

# PROJECT DOCUMENTATION

Reproduce A Paper: A cross-collection mixture model for comparative text mining

## Overview

This code is used to discover latent themes across collections. We provide two models, the simple mixture model (`simplemix.py`) and the cross-collection mixture model (`ccmix.py`). The simple mixture model treats multiple collections as one single collection and discovers  $k$  latent common themes in it. The Cross-collection mixture model explicitly distinguishes themes from different collections. It not only captures  $k$  common themes that characterize common information across all collections but also  $k$  collection-specific themes for each collection. The value of  $k$  is set by users and each theme is characterized by a multinomial word distribution.

## Implementation

### Dataset

The paper uses 2 datasets: war news, and laptop reviews. We are not able to obtain exactly the same datasets as those are used in the paper.

For the war news, we manually searched and downloaded 30 news articles from BBC or CNN for each of the two wars, published in one-year span (May 2003 - April 2004 for Iraq war, Nov 2001 to Oct 2002 for Afghanistan war) to approximate the war news dataset that is used in the paper. (`code/data/war_dataset.txt`)

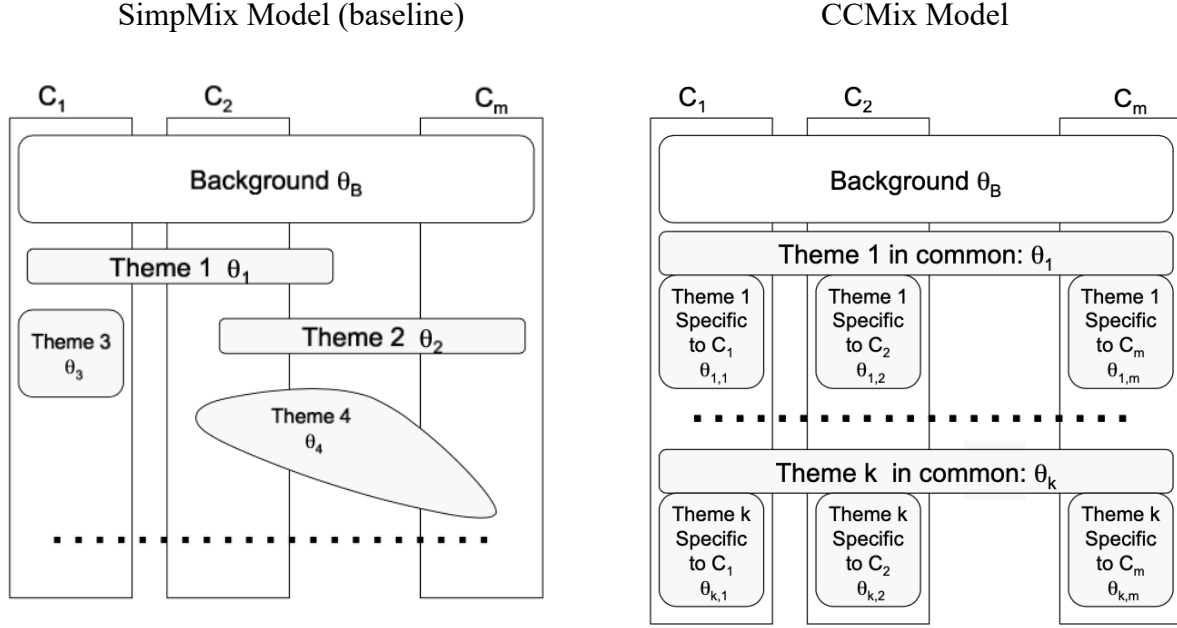
For the laptop reviews, we chose 3 laptops from the Amazon.com best sellers in laptop computers: Acer Aspire 5 Slim Laptop, Apple MacBook Air, HP Chromebook 14-inch HD Laptop, and manually downloaded the top 40 reviews from Amazon.com. (`code/data/laptop_reviews.txt`)

Each document occupies one line in the .txt file, prepended by an integer that indicates which collection the document is from.

### Preprocessing

We preprocessed the data by removing stop words (including punctuation marks), words that contain less than 3 characters, and stemming the documents to map inflected words to their stems. Preprocessing is important to ensure that the resulting clusters after applying the mixture model would contain more meaningful words for evaluation.

