



# An Optimizing Compiler

- The big difference between interpreters and compilers is that compilers have the ability to think about how to translate a source program into target code in the most effective way.
- Usually that means trying to translate the program in such a way that it executes as fast as possible on the target machine.
- This usually implies either one or both of the following tasks:
  - Rewrite the AST so that it represents a more efficient program – Tree Rewriting
  - Reorganize the generated instructions so that they represent the most efficient target program possible
- This is referred to as *Optimization*.
- There are many optimization techniques available to compilers in addition to the two mentioned above:
  - Register allocation, loop optimization, common subexpression elimination, dead code elimination, *etc*



# An Optimizing Compiler

- In our optimizing compiler we study:
  - Tree rewriting in the context of *constant folding*, and
  - Target code optimization in the context of *peephole optimization*.



# Tree Rewriting

- So far our applications only have looked at the AST as an immutable data structure
  - Bytecode interpreter used it to execute instructions
  - The Cuppa1 interpreter used it as an abstract representation of the original program
  - PrettyPrinter used it to regenerate programs
- But there are many cases where we actually want to transform the AST
  - Consider constant folding



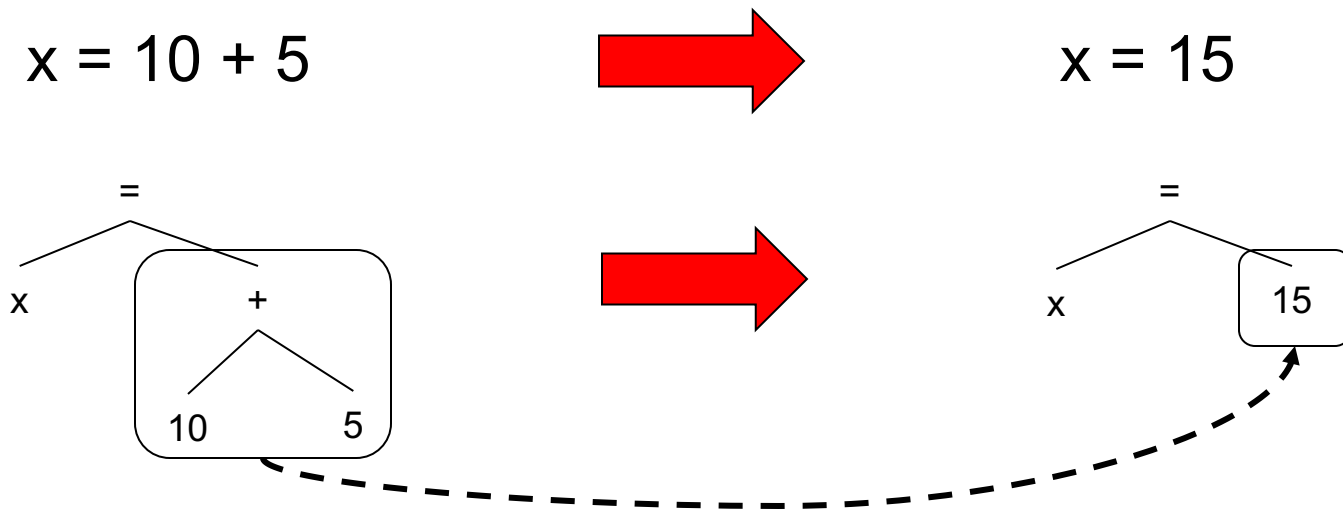
# Constant Folding

- Constant folding is an optimization that tries to find arithmetic operations in the source program that can be performed at *compile time* rather than runtime.



# Constant Folding

- In constant folding we look at the operations in arithmetic expressions and if the operands are constants then we perform the operation and replace the AST with a result node.





# Constant Folding

- One way to view constant folding is as a AST rewriting.
- Here the AST for the expression  $10 + 5$  is replaced by an AST node for the constant 15.
- In order to accomplish this we need to walk the AST for a Cuppa1 program and look for patterns that allow us to rewrite the tree.
- This is very similar to code generation tree walker where we walked the tree and looked for AST patterns that we could translate into Exp1bytecode.
- The big difference being that in the constant folder we will be *returning the rewritten tree from the tree walker* rather than bytecode as in the code generator.



