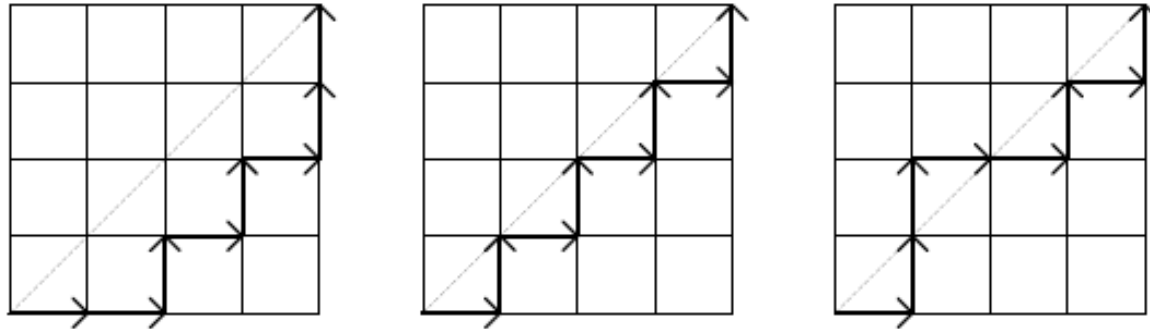


# More Counting by Mapping

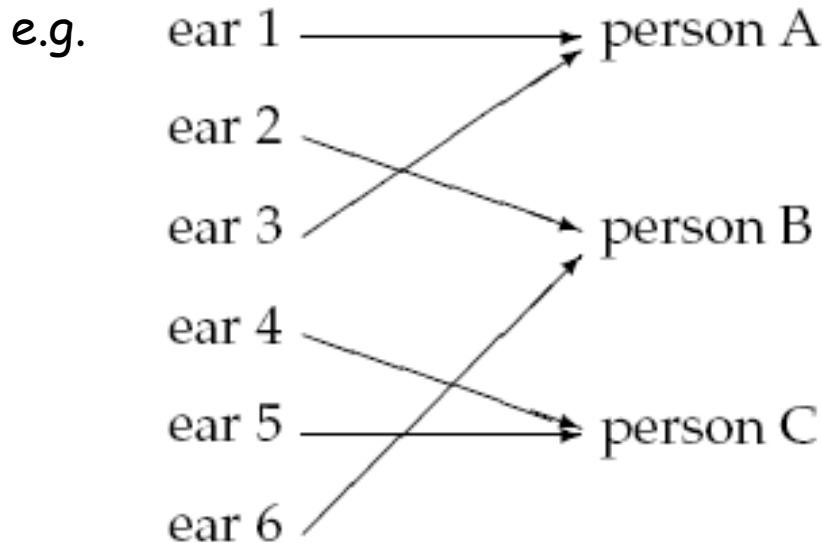


Lecture 6: Oct 10

# Division Rule

If a function from  $A$  to  $B$  is  $k$ -to-1,  
meaning that each element in  $B$  is mapped by exactly  $k$  elements in  $A$   
then  $|A| = k|B|$

(This generalizes the Bijection Rule.)



This is a 2-to-1 function.

So # of ears = 2 x # of people.

## Example 3: Two Pairs

This is something we have encountered before when we counted poker hands.

(See L2 slide 36 for details.)

Double  
Count!

$$\begin{array}{l} (3, \{\diamond, \spadesuit\}, Q, \{\diamond, \heartsuit\}, A, \clubsuit) \searrow \\ (Q, \{\diamond, \heartsuit\}, 3, \{\diamond, \spadesuit\}, A, \clubsuit) \nearrow \end{array} \{ 3\diamond, 3\spadesuit, Q\diamond, Q\heartsuit, A\clubsuit \}$$

