# Threads

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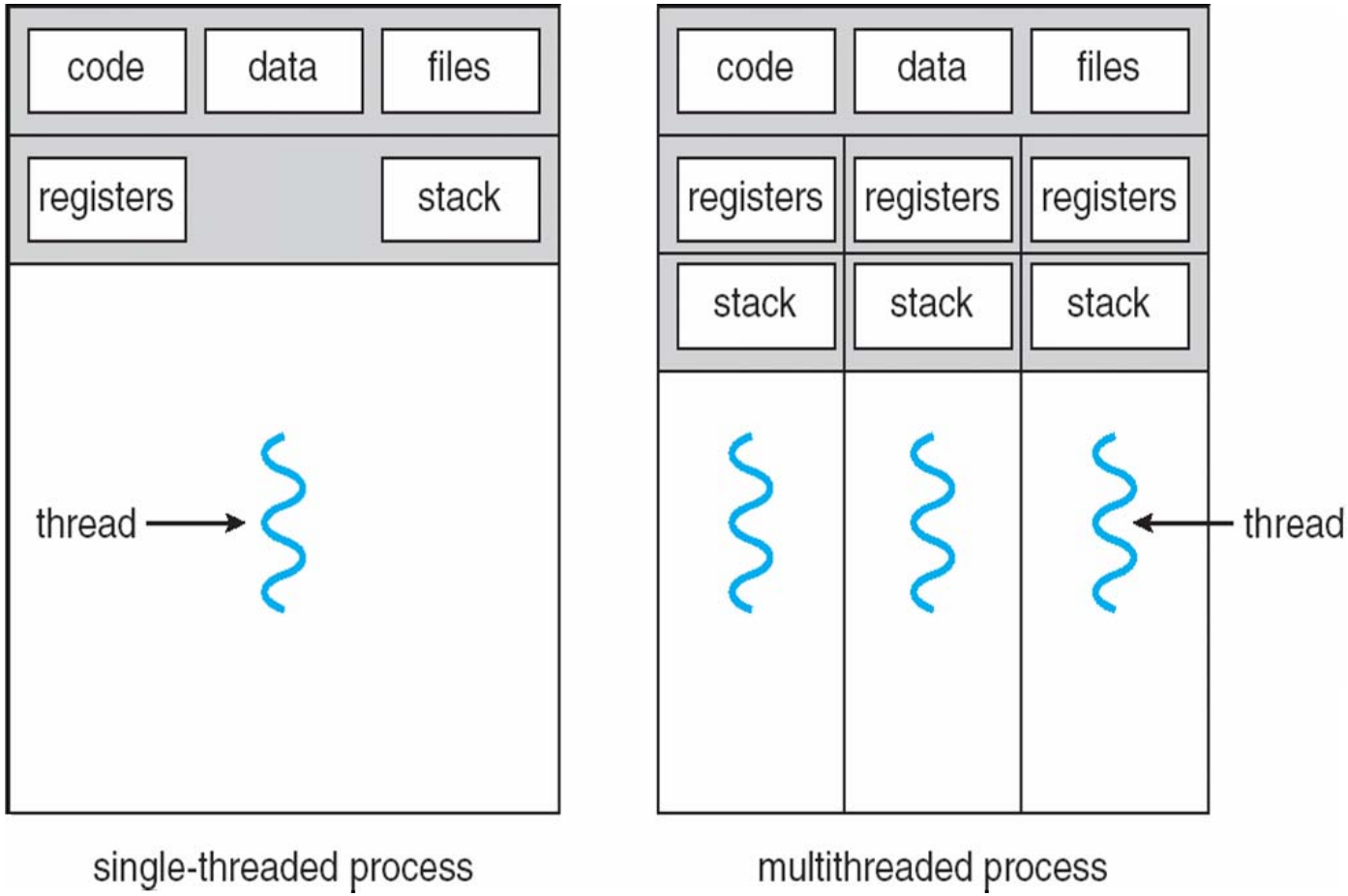
# What is a thread?

