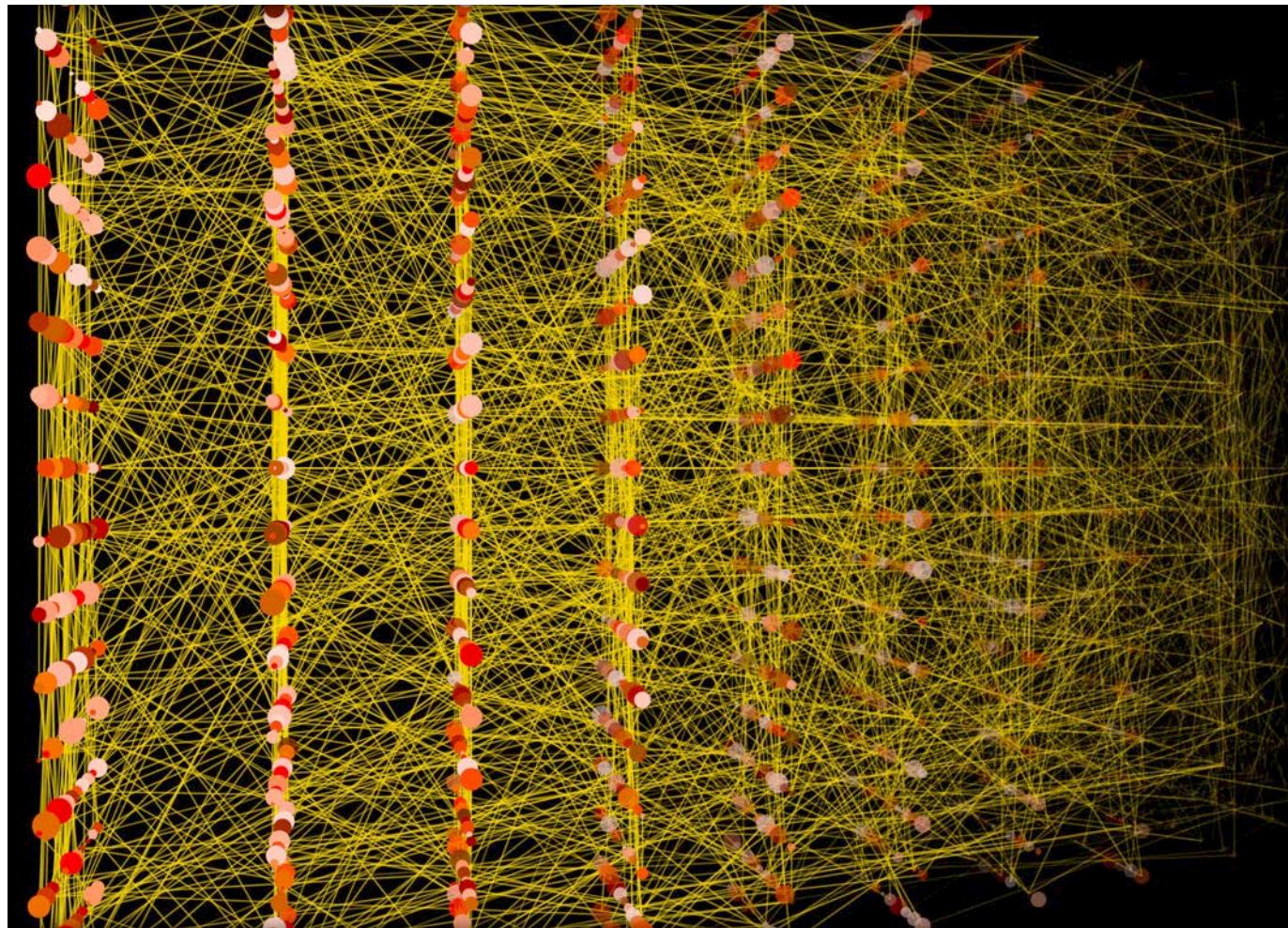


TECHNOLOGY TODAY

Highlighting Raytheon's Engineering & Technology Innovations



SPOTLIGHT

**ARTIFICIAL INTELLIGENCE
AND MACHINE LEARNING
AT RAYTHEON**

EYE ON TECHNOLOGY

**MECHANICAL
MODULAR OPEN SYSTEMS
ARCHITECTURES**

Discussing industry shifts toward
open standards designs

SPECIAL INTEREST

THE INVENTION ENGINE

Raytheon receives the 10 millionth
U.S. Patent in history

Raytheon

TECHNOLOGY TODAY

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ON THE COVER

Artist's depiction of a deep neural network

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A MESSAGE FROM MARK E. RUSSELL



VICE PRESIDENT OF
ENGINEERING,
TECHNOLOGY AND
MISSION ASSURANCE

Welcome to the newly formatted *Technology Today* magazine. While the layout has been updated, the content remains focused on critical Raytheon engineering and technology developments. This edition features Raytheon's advances in Artificial Intelligence and Machine Learning.

Commercial applications of AI and ML — including facial recognition technology for mobile phones and social applications, virtual personal assistants, and mapping service applications that predict traffic congestion — are becoming ubiquitous in today's society. Furthermore, ML design tools provide developers the ability to create and test their own ML-based applications without requiring expertise in the underlying complex mathematics and computer science. Additionally, in its 2018 National Defense Strategy, the United States Department of Defense has recognized the importance of AI and ML as an enabler for maintaining competitive military advantage.

Raytheon understands the importance of these technologies and is applying AI and ML to solutions where they provide benefit to our customers, such as in areas of predictive equipment maintenance, language classification of handwriting, and automatic target recognition. Not only does ML improve Raytheon products, it also can enhance our business operations and manufacturing efficiencies by identifying complex patterns in historical data that result in process improvements. This issue of *Technology Today* highlights some of Raytheon's innovative developments and applications of AI and ML.

In our Leaders Corner, Raytheon Missile Systems' Technical Director Dr. Jeff Vollin answers questions about his role, and what excites him about technology development at Raytheon. Our Eye on Technology section highlights our Mechanical, Materials, and Structures technology network and how the industry shift toward open standards designs exerts influence on this technology area.

The Special Interest section highlights Raytheon's White House visit to celebrate the 10 millionth U.S. Patent, granted to Raytheon engineer Joe Marron. Finally, the People section spotlights Raytheon women engineers encouraging and mentoring young women in high school on Science, Technology, Engineering and Math careers during a FIRST® Robotics Competition in California.

Mark E. Russell

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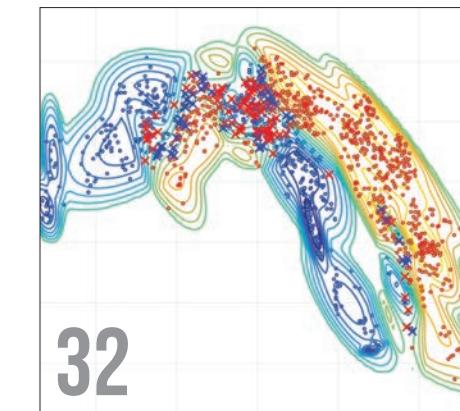
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Raytheon receives the 10 millionth U.S. Patent in history

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TO RAYTHEON**

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ARTIFICIAL
INTELLIGENCE
AND MACHINE
LEARNING
AT RAYTHEON

Raytheon's customers
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intelligent machine era
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affect the character of war.