A: the set of two pairs

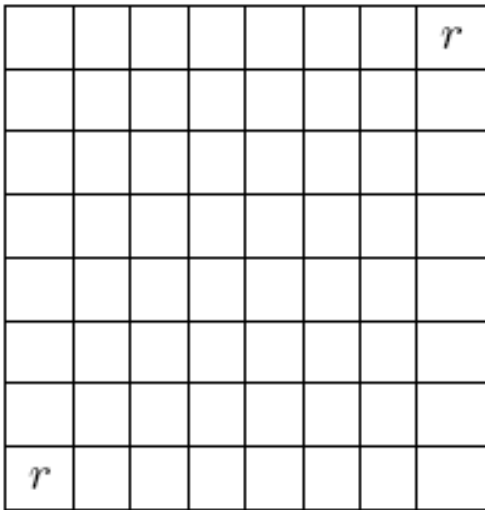
B: the set of sequences which satisfy (1)-(6).

What we did was to show that the mapping from A to B is 1-to-2,

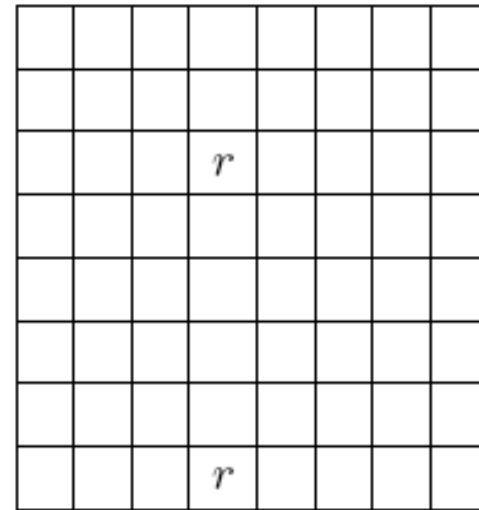
and thus conclude that  $2|A| = |B|$ . Then we compute  $|B|$  and then  $|A|$ . <sub>3</sub>

## Another Chess Problem

In how many different ways can you place two identical rooks on a chessboard so that they do not share a row or column?



valid



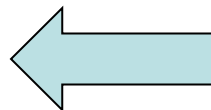
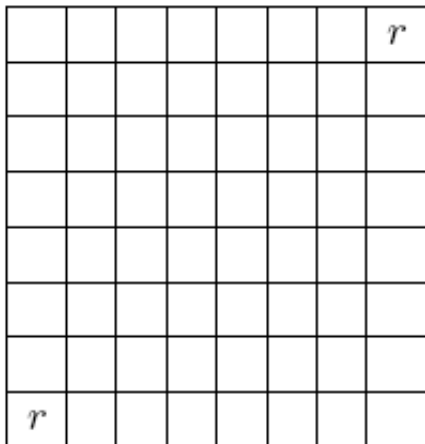
invalid

## Another Chess Problem

We define a mapping between configurations to sequences  $(r(1), c(1), r(2), c(2))$ , where  $r(1)$  and  $r(2)$  are distinct rows, and  $c(1)$  and  $c(2)$  are distinct columns.

$A ::=$  all sequences  $(r(1), c(1), r(2), c(2))$  with  $r(1) \neq r(2)$  and  $c(1) \neq c(2)$

$B ::=$  all valid rook configurations



$(1,1,8,8)$  and  $(8,8,1,1)$  maps to the same configuration.

The mapping is a 2-to-1 mapping.

## Another Chess Problem

$A ::=$  all sequences  $(r(1), c(1), r(2), c(2))$  with  $r(1) \neq r(2)$  and  $c(1) \neq c(2)$

$B ::=$  all valid rook configurations

The mapping is a 2-to-1 mapping.



$$|A| = 2|B|$$

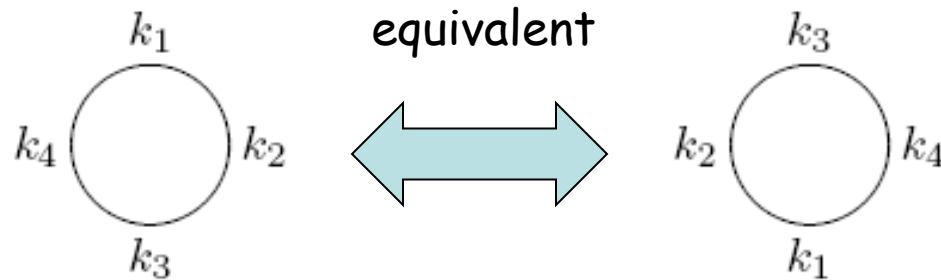
Using the generalized product rule to count  $|A|$ ,  
there are 8 choices of  $r(1)$  and  $c(1)$ ,  
there are 7 choices of  $r(2)$  and  $c(2)$ ,  
and so  $|A| = 8 \times 8 \times 7 \times 7 = 3136$ .

