

629 Analysis of Algorithms

Sep 1 2015

SCHEDULE

TIME	1	2	3	4	5	6	7	8
MONDAY								
TUESDAY								
WEDNESDAY								
THURSDAY								
FRIDAY								
SATURDAY								
SUNDAY								

629 Analysis of Algorithms
Tue / Thu CHEN 108
12:45 pm - 2:00 pm

681 Seminar HRBB124
MW 04:10 pm - 05:25 pm

* problem statement need to be specific | Given an array A [1..n] , find the largest

Ex:

* Algorithm design Speed (running time) $\leq \Theta(n)$

* Algorithm analysis $T(n) = 3 + 3n + \Theta(n^2)$

* Discussion $T(n) = 3 + 3n + \Theta(n^2)$

Ex : Given an array A [1..2..n] , find the largest

Algo 1 time = $O(n)$

```
max = A[1]
for i = 2 to n do
    if max < A[i]
        then max = A[i]
return (max)
```

Algo 2

MAX (i..j) = return the largest in A[i:j]

if i > j then return A[i] Let T(n) be the time on an array of n elements

else K = $\lfloor (i+j)/2 \rfloor$ $T(1) = O(1) \leq C$ recurrence relation

max1 = MAX (i..K) $T(n) = T(\frac{n}{2}) + T(\frac{n}{2}) + O(1)$

max2 = MAX (K+1..j) * replace O(f) by $\leq c f$

return largest (max1, max2) $\leq 2 T(\frac{n}{2}) + C$

* try a few steps to extend the main return MAX (1..n)

recurrence

It uses a lot of recurrence.

Sep 10 2015 Search (\overline{zT}_3, a) {

Insert (\overline{zT}_3, a) } $O(\log n)$ time per operation

Min (\overline{zT}_3)

Max (\overline{zT}_3)

Delete (\overline{zT}_3, a)

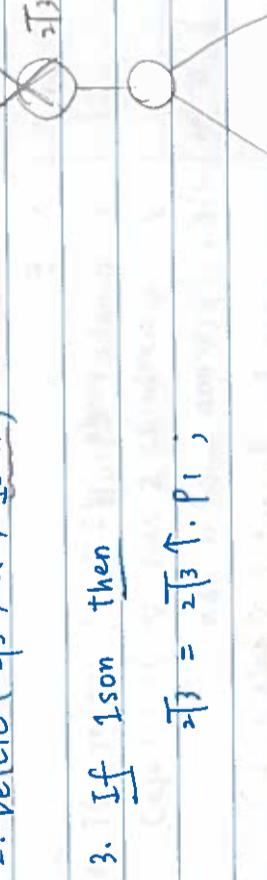
Splice / Split \overline{zT}_3

main (\overline{zT}_3, a)

1. If \overline{zT}_3 has ≤ 2 levels, then handle it properly; leaf return;

boolin value

2. Delete ($\overline{zT}_3, a, \text{IsOn}$)



Delete (v, a, IsOn)

o $\text{IsOn} = \text{false}$; if v is the father of leaves, ($= v$ is not a leaf)

1. if handle it properly; return;

2. Case

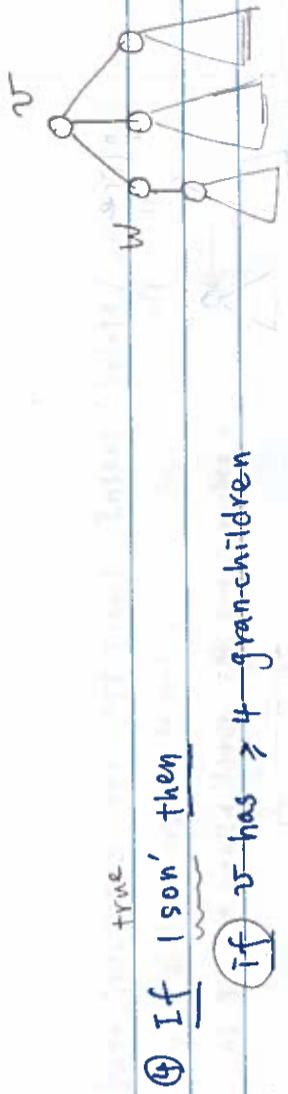
① $a \leq v \uparrow . p_1 : w = v \uparrow . p_1$;

② $v \uparrow . p_1 < a \leq v \uparrow . p_2 :$
or
 $v \uparrow . p_3 = \text{Nil}$

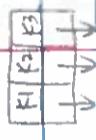
default :
 $w = v \uparrow . p_3$;

③ Delete (v, a, IsOn');

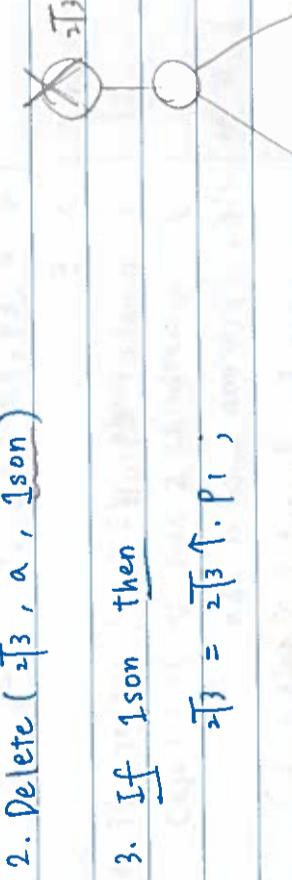
true



④ If IsOn' then
 if v has ≥ 4 grandchildren
 then redistribute them into at least
 two groups, and make them
 children of v , $\text{IsOn} = \text{false}$
 else v has ≤ 3 grandchild
 make w a 3-children node
 and the only child of v ; $\text{IsOn} = \text{true}$



1. If \overline{zT}_3 has ≤ 3 children, then handle it properly; leaf return;



2. If IsOn' then

$$\overline{zT}_3 = \overline{zT}_3 \uparrow . p_1 ;$$

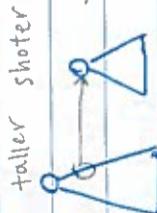
3. If IsOn then

2. Case
① $a \leq v \uparrow . p_1 : w = v \uparrow . p_1$;
② $v \uparrow . p_1 < a \leq v \uparrow . p_2 :$
or
 $v \uparrow . p_3 = \text{Nil}$

default :
 $w = v \uparrow . p_3$;

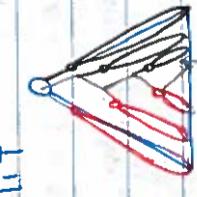
③ Delete (v, a, IsOn');

splice



taller shooter
height node 71 ④
taller 70 71 must stay 71
node 70 71 72 73 74 75 76 77 78 79

Split find a proper position, check, and add it in
midnum sum has a square root

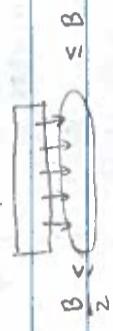


split the red / black tree in $O(\log n)$ time

Datastructures for efficient Insert / delete / search

* by split / merge internal nodes

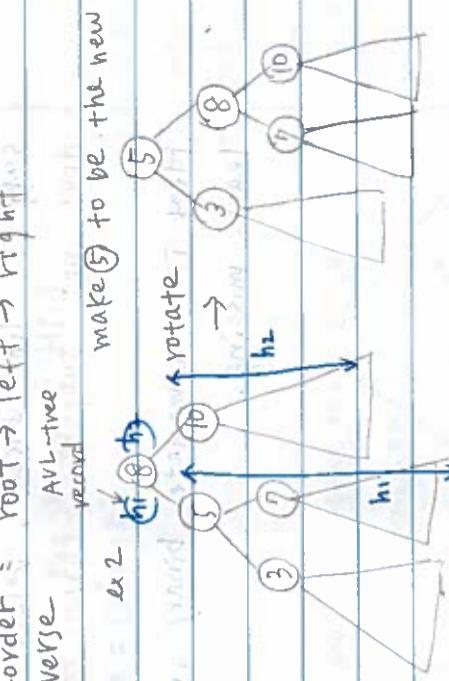
2-3 tree, B+ tree (B plus tree)



$$B \leq C \leq B$$

* by rotation on BST (binary search tree) to keep balance

in-order : root \rightarrow left \rightarrow right
traverse



- AVL-tree : height can differ at least one, otherwise it needs to be rotated

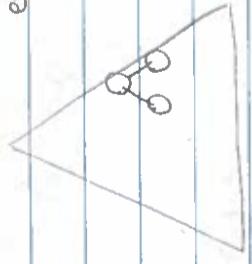
- red-black tree : number of black are the same for each path



Time = O(log n)

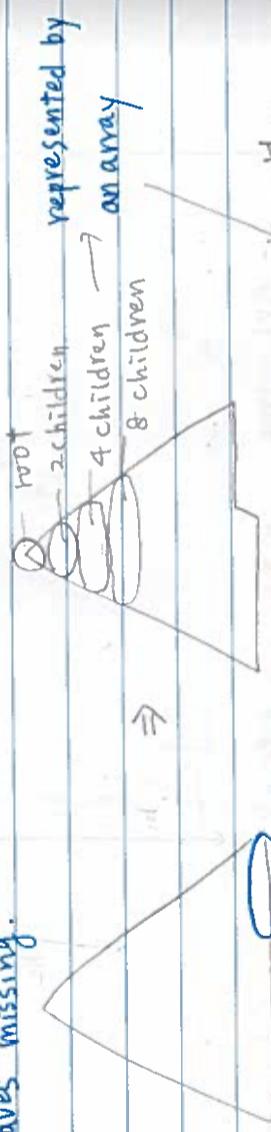
Sep 15 2015 Heap \setminus Stacks \ queues \ priority queues \ min-heap

efficient for Insert / Delete but not for search



support Min, Insert, Delete
does not support Search efficiently \Rightarrow Why?