## Initialization



In SimpMix model, there are two types of themes: the background theme, and the shared themes (between collections)

In the CCMix model, there are three types of themes: the background theme, the common themes throughout all collections, and themes specific to the collections.

Both SimpMix and CCMix models use the EM algorithm to find the clusters iteratively. The two models also share the same background theme (*topic\_word\_prob\_background*, dimension:  $1 * \text{vocabulary\_size}$ ) which is calculated by taking the maximal likelihood of each word in the whole corpus (includes all the collections) before the iterative EM steps.

$$\hat{p}(w|\theta_B) = \frac{\sum_{i=1}^m \sum_{d \in C_i} c(w, d)}{\sum_{i=1}^m \sum_{d \in C_i} \sum_{w' \in V} c(w', d)}$$

The other parameters  $\lambda_B(\text{lambda\_}B)$  and  $\lambda_C(\text{lambda\_}C)$  which are the probability of selecting the background theme and the common theme respectively. We used 0.95 for  $\lambda_B$  and 0.25 for  $\lambda_C$  as the paper suggested.

| Expression          | Name in code                        | Initialization function   | Dimension  |
|---------------------|-------------------------------------|---|--|
| $\lambda_B$         | lambda_B                            |   | Scalar, 0.95   |
| $\lambda_C$         | lambda_C                            |   | Scalar, 0.25   |
| $c(w, d)$           | term_doc_matrix                     | build_term_doc_matrix (self)  | number_of_collections *<br>number_of_documents *<br>vocabulary_size  |
| $p(w \theta_B)$     | topic_word_prob_background          | This function counts the term frequency in each document, and computes the background distribution. | 1 * vocabulary_size  |
| $\pi_{d,j}$         | document_topic_prob                 | initialize_randomly (self, number_of_topics)  | number_of_collections *<br>number_of_documents *<br>number_of_topics |
| $p(w \theta_j)$     | topic_word_prob                     | This function randomly initialize   | number_of_topics *<br>vocabulary_size                                |
| $p(w \theta_{j,i})$ | topic_word_prob_collection_specific |   | number_of_collections *<br>number_of_topics *<br>vocabulary_size     |
| $\varepsilon$       | epsilon                             |   | Scalar, 0.00001  |

### The EM algorithm

The implementation of the baseline SimpMix model is very similar to MP3 except for introducing a background model. Therefore, in this section, we will focus on how to implement the EM algorithm for the CCMix model.

#### E step

E step is to estimate the hidden variables: probability of selecting a theme in a mixture model.

| Expression  | Name in code | Calculation function  | Dimension   |
|---|--------------|---|---|
| $p(z_{d,c_i,w} = j)$<br>Collection specific theme | topic_prob_j | expectation_step (self, number_of_topics, verbose)<br><br>This function performs E step | number_of_collections *<br>number_of_documents *<br>vocabulary_size *<br>number_of_topics |

|  |                  |  |   |
|--|------------------|--|---|
| $p(z_{d,c_i,w} = B)$<br>Background theme | topic_prob_<br>B |  | number_of_collections *<br>number_of_documents *<br>vocabulary_size * 1                   |
| $p(z_{d,c_i,w} = C)$<br>Common theme     | topic_prob_<br>C |  | number_of_collections *<br>number_of_documents *<br>vocabulary_size *<br>number_of_topics |

### M step

M step is to use the hidden variables to re-estimate the distributions in each theme, maximizing the likelihood.

| Expression                  | Name in code                            | Calculation function   | Dimension  |
|-----------------------------|---|--|--|
| $\pi_{d,j}^{(n+1)}$         | document_topic_prob                     | maximization_step(self,<br>number_of_topics,<br>verbose)                 | number_of_collections *<br>number_of_documents *<br>number_of_topics |
| $p^{(n+1)}(w \theta_j)$     | topic_word_prob                         | This function performs M<br>step   | number_of_topics *<br>vocabulary_size                                |
| $p^{(n+1)}(w \theta_{j,i})$ | topic_word_prob_colle<br>ction_specific |  | number_of_collections *<br>number_of_topics *<br>vocabulary_size     |
| $\log p(C)$                 | likelihoods                             | calculate_likelihood(self)<br><br>This function calculates<br>likelihood | List of scalars  |

The iteration of E-M steps continues until the difference between likelihood in adjacent iterations is less than  $\epsilon$  or the maximum iteration number is reached.

