

Abstract Syntax Trees

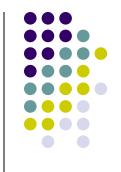
- Our Exp1bytecode language was so straightforward that the best IR was an abstract representation of the instructions
- In more complex languages, especially higher-level languages it usually is not possible to design such a simple IR
- Instead we use Abstract Syntax Trees (ASTs)

Reading

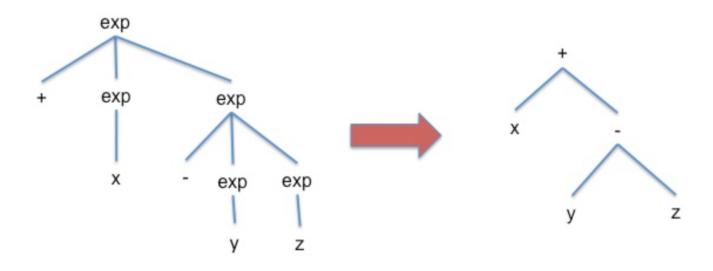
• Chap 5







 One way to think about ASTs is as parse trees with all the derivation information deleted



Parse Tree

Abstract Syntax Tree

Abstract Syntax Trees

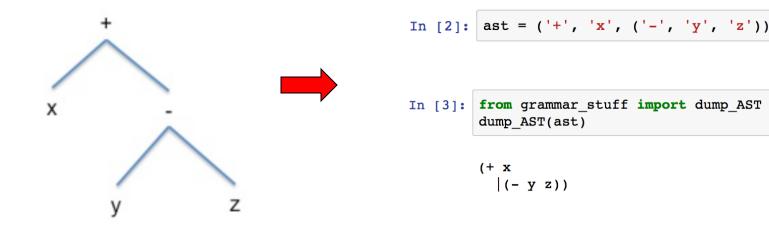


- Because every valid program has a parse tree, it is always possible to construct an AST for every valid input program.
- In this way ASTs are the IR of choice because it doesn't matter how complex the input language, there will always be an AST representation.
- Besides being derived from the parse tree, AST design typically follows three rules of thumb:
 - Dense: no unnecessary nodes
 - Convenient: easy to understand, easy to process
 - Meaningful: emphasize the operators, operands, and the relationship between them; emphasize the computations





- A convenient way to represent AST nodes is with the following structure,
 - (TYPE [, child1, child2,...])
- A tree node is a tuple where the first component represents the type or name of the node followed by zero or more components each representing a child of the current node.
- Consider the abstract syntax tree for + x y x,





- Our next language is a simple high-level language that supports structured programming with 'if' and 'while' statements.
- However, it has no scoping and no explicit variable declarations.

```
program : stmt_list
stmt list : stmt stmt list
          empty
stmt : ID '=' exp opt semi
     GET ID opt semi
     | PUT exp opt semi
     | WHILE '(' exp ')' stmt
      IF '(' exp ')' stmt opt else
      '{' stmt list '}'
opt else : ELSE stmt
         empty
opt semi : ';'
         empty
exp : exp PLUS exp
      exp MINUS exp
      exp TIMES exp
      exp DIVIDE exp
      exp EQ exp
      exp LE exp
      INTEGER
      '(' exp ')'
      MINUS exp %prec UMINUS
      NOT exp
```

```
// list of integers
get x;
while (1 <= x)
{
    put x;
    x = x - 1;
}
```

Infix Expressions!

Precedence & Associativity Table:

cuppa1_gram.py

```
# grammar for Cuppa1
from ply import yacc
from cuppal lex import tokens, lexer
# set precedence and associativity
# NOTE: all arithmetic operator need to have tokens
        so that we can put them into the precedence table
precedence = (
       ('left'. 'EO'. 'LE').
       ('left', 'PLUS', 'MINUS'),
       ('left', 'TIMES', 'DIVIDE'),
       ('right', 'UMINUS', 'NOT')
def p_grammar(_):
    program : stmt list
    stmt_list : stmt stmt_list
       empty
    stmt : ID '=' exp opt semi
           GET ID opt semi
           PUT exp opt_semi
           WHILE '(' exp')' stmt
           IF '(' exp')' stmt opt else
          '{'stmt_list'}'
    opt else : ELSE stmt
       empty
  opt semi: ';'
       empty
```