Heap is a complete binary tree with possibly some rightmost leaves missing.

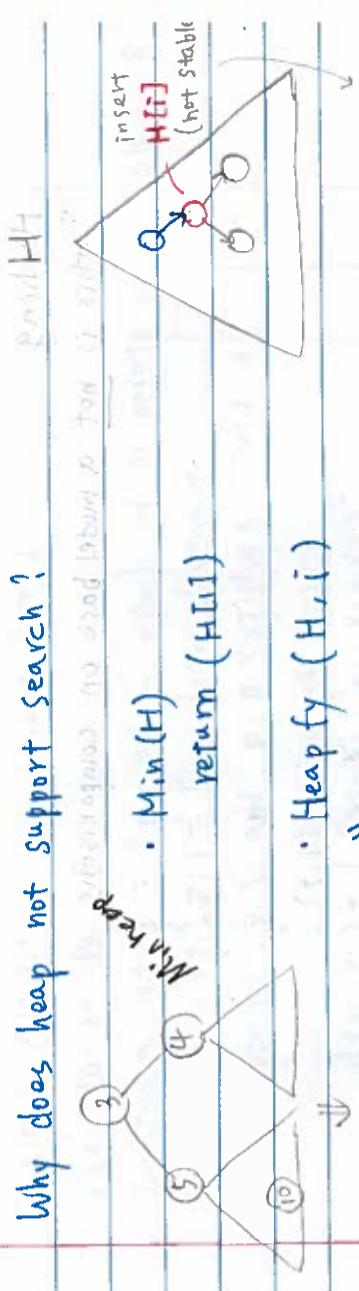


\Rightarrow the child of $H[i]$ are $H[2i]$ and $H[2i+1]$
the father of $H[i]$ is $H[\lfloor i/2 \rfloor]$

In a heap, every node has a value that is not larger than its children.

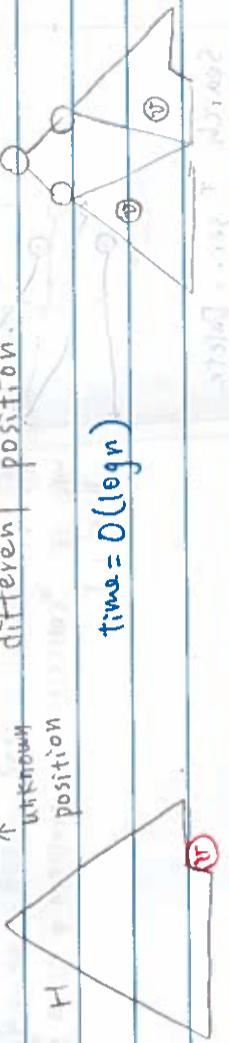
If heap supports your application, then use it.
If not, use 2-3 tree, AVL or red-black tree. (worse space)

Why does heap not support search?



insert $H[i]$
 \Rightarrow $H[i]$ is not stable
 \Rightarrow $H[i]$ is a heap
 \Rightarrow except the value of $H[i]$
time = $O(\log n)$

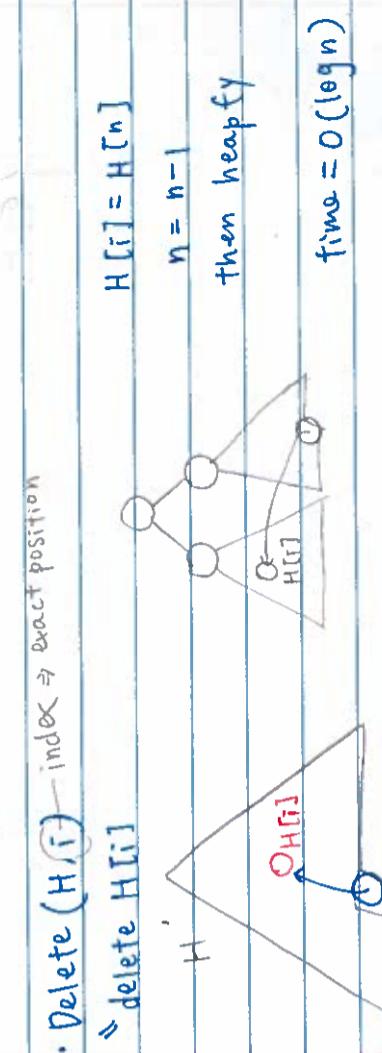
Check the value of $H[i] \leq H[i/2]$
father & children



time = $O(\log n)$

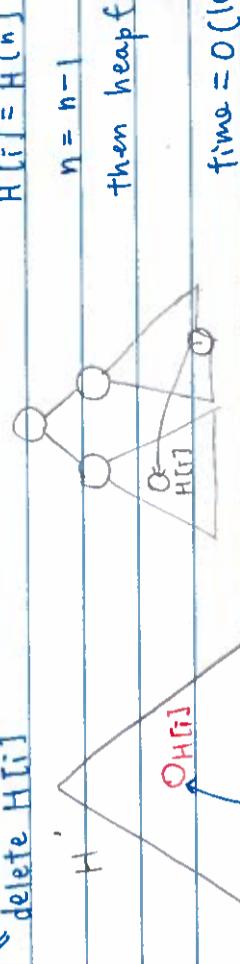
\Rightarrow $H[i]$ is a heap
a $\neq H[i]$ may be flipped.

Insert (H, v, i, j) \Rightarrow we may have more than 2 identical values at different positions.



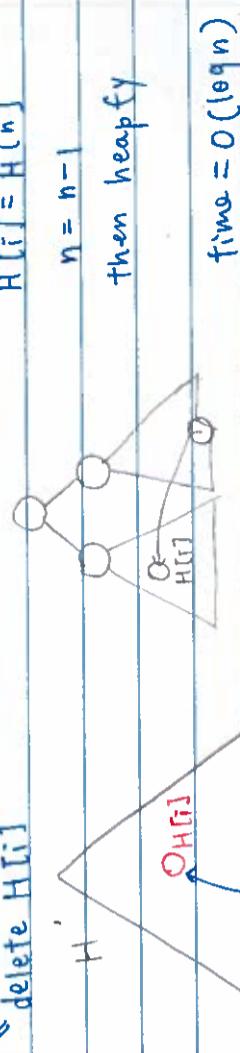
Insert (H, v, i, j) \Rightarrow we may have more than 2 identical values at different positions.

\Rightarrow $H[i]$ is a heap
 \Rightarrow attach v to $H[n+1]$
then heapify



Delete (H, i) \Rightarrow index \Rightarrow exact position

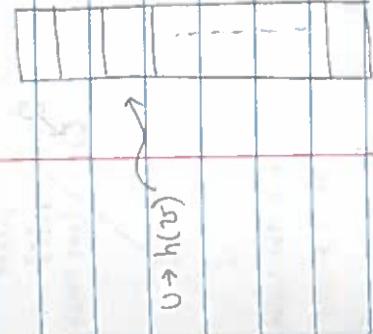
\Rightarrow delete $H[i]$
 $n = n - 1$
then heapify



use the last node to replace $H[i]$
fill

Hashing

"this is not a model base on comparisons



$$v \rightarrow h(v)$$

$$h(v) \leq n$$

\rightarrow works for numbers that are not that large

n is usually small, thus

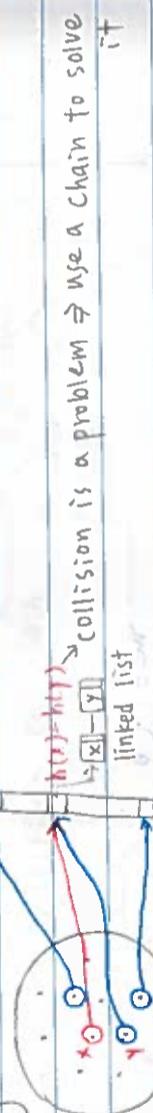
(H, n) = integers

given a universal set U of n elements

suppose you are playing with subsets of randomly m integers in U

$$n \gg m$$

$$h: U \rightarrow [1, m]$$



Search, Insert, Delete

v
 $h(v)$
 $h(v), m$



linked list

insert

remove

swap

rotate

sort

partition

divide

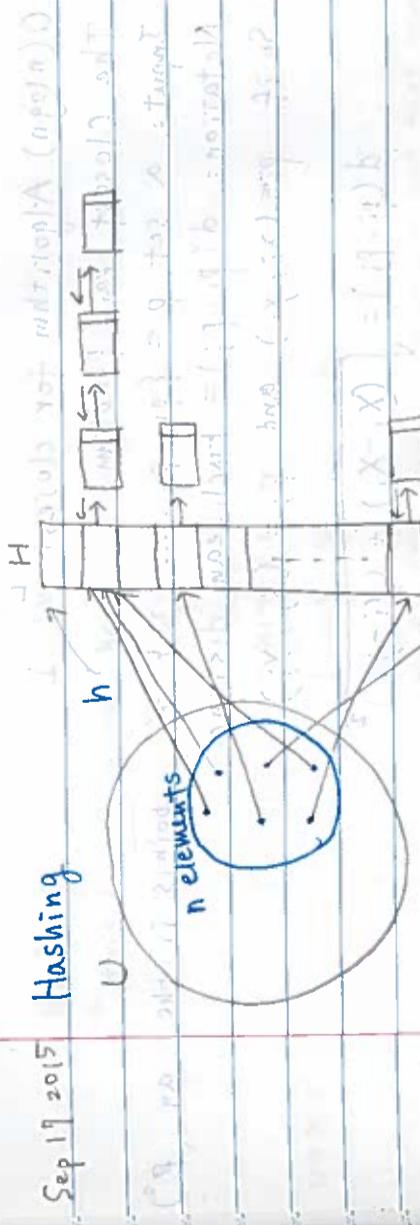
conquer

combine

recursion

0(n log n) Algorithm for closest pair I	minimize $d(p_i, p_j)$
The Closest pair problem	
Input: a set $P = \{p_1, p_2, \dots, p_n\}$ of n points in the plane (R^2)	
Notation: $d(p_i, p_j)$ = Euclidean distance	
So if $p_i = (x_i, y_i)$ and $p_j = (x_j, y_j)$	
$d(p_i, p_j) = \sqrt{(x_i - x_j)^2 + (y_i - y_j)^2}$	
Output: a pair $p^* \neq q^* \in P$ of distinct points that minimize $d(p^*, q^*)$	
Initial Observations	
Assumption: (for convenience) all points have distinct x-coordinates, distinct y-coordinates,	
Brute-force search: takes $O(n^2)$ time	

