## Chapter 5

# Elpi implementation of Inductive

We discuss the implementation of the eiInd command together with integrations in the eiIntros tactic and the eiInduction tactic.

The **eiInd** command mirrors the steps taken in chapter 3thesis.pdf. These steps are outlined in figure 5.1, with their associated section. It starts by interpreting the Coq inductive statement and producing the pre fixpoint function. Next, we prove monotonicity and construct the fixpoint. Then, we create and prove the fixpoint properties and the constructor lemmas. Lastly, we create and prove the induction lemma.

In sections 5.7 and 5.8 we discuss how the tactics to use an inductive predicate are made. We first discuss the **eiInduction** tactic in section 5.7, which performs induction on the specified inductive predicate. Next, in section 5.8, we outline the extensions to the **eiIntros** tactic concerning inductive predicates.

In section 5.9 we generalize the previously created commands and tactics to support parameters on the inductive. Lastly, in section 5.10 we show how the eilnd command can be used to define the total weakest precondition.

## 5.1 Constructing the pre fixpoint function

The eiInd command is called by writing a Coq inductive statement and prepending it with the eiInd command. The below inductive statement implements the isMLL inductive predicate from chapter 3thesis.pdf in Coq.

```
eiInd

Inductive is_MLL : val → list val → iProp :=

| empty_is_MLL : is_MLL NONEV []
| mark_is_MLL v vs l tl :
| v, #true, tl) -* is_MLL tl vs -*
| is_MLL (SOMEV #1) vs
| cons_is_MLL v vs tl l :
| v, #false, tl) -* is_MLL tl vs -*
| is_MLL (SOMEV #1) (v :: vs).
```

The inductive statement is received in Elpi as the following value of type indt-decl, unimportant fields of constructors are filled in with an \_\_\_.

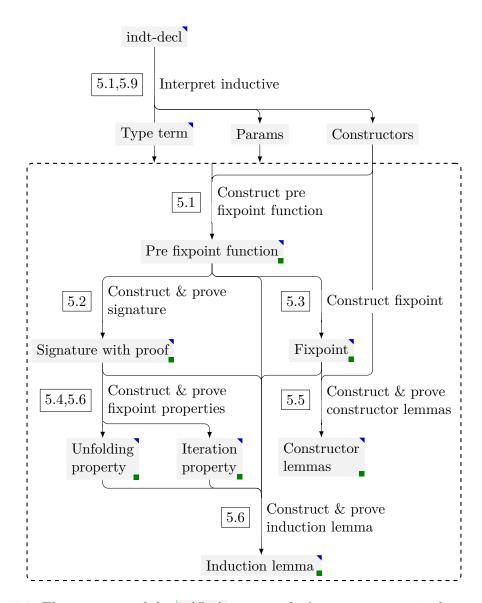


Figure 5.1: The structure of the **eiInd** command. Arrows are steps in the command, and boxes are the objects that are being created. If a box has a green box, it is defined in Coq. If a box has a blue triangle, it is stored in the Elpi database. All arrows reference the section in which they are explained.

```
lp:f lp:tl lp:vs -*
lp:f (SOMEV #(lp:l)) lp:vs }}
lp:f (SOMEV #(lp:l)) lp:vs }}
constructor `cons_is_MLL` ...])
```

The inductive consists of its name, <code>\`is\_MLL`</code>, its type, and a function containing the constructors with their names. Both the constructors and the type contain possible Coq binders. The constructor <code>|mark\_is\_MLL|</code> has the Coq binders <code>|v|, |vs|, |l|</code> and <code>|tl|</code>, these are represented with the parameter constructor on lines 6-9. The parameter constructor takes the name, type, and a function giving the rest of the term.

By recursing through the inductive, we generate the following pre fixpoint function.

This function is produced by applying the following transformations: We replace the top-level wands with separating conjunctions. We transform the binders of the constructors into Iris existential quantifiers. We replace the last recursive call in a constructor with equalities for each of its arguments. Concatenate the constructors with disjunctions. And, lastly, wrap the combined constructors into a function taking the recursive call |F|, and the arguments, |V| and |V|.

