

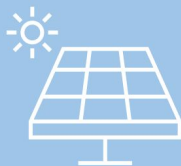
# Predicting Perovskite Formability Using Machine Learning

CHEM404 Project Presentation

Tom Hou  
Eleanor Liu  
Leo Xie  
Luke Yang

# Outline

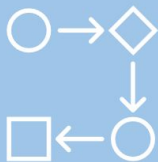
1 Introduction to Perovskites



2 Machine Learning



3 Methodology



4 Results

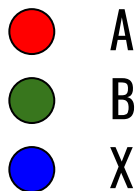
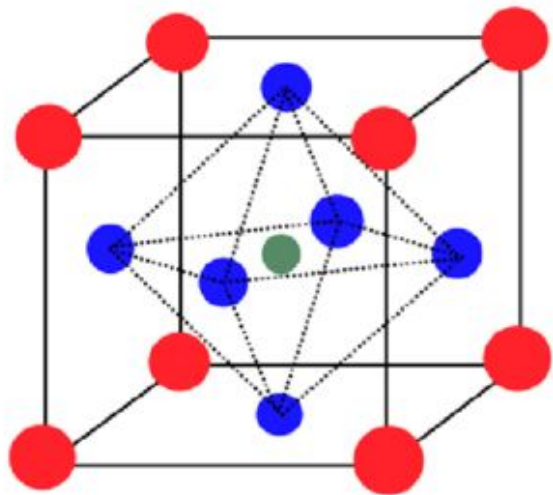


5 Conclusions



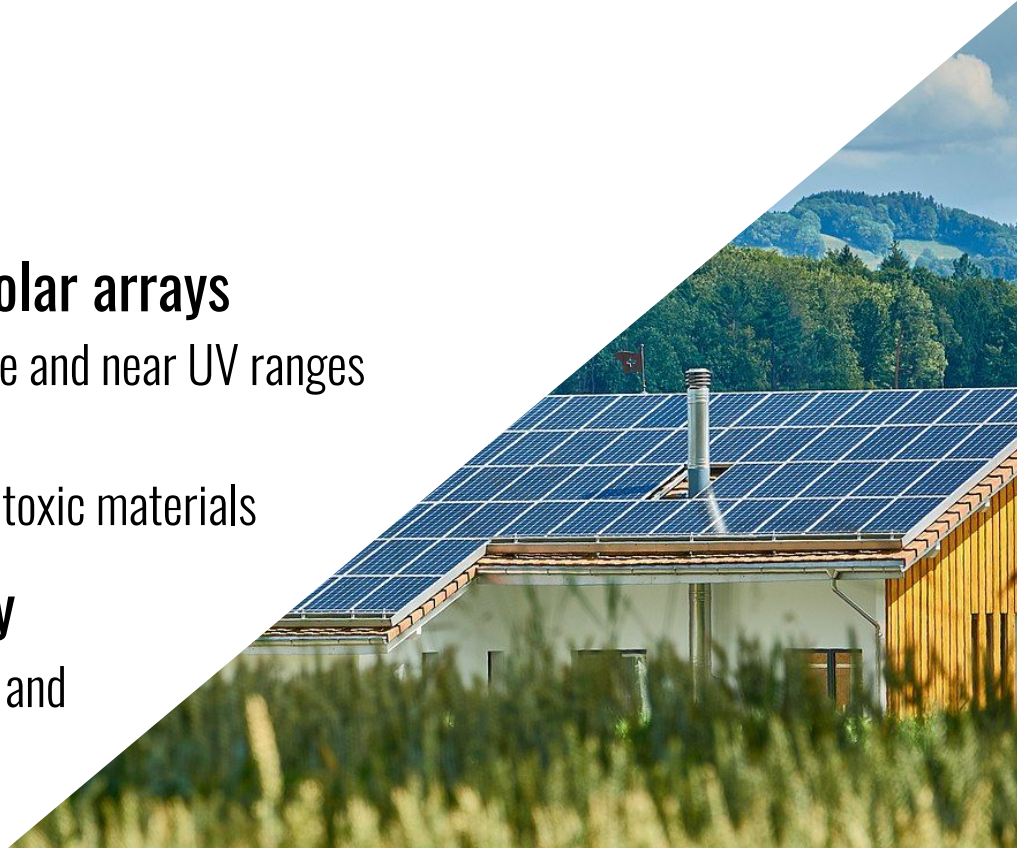
# The Perovskite Structure

- Strictly a mineral of formula  $\text{CaTiO}_3$
- Now used to refer to crystalline structures of  $\text{ABX}_3$  of the same crystal structure
  - A = Large metal cation
  - B = Small metal cation
  - X = Electronegative anion (F, Cl, Br, I, O)



# Perovskite Applications

- **Cheaper, safer, thinner, lighter solar arrays**
  - Good absorbance coefficient in visible and near UV ranges
  - Long diffusion distances for excitons
  - Possibility to be made of cheap, non-toxic materials
- **>20% solar conversion efficiency**
  - GaAs is more efficient but expensive and potentially toxic



Chen, Y.; Zhang, L.; Zhang, G.; Gao, H.; Yan, H. *RSC Adv.*, **2018**, *8*, 10489-10508.

Stranks, S.D.; Eperon, G. E.; Grancini, G.; Menalao, C.; Alcocer, M. J. P.; Leijtens, T.; Herz, L. M.; Petrozza, A.; Snaith, H. J. *Science*, **2013**, *342*, 341-344.

De Wolf, S.; Holovsky, J.; Moon, S. J.; Löper, P.; Niesen, B.; Ledinsky, M.; Haug, F. J.; Yum, J. H.; Ballif, C. *d*

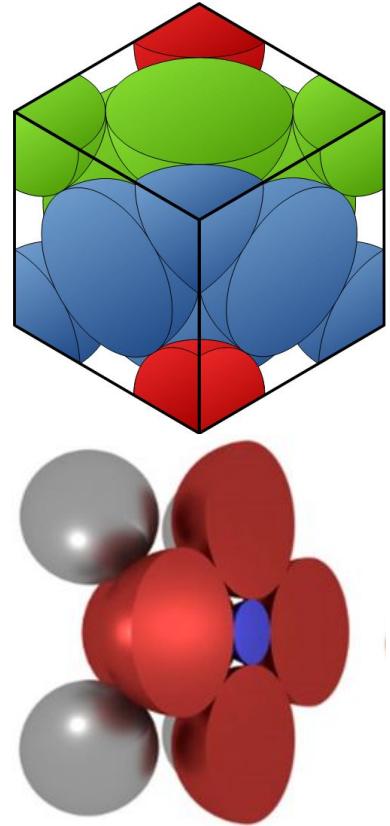
# Crystal Structure

- Not all structures of formula  $ABX_3$  form perovskites
  - Dependent on spatial parameters: radii of ions

- Tolerance,  $\tau$ , defined as:

$$\tau = \frac{r_A + r_X}{\sqrt{2}(r_B + r_X)}$$

- $\tau = 1$  defines ideal sphere packing of cubic perovskite
  - Formability probable in range  $0.813 < \tau < 1.107$
- Octahedral,  $o_f$ , factor defined as:  $o_f = \frac{r_B}{r_X}$

