

## Chapter 3

# Fixpoints for representation predicates

- We will show how one can apply the Tarski Fixpoint theorem to create an inductive predicate and how we can create the induction principle from it.

### 3.1 Problem statement

- The logic described here is embedded in Coq.
- We are only allowed to do structural recursion
- The recursive formulation of `isMLL` is not structurally recursive
- We need another way to define this predicate
- Iris already has a way of defining fixpoints that would be applicable
- Least fixpoints
- Inspired by the Tarski Fixpoint theorem on lattices and ?
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### 3.2 Least fixpoint in Iris

#### Definition 3.1 (*Monotone predicate*)

Predicate  $F: (A \rightarrow iProp) \rightarrow A \rightarrow iProp$  is monotone when for any  $\Phi, \Psi: A \rightarrow iProp$ , it holds that

$$\vdash \Box(\forall x. \Phi x \multimap \Psi x) \multimap \forall x. F\Phi x \multimap F\Psi x$$

- Note that there would have been a similar way we could have written the property of a monotone predicate.

$$\Box (\forall x. \Phi x \multimap \Psi x) * \mathsf{F}\Phi x \vdash \mathsf{F}\Psi x$$

- This would be more inline with the way they are written in chapter 2
- However, these rules are a lot more strict in what the context is in which they are used, thus making them a lot harder to use.
- Also, it is the way they are written and used in Iris
- We thus write these like in the definition from now on
- Using this definition of monotone we can define the least fixpoint theorem.

**Theorem 3.2 (*Least fixpoint*)**

Given a monotone predicate  $\mathsf{F}: (A \rightarrow iProp) \rightarrow A \rightarrow iProp$ , there exists a least fixpoint  $\mu\mathsf{F}: A \rightarrow iProp$  such that

1.

$$\mu\mathsf{F} x \dashv\vdash \mathsf{F}(\mu\mathsf{F}) x$$

2.

$$\vdash \Box (\forall y. \mathsf{F}\Phi y \multimap \Phi y) \multimap \forall x. \mu\mathsf{F} x \multimap \Phi x$$

*Proof.* Given a monotone predicate  $\mathsf{F}: (A \rightarrow iProp) \rightarrow A \rightarrow iProp$  we define  $\mu\mathsf{F}$  as

$$\mu\mathsf{F} x \triangleq \forall \Phi. \Box (\forall y. \mathsf{F}\Phi y \multimap \Phi y) \multimap \Phi x$$

We now prove the two properties of the least fixpoint

1. We start with proving this right to left, then using the result, prove left to right.

**R-L** We first unfold the definition of  $\mu\mathsf{F} x$ .

$$\mathsf{F} \mu\mathsf{F} x \vdash \forall \Phi. \Box (\forall y. \mathsf{F}\Phi y \multimap \Phi y) \multimap \Phi x$$

Next we introduce  $\Phi$  and the wand.

$$\mathsf{F} \mu\mathsf{F} x * \Box (\forall y. \mathsf{F}\Phi y \multimap \Phi y) \vdash \Phi x$$

We now apply  $\Box (\forall y. \mathsf{F}\Phi y \multimap \Phi y)$  to  $\Phi x$ .

$$\mathsf{F} \mu\mathsf{F} x * \Box (\forall y. \mathsf{F}\Phi y \multimap \Phi y) \vdash \mathsf{F}\Phi x$$

We can now use the monotonicity of  $F$  with the assumption  $F \mu F x$

$$\Box(\forall y. F \Phi y \multimap \Phi y) \vdash \mu F x \multimap \Phi x$$

After unfolding the definition of  $\mu x$  and introducing the wand we get

$$(\forall \Phi. \Box(\forall y. F \Phi y \multimap \Phi y) \multimap \Phi x) * \Box(\forall y. F \Phi y \multimap \Phi y) \vdash \Phi x$$

This statement holds by application of the first assumption.

**L-R** We again first unfold the definition of  $\mu F x$ .

$$\forall \Phi. \Box(\forall y. F \Phi y \multimap \Phi y) \multimap \Phi x \vdash F \mu F x$$

We apply the assumption with  $\Phi = F \mu F$  resulting in the following statement after introductions

$$F (F \mu F) x \vdash F \mu F x$$

This holds because of monotonicity of  $F$  and the above proved property.

