

The emergence of words from vocal imitations

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## Abstract

People have long pondered the origins of language, especially the words that compose them. Here, we report a series of experiments investigating how conventional spoken words might emerge from imitations of environmental sounds. Does the repeated imitation of an environmental sound gradually give rise to more word-like forms? In what ways do these words resemble the original sounds that motivated them (i.e., iconicity)? Participants played a version of the children’s game “Telephone”. The first generation of participants imitated recognizable environmental sounds (e.g., glass breaking, water splashing). Subsequent generations imitated the previous generation of imitations for a maximum of 8 generations. The results showed that the imitations became more stable and word-like, and later imitations were easier to learn as category labels. At the same time, even after 8 generations, both spoken imitations and their written transcriptions could be matched above chance to the category of environmental sound that motivated them. These results show how repeated imitation can create progressively more word-like forms while continuing to retain a resemblance to the original sound that motivated them, and speak to the possible role of human vocal imitation in explaining the origins of at least some spoken words.

*Keywords:* language evolution, iconicity, vocal imitation, transmission chain

Word count: X

## The emergence of words from vocal imitations

Most vocal communication of non-human primate species is based on species-typical calls that are highly similar across generations and between populations (e.g. Seyfarth & Cheney, 1986) (but see, e.g. Crockford, Herbinger, Vigilant, & Boesch, 2004). In contrast, human languages comprise a vast repertoire of learned meaningful elements (words and other morphemes) which can number in the tens of thousands or more (e.g., Brysbaert, Stevens, Mandler, & Keuleers, 2016). Aside from their number, the words of different natural languages are characterized by their extreme diversity (Evans & Levinson, 2009; Lupyan & Dale, 2016; Wierzbicka, 1996). The words used within a speech community change relatively quickly over generations compared to the evolution of vocal signals (e.g., Pagel, Atkinson, & Meade, 2007). At least in part as a consequence of this divergence, most words appear to bear a largely arbitrary relationship between their form and their meaning — seemingly, a product of their idiosyncratic etymological histories (Labov, 1972; Sapir, 1921). The apparently arbitrary nature of spoken vocabularies presents a quandary for the study of language origins. If words of spoken languages are truly arbitrary, by what process were the first words ever coined?

While the origin of most spoken words is hard to discern, the situation is somewhat different for signed languages. In signed languages, the origins of many signs are relatively transparent. Although signed languages rely on the same type of referential symbolism as spoken languages, many individual signs have clear iconic roots, formed from gestures that resemble their meaning (Frishberg, 1975; Goldin-Meadow, 2016; Kendon, 2014; Klima & Bellugi, 1980). For instance, Frishberg (1975) noted the iconic origins of the American Sign Language (ASL) sign for bird, which is formed with a beak-like handshape articulated in front of the nose. Another example is steal, derived from a grabbing motion to represent the act of stealing something. Stokoe (1965) identified about 25% of American Sign Language signs to be iconic, and reviewing the remaining 75% of ASL signs, Wescott (1971) determined that about two-thirds of these seemed plausibly derived from iconic origins.

Further support for iconic origins of signed languages comes from observations of deaf children raised without exposure to a signed language, who develop homesign systems to use with their family. These communication systems are generally built from a process in which the children establish conventional gestures through the use of pantomimes and various iconic and indexical gestures (e.g. Goldin-Meadow & Feldman, 1977). Participants in laboratory experiments utilize a similar strategy when they communicate with gestures in iterated communication games (Fay, Lister, Mark Ellison, & Goldin-Meadow, 2014).

In contrast to the visual gestures of signed languages, many have argued that iconic vocalizations could not have played a significant role in the origin of spoken words because the vocal modality simply does not afford much resemblance between form and meaning (M. A. Arbib, 2012; Armstrong & Wilcox, 2007; Corballis, 2003; Hewes, 1973; Hockett, 1978; Tomasello, 2010). It has also been argued that the human capacity for vocal imitation is a domain-specific skill, geared towards learning to speak, rather than the representation of environmental sounds. For example, Pinker and Jackendoff (2005) suggested that, “most humans lack the ability... to convincingly reproduce environmental sounds... Thus ‘capacity for vocal imitation’ in humans might be better described as a capacity to learn to produce speech” (p. 209). Consequently, it is still widely assumed that vocal imitation — or more broadly, the use of any sort of resemblance between form and meaning — cannot be important to understanding the origin of spoken words.

Although most words of contemporary spoken languages are not clearly imitative in origin, there has been a growing recognition of the importance of iconicity in spoken languages (Dingemanse, Blasi, Lupyan, Christiansen, & Monaghan, 2015; Perniss, Thompson, & Vigliocco, 2010) and the common use of vocal imitation and depiction in spoken discourse (Clark & Gerrig, 1990; Lewis, 2009). This has led some to argue for the importance of imitation for understanding the origin of spoken words (e.g., Brown, Black, & Horowitz, 1955; Dingemanse, 2014; Donald, 2016; Imai & Kita, 2014; Perlman, Dale, & Lupyan, 2015). In addition, counter to previous assumptions, people are highly effective at

using vocal imitations to refer to environmental sounds such as coins dropping in a jar or mechanical events such as scraping — in some cases, even more effective than when using conventional words (Lemaitre & Rocchesso, 2014). Recent work has also shown that people are able to create novel imitative vocalizations for more abstract meanings (e.g. “slow”, “rough”, “good”, “many”) that are understandable to naïve listeners (Perlman et al., 2015). These imitations are effective not because people can mimic environmental sounds with high fidelity, but because people are able to produce imitations that capture the salient features of sounds in ways that are understandable to listeners (Lemaitre, Houix, Voisin, Misdariis, & Susini, 2016). Similarly, the features of onomatopoeic words might highlight distinctive aspects of the sounds they represent. For example, the initial voiced, plosive /b/ in “boom” represents an abrupt, loud onset, the back vowel /u/ a low pitch, and the nasalized /m/ a slow, muffled decay (Rhodes, 1994).