This term is defined as is\_MLL\_pre.

## 5.2 Creating and proving proper signatures

In this section we describe how a proper is created and proven for the previously defined function. This implements the theory as defined in section 3.3thesis.pdf.

**Proper definition in Coq** Proper elements of relations are defined using type classes and named | **IProper** . Respectful relations, | R ==> R , pointwise relations, | .> R and persistent relations, | D> R are defined with accompanying notations. Any signatures are defined as global instances of | **IProper** .

To easily find the **IProper** instance for a given connective and relation, an additional type class is added.

```
Class IProperTop {A} {B} (R : iRelation A) (m : B)
(f: iRelation A → iRelation B) :=
iProperTop : IProper (f R) m.
```

Given a relation |R| and connective |m|, we find a function |f| that transforms the relation into the proper relation for that connective. For example, given the |IProper| instance for separating conjunctions, we get the |IProperTop| instance.

```
Global Instance sep_IProper:

IProper _ (bi_wand ==> bi_wand)
bi_sep.

Global Instance sep_IProperTop:
IProperTop bi_wand (bi_sep)
(fun F => bi_wand ==> bi_wand ==> F).
```

**Creating a signature** Using these Coq definitions, we transform the type into an | IProper |. A Proper relation for a pre fixpoint function will always have the shape  $| (\square > R => R) |$ . The relation | R | is constructed by wrapping a wand with as many pointwise relations as there are arguments in the inductive predicate. The full | IProper | term is constructed by giving this relation to | IProper | together with the pre fixpoint function. Any parameters are quantified over and given to the fixpoint function.

**Proving a signature** To prove a signature, we implement the recursive algorithm as defined in section 3.3thesis.pdf. We use the proof generators from section 4.7thesis.pdf to create a proof term for the signature. We will highlight the interesting step of applying an | IProper | instance.

A relevant | IProperTop | instance can be found by giving the top-level relation and top-level function of the current goal. However, some | IProperTop | instances are defined on partially applied functions. Take the existential quantifier. It has the type  $|\forall \{A : Type\}\}$ ,  $(A \rightarrow iProp) \rightarrow iProp$ . The | IProper | and | IProperTop | instances are defined with an arbitrary | A | filled in.

Thus, when searching for the instance, we also have to fill in this argument. The number of arguments we have to fill in when searching for an | IProperTop | instance differs per connective. We take the following approach.

The <code>do-steps.do</code> predicate contains rules for all options in the application step in the proof search algorithm. The rule highlighted here applies to an <code>IProper</code> instance. It gets the Iris hole <code>IH</code>, the top-level relation <code>R</code>, and the top-level function <code>app [F | FS]</code>. The last argument is not relevant to this rule.

Next, on line 3, we first create a list of integers from one until the length of the arguments of the top-level function with <code>std.iota</code>. Next, the <code>std.exists</code> predicate tries to execute its second argument for every element of this list until one succeeds. The second argument then just takes the first <code>|n|</code> arguments of the top-level function and stores it in the variable <code>|FS'|</code>. This obviously always succeeds, however the predicate on line 4 does not. <code>|do-iApplyProper|</code> takes the Iris hole, relation and now partially applied top-level function and tries to apply the appropriate <code>|IProper|</code> instance. However, when this predicate fails because it cannot find an <code>|IProper|</code> instance, we backtrack into the previous predicate. This is <code>|std.exists|</code>, and we try the next rule there, and we take the next element of the list and try again. This internal backtracking ensures we try every partial application of the top-level function until we find an <code>|IProperTop|</code> instance that works. If there are none, we can try another rule of <code>|do-steps.do|</code>.

Lastly, on line 6, we continue the algorithm. We would rather not backtrack into the std.exists when something goes wrong in the rest of the algorithm, and thus we include a cut after successfully applying the **IProper** instance.

