

Title: DTC Alert! Client Requests for Position Confirmations: it is necessary for Clients of The Depository Trust & Clearing Corporation and its affiliates to confirm holdings for regulatory compliance or other reasons.

Author: disoriented_llama

Created 2022-09-26 18:22:05 UTC

Permalink: /r/TheGloryHodl/comments/xorb3j/dtc_alert_client_requests_for_position/

Url: <https://i.redd.it/hr253u4m98q91.png>



B #:	B17404-22
Date:	September 26, 2022
To:	All Participants
Category:	Participant Services
From:	Asset Services Product Management
Attention:	Managing Partner/Officer, Operations Management
Subject:	Client Requests for Position Confirmations

Periodically, it is necessary for Clients of The Depository Trust & Clearing Corporation and its affiliates (collectively "DTCC") to confirm holdings for regulatory compliance or other reasons. As part of the process, clients may direct to have these positional reports sent directly to auditors.

This Important Notice pertains to requests for the following position statements listed below. Requests for other reports, not detailed below, are not changing at this time.

For DTC:

- DTC Position Statement
- DTC Pledgor Position Statement
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- DTC Preferred Stock Balance
- DTC Participant Fund Balance (Month End Only)

For NSCC:

- NSCC Clearing Fund Balance (Month End Only)
- CNS Accounting Summary

For FICC:

- GSD CFM Daily Deposit Summary Report
- GSD FAIL Report
- GSD FUNDS Settlement Statement
- DVP Repo & Rev Repo Query
- GCF FUNDS Settlement Statement
- MBSD CFM Daily Deposit Summary Report
- GCF Repo & Rev Repo Query
- MBSD Full Open Commitment Report

Beginning 09/29/2022 (September 29, 2022), DTCC will implement an enhancement to this service by introducing a more streamlined, digitized workflow. Attached to this notice are details for how clients will request, direct, and receive these positional reports.

Questions regarding this notice may be directed to your Relationship Manager