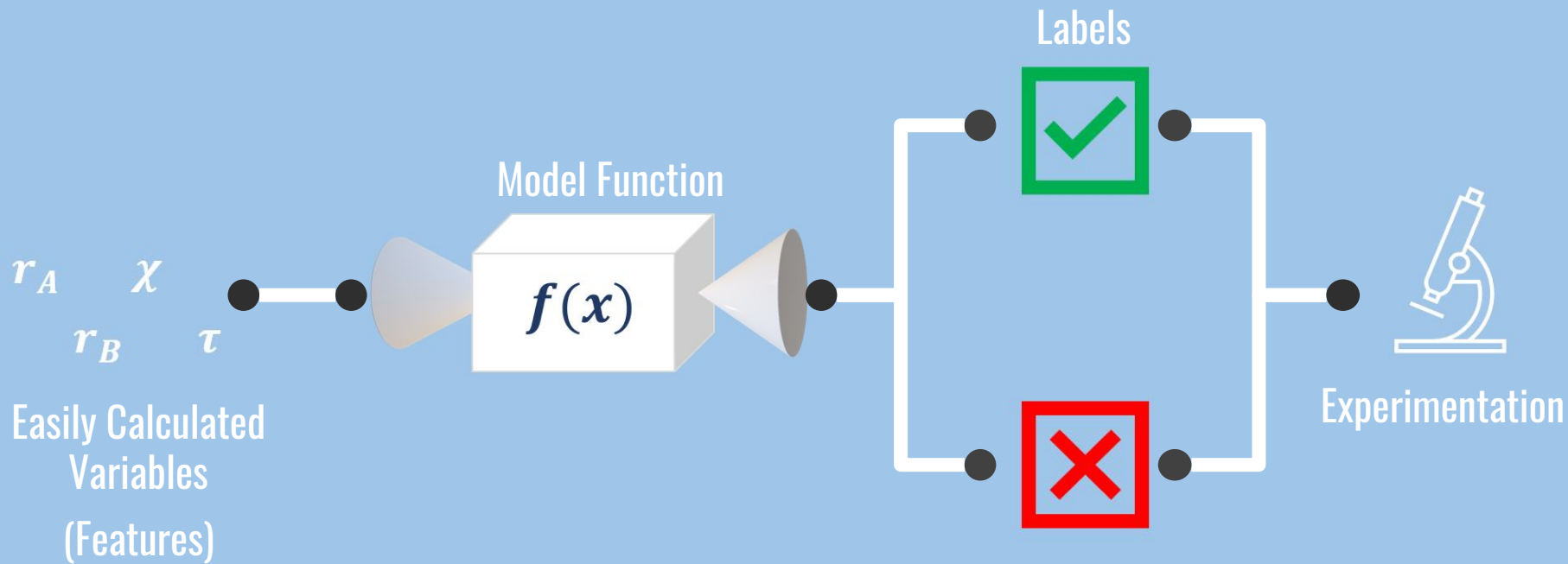


**What combinations of A, B, X,  
will form a perovskite crystal  
structure?**

# Classification in Machine Learning

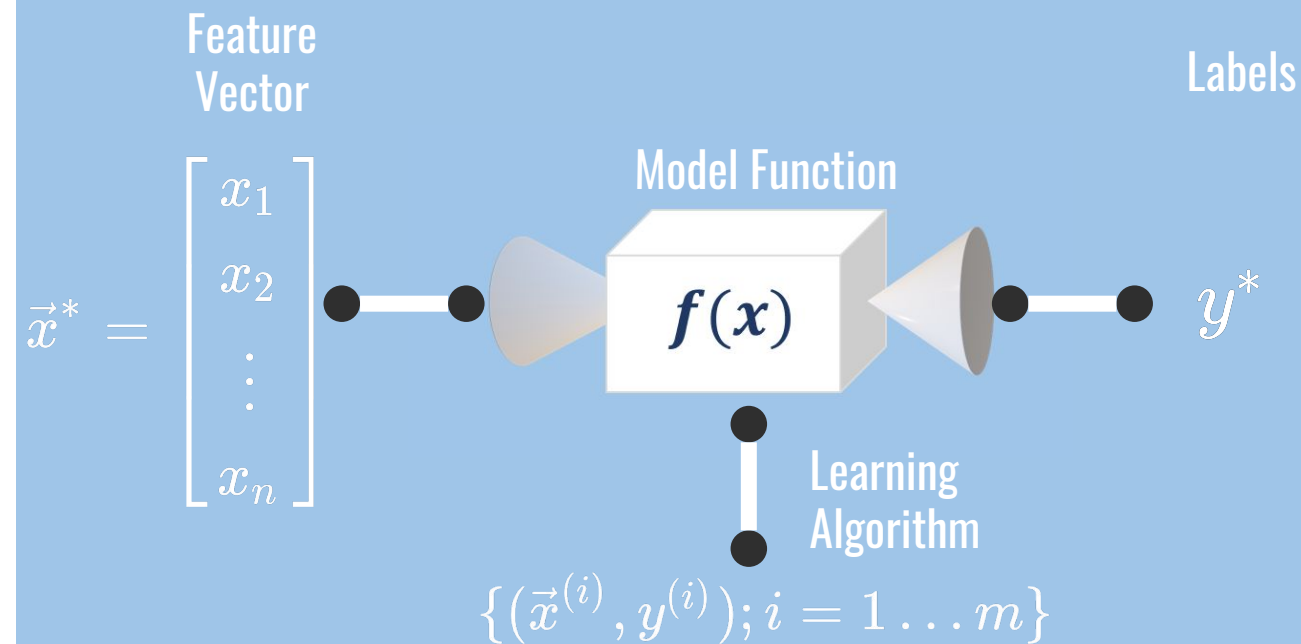
- In machine learning, classification refers to a predictive modeling problem of predicting the class of given data points.
- In mathematical language, the task is to approximate a model function  $f(\mathbf{x})$  that maps the input variables  $\mathbf{x}$  (features) to discrete output variables  $y$  (labels).
- Model parameters of ML govern the position of decision boundaries, and optimal parameters are selected by evaluating the model performance (or prediction accuracy) on unseen data.

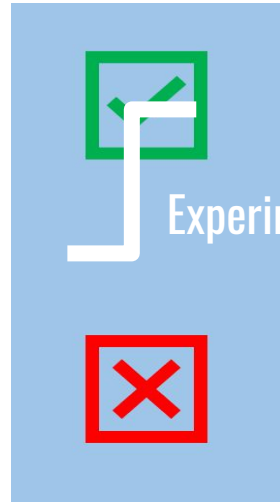
# Workflow



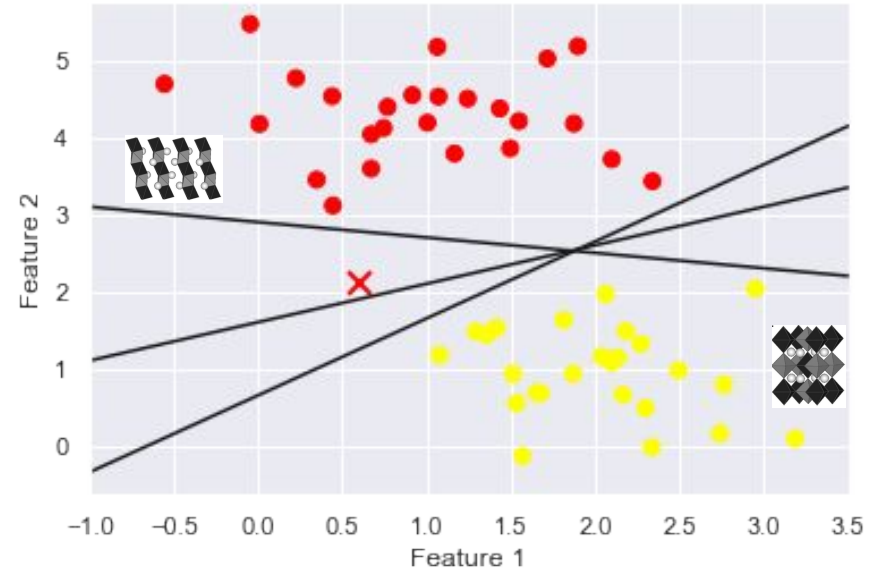
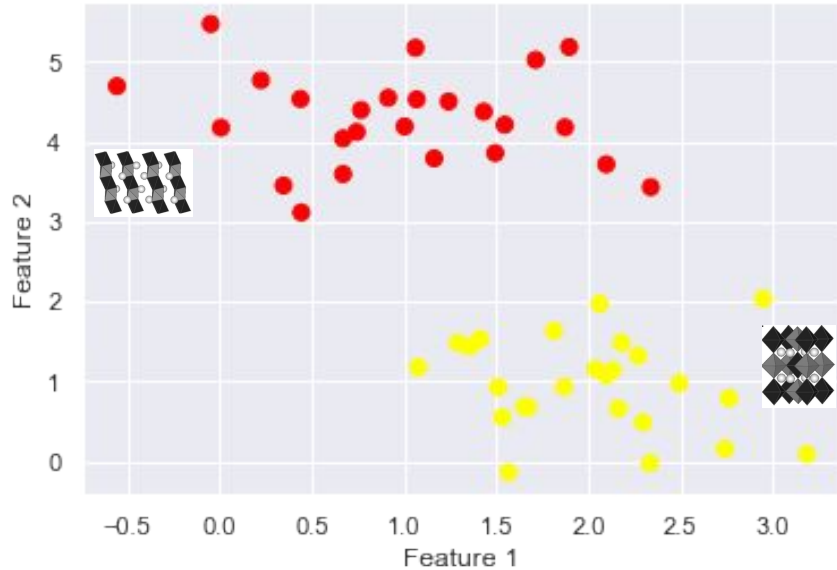


