

An analytical approach to transient dynamics in predator-prey systems

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Abstract

In this work, we present a mathematical tool to predict tipping points that induce regime shifts in transient dynamical systems. Transient dynamics are characterized by transitions between two quasi-stable dynamics. Our method stems from the hypothesis that in any given dynamical system, not all the terms are active all the time. And that the activation and inactivation of terms is the cause of shifts in the dynamics. As a proof of concept we applied our method to a predator-prey model of bacteria and bacteriophage, the most abundant biological agents on earth. Our method identifies the activation and inactivation of terms in the dynamics that correspond to tipping points leading to the extinction of bacteria or phage and identifies the conditions of quasi-stability. We show that the condition for quasi-stable dynamics is that the characteristic timescales of bacteria and bacteriophage are comparable. Because the method is based on activation and inactivation of terms, it also produces a simplified model.

Introduction

Hypothesis and background: All systems are transient

“In all of my universe I have seen no law of nature, unchanging and inexorable. This universe presents only changing relationships which are sometimes seen as laws by short-lived awareness. [...] If you must label the absolute, use its proper name: Temporary” (page 565, The stolen journals section, God Emperor of Dune by Frank Herbert). All dynamical systems in nature display transient dynamics. Systems that appear to behave asymptotically are simply transient over long timescales. The Solar System is an excellent example of this behavior: although it is predicted to be stable on a scale of a couple billion years (Hayes, 2007), it has been proved to have a chaotic behavior on longer timescales. For instance, (Laskar a Gastineau, 2009) found a small probability of a collision between the Earth and Mars, Venus, or Mercury over the next 3.4 billion years. In general, during the occurrence of transient dynamics, the variables of the system can show a gradual change or a minor drift followed by a sudden and extensive change

28 (Rocha a others, 2018). Qualitatively, these transient regimes can be due to the competition between
 29 underlying equilibria (Ludwig et al., 1978), (Hastings et al., 2018) to changes in the physical parameters
 30 in the system (Ludwig et al., 1978), (Hastings et al., 2018), or to competing time scales associated to
 31 different agents in the system (Ludwig et al., 1978), (Cairns a others, 2009),(Roach et al., 2017). Unlike
 32 the study of asymptotic dynamics or equilibria, the quantitative framework to classify and investigate
 33 transient dynamics is still under development (Strogatz, [2015]) (Hastings et al., 2018).

34 **What has been done so far**

35 A common approach to study transient dynamics is to assume that the system is stable in the vicinity
 36 of the equilibrium. However, this is not always true. Another practical approach is to approximate a
 37 physical system using sets of ordinary differential equations and integrate them numerically to obtain the
 38 trajectories in the regions of interest (Bashkirtseva a Ryashko, 2018), (Cairns a others, 2009), (Cushing a
 39 others, 1998), (Roach et al., 2017), (Rabinovich a others, 2006), (Rinaldi a Scheffer, 2000). Fitting these
 40 models to empirical data provides parameter values that can reproduce the transient dynamics and can
 41 be used to interpret experiments and make predictions. This approach, however, requires an exhaustive
 42 exploration of the parameter space to identify alternative situations associated to different regime shifts,
 43 which becomes particularly challenging as additional mechanisms and dynamical variables are included to
 44 reflect the complexity of the system of study (Cairns a others, 2009).

45 **How to solve the problem**

46 Here, we present a framework to study transient dynamics that is agnostic about equilibrium conditions,
 47 and eases the problem of model complexity. The most important assumption in our approach is that, in
 48 general, not all the mechanisms in a dynamical system are active all the time, which reduces the model
 49 complexity. Our approach uses the activation/inactivation of mechanisms to predict transient dynamics.
 50 We use per capita rates (Turchin, 2003), to estimate when a mechanism is inactive or not. In this paper,
 51 we apply this approach to a predator-prey system that models the ecology of a population of *E. coli*
 52 bacteria and virulent phage T4. We chose this system because transient dynamics are pervasive in ecology
 53 (extinctions or migrations are typical examples thereof), and bacteria and bacteriophages are the most
 54 abundant biological entities on Earth. Specifically, the combination of T4 phage and *E. Coli* is very
 55 common in experiments that can benefit from accurate mathematical models