2. This follows directly from unfolding the definition of  $\mu F$ .

□

- The second property of the least fixpoint is the normal induction property.
- However, it is often useful to make it stronger

**Lemma 3.3 (*least fixpoint strong induction principle*)**

Given a monotone predicate  $F: (A \rightarrow iProp) \rightarrow (A \rightarrow iProp)$ , it holds that

$$\Box(\forall x. F (\lambda y. \Phi y \wedge \mu F y) x \multimap \Phi x) \multimap \forall x. \mu F x \multimap \Phi x$$

- We now show how this can be applied to create the `isMLL` predicate

**Example 3.4 (*Iris least fixpoint of isMLL*)**

- We want to transform the non-structurally recursive definition of `isMLL` into a least fixpoint

$$\text{isMLL } hd \vec{v} = \begin{array}{l} hd = \mathbf{none} * \vec{v} = [] \\ \vee (\exists \ell, v', tl. hd = \mathbf{some } l * l \mapsto (v', \mathbf{true}, tl) * \text{isMLL } tl \vec{v}) \\ \vee \left( \exists \ell, v', \vec{v}'', tl. \begin{array}{l} hd = \mathbf{some } l * l \mapsto (v', \mathbf{false}, tl) * \\ \vec{v} = v' :: \vec{v}'' * \text{isMLL } tl \vec{v}'' \end{array} \right) \end{array}$$

- We start by ?ing any recursive calls in the definition in order to create a functor?

$$\text{isMLL}_F \Phi \, hd \, \vec{v} \triangleq \begin{array}{l} hd = \mathbf{none} * \vec{v} = [] \\ \vee (\exists \ell, v', tl. hd = \mathbf{some} \, \ell * \ell \mapsto (v', \mathbf{true}, tl) * \Phi \, tl \, \vec{v}) \\ \vee \left( \exists \ell, v', \vec{v}'', tl. \begin{array}{l} hd = \mathbf{some} \, \ell * \ell \mapsto (v', \mathbf{false}, tl) * \\ \vec{v} = v' :: \vec{v}'' * \Phi \, tl \, \vec{v}'' \end{array} \right) \end{array}$$

- Predicate  $\text{isMLL}_F$  now has type  $(Val \rightarrow \vec{Val} \rightarrow iProp) \rightarrow Val \rightarrow \vec{Val} \rightarrow iProp$
- However, the least fixpoint only works for functors of type  $(A \rightarrow iProp) \rightarrow A \rightarrow iProp$
- We solve this by currying  $\text{isMLL}_F$  into  $\text{isMLL}'_F : ((Val, \vec{Val}) \rightarrow iProp) \rightarrow (Val, \vec{Val}) \rightarrow iProp$

$$\text{isMLL}'_F \Phi (hd, \vec{v}) \triangleq \text{isMLL}_F \Phi \, hd \, \vec{v}$$

- In order to apply the fixpoint theorem, we need  $\text{isMLL}'_F$  to be monotone

*Proof.* To prove  $\text{isMLL}'_F$  is monotone, we need the following to hold.

$$\Box (\forall (hd, \vec{v}). \Phi(hd, \vec{v}) \multimap \Psi(hd, \vec{v})) \multimap \forall (hd, \vec{v}). \text{isMLL}'_F \Phi(hd, \vec{v}) \multimap \text{isMLL}'_F \Psi(hd, \vec{v})$$

We can apply the definition of  $\text{isMLL}'_F$ , introduce the wands, eliminate the disjunctions on the left and introduce the matching disjunctions on the right in order to get three statements to prove.