AI AND MACHINE LEARNING



SPOTLIGHT

AI AND MACHINE LEARNING

The 2018 National Defense Strategy has listed the military application of AI and ML as a key element of the U.S. military modernization strategy.¹ Artificial Intelligence (AI) and Machine Learning (ML) will serve as an enabling technology of next generation battle networks; human-machine collaboration & combat teaming; human-assisted operations; and network-enabled autonomous weaponry. Raytheon continues to strategically invest in AI and ML technology development as a key contributor in delivering and operationalizing cognified capabilities to achieve technological overmatch for the United States and its allies.

AI and ML technologies are reshaping the commercial world as well as the military. In fact, commercial industry and academia are leading much of the basic AI and ML technology developments. In his book, *The Inevitable*, futurist and internet pioneer, Kevin Kelly, describes the forces and inherent biases that direct the course of technology. Inexorable momentum drives these shifts

"SUCCESS NO LONGER GOES TO THE COUNTRY THAT DEVELOPS A NEW TECHNOLOGY FIRST, BUT RATHER TO THE ONE THAT BETTER INTEGRATES IT AND ADAPTS ITS WAY OF FIGHTING."¹ — JAMES MATTIS
26TH UNITED STATES SECRETARY OF DEFENSE

in technology, making them "inevitable." Kelly describes these forces not as nouns but as verbs due to the relentless change inherent in modern technology; technology that is in a continuous state of "becoming," rapidly evolving in ways outside the realm of human control. Among the most impactful of these forces is "Cognify," the tendency for technology to get smarter.² Over time, objects tend to evolve an embedded intelligence that makes them exponentially more effective. Indeed, cognified things are transformative. Like Gutenberg's printing press and electrification, intelligent machines are transforming economics, government, healthcare, social interactions, as well as warfare.

¹ Mattis, J. (2018). Summary of the 2018 National Defense Strategy of the United States of America. Retrieved from U.S. Department of Defense: <https://www.defense.gov/Portals/1/Documents/pubs/2018-National-Defense-Strategy-Summary.pdf>

² Kelly, K. (2016). *The Inevitable: Understanding the 12 Technological Forces that will Shape Our Future*. New York, New York: Viking Penguin Random House™.

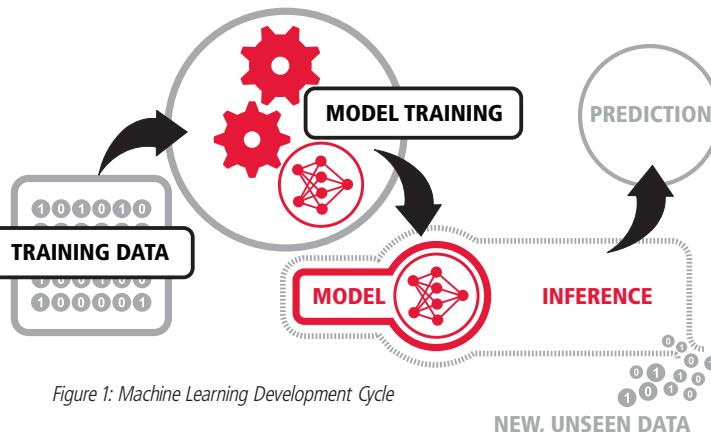


Figure 1: Machine Learning Development Cycle

Technological cognification has accelerated in recent years through the potent combination of ever more cost effective, accessible and powerful computing hardware; massive volume and availability of data; virtually unlimited scalability of software platforms and architectures; and AI and ML algorithms and software. ML is often considered an enabler for the broader notion of AI that includes man-machine teaming and autonomous operations. Unlike traditional rules-based analytics that are explicitly programmed, ML is programmed through learning; automating the creation of rules through "training" on sample datasets. The result of this training is a "model" which knows enough about the dataset to make an inference or "prediction" about statistically similar unseen datum (Figure 1). ML is important because it avoids the bottleneck of traditional analytics, the cataloging and implementation of rules. Traditional approaches do not scale well for scenarios in which all the rules or situations are not known ahead of time. For example, consider object recognition in an image. An engineer, or even a team of engineers, could take years to program all the rules required to identify an object (e.g. a cat) in an image.

Despite its proven utility, ML also has some idiosyncrasies. Although the fundamental algorithms can be generalized, its performance is typically context and data specific, tightly coupled to a single solution-space and to attributes of a particular data domain. For instance, an ML object detection algorithm for

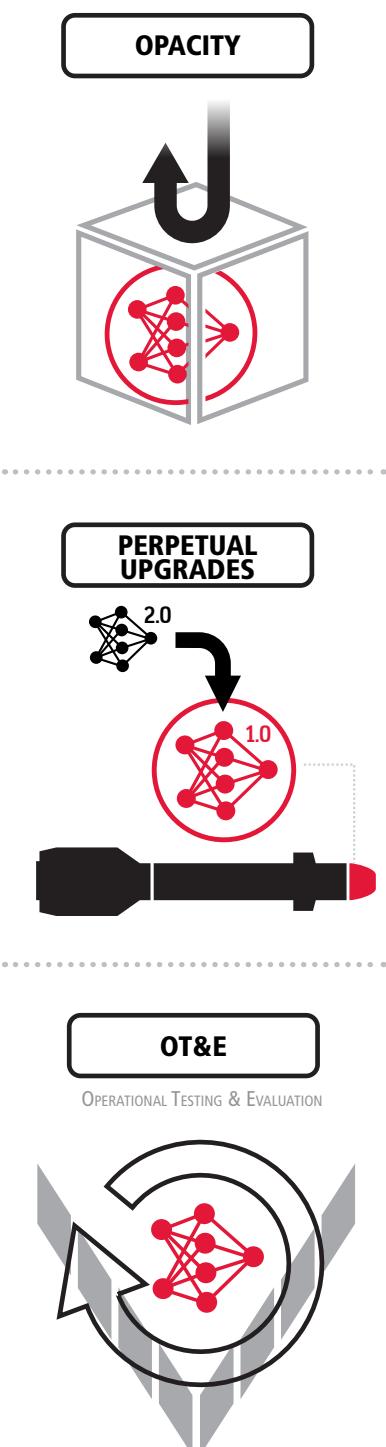


Figure 2: Challenges of Machine Learning

automatic target recognition (ATR) trained to identify tanks in a desert environment will likely not correctly recognize tanks in a dense forest. The implications are that the technology does not yet provide the human-like, general intelligence AI (or "Strong AI") that one might see depicted in movies.

As AI and ML is introduced into systems, especially those that perform missions of high consequence, developers and users will have to deal with a range of challenges, including opacity, perpetual upgrades, and Operational Testing and Evaluation (OT&E); Figure 2.

Opacity. AI and ML aligns with a common trend across many of today's advanced technologies, the danger of overwhelming complexity. Humans now build machines that we cannot fully comprehend and AI and ML exacerbates the issue many times over.³ A compounding factor in ML systems is opacity where the inner mechanisms operate as a black box ("algorithmic mystery").⁴ This is particularly the case with Deep Learning where many layers of thousands of simulated neurons embody the network reasoning for functions like computer vision. Effective solutions for explaining why ML algorithms arrived at a particular answer will be a key contributor in cultivating trust, the primary roadblock to operationally effective human-intelligent machine interactions.⁵

Perpetual Upgrades. All analytics models, particularly ML models, have a lifecycle and eventually grow stale due to change. Things like evolving mission requirements, dynamic environments and enemy counter-measures mean the effective life of an analytic model can vary from years to days, or in extreme

cases, perhaps even seconds. Similarly, AI technology is becoming ever more widely accessible, causing the cycle of model obsolescence to further accelerate. The average model lifespan will likely grow ever shorter as the AI/ML space matures and becomes ubiquitous across the battlefield. Beginning with one set of algorithms, once the battle is underway, combatants will introduce new algorithms while evolving and deprecating the old. The cycle of this "AI arms race" will ultimately collapse into second-by-second contests of updating and evolving algorithmic models as each side attempts to counter, nullify and obfuscate each other's capabilities. The key discriminator in this environment will be automation: automated model development, testing and deployment. Combat-effective AI capabilities of the future will have the ability to continuously update through automated mechanisms to out-sense, out-think and ultimately overmatch the intelligent machines of an adversary.⁶ Perpetual upgrades are the future of AI and the most effective practitioners will embrace it.