Thus, total number of configurations  
 $|B| = |A|/2 = 3136/2 = 1568$ .

# Round Table

How many ways can we seat  $n$  different people at a round table?

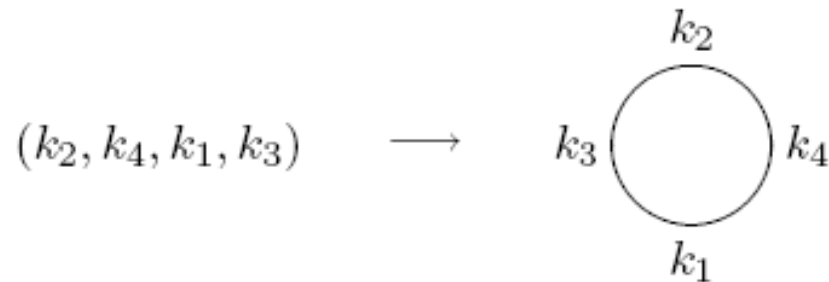
Two seatings are considered equivalent if one can be obtained from the other by rotation.



# Round Table

$A ::=$  all the permutations of the people

$B ::=$  all possible seating arrangements at the round table



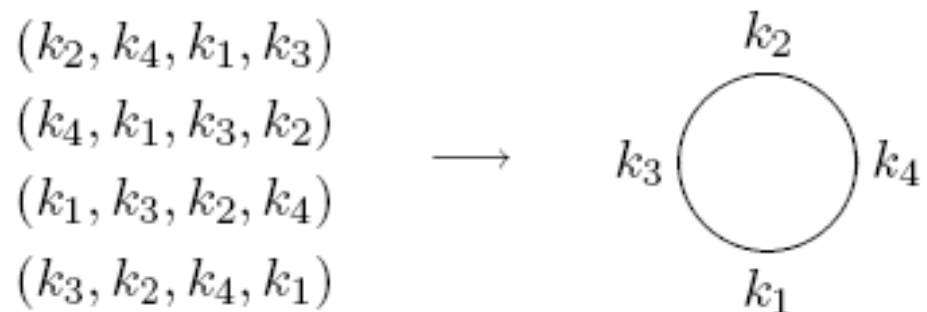
Map each permutation in set  $A$  to a circular seating arrangement in set  $B$  by following the natural order in the permutation.



# Round Table

$A ::=$  all the permutations of the people

$B ::=$  all possible seating arrangements at the round table



This mapping is an n-to-1 mapping.

$$\Rightarrow |A| = n|B|$$

Thus, total number of seating arrangements  
 $|B| = |A|/n = n!/n = (n-1)!$

# Counting Subsets

Now we can use the division rule to compute  $\binom{n}{k}$  more formally.

How many size 4 subsets of  $\{1,2,\dots,13\}$ ?

Let  $A ::=$  permutations of  $\{1,2,\dots,13\}$

$B ::=$  size 4 subsets

map  $a_1 a_2 a_3 a_4 a_5 \dots a_{12} a_{13}$  to  $\{a_1, a_2, a_3, a_4\}$

(that is, take the first  $k$  elements from the permutation)

How many permutations are mapped to the same subset??