# Usage

## Python version

Python 3.6

## Download Repo

git clone <https://github.com/luoxix/CourseProject.git>

## Install Dependencies

```
pip install metapy  
pip install numpy
```

## Run code

To run the simple mixture model:

```
python simplemix.py --input_path ./data/laptop_reviews.txt --  
output_path ./result/result_simple_laptop.txt --lambda_b 0.95 --max_iterations 500 --  
number_topics 5 --number_top_words 8 --verbose True
```

To run the cross-collection mixture model:

```
python ccmix.py --input_path ./data/laptop_reviews.txt --  
output_common_path ./result/common_laptop.txt --  
output_specific_path ./result/specific_laptop.txt --lambda_b 0.95 --lambda_c 0.25 --  
max_iterations 1000 --number_topics 5 --number_top_words 8 --verbose True
```

```
python ccmix.py --input_path ./data/war_dataset.txt --  
output_common_path ./result/common_war.txt --output_specific_path ./result/specific_war.txt --  
lambda_b 0.95 --lambda_c 0.25 --max_iterations 1000 --number_topics 5 --number_top_words 8  
--verbose True
```

## Meaning of each argument

`input_path`: the path of the input file which contains all collections. Each line contains a document and the first number denotes which kind of collection it is from.

`output_path`: the path of the output file which contains  $k$  themes, for each theme, several top words with highest probability are shown.

`output_common_path`: the path of the output file which contains common themes

output\_specific\_path: the path of the output file which contains specific themes  
 lambda\_b: the weight of the background model  
 lambda\_c: the weight of common theme  
 max\_iterations: the number of iterations for EM algorithm  
 number\_topics: the number of latent themes  
 number\_top\_wods: the number of top words which are shown in the output file  
 verbose: whether to output the immediate information

## Results and Evaluations

Run the code with instructions described above until the likelihood value converges.

### Laptop Reviews

|                    | Cluster 1  | Cluster 2  | Cluster 3  |
|--------------------|--|--|--|
| Common theme words | catalina0.0198<br>remov 0.0148<br>harddriv 0.0148<br>ad 0.0148<br>new 0.0102<br>second 0.0102<br>internet 0.0099<br>found 0.0099 | samsung 0.0169<br>2400 0.0169<br>numer 0.0169<br>tech 0.0169<br>top 0.0169<br>edg 0.0169<br>left 0.0169<br>hour 0.0148 | fan 0.0311<br>2020 0.0311<br>temperatur 0.0271<br>thermal 0.0271<br>cpu 0.0216<br>zoom 0.0203<br>extern 0.0203<br>bar 0.0203 |

|        | Cluster 1  | Cluster 2   | Cluster 3   |
|--------|--|---|---|
| Common | air 0.0252<br>connect 0.024<br>2020 0.022<br>thermal 0.0165<br>pictur 0.0137<br>poor 0.0125<br>bar 0.0124<br>new 0.0124      | mode 0.0247<br>app 0.0179<br>differ 0.0172<br>side 0.0169<br>2400 0.0162<br>samsung 0.0162<br>support 0.014<br>download 0.013 | call 0.0304<br>cpu 0.0162<br>amazon 0.0156<br>charg 0.0155<br>thermal 0.0153<br>brand 0.015<br>noth 0.012<br>bar 0.0115 |
| Acer   | drive 0.0274<br>ad 0.0201<br>harddriv 0.0197<br>remov 0.0194<br>click 0.0178<br>pictur 0.0175<br>new 0.0165<br>second 0.0142 | remov 0.0204<br>mode 0.0163<br>harddriv 0.0146<br>ad 0.0146<br>wouldn't 0.0129<br>veri 0.0121<br>second 0.0121<br>case 0.0114 | call 0.0354<br>amazon 0.0191<br>did 0.0166<br>tech 0.0157<br>bla 0.0155<br>brand 0.015<br>wait 0.0139<br>minut 0.0135   |