Thus, converging evidence suggests that people can use vocal imitation as an effective means of communication. At the same time, vocal imitations are not words. If vocal imitation played a role in the origin of some spoken words, then it is necessary to identify the minimal conditions under which vocal imitations can give rise to more word-like vocalizations that can eventually be integrated into a vocabulary of a language. In the present set of studies we ask whether vocal imitations can transition to more word-like forms through sheer repetition — without an explicit intent to communicate. To answer this question, we recruited participants to play an online version of the children’s game of “Telephone”. In the children’s game, a spoken message is whispered from one person to the next. In our version, the original message or “seed sound” was a recording of an environmental sound. The initial group of participants (first generation) imitated these seed sounds, the next generation imitated the previous imitators, and so on for up to 8 generations.

Our approach uses a transmission chain methodology similar to that frequently used in experimental studies of language evolution (Tamariz, 2017, for review). As with other transmission chain studies (and iterated learning studies more generally), we seek to discover

how various biases and constraints of individuals change the nature of a linguistic signal. Importantly, while typical transmission chain studies focus on the impact of learning biases (e.g., Kirby, Cornish, & Smith, 2008), the present studies involve iterated reproduction that does not involve any learning. Participants simply attempt to imitate a sound as best as they can. The biases we hypothesize to drive vocalizations to become more word-like are therefore not related to any learning process, but instead are expected to emerge from constraints on the reproducibility of vocalizations. Our aim is thus to determine whether iterated reproduction, even without learning, is a sufficient enough constraint to enable the emergence of more word-like signals.

After collecting the imitations, we conducted a series of analyses and additional experiments to systematically answer the following questions: First, do imitations stabilize in form and become more word-like as they are repeated? Second, do the imitations retain a resemblance to the original environmental sound that inspired them? If so, it should be possible for naïve participants to match the emergent words back to the original seed sounds. Third, do the imitations become more suitable as categorical labels for the sounds that motivated them? For example, does the imitation of a particular water-splashing sound become, over generations of repeated imitation, a better label for the more general category of water-splashing sounds?

### **Experiment 1: Stabilization of imitations through repetition**

In the first experiment, we collected the vocal imitations, and assessed the extent to which repeating imitations of environmental sounds over generations of unique speakers results in progressive stabilization toward more word-like forms. After collecting the imitations, we measured changes in the stability of the imitations in three ways. First, we measured changes in the perception of acoustic similarity between subsequent generations of imitations. Second, we used algorithmic measures of acoustic similarity to assess the similarity of imitations sampled within and between transmission chains. Third, we obtained

transcriptions of imitations, and measured the extent to which later generation imitations were transcribed with greater consistency and agreement. The results show that repeated imitation results in vocalizations that are easier to repeat with high fidelity and more consistently transcribed into English orthography.

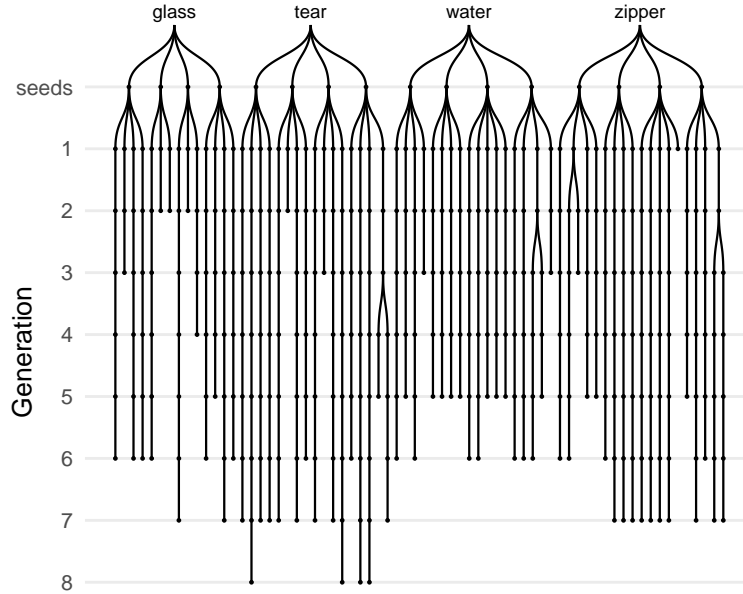
## Methods

**Selecting seed sounds.** To avoid sounds with lexicalized or conventionalized onomatopoeic forms in English, we used inanimate categories of environmental sounds. To select sounds that were equally distinguishable within each category, we used an odd-one-out norming procedure ( $N=105$  participants; see Fig. S1), resulting a final set of 16 sounds in each of 4 categories. The four categories were: glass, tear, water, zipper.

**Collecting vocal imitations.** Participants ( $N=94$ ) recruited from Amazon Mechanical Turk were paid to participate in an online version of the children’s game of “Telephone”. Participants were instructed that they would hear some sound and their task was to reproduce it as accurately as possible using their computer microphone. Full instructions are provided in the Supplemental Materials.

Each participant listened to and imitated four sounds: one from each of the four categories of environmental sounds. Sounds were assigned at random such that participants were unlikely to imitate the same person more than once. Participants were allowed to listen to each target sound as many times as they wished, but were only allowed a single recording in response. Recordings that were too quiet (less than -30 dBFS) were not accepted.