$h: U \rightarrow [0, 1, \dots, m-1]$



Sep 19 2015 Hashing

H contains n slots $\forall i \in \{0, \dots, m-1\}$

$h(v) = i$: true

$h(v) = j$: not true

$h(v) = k$: false

$h(v) = l$: true

$h(v) = m$: false

$h(v) = n$: true

$h(v) = o$: false

$h(v) = p$: true

$h(v) = q$: false

$h(v) = r$: true

$h(v) = s$: false

$h(v) = t$: true

$h(v) = u$: false

$h(v) = v$: true

$h(v) = w$: false

$h(v) = x$: true

$h(v) = y$: false

$h(v) = z$: true

$h(v) = A$: false

$h(v) = B$: true

$h(v) = C$: false

$h(v) = D$: true

$h(v) = E$: false

$h(v) = F$: true

$h(v) = G$: false

$h(v) = H$: true

$h(v) = I$: false

$h(v) = J$: true

$h(v) = K$: false

$h(v) = L$: true

$h(v) = M$: false

$h(v) = N$: true

$h(v) = O$: false

$h(v) = P$: true

$h(v) = Q$: false

$h(v) = R$: true

$h(v) = S$: false

$h(v) = T$: true

$h(v) = U$: false

$h(v) = V$: true

$h(v) = W$: false

$h(v) = X$: true

$h(v) = Y$: false

$h(v) = Z$: true

$h(v) = a$: false

$h(v) = b$: true

$h(v) = c$: false

$h(v) = d$: true

$h(v) = e$: false

$h(v) = f$: true

$h(v) = g$: false

$h(v) = h$: true

$h(v) = i$: false

$h(v) = j$: true

$h(v) = k$: false

$h(v) = l$: true

under this assumption, how good the operations
Search, Insert, Delete can be?

Probability Theory
Random variable = is a variable that can be certain values,
each with a certain probability.

X_i : the length of $H[i]$
expectation = prob. value $\sum_{v \in V} v \cdot P(v)$
 $E[X_i] = \sum_{v \in V} \text{prob.}[X_i = v] \cdot v$

$\text{if } X_i = \sum_{j=1}^n Y_{ij} \Rightarrow E[X_i] = \sum_{j=1}^n E[Y_{ij}] = n \cdot \frac{1}{m} = \frac{n}{m}$
 $Y_{ij} = \begin{cases} 1 & \text{if } h(j) = i \\ 0 & \text{if } h(j) \neq i \end{cases}$

$E[Y_{ij}] = 1 \cdot \text{prob}[h(j) = i] + 0 \cdot \text{prob}[h(j) \neq i]$

Search(H, v)

Case 1: v is not in $H \Rightarrow h(v) \Rightarrow H[h(v)] \Rightarrow \text{steps} = \text{length of } H[v]$

$E[\text{time}] = \frac{n}{m}$

Case 2: v is in $H \Rightarrow$ intuition: # steps = $\frac{1}{2}$ length of $H[h(v)]$
Suppose H holds $x_1, x_2, \dots, x_i, \dots, x_n$, inserted in this order

$T = \text{the # of steps for searching } v$
 $T_k = \begin{cases} \# \text{ of nodes before } x_k \text{ in } H[h(v)] & \text{if } v = x_k \\ 0 & \text{otherwise} \end{cases}$

- O(1) $\text{Delete}(H, *p) \approx \text{remove } *p \text{ directly}$
- $\text{Delete}(H, v) = \text{Search}(H, v) + \text{Delete}(H, *p)$

Implementation of Hash Function

Construction of hash function.

$$T = \sum_{k=1}^n T_k$$

ANSWER: Same logic with no optimization

$$X_{kj} = \begin{cases} 1 & \text{if } v_j = X_k \text{ & } X_j \text{ is before } X_k \text{ in } H[v] \\ 0 & \text{otherwise} \end{cases}$$

$$h: U \rightarrow [0, \dots, m-1]$$

K is very large $K \gg n$

$$T_k = \sum_{j=1}^n X_{kj}$$

$$E[T] = \sum_{k=1}^n E[X_{kj}]$$

$$T = \sum_{k=1}^n \sum_{j=1}^n X_{kj}$$

$$E[T] = \sum_{k=1}^n \sum_{j=1}^n P(X_{kj} = 1) = \sum_{k=1}^n P(X_{kj} = 1)$$

$$E[X_{kj}] = \left\{ \begin{array}{ll} \frac{1}{n} & \text{if } j > k \\ 0 & \text{if } j \leq k \end{array} \right.$$

$$E[T] = \sum_{k=1}^n \sum_{j=k+1}^n \frac{1}{n} = \frac{1}{n} \sum_{k=1}^n (n-k) = \frac{1}{n} \frac{n(n-1)}{2} < \frac{n}{2}$$

Implementation of Hash Function

The expected # of steps for search (H, v) = $\left\{ \begin{array}{ll} \frac{n}{m} & \text{if } v \text{ is not in } H \\ \frac{n}{2m} & \text{if } v \text{ is in } H \end{array} \right.$

Implementation of Hash Function

$$h: U \rightarrow [0, \dots, p-1]$$

K is very large $K \gg n$

$$k \in U, k \bmod m \rightarrow \text{not good in general}$$

Pick a prime p , $p > K$

Pick two numbers a, b , $1 \leq a < p$, $0 \leq b < p$

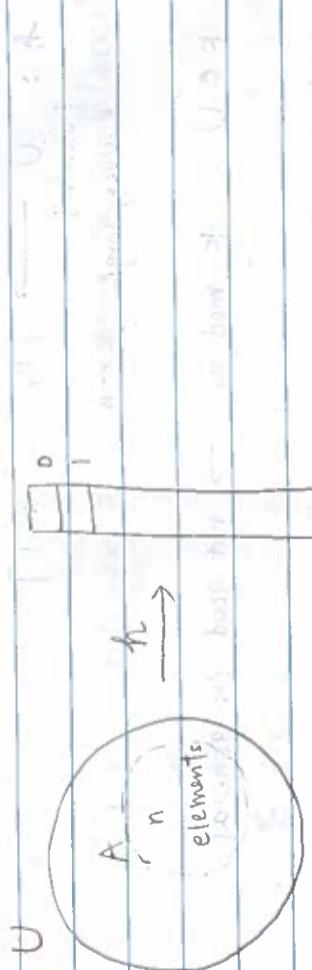
$$h(k) = ((k \cdot a + b) \bmod p) \bmod m$$

$h = h_{p,a,b}$

Implementation of Hash Function

Sep 22-2015

Hashing



- if h is ideal, then Search, Insert, Delete take time $O\left(\frac{n}{m} + 1\right)$
- if $n = O(m)$, then these operations take $O(1)$ time, expected.