- A thread is a basic unit of CPU utilization
  - contains a thread ID, a program counter, a register set, and a stack
  - shares with other threads belonging to the same process
    - code section
    - data section
    - other operating-system resources, such as open files

# Single and Multithreaded Processes



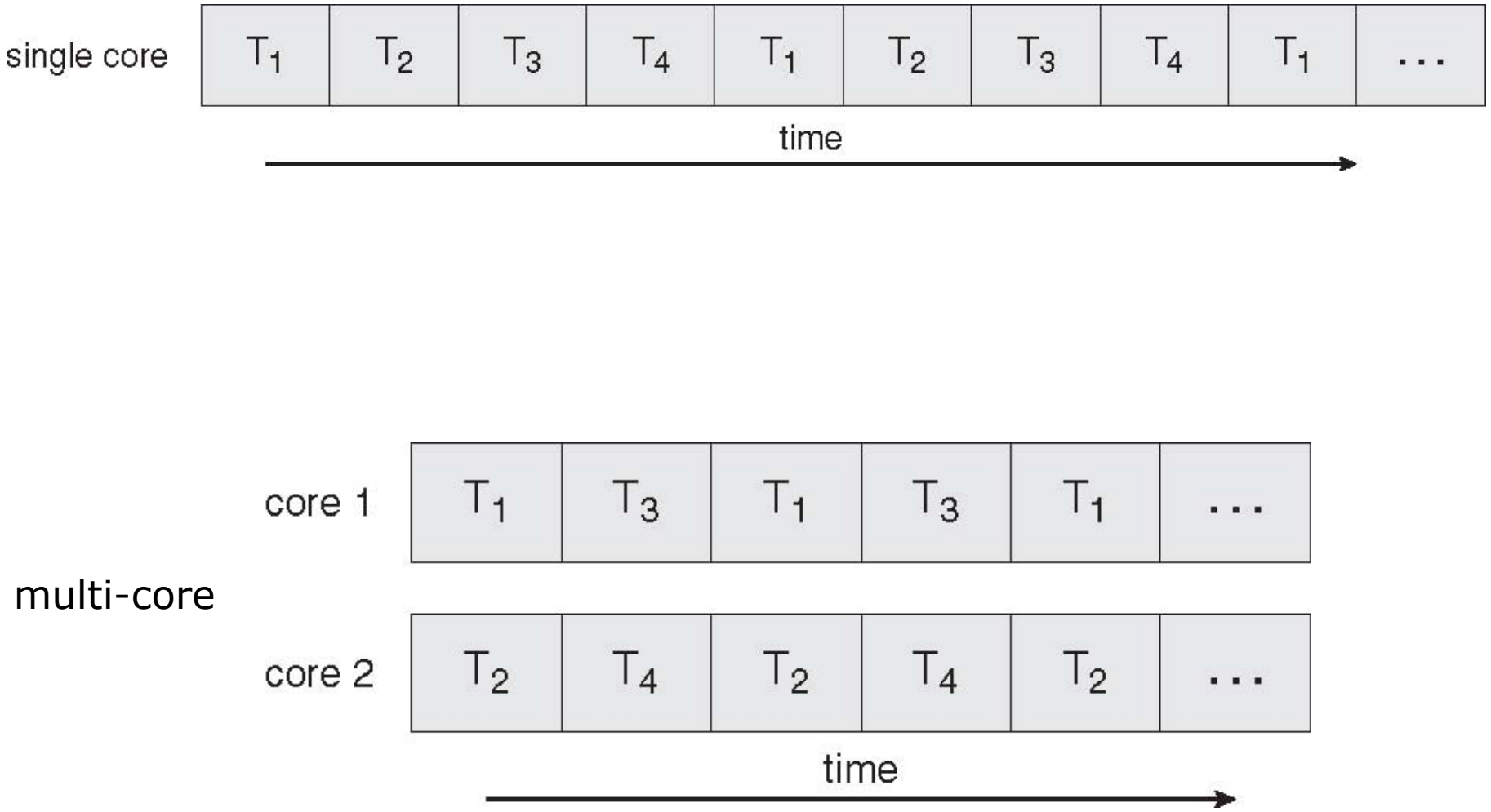
# Motivation

- Threads run within application
- Multiple tasks with the application can be implemented by separating threads
  - Update display
  - Fetch data
  - Spell checking
  - Answer a network request
- Process creation is heavy-weight while thread creation is light-weight
- Increase efficiency of Client-Server applications
- Kernels are generally multithreaded