Imitations were monitored by an experimenter to remove background sounds and trim the imitations to the length of the utterance. The experimenter also removed recordings that violated the rules of the experiment, e.g., an utterance in English. A total of 115 (24%) imitations were removed prior to subsequent analysis. The final sample contained 365 imitations along 105 contiguous transmission chains (Fig. 1).



*Figure 1.* Vocal imitations collected in the transmission chain experiment. Seed sounds (16) were sampled from four categories of environmental sounds: glass, tear, water, zipper. Participants imitated each seed sound, and then the next generation of participants imitated the imitations, and so on, for up to 8 generations. Chains are unbalanced due to random assignment and the exclusion of some low quality recordings.

### Measuring acoustic similarity.

Acoustic similarity judgments were obtained from five research assistants who listened to pairs of sounds (approx. 300) and rated their subjective similarity. On each trial, raters heard two sounds from subsequent generations played in random order. They then indicated the similarity between the sounds on a 7- point Likert scale from *Entirely different and would never be confused* to *Nearly identical*. Full instructions and inter-rater reliability measures are provided in the Supplemental Materials. Ratings were normalized for each rater (z-scored) prior to analysis.

To obtain algorithmic measures of acoustic similarity, we used the acoustic distance functions included in Phonological Corpus Tools (Hall, Allen, Fry, Mackie, & McAuliffe, 2016). We computed Mel-frequency cepstral coefficients (MFCCs) between pairs of imitations using 12 coefficients in order to obtain speaker-independent estimates.



**Collecting transcriptions of imitations.** Participants ( $N=216$ ) recruited from Amazon Mechanical Turk were paid to listen to the imitations and write down what they heard as a single “word” so that the written word would sound as much like the sound as possible. Participants were instructed to avoid transcribing the imitations into existing English words. Each participant completed 10 transcriptions.

Transcriptions were gathered for the first and the last three generations of imitations. Additional “transcriptions” directly of the original environmental seed sounds are analyzed in the Supplementary Materials (Fig. S6).

**Analyses.** Statistical analyses were conducted in R using linear mixed-effects models provided by the `lme4` package (Bates, Mächler, Bolker, & Walker, 2015). Degrees of freedom and corresponding significance tests for linear mixed-effects models were estimated using the Satterthwaite approximation via the `lmerTest` package (Kuznetsova, Bruun Brockhoff, & Haubo Bojesen Christensen, 2016). Random effects (intercepts and slopes) for subjects and for items were included wherever appropriate, as described below.

## Results

Imitations of environmental sounds became more stable over the course of being repeated as revealed by increasing acoustic similarity judgments along individual transmission chains. Acoustic similarity ratings were fit with a linear mixed-effects model predicting perceived acoustic similarity from generation with random effects (intercepts and slopes) for raters. To test whether the hypothesized increase in acoustic similarity was true across all seed sounds and categories, we added random effects (intercepts and slopes) for seed sounds nested within categories. The results showed that, across raters and seeds, imitations from later generations were rated as sounding more similar to one another than imitations from earlier generations,  $b = 0.10$  (SE = 0.03),  $t(11.9) = 3.03$ ,  $p = 0.011$  (Fig. 2). This result suggests that imitations became more stable (i.e., easier to imitate with high fidelity) with each generation of repetition.

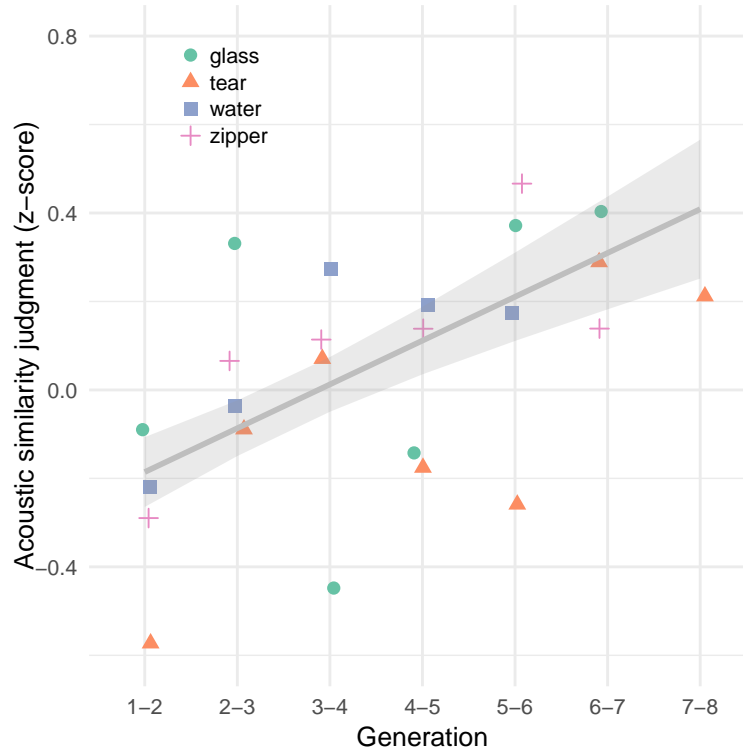


Figure 2. Change in perception of acoustic similarity over generations of iterated imitation. Points depict mean acoustic similarity ratings for pairs of imitations in each category. The predictions of the linear mixed-effects model are shown with  $\pm 1$  SE.

Increasing similarity along transmission chains could also reflect the continuous degradation of the signal due to repeated imitation, in which case acoustic similarity would increase both within as well as between chains. To test this, we calculated MFCCs for pairs of sounds sampled from within and between transmission chains across categories, and fit a linear model predicting acoustic similarity from the generation of sounds. We found that acoustic similarity increased within chains more than it increased between chains,  $b = -0.07$  (SE = 0.03),  $t(6674.0) = -2.13$ ,  $p = 0.033$  (Fig. S2), indicating that imitations were stabilizing on divergent acoustic forms as opposed to converging on similar forms through continuous degradation.

