



An Optimizing Compiler

- The big difference between interpreters and compilers is that compilers have the ability to think about how to translate a source program into target code in the most effective way.
- Usually that means trying to translate the program in such a way that it executes as fast as possible on the target machine.
- This usually implies either one or both of the following tasks:
 - Rewrite the AST so that it represents a more efficient program – Tree Rewriting
 - Reorganize the generated instructions so that they represent the most efficient target program possible
- This is referred to as *Optimization*.
- There are many optimization techniques available to compilers in addition to the two mentioned above:
 - Register allocation, loop optimization, common subexpression elimination, dead code elimination, *etc*



An Optimizing Compiler

- In our optimizing compiler we study:
 - Tree rewriting in the context of *constant folding*, and
 - Target code optimization in the context of *peephole optimization*.



Tree Rewriting

- So far our applications only have looked at the AST as an immutable data structure
 - Bytecode interpreter used it to execute instructions
 - The Cuppa1 interpreter used it as an abstract representation of the original program
 - PrettyPrinter used it to regenerate programs
- But there are many cases where we actually want to transform the AST
 - Consider constant folding



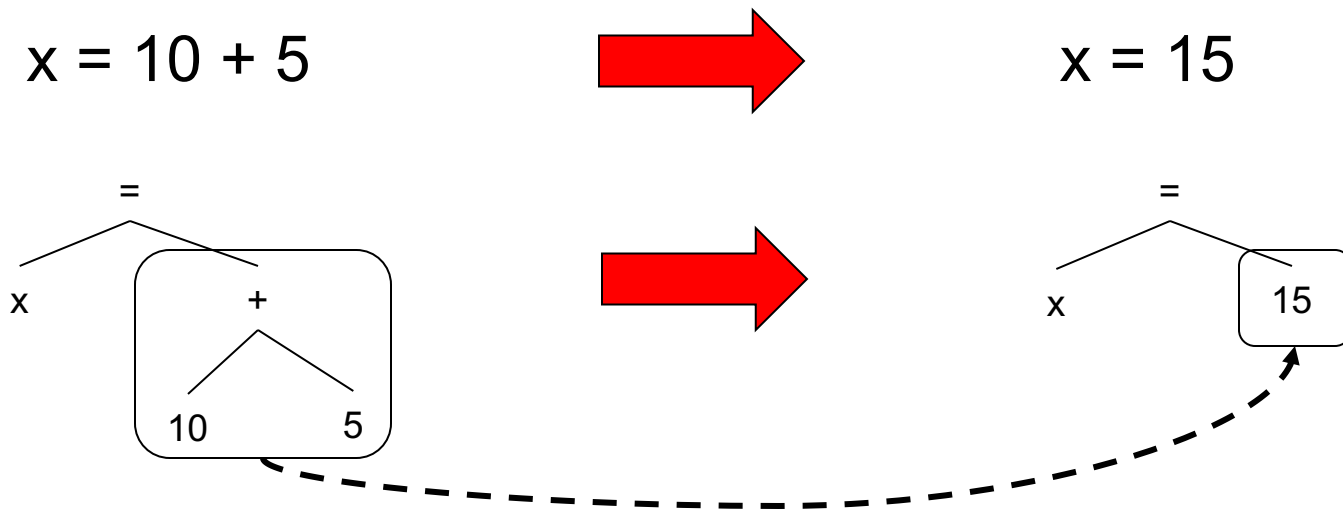
Constant Folding

- Constant folding is an optimization that tries to find arithmetic operations in the source program that can be performed at *compile time* rather than runtime.



Constant Folding

- In constant folding we look at the operations in arithmetic expressions and if the operands are constants then we perform the operation and replace the AST with a result node.





Constant Folding

- One way to view constant folding is as a AST rewriting.
- Here the AST for the expression $10 + 5$ is replaced by an AST node for the constant 15.
- In order to accomplish this we need to walk the AST for a Cuppa1 program and look for patterns that allow us to rewrite the tree.
- This is very similar to code generation tree walker where we walked the tree and looked for AST patterns that we could translate into Exp1bytecode.
- The big difference being that in the constant folder we will be *returning the rewritten tree from the tree walker* rather than bytecode as in the code generator.