Operational Testing and Evaluation (OT&E)

Conventional OT&E methods and techniques are wholly inadequate for AI/ML systems. Beyond the opacity challenge, intelligent machines, especially AI system of systems, are inherently complex, non-deterministic systems.⁷ Unanticipated emergent behavior, indeterminate test results, dynamic behavior adaptation and Black Swan events (i.e. a rare, unexpected, high-consequence event) all impact AI OT&E. Future operationally effective capabilities will demand a re-imagined, novel approach to OT&E — fertile ground for innovative solutions.

³ Arbesman, S. (2016). *Overcomplicated: Technology at the Limits of Comprehension*. New York, New York: CURRENT Penguin Random House.

⁴ Knight, W. (2017, April 11). The Dark Secret at the Heart of AI. Retrieved from MIT Technology Review: <https://www.technologyreview.com/s/604087/the-dark-secret-at-the-heart-of-ai>

⁵ Polonski, V. (2018, January 10). People Don't Trust AI—Here's How We Can Change That. Retrieved from Scientific American®: <https://www.scientificamerican.com/article/people-don-t-trust-ai-heres-how-we-can-change-that>

⁶ Stoica, I., Song, D., Popa, R. A., Patterson, D. A., Mahoney, M. W., Katz, R. H., Abbeel, P. (2017, October 16). A Berkeley View of Systems Challenges for AI. Retrieved from Electrical Engineering and Computer Sciences, University of California at Berkeley: <http://www2.eecs.berkeley.edu/Pubs/TechRpts/2017/EECS-2017-159.html>

⁷ Firesmith, D. (2017, January 9). The Challenges of Testing in a Non-Deterministic World. Retrieved from Carnegie Mellon University Software Engineering™ Institute: https://insights.sei.cmu.edu/sei_blog/2017/01/the-challenges-of-testing-in-a-non-deterministic-world.html



SPOTLIGHT

AI AND MACHINE LEARNING

ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING AT RAYTHEON

Raytheon has performed significant Research and Development (R&D) in machine learning over the last decade, much of it at Raytheon BBN Technologies.⁸ Spanning theoretical to field-deployed applications, this activity has bridged multiple problems, from natural language processing to network flows and cybersecurity. As examples, Raytheon BBN's MultiMedia Monitoring System (M3S) is a deployed application utilizing numerous machine learning solutions to provide analysts a unified interface for direct access to diversified media, including television, web and social media (Figure 3), and the Strategy Optimizer that uses machine learning to adaptively reconfigure radio and network stacks to maintain consistent communications on mobile ad hoc networks (MANETs).

With regard to his current work with AI and ML, "Our customers have been very interested in machine intelligence for a number of years," Newman states. "I have been tracking this closely since 2010 and have seen the Contract Research and Development (CRAD) opportunities in this area increase significantly in that time. The AI and ML CoE will play a key role in helping the technologies developed under Independent Research and Development (IRAD) and CRAD migrate successfully to product and business applications."

Previously, Newman served as the Raytheon Corporate Technology Area Director for Information Systems and Computing (ISaC), and he led the Raytheon Missile Systems Test Systems Department for four years. He is a 1992 graduate from the U.S. Coast Guard Academy, and he served for six years as an officer in the Coast Guard. "A lot of the work we did in the Coast Guard was very hard," Newman relates, "but clearly, it was critical for the lives and safety of others. The key skills the Coast Guard and other military branches teach their officers and enlisted personnel is how to recognize and prioritize the most critical activities, and also, how to adapt and overcome when your best plan is thrown into disarray by events. This has had a lasting impression on me and is reflected in the way I approach my responsibilities at Raytheon."

Newman is a Raytheon Certified Architect and a member of various Science Advisory Boards, primarily focusing on cognitive processing technology research.

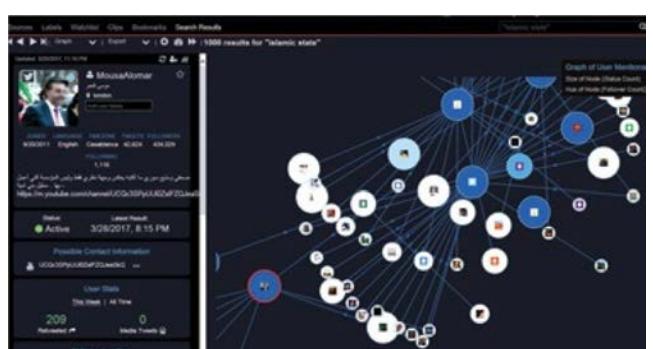


Figure 3: Multimedia Monitoring System (M3S) Display of Entity Network Analysis

In addition to Raytheon innovation in AI and ML, a primary driver of innovation in the field is the commercial sector. In the U.S. alone, the number of AI startups has increased by a factor of 14 since the year 2000, while the amount of annual venture capital investment into AI has increased six-fold during the same time period.⁹ The result has been a flourishing and rapidly evolving ecosystem of machine intelligence innovation that would be difficult to match in the defense industry alone. Commercial AI/ML technology as well as academic research cannot be viewed as competition but rather as an

important opportunity for partnership, integration and collaboration. A key Raytheon role in the intelligent machine era will be as the integrator of cutting edge AI/ML capabilities, increasingly sourced and adapted from the commercial market. The insertion of AI capabilities into the Department of Defense (DoD) and Intelligence Community (IC) requires proven domain expertise to make them operationally effective. Raytheon's role will not only include fundamental research into core technologies but also the integration and operationalization of AI/ML for overall mission effectiveness.

OUR FEATURE ARTICLES

Today, ML is automating what was once a costly and time-consuming analysis of artifacts and data to generate new intelligence. One example of this is handwritten notes or "pocket litter." Because it is potentially more secure and immune to electronic surveillance, handwriting is increasingly becoming a preferred communication method, oftentimes placed over existing written or typewritten pages (Figure 4).

Using a variety of ML classification techniques, Dr. Darrell Young's "Detection, Extraction, and Language Classification of Handwriting" article describes his approach to obtain good results on simulated handwriting of 16 languages including Chinese, Cyrillic and Arabic.

Another automation example is Christine Nezda's article "Machine Learning to Determine Patterns of Life" that describes an approach to model the state of an entity, such as an aircraft or maritime vessel based on historical observations, including location, speed, maintenance cycle and other attributes. These Patterns of Life (PoL) are widely applicable to

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many domains and can be used for route prediction, anomaly detection and targeted event characterization. Also discussed in this article is the underlying software framework, a horizontally scalable approach adapted for true big data analytics.

