

# The emergence of words from vocal imitations

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The emergence of words from vocal imitations

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Abstract

People have long pondered the origins of language, especially the words that compose them. 12

Here, we report a series of experiments investigating how conventional spoken words might 13

emerge from imitations of environmental sounds. Does the repeated imitation of an 14

environmental sound gradually give rise to more wordlike forms? In what ways do these 15

words resemble the original sounds that motivated them (i.e., iconicity)? Participants played 16

a version of the children's game "Telephone". The first generation of participants imitated 17

recognizable environmental sounds (e.g., glass breaking, water splashing). Subsequent 18

generations imitated the previous generation of imitations for a maximum of 8 generations. 19

The results showed that the imitations became more stable and word-like, and later

imitations were easier to learn as category labels. At the same time, even after 8 generations,

both spoken imitations and their written transcriptions could be matched above chance to

the category of environmental sound that motivated them. These results show how repeated

imitation can create progressively more word-like forms while continuing to retain a

resemblance to the original sound that motivated them, and speak to the possible role of

human vocal imitation in explaining the origins of at least some spoken words.

Keywords: language evolution, iconicity, vocal imitation, transmission chain 27

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## The emergence of words from vocal imitations

Most vocal communication of non-human primate species is based on a repertoire of 30 species-typical calls that are highly similar across generations and between populations (e.g. 31 Seyfarth & Cheney, 1986) (but see, e.g. Crockford, Herbinger, Vigilant, & Boesch, 2004). In 32 contrast, human languages comprise a vast repertoire of learned meaningful elements (words 33 and other morphemes) which can number in the tens of thousands or more (e.g., Brysbaert, Stevens, Mandera, & Keuleers, 2016). Aside from their number, the words of different natural languages are characterized by their extreme diversity (Evans & Levinson, 2009; Lupyan & Dale, 2016; Wierzbicka, 1996). The words used within a speech community change relatively quickly over generations compared to the evolution of vocal signals (e.g., Pagel, Atkinson, & Meade, 2007). At least in part as a consequence of this divergence, most words appear to bear a largely arbitrary relationship between their form and their meaning seemingly, a product of their idiosyncratic etymological histories (Labov, 1972; Sapir, 1921). The apparently arbitrary nature of spoken vocabularies presents a quandary for the study of language origins. If words of spoken languages are truly arbitrary, by what process were the first words ever coined? While the origin of most spoken words is hard to discern, the situation is somewhat 45 different for signed languages. In signed languages, the origins of many signs are relatively transparent. Although signed languages rely on the same type of referential symbolism as 47 spoken languages, many individual signs have clear iconic roots, formed from gestures that resemble their meaning (Frishberg, 1975; Goldin-Meadow, 2016; Kendon, 2014; Klima & Bellugi, 1980). For instance, Frishberg (1975) noted the iconic origins of the American Sign Language (ASL) sign for bird, which is formed with a beak-like handshape articulated in front of the nose. Another example is steal, derived from a grabbing motion to represent the act of stealing something. Stokoe (1965) identified about 25% of American Sign Language signs to be iconic, and reviewing the remaining 75% of ASL signs, Wescott (1971) determined that about two-thirds of these seemed plausibly derived from iconic origins.

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Further support for iconic origins of signed languages comes from observations of deaf
   children raised without exposure to a signed language, who develop homesign systems to use
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   with their family. These communication systems are generally built from a process in which
   the children establish conventional gestures through the use of pantomimes and various
   iconic and indexical gestures (e.g. Goldin-Meadow & Feldman, 1977). Participants in
   laboratory experiments utilize a similar strategy when they communicate with gestures in
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   iterated communication games (Fay, Lister, Mark Ellison, & Goldin-Meadow, 2014).
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In contrast to the visual gestures of signed languages, many have argued that iconic 63 vocalizations could not have played a significant role in the origin of spoken words because the vocal modality simply does not afford much resemblance between form and meaning (M. A. Arbib, 2012; Armstrong & Wilcox, 2007; Corballis, 2003; Hewes, 1973; Hockett, 1978; Tomasello, 2010). It has also been argued that the human capacity for vocal imitation is a domain-specific skill, geared towards learning to speak, rather than the representation of environmental sounds. For example, Pinker and Jackendoff (2005) suggested that, "most humans lack the ability... to convincingly reproduce environmental sounds... Thus capacity for vocal imitation' in humans might be better described as a capacity to learn to 71 produce speech" (p. 209). Consequently, it is still widely assumed that vocal imitation — or more broadly, the use of any sort of resemblance between form and meaning — cannot be important to understanding the origin of spoken words.

Although most words of contemporary spoken languages are not clearly imitative in 75 origin, there has been a growing recognition of the importance of iconicity in spoken languages (Dingemanse, Blasi, Lupyan, Christiansen, & Monaghan, 2015; Perniss, Thompson, & Vigliocco, 2010) and the common use of vocal imitation and depiction in spoken discourse (Clark & Gerrig, 1990; Lewis, 2009). This has led some to argue for the importance of imitation for understanding the origin of spoken words (e.g., Brown, Black, & Horowitz, 1955; Dingemanse, 2014; Donald, 2016; Imai & Kita, 2014; Perlman, Dale, & Lupyan, 2015). In addition, counter to previous assumptions, people are highly effective at

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using vocal imitations to refer to environmental sounds such as coins dropping in a jar or
mechanical events such as scraping – in some cases, even more effective than when using
conventional words (Lemaitre & Rocchesso, 2014). Recent work has also shown that people
are able to create novel imitative vocalizations for more abstract meanings (e.g. "slow",
"rough", "good", "many") that are understandable to naïve listeners (Perlman et al., 2015).
These imitations are effective not because people can mimic environmental sounds with high
fidelity, but because people are able to produce imitations that capture the salient features of
sounds in ways that are understandable to listeners (Lemaitre, Houix, Voisin, Misdariis, &
Susini, 2016). Similarly, the features of onomatopoeic words might highlight distinctive
aspects of the sounds they represent. For example, the initial voiced, plosive /b/ in "boom"
represents an abrupt, loud onset, the back vowel /u/ a low pitch, and the nasalized /m/ a
slow, muffled decay (Rhodes, 1994).