|             |  |   |  |
|-------------|--|---|--|
| HP          | connect 0.0347<br>amaz 0.0221<br>pictur 0.0206<br>love 0.017<br>unit 0.016<br>chrome 0.0158<br>drive 0.0151<br>nice 0.0151       | cuz 0.0413<br><b>love 0.028</b><br>i'm 0.0207<br>didn't 0.0207<br>daughter 0.0207<br>polici 0.0207<br>glad 0.0207<br>aren't 0.0207              | call 0.0306<br>charg 0.0204<br>brand 0.0197<br>amazon 0.0163<br>noth 0.0157<br>minut 0.0145<br>did 0.0139<br>differ 0.0136 |
| Macbook Air | upgrad 0.1062<br>hard 0.0704<br>16gb 0.0551<br>drive 0.0522<br>probabl 0.0475 beauti<br>0.0475<br>instal 0.0452<br>suppos 0.0448 | receiv 0.0258<br>lock 0.0234<br><b>dissapoint 0.0162</b><br>possibl 0.0162<br>owner 0.0162<br>anazon 0.0162<br>recoveri 0.0162<br>immedi 0.0162 | call 0.0304<br>cpu 0.0162<br>amazon 0.0156<br>charg 0.0155<br>thermal 0.0153<br>brand 0.015<br>noth 0.012<br>poor 0.0115   |

From the result, we can see that SimpMix model is only able to find the themes in the whole corpus. The themes are shared among collections. It tells about what topics the overall corpus covers, but it is not able to identify topic differences between different collection. On the other hand, the CCMix model is able to find the common themes throughout the collections, and is also able to identify the different specific themes in each collection. For example, in Cluster 2, all three collections share the same common theme. However, there is a high frequency of “love” in HP collection, whereas there is a high frequency of “disappoint” in the Macbook Air collection. We may infer these two opposite attitudes maybe towards the same topic in the common theme. Probably this HP laptop provides app or support that buyers love, whereas Macbook Air disappointed buyers in these two aspects. Therefore, we can conclude that, in reviews evaluation, the CCMix model is able to identify different performance of similar products on the same aspects.

Another observation is that not all specific themes are well distinguished from the common theme / other specific themes within the same cluster (e.g., Cluster 3). This is probably because we use a uniform  $\lambda_C$  for all clusters. However, for some clusters, there are more overlaps in topics among collections and less differences, and  $\lambda_C$  should be larger to account for the common topics.

The data we use are from Amazon, and many of them are expression of feelings, and purchase experience with Amazon, instead of technical reviews. Therefore, the results are more on customer satisfaction. On the other hand, performing CCMix model on technical reviews will find more about the performance of each laptop.

### War Dataset

|                    | Cluster 1   | Cluster 2   | Cluster 3   | Cluster 4   | Cluster 5  |
|--------------------|---|---|---|---|--|
| Common theme words | khalifa 0.0307<br>o'neil 0.0199<br>newsweek0.0174<br>quran 0.0154<br>hous 0.0141<br>dyke 0.0113<br>cbs 0.0102<br>kennedi 0.0092 | mirror 0.0161<br>threat 0.0139<br>palac 0.0134<br>wmd 0.0122<br>religi 0.0113<br>morgan 0.0092<br>nuclear 0.0087<br>pictur 0.0076 | flag 0.0475<br>gun 0.0158<br>design 0.0091<br>nasratullah0.0088<br>kit 0.0088<br>equip 0.0087<br>zardad 0.0083<br>leak 0.0072 | woodward0.0247<br>powel 0.0220<br>kerri 0.0210<br>marin 0.0201<br>matti 0.0174<br>gen 0.0125<br>clinton 0.0114<br>bandar 0.0110 | draft 0.0237<br>opium 0.0211<br>hamdi 0.0202<br>rape 0.0169<br>wolfowitz0.0149<br>farmer 0.0149<br>poppi 0.0132<br>erad 0.0114 |