# Constant Folding

Consider:

```
In [45]: from grammar_stuff import assert_match, dump_AST
         from cuppa1_cc_fold import *
```

```
In [46]: # %load -s plus_exp code/cuppa1_cc_fold.py
         def plus_exp(node):

             (OP, c1, c2) = node
             assert_match(OP, '+')

             ltree = walk(c1)
             rtree = walk(c2)

             # if the children are constants -- fold!
             if ltree[0] == 'integer' and rtree[0] == 'integer':
                 return ('integer', ltree[1] + rtree[1])

             else:
                 return ('+', ltree, rtree)
```

cuppa1\_cc\_fold.py

```
In [47]: plus_node = ('+', ('integer', 10), ('integer', 1))
         dump_AST(plus_node)
```

```
(+
 | (integer 10)
 | (integer 1))
```

```
In [48]: plus_exp(plus_node)
```

```
Out[48]: ('integer', 11)
```



# Constant Folding

Consider:

```
# %load -s eq_exp code/cuppa1_cc_fold.py
def eq_exp(node):

    (OP, c1, c2) = node
    assert_match(OP, '==')

    ltree = walk(c1)
    rtree = walk(c2)

    # if the children are constants -- fold!
    if ltree[0] == 'integer' and rtree[0] == 'integer':
        return ('integer', 1 if ltree[1] == rtree[1] else 0)

    else:
        return ('==', ltree, rtree)
```

cuppa1\_cc\_fold.py

```
def seq(node):

    (SEQ, s1, s2) = node
    assert_match(SEQ, 'seq')

    stmt_tree = walk(s1)
    list_tree = walk(s2)

    return ('seq', stmt_tree, list_tree)
```

```
def assign_stmt(node):

    (ASSIGN, name, exp) = node
    assert_match(ASSIGN, 'assign')

    exp_tree = walk(exp)

    return ('assign', name, exp_tree)
```

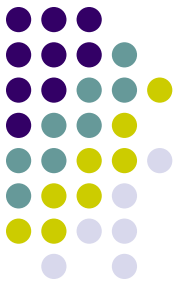


# Constant Folding

Consider:

```
#####  
# walk  
#####  
def walk(node):  
    node_type = node[0]  
  
    if node_type in dispatch_dict:  
        node_function = dispatch_dict[node_type]  
        return node_function(node)  
  
    else:  
        raise ValueError("walk: unknown tree node type: " + node_type)  
  
# a dictionary to associate tree nodes with node functions  
dispatch_dict = {  
    'seq' : seq,  
    'nil' : nil,  
    'assign' : assign_stmt,  
    'get' : get_stmt,  
    'put' : put_stmt,  
    'while' : while_stmt,  
    'if' : if_stmt,  
    'block' : block_stmt,  
    'integer' : integer_exp,  
    'id' : id_exp,  
    'uminus' : uminus_exp,  
    'not' : not_exp,  
    'paren' : paren_exp,  
    '+' : plus_exp,  
    '-' : minus_exp,  
    '*' : mult_exp,  
    '/' : div_exp,  
    '==' : eq_exp,  
    '<=' : le_exp  
}
```

cuppa1\_cc\_fold.py





# Constant Folding

Let's try our walker on our assignment statement example to see if it does what we claim it does,

```
In [50]: stmt = ('assign', 'x', ('+', ('integer', 10), ('integer', 5)))
         dump_AST(stmt)
```

```
(assign x
  | (+
    | | (integer 10)
    | | (integer 5)))
```

```
In [51]: from cuppal_cc_fold import walk as fold
```