# Counting Subsets

map  $a_1 a_2 a_3 a_4 a_5 \dots a_{12} a_{13}$  to  $\{a_1, a_2, a_3, a_4\}$

$a_2 a_4 a_3 a_1 a_5 \dots a_{12} a_{13}$  also maps to  $\{a_1, a_2, a_3, a_4\}$

as does  $a_2 a_4 a_3 a_1 a_{13} a_{12} \dots a_5$

$\underbrace{\hspace{1.5cm}}_{4!} \quad \underbrace{\hspace{1.5cm}}_{9!}$

Any ordering of the first four elements ( $4!$  of them),  
and also any ordering of the last nine elements ( $9!$  of them)  
will give the same subset.

So this mapping is  $4! \cdot 9! \text{-to-} 1 \quad \Rightarrow \quad |A| = 4!9!|B|$

# Counting Subsets

Let  $A ::=$  permutations of  $\{1, 2, \dots, 13\}$

$B ::=$  size 4 subsets

$$|A| = 4!9!|B|$$

$$13! = |A| = 4!9!|B|$$

So number of 4 element subsets is  $\binom{13}{4} ::= \frac{13!}{4!9!}$

Number of  $m$  element subsets of an  $n$  element set is

$$\binom{n}{m} ::= \frac{n!}{m!(n-m)!}$$

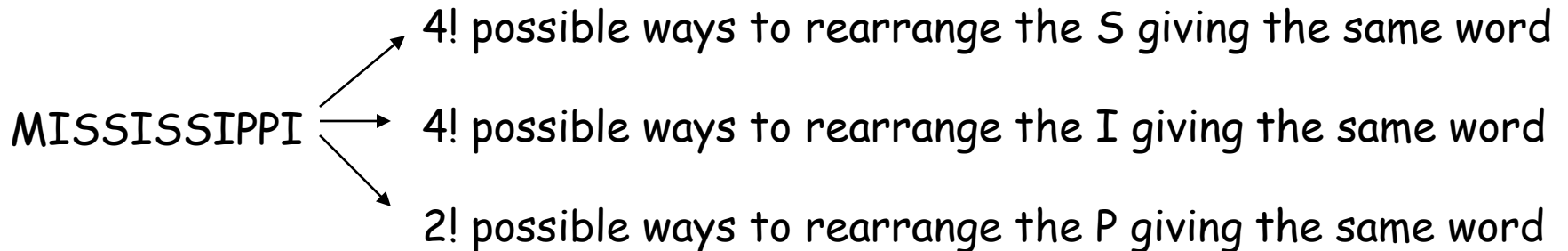
# MISSISSIPPI

How many ways to rearrange the letters in the word "MISSISSIPPI"?

Let  $A$  be the set of all permutations of  $n$  letters.

$B$  be the set of all different words by rearranging "MISSISSIPPI".

How many permutations are mapped to the same word?



The mapping is  $4!4!2!$ -to-1, and so there are  $11!/4!4!2!$  different words.

## Example: 20 Mile Walk

I'm planning a 20-mile walk, which should include 5 northward miles, 5 eastward miles, 5 southward miles, and 5 westward miles.

How many different walks are possible?

There is a bijection between such walks and words with 5 N's, 5 E's, 5 S's, and 5 W's.

The number of such words is equal to the number of rearrangements:

$$\frac{20!}{5!5!5!5!}$$

## Exercises

What is the coefficient of  $x^7y^9z^5$  in  $(x+y+z)^{21}$ ?

There are 12 people. How many ways to divide them into 3 teams, each team with 4 people?