Constant Folding Walker

```
def walk(node):
    node_type = node[0]
    if node_type in dispatch:
        node_function = dispatch[node_type]
        return node_function(node)
    else:
        raise ValueError("walk: unknown tree node type: " + node_type)

# a dictionary to associate tree nodes with node functions
dispatch = {
    'STMTLIST' : stmtlst,
    'NIL'      : nil,
    'ASSIGN'   : assign_stmt,
    'GET'      : get_stmt,
    'PUT'      : put_stmt,
    'WHILE'    : while_stmt,
    'IF'       : if_stmt,
    'BLOCK'    : block_stmt,
    'INTEGER'  : integer_exp,
    'ID'       : id_exp,
    'UMINUS'   : uminus_exp,
    'NOT'      : not_exp,
    'PAREN'    : paren_exp,
    'PLUS'     : plus_exp,
    'MINUS'    : minus_exp,
    'MUL'      : mult_exp,
    'DIV'      : div_exp,
    'EQ'       : eq_exp,
    'LE'       : le_exp,
}
```

cuppa1_fold.py



Constant Folding Walker

```
def plus_exp(node):  
  
    (PLUS, c1, c2) = node  
  
    newc1 = walk(c1)  
    newc2 = walk(c2)  
  
    # if the children are constants -- fold!  
    if newc1[0] == 'INTEGER' and newc2[0] == 'INTEGER':  
        return ('INTEGER', newc1[1] + newc2[1])  
    else:  
        return ('PLUS', newc1, newc2)
```

cuppa1_fold.py

```
Python 3.8.12 (default, Sep 10 2021, 00:16:05)  
[GCC 7.5.0] on linux  
Type "help", "copyright", "credits" or "license" for more information.  
>>> from cuppa1_state import state  
>>> from cuppa1_fold import walk  
>>> from dumpast import dumpast  
>>> ast = ('PLUS', ('INTEGER', 1), ('INTEGER', 2))  
>>> dumpast(ast)  
  
(PLUS  
 | (INTEGER 1)  
 | (INTEGER 2))  
>>> new_ast = walk(ast)  
>>> dumpast(new_ast)  
  
(INTEGER 3)  
>>> █
```




Constant Folding Walker

```
def eq_exp(node):  
  
    (EQ, c1, c2) = node  
  
    newc1 = walk(c1)  
    newc2 = walk(c2)  
  
    # if the children are constants -- fold!  
    if newc1[0] == 'INTEGER' and newc2[0] == 'INTEGER':  
        return ('INTEGER', 1 if newc1[1] == newc2[1] else 0)  
    else:  
        return ('EQ', newc1, newc2)
```

cuppa1_fold.py

```
def stmtlst(node):  
  
    (STMTLIST, lst) = node  
  
    newlst = []  
    for stmt in lst:  
        newlst.append(walk(stmt))  
    return ('STMTLIST', newlst)
```

```
def assign_stmt(node):  
  
    (ASSIGN, name_tree, exp) = node  
  
    newexp = walk(exp)  
    return ('ASSIGN', name_tree, newexp)
```