Raytheon is continually innovating with AI/ML for product improvement and reliability. Dr. Kim Kukurba's DREAMachine concept analyzes factory data to predict future defects, highlights leading factors of defects, and identifies redundant testing. Dr. Kukurba's article, "Machine Learning in the Factory," describes both supervised and unsupervised ML approaches for automated defect reduction, a key enabler to increase operational effectiveness and cost containment. These same goals are shared by Michael Salpukas in his article "Raytheon Predictive Maintenance (RPM)," which discusses methods for predicting hardware failures to increase system availability and reduce costs associated with current preventive and reactive maintenance approaches. Using discrete machine learning and parameter tuning methods, RPM provides real-time anomaly detection on multiple radar and other system datasets.

Detecting emergent threat behaviors is the subject of Dr. Shubha Kadambé's article, "PRoactiVE emerGiNg Threat detection (PREVENT)." PREVENT is based on a dynamic stochastic network which consists of sparse super nodes and dense local nodes. It detects emergent group threat behaviors by computing and evaluating the instability metric of the stochastic network. PREVENT provides early warning to operators based on this detection to conduct further analysis on the identified participants (or actors) involved in the activity. This approach has been tested and evaluated under multiple use cases, including several littoral scenarios and oil rig activities.

A key enabler of many Raytheon capabilities is Automatic Target Recognition. Mark Berlin and Matt Young's article, "Automatic Target Recognition (ATR) Systems," provides

an overview of a core technology that interprets data far faster than human analysts. The article discusses the challenges of ATR, research in academia and the commercial industry, and emerging advanced approaches including deep learning. ATR algorithms are often applied to use cases where limited sample data requires new training techniques like Generative Adversarial Networks (GANs) to teach the ML algorithms. GANs are also one of the main topics of the article, "Strategies for Rapid Prototyping

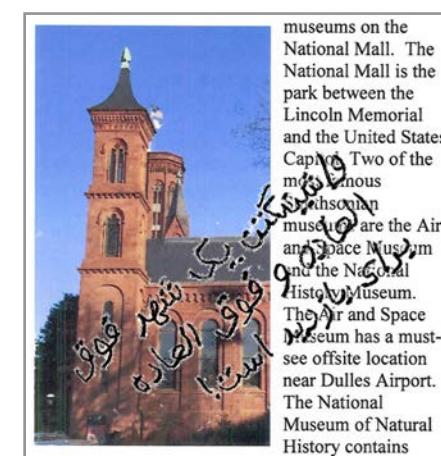


Figure 4: Sample handwriting over text and picture

Machine Learning" by Steve Israel, Philip Sallee, Franklin Tanner, Jon Goldstein and Shane Zabel. Supervised training on large, labeled datasets has enabled most of the recent advances in AI and ML. However, a lack of sufficient data has excluded the use of ML in many defense applications. GANs are a method of training with sparse datasets, allowing ML applications to be used in previously unsuitable customer mission environments.

We are at the beginning of the intelligent machine era which promises widespread evolution and exponential boosts in defense capabilities. Raytheon will continue to partner with its DoD and IC customers in the further development and integration of operationally effective AI/ML applications to ensure mission success.

Darryl Nelson



Darryl Nelson
Raytheon Intelligence, Information and Services

Darryl Nelson is lead for IIS' Analytics & Sensing Capability Center, where he directs Independent Research and Development (IRAD) and Contract Research and Development (CRAD) projects, and he develops technology roadmaps for distributed computing and scalable architectures in the areas of analytics, machine learning, and systems and software architecture. Nelson has spent more than 20 years in software engineering, the recent 14 of which at Raytheon. "My work is fundamentally about data manipulation," Nelson says. "I work with brilliant teams on next generation advanced analytics, manipulating massive volumes of data to extract actionable insights. Ultimately, we provide cognitive augmentation and amplification to help our customers become hyper-productive and make better decisions, faster."

Prior to his current role, Nelson served as the Information Systems and Computing Technical Area Director in corporate Technology & Research where he focused on analytic model productivity, cloud computing and big data analytics for cyber applications. He also co-chaired the Big Data Analytics, Cloud Computing and Mobile Computing Technology Interest Group. Nelson has presented at multiple Raytheon symposia and external technical conferences.

Nelson had previously worked with Special Operations Command, the U.S. Army and the Intelligence Community in various roles, including the IIS Big Data Analytics Lead, the Ubiquitous Computing Technology Center Chief Scientist, and the Distributed Common Ground System-Army Intelligence, Surveillance and Reconnaissance Surge Lead & Chief Architect. He deployed to Baghdad, Iraq in 2005 and 2007 in support of the Raytheon Persistent Surveillance and Dissemination System of Systems program.

Nelson received his Master of Engineering from Texas Tech University, and he is a veteran of the U.S. Army. "Of the many events in my lifetime, one in particular stands out that shaped my approach to engineering. During Desert Storm, when I served as a U.S. Army soldier as an M1A1 Abrams tank crew member, I vividly experienced the decisive advantage that technology can play on the battlefield. The confidence we had in our equipment (such as the Raytheon TOW missile) greatly contributed to our mission success. That experience continues to drive me to develop capabilities that will instill the same confidence in future generations of warfighters, analysts and all those who serve our country."

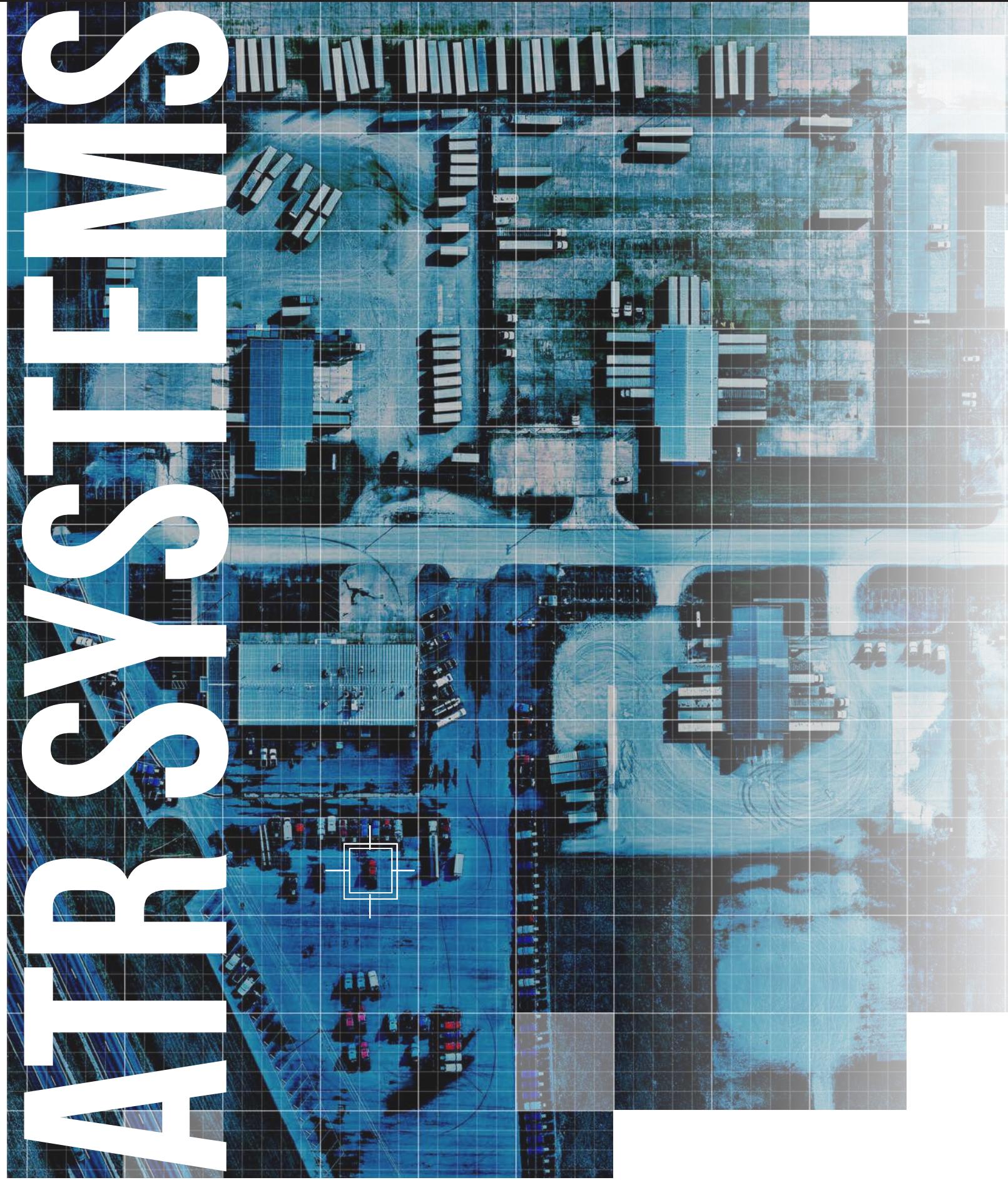
⁸See for instance, Ilana Heintz, "Machine Learning Applications," Technology Today, 2017 Issue 1.