# Workflow

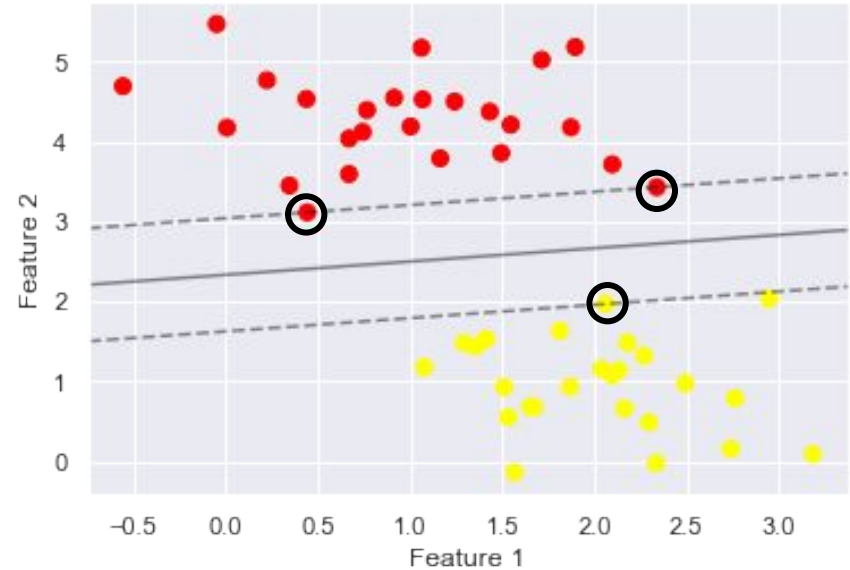
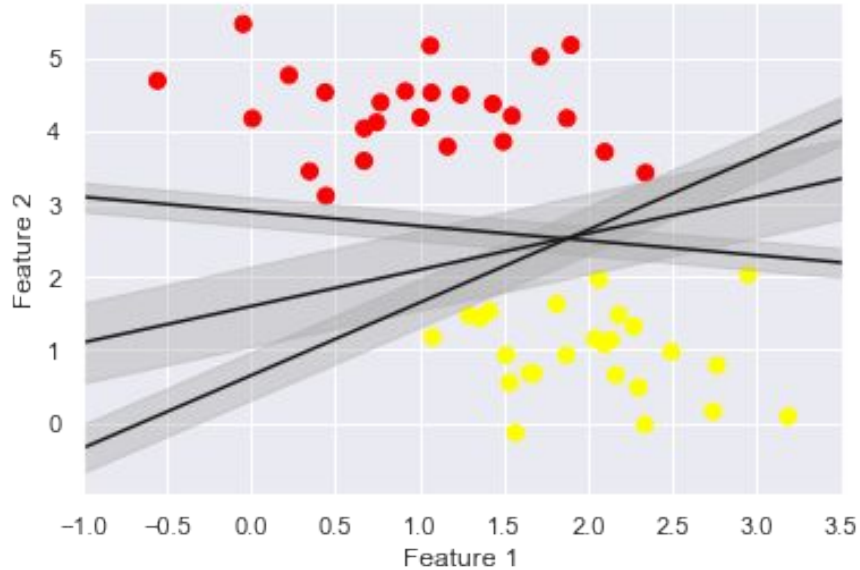




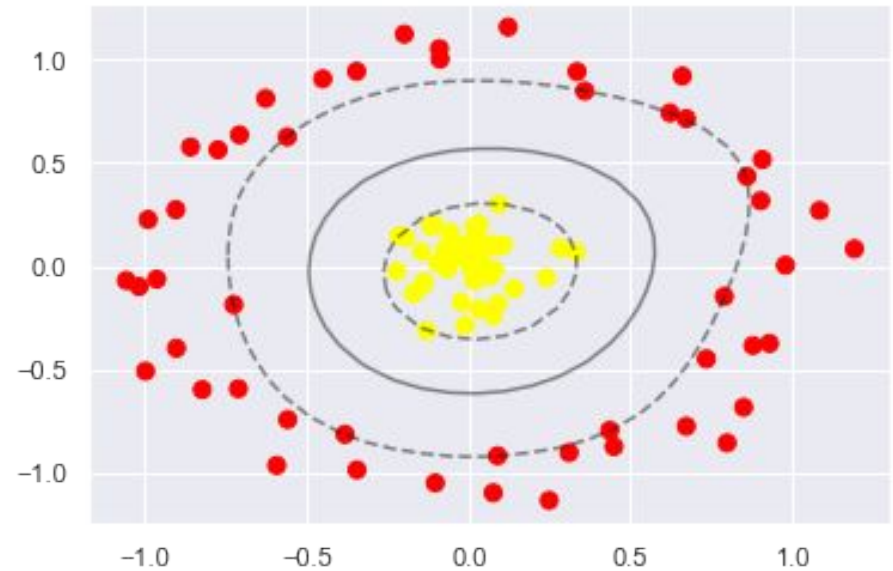
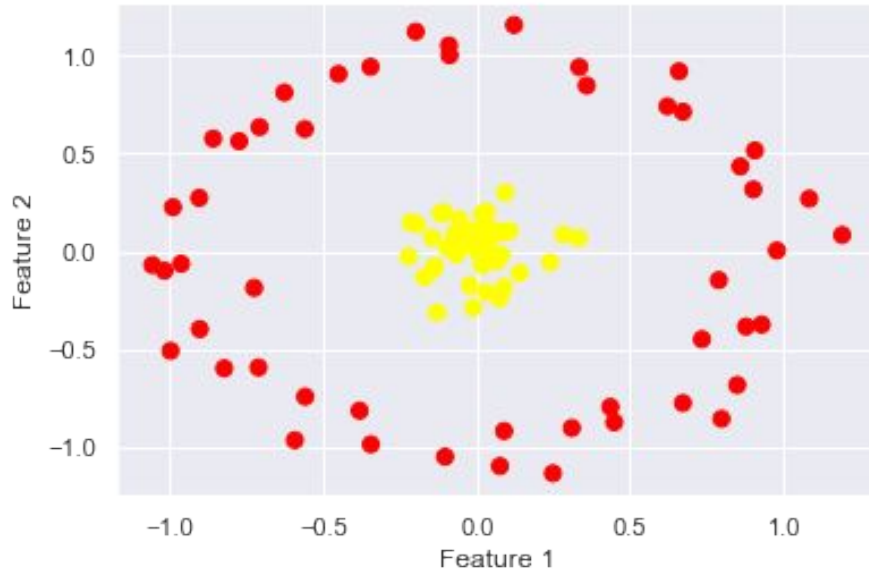
# Support Vector Machine (SVM)