The Parser Specification

```
exp : exp PLUS exp
         exp MINUS exp
          exp TIMES exp
         exp DIVIDE exp
         exp EQ exp
         exp LE exp
          INTEGER
         '(' exp ')'
          MINUS exp %prec UMINUS
          NOT exp
  pass
def p empty(p):
    'empty :'
  pass
def p error(t):
    print("Syntax error at '%s'" % t.value)
### build the parser
parser = yacc.yacc()
```



cuppa1_lex.py

```
# Lexer for Cuppal
from ply import lex
reserved = {
  'get' : 'GET',
  'put' 'PUT',
  'if' 'IF'
  'else' : 'ELSE',
  'while' : 'WHILE',
  'not' : 'NOT'
literals = [':','=','(',')','{','}']
tokens = [
      'PLUS', 'MINUS', 'TIMES', 'DIVIDE',
      'EO'.'LE'.
      'INTEGER'.'ID'.
     ] + list(reserved.values())
t_PLUS = r' \+'
t MINUS = r'-'
t TIMES = r' \*'
t DIVIDE = r'/'
t EQ = r'=='
t LE = r' \le r'
t ignore = '\t'
```

The Lexer Specification

```
def t ID(t):
  r'[a-zA-Z][a-zA-Z]
    t.type = reserved.get(t.value, 'ID') # Check for reserved words
    return t
def t INTEGER(t):
  r'[0-9]+'
    return t
def t COMMENT(t):
  r'//.*'
  pass
def t NEWLINE(t):
  r'\n'
  pass
def t error(t):
    print("Illegal character %s" % t.value[0])
  t.lexer.skip(1)
# build the lexer
lexer = lex.lex(debug=0)
```

Testing our Parser

```
In [4]: from cuppal_gram import parser
from cuppal_lex import lexer

Generating LALR tables
WARNING: 1 shift/reduce conflict
```

```
In [7]: loop = "while (1) {}"
    parser.parse(loop, lexer=lexer)
```



Notice the shift/reduce conflict!

The error is due to the if-then-else statement with the optional else.

The default action for shift/reduce conflicts is to always **shift**.

That is exactly right for us!

The Cuppa1 Frontend

- A frontend is a parser that
 - Constructs an AST
 - 2. Fills out some rudimentary information in a symbol table

cuppa1_state.py

```
class State:
    def __init__(self):
        self.initialize()

def initialize(self):
        # symbol table to hold variable-value associations
        self.symbol_table = {}

    # when done parsing this variable will hold our AST
        self.AST = None

state = State()
```

We use the State to maintain the program AST and a symbol table.

AST: Statements

cuppa1_frontend_gram.py



```
def p stmt(p):
    stmt : ID '=' exp opt semi
            GET ID opt semi
             PUT exp opt_semi
            WHILE '(' exp')' stmt
            IF '(' exp')' stmt opt else
           '{'stmt list'}
  if p[2] == '=':
    p[0] = (assign, p[1], p[3])
    state.symbol_table[p[1]] = None
  elif p[1] == 'get':
    p[0] = ('qet', p[2])
    state.symbol table[p[2]] = None
  elif p[1] == 'put':
     p[0] = ('put', p[2])
  elif p[1] == 'while':
     p[0] = ('while', p[3], p[5])
  elif p[1] == 'if':
    p[0] = (if', p[3], p[5], p[6])
  elif p[1] == '{':
     p[0] = (block', p[2])
  else:
     raise ValueError("unexpected symbol {}".format(p[1]))
```

```
def p_empty(p):
    'empty :'
    p[0] = ('nil',)
```

```
Consider: stmt : ID '=' exp opt semi
```

Gives rise to the following actions: p[0] = ('assign', p[1], p[3]) state.symbol table[p[1]] = None

AST: Statement Lists & Programs

cuppa1_frontend_gram.py

```
def p_prog(p):
    ""
    program : stmt_list
    ""
    state.AST = p[1]
```

Save the constructed AST in the state!

Statement lists are 'nil' terminated 'seq' terms.