An additional test of stabilization and word-likeness was to measure whether later generation imitations were transcribed more consistently than first generation imitations.

We collected a total of 2163 transcriptions — approximately 20 transcriptions per sound. Of these, 179 transcriptions (8%) were removed because they contained English words. Some examples of the final transcriptions are presented in Table 1.

Table 1

*Examples of words transcribed from imitations.*

Category	First generation	Last generation
glass	dirrng	wayew
tear	feeshefee	cheecheea
water	boococucuwich	galong
zipper	bzzzzup	izzip

To measure the similarity among transcriptions, we calculated the orthographic distance between the most frequent transcription and all other transcriptions of a given imitation. The orthographic distance measure was a ratio based on longest contiguous matching subsequences between two transcriptions. We then fit a hierarchical linear model predicting orthographic distance from the generation of the imitation (First generation, Last generation) with random effects (intercepts and slopes) for seed sound nested within category<sup>1</sup>. The results showed that transcriptions of last generation imitations were more similar to one another than transcriptions of first generation imitations,  $b = -0.12$  (SE = 0.03),  $t(3.0) = -3.62$ ,  $p = 0.035$  (Fig. S3). The same result is reached through alternative measures of orthographic distance, such as the percentage of exact transcription matches for each imitation,  $b = 0.10$  (SE = 0.03),  $t(90.0) = 2.84$ ,  $p = 0.006$ , and the length of the longest matching substring,  $b = 0.98$  (SE = 0.24),  $t(15.1) = 4.14$ ,  $p < 0.001$  (Fig. S4). Differences between transcriptions of human vocalizations and transcriptions directly of environmental sound cues are reported in the Supplementary Materials (Fig. S6).

## Discussion

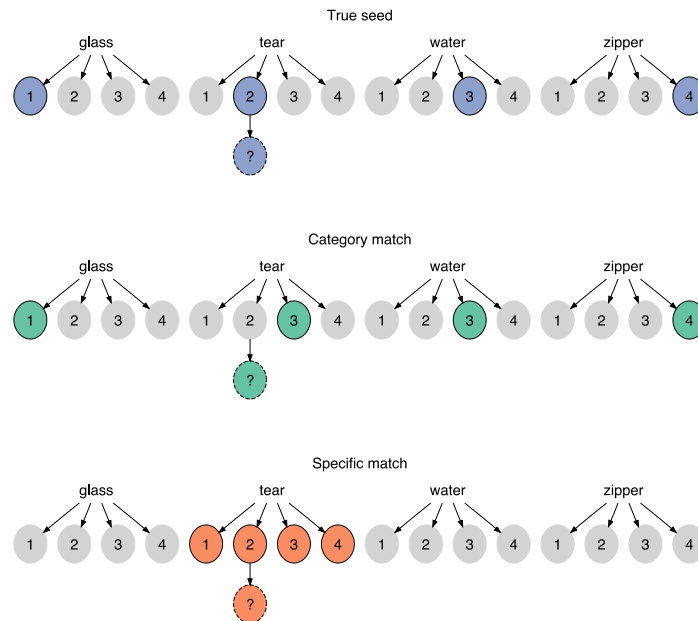
Repeating imitations of environmental sounds over generations of unique speakers was sufficient to create more word-like forms, even without any explicit intent to communicate. We defined word-likeness in terms of acoustic stability and orthographic agreement. With each repetition, the acoustic forms of the imitations became more similar to one another, indicating they became easier to repeat with high fidelity. The possibility that this similarity was due to uniform degradation across all transmission chains was ruled out by algorithmic analyses of acoustic similarity demonstrating that acoustic similarity increased within chains but not between them. Additionally, later generation imitations were transcribed more consistently into English orthography, further supporting our hypothesis that repeating imitations makes them more word-like.

The results of Experiment 1 demonstrate the ease with which iterated imitation gives rise to new word forms. However, the results do not address how these emergent words relate to the original sounds that were being imitated. As the imitations became more word-like, were they stabilizing on arbitrary acoustic and orthographic forms, or did they maintain some resemblance to the environmental sounds that motivated them? The purpose of Experiment 2 was to assess the extent to which repeated imitations and their transcriptions maintained a resemblance to the original set of seed sounds.

### Experiment 2: Resemblance of imitations to original seed sounds

To assess the resemblance of repeated imitations to the original seed sounds, we measured the ability of participants naïve to the design of the experiment to match imitations and their transcriptions back to their original sound source relative to other seed sounds from either the same category or from different categories (Fig. 3). Using these match accuracies, we first asked whether and for how many generations the imitations and their transcriptions could be matched back to the original sounds. Second, we asked whether repeated imitation resulted in a uniform degradation of the signal in each imitation, or if

repeated imitation resulted in some kinds of information degrading more rapidly than others. Specifically, we tested the hypothesis that if imitations were becoming more word-like, then they should also be interpreted more categorically, and thus we anticipated that imitations would lose information identifying the specific source of an imitation more rapidly than category information that identifies the category of environmental sound being imitated.



*Figure 3.* Three types of matching questions. Participants were presented an imitation or its transcription and selected one of four seed sounds. True seed and category match questions had choices from different sound categories. Specific match questions pitted the actual seed against the other seeds within the same category.

## Methods

**Matching imitations to seed sounds.** Participants ( $N=751$ ) recruited from Amazon Mechanical Turk were paid to listen to imitations, one at a time, and for each one, choose one of four possible sounds they thought the person was trying to imitate. The task was not speeded and no feedback was provided. Participants completed 10 questions at a time.

All imitations were tested in each of the three question types depicted in Fig. 4. These questions differed in the relationship between the imitation and the four seed sounds provided as the choices in the question. Question types (True seed, Category match, Specific match) were assigned between-subject.

