

### **Abstract Syntax Trees**

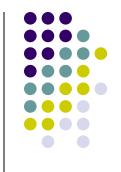
- Our Exp1bytecode language was so straightforward that the best IR was an abstract representation of the instructions
- In more complex languages, especially higher-level languages it usually is not possible to design such a simple IR
- Instead we use Abstract Syntax Trees (ASTs)

### Reading

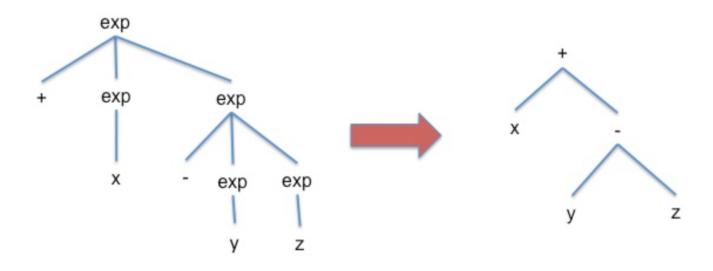
• Chap 5







 One way to think about ASTs is as parse trees with all the derivation information deleted



Parse Tree

Abstract Syntax Tree

### **Abstract Syntax Trees**

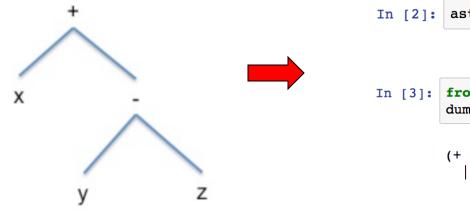


- Because every valid program has a parse tree, it is always possible to construct an AST for every valid input program.
- In this way ASTs are the IR of choice because it doesn't matter how complex the input language, there will always be an AST representation.
- Besides being derived from the parse tree, AST design typically follows three rules of thumb:
  - Dense: no unnecessary nodes
  - Convenient: easy to understand, easy to process
  - *Meaningful*: emphasize the operators, operands, and the relationship between them; emphasize the computations





- A convenient way to represent AST nodes is with the following structure,
  - (TYPE [, child1, child2,...])
- A tree node is a tuple where the first component represents the type or name of the node followed by zero or more components each representing a child of the current node.
- Consider the abstract syntax tree for + x y x,





- Our next language is a simple high-level language that supports structured programming with 'if' and 'while' statements.
- However, it has no scoping and no explicit variable declarations.

```
program : stmt_list
stmt list : stmt stmt list
          empty
stmt : ID '=' exp opt semi
     GET ID opt semi
     | PUT exp opt semi
     | WHILE '(' exp ')' stmt
      IF '(' exp ')' stmt opt else
      '{' stmt list '}'
opt else : ELSE stmt
         empty
opt semi : ';'
         empty
exp : exp PLUS exp
      exp MINUS exp
      exp TIMES exp
      exp DIVIDE exp
      exp EQ exp
      exp LE exp
      INTEGER
      '(' exp ')'
      MINUS exp %prec UMINUS
      NOT exp
```

```
// list of integers
get x;
while (1 <= x)
{
    put x;
    x = x - 1;
}
```

Infix Expressions!

Precedence & Associativity Table:

cuppa1\_gram.py

```
# grammar for Cuppa1
from ply import yacc
from cuppal lex import tokens, lexer
# set precedence and associativity
# NOTE: all arithmetic operator need to have tokens
        so that we can put them into the precedence table
precedence = (
       ('left'. 'EO'. 'LE').
       ('left', 'PLUS', 'MINUS'),
       ('left', 'TIMES', 'DIVIDE'),
       ('right', 'UMINUS', 'NOT')
def p_grammar(_):
    program : stmt list
    stmt_list : stmt stmt_list
       empty
    stmt : ID '=' exp opt semi
           GET ID opt semi
           PUT exp opt_semi
           WHILE '(' exp')' stmt
           IF '(' exp')' stmt opt else
          '{'stmt_list'}'
    opt else : ELSE stmt
       empty
  opt semi: ';'
       empty
```