56 Results

- 57 • We model the ecology of bacteria and virulent phages with Lotka-Volterra equations. The equations
58 encode four mechanistic terms: bacterial growth, phage predation, viral burst, and viral decay
59 (Figure 1 a, Equation 1). We chose parameters that correspond to an *E. coli* bacteria and a T4
60 virulent phage (Table 1), a very common experimental setup. The dynamics of this system are
61 characterized by a very fast bacterial growth and a slow phage decay.
- 62 • We ran the model for 14 hours, a typical time in experiments. The result of the simulation (Figure
63 1 b) shows the dynamics of *E. coli* (blue) and T4 (red) in a logarithmic scale. The concentration of
64 *E. coli* grows exponentially over the first eight hours, and drops to extinction afterward. T4 remain
65 constant during the first four hours, then grow as a double exponential for another four hours, and
66 it plateaus afterward.
- 67 • To get a better insight of these dynamics, we look at the contribution of each term separately
68 (Figure 1 c). The contributions are normalized by the total concentration of bacteria and phage and
69 referenced to the fastest timescale of the system. In this case, the characteristic timescale is given
70 by the bacterial growth rate r :

$$\begin{array}{lll}
 \frac{dB}{dt} = rB - dPB & \frac{1}{B} \frac{dB}{dt} = r - dP & \frac{\tau}{B} \frac{dB}{dt} = \tau r - \tau dP \\
 \frac{dP}{dt} = cdBP - mP & \frac{1}{P} \frac{dP}{dt} = cdB - m & \frac{\tau}{P} \frac{dP}{dt} = \tau cdB - \tau m
 \end{array} \tag{1}$$

- 71 • Introduce and justify tipping points or critical concentrations.

72 These time aggregated per capita rates give the number of bacteria or phage that enter or leave the
73 system per unit of bacteria or phage over a time frame equivalent to the duplication time of the average
74 bacteria. Figure 1 C shows these rates over time. While the contributions of bacterial growth and decay
75 are constant, bacterial predation and viral burst change over time and, particularly, change with phage
76 and bacterial density, respectively. More specifically, we observe major changes in these rates around the
77 point where bacteria start decreasing. From this observations, we conclude that mechanistic terms have
78 different weights at different times.

- 79 • We hypothesize that we can inactivate certain terms in our model if their contribution is below a
80 certain critical level and still explain the dynamics of the system accurately. Therefore we can build
81 a minimal model: a concatenation of simplified dynamics or versions of the Lotka-Volterra equations
82 with inactive or negligible processes excluded. If the aggregated per capita contribution of a term is
83 smaller than a critical value ϵ , then that term is inactive.

a) Approach

Process \rightarrow Rate of change

Active process: relevant per capita and timescale

$$F_i/A \cdot \tau \geq O(1)$$

b) Dynamic model

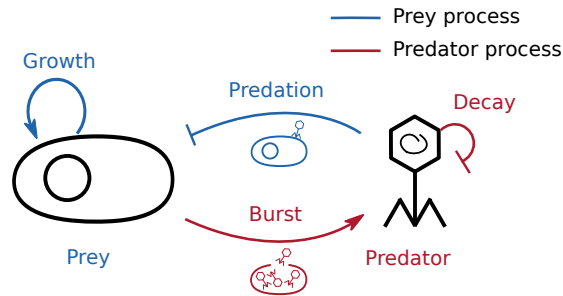


Figure 1: **Weight analysis applied to a Lotka-Volterra bacterial-phage system.** a) Weight analysis: given an agent A and a process F_i in a dynamical system we define the weight of F_i as the per capita rate of F_i over the observation timescale τ . In a first approximation, we define F_i as active if its weight is of order one or larger. b) We apply the weight analysis to a Lotka-Volterra model representing bacteria (prey) and virulent phage (predator) with four processes: growth, predation, burst, and decay.