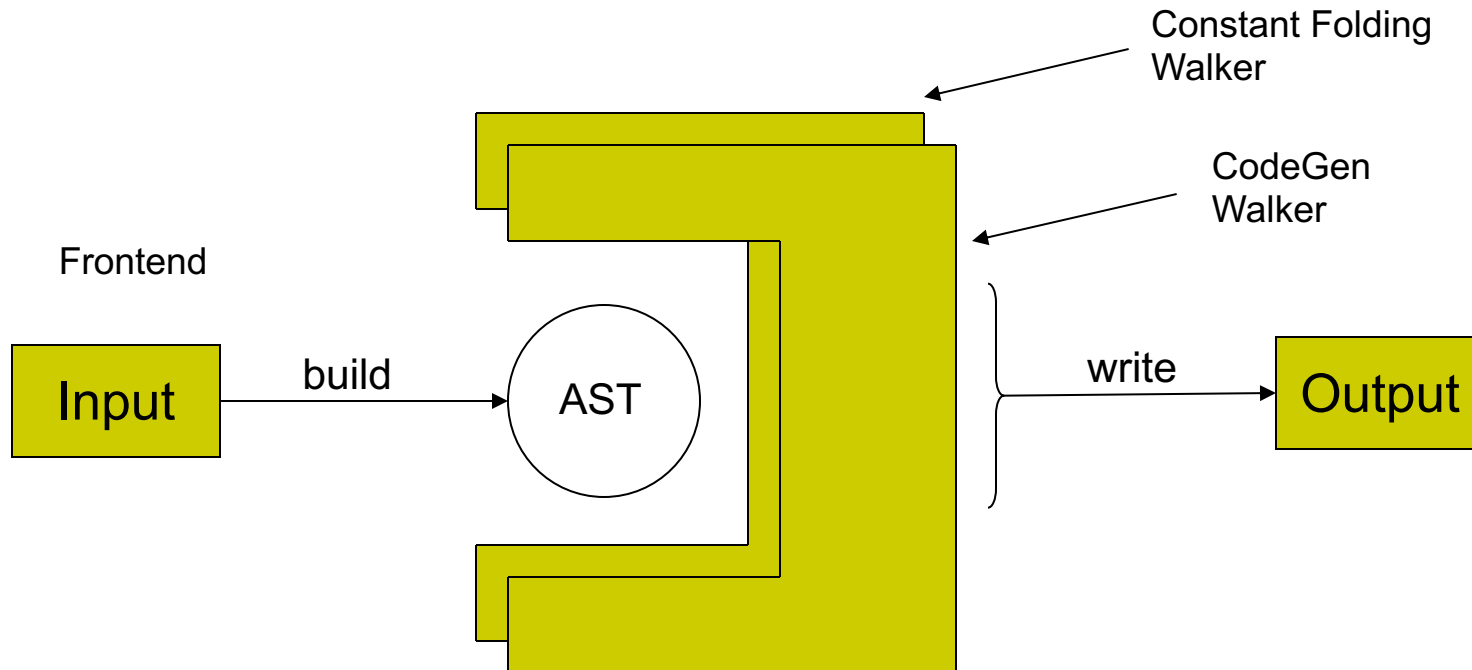
```
In [52]: new_stmt = fold(stmt)
         dump_AST(new_stmt)
```

```
(assign x
  | (integer 15))
```

# Compiler Architecture



- As an example we insert a constant folding tree rewriting phase into our Cuppa1 compiler as a tree walker.



# Peephole Code Optimization



- A peephole optimizer improves the generated code by reorganizing the generated instructions.
- If you recall the code generator for our Cuppa1 compiler translates Cuppa1 AST patterns into Exp1bytecode patterns and simply composes the generated bytecode patterns into a list of instructions.
- That can lead to very silly looking code.



# Peephole Code Optimization

Consider:

```
In [53]: from cuppal_examples import fact
```

```
In [54]: print(fact)
```

```
get x;
y = 1;
while (1 <= x)
{
    y = y * x;
    x = x - 1;
}
put y;
```

```
In [55]: bytecode = ccl(fact)
```

```
In [56]: print(bytecode)
```

```
input x ;
store y 1 ;

L13:
    jumpF (<= 1 x) L14 ;
    store y (* y x) ;
    store x (- x 1) ;
    jump L13 ;

L14:
    noop ;
    print y ;
    stop ;
```



Really Silly!