#### The Parser Specification

```
exp : exp PLUS exp
         exp MINUS exp
          exp TIMES exp
         exp DIVIDE exp
         exp EQ exp
         exp LE exp
          INTEGER
         '(' exp ')'
          MINUS exp %prec UMINUS
          NOT exp
  pass
def p empty(p):
    'empty :'
  pass
def p error(t):
    print("Syntax error at '%s'" % t.value)
### build the parser
parser = yacc.yacc()
```



cuppa1\_lex.py

```
# Lexer for Cuppal
from ply import lex
reserved = {
  'get' : 'GET',
  'put' 'PUT',
  'if' 'IF'
  'else' : 'ELSE',
  'while' : 'WHILE',
  'not' : 'NOT'
literals = [':','=','(',')','{','}']
tokens = [
      'PLUS', 'MINUS', 'TIMES', 'DIVIDE',
      'EO'.'LE'.
      'INTEGER'.'ID'.
      ] + list(reserved.values())
t_PLUS = r' \+'
t MINUS = \mathbf{r}'-'
t TIMES = r' \*'
t DIVIDE = r'/'
t EQ = r'=='
t LE = r' \le r'
t ignore = '\t'
```

The Lexer Specification

```
def t ID(t):
  r'[a-zA-Z][a-zA-Z]
    t.type = reserved.get(t.value, 'ID') # Check for reserved words
    return t
def t INTEGER(t):
  r'[0-9]+'
    return t
def t COMMENT(t):
  r'//.*'
  pass
def t NEWLINE(t):
  r'\n'
  pass
def t error(t):
    print("Illegal character %s" % t.value[0])
  t.lexer.skip(1)
# build the lexer
lexer = lex.lex(debug=0)
```

### **Testing our Parser**

```
In [4]: from cuppal_gram import parser
from cuppal_lex import lexer

Generating LALR tables
WARNING: 1 shift/reduce conflict
```

```
In [7]: loop = "while (1) {}"
    parser.parse(loop, lexer=lexer)
```



Notice the shift/reduce conflict!

The error is due to the if-then-else statement with the optional else.

The default action for shift/reduce conflicts is to always **shift**.

That is exactly right for us!

### **The Cuppa1 Frontend**

- A frontend is a parser that
  - Constructs an AST
  - 2. Fills out some rudimentary information in a symbol table

cuppa1\_state.py

```
class State:
    def __init__(self):
        self.initialize()

def initialize(self):
        # symbol table to hold variable-value associations
        self.symbol_table = {}

    # when done parsing this variable will hold our AST
        self.AST = None

state = State()
```

We use the State to maintain the program AST and a symbol table.

#### **AST: Statements**

cuppa1\_frontend\_gram.py



```
def p stmt(p):
    stmt : ID '=' exp opt semi
            GET ID opt semi
             PUT exp opt_semi
            WHILE '(' exp')' stmt
            IF '(' exp')' stmt opt else
           '{'stmt list'}
  if p[2] == '=':
    p[0] = (assign, p[1], p[3])
    state.symbol_table[p[1]] = None
  elif p[1] == 'get':
    p[0] = ('qet', p[2])
    state.symbol table[p[2]] = None
  elif p[1] == 'put':
     p[0] = ('put', p[2])
  elif p[1] == 'while':
     p[0] = ('while', p[3], p[5])
  elif p[1] == 'if':
    p[0] = (if', p[3], p[5], p[6])
  elif p[1] == '{':
     p[0] = (block', p[2])
  else:
     raise ValueError("unexpected symbol {}".format(p[1]))
```

```
def p_empty(p):
    'empty :'
    p[0] = ('nil',)
```

```
Consider: stmt : ID '=' exp opt semi
```

Gives rise to the following actions: p[0] = ('assign', p[1], p[3]) state.symbol table[p[1]] = None

## AST: Statement Lists & Programs

cuppa1\_frontend\_gram.py

```
def p_prog(p):
    ""
    program : stmt_list
    ""
    state.AST = p[1]
```

Save the constructed AST in the state!

Statement lists are 'nil' terminated 'seq' terms.