Thus, converging evidence suggests that people can use vocal imitation as an effective 95 means of communication. At the same time, vocal imitations are not words. If vocal 96 imitation played a role in the origin of some spoken words, then it is necessary to identify the 97 minimal conditions under which vocal imitations can give rise to more word-like vocalizations that can eventually be integrated into a vocabulary of a language. In the present set of studies we ask whether vocal imitations can transition to more word-like forms through sheer repetition — without an explicit intent to communicate. To answer this question, we 101 recruited participants to play an online version of the children's game of "Telephone". In the 102 children's game, a spoken message is whispered from one person to the next. In our version, 103 the original message or "seed sound" was a recording of an environmental sound. The initial 104 group of participants (first generation) imitated these seed sounds, the next generation 105 imitated the previous imitators, and so on for up to 8 generations. 106

Our approach uses a transmission chain methodology similar to that frequently used in experimental studies of language evolution (Tamariz, 2017, for review). As with other transmission chain studies (and iterated learning studies more generally), we seek to discover

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how various biases and constraints of individuals change the nature of a linguistic signal. Importantly, while typical transmission chain studies focus on the impact of learning biases 111 (e.g., Kirby, Cornish, & Smith, 2008), the present studies involve iterated reproduction that 112 does not involve any learning. Participants simply attempt to imitate a sound as best as 113 they can. The biases we hypothesize to drive vocalizations to become more wordlike are 114 therefore not related to any learning process, but instead are expected to emerge from 115 constraints on the reproducibility of vocalizations. Our aim is thus to determine whether 116 iterated reproduction, even without learning, is a sufficient enough constraint to enable the 117 emergence of more wordlike signals. 118

After collecting the imitations, we conducted a series of analyses and additional 119 experiments to systematically answer the following questions: First, do imitations stabilize in 120 form and become more word-like as they are repeated? Second, do the imitations retain a 121 resemblance to the original environmental sound that inspired them? If so, it should be 122 possible for naïve participants to match the emergent words back to the original seed sounds. 123 Third, do the imitations become more suitable as categorical labels for the sounds that 124 motivated them? For example, does the imitation of a particular water-splashing sound 125 become, over generations of repeated imitation, a better label for the more general category 126 of water-splashing sounds? 127

## Experiment 1: Stabilization of imitations through repetition

In the first experiment, we collected the vocal imitations, and assessed the extent to
which repeating imitations of environmental sounds over generations of unique speakers
results in progressive stabilization toward more word-like forms. After collecting the
imitations, we measured changes in the stability of the imitations in three ways. First, we
measured changes in the perception of acoustic similarity between subsequent generations of
imitations along contiguous transmission chains. Second, we used algorithmic measures of
acoustic similarity to assess the similarity of imitations sampled within and between

transmission chains. Third, we obtained transcriptions of imitations, and measured the
extent to which later generation imitations were transcribed with greater consistency and
agreement. The results show that repeated imitation results in vocalizations that are easier
to repeat with high fidelity and more consistently transcribed into English orthography.

### 140 Methods

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Selecting seed sounds. To avoid sounds having lexicalized or conventionalized 141 onomatopoeic forms in English, we used inanimate categories of environmental sounds. 142 Using an odd-one-out norming procedure (N=105 participants), an initial set of 36 sounds in 143 6 categories was reduced to a final set of 16 "seed" sounds: 4 sounds in each of 4 categories. 144 The purpose of this norming procedure was to reach a set of approximately equally 145 distinguishable sounds within each category by systematically removing the sounds that 146 stood out in each category. The results of the norming procedure are shown in Fig. S1. The 147 four final categories were: water, glass, tear, zipper. The final 16 seed sounds can be 148 downloaded from here: osf.io/n6g7d/download. 149

Collecting vocal imitations. Participants (N=94) recruited from Amazon
Mechanical Turk were paid to participate in an online version of the children's game of
"Telephone". Participants were instructed that they would hear some sound and their task
was to reproduce it as accurately as possible using their computer microphone. Full
instructions are provided in the Supplemental Materials.

Each participant listened to and imitated four sounds: one from each of the four categories of environmental sounds. Sounds were assigned at random such that participants were unlikely to imitate the same person more than once. Participants were allowed to listen to each target sound as many times as they wished, but were only allowed a single recording in response. Recordings that were too quiet (less than -30 dBFS) were not accepted.

Imitations were monitored by an experimenter to catch any gross errors in recording before they were heard by the next generation of imitators. For example, recordings with loud sounds in the background were removed, and recordings were trimmed to the length of
the imitation prior to the next generation. The experimenter also removed sounds that
violated the rules of the experiment, e.g., by saying something in English. A total of 115
(24%) imitations were removed prior to subsequent analysis. The final sample contained 365
imitations along 105 contiguous transmission chains (Fig. 1).

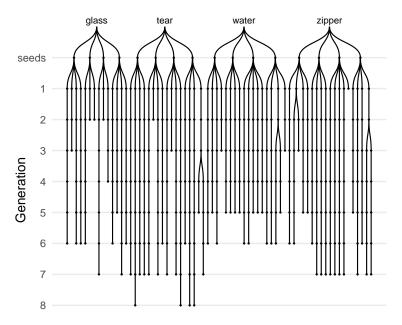


Figure 1. Vocal imitations collected in the transmission chain experiment. Seed sounds (16) were sampled from four categories of environmental sounds: glass, tear, water, zipper. Participants imitated each seed sound, and then the next generation of participants imitated the imitations, and so on, for up to 8 generations. Chains are unbalanced due to random assignment and the exclusion of some low quality recordings.