- Without loss of generality, let us assume that $\epsilon = 1$. That means that any mechanistic process that introduces or removes less than one bacteria or phage per capita over the relevant timescale will be inactive.
- We can use the predictive power of time aggregated contributions per capita to predict transient dynamics. In particular, we can build a minimal model: a concatenation of simplified dynamics or versions of the Lotka-Volterra equations with inactive or negligible processes excluded. We use a parameter ϵ to control the critical contribution that sets a term inactive. Figure 2 a shows the simplified dynamics of the system described above when $\epsilon = 1$: first, the only term active is growth (of bacteria). When the bacteria reach the critical concentration, viral burst becomes active. Viral burst increases phage concentration, and when phage reach the critical concentration, they activate the predation. As long as the burst is active, predation will keep increasing and it eventually outgrows bacterial growth. That induces a decrease of bacteria that will inactivate the burst.
- Figure 2 b shows the results of the full model and the minimal model for $\epsilon = 1$. The star, circle, and triangle, indicate the times at which the critical concentrations B_c and P_c were reached. Roman numbers indicate the simplified dynamics as showed in Figure 2 a. The minimal model reproduces the full dynamics very well. It underestimates viral growth at the end of Simplified dynamics I because it is not considering the viral burst.
- Figure 2 c shows the number of mechanistic processes active over time. Importantly, there is no point at which all four processes are active. The viral decay never activates, because the it is very slow compared to the bacterial growth.
- We next analyze the case where the viral decay rate is the dominant timescale. Although not common, it is theoretically possible and worth analyzing from our methodology. Figure 3 a shows the simplified dynamics in this case.

Finally, we analyze the case where timescales are balanced and

$$r = m$$

Discussion

Methods

Transient dynamics are pervasive in ecology: extinctions or migrations are typical examples of ecological shifts that dramatically change the dynamics of ecosystems. Some ecologists have proposed theoretical frameworks that can be used to study transient phenomena in population dynamics. Specifically, (Turchin,

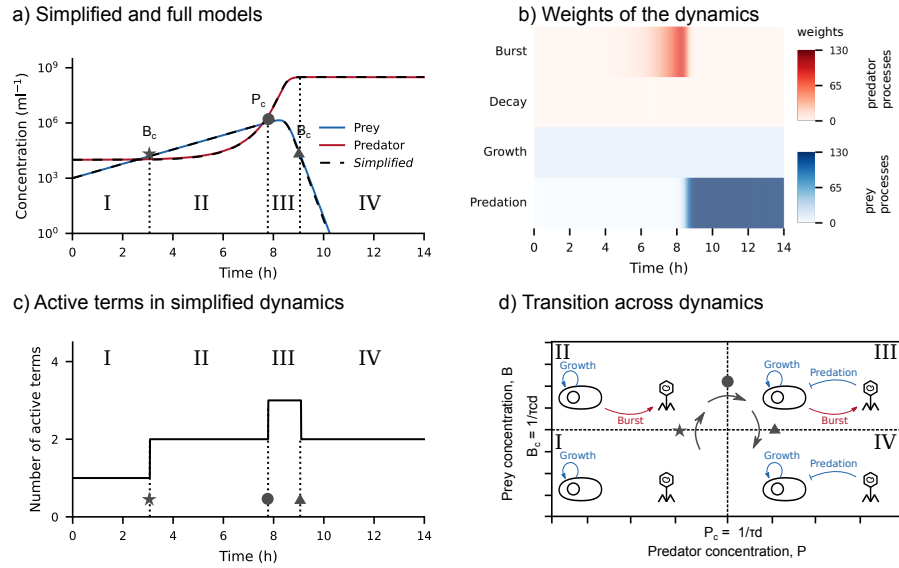


Figure 2: **Weight analysis and simplified model for active growth and inactive decay.** **a)** Dynamics of bacteria (blue), phage (red), and simplified model (dashed lines) represented in a logarithmic scale. Roman numbers indicate the four dynamics comprising the simplified model, and vertical dotted lines represent the tipping points between them. The star, circle, and triangle indicate the critical concentrations B_c and P_c corresponding to these tipping points. **b)** Weights of the dynamics for the four processes in the model. Red and blue colors correspond to phage and bacterial processes, respectively. Darker hues indicate higher weights. Weights for growth and decay are constant. **c)** Number of active processes over time. The activation or inactivation of a process corresponds to a tipping point and marks the shift to another dynamics. **d)** Graphical representation of the transition between dynamics.