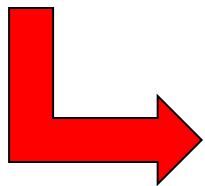


# Peephole Code Optimization

```
In [55]: bytecode = ccl(fact)

In [56]: print(bytecode)

        input x ;
        store y 1 ;
L13:     jumpF (<= 1 x) L14 ;
        store y (* y x) ;
        store x (- x 1) ;
        jump L13 ;
L14:     noop ;
        print y ;
        stop ;
```



```
In [57]: new_bytecode = \
...
        input x ;
        store y 1 ;
L13:     jumpF (<= 1 x) L14 ;
        store y (* y x) ;
        store x (- x 1) ;
        jump L13 ;
L14:     print y ;
        stop ;
...
```

There is a rule for that:

```
L:
    noop
    <other instruction>

=>

L:
    <other instruction>
```



# Peephole Code Optimization

Consider:

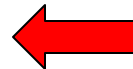
```
In [58]: print_even = \
    '''
    get x
    r = x - 2*(x/2)
    if (not r)
        if (x <= 10)
            put x
    '''
```

```
In [60]: bytecode = ccl(print_even)
```

```
In [61]: print(bytecode)
```

```
        input x ;
        store r (- x (* 2 (/ x 2))) ;
        jumpF !r L15 ;
        jumpF (<= x 10) L16 ;
        print x ;

L16:
    noop ;
L15:
    noop ;
    stop ;
```



Even Sillier!



# Peephole Code Optimization

```
In [60]: bytecode = ccl(print_even)
```

```
In [61]: print(bytecode)
```

```
        input x ;
        store r (- x (* 2 (/ x 2))) ;
        jumpF !r L15 ;
        jumpF (<= x 10) L16 ;
        print x ;

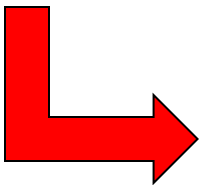
L16:
    noop ;

L15:
    noop ;
    stop ;
```

There is a rule for that:

```
L1:
    noop
L2:
    <other instruction>
=>

L2:  -- with L1 backpatched to L2
    <other instruction>
```



```
In [62]: new_bytecode = \
        ...
        input x ;
        store r (- x (* 2 (/ x 2))) ;
        jumpF !r L15 ;
        jumpF (<= x 10) L15 ;
        print x ;
L15:
    stop ;
        ...
```