⁹Shoham, Y., Perrault, R., Brynjolfsson, E., Clark, J., Manyika, J., & LeGassick, C. (n.d.). 2017 AI Index Report. Retrieved from Artificial Intelligence Index: <http://cdn.aiindex.org/2017-report.pdf>

AUTOMATIC TARGET RECOGNITION SYSTEMS

In today's battlefield, there are a large number and variety of platforms and systems, many having multiple sensors that create a picture of the battlespace from all angles and across the electromagnetic spectrum (Figure 1). But while the U.S. and allied militaries have made significant investment in providing this vast quantity of data, the data alone is useless unless *interpreted* to extract relevant details and actionable items.

Traditionally, human beings have been employed to interpret this data; for example, a shipboard operator monitoring one or more radar screens, a pilot viewing his head-up display (HUD), or even a soldier looking through a gun sight. And although humans excel at analyzing and interpreting data, our faculties are limited in that operators can become fatigued, pilots may be unable to respond to many alerts and notifications, and soldiers can be distracted by activity in their surrounding environment.



In today's world, data is created much faster than available human resources can effectively use it. Automatic Target Recognition (ATR) is a technology designed to enhance the utility of military systems by interpreting data faster and more accurately than human analysis alone.

Intelligence, Surveillance & Reconnaissance (ISR) platforms produce a constant stream of data. The rate at which platforms and sensors are being deployed in this domain outpaces the rate at which human analysts can be trained and mobilized. Moreover, since each analyst can only review a small subset of data, it is possible to miss the big picture provided by all platforms surveilling an area.

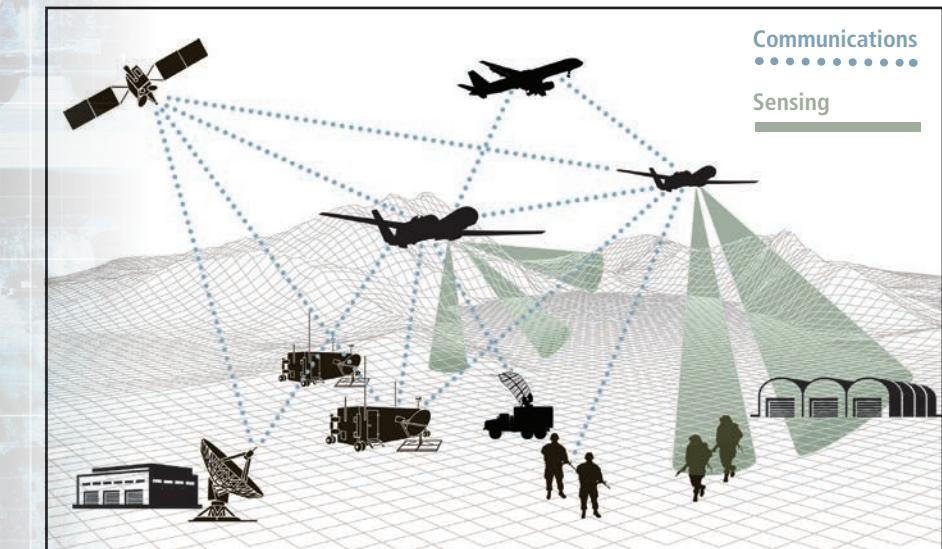


Figure 1: Sensors gather and share data across the battlespace

As the capabilities of threats to engage and destroy aircraft increase, maintaining air dominance in the battlespace becomes more challenging. Oftentimes, an aircraft's survival and mission success depend on mere seconds in a pilot's decision making process. While early detection and early warning systems help, as enemy capabilities proliferate so do the technologies to defend against them. As a result, HUDs and cockpit control systems are becoming increasingly complex and data intensive, making it difficult for pilots to execute within the critical short timeframes required.



Figure 2: Identifying the correct object amidst many very similar objects can be a challenge

Today's weapons must fly faster, farther, and hit more precise aimpoints than ever before. Asymmetric and urban warfare require precision guidance while Anti-Access/Area Denial capabilities demand weapons be launched from farther away, with less opportunity for pilot guidance. Capabilities beyond fire-and-forget are required, and often missiles must be launched *before* a target's location has been clearly identified.

ATR systems specifically address these challenges, helping to meet warfighter needs and maximizing utility of Raytheon-built sensors, platforms, weapons, and ground based systems.

THE CHALLENGE IS REAL

Humans can readily interpret an outdoor scene or photograph, and may easily take for granted or underestimate the complexities and challenge of the underlying problem. To interpret an image, you must identify and differentiate spatially varying intensity patterns resulting from a highly complex transformation of sensor specific phenomenology, viewing geometry, surface materials, and environmental conditions. One may think of image interpretation as "natural," but the quantity and quality of training data required to develop this capability is significant. For example, babies are presented with a nearly continuous stream of labeled training data from their parents, siblings, and even TV shows

all designed to teach letters, animals, vehicles and other images. A young child will see photographs of dogs, drawings of dogs, cartoons of dogs, and likely interact with a dog in the process of learning and understanding "dog." Even with this amount of training input, it takes years of trial and error for children to become skilled at identifying objects in a complex scene with many distractors, for example, finding a specific vehicle in the parking lot depicted in *Figure 2*.

Conversely, ATR algorithms are expected to reach the same level of recognition performance with only a small number of samples collected over a limited set of conditions. This is why classical ATR approaches typically behave well only when the operating conditions are very similar to the training data. When objects of interest are embedded in complex environments, such as an urban landscape, or when techniques are applied to disguise signatures or deceive the system, classical algorithms often fall apart quickly. In these situations, templates may no longer match; characteristics extracted from principal component analysis or other decomposition methods may no longer be present or might have been altered; and hand crafted features, while robust, are limited by the imagination of the designer and frequently cannot distinguish similar objects.

