rho_1, \dots, p_k : \rho_k, I : \forall(p_1 : \rho_1) \dots (p_k : \rho_k)(i_1 : A_1) \dots (i_m : A_m).\mathcal{U} \vdash (\forall(x_{j,1} : \tau_{j,1}) \dots (x_{j,l_j} : \tau_{j,l_j}).Ip_1 \dots p_k u_{j,1} \dots u_{j,m}) : \mathcal{U}$$

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This is valid:

`Inductive I (A : Set) : Set := cI : I A.`

because $A : \text{Set}, I : \text{Set} \rightarrow \text{Set} \vdash IA : \text{Set}$.

Universe constraints

The declared type of each constructor needs to be in \mathcal{U} :

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Inductive I (A : Set) : Set := cI : I A.
```

because $A : \text{Set}, I : \text{Set} \rightarrow \text{Set} \vdash IA : \text{Set}$.

This is not valid:

```
Inductive I : Set -> Set := cI : forall A : Set, I A.
```

because `forall A : Set, I A` is in Type_1 but not in Set .

Universe constraints

The declared type of each constructor needs to be in \mathcal{U} :

$$\Gamma, p_1 : \rho_1, \dots, p_k : \rho_k, I : \forall(p_1 : \rho_1) \dots (p_k : \rho_k)(i_1 : A_1) \dots (i_m : A_m). \mathcal{U} \vdash (\forall(x_{j,1} : \tau_{j,1}) \dots (x_{j,l_j} : \tau_{j,l_j}). I p_1 \dots p_k u_{j,1} \dots u_{j,m}) : \mathcal{U}$$

This is valid:

```
Inductive I : Prop := cI : forall A : Prop, A -> I.
```

because `Prop` is impredicative.

Universe constraints

The declared type of each constructor needs to be in \mathcal{U} :

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This is valid:

```
Inductive I : Prop := cI : forall A : Prop, A -> I.
```

because `Prop` is impredicative.

This is valid:

```
Inductive I : Type := cI : forall A : Type, A -> I.
```

because the inferred implicit indices for `Type` are:

```
Inductive I : Typei+1 := cI : forall A : Typei, A -> I.
```

Large inductive types

A large inductive type is an inductive type such that the declared type of one of its constructors quantifies over a universe.

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A large inductive type is an inductive type such that the declared type of one of its constructors quantifies over a universe.

Examples:

```
Inductive I (A : Set) : Prop := cI : forall B : Set, B -> I A.
```

```
Inductive I (A : Set) : Type := cI : forall B : Set, B -> I A.
```

```
Inductive I (A : Set) : Prop := cI : forall B : Type, B -> I A.
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Large inductive types

A large inductive type is an inductive type such that the declared type of one of its constructors quantifies over a universe.

Examples:

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```
Inductive I (A : Set) : Type := cI : forall B : Set, B -> I A.
```

```
Inductive I (A : Set) : Prop := cI : forall B : Type, B -> I A.
```

Large inductive types either are in Prop or they are in Type_i with the universes quantified over in declared constructor types all in Type_i .

General form of match expressions

```
match t as x in I _ ... _ i1 ... im return P i1 ... im x with
| c1 _ ... _ x1,1 ... x1,l1 => b1
...
| cn _ ... _ xn,1 ... xn,ln => bn
end
```

General form of match expressions

```
match t as x in I _ ... _ i1 ... im return P i1 ... im x with
| c1 _ ... _ x1,1 ... x1,l1 => b1
...
| cn _ ... _ xn,1 ... xn,ln => bn
end
```

where

```
Inductive I (p1 : ρ1) ... (pk : ρk) :
  forall (i1 : A1) ... (im : Am), U := 
  | c1 : forall (x1,1 : τ1,1) ... (x1,l1 : τ1,l1), I p1...pk u1,1...u1,m
  ...
  | cn : forall (xn,1 : τn,1) ... (xn,ln : τn,ln), I p1...pk un,1...un,m.
```

General form of match expressions

```
match t as x in I _ ... _ i1 ... im return P i1 ... im x with
| c1 _ ... _ x1,1 ... x1,l1 => b1
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  forall (i1 : A1) ... (im : Am), U := 
  | c1 : forall (x1,1 : τ1,1) ... (x1,l1 : τ1,l1), I p1...pk u1,1...u1,m
  ...
  | cn : forall (xn,1 : τn,1) ... (xn,ln : τn,ln), I p1...pk un,1...un,m.
  · t : I q1...qk a1...am.
```

General form of match expressions

```
match t as x in I _ ... _ i1 ... im return P i1 ... im x with
| c1 _ ... _ x1,1 ... x1,l1 => b1
...
| cn _ ... _ xn,1 ... xn,ln => bn
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where

```
Inductive I (p1 : ρ1) ... (pk : ρk) :
  forall (i1 : A1) ... (im : Am), U := 
  | c1 : forall (x1,1 : τ1,1) ... (x1,l1 : τ1,l1), I p1...pk u1,1...u1,m
  ...
  | cn : forall (xn,1 : τn,1) ... (xn,ln : τn,ln), I p1...pk un,1...un,m.
  · t : I q1...qk a1...am.
```

- x, i_1, \dots, i_m are fresh variables.