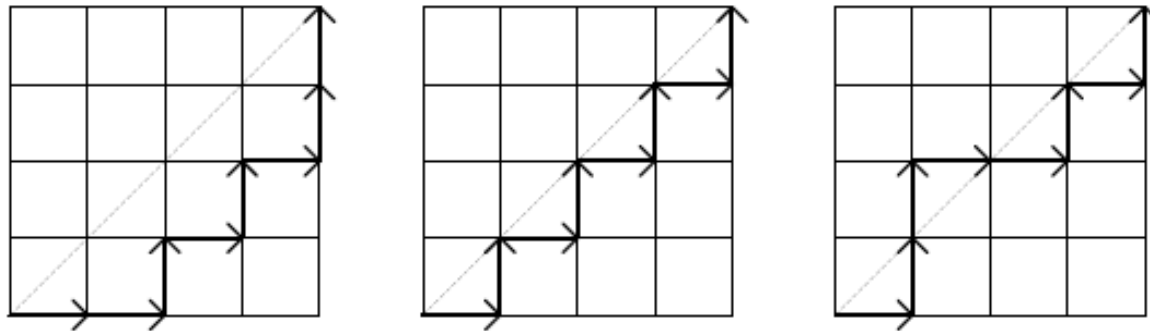
# This Lecture

- Bijection rule
- Division rule
- More mapping



# Monotone Path

A monotone path from  $(0,0)$  to  $(n,n)$  is a path consist of "right" moves (x-coordinate increase by 1) and "up" moves (y-coordinate increase by 1), starting at  $(0,0)$  and ending at  $(n,n)$ .



How many possible monotone paths from  $(0,0)$  to  $(n,n)$ ?

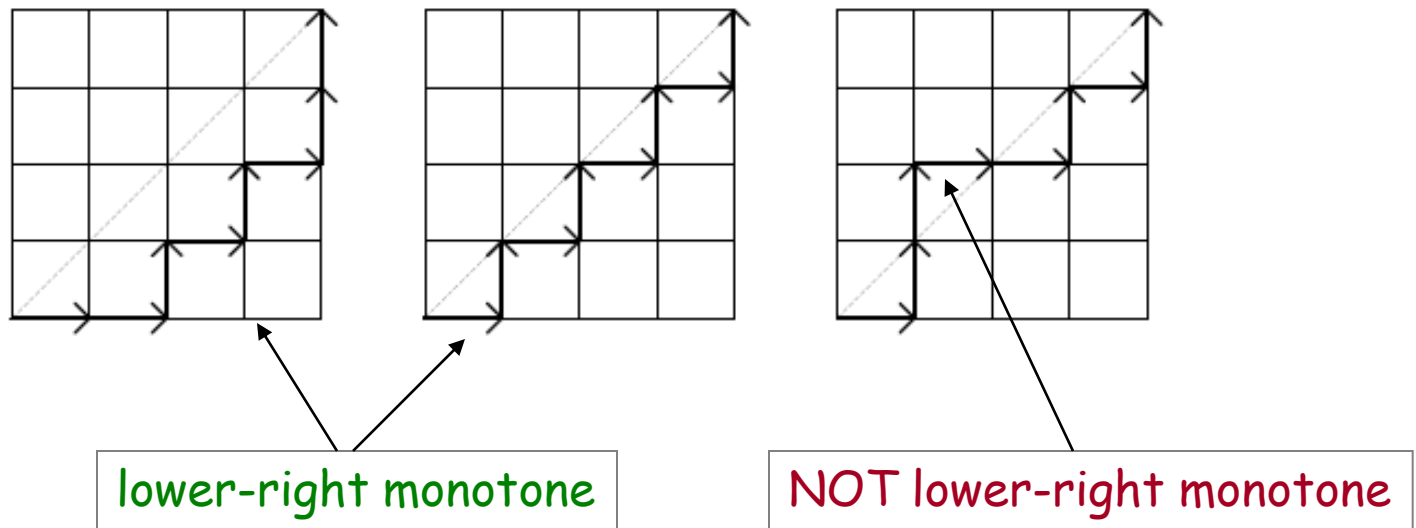
We can map a "right" move to an "x" and a "up" move to a "y".

There is a bijection between monotone paths and words with  $n$  x's and  $n$  y's.

And so the answer is just  $\binom{2n}{n}$

# Monotone Path

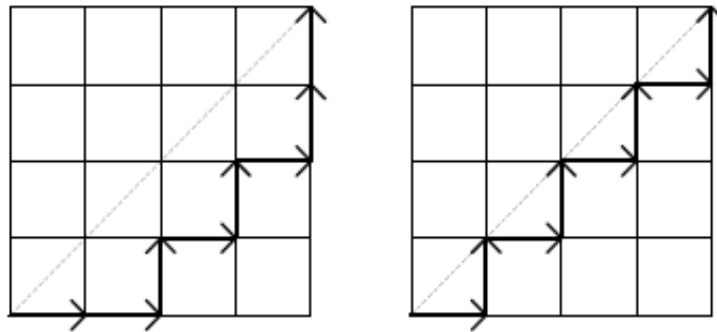
We say such a path "lower-right" monotone path if all of the points  $(x_i, y_i)$  on the path has  $x_i \geq y_i$ .