EMERGING ADVANCED APPROACHES

A number of different approaches exist for building ATR systems. One method relies on the creation of a database containing three dimensional (3D) computer aided design (CAD) models of targets to be identified by the ATR algorithms. These models are rendered into two dimensions (2D), taking into account sensor specific phenomenological effects and viewing geometry to produce synthetic 2D signatures that are scanned across an image to find the best match. Although this is a purely physics based approach and theoretically requires no measured data for training, the 3D models require CAD modeling expertise and are expensive to build. Additionally, some amount of measured data is needed for calibration purposes.

Recently, deep learning algorithms have been applied to ATR systems. These algorithms are automatically trained, using large amounts of data, to learn differences between target signatures of interest — eliminating the need for human phenomenological or 3D modeling expertise.

There is rarely enough measured training data available for deep learning ATRs to adequately cover all possible imaging conditions of interest. One approach for generating large amounts of synthetic data, sufficiently similar to measured data for deep learning algorithms to be effectively trained, makes use of Generative Adversarial Networks (GANs). GANs are an unsupervised machine learning approach utilizing two competing network models, one discriminative and the other generative.¹ A topic of active interest across the entire deep learning community, GANs are actively being investigated and adapted across Raytheon under ongoing Independent Research and Development (IR&D) efforts.

Pure model based ATRs and Deep Learning based approaches are two extremes of the continua shown in *Figure 3*. The model based approach maximizes encoding of

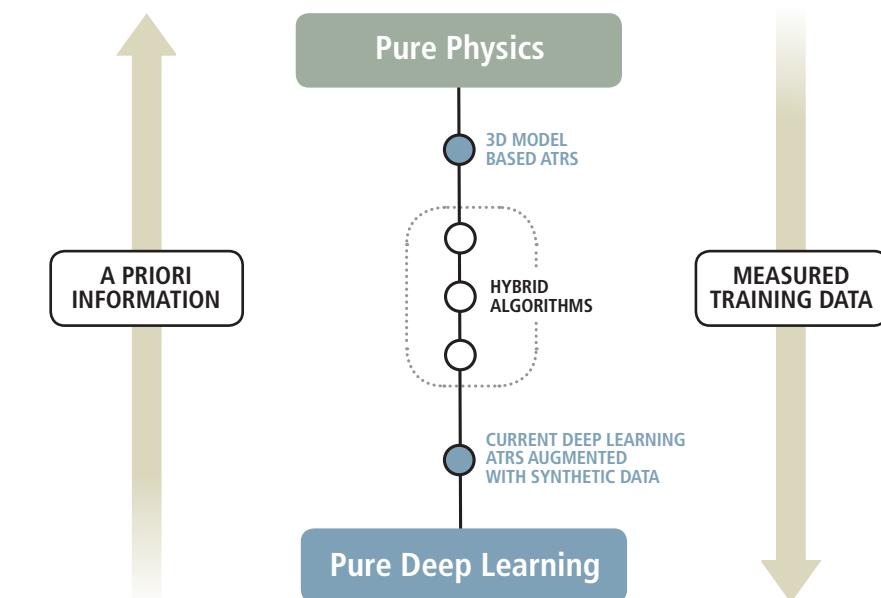


Figure 3: Emerging Automatic Target Recognition (ATR) approaches incorporate rapid 3D target modeling and Deep Learning

a priori target information by humans whereas a pure deep learning approach requires no a priori information but requires significant measured training data. Between these two approaches, we believe there are hybrid algorithms that pay some cost in providing limited a priori information but with the important benefit of reducing the amount of training data needed.

Increasingly, the distinguishing capability for military success is knowledge of the battlespace, and ATR provides real-time knowledge across a broader swath of data than previously possible by human operators alone. Raytheon's investment in ATR technology is building a foundation for the future of military combat. We are developing advanced machine learning algorithms capable of being trained with limited data and deployed on computationally limited platforms. Integrated into Raytheon's product offerings, they will be trusted to provide the right answers even in challenging environments of complexity and adversarial action.

Mark Berlin
Matt Young

¹ Generative Adversarial Nets, Ian J. Goodfellow, Jean Pouget-Abadie, Mehdi Mirza, Bing Xu, David Warde-Farley, Sherjil Ozair, Aaron Courville, Yoshua Bengio, Département d'informatique et de recherche opérationnelle Université de Montréal, Montréal, QC H3C 3J7.

PROACTIVE EMERGING THREAT DETECTION (PREVENT)

In today's world, terrorist and other hostile threats to both domestic and international peace can appear almost anywhere. When acts of aggression or harmful events occur, post forensic analyses are conducted to determine cause, methods and associated patterns, which are then used to avoid similar events in the future.

The adversaries are creative however, improvising and creating new tactics every day. Consequently, there is a need for a proactive and predictive capability to detect threat activities before harmful events occur. In many cases, anomalous behaviors can be indicators or predictors of hostile activities, and if detected, they can generate alerts in real time, helping to thwart an action before it occurs and provide an opportunity to save lives. Raytheon has developed such a capability in an analytical tool, PROactiVE emergiNg Threat detection (PREVENT), based on a dynamic stochastic network, to assess unusual patterns or behaviors as they happen.



FEATURE

PREVENT

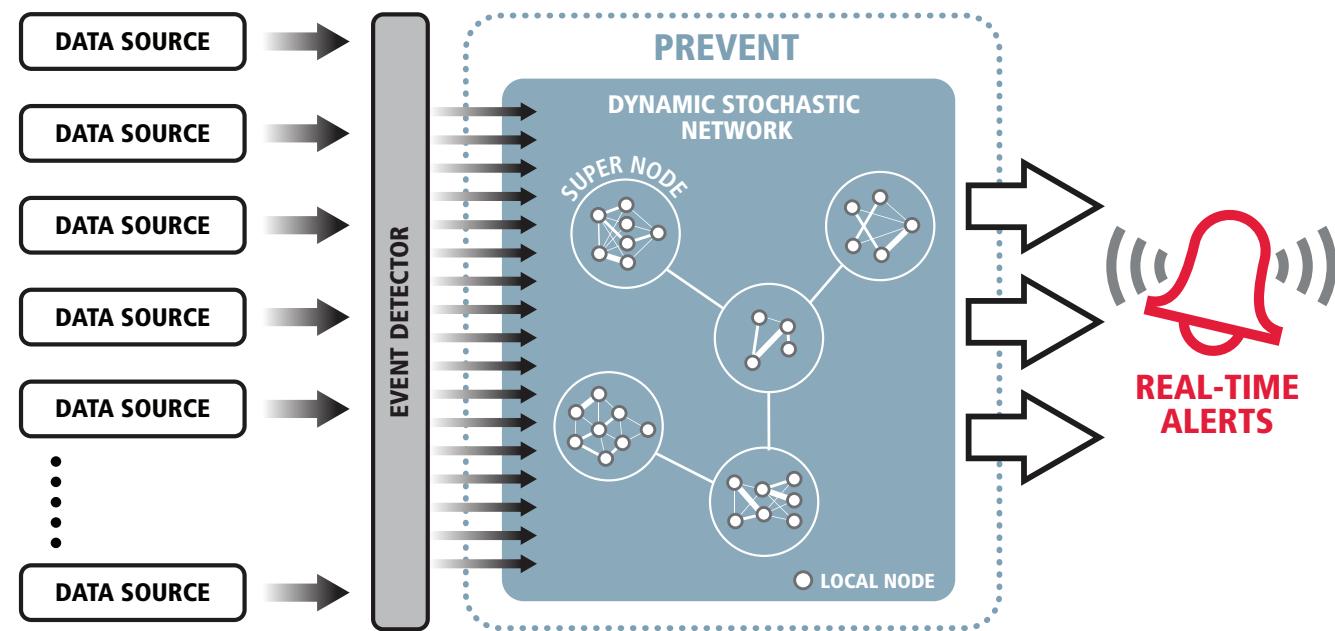


Figure 1: PREVENT functional block diagram

PREVENT detects an emergent threat behavior in real time by computing an instability metric of the stochastic network. It provides early warning to operators based on this detection, who then conduct further analysis on the identified participants in the anomalous behavior. PREVENT is a general behavior analysis capability and has been applied to detect emerging threats in many environments, such as cyber/computer networks, oil rig operations, air traffic and littoral activities. This article presents an overview of the tool's functionality along with its performance in example cases.