## Measuring acoustic similarity.

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Acoustic similarity judgments. Acoustic similarity judgments were gathered from five research assistants who listened to pairs of sounds (approx. 300) and rated their subjective similarity. On each trial, raters heard two sounds from subsequent generations played in random order. They then indicated the similarity between the sounds on a 7-point Likert scale from Entirely different and would never be confused to Nearly identical. Raters were encouraged to use as much of the scale as they could while maximizing the likelihood

that, if they did this procedure again, they would reach the same judgments. Full instructions are provided in the Supplemental Materials. Inter-rater reliability was calculated as the intra-class coefficient treating the group as the unit of analysis (Gamer, Lemon, Fellows, & Singh, 2012; Shrout & Fleiss, 1979): ICC = 0.76, 95% CI [0.70, 0.81], F(170, 680) = 4.18, p < 0.001. Ratings were normalized for each rater (z-scored) prior to analysis.

Algorithmic acoustic similarity. To obtain algorithmic measures of acoustic similarity, we used the acoustic distance functions included in Phonological Corpus Tools (Hall, Allen, Fry, Mackie, & McAuliffe, 2016). We computed Mel-frequency cepstral coefficients (MFCCs) between pairs of imitations using 12 coefficients in order to obtain speaker-independent estimates.

Collecting transcriptions of imitations. Participants (N=216) recruited from 184 Amazon Mechanical Turk were paid to transcribe vocalizations using English orthography, being instructed to write down what they heard as a single "word" so that the written word 186 would sound as much like the sound as possible. Participants were instructed that this was a 187 word creation task and so to avoid transcribing the vocalizations into existing English words. 188 Each participant completed 10 transcriptions. Transcriptions were gathered for the first and 189 the last three generations of imitations collected in the transmission chain experiment. 190 Participants also provided "transcriptions" of the original environmental seed sounds. 191 Analyses of these transcriptions are reported in the Supplementary Materials (Fig. S5). 192

To measure similarity among transcriptions of the same imitation, we used the

SequenceMatcher functions in the difflib package of the Python standard library, which

implements a version of Ratcliff and Obershelp's "gestalt pattern matching" algorithm.

Alternative measures of transcription agreement including exact string matching and the

length of the longest substring match were also collected.

Analyses. Statistical analyses were conducted in R using linear mixed-effects models provided by the lme4 package (Bates, Mächler, Bolker, & Walker, 2015). Degrees of freedom and corresponding significance tests for linear mixed-effects models were estimated using the

Satterthwaite approximation via the lmerTest package (Kuznetsova, Bruun Brockhoff, & Haubo Bojesen Christensen, 2016). Random effects (intercepts and slopes) for subjects and for items were included wherever appropriate, as described below.

Data availability. Our data along with all methods, materials, and analysis scripts,
are available in public repositories described on the Open Science Framework page for this
research here: osf.io/3navm.

## Results

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Acoustic similarity increased through iteration. Imitations of environmental 208 sounds became more stable over the course of being repeated as revealed by increasing 209 acoustic similarity judgments along individual transmission chains. Acoustic similarity 210 ratings were fit with a linear mixed-effects model predicting perceived acoustic similarity 211 from generation with random effects (intercepts and slopes) for raters. To test whether the 212 hypothesized increase in acoustic similarity was true across all seed sounds and categories, we 213 added random effects (intercepts and slopes) for seed sounds nested within categories. The 214 results showed that, across raters and seeds, imitations from later generations were rated as 215 sounding more similar to one another than imitations from earlier generations, b = 0.10 (SE 216 = 0.03), t(11.9) = 3.03, p = 0.011 (Fig. 2). This result suggests that imitations became 217 more stable (i.e., easier to imitate with high fidelity) with each generation of repetition. 218 Acoustic similarity was highest within transmission chains. Increasing 219 similarity along transmission chains could also reflect the continuous degradation of the 220 signal due to repeated imitation, in which case we would expect acoustic similarity to 221 increase both within as well as between transmission chains as a function of generation of imitation. To rule out this alternative explanation, we calculated MFCCs for pairs of sounds sampled from within and between different transmission chains from consecutive generations across categories. To analyze the results, we fit a linear model predicting normalized acoustic 225 similarity scores (z-scores) from the generation of sounds. A hierarchical model was not

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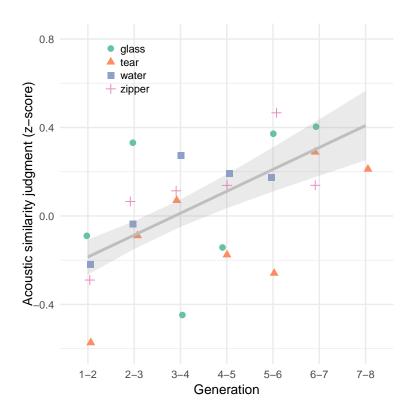


Figure 2. Change in perception of acoustic similarity over generations of iterated imitation. Points depict mean acoustic similarity ratings for pairs of imitations in each category. The predictions of the linear mixed-effects model are shown with  $\pm 1$  SE. Acoustic similarity increased over generations, indicating that repetition made the vocalizations easier to imitate with high fidelity.

appropriate for this analysis because the between-chain pairs of sounds were sampled from
different categories, preventing any random effects due to category or seed from being
included in the model. We found that acoustic similarity increased within chains more than
it increased between chains, b = -0.07 (SE = 0.03), t(6674.0) = -2.13, p = 0.033 (Fig. S2).
This result supports the conclusion that transmission chains were stabilizing on divergent
acoustic forms as opposed to all chains converging on similar forms through continuous
degradation.

Later generation imitations were transcribed more consistently. An additional test of stabilization and word-likeness was to measure whether later generation

imitations were transcribed more consistently than first generation imitations. We collected a total of 2163 transcriptions — approximately 20 transcriptions per sound. Of these, 179 transcriptions (8%) were removed because they contained English words. Some examples of the final transcriptions are presented in Table 1.

Table 1

Examples of words transcribed from imitations.