|                    | Cluster 1  | Cluster 2   | Cluster 3   | Cluster 4   | Cluster 5  |
|--------------------|--|---|---|---|--|
| Common theme words | Flag 0.0450<br>chang 0.0158<br>design 0.0131<br>women0.0096<br>repres 0.0095<br>new 0.0086<br>threat 0.0085<br>equip 0.0084  | gun 0.0332<br>draft 0.0296<br>leak 0.0173<br>kennedi 0.0158<br>katharin 0.0154<br>o'neil 0.0143<br>prosecut 0.0130<br>secret 0.0128 | Wolfowitz 0.0403<br>soro 0.0370<br>group 0.0304<br>rumsfeld 0.0277<br>independ 0.0230<br>money 0.0220<br>rais 0.0185<br>moveon.org 0.0168 | mirror 0.0277<br>religion 0.0218<br>god 0.0207<br>zardad 0.0195<br>koran 0.0164<br>morgan 0.0164<br>dearing 0.0158<br>cramer 0.0135 | marin 0.0178<br>woodward 0.0165<br>powel 0.0147<br>coalit 0.0145<br>matti 0.0123<br>gen 0.0122<br>opium 0.0101<br>sunday 0.0095        |
| Iraq theme words   | flag 0.0450<br>chang 0.0158<br>design 0.0133<br>women 0.0096<br>repres 0.0095<br>new 0.0088<br>threat 0.0085<br>equip 0.0084 | gun 0.0338<br>draft 0.0295<br>leak 0.0172<br>kennedi 0.0158<br>katharin 0.0153<br>o'neil 0.0142<br>prosecut 0.0129<br>secret 0.0128 | wolfowitz 0.0403<br>soro 0.0370<br>group 0.0304<br>rumsfeld 0.0277<br>independ 0.0230<br>money 0.0220<br>rais 0.0185<br>moveon.org 0.0168 | mirror 0.0277<br>religion 0.0218<br>god 0.0207<br>zardad 0.0195<br>koran 0.0164<br>morgan 0.0164<br>dearing 0.0158<br>cramer 0.0135 | zapatero 0.0295<br>spain 0.0269<br>spanish 0.0167<br>coalit 0.0134<br>sunday 0.0132<br>marin 0.0124<br>woodward 0.0115<br>powel 0.0102 |
| Afghan theme words | women 0.0326<br>rape 0.0119<br>elect 0.0118  | kerri 0.0389<br>hamdi 0.0382<br>hous 0.0315   | money 0.1082<br>group 0.1033<br>rumsfeld 0.0746   | mirror 0.0654<br>zardad 0.0472<br>morgan 0.0396   | newsweek 0.0554<br>quran 0.0489<br>magazin 0.0274  |



|   |  |  |  |  |
|---|--|--|--|--|
| soviet 0.0117<br>khalifa 0.0112<br>live 0.0099<br>nasratullah 0.0092<br>villag 0.0091 | clinton 0.0217<br>cohen 0.0186<br>wednesday 0.0154<br>foam 0.0152<br>polystyren 0.0133 | million 0.0695<br>rais 0.0515<br>candid 0.0456<br>link 0.0384<br>campaign 0.0375 | daili 0.0285<br>tortur 0.0244<br>qlr 0.0216<br>pictur 0.0212<br>goldsmith 0.0202 | toilet 0.0228<br>desecr 0.0228<br>isikoff 0.0196<br>dirita 0.0196<br>investig 0.0151 |
|---|--|--|--|--|

Similarly, the result for the war dataset also demonstrates the ability of CCMix in differentiating the specific themes between collections. For example, in Cluster 1, we can see that in Iraq war news, people are more interested in reporting flag and mental changes, whereas in Afghanistan war news, women raped were reported in the highest frequency. In Cluster 2, Iraq war news reported more on gun and draft, while Afghanistan war news reported more on the two persons: Kerry and Hamdi.

Another observation with the war dataset is that in Cluster 1 to 4, the common theme has high similarity with the Iraq theme, and has much smaller overlap with the Afghan theme. This is probably because the Iraq specific theme is a very tight cluster where the top words have very high frequencies, such that the common theme is only able to account for partial frequencies of the top words. Only in Cluster 5, both themes are very different from the common theme.

## Conclusion

In conclusion, we can see that CCMix model is able to address the task of comparative text mining to some extent by its capability to discover the latent common themes across all collections, and to summarize the similarity and differences of the collections along each common theme. However, the performance of CCMix varies on the choice of  $\lambda_c$  and the characteristics of the dataset that it is applied on.

## Contribution

|                                 |                                   |
|---------------------------------|-----------------------------------|
| Xi Luo (xiluo4)                 | Yuheng Zhang (yuhengz2)           |
| Algorithm implementation: CCMix | Algorithm implementation: SimpMix |
| Documentation:                  | Documentation:                    |
| - Implementation                | - Overview                        |
| - Results and Evaluations       | - Usage                           |
|                                 | Tutorial presentation             |