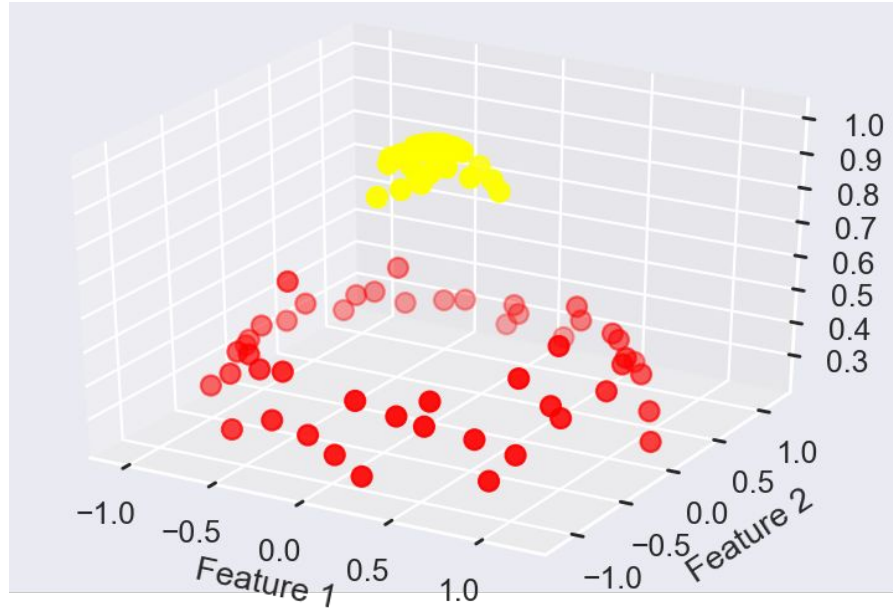
# Support Vector Machine (SVM) - Linear



# Support Vector Machine (SVM) - Non-Linear



# Support Vector Machine (SVM) - Kernel Trick



Radial Basis Function (RBF):

$$k(\mathbf{x}_i, \mathbf{x}_j) = \exp(-\gamma|\mathbf{x}_i - \mathbf{x}_j|^2)$$

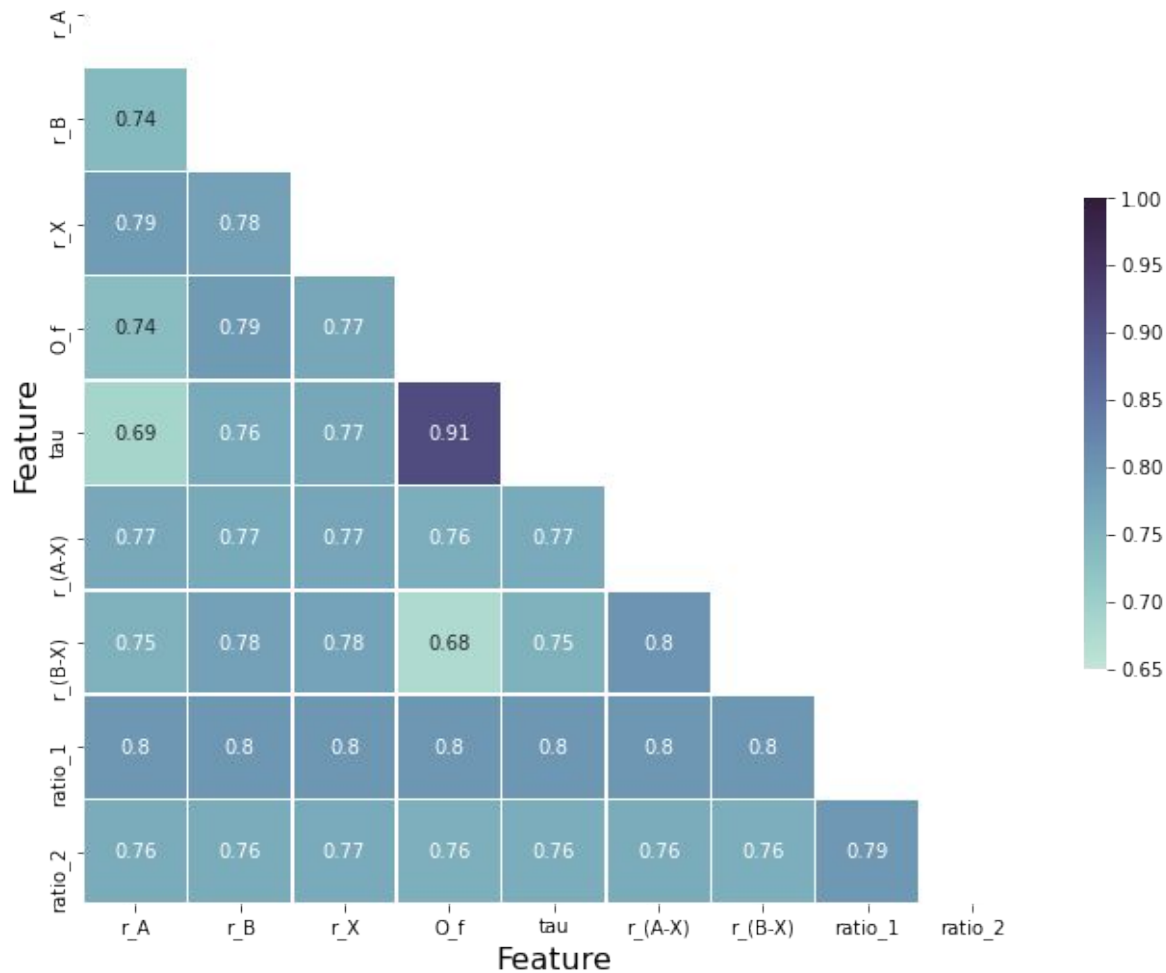
$\mathbf{x}_i$  --- Feature vector

$$\gamma = \frac{1}{2\sigma^2}$$

# Training Sets vs Test Sets

- **Data**
  - Contain the parameters and results given by experiments
- **Training Set**
  - Used to construct and optimize the prediction model
- **Test Set**
  - Used to evaluate the accuracy of the model