A functional block diagram of PREVENT is shown in *Figure 1*. It operates by the collection, correlation and categorization of events, forming a dynamic stochastic network depicted in the figure as a network graph diagram. Events are collected from multiple data sources, each corresponding to an agent ("who"), event type ("what") and event time ("when"). As they are received and processed, the network is formed, or learned, consisting of sparse super nodes shown as large ellipses with dense local nodes contained

therein. Events in the network are represented by lines or connections (called edges) between the nodes. The nodes are represented by the agents associated with the events. As agents interact over time, connections are made or broken. These connections increase or decrease in strength with the number of events the agents have in common, shown by the thickness (or weight) of the edges between the two nodes. Sets of densely connected nodes in the network are modeled as a super node, which represents events and agents associated with one type of data source. The sparse connectivity between super nodes reflects the relationship of events/agents between the different data sources.

In this fashion, PREVENT learns the network structure as different data sources and events are available. Periodically, PREVENT estimates the stability of the network's current state. For this, it summarizes key statistics about each active agent such as configuration (the number and type of events in which it is participating), duration of events and its connections both within the super node and to other super nodes. The nodes within each super node correspond to agents (people) using the computer and telephone network, respectively. When several computers are exchanging data,

the IP address (and associated user) of each computer is represented by a node within that super node, and connections (edges) are made between them reflecting the specific data exchanges. Similarly, in the telephone network super node, each phone device (and associated person) is a node, and any calls among these phones are reflected in the edges between them. If a node in the computer network calls a phone in the telephone network, a voice over IP (VOIP) call or text message for example, then a connection between these two super nodes is made.

Detections

this difference is above a specified threshold, PREVENT generates associated alert(s) to the operator or analyst. With this information, further specific monitoring can be started; additional data sources or sensors activated; or an appropriate course of action initiated to stop further threats or harmful activity. The period for network stability calculation and alert thresholds are configured based on the specific environment and events being monitored.

A SWARMING BOAT USE CASE

The capabilities of PREVENT are readily demonstrated in the swarming boats scenario shown in *Figure 2*. The scene involves a strait with both fishing areas and shipping lanes populated by different types of vessels such as cargo ships, fishing boats, pleasure craft and military vessels. As shown in the figure, activities include cargo ships navigating through the strait via one of two shipping lanes; fishing by four groups of fishing boats (green circular formations); military vessels in the shipping lane moving faster than the cargo ships; and twelve small fast boats disguised as pleasure craft, manned by persons (agents) ultimately planning to take aggressive action against the military vessels. As the scenario plays out, groups of the fast boats enter each fishing area and stop among the fishing boats. Then after some time, they set an intercept course for the military vessel(s).

The data source for PREVENT in this scenario was events created from vehicle tracks received from multiple radar sensors. Raytheon's Intersect Sentry™ product generated events from the tracks using different analytics, such as proximity, heading changes, speeds above and below limits, acceleration and deceleration. PREVENT then processed the events and the agents (vessels) associated with the events to dynamically form the stochastic network used to detect anomalous behavior. *Figure 3* is a plot of the network stability metric computed by PREVENT throughout the scenario. The instability can be seen to rise above the threshold whenever there is anomalous behavior of fast moving craft, such as traveling