Constant Folding



Let's try our walker on our assignment statement example to see if it does what we claim it does,

```
Python 3.8.12 (default, Sep 10 2021, 00:16:05)
[GCC 7.5.0] on linux
Type "help", "copyright", "credits" or "license" for more information.
>>> from cuppa1_state import state
>>> from cuppa1_fold import walk
>>> ast = ('ASSIGN', ('ID', 'x'), ('PLUS', ('INTEGER', 10), ('INTEGER', 5)))
>>> from dumpast import dumpast
>>> dumpast(ast)

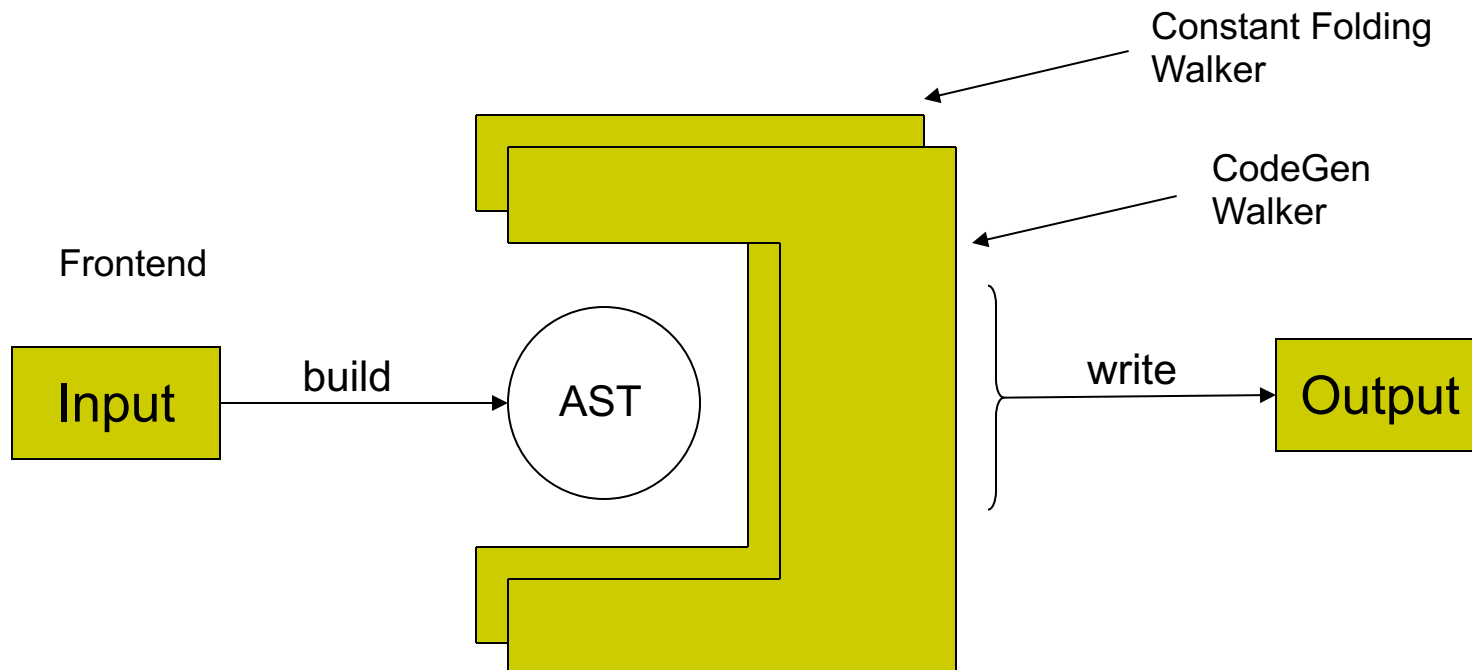
(ASSIGN
 | (ID x)
 | (PLUS
 |   | (INTEGER 10)
 |   | (INTEGER 5)))
>>> new_ast = walk(ast)
>>> dumpast(new_ast)

(ASSIGN
 | (ID x)
 | (INTEGER 15))
>>> █
```

Compiler Architecture



- We insert our constant folding tree rewriting phase into our Cuppa1 compiler as a tree walker.



Peephole Code Optimization



- A peephole optimizer improves the generated code by reorganizing the generated instructions.
- If you recall the code generator for our Cuppa1 compiler translates Cuppa1 AST patterns into Exp1bytecode patterns and simply composes the generated bytecode patterns into a list of instructions.
- That can lead to very silly looking code.

Peephole Code Optimization



Consider:

```
get x;
y = 1;
while (1 <= x)
{
    y = y * x;
    x = x - 1;
}
put y;
```

```
input x ;
store y 1 ;

L13:
    jumpF (<= 1 x) L14 ;
    store y (* y x) ;
    store x (- x 1) ;
    jump L13 ;

L14:
    noop ;
    print y ;
    stop ;
```



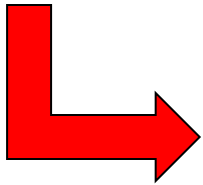
Really Silly!