**Matching transcriptions to seed sounds.** Participants ( $N=467$ ) recruited from Amazon Mechanical Turk completed a modified version of the matching survey described above. Instead of listening to imitations, participants now read a word (a transcription of an imitation), which they were told was invented to describe one of the four presented sounds. The distractors for all questions were between-category, i.e. true seed and category match. Specific match questions were omitted.

Of the unique transcriptions that were generated for each sound (imitations and seed sounds), only the top four most frequent transcriptions were used in the matching experiment. Participants who failed a catch trial ( $N=6$ ) were excluded, leaving 461 participants in the final sample.

## Results

Response accuracies in matching imitations to seed sounds were fit by a generalized linear mixed-effects model predicting match accuracy as different from chance (25%) based on the type of question being answered (True seed, Category match, Specific match) and the generation of the imitation. Question types were contrast coded using Category match questions as the baseline condition in comparison to the other two question types, each containing the actual seed that generated the imitation as one of the choices. The model included random intercepts for participant<sup>2</sup>, and random slopes and intercepts for seed sounds nested within categories.

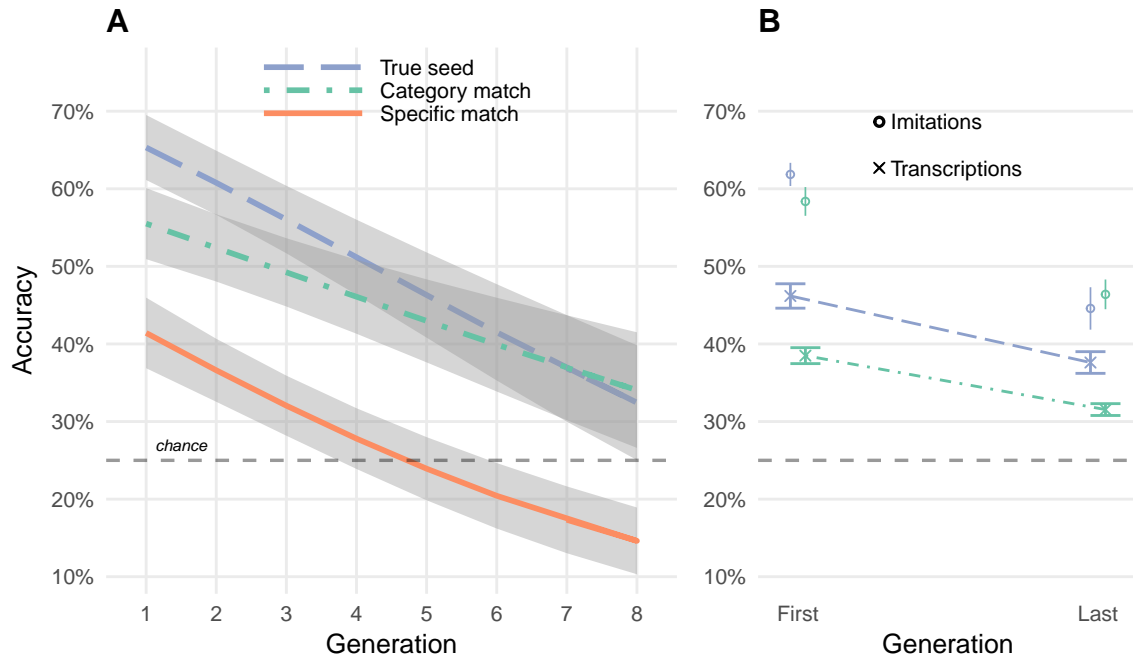
Accuracy in matching first generation imitations to seed sounds was above chance for all question types,  $b = 1.65$  (SE = 0.14) log-odds, odds = 0.50,  $z = 11.58$ ,  $p < 0.001$ , and decreased steadily over generations,  $b = -0.16$  (SE = 0.04) log-odds,  $z = -3.72$ ,  $p < 0.001$ .

We then tested whether this increase in difficulty was constant across the three types of questions or if some question types became more difficult than others. The results are shown in Fig. 4A. Performance decreased over generations more rapidly for questions requiring a within-category distinction than for between-category questions,  $b = -0.08$  (SE = 0.03) log-odds,  $z = -2.68$ ,  $p = 0.007$ , suggesting that between-category information was more resistant to loss through repeated imitation.

An alternative explanation of the drop off in accuracy for within-category questions but not category match questions is that the within-category questions are simply more difficult because the sounds presented as choices are more acoustically similar to one another. However, performance also decreased relative to the category match questions for the easiest type of question where the correct answer was the actual seed generating the imitation (True seed questions; see Fig. 3). That is, the advantage of having the true seed among between-category distractors decreased over generations,  $b = -0.07$  (SE = 0.02) log-odds,  $z = -2.77$ ,  $p = 0.006$ . The observed decrease in the “true seed advantage” (the advantage of having the actual seed among the choices) combined with the increase in the “category advantage” (the advantage of having between-category distractors) shows that the changes induced by repeated imitation caused the imitations to lose some of properties that linked the earlier imitations to the specific sound that motivated them, while nevertheless preserving a more abstract category-based resemblance.

We next report the results of matching the written transcriptions of the auditory sounds back to the original environmental sounds. Remarkably, participants were able to guess the correct meaning of a word that was transcribed from an imitation that had been repeated up to 8 times,  $b = 0.83$  (SE = 0.13) log-odds, odds = -0.18,  $z = 6.46$ ,  $p < 0.001$  (Fig. 4B). This was true for True seed questions containing the actual seed generating the transcribed imitation,  $b = 0.75$  (SE = 0.15) log-odds,  $z = 4.87$ ,  $p < 0.001$ , and for Category match questions where participants had to associate transcriptions with a particular category of environmental sounds,  $b = 1.02$  (SE = 0.16) log-odds,  $z = 6.39$ ,  $p < 0.001$ . The effect of

generation did not vary across these question types,  $b = 0.05$  (SE = 0.10) log-odds,  $z = 0.47$ ,  
 $p = 0.638$ . The results of matching “transcriptions” directly of the environmental sounds are  
 shown in Fig. S6.