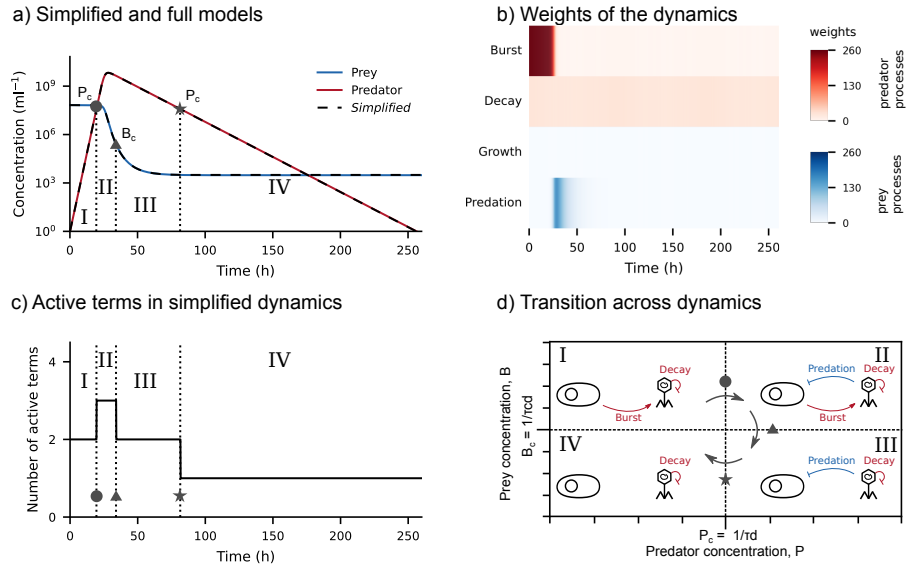


Figure 3: **Weight analysis and simplified model for active decay and inactive growth.** **a)** Dynamics of bacteria (blue), phage (red), and simplified model (dashed lines). Roman numbers indicate the four dynamics comprising the simplified model, and vertical dotted lines represent the tipping points between them. The star, circle, and triangle indicate the critical concentrations B_c and P_c corresponding to these tipping points. **b)** Weights of the dynamics for the four processes in the model. Red and blue colors correspond to phage and bacterial processes, respectively. Darker hues indicate higher weights. Weights for growth and decay are constant. **c)** Number of active processes over time. The activation or inactivation of a process corresponds to a tipping point and marks the shift to another dynamics. **d)** Graphical representation of the transition between dynamics.

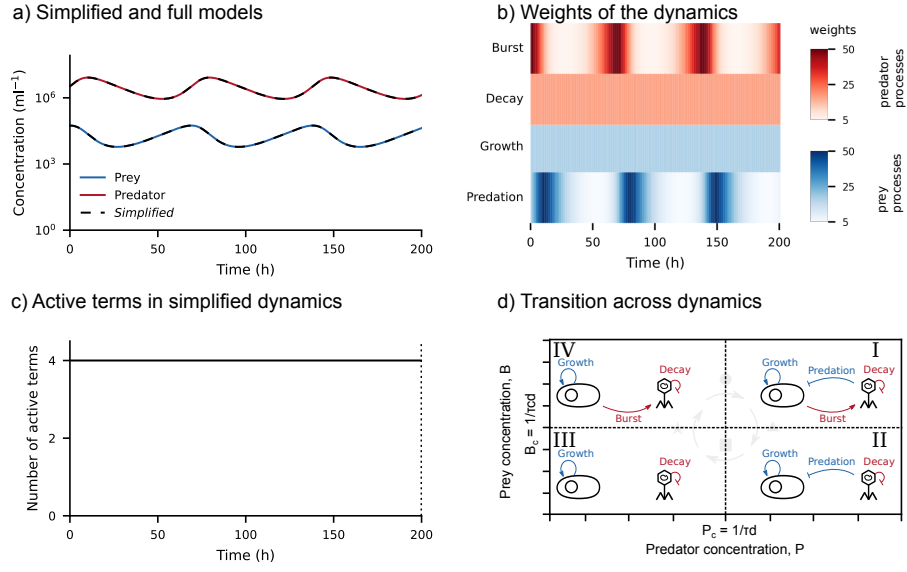


Figure 4: **Weight analysis and simplified model for active decay and growth.** **a)** Dynamics of bacteria (blue), phage (red), and simplified model (dashed lines). The simplified model is identical to the original Lotka-Volterra because no tipping point is reached. **b)** Weights of the dynamics for the four processes in the model. Red and blue colors correspond to phage and bacterial processes, respectively. Darker hues indicate higher weights. Weights for growth and decay are constant. **c)** Number of active processes over time. All processes are active all the time in this case. **d)** Graphical representation of the transition between dynamics. The system remains in dynamic I, because there are no transitions.