Construct a hash function, pick a prime $p \geq |U|$

pick $a, b, 1 \leq a \leq p-1, 0 \leq b \leq p-1$

then $h_{p,a,b}(x) = ((ax+b) \bmod p) \bmod m$
makes a "good" hash from U to $[0, m-1]$

Collection of hash functions

(1, 0) (1, 1)
(2, 0) (2, 1) (2, 2)

Let C be a collection of hash functions from U to $[0, m-1]$,
we say C is a universal hashing if for any two elements
 k and g in U , there are at most $\frac{|C|}{m}$ hash functions
in C that make a collision of k and g .

$$\{ f_{a,b,p} \mid 1 \leq a \leq p-1, 0 \leq b \leq p-1 \} \text{ is a universal hashing.}$$

Thm given a set A of n elements in U , if you randomly pick
a hash function h in a universal hashing, then the
expected length of each list $H[i]$ is $\leq \frac{n}{m} + 1$

Proof: if $H[i]$ is empty, then done

if $H[i] \neq \emptyset$, pick an element $k \in A$

$$h(k) = i$$

define

$$Z_{k,j} = \begin{cases} 1 & \text{if } h(k) = h(j) \\ 0 & \text{otherwise} \end{cases}$$

then the length of $H[i]$

$$Z = \sum_{j \in A} Z_{k,j} = 1 + \frac{n-1}{m} \leq \frac{n}{m} + 1$$

$$E[Z] = \sum_{j \in A} E[Z_{k,j}]$$

$$1 \cdot \frac{1}{m} + 0 \cdot \frac{m-1}{m}$$

$$\cdot \varrho_j^2 = \varrho_j + 2\binom{\varrho_j}{2}$$

Perfect hashing (without) need to hash all n elements

h : with no collision universal function in \mathcal{D} was given
assume the given set A (if n elements) is fixed (no insert/delete)



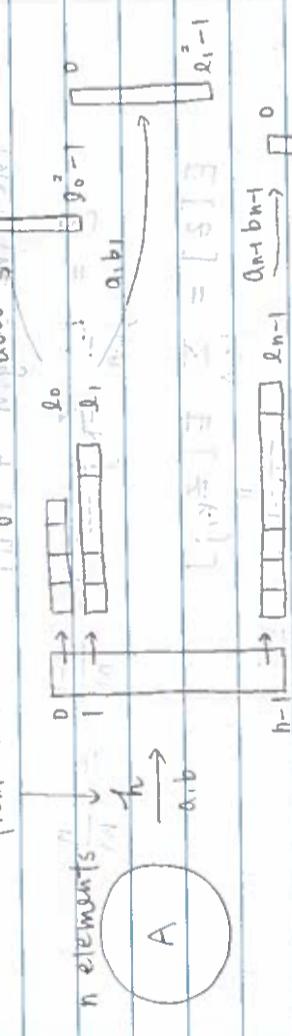
Thm Let $m=n^2$, then randomly pick a hash function from \mathcal{D}
universal hashing, then the hash function is perfect,
with prob. $> \frac{1}{2}$

Proof prob [there is a collision] $\leq \sum_{k,j \in A} \text{prob } [h(k) = h(j)]$

$$= \binom{n}{2} \frac{1}{m}$$

$\binom{n}{2} m = \binom{n}{2} \frac{n(n-1)}{2} \cdot \frac{1}{n^2} < \frac{1}{2}$

from a universal hashing for Naooboo



The extra space used in this 2-step hashing

$$\begin{aligned} E\left[\sum_{j=0}^{m-1} \varrho_j^2\right] &= E\left[\sum_{j=0}^{m-1} (\varrho_j + 2\binom{\varrho_j}{2})\right] = E\left[\sum_{j=0}^{m-1} \varrho_j + 2\sum_{j=0}^{m-1} \binom{\varrho_j}{2}\right] \\ &= n + 2E\left[\sum_{j=0}^{m-1} \binom{\varrho_j}{2}\right] \leq 2^{m-1} \end{aligned}$$

of collisions

$\binom{m}{2} \frac{1}{m}$

$\binom{m}{2}$

$\binom{m}{2}</math$

Sep 24 2015

$$\left\{ \begin{array}{l} \text{from } 1 \leq a \leq p-1, 0 \leq b \leq p-1 \\ \text{to } f_{a,b,m} = ((ax+b) \bmod p) \bmod m \end{array} \right.$$

is a universal hashing from U to $[0, m-1]$ where p is prime $\geq |U|$

Constructing a perfect hashing for a set A of n elements in U

Algo Perfect Hash(A)

1. pick a prime $p > |U|$

2. randomly pick a and b , $1 \leq a \leq p-1, 0 \leq b \leq p-1$

Let $h = h_{a,b,n}$, and let X_i be the set of element in A that are hashed into i , $0 \leq i \leq n-1$

3. while $\sum_{i=0}^{n-1} |X_i|^2 > 4n$ do

randomly pick a, b again to

make $h = h_{a,b,n}$, with X_0, \dots, X_{n-1}

4. for $i = 0$ to $n-1$ do

randomly pick a_i and b_i , $1 \leq a_i \leq p-1, 0 \leq b_i \leq p-1$

let $h_i = h_{a_i, b_i}$

while h_i is not perfect from X_i to $[0, \dots, |X_i|^2]$ do

randomly pick a_i and b_i

and make $h_i = h_{a_i, b_i}$

* Output: $\{(a_1, b_1), (a_2, b_2), \dots, (a_{n-1}, b_{n-1})\}$

Our perfect hash function h_A is from A to $[0, N]$

where $N = \sum_{i=0}^{n-1} |X_i|^2 \leq 4n$

$$\begin{aligned} h_A(x) &= 1. \quad k = h_{a,b,n}(x) \quad \text{from } A \text{ to } [0, N] \\ &2. \quad h_A(x) = \sum_{i=0}^{n-1} |x_i|^2 + h_{a_i, b_i}(x_i) \end{aligned}$$

$$= D[k, 1] + h_{a_i, b_i}(D[k, 0](x))$$



Notes: $h_{a,b,n}(x)$ is a polynomial of degree $n-1$.

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$$\begin{aligned} h_{a,b,n}(x) &= \sum_{i=0}^{n-1} |x_i|^2 + h_{a_i, b_i}(x_i) \\ &= D[k, 1] + h_{a_i, b_i}(D[k, 0](x)) \end{aligned}$$

Notes: $h_{a,b,n}(x)$ is a polynomial of degree $n-1$.

Max-bandwidth Path (G, s, t) time = $O(n^2)$

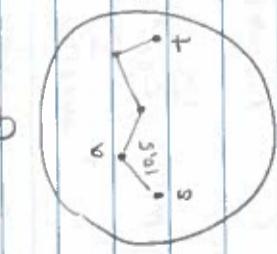
Alg (Dijkstra's) \rightarrow Direct Dijkstra's Alg

```

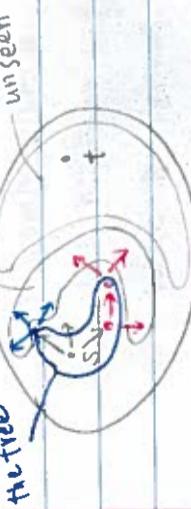
for  $v=1$  to  $n$  do
  1. for each vertex  $v=1 \dots n$  do
    status[v] = unseen;
    capacity  $\rightarrow$  cap[v] = wt[s, v];
    Dad[v] = s; weight
  2. Status[s] = inTree;
    status[w] = fringe;
    O(n)  $\rightarrow$  O(m log n)
  3. for each edge [s, w] do
    capacity  $\rightarrow$  cap[w] = wt[s, w];
    Dad[w] = s; weight
    while there are fringes do  $\rightarrow$   $n-1$  times
    4. while status[t]  $\neq$  inTree do
      pick a fringe  $v$  of largest cap[v];  $\rightarrow$  O(1)
      status[v] = inTree;
      for each edge [v, w] do
        if status[w] = unseen
          then status[w] = fringe;
          Dad[w] = v;
          cap[w] = min { cap[v], wt[v, w] };
        elseif status[w] = fringe & cap[v]  $<$  min { cap[v], wt[v, w] }
          then Dad[w] = v;
          cap[w] = min { cap[v], wt[v, w] };
        end
      end
      5. return (Dad[])
    end
  end
end
  
```

given a (randomly) weighted graph G , and two vertices s and t , find a (s, t) -path that maximize bandwidth.

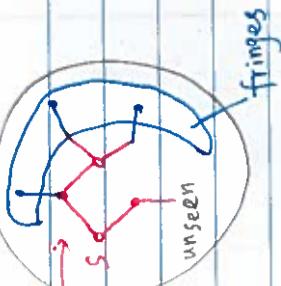
\rightarrow The bandwidth of a path is equal to the smallest edge bandwidth along the path.



Alg (Dijkstra's)



5. if we use a max-heap
 \Rightarrow time = $O(n \log n)$



Optimization

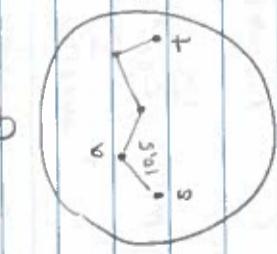
Graph optimization problems

- * Shortest path
- * Min. spanning tree
- * Max. matching
- * Max flow

Max Bandwidth Path

given a (randomly) weighted graph G , and two vertices s and t , find a (s, t) -path that maximize bandwidth.

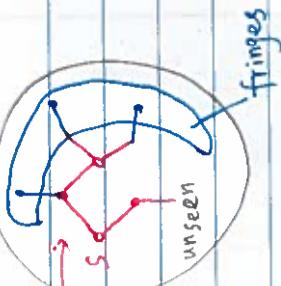
\rightarrow The bandwidth of a path is equal to the smallest edge bandwidth along the path.



Alg (Dijkstra's)



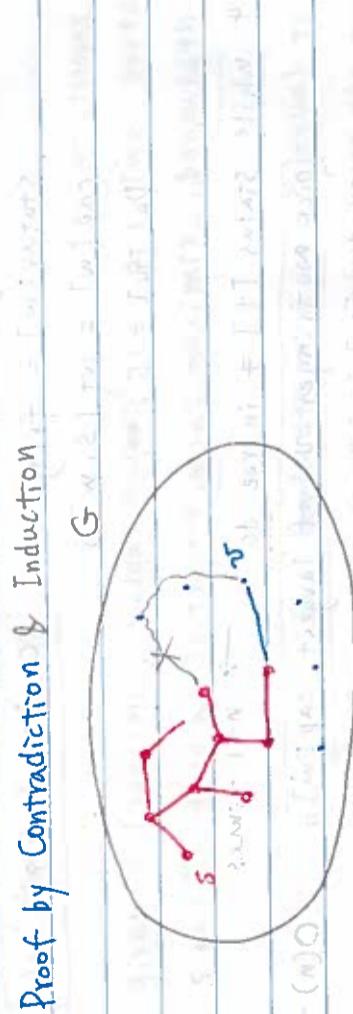
5. if we use a max-heap
 \Rightarrow time = $O(n \log n)$



Max Spanning Tree

Max-bandwidth path ($G, s, +$)

Need to prove: the $s-t$ path in the tree is a max-bandwidth path
Once a vertex v becomes "in-tree", the path in the tree is a max-bw path from s to v .