Category	Seed	First generation	Last generation
glass	1	tingtingting	deetdedededeet
glass	2	chirck	correcto
glass	3	dirrng	wayew
glass	4	boonk	baroke
tear	1	scheeept	cheecheea
tear	2	feeshefee	cheeoooo
tear	3	hhhweerrr	chhhhhhewwwe
tear	4	cccchhhhyeaahh	shhhhh
water	1	boococucuwich	eeverlusha
water	2	chwoochwooochwooo	cheiopshpshcheiopsh
water	3	atoadelchoo	mowah
water	4	awakawush	galonggalong
zipper	1	euah	izoo
zipper	2	zoop	veeeep
zipper	3	arrgt	owww
zipper	4	bzzzzup	izzip

To measure the similarity among transcriptions, we calculated the orthographic distance between the most frequent transcription and all other transcriptions of a given imitation. The orthographic distance measure was a ratio based on longest contiguous

matching subsequences between pairs of transcriptions. We then fit a hierarchical linear 243 model predicting orthographic distance from the generation of the imitation (First 244 generation, Last generation) with random effects (intercepts and slopes) for seed sound 245 nested within category<sup>1</sup>. The results showed that transcriptions of last generation imitations 246 were more similar to one another than transcriptions of first generation imitations, b = -0.12247 (SE = 0.03), t(3.0) = -3.62, p = 0.035 (Fig. 3). The same result is reached through 248 alternative measures of orthographic distance, such as the percentage of exact transcription 249 matches for each imitation, b = 0.10 (SE = 0.03), t(90.0) = 2.84, p = 0.006, and the length 250 of the longest matching substring, b = 0.98 (SE = 0.24), t(15.1) = 4.14, p < 0.001 (Fig. S3). 251 Differences between transcriptions of human vocalizations and transcriptions directly of 252 environmental sound cues are presented in the Supplementary Materials (Fig. S5). 253

## Discussion

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Repeating imitations of environmental sounds over generations of unique speakers was 255 sufficient to create more wordlike forms, even without any explicit intent to communicate. 256 We defined wordlike-ness in terms of acoustic stability and orthographic agreement. With 257 additional repetitions, the acoustic forms of the imitations became more similar to one 258 another, indicating they became easier to repeat with high fidelity. The possibility that this 259 similarity was due to uniform degradation across all transmission chains was ruled out by 260 algorithmic analyses of acoustic similarity within and between chains demonstrating that 261 acoustic similarity increased within chains but not between them. Additionally, later 262 generation imitations were transcribed more consistently into English orthography, further 263 supporting our hypothesis that repeating imitations makes them more word-like. 264

The results of Experiment 1 demonstrate the ease with which iterated imitation gives  $\overline{\phantom{a}}^{1}$ Random effects for subject were not appropriate because the distance measure was derived from pairwise comparisons of transcriptions generated by different transcribers. As a result, the degrees of freedom for the significance tests for the parameters of this model reflect the Satterthwaite approximation based on the number of seed sounds (16) nested within categories (4), not the number of unique transcribers (N=216).

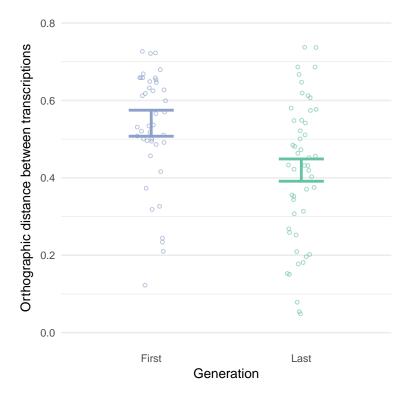


Figure 3. Orthographic agreement among transcriptions of first and last generation imitations. Points depict the mean orthographic distance between the most frequent transcription and all other transcriptions of a given imitation, with error bars denoting  $\pm 1$  SE of the hierarchical linear model predictions. Transcriptions of later generation imitations were more similar to one another than transcriptions of first generation imitations, suggesting that repeating imitations made them easier to transcribe into English orthography than direct imitations of environmental sounds.

rise to new word forms. However, the results do not address how these emergent words relate
to the original sounds that were being imitated. As the imitations became more word-like,
were they stabilizing on arbitrary acoustic and orthographic forms, or did they maintain
some resemblance to the environmental sounds that motivated them? The purpose of
Experiment 2 was to assess the extent to which repeated imitations and their transcriptions
maintained a resemblance to the original set of seed sounds.

# Experiment 2: Resemblance of imitations to original seed sounds

To assess the resemblance of repeated imitations to the original seed sounds, we 273 measured the ability of participants naïve to the design of the experiment to match 274 imitations and their transcriptions back to their original sound source relative to other seed 275 sounds from either the same category or from different categories (Fig. 4). We used the 276 match accuracies to answer two questions concerning the effect of iterated imitation on 277 resemblance to the original seed sounds. First, we asked whether and for how many 278 generations the imitations and their transcriptions could be matched back to the original 279 sounds. Second, we asked whether repeated imitation resulted in a uniform degradation of 280 the signal in each imitation, or if repeated imitation resulted in some kinds of information 281 degrading more rapidly than others. Specifically, we tested the hypothesis that if imitations 282 were becoming more word-like, then they should also be interpreted more categorically, and 283 thus we expected the imitations to lose individuating information that identifies the specific 284 source of an imitation more rapidly than category information that identifies the general category of environmental sound being imitated.

## Methods

Matching imitations to seed sounds. Participants (N=751) recruited from
Amazon Mechanical Turk were paid to listen to imitations, one at a time, and for each one,
choose one of four possible sounds they thought the person was trying to imitate. The task
was not speeded and no feedback was provided. Participants completed 10 questions at a
time.

All 365 imitations were tested in each of the three question types depicted in Fig. 4.

These questions differed in the relationship between the imitation and the four seed sounds provided as the choices in the question. Question types (True seed, Category match, Specific match) were assigned between-subject. Participants in the True seed and Category match conditions were provided four seed sounds from different categories as choices in each

question. Participants in the Specific match condition were provided four seed sounds from
the same category.

Matching transcriptions to seed sounds. Participants (N=467) recruited from
Amazon Mechanical Turk completed a modified version of the matching survey described
above. Instead of listening to imitations, participants now read a word (a transcription of an
imitation), which they were told was an invented word. They were instructed that the word
was invented to describe one of the four presented sounds, and they had to guess which one.
The distractors for all questions were between-category, i.e. true seed and category match.
Specific match questions were omitted.