The predicate | do-iApplyProper | follows the same pattern as the other Iris proof generators we defined in section 4.7thesis.pdf. It mirrors a simplified version of the IPM | iApply | tactic while also finding the appropriate | IProper | instance to apply.

Adding monotonicity proofs to Coq The monotonicity of the pre fixpoint function is defined in Coq as <code>is\_MLL\_pre\_mono</code>. Allowing any further proof in the command and outside it to make use of the monotonicity of <code>is\_MLL\_pre</code>.

## 5.3 Constructing the fixpoint and storing the definitions

The command eiInd generates the fixpoint as defined in section 3.3thesis.pdf.

```
1 λ (v : val) (l : list val), Coq
2 (∀ F : val → list val → iProp,
3 □ (∀ (v' : val) (l' : list val),
4 □ is_MLL_pre F v' l' -* F v' l')
5 □ -* F v l)
```

The fixpoint is generated by recursing through the type term. For every dependent product in the type, we generate a lambda function, as on line 1. Next, we add the universal quantifier on an  $\lceil F \rceil$  on line 2. We again recurse through the type term to generate the left-hand side of the wand on lines 3 and 4. Lastly, we apply the binders of the lambda functions to  $\lceil F \rceil$  on line 5.

This results in creating the following fixpoint statement, defined as <code>is\_MLL</code>. Note that we do not have a separate definition of the fixpoint not yet applied to a concrete pre fixpoint function, as was the case in section 3.2thesis.pdf.

Coq-Elpi database Coq-Elpi provides a way to store data between executions of tactics and commands, this is called the database. We define predicates whose rules are stored in the database.

The rules are always defined such that the fixpoint definition is the first argument and the objects we want to associate to it are next. We store the references to any objects we create after any of the previous or following steps. We also include some extra information in some rules. <code>inductive-unfold</code> includes the number of constructors the fixpoint has, and <code>inductive-type</code> contains only the Coq inductive. When retrieving information about an object, we can simply check in the database by calling the appropriate predicate. Thus allowing further invocations of tactics to retrieve the necessary definitions concerning a fixpoint.

## 5.4 Unfolding property

In this section we prove the unfolding property of the fixpoint from theorem 3.3thesis.pdf. This proof is generated for every new inductive predicate to account for the different possible arities of inductive predicates. The proof of the unfolding property is split into three parts, separate proofs of the two directions and finally the combination of the directions into the unfolding property. We explain how the proof of one direction is created in the section. Any other proofs generated in this or other sections follow the same strategy and will not be explained in as much detail.

Generating the proof goal is done by recursing over the type term, this results in the following statements to prove. Where the other unfolding lemmas either flip the entailment or replace it with a double entailment.

```
1 ∀ (v : val) (l : list val),
2 is_MLL_pre (is_MLL) v l
3 ⊢ is_MLL v l
```

The proof term is generated by chaining proof generators such that no holes exist in the proof term. We thus use our tactics defined in chapter 4thesis.pdf, tactics not mentioned in chapter 4thesis.pdf follow the same strategy as ones defined in that chapter.

```
pred mk-unfold.r->l i:int, i:int, i:hole.
mk-unfold.r->l Ps N Proper Mono (hole Type Proof):-
```

```
do-intros-forall (hole Type Proof)
5 (mk-unfold.r->1.1 Ps N Proper Mono).
```

This predicate performs the first step in the proof generation before calling the next step. It takes the number of parameters, |Ps|, which we discuss section 5.9, the number of arguments the fixpoint takes, |N|, the |IProper| signature, |Proper|, a reference to the monotonicity proof |Mono| and the hole for the proof. It then introduces any universal quantifiers at the start of the proof. The rest of the proof has to happen under the binder of these quantifiers, and thus we use CPS to continue the proof in the predicate |mk-unfold.r->1.1.