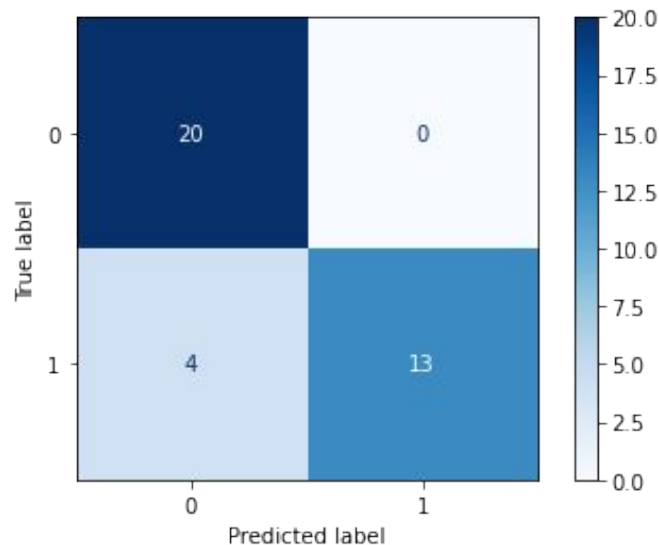


# Feature Combination & Accuracy

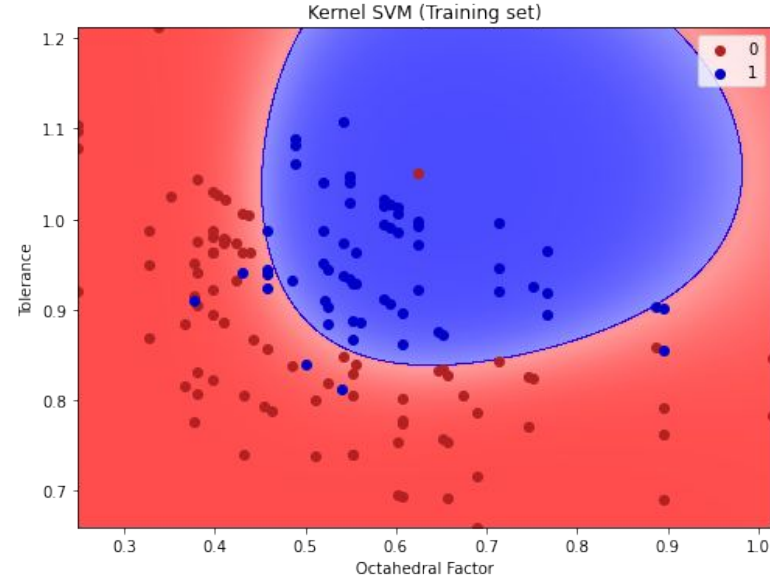
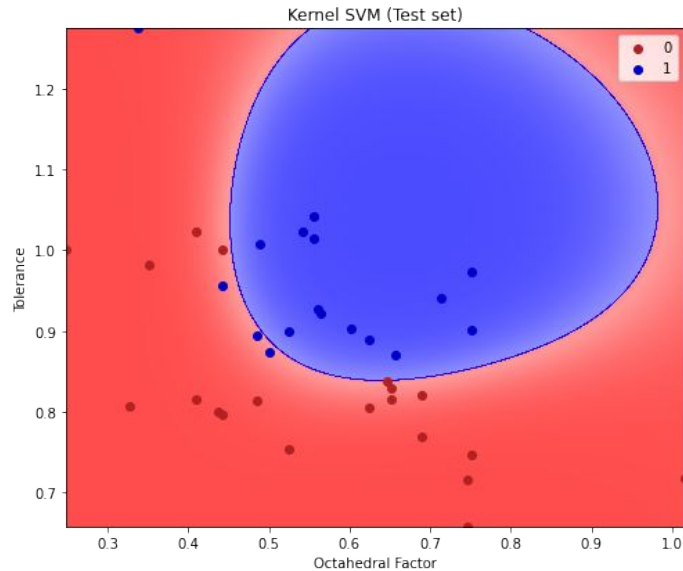


# Confusion Matrix of

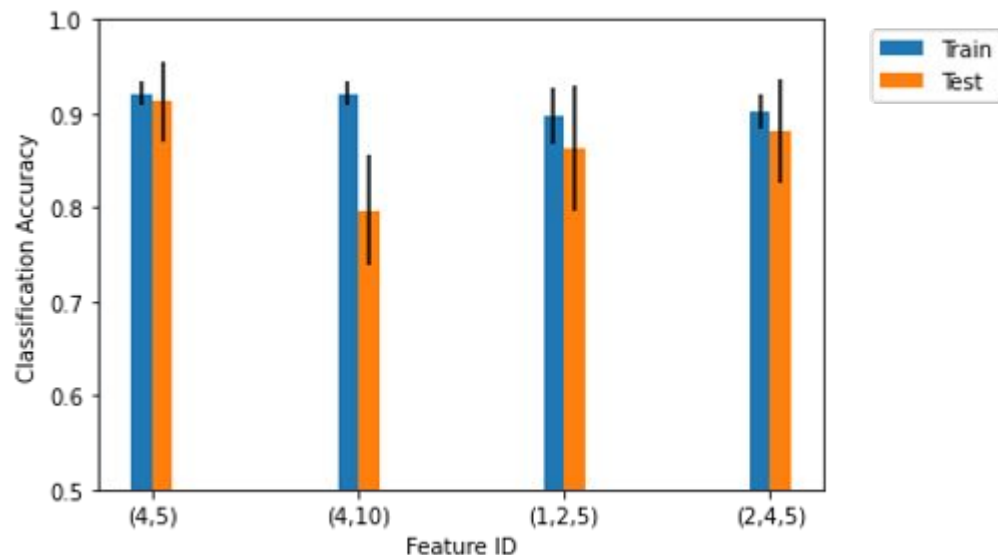
- Diagonal quadrants: number of correctly classified data
- Off-diagonal quadrants: number of incorrectly classified data
- Classifies all non-Perovskite compounds correctly
- Classified 13/17 Perovskite compounds correctly



# Contour of Tolerance & Octahedral Factor



# Best Performing Features

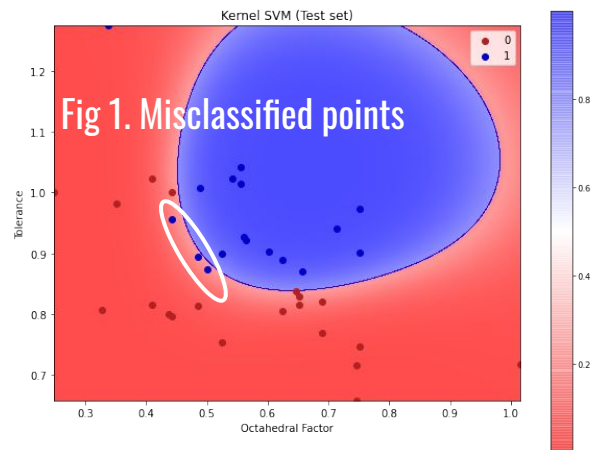


1: Ionic Radii of Large Metal ( $r_A$ )  
2: Ionic Radii of Small Metal ( $r_B$ )  
4: Tolerance ( $\tau$ )  
5: Octahedral Factor ( $O_h = r_B/r_X$ )  
10: Ratio of the sum of the s and p orbital radii  $r_A^{s+p} / r_X^{s+p}$

# Misclassifications

Most of the misclassifications are perovskite classified as non-perovskite

- Occur at the boundary
  - Predicted probabilities close to 50%
  - Non-perovskite can be synthesized in a long-lived metastable perovskite phase through non-equilibrium high pressure synthesis route.



# Misclassifications

- Non-boundary misclassifications
  - $\text{CsMnF}_3$  non-perovskite as perovskite
  - Hexagonal antiferromagnetic structure of  $\text{CsMnF}_3$  can be easily transformed to cubic perovskite at high pressure

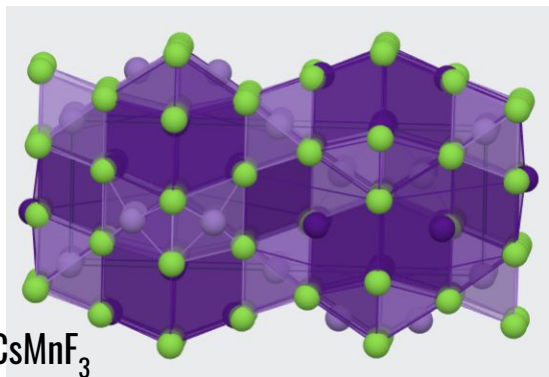


Fig.2  $\text{CsMnF}_3$

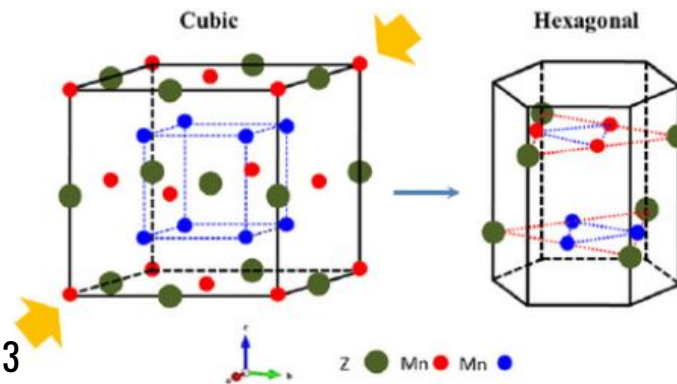
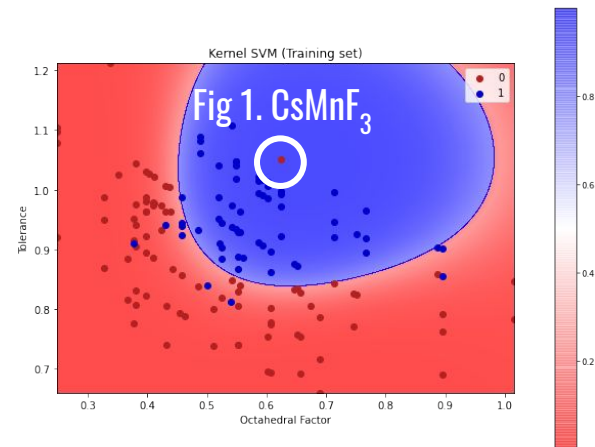