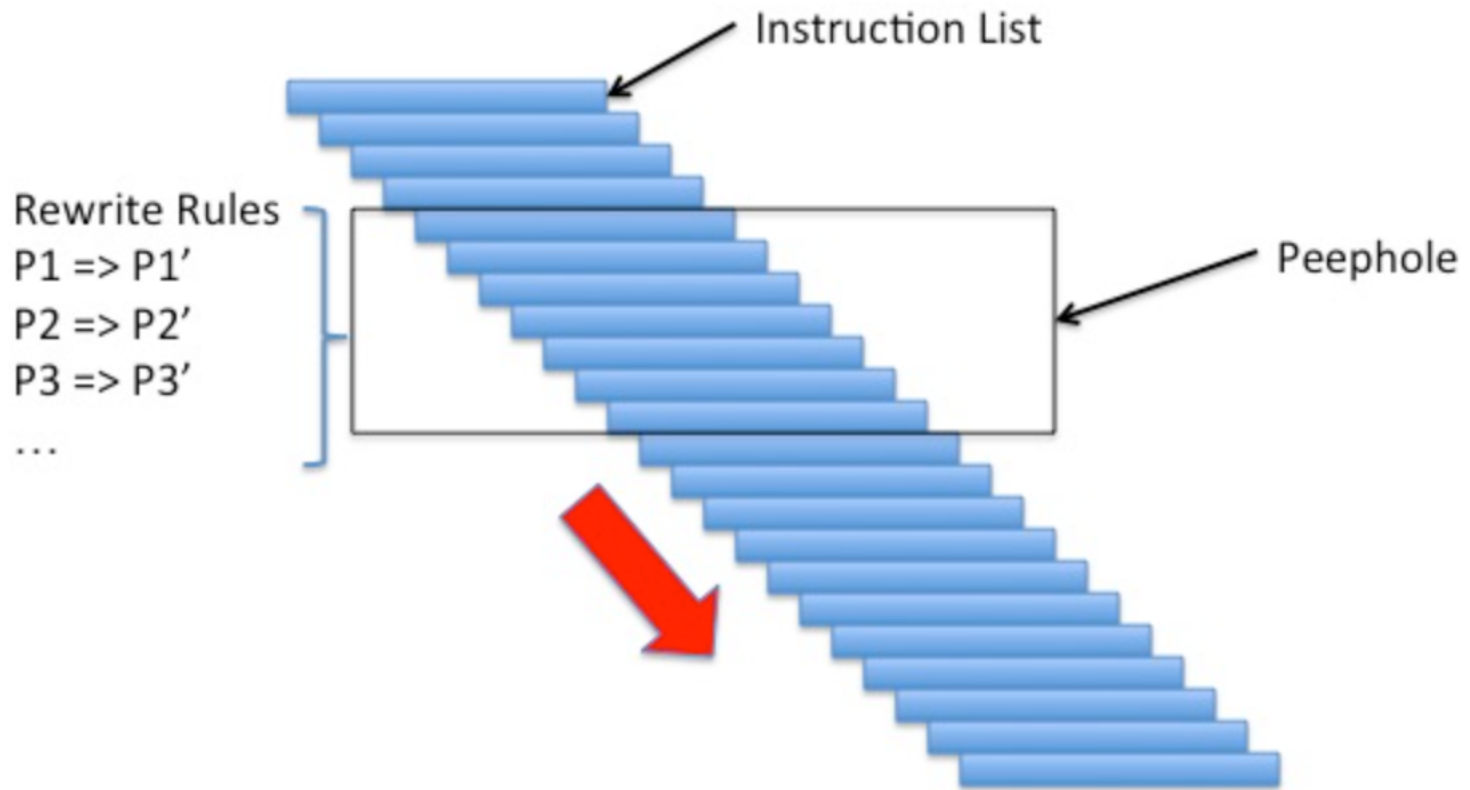


# Peephole Code Optimization



- One way to think of a peephole optimizer is as a window (the peephole) which we slide across the generated instructions *repeatedly* and apply *rewrite rules* like the ones we developed above to the code within the window.
- The peephole optimizer terminates once no longer any code is being rewritten.
- The repeated nature of the process is necessary because applying one rewrite rule to the instruction list can expose opportunities to apply other rewrite rules.
- So we need to keep sliding the window across the instructions until no further rewrites are possible.

# Peephole Code Optimization



# Peephole Code Optimization



Rewrite Rules:

cuppa1\_cc\_output.py

```
# rewrite rule:
# *L:
#     noop
#     <some other instr>
# =>
# *L:
#     <some other instr>
if pattern_fits(3, ix, instr_stream) and \
    label_def(curr_instr) and \
    relative_instr(1, ix, instr_stream)[0] == 'noop' and \
    not label_def(relative_instr(2, ix, instr_stream)):
    # delete noop
    instr_stream.pop(ix+1)
    change = True
```

```
# rewrite rule:
# *L1:
#     noop
# L2:
# =>
# *L2:  -- with L1 backpatched to L2 in instr_stream
elif pattern_fits(3, ix, instr_stream) and \
    label_def(curr_instr) and \
    relative_instr(1, ix, instr_stream)[0] == 'noop' and \
    label_def(relative_instr(2, ix, instr_stream)):
    label1 = get_label_from_def(curr_instr)
    label2 = get_label_from_def(relative_instr(2, ix, instr_stream))
    backpatch_label(label1, label2, instr_stream)
    instr_stream.pop(ix)
    instr_stream.pop(ix)
    change = True
```