*Figure 4.* Repeated imitations retained category resemblance. A. Accuracy in matching vocal imitations to original seed sounds. Curves show predictions of the generalized linear mixed effects models with  $\pm 1$  SE of the model predictions. B. Accuracy in matching transcriptions of the imitations to original seed sounds (e.g., “boococucuwich” to a water splashing sound). Circles show mean matching accuracy for the vocal imitations that were transcribed for comparison.

## Discussion

Even after being repeated up to 8 times across 8 different individuals, vocalizations retained a resemblance to the environmental sound that motivated them. This resemblance remained even after the vocalizations were transcribed into orthographic forms. For vocal imitations, but not for transcriptions this resemblance was stronger for the category of environmental sound than the actual seed sound, suggesting that through repetition, the



imitations were becoming more categorical. This result highlights another aspect of word-likeness achieved through repeated imitation: In addition to being stable in acoustic and orthographic forms, iterated imitation produces vocalizations that are interpreted by naïve listeners in a more categorical way. Iterated imitation appears to strip the vocalizations of some of the characteristics that individuate each particular sound while maintaining some category-based resemblance (even though participants were never informed about the meaning of the vocalizations and were not trying to communicate).

Transcriptions of the vocalizations, like the vocalizations themselves, were able to be matched to the original environmental sounds at levels above chance. Unlike vocalizations, the transcriptions continued to be matched more accurately to the true seed compared to the general category. That is, transcription appears to impact specific and category-level information equally. One possible explanation of the difference between the acoustic and orthographic forms of this task is that the process of transcribing a non- linguistic vocalization into a written word encourages transcribers to emphasize individuating information about the vocalization. However, the fact that transcriptions of imitations can be matched back to other category members (Category match questions) suggests that transcriptions still carry some category information, so this is not a complete explanation of our results. Another possible reason is that by selecting only the most frequent transcriptions, we unintentionally excluded less frequent transcriptions that were nonetheless more diagnostic of category information.

Experiments 1 and 2 document a process of gradual change from an imitation of an environmental sound to a more word-like form. But do these emergent words function like other words in the language? In Experiment 3, we test the suitability of words taken from the beginning and end of transmission chains in serving as category labels in a category learning task.

### Experiment 3: Suitability of created words as category labels

One consequence of imitations becoming more word-like is that they may make for better category labels. For example, an imitation from a later generation, by virtue of having a more word-like form, may be easier to learn as a label for the category of sounds that motivated it than an earlier imitation, which is more closely yoked to a particular environmental sound. To the extent that repeating imitations abstracts away the idiosyncrasies of a particular category member (Edmiston & Lupyan, 2015; Lupyan & Thompson-Schill, 2012), it may also be easier to generalize to new category members. We tested these predictions using a category learning task in which participants learned novel labels for the categories of environmental sounds. The novel labels were transcriptions of either first or last generation imitations gathered in Experiment 1.

### Methods

**Selecting words to learn as category labels.** Of the 1814 unique words created through the transmission chain and transcription procedures, we sampled 56 words transcribed from first and last generation imitations that were equated in terms of length and match accuracy with the original sounds. Our procedure for sampling transcriptions is detailed in the Supplementary Materials.

**Procedure.** Participants ( $N=67$ ) were University of Wisconsin undergraduates who received course credit for participation. Participants were randomly assigned four novel labels to learn for four categories of environmental sounds. Full instructions are provided in the Supplementary Materials. Participants were assigned between-subject to learn labels (transcriptions) of either first or last generation imitations. Some participants learned labels from transcriptions of seed sounds (Fig. S6). On each trial, participants heard one of the 16 seed sounds. After a 1s delay, participants saw a label (one of the transcribed imitations) and responded yes or no using a gamepad controller depending on whether the sound and the word went together. Participants received accuracy feedback (a bell sound and a green

checkmark if correct; a buzzing sound and a red “X” if incorrect). Four outlier participants were excluded from the final sample due to high error rates and slow RTs.

Participants categorized all 16 seed sounds over the course of the experiment, but they learned them in blocks of 4 sounds at a time. Within each block of 24 trials, participants heard the same four sounds and the same four words multiple times, with a 50% probability of the sound matching the word on any given trial. At the start of a new block of trials, participants heard four new sounds they had not heard before, and had to learn to associate these new sounds with the words they had learned in the previous blocks.