AST: Expressions

This should look familiar, same structure as for the expressions in exp1bytecode language.

```
def p_integer_exp(p):
 exp: INTEGER
p[0] = ('integer', int(p[1]))
def p_id_exp(p):
 exp : ID
p[0] = ('id', p[1])
def p_paren_exp(p):
exp: '(' exp ')'
p[0] = ('paren', p[2])
def p_uminus_exp(p):
 exp: MINUS exp %prec UMINUS
p[0] = ('uminus', p[2])
def p_not_exp(p):
 exp: NOT exp
p[0] = ('not', p[2])
```

Running the Frontend

```
In [16]: from cuppal frontend gram import parser
          from cuppal lex import lexer
          from cuppal state import state
          from grammar stuff import dump AST
In [17]: state.initialize()
         parser.parse("get x; put x", lexer=lexer)
In [18]: dump AST(state.AST)
          (seq
            (get x)
            (seq
               | (put
                  |(id x)|
               (nil)))
In [19]: state.symbol table
Out[19]: {'x': None}
```



Running the Frontend

```
In [20]: state.initialize()
         parser.parse("get x; x = x + 1; put x", lexer=lexer)
In [21]:
         dump AST(state.AST)
         (seq
           (get x)
            (seq
               (assign x
                  (+
                     |(id x)|
                     (integer 1)))
                (seq
                  (put
                     |(id x)|
                  (nil))))
In [22]: state.symbol_table
Out[22]: {'x': None}
```

Running the Frontend







```
In [25]: state.initialize()
         parser.parse("get x; if (0 <= x) put 1", lexer=lexer)</pre>
In [26]: dump_AST(state.AST)
          (seq
            (get x)
             (seq
               (if
                      (integer 0)
                     (id x)
                      (integer 1))
                (nil)))
```





Processing ASTs: Tree Walking

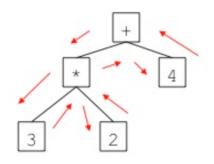


- The recursive structure of trees gives rise to an elegant way of processing trees: tree walking.
- A tree walker typically starts at the root node and traverses the tree in a depth first manner.

Processing ASTs: Tree Walking



Consider the following:



Processing ASTs:

```
def const(node):
    # pattern match the constant node
    (INTEGER, val) = node
    # return the value as an integer value
    return int(val)
def add(node):
    # pattern match the tree node
    (ADD, left, right) = node
    # recursively call the walker on the children
    left val = walk(left)
    right val = walk(right)
    # return the sum of the values of the children
    return left_val + right_val
def mult(node):
    # pattern match the tree node
    (MULT, left, right) = node
    # recursively call the walker on the children
    left val = walk(left)
    right val = walk(right)
    # return the product of the values of the children
    return left_val * right_val
```

A simple tree walker for our expression tree



```
dispatch_dict = {
    '+' : add,
    '*' : mult,
    'integer' : const
}
```

```
def walk(node):
    # first component of any tree node is its type
    t = node[0]

# lookup the function for this node
    node_function = dispatch_dict[t]

# now call this function on our node and capture the return value
    val = node_function(node)

return val
```

Processing ASTs: Tree Walking

A simple tree walker for our expression tree



```
In [34]: ast = ('+', ('*', ('integer', 3), ('integer', 2)), ('integer', 4))
In [35]: from grammar stuff import dump AST
          dump_AST(ast)
            (integer 4))
  In [39]: print(walk(ast))
            10
```

We just interpreted the expression tree!!!

Processing ASTs: Tree Walking Asimple

A simple tree walker for our expression tree



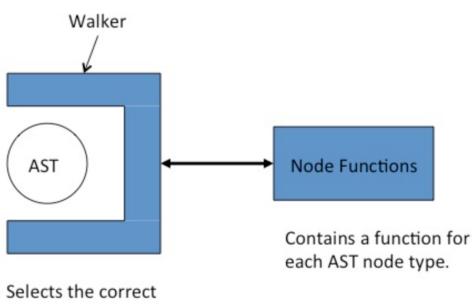
```
def const(node):
    # pattern match the constant node
    (INTEGER, val) = node
    # return the value as an integer value
   return int(val)
def add(node):
    # pattern match the tree node
    (ADD, left, right) = node
    # recursively call the walker on the children
   left val = walk(left)
   right val = walk(right)
    # return the sum of the values of the children
    return left_val + right_val
def mult(node):
    # pattern match the tree node
    (MULT, left, right) = node
    # recursively call the walker on the children
   left val = walk(left)
   right_val = walk(right)
    # return the product of the values of the children
    return left val * right val
```

- Notice that this scheme mimics what we did in the syntax directed interpretation schema,
- But now we interpret an expression tree rather than the implicit tree constructed by the parser.