This proof generator performs all steps possible using the <code>do-iIntros</code> proof generator. It takes the same arguments as <code>|mk-unfold.r->l</code>. On line 3, it initializes the Iris context and thus creates an Iris hole, <code>|IH|</code>. Next, we apply several proof steps using de <code>|do-iIntros||</code> proof generator. This again results in a continuation into a new proof generator. We are now in the following proof state.

We need to apply monotonicity of is\_MLL\_pre on the goal and "HF".

```
Elpi
   pred mk-unfold.r->1.2 i:int, i:int,
                             i:term, i:term, i:ihole.
2
   mk-unfold.r->1.2 Ps N Proper Mono IH :-
3
     ((copy {{ @IProper }} {{ @iProper }} :- !) =>
4
       copy Proper IProper'),
5
     type-to-fun IProper' IProper,
     std.map {std.iota Ps} (x\r = {\{ \}}) Holes, !,
7
     do-iApplyLem (app [IProper | Holes]) IH [
8
9
       (h\ sigma PType\ sigma PProof\
10
            sigma List\ sigma Holes2\ !,
11
         h = hole PType PProof,
12
         std.iota Ps List,
         std.map List (x\r\ r = \{\{ _{} \}\}) Holes2,
13
         coq.elaborate-skeleton (app [Mono | Holes2])
```

We won't discuss this last proof generator in full detail but explain what is generally accomplished by the different lines of code. The proof generator again takes the same arguments as the previous two steps. Lines 4-7 transform the signature of the pre fixpoint function into a statement we can apply to the goal. The complexity comes from having to consider parameters, which we discuss in section 5.9.

Line 8 applies this statement, resulting in 3 holes we need to solve. The first hole is a non-Iris hole that resulted from transforming the goal into an Iris entailment. This hole has to be solved in CPS. This is done in lines 9-15. Lines 9-15 apply the proof of monotonicity to solve the | IProper | condition<sup>1</sup>.

Line 17 ensures that the monotonicity is applied on "HF". Next, lines 18-23 solve the following goal using another instance of the do-iIntros proof generator.

Thus proving the right to left unfolding property. This proof together with the other two proofs of this section are defined as  $|is_MLL_unfold_1|$ ,  $|is_MLL_unfold_2|$  and  $|is_MLL_unfold|$ .

#### 5.5 Constructor lemmas

The constructors of the inductive predicate are transformed into lemmas that can be applied during a proof utilizing inductive predicates. By again recursing on the type term, a lemma is generated per constructor.

```
1  \( \text{V} \cdot \text{val} \) (\text{vs} : \( \text{list val} \),
2  \( \text{V} \text{V} = \text{InjLV} \) #() \( \text{V} \text{S} = \text{[]} \( \text{T} \text{V} \text{S} = \text{MLL V VS} \)
3  \( \text{Coq} \)
```

<sup>&</sup>lt;sup>1</sup>This section of code cannot make use of spilling, thus creating many more lines and temporary variables. We cannot use spilling since the hidden temporary variables created by spilling are defined at the top level of the predicate. Thus, they cannot hold any binders that we might be under. So to solve this, we define any temporary variables ourselves using the **sigma** X\ connective.

Both constructor lemmas are a magic wand of the associated constructor to the fix-point. They are defined with the name of their respective constructor, | empty\_is\_MLL | mark is MLL | and | cons is MLL  $|^2$ .

#### 5.6 Iteration and induction lemmas

The iteration and induction lemmas follow the same strategy as the previous sections. The iteration property that we prove is:

The induction lemma that we prove is:

These both mirror the iteration property and induction lemma from section 3.3thesis.pdf. They are defined as  $|is\_MLL\_iter|$  and  $|is\_MLL\_ind|$ .

#### 5.7 eiInduction tactic

The eiInduction tactic will apply the induction lemma and perform follow-up proof steps such that we get base and inductive cases to prove. We first show an example of applying the induction lemma and then show how the eiInduction tactic implements the same and more.

<sup>&</sup>lt;sup>2</sup>These constructors could be simplified by substituting using the equalities on lines 2, 7 and 13. However, this was not implemented in this thesis.