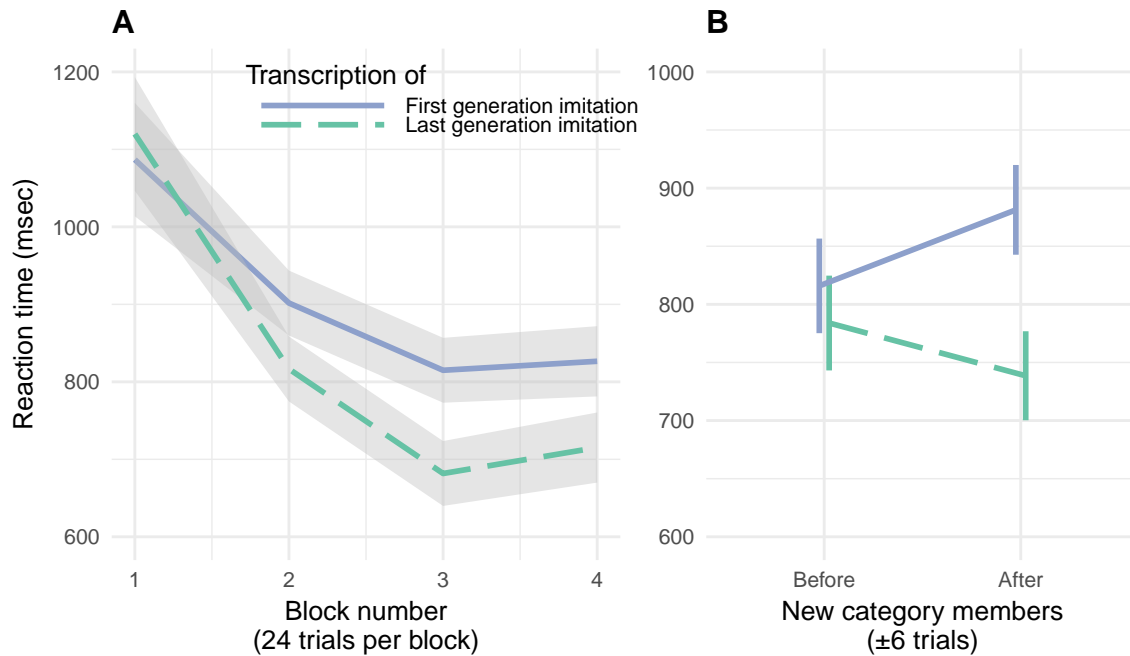
## Results

Participants began by learning through trial-and-error to associate four written labels with four categories of environmental sounds. The small number of categories made this an easy task (mean accuracy after the first block of 24 trials was 81%; Fig. S5). Participants learning transcriptions of first or last generation imitations did not differ in overall accuracy,  $p = 0.887$ , or reaction time,  $p = 0.616$ .

After this initial learning phase (i.e. after the first block of trials), accuracy performance quickly reached ceiling and did not differ between groups  $p = 0.775$ . However, the response times of participants learning last generation transcriptions declined more rapidly with practice than participants learning first generation transcriptions,  $b = -114.13$  (SE = 52.06),  $t(39.9) = -2.19$ ,  $p = 0.034$  (Fig. 5A). These faster responses suggest that, in addition to becoming more stable both in terms of acoustic and orthographic properties, repeating imitations makes them easier to process as category labels. We predict that given a harder task (i.e., more than four categories and 16 exemplars) would yield differences in initial learning rates as well.

Next, we examined whether transcriptions from last generation imitations were easier to generalize to novel category exemplars. To test this hypothesis, we compared RTs on trials immediately prior to the introduction of novel sounds (new category members) and the

first trials after the block transition ( $\pm 6$  trials). The results revealed a reliable interaction between the generation of the transcribed imitation and the block transition,  $b = -110.77$  ( $SE = 52.84$ ),  $t(39.7) = -2.10$ ,  $p = 0.042$  (Fig. 5B). This result suggests that transcriptions from later generation imitations were easier to generalize to new category members.



*Figure 5.* Repeated imitations made for better category labels. A. Mean RTs for correct responses in the category learning experiment with  $\pm 1$  SE. B. Cost of generalizing to new category members with  $\pm 1$  SE.

## Discussion

The results of a simple category learning experiment demonstrate a possible benefit to the stabilization of repeated imitations on more word-like forms. As a consequence of being more word-like, repeated imitations were responded to more quickly, and generalized to new category members more easily. These results suggest an advantage to repeating imitations from the perspective of the language learner in that they afford better category generalization.

## General Discussion

Accumulating evidence shows that iconic words are prevalent across the spoken languages of the world (Dingemanse et al., 2015; Imai & Kita, 2014; Perniss et al., 2010). And counter to past assumptions about the limitations of human vocal imitation, people are surprisingly effective at using vocal imitation to represent and communicate about the sounds in their environment (Lemaitre et al., 2016) and more abstract meanings (Perlman et al., 2015). These findings raise the hypothesis that early spoken words originated from vocal imitations, perhaps comparable to the way that many of the signs of signed languages appear to be formed originally from pantomimes (Fay, Ellison, & Garrod, 2014; Perlman et al., 2015). Here, we examined whether simply repeating an imitation of an environmental sound—with no intention to create a new word or even to communicate—produces more word-like forms.

Our results show that through unguided repetition, imitative vocalizations became more word-like both in form and function. In form, the vocalizations gradually stabilized over generations, becoming more similar from imitation to imitation. The standardization was also found when the words were transcribed into the English alphabet. Even as the vocalizations became more word-like, they maintained a resemblance to the original environmental sounds that motivated them. Notably, this resemblance appeared to be greater with respect to the category of sound (e.g., water-splashing sounds), rather than to the specific exemplar (a particular water-splashing sound). After eight generations the vocalizations could no longer be matched to the particular sound from which they originated any more accurately than they could be matched to the general category of environmental sound. Thus, information that distinguished an imitation from other sound categories was more resilient to transmission decay than exemplar information within a category. Remarkably, the resemblance to the original sounds was maintained even when the vocalizations were transcribed into a written form: participants were able to match the transcribed vocalizations to the original sound category at levels above chance.

We further tested the hypothesis that repeated imitation led to vocalizations becoming

more word-like by testing the ease with which people learned the (transcribed) vocalizations as category labels (e.g., “pshfft” from generation 1 vs. “shewp” from generation 8 as labels for tearing sounds) (Exp. 3). Labels from the last generation were responded to more quickly than labels from the first generation. More importantly the labels from the last generation generalized better to novel category members. This fits with previous research showing that the relatively arbitrary forms that are typical of words (e.g. “dog”) makes them better suited to function as category labels compared to direct auditory cues (e.g., the sound of a dog bark) (Boutonnet & Lupyan, 2015; Edmiston & Lupyan, 2015; Lupyan & Thompson-Schill, 2012).