**Empty MLL:** We need to prove

$$\Box (\forall (hd, \vec{v}). \Phi(hd, \vec{v}) \multimap \Psi(hd, \vec{v})) * hd = \mathbf{none} * \vec{v} = [] \vdash hd = \mathbf{none} * \vec{v} = []$$

This holds trivially

**Marked head:** We first eliminate any existentials on the left and introduce them using the gained variables on the right. We now need to prove

$$\Box (\forall (hd, \vec{v}). \Phi(hd, \vec{v}) \multimap \Psi(hd, \vec{v})) * hd = \mathbf{some} \, \ell * \ell \mapsto (v', \mathbf{true}, tl) * \Phi \, tl \, \vec{v} \vdash hd = \mathbf{some} \, \ell * \ell \mapsto (v', \mathbf{true}, tl) * \Psi \, tl \, \vec{v}$$

The propositions that don't include  $\Phi$  or  $\Psi$  cancel each other out, and we are left with the following.

$$\Box (\forall (hd, \vec{v}). \Phi(hd, \vec{v}) \multimap \Psi(hd, \vec{v})) * \Phi \, tl \, \vec{v} \vdash \Psi \, tl \, \vec{v}$$

This holds trivially using  $\Box$ -E.

**Unmarked head:** We follow the same prove steps as in the marked head case.  $\square$

- Given that  $\text{isMLL}'_{\text{F}}$  is monotone, we now know from theorem 3.2 that the least fixpoint exists of  $\text{isMLL}'_{\text{F}}$
- We can now define  $\text{isMLL}'_{\text{F}}$  as

$$\begin{aligned}\text{isMLL}'(hd, \vec{v}) &\triangleq \mu(\text{isMLL}'_{\text{F}})(hd, \vec{v}) \\ &= \forall \Phi. \square(\forall y. \text{isMLL}'_{\text{F}} \Phi y \multimap \Phi y) \multimap \Phi x\end{aligned}$$

- To finish the definition of  $\text{isMLL}$  we uncurry the created fixpoint

$$\text{isMLL } hd \vec{v} \triangleq \text{isMLL}'(hd, \vec{v})$$

Question: Also highlight the strong induction already or not?

### 3.3 Changing arities

- We modify the definitions as described in Iris to allow for multiple arity functors.
- The first step in automating creation of fixpoints is to deal with predicates with more than one argument
- In example 3.4 we solved this by currying the predicate before taking the fixpoint
- When automating the process we solved this somewhat differently
- We change the definitions of and theorems used to match the arity of the predicate we want to take the fixpoint of

#### Definition 3.5 (*Monotone predicate*)

For any  $n \in \mathbb{N}$ , predicate  $F: (A_1 \rightarrow \dots \rightarrow A_n \rightarrow iProp) \rightarrow A_1 \rightarrow \dots \rightarrow A_n \rightarrow iProp$  is monotone when for any  $\Phi, \Psi: A_1 \rightarrow \dots \rightarrow A_n \rightarrow iProp$ , it holds that

$$\vdash \square(\forall x_1, \dots, x_n. \Phi x_1 \dots x_n \multimap \Psi x_1 \dots x_n) \multimap \forall x_1, \dots, x_n. F \Phi x_1 \dots x_n \multimap F \Psi x_1 \dots x_n$$

- This definition also applies for  $n = 0$

- For example, we can prove the separating conjunction monotone in both its arguments

**Lemma 3.6 (*Seperation conjunction is monotone*)**

*The separation conjunction is monotone in its left and right argument.*

*Proof.* We only prove monotonicity in its left argument, the proof for the right side is identical. We thus need to prove  $\Phi_R P = P * R$  is monotone. expanding the definition of monotone for arity one we get the following statement.

$$\vdash \Box(P \multimap Q) \multimap P * R \multimap Q * R$$

We introduce the wands and persistence modalities giving us the assumptions,  $P \multimap Q$ ,  $P$  and  $R$ . We then use  $*\text{-MONO}$  using the first two assumptions for proving  $P$  and using the last assumption for proving  $R$ . That  $P \multimap Q * P \vdash Q$  holds follows from  $\multimap\text{-I-E}$ , and  $R \vdash R$  holds directly.  $\square$