# Benefits

- **Responsiveness**
  - A program continues running even if part of it is blocked or is performing a lengthy operation
- **Resource Sharing**
  - Threads share the memory and the resources of the process to which they belong
  - IPC techniques are not needed
- **Economy**
  - Creating a thread is much faster than creating a process
- **Scalability**
  - Multithreading on a multiprocessor machine increases concurrency

# Parallel Execution on a Multi-core System



# Process vs. Thread

**Process**

1. independent
2. carries considerably more state information
3. has separate address space
4. interact only through IPC
5. context switching is relatively slow

**Thread**

1. exists as subsets of a process
2. shares process state as well as memory and other resources
3. shares process's address space
4. more ways to communicate
5. context switching in the same process is typically faster

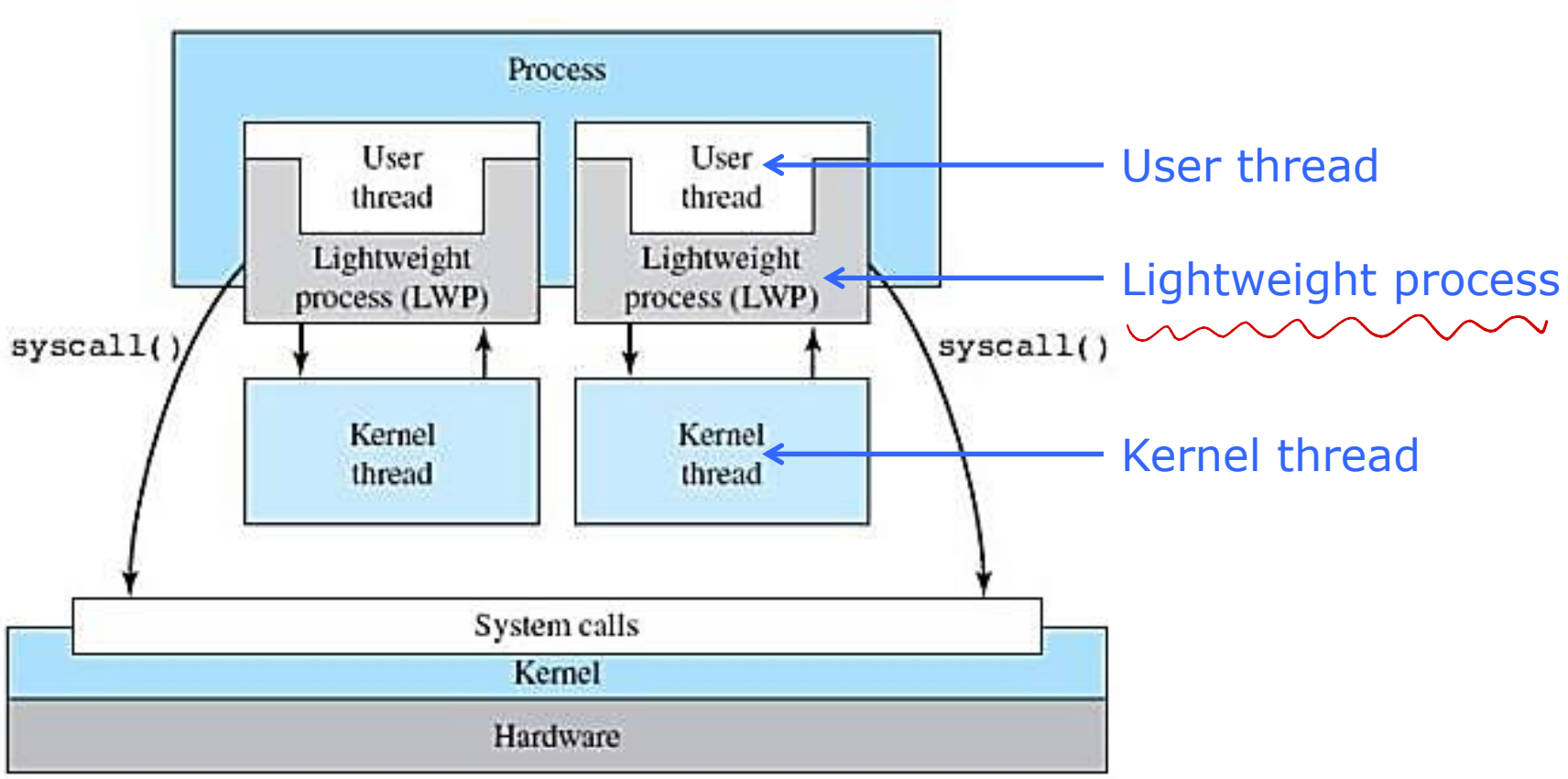
# Supports for Threads

- Kernel Threads
  - Supported by the operating system kernel
  - Examples
    - Windows XP/2000, Solaris, Linux, Tru64 UNIX, Mac OS X

- User Threads
  - Thread management done by user-level threads library
  - Three primary thread libraries:
    - POSIX **Pthreads**
    - Win32 threads
    - Java threads

使用用户级别的线程库

# Thread Model

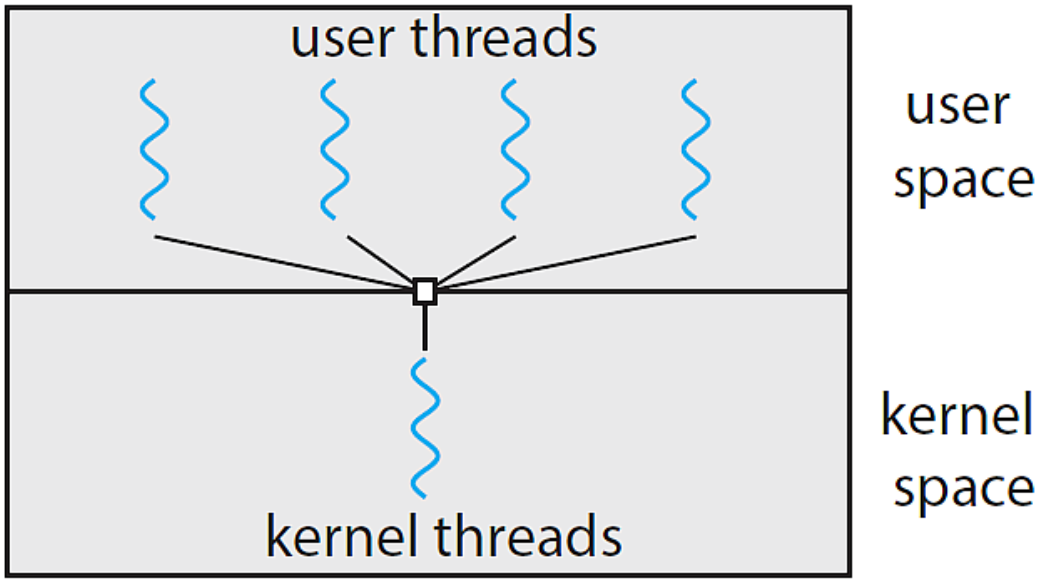


# Multithreading Models

- Four common connections between user threads and kernel threads
  - Many-to-One
  - One-to-One
  - Many-to-Many
  - Two-Level Model