Peephole Code Optimization



There is a rule for that:

```
      input x ;
      store y 1 ;
L13:   jumpF (<= 1 x) L14 ;
      store y (* y x) ;
      store x (- x 1) ;
      jump L13 ;
L14:   noop ;
      print y ;
      stop ;
```



```
      input x ;
      store y 1 ;
L13:   jumpF (<= 1 x) L14 ;
      store y (* y x) ;
      store x (- x 1) ;
      jump L13 ;
L14:   print y ;
      stop ;
```

```
L:
    noop
    <other instruction>

=>

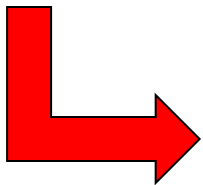
L:
    <other instruction>
```



Peephole Code Optimization

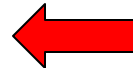
Consider:

```
get x
r = x - 2*(x/2)
if (not r)
  if (x <= 10)
    put x
```



```
input x ;
store r (- x (* 2 (/ x 2))) ;
jumpF !r L15 ;
jumpF (<= x 10) L16 ;
print x ;

L16:
noop ;
L15:
noop ;
stop ;
```



Even Sillier!



Peephole Code Optimization

There is a rule for that:

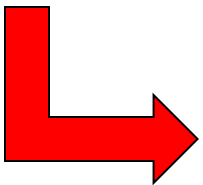
```
    input x ;
    store r (- x (* 2 (/ x 2))) ;
    jumpF !r L15 ;
    jumpF (<= x 10) L16 ;
    print x ;

L16:
    noop ;

L15:
    noop ;
    stop ;
```

```
L1:
    noop
L2:
    <other instruction>
=>

L2:  -- with L1 backpatched to L2
    <other instruction>
```



```
    input x ;
    store r (- x (* 2 (/ x 2))) ;
    jumpF !r L15 ;
    jumpF (<= x 10) L15 ;
    print x ;

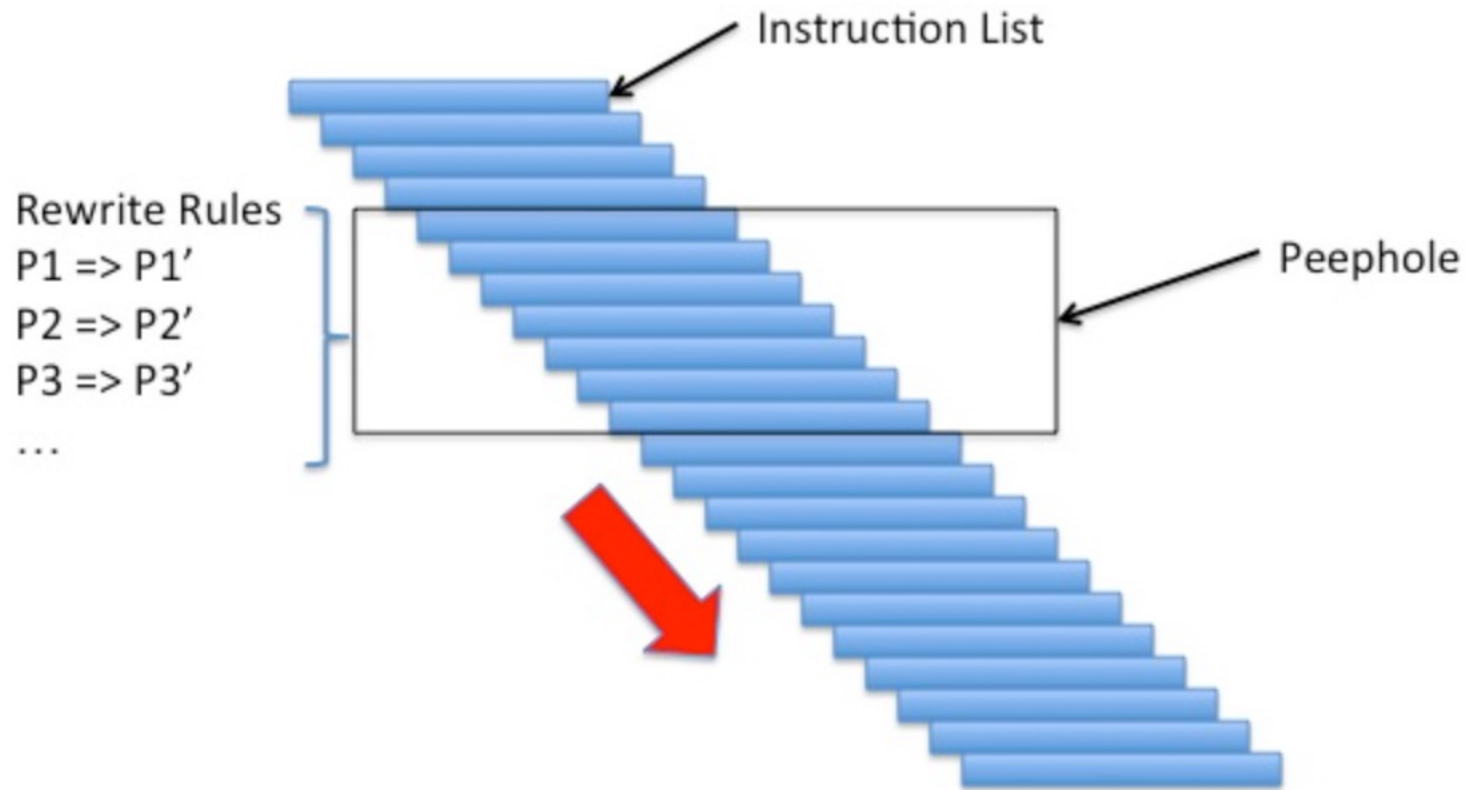
L15:
    stop ;
```