#### Example 5.1

We show how to apply the induction lemma in a Coq lemma. We take as an example lemma 2.2thesis.pdf.

```
Lemma MLL_delete_spec (vs : list val)
(i : nat) (hd : val) :

[[{ is_MLL hd vs }]]
MLL_delete hd #i
[[{ RET #(); is_MLL hd (delete i vs) }]].
Proof.
```

The proof of this Hoare triple was by induction. Thus, we first prepare for the induction step, resulting in the following proof state.

```
vs: list val
hd: val

"His": is_MLL hd vs

"V (P: val → iPropI Σ) (i: nat),
 (is_MLL hd (delete i vs) -* P #()) -*

WP MLL_delete hd #i [{ v, P v }]
```

Here | "His" is the assumption we apply induction on. As  $|\Phi|$  we choose the function:

```
1 λ (hd: val) (vs: list val), Coq
2 ∀ (P: val → iPropI Σ) (i: nat),
3 (is_MLL hd (delete i vs) -* P #()) -*
4 WP MLL_delete hd #i [{ v, P v }]
```

Allowing us to apply the induction lemma.

The eiInduction tactic is called as eiInduction "His" as "[...]". It takes the name of an assumption and an optional introduction pattern.

This is the proof generator for induction proofs. It takes the identifier of the induction assumption and the introduction pattern. If there is no introduction pattern given, | IP is | iAll . Lastly, the proof generator takes the iris hole to apply induction in.

On line 3 we get the fixpoint object and its arguments. Next, on line 4 and 5, we search in the database for the induction lemma and Coq inductive object associated with

this fixpoint. This information is all given to the inner function.

The inner predicate is used to recursively descent through the inductive data structure and apply any parameters to the induction lemma. Next, the conclusion of the Iris entailment is taken out of the goal. It is transformed into a function over the remaining arguments of the induction assumption. And we apply the induction lemma with the applied parameters and the function.

The resulting goal first gets general introduction steps and then either applies the introduction pattern given or just destructs into the base and induction cases.

#### 5.8 eiIntros integrations

The eiIntros tactic gets additional cases for destructing induction predicates. Whenever a disjunction elimination introduction pattern is used, the tactic first checks if the connective to destruct is an inductive predicate. If this is the case, it first applies the unfolding lemma before doing the disjunction elimination.

We also added a new introduction pattern "\*\*". This introduction pattern checks if the current top-level connective is an inductive predicate. If this is the case, it uses unfolding and disjunction elimination to eliminate the predicate.

#### 5.9 Parameters

The eilnd command can handle Coq binders for the whole Coq inductive statement, also called *parameters* in this chapter. Consider this modified inductive predicate for MLL.

```
Inductive is_R_MLL {A} (R : val -> A -> iProp) :

val → list A → iProp :=

| empty_is_R_MLL : is_R_MLL R NONEV []
| mark_is_R_MLL v xs l tl :
| l ↦ (v, #true, tl) -* is_R_MLL R tl xs -*
| is_R_MLL R (SOMEV #l) xs
| cons_is_R_MLL v x xs tl l :
| l ↦ (v, #false, tl) -* R v x -*
| is_R_MLL R tl xs -*
| is_R_MLL R (SOMEV #l) (x :: xs).
```

Instead of equating the values in the MLL to a list of values, we instead use an explicit relation to relate the values in the MLL to the list. To accomplish this, we add two parameters,  $|\{A\}|$  and |(R: val -> A -> iProp)|. These values of  $|is_RMLL|$  do not change during the inductive, and thus they are handled differently.

When receiving the inductive value in the command, the **inductive** constructor is wrapped in binders for each parameter. Thus, when interpreting the inductive statement, we keep track of all binders of parameters and add the type of the binder to the Elpi type context.

Now, whenever we make a term which we define in Coq, we have to put add the parameters. Consider the pre fixpoint function of \|is\_R\_MLL\|\] before adding the fixpoints.