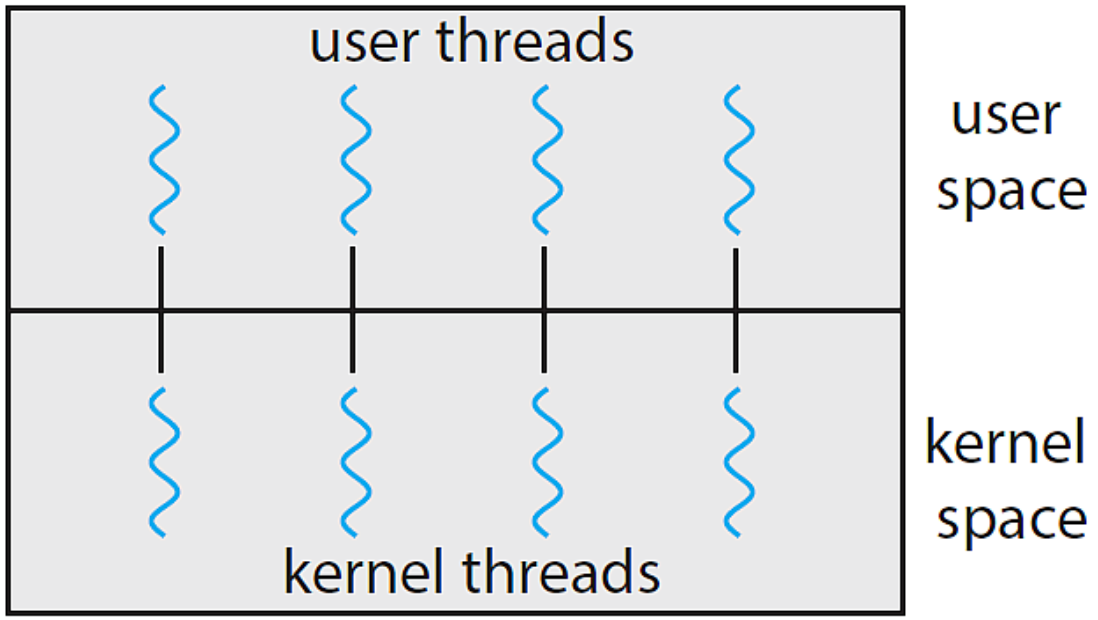
# Many-to-One Model

- Many user-level threads are mapped to a single kernel thread
- Strength
  - Multiple threads are hidden by user-level thread library
- Weaknesses
  - The entire process will block if a thread makes a blocking system call
  - Multiple threads are unable to run in parallel on multiprocessors
- Examples:
  - **Solaris Green Threads**
  - **GNU Portable Threads**