How many possible *lower right* monotone paths from (0,0) to (n,n)?

# Monotone Path

How many possible *lower right* monotone paths from  $(0,0)$  to  $(n,n)$ ?



We can still map a “right” move to an “x” and a “up” move to a “y”.

There is a bijection between (A) lower right monotone paths and (B) words with  $n$  x's and  $n$  y's, with the additional constraint that no initial segment of the string has more Y's than X's.

There is a bijection, but both sets look difficult to count.

# Parenthesis

How many valid ways to add  $n$  pairs of parentheses?

E.g. There are 5 valid ways to add 3 pairs of parentheses.

$((()))$   $((() ))$   $(())()$   $()(())$   $()()()$

Let  $r_n$  be the number of ways to add  $n$  pairs of parentheses.

A pairing is valid if and only if there are at least as many open parentheses than close parentheses from the left.

# Mountain Ranges

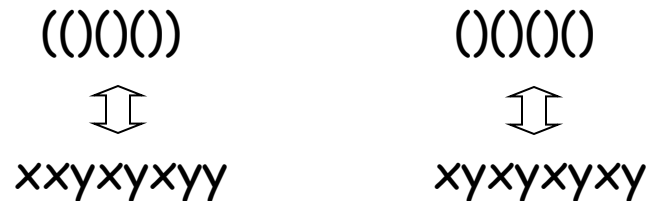
How many "mountain ranges" can you form with  $n$  upstrokes and  $n$  downstrokes that all stay above the original line?



We do not know how to solve these three problems yet, but we can show that all these three problems have the same answer, by showing that there are bijections between these sets.

# Parenthesis and Monotone Paths

A pairing is valid if and only if there are at least as many open parentheses than close parentheses from the left.



We can map a "(" to an "x" and a ")" move to a "y".

There is a bijection between (A) valid pairings and

(B) words with  $n$  x's and  $n$  y's, with the additional constraint that no initial segment of the string has more Y's than X's.

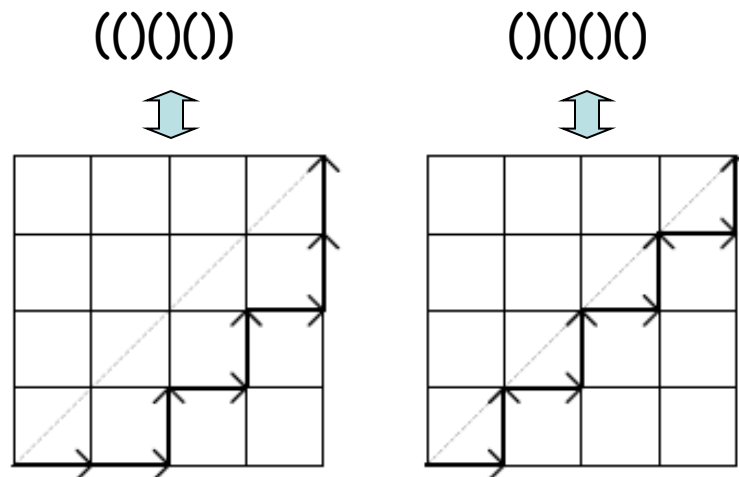
In slide 19, we have seen that there is a bijection between (B)

and the set of lower right monotone paths, so there is a bijection between (A) and the set of lower right monotone paths.