Of the unique transcriptions that were generated for each sound (imitations and seed sounds), only the top four most frequent transcriptions were used in the matching experiment. Participants who failed a catch trial (N=6) were excluded, leaving 461 participants in the final sample.

#### 311 Results

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Imitations retained category information more than individuating 312 Response accuracies in matching imitations to seed sounds were fit by a information. 313 generalized linear mixed-effects model predicting match accuracy as different from chance 314 (25%) based on the type of question being answered (True seed, Category match, Specific 315 match) and the generation of the imitation. Question types were contrast coded using 316 Category match questions as the baseline condition in comparison to the other two question 317 types each containing the actual seed that generated the imitation as one of the choices. The 318 model included random intercepts for participant<sup>2</sup>, and random slopes and intercepts for 319 seed sounds nested within categories. 320

Accuracy in matching first generation imitations to seed sounds was above chance for 

2Random slopes for generation were not appropriate in the by-subject random effects because data 
collection was batched by generation of imitation, and therefore each participant did not sample across the 
range of generations.

all question types, b = 1.65 (SE = 0.14) log-odds, odds = 0.50, z = 11.58, p < 0.001, and 322 decreased steadily over generations, b = -0.16 (SE = 0.04) log-odds, z = -3.72, p < 0.001. 323 We then tested whether this increase in difficulty was constant across the three types of 324 questions or if some question types became more difficult than others. The results are shown 325 in Fig. 5A. Performance decreased over generations more rapidly for questions requiring a 326 within-category distinction than for between-category questions, b = -0.08 (SE = 0.03) 327 log-odds, z = -2.68, p = 0.007, suggesting that between-category information was more 328 resistant to loss through repeated imitation. 329

An alternative explanation for this result is that the within-category match questions 330 are simply more difficult because the sounds provided as choices are more acoustically 331 similar to one another than the between-category questions, and therefore, performance 332 might be expected to drop off more rapidly with repeated imitation for these more difficult 333 questions<sup>3</sup>. Questions requiring a within-category distinction were indeed more difficult than 334 questions requiring a between-category distinction. If the differences between question types 335 were entirely attributable to the acoustic distance between the distractors in each question. 336 we would expect performance in both between-category question types (true seed and 337 category match) to be equally affected by generational decay. However, performance also 338 decreased for the easiest type of question where the correct answer was the actual seed 339 generating the imitation (True seed questions; see Fig. 4); the advantage of having the true 340 seed among between-category distractors decreased over generations, b = -0.07 (SE = 0.02) 341 log-odds, z = -2.77, p = 0.006. Post-hoc analyses revealed that this decrease in the "true 342 seed advantage" was not dependent on the presence of the low accuracy responses to specific 343 match questions, and the results held when these questions were excluded, b = -0.08 (SE = 0.03) log-odds, z = -3.10, p = 0.002. The observed decrease in the "true seed advantage"

<sup>&</sup>lt;sup>3</sup>We observed that performance on some Specific match questions dropped below chance for later generations indicating participants had an apparent aversion to the nominally correct answer. Additional analyses showed that participants were not converging on a single incorrect response. The reason for this pattern is at present unclear. Removing these trials from the analysis does not substantively change the conclusions.

the "category advantage" (i.e., the advantage of having between-category distractors) shows
that the changes induced by repeated imitation caused the imitations to lose some of
properties that linked the earlier imitations to the specific sound that motivated them, while
nevertheless preserving a more abstract category-based resemblance.

Transcriptions retained information about seed sources. We next report the 351 results of matching the written transcriptions of the auditory sounds back to the original 352 environmental sounds. Remarkably, participants were able to guess the correct meaning of a 353 word that was transcribed from an imitation that had been repeated up to 8 times, b = 0.83354 (SE = 0.13) log-odds, odds = -0.18, z = 6.46, p < 0.001 (Fig. 5B). This was true for True 355 seed questions containing the actual seed generating the transcribed imitation, b = 0.75 (SE = 0.15) log-odds, z = 4.87, p < 0.001, and for Category match questions where participants 357 had to associate transcriptions with a particular category of environmental sounds, b = 1.02358 (SE = 0.16) log-odds, z = 6.39, p < 0.001. The effect of generation did not vary across these 359 question types, b = 0.05 (SE = 0.10) log-odds, z = 0.47, p = 0.638. The results of matching 360 "transcriptions" directly of the environmental sounds are shown in Fig. S5. 361

## 62 Discussion

Even after being repeated up to 8 times across 8 different individuals, vocalizations 363 retained a resemblance to the environmental sound that motivated them. This resemblance 364 remained even after the vocalizations were transcribed into orthographic forms. For vocal 365 imitations, but not for transcriptions this resemblance was stronger for the category of environmental sound than the actual seed sound, suggesting that through repetition, the imitations were becoming more categorical. This result highlights another aspect of 368 wordlike-ness achieved through repeated imitation: In addition to being stable in acoustic 369 and orthographic forms, iterated imitation produces vocalizations that are interpreted by 370 naïve listeners in a more categorical way. That is, in the course of being imitated, it became 371

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relatively harder to match the vocalization to the original sound that motivated it compared
to the category of the sound. Iterated imitation appears to strip the vocalizations of some of
the characteristics that individuate each particular sound while maintaining some
category-based resemblance (even though participants were never informed about the
meaning of the vocalizations and even though they were not trying to communicate).