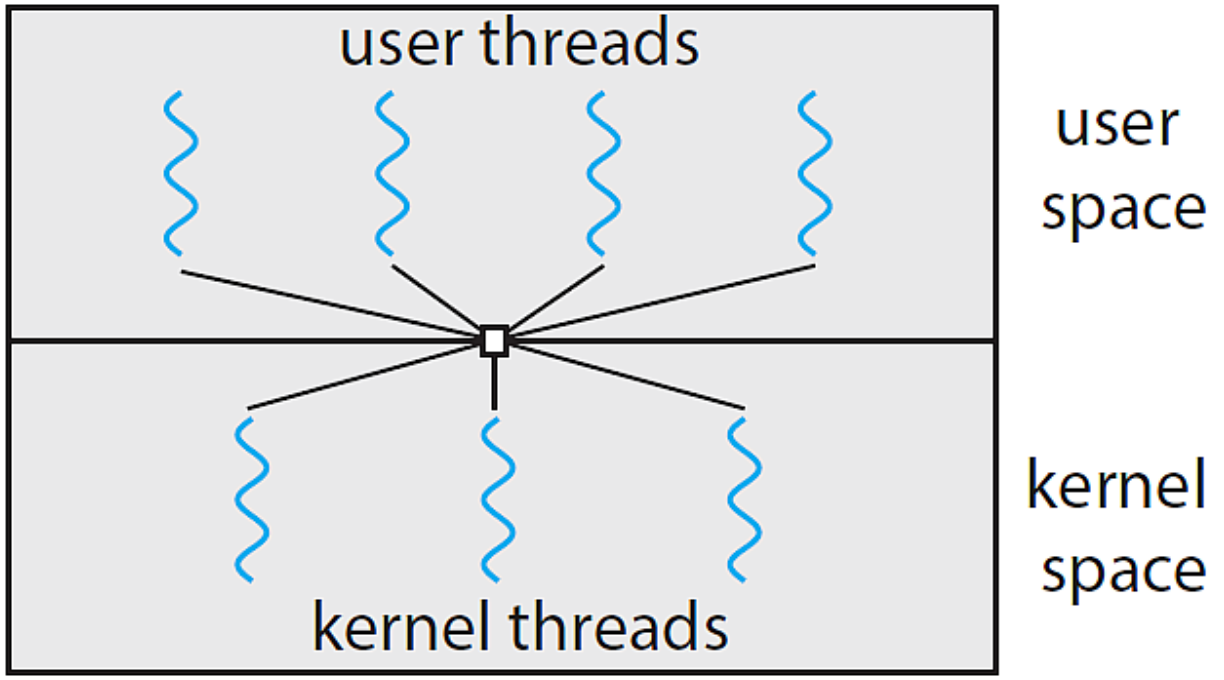
# One-to-One

- Each user-level thread is mapped to a kernel thread
- Strength
  - More concurrency 并行性
- Weakness
  - Creating a user thread requires creating the corresponding kernel thread, which incurs overhead
- Examples
  - Windows NT/XP/2000
  - Linux
  - Solaris 9 and later



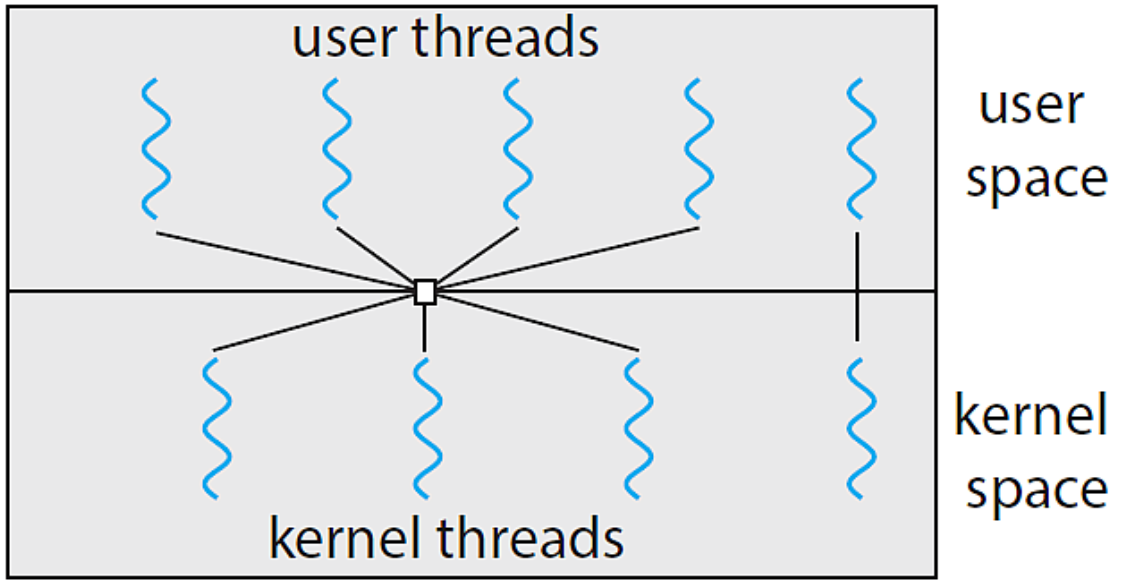
# Many-to-Many Model

- Allows many user level threads to be mapped to many kernel threads
  - The operating system creates a sufficient number of kernel threads
- Examples
  - Windows NT/2000 with the *ThreadFiber* package



# Two-Level Model

- Similar to Many-to-Many, except that it allows a user thread to be **bound** to a kernel thread
- Examples
  - IRIX
  - HP-UX
  - Tru64 UNIX
  - Solaris 8 and earlier



# Thread Libraries

- **Thread library** provides programmer with API for creating and managing threads
- Two primary ways of implementation
  - User-level threads library
    - All codes and data structures for the library exist in user space
    - Invoking a function in the library results in a local function call in user space
  - Kernel-level threads library supported by the OS
    - Code and data structures for the library exist in kernel space
    - Invoking a function in the library results in a system call to the kernel
- Three primary thread libraries:
  - POSIX **Pthreads**, Win32 threads, Java threads