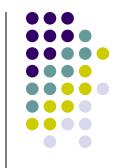


- Tree walkers exist completely separately from the AST.
- Tree walkers plug into the AST and process it using their node functions.

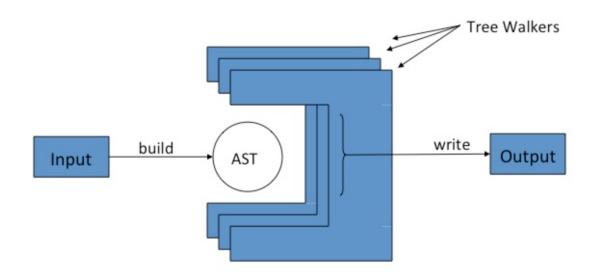


function to dispatch depending on the AST node type.

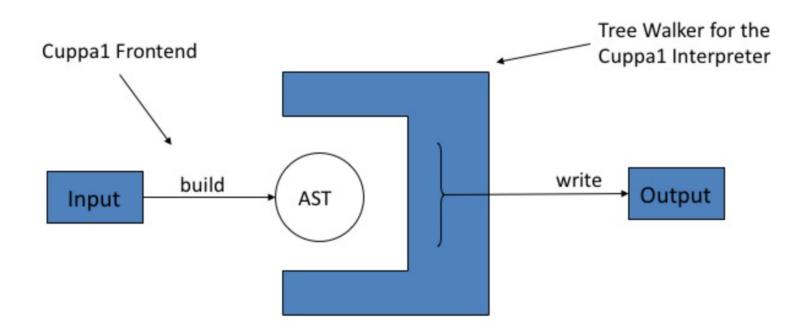
Tree Walkers are Plug'n Play



 There is nothing to prevent us from plugging in multiple walkers during the processing of an AST, each performing a distinct phase of the processing.







```
def walk(node):
    # node format: (TYPE, [child1[, child2[, ...]]])
   type = node[0]
    if type in dispatch dict:
        node function = dispatch_dict[type]
        return node function(node)
    else:
        raise ValueError("walk: unknown tree node type: " + type)
# a dictionary to associate tree nodes with node functions
dispatch dict = {
    'seq'
              : seq,
    'nil'
              : nil,
    'assign' : assign stmt,
              : get stmt,
    'get'
    'put'
              : put stmt,
    'while' : while stmt,
    'if'
              : if stmt,
    'block'
              : block stmt,
    'integer' : integer exp,
    'id'
              : id exp,
    'paren'
              : paren exp,
              : plus_exp,
              : minus exp,
              : times exp,
    1/1
              : divide exp,
              : eq exp,
    '<='
              : le exp,
    'uminus'
              : uminus exp,
              : not exp
    'not'
```

cuppa1 interp walk.py

cuppa1_interp_walk.py

```
def assign_stmt(node):
    (ASSIGN, name, exp) = node
    assert_match(ASSIGN, 'assign')
    value = walk(exp)
    state.symbol_table[name] = value
```

```
def seq(node):
    (SEQ, stmt, stmt_list) = node
    assert_match(SEQ, 'seq')
    walk(stmt)
    walk(stmt_list)
```

```
def while_stmt(node):
    (WHILE, cond, body) = node
    assert_match(WHILE, 'while')

    value = walk(cond)
    while value != 0:
        walk(body)
        value = walk(cond)
```

```
def if stmt(node):
    try: # try the if-then pattern
        (IF, cond, then stmt, (NIL,)) = node
        assert match(IF, 'if')
        assert match(NIL, 'nil')
    except ValueError: # if-then pattern didn't match
        (IF, cond, then stmt, else stmt) = node
        assert match(IF, 'if')
        value = walk(cond)
        if value != 0:
            walk(then stmt)
        else:
            walk(else stmt)
        return
    else: # if-then pattern matched
        value = walk(cond)
        if value != 0:
            walk(then stmt)
```