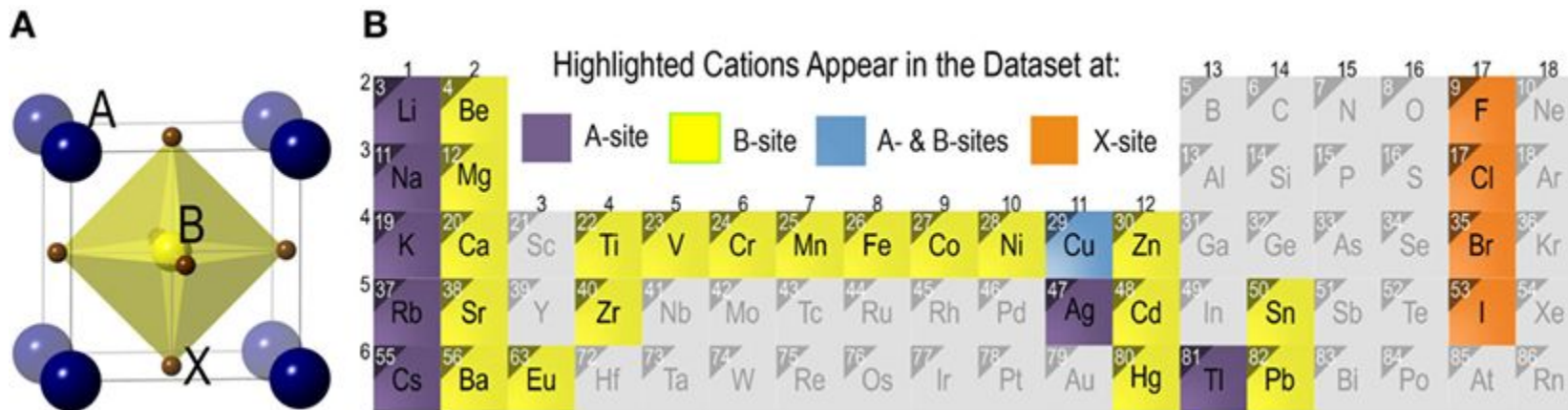
Fig. 3

Kafalas, J. and Longo J. *Solid state Chem* **1972** High pressure synthesis of  $(\text{ABX}_3)$  (AX)  $n$  compounds. 4, 55

Zhang D., Yan B., Wu S., Kubler J., Kreiner G., Parkin S and Felser C. *Journal of physics: Condensed matter*, **2013** First-principles study of the structural stability of cubic, tetragonal and hexagonal phases in  $\text{MnZx}$  ( $\text{Z} = \text{Ga}, \text{Sn}$  and  $\text{Ge}$ ) Heusler compounds, 25,206006

# Implication

- Small dataset
  - 640 possible compositions
  - 185 known data
    - 8 A-site, 20 B-site, 4 X-site



# New tolerance, $\tau$ , factor

$$\tau = \frac{r_X}{r_B} - n_A \left( n_A - \frac{r_A/r_B}{\ln(r_A/r_B)} \right)$$

$n_A$  : oxidation state of A

$R_A$  : ionic radius of ion A

$R_B$  : ionic radius of ion B

-  $r_A > r_B$  by definition

# New tolerance, $\tau$ , factor

$$\tau = \frac{r_X}{r_B} - n_A \left( n_A - \frac{r_A/r_B}{\ln(r_A/r_B)} \right)$$

## Why it's better?

- Better sum of ionic radii estimates the interatomic bond distances for the structure
- Refined input radii and increased the dimensionality of the descriptor
- Monotonic dependency

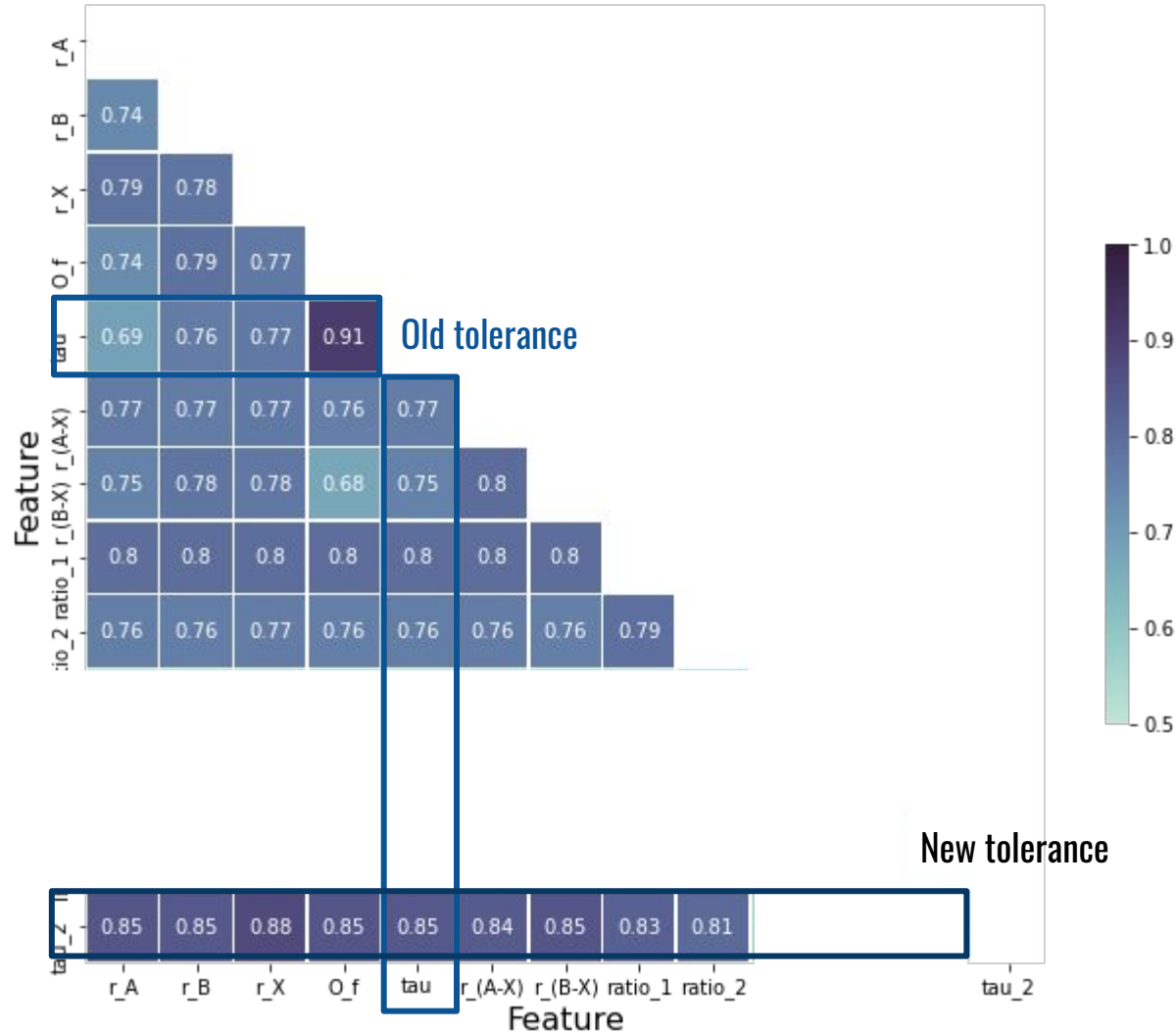


# New tolerance, $\tau$ , factor

$$\tau = \frac{r_X}{r_B} - n_A \left( n_A - \frac{r_A/r_B}{\ln(r_A/r_B)} \right)$$