# Pthreads

- Is provided either in user-level or kernel-level
- A POSIX standard (IEEE 1003.1c) API for thread creation and synchronization
- API specifies behavior of the thread library, implementation is up to development of the library
- Common in UNIX operating systems (Solaris, Linux, Mac OS X)

# Example Using Pthreads

```
#include <pthread.h>
#include <stdio.h>

int sum;                /* this data is shared by the thread(s) */

/* The thread will begin control in this function */
void *runner(void *param);
{
        int i, upper = atoi(param);
        sum = 0;
        for (i = 1; i <= upper; i++)
            sum += i;
        pthread_exit ( 0) ;
}
```

# Example Using Pthreads (Cont.)

```
int main(int argc, char *argv[])
{
    pthread_t tid;          /* the thread identifier */
    pthread_attr_t attr;    /* set of thread attributes */

    /* get the default attributes */
    pthread_attr_init (&attr);
    /* create the thread */
    pthread_create(&tid, &attr, runner, argv[1]) ;
    /* wait for the thread to exit */
    pthread_join(tid, NULL) ;

    printf (" sum = %d\n", sum) ;
}
```

线程属性.

创建一个新的线程

父线程 — main()

子线程 — runner()

与单核的函数调用的不同：

在创建线程后，main 和 runner 是同时运行的，不存在时间排列的问题

如果没有 join.

那么 sum 的值有可能只是默认值而不是累加值.

# Threading Issues

- **Semantics** of **fork()** and **exec()** system calls
  - Does **fork()** duplicate only the calling thread or all threads?
  - **exec()** will replace the entire process with the program specified in the parameter
- **Thread cancellation** of **target thread**
  - Terminating a thread before it has finished
  - Two general approaches:
    - **Asynchronous cancellation** terminates the target thread immediately.
    - **Deferred cancellation** allows the target thread to periodically check if it should be cancelled.

# Threading Issues (Cont.)

- **Signal** handling
  - Signals are used in UNIX systems to notify a process that a particular event has occurred.
  - **Synchronous** and **asynchronous**
  - A **signal handler** is used to process signals
    1. Signal is generated by particular event
    2. Signal is delivered to a process
    3. Signal is handled
  - **Delivery** options:
    - Deliver the signal to the thread to which the signal applies
    - Deliver the signal to every thread in the process
    - Deliver the signal to certain threads in the process
    - Assign a specific thread to receive all signals for the process

# Threading Issues (Cont.)

- **Thread pools**
  - Create a number of threads in a pool where they await work
  - Advantages:
    - Usually slightly faster to service a request with an existing thread than create a new thread
    - Allows the number of threads in the application(s) to be bound to the size of the pool
- **Thread-specific data**
  - Create facility needed for data private to thread
  - Allows each thread to have its own copy of data
  - Useful when you do not have control over the thread creation process (i.e., when using a thread pool)
- **Scheduler activations**
  - Both M:M and Two-level models require communication to maintain the appropriate number of kernel threads allocated to the application