# Parenthesis and Monotone Paths

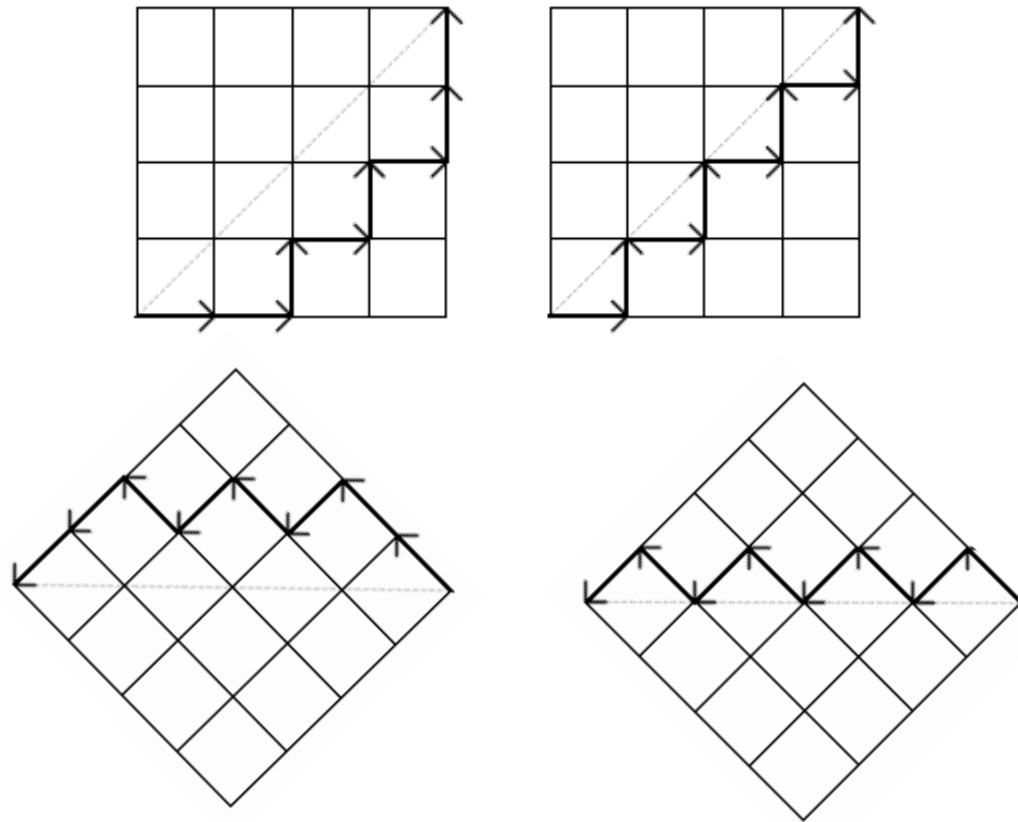
A pairing is valid if and only if there are at least as many open parentheses than close parentheses from the left.

A monotone path is "lower-right" if and only if there are at least as many right moves than up moves from the starting point.



So there is a bijection between these two sets by each open parenthesis with a right move and a close parenthesis by an up move. 23

# Monotone Paths and Mountain Ranges



By “rotating” the images, we see that a path not crossing the diagonal is just the same as a mountain not crossing the horizontal line.

So there is a bijection between them by mapping “right” to “up” and “up” to “down”



# Catalan Number

Now we know that these three sets are of equal size,  
although we don't know the size.

It turns out that the answer is exactly  $\frac{1}{n+1} \binom{2n}{n}$

This is called the  $n$ th Catalan number,  
and has applications in many other places as well.

We will not compute it in the lecture,  
but if there is enough interest I can talk in an extra lecture.

# Mapping Between Infinite Sets (Optional)

How to compare the size of two infinite sets?

Cantor proposed an elegant definition:

Two infinite sets are "equal" if there is a bijection between them.

Using this definition, it can be shown that:

- The set of positive integers = the set of integers
- The set of integers = the set of rational numbers
- The set of integers  $\neq$  the set of real numbers

The idea can be applied to CS, see page 453-454 of the textbook.

## Quick Summary

Counting by mapping is a very useful technique.

It is also a powerful technique to solve more complicated problems.

The basic examples usually map a set into a properly defined binary strings.

Then we see how to generalize this approach by considering  $k$ -to-1 functions.

Finally we see the mapping between more complicated sets.