Orthographic transcription of the vocalizations, like the vocalizations, were able to be 377 matched to the original environmental sounds at levels above chance. Unlike vocalizations, 378 the orthographic transcriptions continued to be matched more accurately to the true seed 379 compared to the category. That is, transcription appears to impact specific and 380 category-level information equally. The difference between matching performance for 381 vocalizations and transcriptions is unlikely to be due to the exclusion of the specific match 382 questions in the written version of the task. If match accuracies for transcriptions in the 383 specific match question type would have been collected, it is possible we would have 384 replicated the increase in the category advantage observed in the imitations, but the 385 inclusion of these questions would not change our failure to find a similar "true seed" advantage. In addition, excluding the specific match questions from the analysis of the 387 imitation match accuracies does not substantively change the results. One possible difference between the acoustic and orthographic forms of the task is that the process of transcribing a non-linguistic vocalization into a written word encourages transcribers to emphasize individuating information about the vocalization. However, the fact that transcriptions of 391 imitations can be matched back to other category members (Category match questions) 392 suggests that transcriptions still carry some category information, so this is not a complete explanation of our results. Another possible reason is that by selecting only the most 394 frequent transcriptions, we unintentionally excluded less frequent transcriptions that were 395 nonetheless more diagnostic of category information. 396

Experiments 1 and 2 document a process of gradual change from an imitation of an environmental sound to a more wordlike form. But do these emergent words function like

other words in the language? In Experiment 3, we test the suitability of words taken from the beginning and end of transmission chains in serving as category labels in a category learning task.

# Experiment 3: Suitability of created words as category labels

One consequence of imitations becoming more wordlike is that they may make for 403 better category labels. For example, an imitation from a later generation, by virtue of having 404 a more word-like form, may be easier to learn as a label for the category of sounds that 405 motivated it than an earlier imitation, which is more closely voked to a particular environmental sound. To the extent that repeating imitations abstracts away the idiosyncrasies of a particular category member (Edmiston & Lupyan, 2015; Lupyan & Thompson-Schill, 2012), it may also be easier to generalize to new category members. We tested these predictions using a category learning task in which participants learned novel 410 labels for the categories of environmental sounds. The novel labels were transcriptions of 411 either first or last generation imitations gathered in Experiment 1. 412

#### 413 Methods

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Selecting words to learn as category labels. Our transmission chain design and 414 subsequent transcription procedure created 1814 unique words. From these, we sampled 415 words transcribed from first and last generation imitations, as well as transcriptions of the 416 original seed sounds. Our procedure for sampling transcriptions to use as category labels was 417 as follows: First, we removed transcriptions that contained less than 3 unique characters and 418 transcriptions that were over 10 characters long. Of the remaining transcriptions, a sample of 56 were selected that were approximately equally associated with the target category. To 420 measure the association between each imitation and its target category (the category of the 421 seed sound), we used the match accuracy scores reported in Experiment 2. The reason for 422 using this measure of association strength as a control for selecting words to learn as 423 category labels was to be able to select words that were initially equally associated with the target categories. Equating along this dimension allowed for a more focused test of
differences between the words in terms of generalization to new category members. The final
sample of transcriptions were selected using a bootstrapping procedure which involved
selecting a desired mean (the average association strength for eligible transcriptions of last
generation imitations) and sampling transcriptions from first generation imitations and from
seed sounds until the match accuracy of those imitations matched the desired mean within 1
standard deviation.

**Procedure.** Participants (N=67) were University of Wisconsin undergraduates who 432 received course credit for participation. Participants were randomly assigned four novel 433 labels to learn for four categories of environmental sounds. Full instructions are provided in 434 the Supplementary Materials. Participants were assigned between-subject to learn labels 435 (transcriptions) of either first or last generation imitations. Some participants learned labels 436 from transcriptions of seed sounds (Fig. S6). On each trial, participants heard one of the 16 437 seed sounds. After a 1s delay, participants saw a label (one of the transcribed imitations) 438 and responded yes or no using a gamepad controller depending on whether the sound and 439 the word went together. Participants received accuracy feedback (a bell sound and a green checkmark if correct; a buzzing sound and a red "X" if incorrect). Four outlier participants were excluded from the final sample due to high error rates and slow RTs.

Participants categorized all 16 seed sounds over the course of the experiment, but they learned them in blocks of 4 sounds at a time. Within each block of 24 trials, participants heard the same four sounds and the same four words multiple times, with a 50% probability of the sound matching the word on any given trial. At the start of a new block of trials, participants heard four new sounds they had not heard before, and had to learn to associate these new sounds with the words they had learned in the previous blocks.

## 9 Results

# Later generation transcriptions yielded more efficient responding.

Participants began by learning through trial-and-error to associate four written labels with 451 four categories of environmental sounds. The small number of categories made this an easy 452 task (mean accuracy after the first block of 24 trials was 81%; Fig. S4). Participants 453 learning transcriptions of first or last generation imitations did not differ in overall accuracy, 454 p = 0.887, or reaction time, p = 0.616. After this initial learning phase (i.e. after the first 455 block of trials), accuracy performance quickly reached ceiling and did not differ between 456 groups p = 0.775. However, the response times of participants learning last generation 457 transcriptions declined more rapidly with practice than participants learning first generation 458 transcriptions, b = -114.13 (SE = 52.06), t(39.9) = -2.19, p = 0.034 (Fig. 6A). These faster 459 responses suggest that, in addition to becoming more stable both in terms of acoustic and 460 orthographic properties, repeating imitations makes them easier to process as category labels. We predict that given a harder task (i.e., more than four categories and 16 exemplars) would yield differences in initial learning rates as well.

Later generation transcriptions were better generalized. Next, we examined 464 whether transcriptions from last generation imitations were easier to generalize to novel 465 category exemplars. To test this hypothesis, we compared RTs on trials immediately prior to 466 the introduction of novel sounds (new category members) and the first trials after the block 467 transition (±6 trials). The results revealed a reliable interaction between the generation of 468 the transcribed imitation and the block transition, b = -110.77 (SE = 52.84), t(39.7) = -2.10, 469 p = 0.042 (Fig. 6B). This result suggests that transcriptions from later generation imitations 470 were easier to generalize to new category members. 471

#### 72 Discussion

The results of a simple category learning experiment demonstrate a possible benefit to the stabilization of repeated imitations on more wordlike forms. As a consequence of being more wordlike, repeated imitations were responded to more quickly, and generalized to new

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category members more easily. These results suggest an advantage to repeating imitations
from the perspective of the language learner in that they afford better category
generalization.