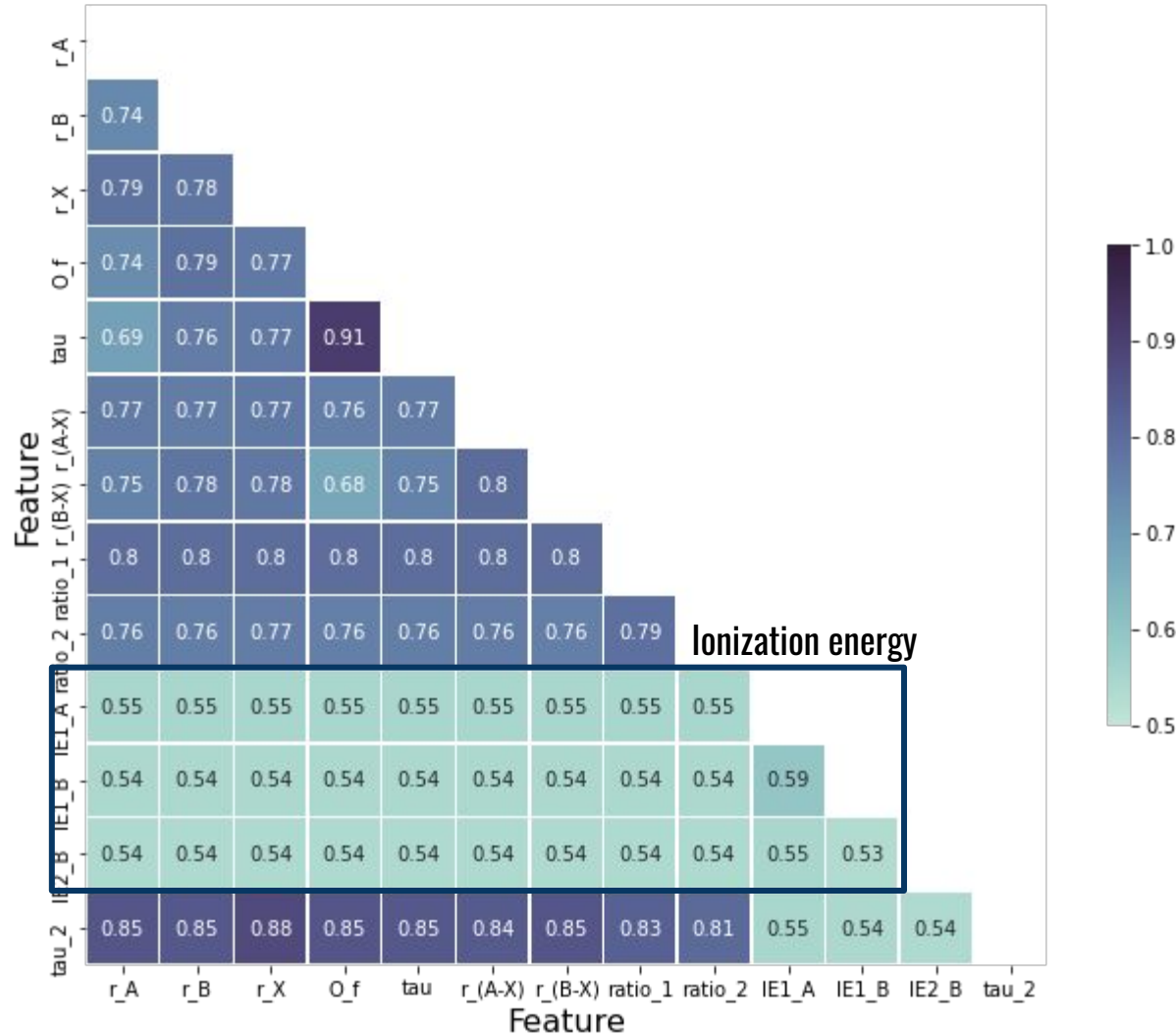
## How it's better?

- Reduce false-positive prediction occurrence
- Increase the overall classification accuracy



# New Feature Combination & Accuracy

New tolerance



# New Feature Combination & Accuracy

Ionization energy

# Limitations

- Data are highly repetitive
  - Only 4 different X-sites and a few A-sites and B-sites
- Data are similar
  - Close in periodic table, has similar structure and properties

No	System	Formability	Assignme	1. Ra	2. Rb	3. Rx	4. t	5. of	miu	Ax	Bx	Xx	E(A-X)	8.(Ra
1	LiF-MgF2	No	0	1.13	0.72	1.33	0.849	0.54135	0.541	0.9	1.31	3.78	-2.88	-2.0
2	LiF-ZnF2	No	0	1.13	0.74	1.33	0.84	0.55639	0.556	0.9	1.44	3.78	-2.88	-1.9
3	LiF-MnF2	No	0	1.13	0.83	1.33	0.805	0.62406	0.624	0.9	2.04	3.78	-2.88	-1.4
4	LiF-CaF2	No	0	1.13	1	1.33	0.747	0.75188	0.752	0.9	1.17	3.78	-2.88	-2.2
5	LiF-PbF2	No	0	1.13	1.19	1.33	0.69	0.89474	0.895	0.9	1.92	3.78	-2.88	-1.5
6	NaF-NiF2	Yes	1	1.39	0.69	1.33	0.952	0.5188	0.519	0.89	1.76	3.78	-2.89	-2.1
7	NaF-MgF2	Yes	1	1.39	0.72	1.33	0.938	0.54135	0.541	0.89	1.31	3.78	-2.89	-2.5
8	NaF-CuF2	Yes	1	1.39	0.73	1.33	0.934	0.54887	0.549	0.89	1.08	3.78	-2.89	-2.8
9	NaF-ZnF2	Yes	1	1.39	0.74	1.33	0.929	0.55639	0.556	0.89	1.44	3.78	-2.89	-2.4
10	NaF-CoF2	Yes	1	1.39	0.745	1.33	0.927	0.56015	0.56	0.89	1.72	3.78	-2.89	-2.1
11	NaF-FeF2	Yes	1	1.39	0.78	1.33	0.912	0.58647	0.586	0.89	1.67	3.78	-2.89	-2.2
12	NaF-VF2	Yes	1	1.39	0.79	1.33	0.907	0.59398	0.594	0.89	2.22	3.78	-2.89	-1.6
13	NaF-CrF2	Yes	1	1.39	0.8	1.33	0.903	0.6015	0.602	0.89	2	3.78	-2.89	-1.8
14	NaF-MnF2	Yes	1	1.39	0.83	1.33	0.89	0.62406	0.624	0.89	2.04	3.78	-2.89	-1.8
15	NaF-CdF2	No	0	1.39	0.95	1.33	0.844	0.71429	0.714	0.89	1.4	3.78	-2.89	-2.4
16	NaF-CaF2	No	0	1.39	1	1.33	0.825	0.75188	0.752	0.89	1.17	3.78	-2.89	-2.5
17	NaF-PbF2	No	0	1.39	1.19	1.33	0.763	0.89474	0.895	0.89	1.92	3.78	-2.89	-1.5
18	NaF-BaF2	No	0	1.39	1.35	1.33	0.718	1.01504	1.01	0.89	1.08	3.78	-2.89	-2.8
19	AgF-CoF2	Yes	1	1.49	0.65	1.33	1.007	0.48872	0.489	1.07	1.72	3.78	-2.71	-2.3
20	AgF-NiF2	Yes	1	1.49	0.69	1.33	0.987	0.5188	0.519	1.07	1.76	3.78	-2.71	-2
21	AgF-MgF2	Yes	1	1.49	0.72	1.33	0.973	0.54135	0.541	1.07	1.31	3.78	-2.71	-2.7
22	AgF-ZnF2	Yes	1	1.49	0.74	1.33	0.963	0.55639	0.556	1.07	1.44	3.78	-2.71	-2.6
23	AgF-MnF2	Yes	1	1.49	0.83	1.33	0.923	0.62406	0.624	1.07	2.04	3.78	-2.71	-1.9
24	AgF-PbF2	No	0	1.49	1.19	1.33	0.791	0.89474	0.895	1.07	1.92	3.78	-2.71	-2.0
25	KF-CoF2	Yes	1	1.64	0.65	1.33	1.061	0.48872	0.489	0.8	1.72	3.78	-2.98	-2.5
26	KF-NiF2	Yes	1	1.64	0.69	1.33	1.04	0.5188	0.519	0.8	1.76	3.78	-2.98	-2.4
27	KF-MgF2	Yes	1	1.64	0.72	1.33	1.024	0.54135	0.541	0.8	1.31	3.78	-2.98	-3.0
28	KF-CuF2	Yes	1	1.64	0.73	1.33	1.019	0.54887	0.549	0.8	1.08	3.78	-2.98	-3.3
29	KF-ZnF2	Yes	1	1.64	0.74	1.33	1.015	0.55639	0.556	0.8	1.44	3.78	-2.98	-2.8
30	KF-FeF2	Yes	1	1.64	0.78	1.33	0.995	0.58647	0.586	0.8	1.67	3.78	-2.98	-2.6
31	KF-VF2	Yes	1	1.64	0.79	1.33	0.991	0.59398	0.594	0.8	2.22	3.78	-2.98	-1.9
32	KF-CrF2	Yes	1	1.64	0.8	1.33	0.986	0.6015	0.602	0.8	2	3.78	-2.98	-2.1
33	KF-MnF2	Yes	1	1.64	0.83	1.33	0.972	0.62406	0.624	0.8	2.04	3.78	-2.98	-2.1
34	KF-CdF2	Yes	1	1.64	0.95	1.33	0.921	0.71429	0.714	0.8	1.4	3.78	-2.98	-2.9
35	KF-CaF2	Yes	1	1.64	1	1.33	0.901	0.75188	0.752	0.8	1.17	3.78	-2.98	-3.2
36	KF-HgF2	Yes	1	1.64	1.02	1.33	0.894	0.76692	0.767	0.8	1.49	3.78	-2.98	-2.8
37	KF-BaF2	No	0	1.64	1.35	1.33	0.784	1.01504	1.015	0.8	1.08	3.78	-2.98	-3.3