Proof by Contradiction & Induction
G: weighted graph with n vertices
s: source vertex
t: target vertex
v: vertex in G
 $\pi(v)$: path from s to v
 $b(v)$: bandwidth of $\pi(v)$
 $b(s, t) = \max_{v \in V} b(v)$

Alg (Kruskal's) time = $O(m \log n)$

Kruskal($G, s, +$)

$O(m \log n)$ 1. sort the edges of G in nonincreasing order:

e_1, e_2, \dots, e_m

2. $T = \emptyset$; for $v=1$ to n do Makeset(v)
3. for $i=1$ to m do
 - $s_1 = \text{Makeset}(e_i)$
 - $s_2 = \text{Makeset}(e_i)$
 - $e_i = [v_i, w_i]$; if $\text{Find}(v_i) \neq \text{Find}(w_i)$

if v_i and w_i are in two different components of T , then $T = T + \{e_i\} \rightarrow \text{UNION}(s_1, s_2)$

"at this point, T is a max. spanning tree"

4. connects s and t in T

Approach #2

1. Construct a max spanning tree T ; make T a forest
2. Connect s and t in T = $\text{union}(s, t)$

\rightarrow Kruskal($G, s, +$)

time = $O(n \log n)$

def. Makeset(a)

make a single element set

$\text{UNION}(s_1, s_2)$

merge s_1 and s_2 into a single set

Find(a)

find the set that contains a

Value of T is $\sum_{e \in E(T)} b(e)$

0 = $\{1, 2, 3, 4, 5, 6, 7\}$

1 = $\{1, 2, 3, 4, 5, 6, 7\}$

2 = $\{1, 2, 3, 4, 5, 6, 7\}$

3 = $\{1, 2, 3, 4, 5, 6, 7\}$

4 = $\{1, 2, 3, 4, 5, 6, 7\}$

5 = $\{1, 2, 3, 4, 5, 6, 7\}$

6 = $\{1, 2, 3, 4, 5, 6, 7\}$

7 = $\{1, 2, 3, 4, 5, 6, 7\}$

Oct 01-2015

Alg 2 (Kruskal's) time = $O(n \log n)$

$T = \emptyset$

1. Sort the edges in non-increasing order;

$\{e_1, e_2, \dots, e_m\}$

2. for vertex $v \in T = \emptyset$ to n do

 MakeSet(v)

 3. for $i = 1$ to m do

 let $e_i = [v_i, w_i]$;

$y_1 = \text{Find}(v_i)$; $y_2 = \text{Find}(w_i)$;

 if $y_1 \neq y_2$ then

$T = T \cup \{e_i\}$; (add e_i to T)

 UNION(y_1, y_2)

 4. return the S-T path in T

MakeSet(v): $\cdot \text{Dad}[v] = 0$

 make a set $\{v\}$

Find(v):

 return a representative of the set containing v .

UNION(y_1, y_2):

 combine the two sets that contain y_1 & y_2 , respectively

 height = 0 = $\log k$

 Thm with rank[] , the height of any tree is $\leq \log n$

PF by induction on the number of nodes of a tree.

$k = 1$, height = 0 = $\log 1$

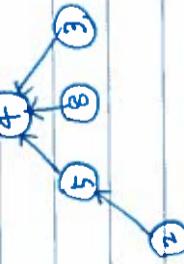
$\leq \log 1 \leq \log 1$

$k = k_1 + k_2 \leq \log k_1 + \log k_2 \leq \log k_1 + \log k_2$

Use a tree to represent a set in which each node has pointer

to its father

$\{2, 3, 5, 8, 4\}$ $\text{Dad}[v] = 0$



Find(v);

 if $v = \text{Dad}[v]$ then v is a solution

 else $v = \text{Dad}[v]$

 repeat

$v = \text{Dad}[v]$

 until $v = \text{Dad}[v]$

 return v

Time = $O(n \log n)$

Find(v);

 if $v = \text{Dad}[v]$ then v is a solution

 else $v = \text{Dad}[v]$

 repeat

$v = \text{Dad}[v]$

 until $v = \text{Dad}[v]$

 return v

Time = $O(n \log n)$

Find(v);

 if $v = \text{Dad}[v]$ then v is a solution

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 repeat

$v = \text{Dad}[v]$

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 return v

Time = $O(n \log n)$

Find(v);

 if $v = \text{Dad}[v]$ then v is a solution

 else $v = \text{Dad}[v]$

 repeat

$v = \text{Dad}[v]$

 until $v = \text{Dad}[v]$

 return v

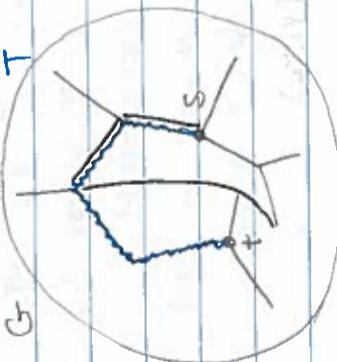
Time = $O(n \log n)$

Deterministic Selection

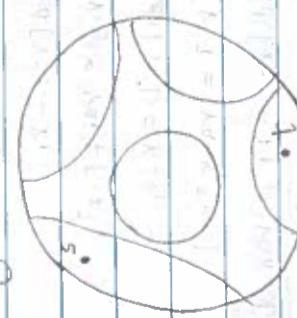
Given an array $A[1, \dots, m]$, how to split $A[1, \dots, m]$ into smaller half and larger half in linear time?

\Rightarrow median finding

Claim:
all black edges have capacity not smaller than the blue ones



Q: How to cut T to get half of smaller edges in linear time?



* The alg 2 returns a max-bin path from s to t .

Pf: T is max spanning tree.

Claim:

all black edges have capacity not smaller than the blue ones

① divide all elements equally, ex by 5

into groups

② length of each group : $\frac{m}{5}$

③ find median of each group in linear time, place them in middle position

④ recursively find the median of these medians

⑤ cut half of edges

⑥ choose pivot as median

⑦ split equally

⑧ QuickSort

⑨ choose pivot as median

⑩ if not $\Rightarrow O(n^2)$

⑪ if $n/2 > (n/5)T$ group

⑫ if $n/2 < (n/5)T$ and $n/2 > m/2$

⑬ if $n/2 < m/2$ then $(n/5)T < m/2$

⑭ if $n/2 > m/2$ then $(n/5)T > m/2$

⑮ if $n/2 = m/2$ then $(n/5)T = m/2$

⑯ if $n/2 < m/2$ then $(n/5)T < m/2$

⑰ if $n/2 > m/2$ then $(n/5)T > m/2$

⑱ if $n/2 = m/2$ then $(n/5)T = m/2$

⑲ if $n/2 < m/2$ then $(n/5)T < m/2$

⑳ if $n/2 > m/2$ then $(n/5)T > m/2$

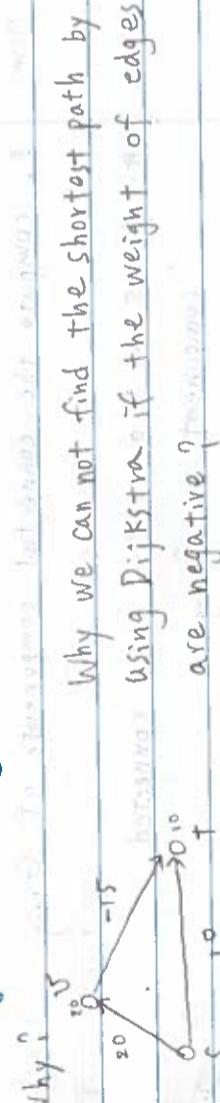
㉑ if $n/2 = m/2$ then $(n/5)T = m/2$

Oct. 8-2015 Dijkstra (G, s, t) (for shortest path) $\text{Time} \geq (n)^T$

1. make s in tree;
2. while there are fringes do
 make all neighbors of s fringes;
3. for each edge $[v, w]$ do
 if w is unseen then
 pick the fringe v of min. wt;
 make v in-tree;

if w is in-tree then
 then \leftarrow modification for
 negative weight!

G : weights are nonnegative!



Why we can not find the shortest path by
using Dijkstra if the weight of edges
are negative?

It only considers the path in tree.

Suppose a algo can find MST only for positive edges

call Al on G' that gives T

G : With negative edges and no negative edges

1. add a number to each edge weight, giving G'

2. call Al on G' that gives T

3. T is a MST for G

$(n)^T + (n)^O = (n)^T \leq n-1$

$(n)^T + (n)^O \geq n-1$

$(n)^T + (n)^O \geq n-1$

$(n)^T + (n)^O \geq n-1$

Why algo. A1 cannot apply for finding shortest path of graph G with negative weight?
 \Rightarrow The # of edges for shortest path will vary.