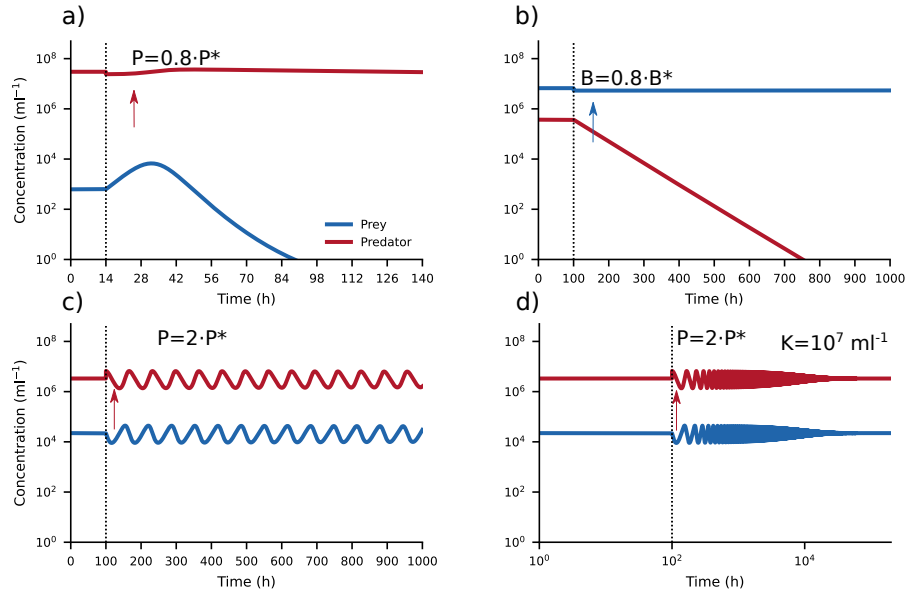


Figure 5: Resilience of the system to perturbations from the equilibrium state. **a)** Perturbation of the system in the equilibrium with active growth and inactive decay. A reduction to 80 % of the phage concentration (notice the logarithmic scale) results in a sudden increase of bacteria followed by extinction. **b)** Perturbation of the system with active decay and inactive growth. A reduction to 80 % of the bacterial equilibrium concentration, results in exponential phage decay and extinction. **c)** A two-fold increase of the phage concentration results in quasi-stable dynamics. **d)** Same as **c)** with a carrying capacity of $K = 10^7$ cells/ml. Because there is a global equilibrium, the system returns to the equilibrium after 10^4 hours.

2003) discusses population dynamics from first principles and argues that ecological processes are driven by individual processes such as births, predations, or deaths. (Turchin, 2003) looks at population dynamics from a per capita perspective: how many individuals are added or removed from the system per individual. Although per capita rates reference individual changes to collective changes, they do not account for the different timescales that operate in an ecosystem. Here, we develop a method that combines per capita rates and relevant timescales to predict transient dynamics in a predator-prey model (Lotka-Volterra equations). Specifically, we apply our method to the interaction of bacteria and bacteriophages, Earth's two most abundant biological agents.

In general, per capita rates are obtained by normalizing Lotka-Volterra equations by the total concentration of prey (bacteria) and predator (phage) (see Eq. 1). Our method goes a step further and normalizes per capita concentrations by the relevant timescale, the typical time of the fastest ecological process. In our case, the relevant timescales are determined either by the bacterial growth rate r or the phage decay rate m . This normalization gives a time aggregated per capita rate that represents the number of bacteria/phage that are added or removed from the system per unit of bacteria/phage over the relevant timescale. Looking at the ecosystem from this perspective, we can track the impact of individual rates on collective dynamics and predict transient dynamics. More specifically, we define critical concentrations of bacteria or phage that activate or inactivate mechanistic terms in the Lotka-Volterra equations.

Our results suggest that a clearly dominant timescale leads to transient dynamics characterized by phage or bacterial extinction and that quasi-stable dynamics are only going to be observed when timescales are balanced. It is important to recall that, by our own assumption, quasi-stability can only mean that the model is incomplete: because all dynamical systems are transient, there might be a missing term that accounts for transients, even if that term only operates over long timescales.