Even as the vocalizations became more word-like, they nevertheless maintained an imitative quality. After eight generations they could no longer be matched to the particular sound from which they originated any more accurately than they could be matched to the general category of environmental sound. Thus, information that distinguished an imitation from other sound categories was more resilient to transmission decay than exemplar information within a category. Remarkably, even after the vocalizations were transcribed into English orthography, participants were able to guess their original sound category from the written “words”. In contrast to the vocalizations, participants continued to be more accurate at matching late generation transcriptions back to their particular source sound relative to other exemplars from the same category.

Unlike the large number of iconic signs in signed languages (e.g. Goldin-Meadow, 2016), the number of iconic words in spoken languages may appear to be very small (Crystal, 1987; Newmeyer, 1992). However, increasing evidence from disparate language suggests that vocal imitation is, in fact, a widespread source of vocabulary. Cross-linguistic surveys indicate that onomatopoeia—iconic words used to represent sounds—are a universal lexical category found across the world’s languages (Dingemanse, 2012). Even English, a language that has been characterized as relatively limited in iconic vocabulary (Vigliocco, Perniss, & Vinson, 2014), is documented as having hundreds of onomatopoeic words not only for animal and human vocalizations (“meow”, “tweet”, “slurp”, “babble”, murmur”), but also for a

variety of environmental sounds (e.g., “ping”, “click”, “plop”) (e.g., Rhodes, 1994; Sobkowiak, 1990). Besides words that directly resemble sounds — the focus of the present study — many languages contain semantically broader inventories of ideophones. These words comprise a grammatically and phonologically distinct class of words that are used to express various sensory-rich meanings, such as qualities related to manner of motion, visual properties, textures and touch, inner feelings and cognitive states (Dingemanse, 2012; Nuckolls, 1999; Voeltz & Kilian-Hatz, 2001). As with onomatopoeia, ideophones are often recognized by naïve listeners as bearing a degree of resemblance to their meaning (Dingemanse, Schuermer, & Reinisch, 2016).

Our study focused on imitations of environmental sounds, and more work remains to be done to determine the extent to which vocal imitation can ground *de novo* vocabulary creation in other semantic domains (e.g., Lupyan & Perlman, 2015; Perlman et al., 2015). Notably, our hypothesis that vocal imitation may have played a role in the origin of some of the first spoken words does not preclude that gesture played an equal or more important role in establishing the first linguistic conventions (e.g. Fay, Arbib, & Garrod, 2013; Goldin-Meadow, 2016; Kendon, 2014). What the present results make clear is that the transition from imitation to word can be a rapid and simple process: the mere act of repeated imitation can drive vocalizations to become more word-like in both form and function while still retaining some resemblance to the real world referents.

## Ethics

This was approved by the University of Wisconsin-Madison’s Educational and Social/Behavioral Sciences Institutional Review Board and conducted in accordance with the principles expressed in the Declaration of Helsinki. Informed consent was obtained for all participants.

### Data, code, and materials

Our data along with all methods, materials, and analysis scripts, are available in public repositories described on the Open Science Framework page for this research here: [osf.io/3navm](https://osf.io/3navm).

### Competing interests

We have no competing interests.

### Authors' contributions

P.E., M.P., and G.L. designed the research. P.E. conducted the research and analyzed the data. P.E., M.P., and G.L. wrote the manuscript.

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**Table captions**

615

616 *Table 1.*      Examples of words transcribed from imitations.

## Figure captions

*Figure 1.* Vocal imitations collected in the transmission chain experiment. Seed sounds (16) were sampled from four categories of environmental sounds: glass, tear, water, zipper. Participants imitated each seed sound, and then the next generation of participants imitated the imitations, and so on, for up to 8 generations. Chains are unbalanced due to random assignment and the exclusion of some low quality recordings.

*Figure 2.* Change in perception of acoustic similarity over generations of iterated imitation. Points depict mean acoustic similarity ratings for pairs of imitations in each category. The predictions of the linear mixed-effects model are shown with  $\pm 1$  SE.

*Figure 3.* Three types of matching questions. Participants were presented an imitation or its transcription and selected one of four seed sounds. True seed and category match questions had choices from different sound categories. Specific match questions pitted the actual seed against the other seeds within the same category.

*Figure 4.* Repeated imitations retained category resemblance. A. Accuracy in matching vocal imitations to original seed sounds. Curves show predictions of the generalized linear mixed effects models with  $\pm 1$  SE of the model predictions. B. Accuracy in matching transcriptions of the imitations to original seed sounds (e.g., “boococucuwich” to a water splashing sound). Circles show mean matching accuracy for the vocal imitations that were transcribed for comparison.

*Figure 5.* Repeated imitations made for better category labels. A. Mean RTs for correct responses in the category learning experiment with  $\pm 1$  SE. B. Cost of generalizing to new category members with  $\pm 1$  SE.

**Footnotes**

643

645 <sup>1</sup>Random effects for subject were not appropriate because the distance measure was derived from pairwise  
646 comparisons of transcriptions generated by different transcribers. As a result, the degrees of freedom for  
647 the significance tests for the parameters of this model reflect the Satterthwaite approximation based on the  
648 number of seed sounds (16) nested within categories (4), not the number of unique transcribers ( $N=216$ ).

649 <sup>2</sup>Random slopes for generation were not appropriate in the by-subject random effects because data  
650 collection was batched by generation of imitation, and therefore each participant did not sample across the  
651 range of generations.