We only consider the interesting constructor. The term still contains Elpi binders, which are not bound in the term. We solve this problem using the following Elpi predicate.

It takes a list of parameters containing the name, type, and binder of the constant, and the term we want to bind parameters in. If there are still parameters left to bind, we first recursively bind the rest of the parameters. Next, we copy the term with the other parameters bound into the function <code>FTerm</code>, however when we encounter the parameter during copying we instead use the binder of <code>FTerm</code>. Lastly, we fix the type of the name of the parameter. The returned term is a Coq function based on <code>FTerm</code> and the name and type of the parameter. We have a similar predicate, <code>replace-params-ty</code> to bind parameters in dependent products, instead of lambda functions.

We make use of the above predicate to transform [F] into the pre fixpoint function.

```
1 λ (A : Type) (R : val → A → iProp)
2 (F : val → list A → iProp)
3 (H : val) (H0 : list A),
4 (ΓH = InjLV #()¬ * ΓH0 = []¬)
5 V (∃ (v : val) (xs : list A) l (tl : val),
6 l ↦ (v, #true, tl) * F tl xs *
7 ΓH = InjRV #l¬ * ΓH0 = xs¬)
8 V ∃ (v : val) (x : A) (xs : list A) (tl : val) l,
9 l ↦ (v, #false, tl) * R v x * F tl xs *
ΓH = InjRV #l¬ * ΓH0 = x :: xs¬
```

We use replace-params-bo and replace-params-ty to bind parameters in any terms created during eiInd. During proof generation, we also need to keep parameters in mind. When applying lemmas generated during creation of the inductive predicate, we have to add holes for any parameters of the inductive predicate. An example of this procedure can be found on line 7 of mk-unfold.r->1.2 in section 5.4.

#### 5.10 Application to other inductive predicates

In this section we show how the system we develop in this thesis is applicable to a real-world example.

In the IPM, the total weakest precondition proof rules are not axioms. They are derived from the definition of the total weakest precondition, and, the total weakest precondition is defined in terms of the base Iris logic. This definition is a fixpoint following the procedure in section 3.2thesis.pdf.

Using our system we can redefine the total weakest precondition using the following eiInd command.

```
Coq
     eiInd
    Inductive twp (s : stuckness) :
           coPset → expr Λ →
           (val \Lambda -> iProp \Sigma) -> iProp \Sigma :=
        | twp_some E v e1 Φ :
           ( | ={E} => \Phi \ V ) -*
           ^{\mathsf{r}}to_val e1 = Some ^{\mathsf{r}} -*
           twp s E e1 Φ
          twp_none E e1 Φ :
9
           (\forall \sigma 1 \text{ ns } \kappa s \text{ nt},
10
                state_interp \sigma 1 ns \kappa s nt ={E,\emptyset}=*
11
                rif s is NotStuck then reducible_no_obs e1 σ1
12
                                           else True<sup>¬</sup> *
13
               \forall \kappa e2 \sigma2 efs,
                   \lceil \text{prim\_step e1 } \sigma 1 \text{ } \kappa \text{ e2 } \sigma 2 \text{ efs} \rceil = \{\emptyset, E\} = *
15
                   \lceil K = [] \rceil *
16
                  state_interp \sigma 2 (S ns) \kappa s (length efs + nt) *
17
                  twp s E e2 Φ *
18
                   [* list] ef ∈ efs, twp s ⊤ ef fork_post)
19
               rto_val e1 = None
20
               twp s E e1 Φ.
21
```

It contains several Iris connectives we have not seen so far, and thus need to a signature. On line 11 and 15 we have the fancy update connective,  $=\{\_,\_\}=*$ , and on line 19 we have the iterated separating conjunction, [\*list]. That is the only addition to the commands and tactics we need to make this inductive definition work.

Resulting from this inductive statement, we get all the properties of the fixpoint and the induction lemma for the total weakest precondition. These allow us to define all the proof rules.

We can thus use eiInd with the associated tactics to define useful and large inductive predicates and provide proofs about them.