### **AST: Expressions**

This should look familiar, same structure as for the expressions in exp1bytecode language.

```
def p_integer_exp(p):
 exp: INTEGER
p[0] = ('integer', int(p[1]))
def p_id_exp(p):
 exp : ID
p[0] = ('id', p[1])
def p_paren_exp(p):
exp: '(' exp ')'
p[0] = ('paren', p[2])
def p_uminus_exp(p):
 exp: MINUS exp %prec UMINUS
p[0] = ('uminus', p[2])
def p_not_exp(p):
 exp: NOT exp
p[0] = ('not', p[2])
```

### Running the Frontend

```
In [16]: from cuppal frontend gram import parser
          from cuppal lex import lexer
          from cuppal state import state
          from grammar stuff import dump AST
In [17]: state.initialize()
         parser.parse("get x; put x", lexer=lexer)
In [18]: dump AST(state.AST)
          (seq
            (get x)
            (seq
               | (put
                  |(id x)|
               (nil)))
In [19]: state.symbol table
Out[19]: {'x': None}
```



### Running the Frontend

```
In [20]: state.initialize()
         parser.parse("get x; x = x + 1; put x", lexer=lexer)
In [21]:
         dump AST(state.AST)
         (seq
           (get x)
            (seq
               (assign x
                  (+
                     |(id x)|
                     (integer 1)))
                (seq
                  (put
                     |(id x)|
                  (nil))))
In [22]: state.symbol_table
Out[22]: {'x': None}
```

### Running the Frontend







```
In [25]: state.initialize()
         parser.parse("get x; if (0 <= x) put 1", lexer=lexer)</pre>
In [26]: dump_AST(state.AST)
          (seq
            (get x)
             (seq
               (if
                      (integer 0)
                     (id x)
                      (integer 1))
                (nil)))
```





# Processing ASTs: Tree Walking

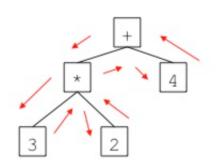


- The recursive structure of trees gives rise to an elegant way of processing trees: tree walking.
- A tree walker typically starts at the root node and traverses the tree in a depth first manner.

# Processing ASTs: Tree Walking



Consider the following:



### **Processing ASTs:**

```
def const(node):
    # pattern match the constant node
    (INTEGER, val) = node
    # return the value as an integer value
    return int(val)
def add(node):
    # pattern match the tree node
    (ADD, left, right) = node
    # recursively call the walker on the children
    left val = walk(left)
    right val = walk(right)
    # return the sum of the values of the children
    return left_val + right_val
def mult(node):
    # pattern match the tree node
    (MULT, left, right) = node
    # recursively call the walker on the children
    left val = walk(left)
    right val = walk(right)
    # return the product of the values of the children
    return left_val * right_val
```

A simple tree walker for our expression tree



```
dispatch_dict = {
    '+' : add,
    '*' : mult,
    'integer' : const
}
```

```
def walk(node):
    # first component of any tree node is its type
    t = node[0]

# lookup the function for this node
    node_function = dispatch_dict[t]

# now call this function on our node and capture the return value
    val = node_function(node)

return val
```

## Processing ASTs: Tree Walking

A simple tree walker for our expression tree



```
In [34]: ast = ('+', ('*', ('integer', 3), ('integer', 2)), ('integer', 4))
In [35]: from grammar stuff import dump AST
          dump_AST(ast)
            (integer 4))
  In [39]: print(walk(ast))
            10
```

We just interpreted the expression tree!!!

### Processing ASTs: Tree Walking Asimple

A simple tree walker for our expression tree



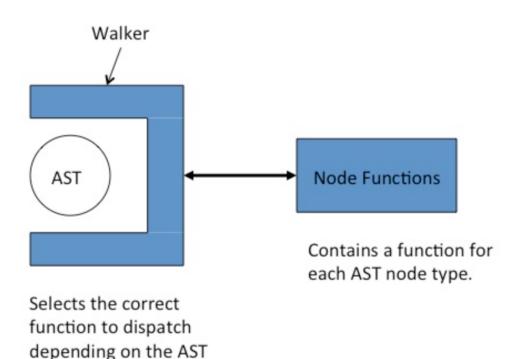
```
def const(node):
    # pattern match the constant node
    (INTEGER, val) = node
    # return the value as an integer value
   return int(val)
def add(node):
    # pattern match the tree node
    (ADD, left, right) = node
    # recursively call the walker on the children
   left val = walk(left)
   right val = walk(right)
    # return the sum of the values of the children
    return left_val + right_val
def mult(node):
    # pattern match the tree node
    (MULT, left, right) = node
    # recursively call the walker on the children
   left val = walk(left)
   right_val = walk(right)
    # return the product of the values of the children
    return left val * right val
```

- Notice that this scheme mimics what we did in the syntax directed interpretation schema,
- But now we interpret an expression tree rather than the implicit tree constructed by the parser.