return

```
def plus_exp(node):
    (PLUS,c1,c2) = node
    assert_match(PLUS, '+')

v1 = walk(c1)
 v2 = walk(c2)

return v1 + v2
```

```
from argparse import ArgumentParser
from cuppal lex import lexer
from cuppal frontend gram import parser
from cuppal state import state
from cuppal interp walk import walk
def interp(input stream):
    # initialize the state object
    state.initialize()
    # build the AST
   parser.parse(input stream, lexer=lexer)
    # walk the AST
   walk(state.AST)
if name == " main ":
    # parse command line args
    aparser = ArgumentParser()
    aparser.add argument('input')
    args = vars(aparser.parse args())
   f = open(args['input'], 'r')
   input stream = f.read()
   f.close()
    # execute interpreter
    interp(input stream=input stream)
```

cuppa1 interp.py

```
In [49]: interp("get x; x = x + 1; put x")
         Value for x? 3
         > 4
In [50]: from cuppal examples import *
In [51]: print(list)
         // list of integers
         get x
         while (1 \le x)
             put x;
             x = x + - 1;
             i = x
In [52]: interp(list)
         Value for x? 5
         > 1
```

A Pretty Printer with a Twist



- Our pretty printer will do the following things:
 - It will read the Cuppa1 programs and construct an AST
 - It will compute whether a particular variable is used in the program
 - It will output a pretty printed version of the input script but will flag assignment/get statements to variables which are not used in the program

[→] This cannot be accomplished in a syntax directed manner – therefore we need the AST

PrettyPrinting the Language

```
program : stmt list
stmt list : stmt stmt list
          empty
stmt : ID '=' exp opt semi
      GET ID opt semi
      PUT exp opt semi
      WHILE '(' exp ')' stmt
      IF '(' exp ')' stmt opt else
      '{' stmt list '}'
opt else : ELSE stmt
          empty
opt semi : ';'
          empty
exp : exp PLUS exp
      exp MINUS exp
      exp TIMES exp
      exp DIVIDE exp
      exp EQ exp
      exp LE exp
      INTEGER
      '(' exp ')'
      MINUS exp %prec UMINUS
      NOT exp
```

```
// list of integers
get x;
i = x;
while (1 <= x) {
    put x;
    x = x - 1;
}
```



```
get x
i = x // -- var i unused --
while ( 1 <= x )
{
    put x
    x = x - 1
}</pre>
```

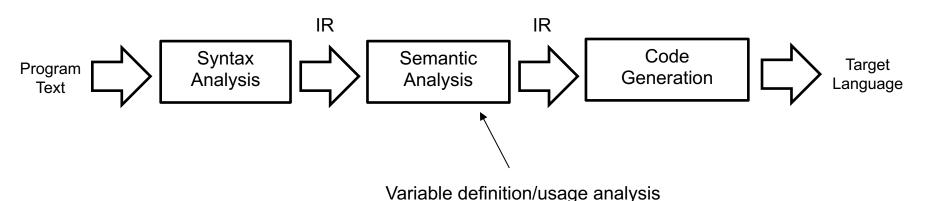
We need an IR because usage will always occur after definition – cannot be handled by a syntax directed pretty printer.



The Pretty Printer is a Translator!

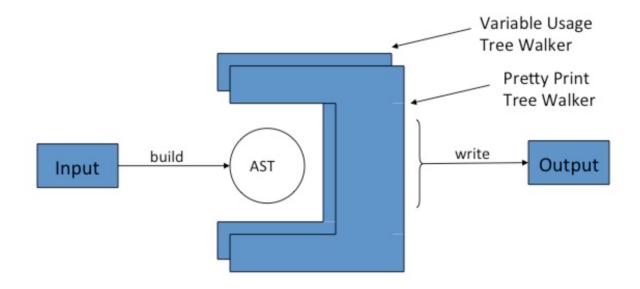


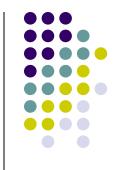
- The Pretty Printer with a Twist fits neatly into our translator class
 - Read input file and construct AST/Collect info
 - Generate output code, flagging unused assignments