How did I do it

Without loss of generality, we chose parameter values for the Lotka-Volterra equations that simulate a system of *E. coli* bacteria and virulent T4 phage (see Table 1). These parameters account for the four mechanistic terms in the model: bacterial growth rate, phage predation, viral burst, and phage decay (see Figure 1,(a).) We took two different values for r and m because we wanted to simulate three different scenarios: two in which there is a dominant timescale ($r > m$ or $m > r$) and one in which timescales are equivalent ($r = m$).

Table 1: 1

Parameter	Description	Values	Source
r	Maximum growth rate	$0.9 \text{ h}^{-1}, 3.7 \times 10^{-5} \text{ h}^{-1}$	(Silveira et al., 2021)
d	Infection rate	$3 \times 10^{-8} \text{ ml/h}$	(De Paepe, 2006)
c	Burst size	150	(De Paepe, 2006)
m	Decay rate	$2.8 \times 10^{-3} \text{ h}^{-1}, 0.1 \text{ h}^{-1}$	(De Paepe, 2006), (Suttle a Chen, 1992)

Let us consider first the situation in which r is the dominant timescale. Then, we take per capita rates and normalize them by r to obtain time aggregated per capita rates:

$$\begin{aligned}
\frac{dB}{dt} &= rB - dPB & \frac{1}{B} \frac{dB}{dt} &= r - dP & \frac{1}{rB} \frac{dB}{dt} &= 1 - \frac{d}{r}P \\
\frac{dP}{dt} &= cdBP - mP & \frac{1}{P} \frac{dP}{dt} &= cdB - m & \frac{1}{rP} \frac{dP}{dt} &= \frac{cd}{r}B - \frac{m}{r}
\end{aligned} \tag{2}$$

Time aggregated per capita rates are just a different way to look at the Lotka-Volterra equations. These rates allow us to measure the impact of individual processes (over a specific time) on the bacterial and phage communities. Specifically, we can set a critical value ϵ for these rates, below which the corresponding mechanistic term is inactivated. Suppose, for instance, that $\epsilon = 1$; in our case, that means that growth is always active because it is constant and equal to 1. Decay is always inactive because $\frac{m}{r} = 3.11 \times 10^{-2} < 1$. Finally, predation and burst depend on the concentrations of phage and bacteria, respectively. Their critical concentrations are:

$$P_c = \epsilon \frac{r}{d} \quad B_c = \epsilon \frac{r}{cd},$$

ϵ , therefore, acts as a tipping point for the mechanistic terms in the Lotka-Volterra equations. In our method, tipping points for time aggregated per capita rates activate or inactivate terms in the Lotka-Volterra equations. We can therefore solve the dynamics of this system with a minimal model: a concatenation of simplified dynamics or versions of the Lotka-Volterra equations with inactive terms excluded. Lower values of ϵ will generally result in minimal models closer to the original ones (more precise). In fact, we do not need to solve the equations; just by knowing the active and inactive terms, we can determine when and how the transient dynamics will occur.

It is important to recall that our method allows us to predict the outcome of the dynamics without even having to solve the predator-prey equations: when only growth is active, it is straightforward that the

160 bacterial concentration will eventually reach the critical concentration B_c and activate the burst (region
 161 II). The activation of the burst causes the increase of predator concentration which will reach P_c , thus
 162 activating the predation. Once the predation is active (region III), the bacterial concentration starts
 163 decaying. Because predation is a density-dependent term of the phage (predators), and because the phage
 164 concentration is increasing via the burst, predation will eventually outweigh growth. The concentration of
 165 bacteria will fall below B_c , thus inactivating the burst. Only growth and predation are active at this point
 166 (region IV). Because the predator concentration is effectively constant (decay is inactive), the predation
 167 will outweigh growth, and bacteria will go extinct.

168 In addition to that, the method can produce a minimal model.

169 Something similar happens when m is the dominant timescale ($m > r$, $r = 3.7 \times 10^{-5} \text{h}^{-1}$, and $m = 0.1 \text{h}^{-1}$).

170 In this case, we have:

$$\begin{aligned} \frac{1}{mB} \frac{dB}{dt} &= \frac{r}{m} - \frac{d}{m} P \\ \frac{1}{mP} \frac{dP}{dt} &= \frac{cd}{m} B - 1 \end{aligned} \quad (3)$$

171 where decay and growth are now active and inactive, respectively.

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