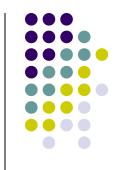


- Tree walkers exist completely separately from the AST.
- Tree walkers plug into the AST and process it using their node functions.

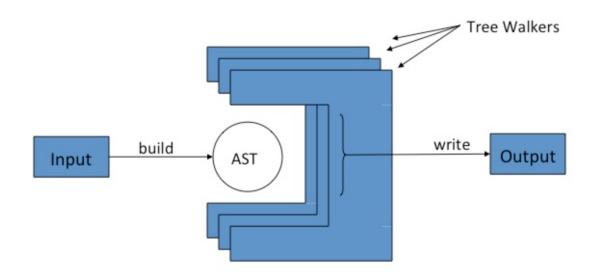


node type.

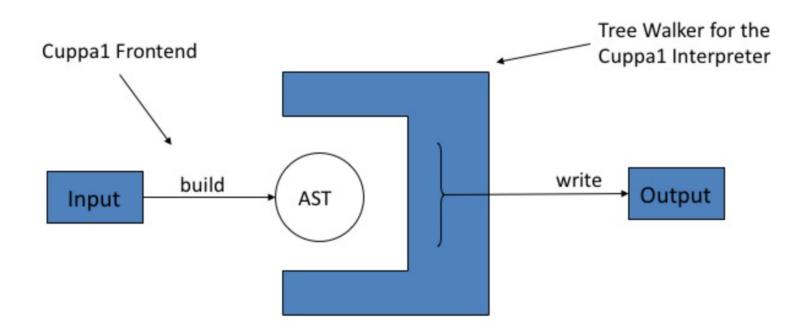
### Tree Walkers are Plug'n Play



 There is nothing to prevent us from plugging in multiple walkers during the processing of an AST, each performing a distinct phase of the processing.







```
def walk(node):
    # node format: (TYPE, [child1[, child2[, ...]]])
   type = node[0]
    if type in dispatch dict:
        node function = dispatch_dict[type]
        return node function(node)
    else:
        raise ValueError("walk: unknown tree node type: " + type)
# a dictionary to associate tree nodes with node functions
dispatch dict = {
    'seq'
              : seq,
    'nil'
              : nil,
    'assign' : assign stmt,
              : get stmt,
    'get'
    'put'
              : put stmt,
    'while' : while stmt,
    'if'
              : if stmt,
    'block'
              : block stmt,
    'integer' : integer exp,
    'id'
              : id exp,
    'paren'
              : paren exp,
              : plus_exp,
              : minus exp,
              : times exp,
    1/1
              : divide exp,
              : eq exp,
    '<='
              : le exp,
    'uminus'
              : uminus exp,
              : not exp
    'not'
```

cuppa1 interp walk.py

cuppa1\_interp\_walk.py

```
def assign_stmt(node):
    (ASSIGN, name, exp) = node
    assert_match(ASSIGN, 'assign')
    value = walk(exp)
    state.symbol_table[name] = value
```

```
def seq(node):
    (SEQ, stmt, stmt_list) = node
    assert_match(SEQ, 'seq')
    walk(stmt)
    walk(stmt_list)
```

```
def while_stmt(node):
    (WHILE, cond, body) = node
    assert_match(WHILE, 'while')

    value = walk(cond)
    while value != 0:
        walk(body)
        value = walk(cond)
```

```
def if stmt(node):
    try: # try the if-then pattern
        (IF, cond, then stmt, (NIL,)) = node
        assert match(IF, 'if')
        assert match(NIL, 'nil')
    except ValueError: # if-then pattern didn't match
        (IF, cond, then stmt, else stmt) = node
        assert match(IF, 'if')
        value = walk(cond)
        if value != 0:
            walk(then stmt)
        else:
            walk(else stmt)
        return
    else: # if-then pattern matched
        value = walk(cond)
        if value != 0:
            walk(then stmt)
```