MST always has same # of edges
if there are n vertices, there are $n-1$ edges for MST.

v

w

u

t

s

r

o

p

q

x

y

z

a

b

c

d

e

f

g

h

i

j

k

l

m

n

o

p

q

r

s

t

u

v

w

x

y

z

a

b

c

d

e

f

g

h

i

j

k

l

m

n

o

p

q

r

s

t

u

v

w

x

y

z

a

b

c

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n

o

p

q

r

s

t

u

v

w

x

y

z

a

b

c

d

e

f

g

h

the shortest path

Formal Proof

if no negative cycles, it makes sense.
graph has

- ① a path in the cycle wouldn't be shorter than a path with
cycle removed



② We only have to consider simple paths

③ each simple path has at most $n!$

④ # of simple paths $\leq n! \cdot n \leftarrow$ finite #

⑤ cut a vertex

achieve shortest path

choose a node as the root of the tree

choose a path

Oct. 8-2015

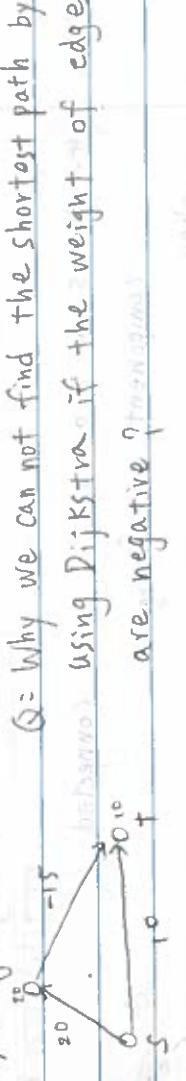
Dijkstra (G, s, t) (for shortest path)

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 pick the fringe v of min. wt_v
 make v in-tree;
 for each edge $[v, w]$ do
 if w is unseen then

 if w is fringe v then

 if w is in-tree then \leftarrow modification for negative weight
 G: weights are nonnegative!

Why?



Q: Why we can not find the shortest path by using Dijkstra if the weight of edges are negative?

A: It only considers the path in tree.

Suppose a algo can find MST only for positive edges

Suppose Al: algorithm for MST with positive edges

G: with negative edges

1. add a number to each edge weight, giving G'
2. call Al on G' that gives T as output
3. T is a MST for G .

$$\left(\frac{m}{n} \right) T + (m) O = (m) T + m$$

but $O(n^2)$ \rightarrow m^2

Why algo. A₁ cannot apply for finding shortest path of graph G with negative weight?
 \Rightarrow The # of edges for shortest path will vary.

1. make all neighbors of s fringes;
2. while there are fringes do
 pick the fringe v of min. wt_v
 make v in-tree;

Modified Dijkstra (G, s, t) (for shortest path)

1. make s in-tree

make all neighbors of s fringes;
for each edge $[v, w]$ do
 if you can improve $wt(w)$

improve it.

Bellman-Ford

$O(nm)$ • Assume no negative cycles

1. for $v = 1$ to n do
 dist [v] = $+\infty$;
 dist [s] = 0;
2. loop \leftarrow times \leftarrow loop until no change
 for each edge $[v, w]$ do
 if $dist[w] > dist[v] + wt[v, w]$ then
 dist [w] = $dist[v] + wt[v, w]$;
 Dad [w] = v ;

dist [w]

dist is never changed after first loop

$O(n^2)$

\leftarrow shortest path

of loop \leq length of shortest path

4. for each edge $[v, w]$ do
 if $dist[w] > dist[v] + wt[v, w]$ then
 stop ('nonative cycles')
5. return (Dad[v])

Bellman - Ford Algo.

Given a weighted, directed graph $G = (V, E)$ with source s and weight function $w: E \rightarrow \mathbb{R}$, the Bellman - Ford algorithm returns a boolean value indicating whether or not there is a negative-weight cycle that is reachable from the source.
If there is such a cycle, the algo. indicates that no solution exists. If there is no such cycle, the algo. produces the shortest paths and their weights.

- ① We only have to consider simple paths
- ② each simple path has at most $n-1$ edges
- ③ # of simple paths $\leq n! \cdot n \leftarrow$ finite #
 - ↑
a path has at most n nodes from S to T
- ④ out of vertex n node's ordering permutation
- ⑤ Bellman-Ford

Final output:
graph LR; S(()) -- "0: Bellman-Ford" --> T(());
S --- E1[]; E1 --- V1(()); V1 --- E2[]; E2 --- V2(()); V2 --- E3[]; E3 --- V3(()); V3 --- E4[]; E4 --- V4(()); V4 --- E5[]; E5 --- V5(()); V5 --- E6[]; E6 --- V6(()); V6 --- E7[]; E7 --- V7(()); V7 --- E8[]; E8 --- V8(()); V8 --- E9[]; E9 --- V9(()); V9 --- E10[]; E10 --- V10(()); V10 --- E11[]; E11 --- V11(()); V11 --- E12[]; E12 --- V12(()); V12 --- E13[]; E13 --- V13(()); V13 --- E14[]; E14 --- V14(()); V14 --- E15[]; E15 --- V15(()); V15 --- E16[]; E16 --- V16(()); V16 --- E17[]; E17 --- V17(()); V17 --- E18[]; E18 --- V18(()); V18 --- E19[]; E19 --- V19(()); V19 --- E20[]; E20 --- V20(()); V20 --- E21[]; E21 --- V21(()); V21 --- E22[]; E22 --- V22(()); V22 --- E23[]; E23 --- V23(()); V23 --- E24[]; E24 --- V24(()); V24 --- E25[]; E25 --- V25(()); V25 --- E26[]; E26 --- V26(()); V26 --- E27[]; E27 --- V27(()); V27 --- E28[]; E28 --- V28(()); V28 --- E29[]; E29 --- V29(()); V29 --- E30[]; E30 --- V30(()); V30 --- E31[]; E31 --- V31(()); V31 --- E32[]; E32 --- V32(()); V32 --- E33[]; 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Oct 13 2015 Shortest Paths in graphs with negative edges.

If there is a negative cycle, then the algo. always reports this fact at step 4.

The shortest path problem is meaningless if there are negative cycles.

If there is a shortest path from s to w .

$$s = v_0, v_1, \dots, v_r = w$$

then after i loops in step 3

$$\text{wt}[v_i] = \sum_{j=1}^i [v_j, v_i]$$



Bellman-Ford (G, s, t) $O(nm)$

1. for each vertex v do

$$\text{wt}[v] = +\infty;$$

dist

2. $\text{wt}[s] = 0$;

dist

at most $n-1$ time, the algo can stop early at the time

3. loop $n-1$ times that no more $\text{dist}[v]$ change for all nodes

for each edge $[v, w]$ do

if $\text{wt}[w] > \text{wt}[v] + \text{weight}[v, w]$

then $\text{dist}[w] = \text{wt}[v] + \text{weight}[v, w]$

dist

$\text{Dad}[w] = v$;

give another loop
i.e., n^{th} time,

$i = 0$

$[s, v] - \text{dist} < [v, v] - \text{dist}$

$v = [s, v] - \text{dist}$

$[v, v] - \text{dist} > [v, v] - \text{dist}$

$s = [v, v] - \text{dist}$

5. return ($\text{Dad}[\cdot]$)

$[s] = [v, v] - \text{dist}$

$v = [v, v] - \text{dist}$

$v = [v, v] - \text{dist}$

Suppose there is a negative cycle

$$\begin{aligned} & \text{wt}[w_1, w_2] + \text{wt}[w_2, w_3] + \text{wt}[w_3, w_4] + \\ & \text{wt}[w_4, w_5] + \text{wt}[w_5, w_1] < 0 \end{aligned}$$

Claim: Suppose the algo does not report

on step 4.

$$\text{dist}[w_2] \leq \text{dist}[w_1] + \text{wt}[w_1, w_2]$$

$$\text{dist}[w_3] \leq \text{dist}[w_2] + \text{wt}[w_2, w_3]$$

$$\text{dist}[w_4] \leq \text{dist}[w_3] + \text{wt}[w_3, w_4]$$

$$\text{dist}[w_5] \leq \text{dist}[w_4] + \text{wt}[w_4, w_5]$$

$$\text{dist}[w_1] \leq \text{dist}[w_5] + \text{wt}[w_5, w_1]$$

$$0 \leq \text{wt}[w_1] + \dots$$

$$\text{dist}[w_2] \leq \text{dist}[w_1] + \text{wt}[w_1, w_2]$$

$$\text{dist}[w_3] \leq \text{dist}[w_2] + \text{wt}[w_2, w_3]$$

$$\text{dist}[w_4] \leq \text{dist}[w_3] + \text{wt}[w_3, w_4]$$

$$\text{dist}[w_5] \leq \text{dist}[w_4] + \text{wt}[w_4, w_5]$$

$$\text{dist}[w_1] \leq \text{dist}[w_5] + \text{wt}[w_5, w_1]$$

$$0 \leq \text{wt}[w_1] + \dots$$

$$\text{dist}[w_2] \leq \text{dist}[w_1] + \text{wt}[w_1, w_2]$$

$$\text{dist}[w_3] \leq \text{dist}[w_2] + \text{wt}[w_2, w_3]$$

$$\text{dist}[w_4] \leq \text{dist}[w_3] + \text{wt}[w_3, w_4]$$

$$\text{dist}[w_5] \leq \text{dist}[w_4] + \text{wt}[w_4, w_5]$$

$$\text{dist}[w_1] \leq \text{dist}[w_5] + \text{wt}[w_5, w_1]$$

$$0 \leq \text{wt}[w_1] + \dots$$

$$\text{dist}[w_2] \leq \text{dist}[w_1] + \text{wt}[w_1, w_2]$$

$$\text{dist}[w_3] \leq \text{dist}[w_2] + \text{wt}[w_2, w_3]$$

$$\text{dist}[w_4] \leq \text{dist}[w_3] + \text{wt}[w_3, w_4]$$

$$\text{dist}[w_5] \leq \text{dist}[w_4] + \text{wt}[w_4, w_5]$$

$$\text{dist}[w_1] \leq \text{dist}[w_5] + \text{wt}[w_5, w_1]$$

$$0 \leq \text{wt}[w_1] + \dots$$

Kruskal (for Minimum Spanning Tree, MST)