- The first pass of the pretty printer walks the AST and looks for variables in expressions
 - only those count as usage points.
- A peek at the tree walker for the first pass, cuppa1_pp1_walk.py shows that it literally just walks the tree doing nothing until it finds a variable in an expression.
- If it finds a variable in an expression then the node function for id_exp marks the variable in the symbol table as used,

```
def assign_stmt(node):
    (ASSIGN, name, exp) = node
    assert_match(ASSIGN, 'assign')
    walk(exp)
```

```
def binop_exp(node):
    (OP, c1, c2) = node
    if OP not in ['+', '-', '*', '/', '==', '<=']:
        raise ValueError("pattern match failed on " + OP)
    walk(c1)
    walk(c2)</pre>
```





But...

```
def id_exp(node):
    (ID, name) = node
    assert_match(ID, 'id')

# we found a use scenario of a variable, if the variable is defined
# set it to true
    if name in state.symbol_table:
        state.symbol_table[name] = True
```





- Recall that when the frontend finds a definition of a variable as an
 - assignment statement or a
 - get statement
- it enters the variable into the symbol table and initializes it with None.





```
In [86]: from cuppal_frontend_gram import parser
    from cuppal_lex import lexer
    from cuppal_ppl_walk import walk as ppl_walk
    from cuppal_state import state
    state.initialize()

In [87]: parser.parse("get x", lexer=lexer)

In [88]: ppl_walk(state.AST)

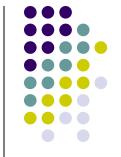
In [89]: state.symbol_table

Out[89]: {'x': None}
```

Testing the tree walker

```
In [90]: state.initialize()
In [91]: parser.parse("get x; put x", lexer=lexer)
In [92]: pp1_walk(state.AST)
In [93]: state.symbol_table
Out[93]: {'x': True}
```





 The tree walker for the second pass walks the AST and compiles a formatted string that represents the pretty printed program.

```
def seq(node):
    (SEQ, s1, s2) = node
    assert_match(SEQ, 'seq')

    stmt = walk(s1)
    list = walk(s2)

    return stmt + list
```

Concatenate the string for stmt with the string from the rest of the Seq list.

Recall that programs are nil terminated Seq lists of statements:



```
def assign_stmt(node):
    (ASSIGN, name, exp) = node
    assert_match(ASSIGN, 'assign')
    exp_code = walk(exp)
    code = indent() + name + ' = ' + exp_code
    if not state.symbol_table[name]:
        code += ' // *** '+ name + ' is not used ***'
    code += '\n'
    return code
```

```
def binop_exp(node):
    (OP, c1, c2) = node
    if OP not in ['+', '-', '*', '/', '==', '<=']:
        raise ValueError("pattern match failed on " + OP)

lcode = walk(c1)
    rcode = walk(c2)

code = lcode + ' ' + OP + ' ' + rcode

return code</pre>
```

```
def while_stmt(node):
    global indent_level

    (WHILE, cond, body) = node
    assert_match(WHILE, 'while')

    cond_code = walk(cond)

    indent_level += 1
    body_code = walk(body)
    indent_level -= 1

    code = indent() + 'while (' + cond_code + ')\n' + body_code
    return code
```

Indent() and indent_level keep track of the code indentation for formatting purposes.

Top Level Function of PP

```
#!/usr/bin/env pvthon
# Cuppa1 pretty printer
from sys import stdin
from cuppa1_frontend_gram import parser
from cuppa1 lex import lexer
from cuppa1_state import state
from cuppa1_pp1_walk import walk as pp1_walk
from cuppa1_pp2_walk import walk as pp2_walk
from cuppa1_pp2_walk import init_indent_level
def pp(input stream = None):
   # if no input stream was given read from stdin
   if not input stream:
        input_stream = stdin.read()
   # initialize the state object and indent level
   state.initialize()
   init_indent_level()
    # build the AST
   parser.parse(input_stream, lexer=lexer)
    # walk the AST
   pp1_walk(state.AST)
   code = pp2_walk(state.AST)
   # output the pretty printed code
   print(code)
if __name__ == "__main__":
   # execute only if run as a script
    ( ) gg
```

Top level function

The Cuppa1 PP



Testing the pretty printer

```
In [79]: from cuppal_pp import pp
In [80]: pp("get x; while (1 <= x) { put x; x = x + - 1; i = x }")

get x
while (1 <= x)
{
    put x
    x = x + -1
    i = x // *** i is not used ***
}</pre>
```

Assignment

- Chap 5
- Assignment #5 see webpage.