return

```
def plus_exp(node):
    (PLUS,c1,c2) = node
    assert_match(PLUS, '+')

v1 = walk(c1)
 v2 = walk(c2)

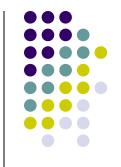
return v1 + v2
```

```
from argparse import ArgumentParser
from cuppal lex import lexer
from cuppal frontend gram import parser
from cuppal state import state
from cuppal interp walk import walk
def interp(input stream):
    # initialize the state object
    state.initialize()
    # build the AST
   parser.parse(input stream, lexer=lexer)
    # walk the AST
   walk(state.AST)
if name == " main ":
    # parse command line args
    aparser = ArgumentParser()
    aparser.add argument('input')
    args = vars(aparser.parse args())
   f = open(args['input'], 'r')
   input stream = f.read()
   f.close()
    # execute interpreter
    interp(input stream=input stream)
```

cuppa1 interp.py

```
In [49]: interp("get x; x = x + 1; put x")
         Value for x? 3
         > 4
In [50]: from cuppal examples import *
In [51]: print(list)
         // list of integers
         get x
         while (1 \le x)
             put x;
             x = x + - 1;
             i = x
In [52]: interp(list)
         Value for x? 5
         > 1
```

### A Pretty Printer with a Twist



- Our pretty printer will do the following things:
  - It will read the Cuppa1 programs and construct an AST
  - It will compute whether a particular variable is used in the program
  - It will output a pretty printed version of the input script but will flag assignment/get statements to variables which are not used in the program

<sup>→</sup> This cannot be accomplished in a syntax directed manner – therefore we need the AST

### PrettyPrinting the Language

```
program : stmt list
stmt list : stmt stmt list
          empty
stmt : ID '=' exp opt semi
      GET ID opt semi
      PUT exp opt semi
      WHILE '(' exp ')' stmt
      IF '(' exp ')' stmt opt else
      '{' stmt list '}'
opt else : ELSE stmt
          empty
opt semi : ';'
          empty
exp : exp PLUS exp
      exp MINUS exp
      exp TIMES exp
      exp DIVIDE exp
      exp EQ exp
      exp LE exp
      INTEGER
      '(' exp ')'
      MINUS exp %prec UMINUS
      NOT exp
```

```
// list of integers
get x;
i = x;
while (1 <= x) {
    put x;
    x = x - 1;
}
```



```
get x
i = x // -- var i unused --
while ( 1 <= x )
{
    put x
    x = x - 1
}</pre>
```

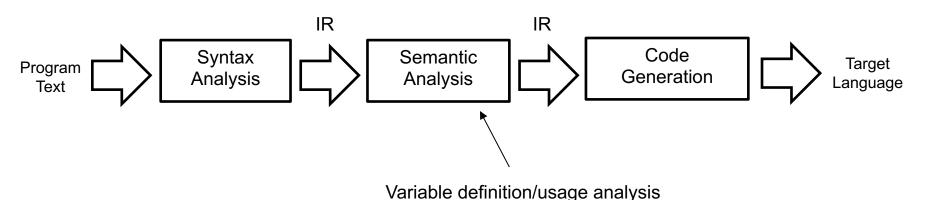
We need an IR because usage will always occur after definition – cannot be handled by a syntax directed pretty printer.



### The Pretty Printer is a Translator!

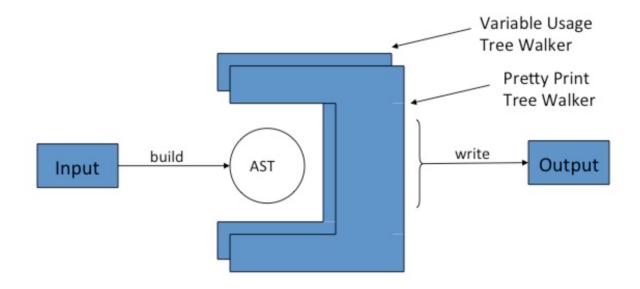


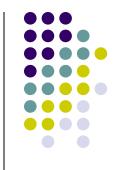
- The Pretty Printer with a Twist fits neatly into our translator class
  - Read input file and construct AST/Collect info
  - Generate output code, flagging unused assignments