- sort the edges in nondecreasing order;
 e_1, e_2, \dots, e_m increasing

2. $T = \emptyset$;

3. for $v = 1$ to n do `Makeset(v);`

4. for $i = 1$ to m do
 $e_i = [v_i, w_i];$
 $r_1 = \text{Find}(v_i);$
 $r_2 = \text{Find}(w_i);$
if $r_1 \neq r_2$ then
 $T = T \cup \{e_i\};$
`UNION(r1, r2);`

$\text{Dad}[1, \dots, n]$ $\text{Dad}[i] = \text{father of } i$;
 $\text{Rank}[1, \dots, n]$ $\text{Rank}[i] = \text{height of the tree rooted at } i$
 $\text{Rank}[v] \leq \log n$

`Makeset(v) O(1)`

$\text{Dad}[v] = 0$; $\text{rank}[v] = 0$;

`UNION(r1, r2) O(1)`

case:

1. $\text{rank}[r_1] > \text{rank}[r_2]$

$\text{Dad}[r_2] = r_1$

union
by
rank

2. $\text{rank}[r_1] < \text{rank}[r_2]$

$\text{Dad}[r_1] = r_2$

3. $\text{rank}[r_1] = r_1$; $\text{rank}[r_1]++$

$\text{Dad}[r_2] = r_1$;

FIND(v) $O(\log n)$

1. $w = v$

2. while $\text{Dad}[w] \neq 0$ do

$w = \text{Dad}[w]$;

3. return $[w]$

FIND(v)

1. $w = v$; $S = \emptyset$

2. while $\text{Dad}[w] \neq 0$ do

 path compression
 $S \leftarrow S \cup w$;

$w = \text{Dad}[w]$;

3. while $S \neq \emptyset$ do

$V \leftarrow S_j$; stack = LIFO
 $\text{Dad}[v] = w$

4. return $[w]$

Given m U-F-M operations on a set $\{a_1, a_2, \dots, a_n\}$.

How much time would these operations take?

$\leq m \log n$ $\Rightarrow m$

$\Theta(m)$
 $\text{U } \text{F } \text{M }$

$\sum_{i=1}^m \text{Time spent on all finds} = \sum_{i=1}^m \text{length}(p_i)$

$$\frac{1}{2^{10}} + \frac{1}{2^9} + \frac{1}{2^8} + \dots = \frac{1}{2^{10}} \cdot 2 = \frac{1}{2^9}$$

B_{i-1} How many times an a_i can stay as an i-node?

Suppose $\text{rank}[a_i]$ is in $[B_{i-1}, B_i)$

Count i-nodes in $[B_{i-1}, B_i)$

$$\sum_{r=B_{i-1}}^{B_i-1} \left(B_i - r \right) \frac{n}{2^r}$$

$$\leq \sum_{r=B_{i-1}}^{B_i-1} \frac{n B_i}{2^r} = n B_i \sum_{r=B_{i-1}}^{B_i-1} \frac{1}{2^r} \leq n B_i \sum_{r=B_{i-1}}^{\infty} \frac{1}{2^r}$$

$$= n B_i \frac{2}{2^{B_i-1}} = 2n$$

$$\alpha(n) \leq 7 \quad \text{for all practical } n$$

$$\log n \leq 8$$

For Kruskal Algo. Sorting: $O(n \log n)$
 $Merge: O(n \log n)$

MakeSet
 $2m$ FINDs

$m-1$ UNION

$$h + 2m + m-1$$

$m \geq n-1$

$h + 2m + m-1$

Oct-27-2015 Depth-First Search (G) / for topological sorting

1. for each vertex v do

 1' color[v] = white;

 1'' $i=n$; $A[1..n]$ for output

 2. for each vertex v do

 if color[v] == white

 then DFS(v)

DFS(v)

 1. color[v] = gray;

 2. for each edge $[v, w]$ do

 if color[w] == white

 then DFS(w);

 3. color[v] = black; $A[i-r] = v$; then return ('cycle')

step ① a b c d e f g

DFS(a) o white o white

DFS(b) o white o white

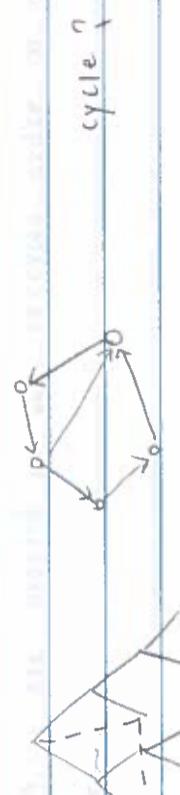
DFS(c) o white o white

DFS(d) o white o white

DFS(e) o white o white

DFS(f) o white o white

DFS(g) o white o white



cycle 1

cycle 2

cycle 3

cycle 4

cycle 5

cycle 6

cycle 7

cycle 8

cycle 9

cycle 10

cycle 11

cycle 12

cycle 13

cycle 14

cycle 15

cycle 16

cycle 17

cycle 18

cycle 19

cycle 20

cycle 21

cycle 22

cycle 23

cycle 24

cycle 25

cycle 26

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cycle 140

cycle 141

cycle 142

cycle 143

cycle 144

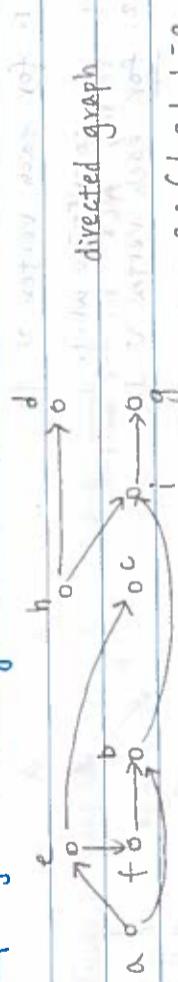
cycle 145

cycle 146

* For Topological Sorting

(graph can be sparse or dense)

Oct-29-2015 Depth-First-Search $O(m+n)$



Order the vertices into a sequence $S[1..n]$
such that if $[v, w]$ is an edge,
then v appear before w in S .

$v \rightarrow w$ $\Rightarrow v$ becomes black, $\text{color}[v] = \text{black}$

$\text{color}[w] ?$ \Rightarrow if $\text{color}[w] = \text{white}$

\Rightarrow v and w are adjacent and v is black

elseif $\text{color}[w] = \text{gray}$ then stop ('no such sequence')

3. $\text{color}[v] = \text{black}$; $S[i-1] = v$

Topological Sorting

given a directed graph D , construct a sequence $\{v_1, v_2, \dots, v_n\}$ of vertices of D such that for each edge $[v_i, v_j]$ we must have $i < j$ (or repeat no such a sequence exists.)

Vertices are ordered in the reversed order in which they become black.

look at any edge $[v, w]$

consider $\text{DFS}(v) \rightarrow \text{color}[w] = ?$

* black v

* white v

* gray \rightarrow cycle

Topological Sorting

graph can be sparse or dense

Oct-29-2015 Depth-First-Search $O(m+n)$

1. output an array $S[1..n]$

1. for each vertex v do $\text{color}[v] = \text{white}$;

1'. $i = n$

2. for each vertex v do

 if $\text{color}[v] = \text{white}$ then $\text{DFS}(v)$

3. output $S[1..n]$

Complexity:

$\text{DFS}(v)$ is proportional to # of edges going out from v

1. $\text{color}[v] == \text{gray}$;

2. for each edge $[v, w]$ do

 if $\text{color}[w] == \text{white}$

 then $\text{DFS}(w)$

 elseif $\text{color}[w] == \text{gray}$ then stop ('no such sequence')

3. $\text{color}[v] = \text{black}$; $S[i-1] = v$

* For Connected Component

```

Depth-First-Search time = O(m+n) list(n)
procedure DEPTH-FIRST-SEARCH(G)
    for each vertex  $v \in V$  do
        color[v] = white;
        cc# = 0;
    for each vertex  $v \in V$  do
        if color[v] = white then
            cc#++;
            DFS(v);
    endfor
endprocedure