Peephole Code Optimization



- One way to think of a peephole optimizer is as a window (the peephole) which we slide across the generated instructions *repeatedly* and apply *rewrite rules* like the ones we developed above to the code within the window.
- The peephole optimizer terminates once no longer any code is being rewritten.
- The repeated nature of the process is necessary because applying one rewrite rule to the instruction list can expose opportunities to apply other rewrite rules.
- So we need to keep sliding the window across the instructions until no further rewrites are possible.

Peephole Code Optimization



Peephole Code Optimization



Rewrite Rules:

```
# rewrite rule:
# *L:
#     noop
#     <some other instr>
# =>
# *L:
#     <some other instr>
if pattern_fits(3, ix, instr_stream) \
    and label_def(curr_instr) \
    and relative_instr(1, ix, instr_stream)[0] == 'noop' \
    and not label_def(relative_instr(2, ix, instr_stream)):
    # delete noop
    instr_stream.pop(ix+1)
    change = True
```

cuppa1_output.py

```
# rewrite rule:
# *L1:
#     noop
# L2:
# =>
# *L2: -- with L1 backpatched to L2 in instr_stream
elif pattern_fits(3, ix, instr_stream) \
    and label_def(curr_instr) \
    and relative_instr(1, ix, instr_stream)[0] == 'noop' \
    and label_def(relative_instr(2, ix, instr_stream)):
    label1 = get_label_from_def(curr_instr)
    label2 = get_label_from_def(relative_instr(2, ix, instr_stream))
    backpatch_label(label1, label2, instr_stream)
    instr_stream.pop(ix)
    instr_stream.pop(ix)
    change = True
```