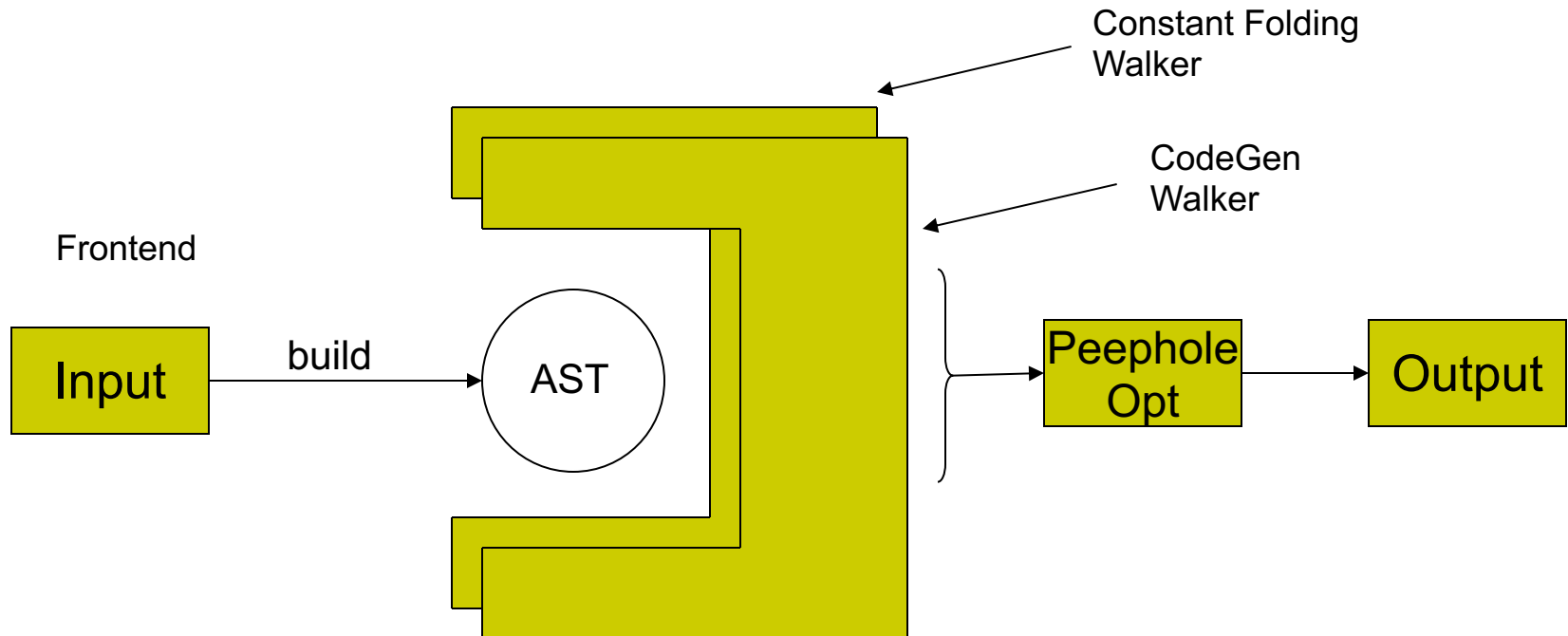
# Peephole Code Optimization

```
#####  
# apply peephole optimization. The instruction tuple format is:  
# (instr_name_str, [param_str1, param_str2, ...])  
def peephole_opt(instr_stream):  
  
    ix = 0  
    change = False  
  
    while(True):  
  
        curr_instr = instr_stream[ix]  
  
        ### compute some useful predicates on the current instruction  
        is_first_instr = ix == 0  
        is_last_instr = ix + 1 == len(instr_stream)  
        has_label = True if not is_first_instr and label_def(instr_stream[ix - 1]) else False  
  
        < ** rewrite rules here ** >  
  
        ### advance ix  
        if is_last_instr and not change:  
            break  
  
        elif is_last_instr:  
            ix = 0  
            change = False  
  
        else:  
            ix += 1
```

# Optimizing Compiler Architecture



- We insert our peephole optimizer between the code generator and the output phase



# Optimizing Compiler



## Top-level Driver Function

```
from cuppa1_lex import lexer
from cuppa1_frontend_gram import parser
from cuppa1_state import state
from cuppa1_cc_codegen import walk as codegen
from cuppa1_cc_fold import walk as fold
from cuppa1_cc_output import output
from cuppa1_cc_output import peephole_opt

def cc(input_stream, opt = False):

    # initialize the state object
    state.initialize()

    # build the AST
    parser.parse(input_stream, lexer=lexer)

    # run the constant fold optimizer
    if opt:
        state.AST = fold(state.AST)

    # generate the list of instruction tuples
    instr_stream = codegen(state.AST) + [['stop',]]

    # run the peephole optimizer
    if opt:
        peephole_opt(instr_stream)

    # output the instruction stream
    bytecode = output(instr_stream)

    return bytecode
```

cuppa1\_cc.py