```

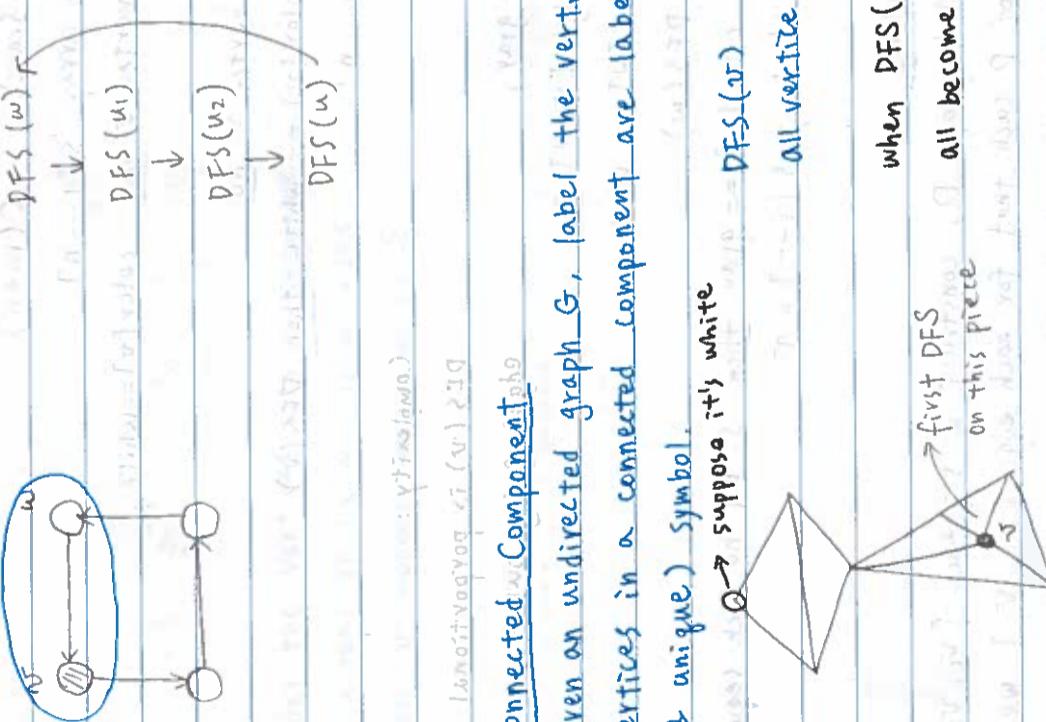
↓

```

procedure DFS( $v \in V$ )
    color[v] = gray;
    cc[v] = cc#;
    for each edge  $(v,w)$  do
        if color[w] = white then
            DFS(w);
    endfor
    color[v] = black;
endprocedure

```

DFS(v) will examine this edge.



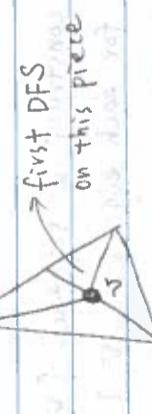
Connected Component

given an undirected graph G , label the vertices so that all vertices in a connected component are labeled by the same (unique) symbol.

\Rightarrow suppose it's white

all vertices are white

when DFS(v) finishes



first DFS

on this piece

will do

times something & done

when

color[v] = black

color[v] = black</p

common ends (vertex)
 \wedge not a matching

Strongly Connected Component (SCC)

an SCC of a directed graph is a maximal subgraph in which every vertex can reach every other vertex.



given a directed graph G , label the vertices so that all vertices in the SCC are labeled by the same & unique symbol

Algo - SCC (G) time = $O(m+n)$

1. for each v do $\text{color}[v] = \text{white}$;
2. $i = n$;
3. for each v do
 - if $\text{color}[v] == \text{white}$ do $\text{DFS}_1(v)$ $A[1 \dots n]$
4. $G_R = G$ with edge reversed; $\# \text{SCC} = 0$;
5. for each vertex v do
 - $\text{color}[v] = \text{white}$; all vertices that become black after this call are exactly those that are in the same SCC with $A[i]$

6. $\text{DFS}_2(A[1])$

1. $\text{color}[v] = \text{gray}$;
2. for each edge $[v, w]$ do
 - if $\text{color}[w] == \text{white}$ then $\text{DFS}_2(A[i])$

$\text{DFS}_1(v)$

1. $\text{color}[v] = \text{gray}$;
2. for each edge $[v, w]$ do
 - if $\text{color}[w] == \text{white}$ then $\text{DFS}_2(w)$

3. $\text{color}[v] = \text{black}$;

$A[i-1] = v$

$\text{color}[w] = \text{black}$;

$A[i-1] = w$

$\text{color}[v] = \text{black}$

Transitivity

transitivity

Nov-3-2015

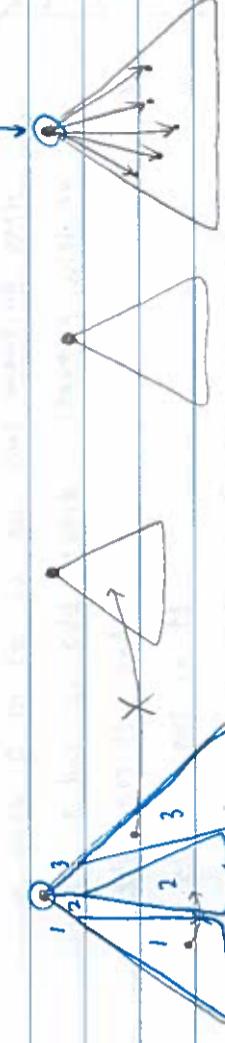
G : a directed graph

- SCC : a max component in which vertices of SCC's partition the vertices of G
- Problem: given G , construct all SCC's.

* the DFS trees are constructed from left to right

* no edge goes from left to right

* the root of the last tree is $A[1]$



* no vertex that is not in the tree rooted at $A[1]$ can be in the same SCC with $A[1]$

* every vertex in the same SCC with $A[1]$ must be in the tree rooted at $A[1]$

* exactly those vertices in that tree from which $A[1]$ is reachable are in the same SCC with $A[1]$

* if we reverse the edges in G , then these vertices are exactly those reachable in $\text{DFS}(A[1])$

* In the tree rooted at $A[1]$ there is no white parent with a black child.

G_{REV}

* won't have the white node

* the white node

Nov-3-2015

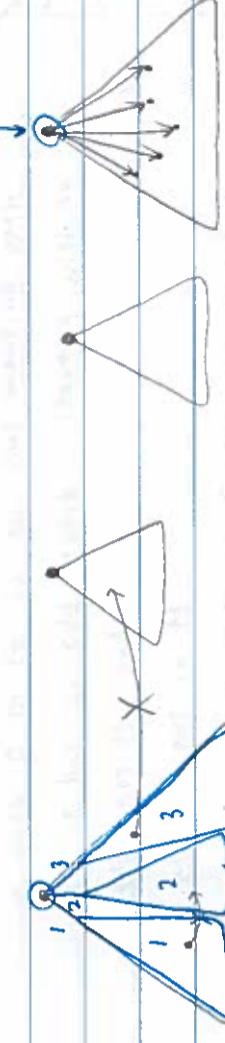
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Strongly Connected Component (SCC)

an SCC of a directed graph is a maximal subgraph in which every vertex can reach every other vertex.



given a directed graph G , label the vertices so that all vertices in the SCC are labeled by the same & unique symbol

Algo - SCC (D) time = $O(m+n)$

```

1. for each  $v$  do color [ $v$ ] = white;
   last one becomes black
2.  $i = n$ ;
3. for each  $v$  do
   if color [ $v$ ] == white  $\rightarrow$  DFS1( $v$ )  $A[1 \dots n]$ 
4.  $G = G$  with edge reversed; #SCC = 0;
5. for each vertex  $v$  do
   color [ $v$ ] = white; all vertices that become black after this
6. DFS2( $A[1]$ ) call are exactly those that are in the
   same SCC with  $A[1]$ 

```

last one becomes black

does exist

possible

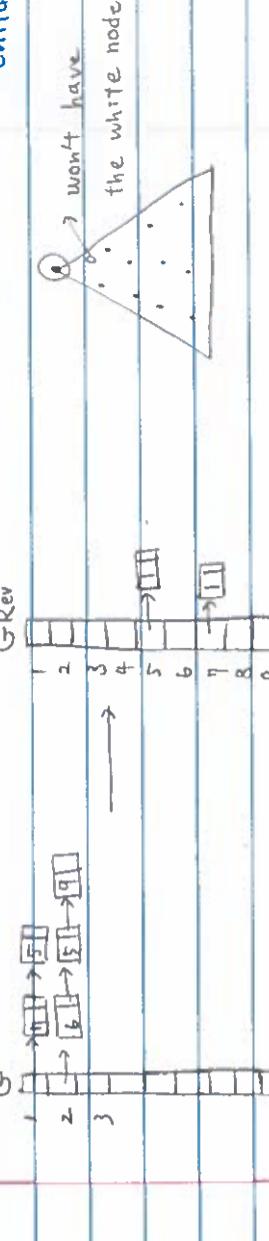
$A[1 \dots n]$

- * the DFS trees are constructed from left to right
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- $A[1]$
-
- * no vertex that is not in the tree rooted at $A[1]$ can be in the same SCC with $A[1]$
 - * every vertex in the same SCC with $A[1]$ must be in the tree rooted at $A[1]$
 - * exactly those vertices in that tree from which $A[1]$ is reachable are in the same SCC with $A[1]$
 - * if we reverse the edges in G , then these vertices are exactly those reachable in $DFS(A[1])$
 - * In the tree rooted at $A[1]$ there is no white parent with a black child.

```

DFS1( $v$ )
1. color [ $v$ ] = gray;
2. for each edge [ $v, w$ ] do
   if color [ $w$ ] == white
     then DFS1( $w$ )
   else DFS2( $v$ ) // on  $G_{\text{Rev}}$ 
3. color [ $w$ ] = black;  $A[i-1] = v$ 
4. for each edge [ $v, w$ ] do
   if color [ $w$ ] == white
     then DFS2( $w$ )
3. color [ $v$ ] = black

```



Nov-3-2015 G = a directed graph

- * SCC = a max component in which vertices are bi-connected SCC's partition the vertices of G

Problem: given G , construct all SCC's.

- * the DFS trees are constructed from left to right
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- * if we reverse the edges in G , then these vertices are exactly those reachable in $DFS(A[1])$
- * In the tree rooted at $A[1]$ there is no white parent with a black child.