- The first pass of the pretty printer walks the AST and looks for variables in expressions
  - only those count as usage points.
- A peek at the tree walker for the first pass, cuppa1\_pp1\_walk.py shows that it literally just walks the tree doing nothing until it finds a variable in an expression.
- If it finds a variable in an expression then the node function for id\_exp marks the variable in the symbol table as used,

```
def assign_stmt(node):
    (ASSIGN, name, exp) = node
    assert_match(ASSIGN, 'assign')
    walk(exp)
```

```
def binop_exp(node):
    (OP, c1, c2) = node
    if OP not in ['+', '-', '*', '/', '==', '<=']:
        raise ValueError("pattern match failed on " + OP)
    walk(c1)
    walk(c2)</pre>
```





#### But...

```
def id_exp(node):
    (ID, name) = node
    assert_match(ID, 'id')

# we found a use scenario of a variable, if the variable is defined
# set it to true
if name in state.symbol_table:
    state.symbol_table[name] = True
```





- Recall that when the frontend finds a definition of a variable as an
  - assignment statement or a
  - get statement
- it enters the variable into the symbol table and initializes it with None.





```
In [86]: from cuppal_frontend_gram import parser
    from cuppal_lex import lexer
    from cuppal_ppl_walk import walk as ppl_walk
    from cuppal_state import state
    state.initialize()

In [87]: parser.parse("get x", lexer=lexer)

In [88]: ppl_walk(state.AST)

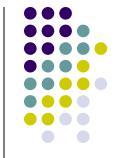
In [89]: state.symbol_table

Out[89]: {'x': None}
```

Testing the tree walker

```
In [90]: state.initialize()
In [91]: parser.parse("get x; put x", lexer=lexer)
In [92]: pp1_walk(state.AST)
In [93]: state.symbol_table
Out[93]: {'x': True}
```





 The tree walker for the second pass walks the AST and compiles a formatted string that represents the pretty printed program.

```
def seq(node):
    (SEQ, s1, s2) = node
    assert_match(SEQ, 'seq')

    stmt = walk(s1)
    list = walk(s2)

    return stmt + list
```

Concatenate the string for stmt with the string from the rest of the Seq list.

Recall that programs are nil terminated Seq lists of statements:



```
def assign_stmt(node):
    (ASSIGN, name, exp) = node
    assert_match(ASSIGN, 'assign')
    exp_code = walk(exp)
    code = indent() + name + ' = ' + exp_code
    if not state.symbol_table[name]:
        code += ' // *** '+ name + ' is not used ***'
    code += '\n'
    return code
```

```
def while_stmt(node):
    global indent_level

    (WHILE, cond, body) = node
    assert_match(WHILE, 'while')

    cond_code = walk(cond)

    indent_level += 1
    body_code = walk(body)
    indent_level -= 1

    code = indent() + 'while (' + cond_code + ')\n' + body_code
    return code
```

```
def binop_exp(node):
    (OP, c1, c2) = node
    if OP not in ['+', '-', '*', '/', '==', '<=']:
        raise ValueError("pattern match failed on " + OP)

lcode = walk(c1)
rcode = walk(c2)

code = lcode + ' ' + OP + ' ' + rcode
return code</pre>
```

Indent() and indent\_level keep track of the code indentation for formatting purposes.

#### **Top Level Function of PP**

```
#!/usr/bin/env pvthon
# Cuppa1 pretty printer
from sys import stdin
from cuppa1_frontend_gram import parser
from cuppa1 lex import lexer
from cuppa1_state import state
from cuppa1_pp1_walk import walk as pp1_walk
from cuppa1_pp2_walk import walk as pp2_walk
from cuppa1_pp2_walk import init_indent_level
def pp(input stream = None):
   # if no input stream was given read from stdin
   if not input stream:
        input_stream = stdin.read()
   # initialize the state object and indent level
   state.initialize()
   init_indent_level()
    # build the AST
   parser.parse(input_stream, lexer=lexer)
    # walk the AST
   pp1_walk(state.AST)
   code = pp2_walk(state.AST)
   # output the pretty printed code
   print(code)
if __name__ == "__main__":
   # execute only if run as a script
    ( ) gg
```

Top level function

### The Cuppa1 PP



Testing the pretty printer

```
In [79]: from cuppal_pp import pp
In [80]: pp("get x; while (1 <= x) { put x; x = x + - 1; i = x }")

get x
while (1 <= x)
{
    put x
    x = x + -1
    i = x // *** i is not used ***
}</pre>
```

### **Assignment**

- Chap 5
- Midterm see webpage.