- In the same way we also modify the least fixpoint theorem

**Theorem 3.7 (*Least fixpoint*)**

*Given an  $n \in \mathbb{N}$  and a monotone predicate  $F: (A_1 \rightarrow \dots \rightarrow A_n \rightarrow iProp) \rightarrow A_1 \rightarrow \dots \rightarrow A_n \rightarrow iProp$ , there exists a least fixpoint  $\mu F: A_1 \rightarrow \dots \rightarrow A_n \rightarrow iProp$  such that*

1.

$$\mu F x_1 \dots x_n \dashv\vdash F(\mu F) x_1 \dots x_n$$

2.

$$\vdash \Box(\forall y_1, \dots, y_n. F \Phi y_1 \dots y_n \multimap \Phi y_1 \dots y_n) \multimap \forall y_1, \dots, y_n. \mu F x_1 \dots x_n \multimap \Phi x_1 \dots x_n$$

- The proof follows the same steps as the proof for theorem 3.2

### 3.4 Monotone proof search

- We create a system for syntactically finding proofs of monotonicity
- Based on generalized rewriting system in coq by Sozeau [Soz09].
- Define monotonicity of connectives in separation logic using proper elements of relations

TODO: This is not sufficient but stuck on it

**Definition 3.8 (*Proper element of a relation*)**

*Given a relation  $R: A \rightarrow A \rightarrow iProp$  and an element  $x \in A$ ,  $x$  is a proper*

element of  $R$  if  $R x x$

- When the relation is reflexive, all possible elements are Proper
- For example if we take the magic wand as relation, all propositions are proper.
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**Definition 3.9 (*Respectful relation*)**

The respectful relation  $R \Longrightarrow R': (A \rightarrow B) \rightarrow (A \rightarrow B) \rightarrow iProp$  of two relations  $R: A \rightarrow A \rightarrow iProp$ ,  $R': B \rightarrow B \rightarrow iProp$  is defined as

$$R \Longrightarrow R' \triangleq \lambda f, g. \forall x, y. R x y \multimap R' (f x) (g y)$$

**Definition 3.10 (*Persistent relation*)**

The persistent relation  $\Box R: A \rightarrow A \rightarrow iProp$  for a relation  $R: A \rightarrow A \rightarrow iProp$  is defined as

$$\Box R \triangleq \lambda x, y. \Box (R x y)$$

- We can rewrite lemma 3.6 using the relations we described above

**Lemma 3.11 (*Separating conjunction monotone*)**

The separating conjunction is a proper element of the relation

$$\Box \multimap \Longrightarrow \Box \multimap \Longrightarrow \multimap$$

- Writing out the above statement gives

$$\vdash \forall P, Q. \Box (P \multimap Q) \multimap \forall P', Q'. \Box (P' \multimap Q') \multimap P \multimap Q \multimap P' \multimap Q'$$

- This is monotonicity on the left and right side of the separating conjunction at the same time

**Definition 3.12 (*Pointwise relation*)**

The pointwise relation  $\triangleright R$  is a special case of a respectful relation defined as

$$\triangleright R \triangleq (= \Longrightarrow R)$$

**Lemma 3.13 (*Existential quantification monotone*)**

The existential quantification is a proper element of the relation

$$\Box (\triangleright \multimap) \Longrightarrow \multimap$$

**Example 3.14 (isMLL<sub>F</sub> is monotone)**

The predicate isMLL<sub>F</sub> is monotone in its first argument. Thus, isMLL<sub>F</sub> is a proper element of

$$\Box(\triangleright \triangleright \neg*) \implies \triangleright \triangleright \neg*$$

$$\Box(\forall hd \vec{v}. \Phi hd \vec{v} \neg* \Psi hd \vec{v}) \neg* \forall hd \vec{v}. \text{isMLL}_F \Phi hd \vec{v} \neg* \text{isMLL}_F \Psi hd \vec{v}$$

*Proof.* We assume  $\Box(\forall hd \vec{v}. \Phi hd \vec{v} \neg* \Psi hd \vec{v})$  holds and for arbitrary  $hd$  and  $\vec{v}$ ,  $\text{isMLL}_F \Phi hd \vec{v}$  holds. After applying the definition of isMLL<sub>F</sub> we need to prove

$$\text{isMLL}_F \Psi hd \vec{v}$$

□