General form of match expressions

```
match t as x in I _ ... _ i1 ... im return P i1 ... im x with
| c1 _ ... _ x1,1 ... x1,l1 => b1
...
| cn _ ... _ xn,1 ... xn,ln => bn
end
```

where

```
Inductive I (p1 : ρ1) ... (pk : ρk) :
  forall (i1 : A1) ... (im : Am), U :=
| c1 : forall (x1,1 : τ1,1) ... (x1,l1 : τ1,l1), I p1...pk u1,1...u1,m
...
| cn : forall (xn,1 : τn,1) ... (xn,ln : τn,ln), I p1...pk un,1...un,m.
```

- $t : I q_1 \dots q_k a_1 \dots a_m$.
- x, i_1, \dots, i_m are fresh variables.
- The motive P has type $\forall(i_1 : A'_1) \dots (i_m : A'_m). I q_1 \dots q_k i_1 \dots i_m \rightarrow U'$ where $A'_j = A_j[q_1/p_1] \dots [q_k/p_k]$.

General form of match expressions

```
match t as x in I _ ... _ i1 ... im return P i1 ... im x with
| c1 _ ... _ x1,1 ... x1,l1 => b1
...
| cn _ ... _ xn,1 ... xn,ln => bn
end
```

where

```
Inductive I (p1 : ρ1) ... (pk : ρk) :
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| c1 : forall (x1,1 : τ1,1) ... (x1,l1 : τ1,l1), I p1...pk u1,1...u1,m
...
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```

- $t : I q_1 \dots q_k a_1 \dots a_m$.
- x, i_1, \dots, i_m are fresh variables.
- The motive P has type $\forall(i_1 : A'_1) \dots (i_m : A'_m). I q_1 \dots q_k i_1 \dots i_m \rightarrow U'$ where $A'_j = A_j[q_1/p_1] \dots [q_k/p_k]$.
- Branch b_j is typed as

$$x_{j,1} : \tau'_{j,1}, \dots, x_{j,l_j} : \tau'_{j,l_j} \vdash b_j : P u'_{j,1} \dots u'_{j,m} (c_j q_1 \dots q_k x_{j,1} \dots x_{j,l_j})$$

where $\tau'_{j,r} = \tau_{j,r}[q_1/p_1] \dots [q_k/p_k]$ and $u'_{j,r} = u_{j,r}[q_1/p_1] \dots [q_k/p_k]$.

General form of match expressions

```
match t as x in I _ ... _ i1 ... im return P i1 ... im x with
| c1 _ ... _ x1,1 ... x1,l1 => b1
...
| cn _ ... _ xn,1 ... xn,ln => bn
end
```

where

```
Inductive I (p1 : ρ1) ... (pk : ρk) :
  forall (i1 : A1) ... (im : Am), U :=
| c1 : forall (x1,1 : τ1,1) ... (x1,l1 : τ1,l1), I p1...pk u1,1...u1,m
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- The type of the entire match expression is $P a_1 \dots a_m t$.

Valid elimination universes

```
match t as x in I _ ... _ i1 ... im return P i1 ... im x with
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 - Except in one situation...

Small propositional inductive types

A small propositional inductive type is an inductive type I in Prop with at most one constructor with declared type

$$\forall(x_1 : \tau_1) \dots (x_l : \tau_l). I p_1 \dots p_k u_1 \dots u_m$$

such that all τ_j are in Prop .

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Examples:

```
Inductive False :=
```

```
Inductive and (A B : Prop) : Prop := conj : A -> B -> A /\ B.
```

```
Inductive eq (A : Type) (x : A) : A -> Prop := eq_refl : x = x.
```

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Examples:

```
match t in bool return Set with
| true => nat
| false => bool
end
```

```
match t in bool return Prop with
| true => True
| false => False
end
```

Note: $\text{bool} : \text{Set}$, $\text{Set} : \text{Type}_1$ and $\text{Prop} : \text{Type}_1$, so in both examples $\mathcal{U} = \text{Set}$ and $\mathcal{U}' = \text{Type}_1$.

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- Unrestricted large elimination of large inductive types from an impredicative universe leads to inconsistency.

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- In Coq, large elimination from `Prop` is allowed only for small propositional inductive types.
- In Coq, without large elimination from `Set` it is not possible to prove the distinctness of constructors, e.g., that $0 \neq 1$.