#### General Discussion

Accumulating evidence shows that iconic words are prevalent across the spoken

languages of the world (Dingemanse et al., 2015; Imai & Kita, 2014; Perniss et al., 2010). 481 And counter to past assumptions about the limitations of human vocal imitation, people are 482 surprisingly effective at using vocal imitation to represent and communicate about the 483 sounds in their environment (Lemaitre et al., 2016) and more abstract meanings (Perlman et 484 al., 2015). These findings raise the hypothesis that early spoken words originated from vocal 485 imitations, perhaps comparable to the way that many of the signs of signed languages appear 486 to be formed originally from pantomimes (Fay, Ellison, & Garrod, 2014; Perlman et al., 2015). 487 Here, we examined whether simply repeating an imitation of an environmental sound—with 488 no intention to create a new word or even to communicate—produces more word-like forms. Our results show that through unguided repetition, imitative vocalizations became 490 more word-like both in form and function. In form, the vocalizations gradually stabilized 491 over generations, becoming more similar from imitation to imitation. The standardization 492 was also found when the words were transcribed into the English alphabet. Even as the 493 vocalizations became more word-like, they maintained a resemblance to the original 494 environmental sounds that motivated them. Notably, this resemblance appeared to be 495 greater with respect to the category of sound (e.g., water-splashing sounds), rather than to the specific exemplar (a particular water-splashing sound). After eight generations the vocalizations could no longer be matched to the particular sound from which they originated any more accurately than they could be matched to the general category of environmental 499 sound. Thus, information that distinguished an imitation from other sound categories was 500 more resilient to transmission decay than exemplar information within a category. 501

Remarkably, the resemblance to the original sounds was maintained even when the vocalizations were transcribed into a written form: participants were able to match the transcribed vocalizations to the original sound category at levels above chance.

We further tested the hypothesis that repeated imitation led to vocalizations becoming 505 more wordlike by testing the ease with which people learned the (transcribed) vocalizations 506 as category labels (e.g., "pshfft" vs. "shewp" as a label for tearing sounds) (Exp. 3). Labels 507 from the last generation were responded to faster than labels from the first generation, but 508 more importantly the labels from the last generation generalized better to novel category 500 members. This fits with previous research showing that the relatively arbitrary forms that 510 are typical of words (e.g. "dog") makes them better suited to function as category labels 511 compared to direct auditory cues (e.g., the sound of a dog bark) (Boutonnet & Lupyan, 2015; 512 Edmiston & Lupyan, 2015; Lupyan & Thompson-Schill, 2012). 513

Even as the vocalizations became more word-like, they nevertheless maintained an 514 imitative quality. After eight generations they could no longer be matched to the particular 515 sound from which they originated any more accurately than they could be matched to the 516 general category of environmental sound. Thus, information that distinguished an imitation 517 from other sound categories was more resilient to transmission decay than exemplar 518 information within a category. Remarkably, even after the vocalizations were transcribed 519 into English orthography, participants were able to guess their original sound category from the written "words". In contrast to the vocalizations, participants continued to be more accurate at matching late generation transcriptions back to their particular source sound 522 relative to other exemplars from the same category. 523

Unlike the large number of iconic signs in signed languages (e.g. Goldin-Meadow,
2016), the number of iconic words in spoken languages may appear to be very small (Crystal,
1987; Newmeyer, 1992). However, increasing evidence from disparate language suggests that
vocal imitation is, in fact, a widespread source of vocabulary. Cross-linguistic surveys
indicate that onomatopoeia—iconic words used to represent sounds—are a universal lexical

category found across the world's languages (Dingemanse, 2012). Even English, a language

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that has been characterized as relatively limited in iconic vocabulary (Vigliocco, Perniss, & 530 Vinson, 2014), is documented as having hundreds of onomatopoeic words not only for animal 531 and human vocalizations ("meow", "tweet", "slurp", "babble", murmur"), but also for a 532 variety of environmental sounds (e.g., "ping", "click", "plop") (e.g., Rhodes, 1994; 533 Sobkowiak, 1990). Besides words that directly resemble sounds—the focus of the present 534 study — many languages contain semantically broader inventories of ideophones. These 535 words comprise a grammatically and phonologically distinct class of words that are used to 536 express various sensory-rich meanings, such as qualities related to manner of motion, visual 537 properties, textures and touch, inner feelings and cognitive states (Dingemanse, 2012; 538 Nuckolls, 1999; Voeltz & Kilian-Hatz, 2001). As with onomatopoeia, ideophones are often 539 recognized by naïve listeners as bearing a degree of resemblance to their meaning (Dingemanse, Schuerman, & Reinisch, 2016). Our study focused on imitations of environmental sounds, and more work remains to 542 be done to determine the extent to which vocal imitation can ground de novo vocabulary 543 creation in other semantic domains (e.g., Lupyan & Perlman, 2015; Perlman et al., 2015). Notably, our hypothesis that vocal imitation may have played a role in the origin of some of the first spoken words does not preclude that gesture played an equal or more important role 546 in establishing the first linguistic conventions (e.g. Fay, Arbib, & Garrod, 2013; Goldin-Meadow, 2016; Kendon, 2014). What the present results make clear is that the transition from imitation to word can be a rapid and simple process: the mere act of repeated imitation can drive vocalizations to become more word-like in both form and function while still retaining some resemblance to the real world referents. 551

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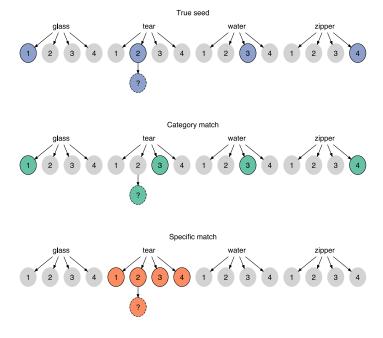


Figure 4. Three types of matching questions used to assess the resemblance between the imitation (and transcriptions of imitations) and the original seed sounds. For each question, participants listened an imitation (dashed circles) or read a transcription of one, and had to guess which of 4 sound choices (solid circles) they thought the person was trying to indicate. True seed questions contained the specific sound that generated the imitation as one of the choices (the correct response). The remaining sound choices were sampled from different categories. Category match questions replaced the original seed sound with another sound from the same category. Specific match questions pitted the actual seed against the other seeds within the same category.