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- Data are highly repetitive
  - Only 4 different X-sites and a few A-sites and B-sites
- Data are similar
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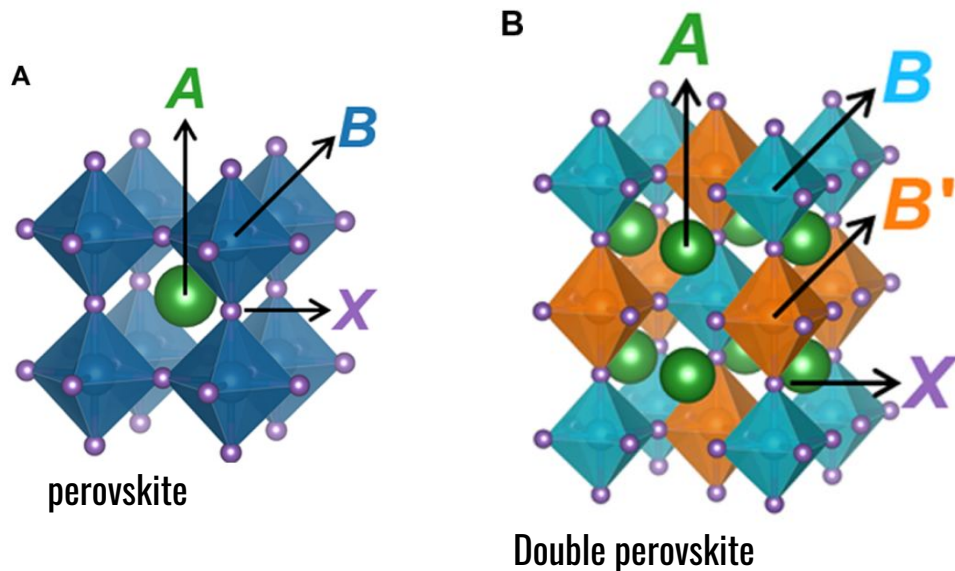
Highlighted Cations Appear in the Dataset at:

Site	Cations
A-site	Li, Na, K, Rb, Cs
B-site	Be, Mg, Ca, Sr, Ba, Eu, Ti, Zr, Hf, Nb, Ta, W, Re, Os, Ir, Pt, Au, Hg, Pb, Bi, Po, At, Rn
A- & B-sites	Sc, Y, La, Ce, Pr, Nd, Pm, Sm, Eu, Gd, Tb, Dy, Ho, Er, Tm, Yb, Lu, Hf, Ta, W, Re, Os, Ir, Pt, Au, Hg, Pb, Bi, Po, At, Rn
X-site	F, Cl, Br, I, At

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4	LiF-CaF2	No	0	1.13	1	1.33	0.747	0.75188	0.752	0.9	1.17	3.78	-2.88	-2.2
5	LiF-PbF2	No	0	1.13	1.19	1.33	0.69	0.89474	0.895	0.9	1.92	3.78	-2.88	-1.5
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19	AgF-CoF2	Yes	1	1.49	0.65	1.33	1.007	0.48872	0.489	1.07	1.72	3.78	-2.71	-2.3
20	AgF-NiF2	Yes	1	1.49	0.69	1.33	0.987	0.5188	0.519	1.07	1.76	3.78	-2.71	-2
21	AgF-MgF2	Yes	1	1.49	0.72	1.33	0.973	0.54135	0.541	1.07	1.31	3.78	-2.71	-2.7
22	AgF-ZnF2	Yes	1	1.49	0.74	1.33	0.963	0.55639	0.556	1.07	1.44	3.78	-2.71	-2.6
23	AgF-MnF2	Yes	1	1.49	0.83	1.33	0.923	0.62406	0.624	1.07	2.04	3.78	-2.71	-1.9
24	AgF-PbF2	No	0	1.49	1.19	1.33	0.791	0.89474	0.895	1.07	1.92	3.78	-2.71	-2.0
25	KF-CoF2	Yes	1	1.64	0.65	1.33	1.061	0.48872	0.489	0.8	1.72	3.78	-2.98	-2.5
26	KF-NiF2	Yes	1	1.64	0.69	1.33	1.04	0.5188	0.519	0.8	1.76	3.78	-2.98	-2.4
27	KF-MgF2	Yes	1	1.64	0.72	1.33	1.024	0.54135	0.541	0.8	1.31	3.78	-2.98	-3.0
28	KF-CuF2	Yes	1	1.64	0.73	1.33	1.019	0.54887	0.549	0.8	1.08	3.78	-2.98	-3.3
29	KF-ZnF2	Yes	1	1.64	0.74	1.33	1.015	0.55639	0.556	0.8	1.44	3.78	-2.98	-2.8
30	KF-FeF2	Yes	1	1.64	0.78	1.33	0.995	0.58647	0.586	0.8	1.67	3.78	-2.98	-2.6
31	KF-VF2	Yes	1	1.64	0.79	1.33	0.991	0.59398	0.594	0.8	2.22	3.78	-2.98	-1.9
32	KF-CrF2	Yes	1	1.64	0.8	1.33	0.986	0.6015	0.602	0.8	2	3.78	-2.98	-2.1
33	KF-MnF2	Yes	1	1.64	0.83	1.33	0.972	0.62406	0.624	0.8	2.04	3.78	-2.98	-2.1
34	KF-CdF2	Yes	1	1.64	0.95	1.33	0.921	0.71429	0.714	0.8	1.4	3.78	-2.98	-2.9
35	KF-CaF2	Yes	1	1.64	1	1.33	0.901	0.75188	0.752	0.8	1.17	3.78	-2.98	-3.2
36	KF-HgF2	Yes	1	1.64	1.02	1.33	0.894	0.76692	0.767	0.8	1.49	3.78	-2.98	-2.8
37	KF-BaF2	No	0	1.64	1.35	1.33	0.784	1.01504	1.015	0.8	1.08	3.78	-2.98	-3.3

# Conclusion

- Best performed features
  - Tolerance
  - Octahedral factor
- Future study
  - Double perovskite





# Thank you.

Questions?