# Operating System Examples

- Linux Thread
- Windows XP Threads

# Linux Threads

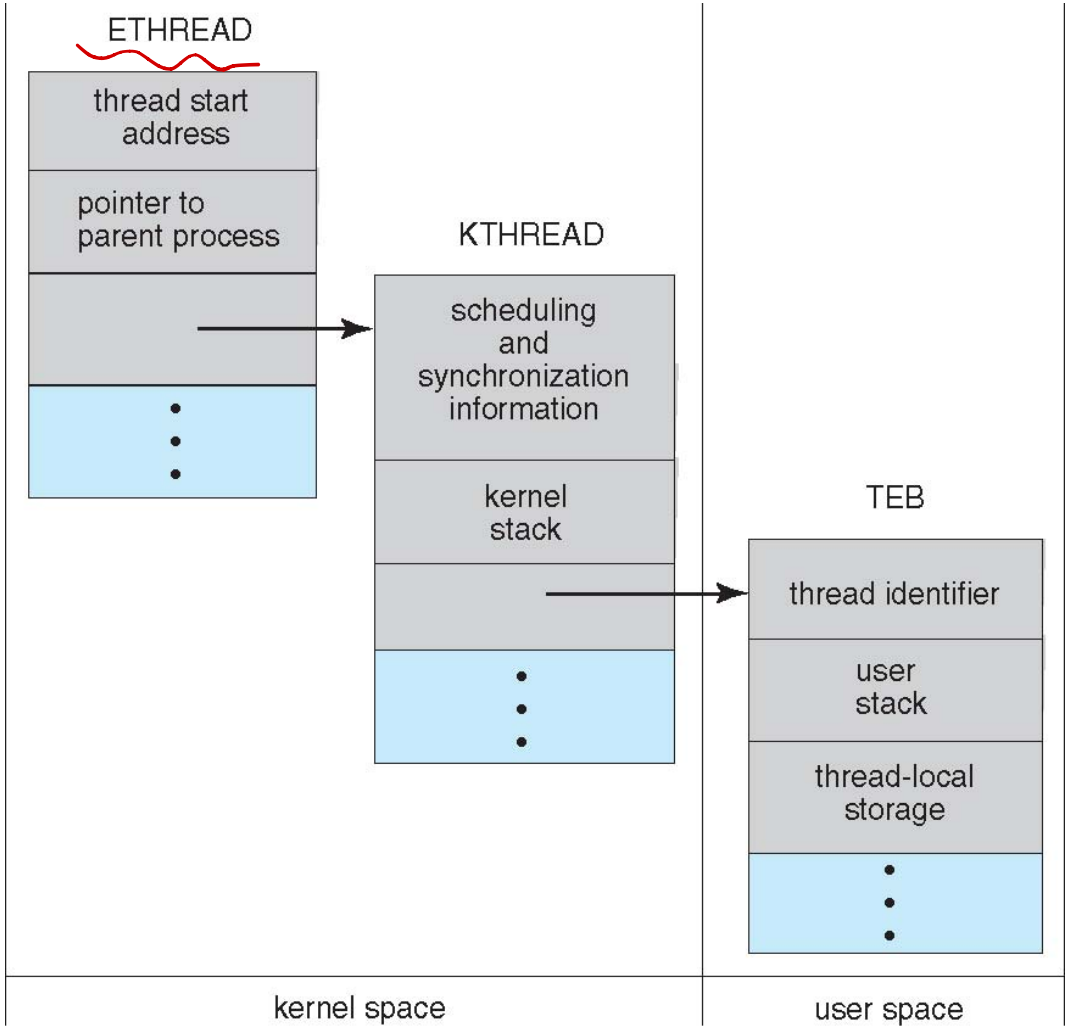
- `fork()` and `clone()` system calls
- `clone()` takes options to determine sharing on process create
- `struct task_struct` points to process data structures (shared or unique)

| flag | meaning |
|---|---|
| CLONE_FS | File-system information is shared. |
| CLONE_VM | The same memory space is shared. |
| CLONE_SIGHAND | Signal handlers are shared. |
| CLONE_FILES | The set of open files is shared. |

# Windows XP Threads

- Implements the one-to-one mapping, kernel-level
- Each thread contains
  - A thread id
  - Register set
  - Separate user and kernel stacks
  - Private data storage area
- The register set, stacks, and private storage area are known as the **context** of the threads
- The primary data structures of a thread include:
  - ETHREAD (executive thread block)
  - KTHREAD (kernel thread block)
  - TEB (thread environment block)

# Windows XP Threads Data Structures



# Pop-quiz

```
int value = 0;
void *runner(void *param) {
    value = 5;
    pthread_exit ( 0) ;
}

int main(int argc, char *argv[])
{
    int pid;
    pthread_t tid;
    pthread_attr_t attr;
    pid = fork();
    if (pid == 0) {
        pthread_attr_init (&attr) ;
        pthread_create(&tid, &attr, runner, NULL);
        pthread_join(tid,NULL) ;
        printf("Child: value = %d", value);
    }
    else if (pid > 0) {
        wait (NULL) ;
        printf("Parent: value = %d", value);
    }
}
```

What are the outputs from the above program?

# Homework

- Reading:
  - Chapter 4