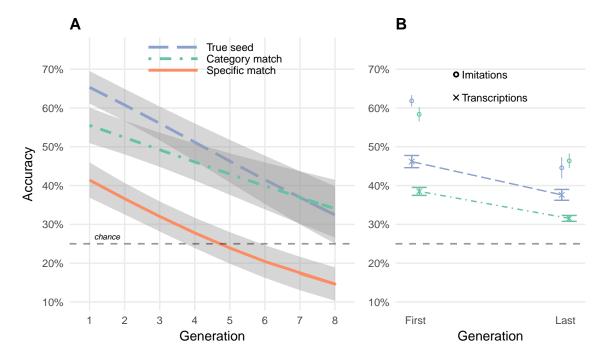


Figure 5. Repeated imitations retained category resemblance. A. Accuracy of matching vocal imitations to original seed sounds as a function of the generation during which the imitation was produced. Curves show predictions of the generalized linear mixed effects models with  $\pm 1$  SE of the model predictions. The "category advantage" (Category match vs. Specific match) increased over generations, while the "true seed advantage" (True seed v. Category match) decreased (see main text), suggesting that imitations lose within-category information more rapidly than between-category information. B. Accuracy of matching transcriptions of the imitations to original seed sounds (e.g., "booccoccucuwich" to a water splashing sound). Transcriptions of imitations could still be matched back to the category of sound that motivated the original imitation even after 8 generations. Circles show mean matching accuracy for the corresponding vocal imitations for comparison.

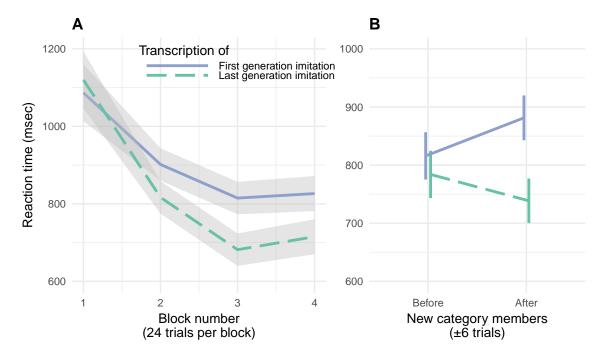


Figure 6. Repeated imitations made for better category labels. Participants learned novel labels (transcriptions of first or last generation imitations) for categories of environmental sounds. A. Mean RTs for correct responses in the category learning experiment with  $\pm 1$  SE. Participants achieved faster RTs in matching transcribed labels to environmental sounds for labels transcribed from later compared to earlier generation imitations. B. Cost of generalizing to new category members with  $\pm 1$  SE. After each block of trials, new environmental sounds were introduced, requiring participants to generalize the previously learned category labels to new category members. There was a generalization cost for the first generation labels, but not the last generation labels.

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# Table captions

Examples of words transcribed from imitations. Table 1.

# Figure captions

Vocal imitations collected in the transmission chain experiment. Seed sounds (16) were sampled from four categories of environmental sounds:
glass, tear, water, zipper. Participants imitated each seed sound, and then the next generation of participants imitated the imitations, and so on, for up to 8 generations. Chains are unbalanced due to random assignment and the exclusion of some low quality recordings.

Figure 2. Change in perception of acoustic similarity over generations of iterated imitation. Points depict mean acoustic similarity ratings for pairs of imitations in each category. The predictions of the linear mixed-effects model are shown with  $\pm 1$  SE. Acoustic similarity increased over generations, indicating that repetition made the vocalizations easier to imitate with high fidelity.

Figure 3. Orthographic agreement among transcriptions of first and last generation imitations. Points depict the mean orthographic distance between the most frequent transcription and all other transcriptions of a given imitation, with error bars denoting  $\pm 1$  SE of the hierarchical linear model predictions. Transcriptions of later generation imitations were more similar to one another than transcriptions of first generation imitations, suggesting that repeating imitations made them easier to transcribe into English orthography than direct imitations of environmental sounds.

Three types of matching questions used to assess the resemblance between the imitation (and transcriptions of imitations) and the original seed sounds. For each question, participants listened an imitation (dashed circles) or read a transcription of one, and had to guess which of 4 sound choices (solid circles) they thought the person was trying to indicate. True seed questions contained the specific sound that generated the imitation as one of the choices (the correct response). The remaining sound choices were sampled from different categories. Category match questions replaced the original seed sound with another sound from the same category. Specific match questions pitted the actual seed against the other seeds within the same category.

Repeated imitations retained category resemblance. A. Accuracy of matching vocal imitations to original seed sounds as a function of the generation during which the imitation was produced. Curves show predictions of the generalized linear mixed effects models with ±1 SE of the model predictions. The "category advantage" (Category match vs. Specific match) increased over generations, while the "true seed advantage" (True seed v. Category match) decreased (see main text), suggesting that imitations lose within-category information more rapidly than between-category information. B. Accuracy of matching transcriptions of the imitations to original seed sounds (e.g., "booccocucuwich" to a water splashing sound). Transcriptions of imitations could still be matched back to the category of sound that motivated the original imitation even after 8 generations. Circles show mean matching accuracy for the corresponding vocal imitations for comparison.

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Repeated imitations made for better category labels. Participants learned novel labels (transcriptions of first or last generation imitations) for categories of environmental sounds. A. Mean RTs for correct responses in the category learning experiment with  $\pm 1$  SE. Participants achieved faster RTs in matching transcribed labels to environmental sounds for labels transcribed from later compared to earlier generation imitations. B. Cost of generalizing to new category members with  $\pm 1$  SE. After each block of trials, new environmental sounds were introduced, requiring participants to generalize the previously learned category labels to new category members. There was a generalization cost for the first generation labels, but not the last generation labels.