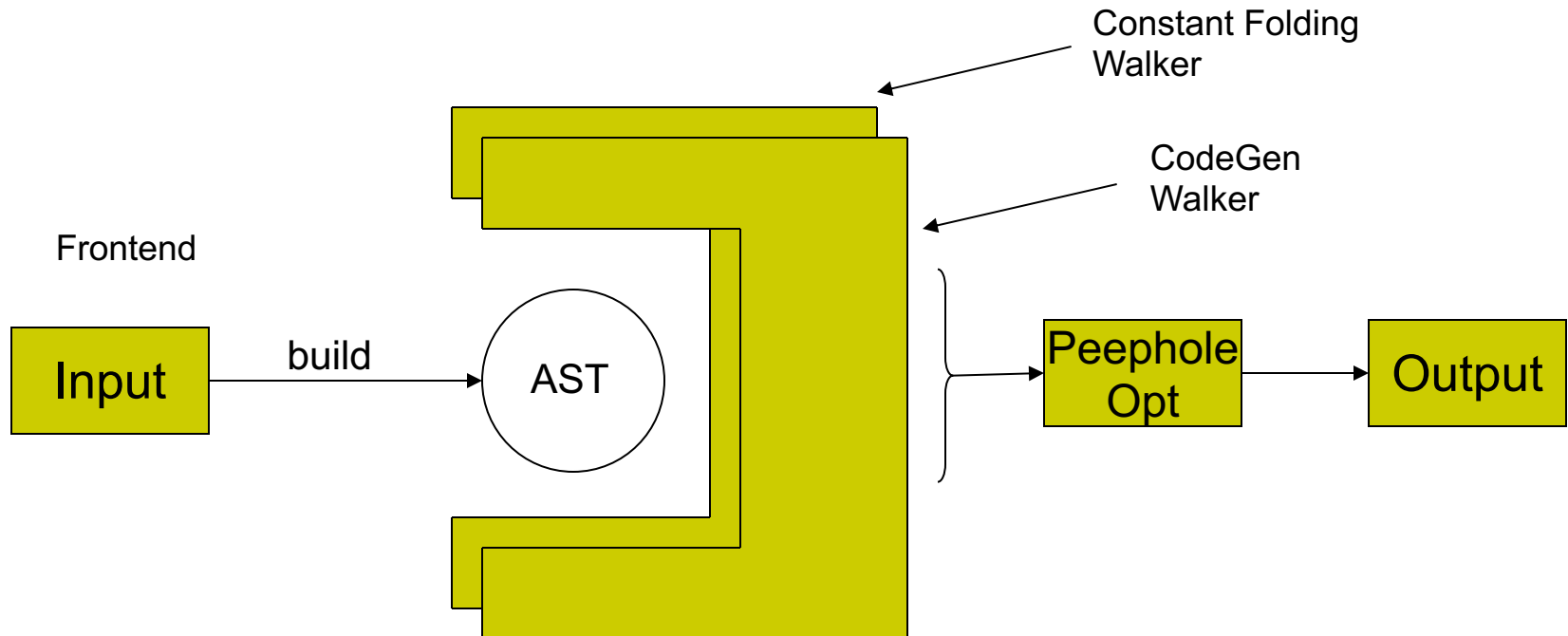
Peephole Code Optimization

```
#####  
# apply peephole optimization. The instruction tuple format is:  
# (instr_name_str, [param_str1, param_str2, ...])  
def peephole_opt(instr_stream):  
  
    ix = 0  
    change = False  
  
    while(True):  
  
        curr_instr = instr_stream[ix]  
  
        ### compute some useful predicates on the current instruction  
        is_first_instr = ix == 0  
        is_last_instr = ix + 1 == len(instr_stream)  
        has_label = True if not is_first_instr and label_def(instr_stream[ix - 1]) else False  
  
        < ** rewrite rules here ** >  
  
        ### advance ix  
        if is_last_instr and not change:  
            break  
  
        elif is_last_instr:  
            ix = 0  
            change = False  
  
        else:  
            ix += 1
```

Optimizing Compiler Architecture



- We insert our peephole optimizer between the code generator and the output phase



Optimizing Compiler

Top-level Driver Function



```
from argparse import ArgumentParser
from cuppa1_fe import parse
from cuppa1_codegen import walk as codegen
from cuppa1_fold import walk as fold
from cuppa1_output import output
from cuppa1_output import peephole_opt

def cc(input_stream, opt = False):
    try:
        ast = parse(input_stream)
        if opt:
            ast = fold(ast) # constant fold optimizer
            instr_stream = codegen(ast) + [('stop',)]
            if opt:
                peephole_opt(instr_stream) # peephole optimizer
            bytecode = output(instr_stream)
            return bytecode
    except Exception as e:
        print('error: ' + str(e))
        return None
```

cuppa1_cc.py



Testing the Compiler

```
$ cat even.txt
get x
r = x - 2*(x/2) // integer division!
if (not r)
    if (x <= 10)
        put x

$ python3 cuppa1_cc.py -O -o even.bc even.txt
$ cat even.bc
    input x ;
    store r - x * 2 / x 2 ;
    jumpf !r L1 ;
    jumpf <= x 10 L1 ;
    print x ;
L1:
    stop ;
$
```