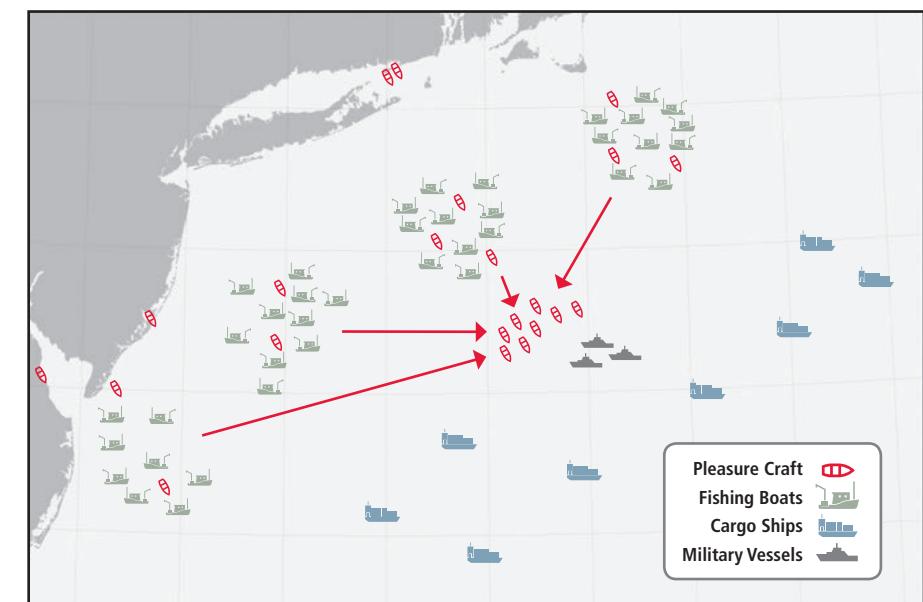


Figure 2: Swarming boat scenario

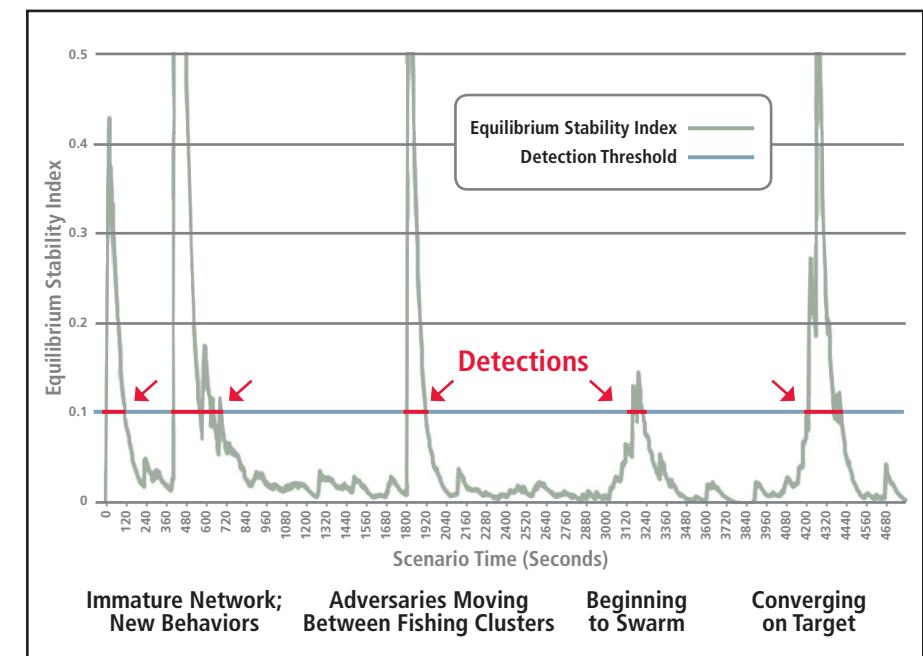


Figure 3: Anomalous behavior detection in the case of swarming boats

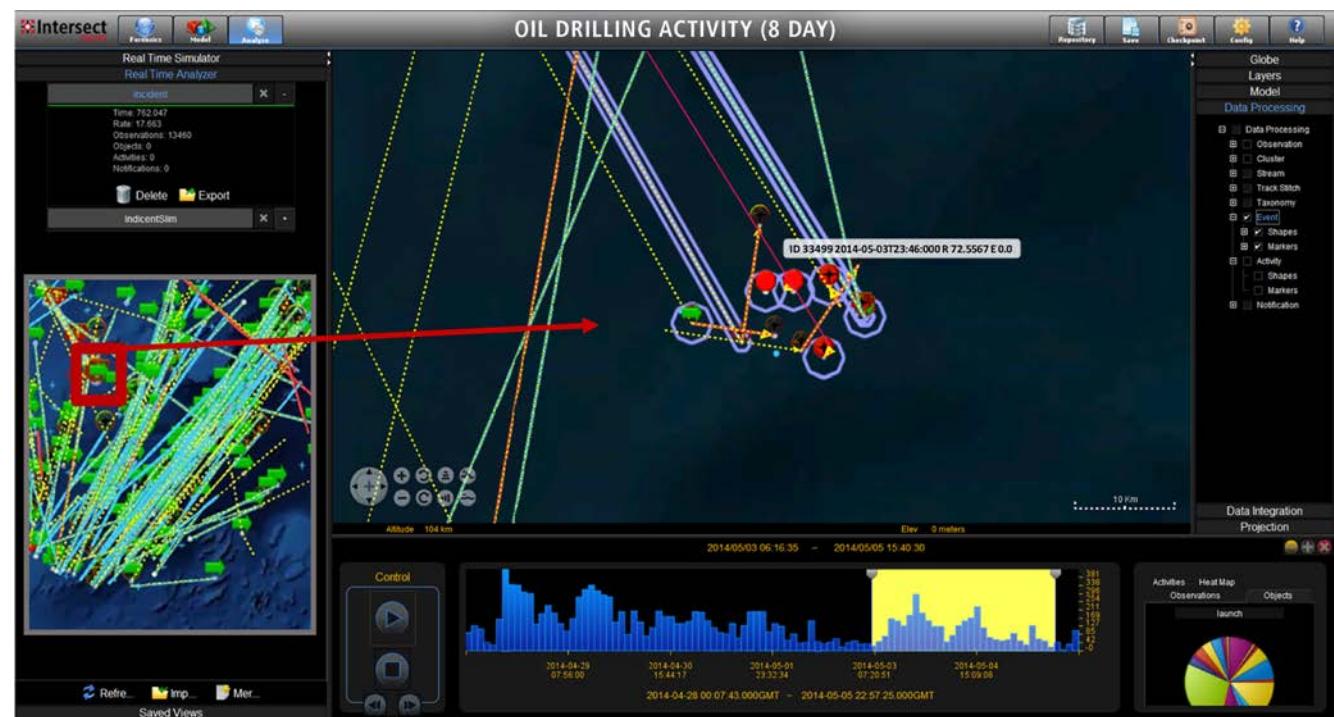


Figure 4: Intersect Sentry operator screen monitoring activities near area of interest. Center screen is the zoomed area of anomalous ship activity.

between fishing clusters, an initial swarming toward the shipping lane and finally, converging on a target. Although the instability is above the threshold early in the scenario (before 1000 seconds have elapsed), PREVENT ignores the detections as the network is still being formed. After the network matures, PREVENT acts on all detections by generating alerts to the operator.

OIL DRILLING USE CASE

PREVENT has been demonstrated to work on real-world data associated with oil drilling activities. Figure 4 shows an Intersect Sentry operator screen monitoring an offshore area of interest with ongoing oil drilling operations. Similar to the previous swarming boats case above, Intersect Sentry extracts events analytics from the real-time track data such as loitering, immediate proximity and immediate proximity exit. In this longer scenario, PREVENT uses the events and the associated agents (or actors) to learn

the network and then computes the instability metric over a period of days, detecting and reporting anomalous behavior(s). The number of alerts per day reported by PREVENT is shown in Figure 5. The typical activities correspond to oil drilling and the associated craft's movement. The anomalous behavior corresponds to an adversary's craft steaming to join the drilling activity. The increase in reported alerts shown in Figure 5 is associated with the Identified ship (ID 33499), a driver of network instability as it steamed to join drilling activity over five separate alerts. This matches the truth for that time period, shown in the zoomed scenario area in the center of the operators screen in Figure 4.

PREVENT's architecture is designed to scale. PREVENT has been integrated with Docker^{TM1} and Raytheon Space and Airborne Systems' (SAS) Adaptive Technique Manager (ATM), which enables distribution of PREVENT across multiple systems and computational parallelization of events and stochastic network learning. SAS ATM

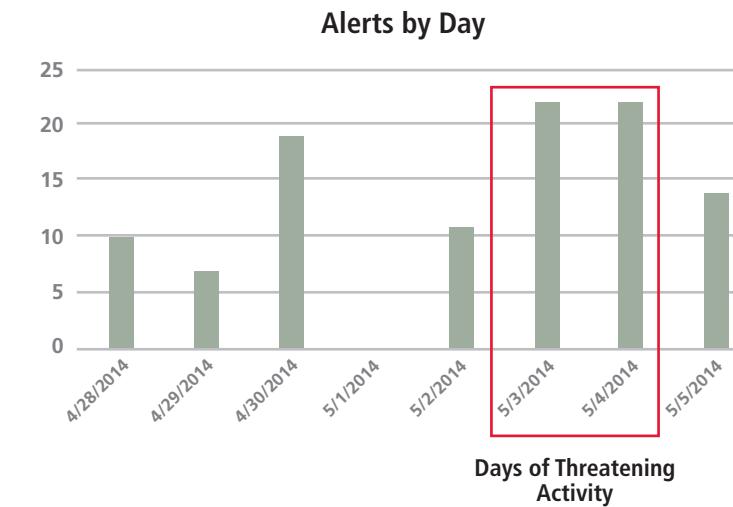


Figure 5: Alerts per day reported by PREVENT

is an event-driven workflow manager for performing both man-in-the-loop and fully automated missions. It consists of a web interface, sensor adapters, event processors and technique manager. Sensor adapters receive data from different sensors or data sources and convert the data into streaming events. The event processor receives these events from the sensor adapter and generates events analytics which are used by PREVENT to learn the stochastic model previously described. Docker is a lightweight, open, scalable and secure commercial tool. It can accelerate software development, eliminate environmental inconsistencies and easily distribute the sharing of content or application to many platforms. By integrating PREVENT with ATM and Docker, it can be scaled to environments with millions of events and tens of thousands of actors.

In addition to scalability, PREVENT is architected to be a real-time proactive analytics tool. For example, in the oil drilling scenario depicted in Figure 4 with 840 actors and 60,000 events, PREVENT was able to process the data and arrive at the anomalous event in less than a minute on a laptop computer. PREVENT can ingest data from multiple disparate sources simultaneously and can learn relationships among those sources and actors. Future work includes integrating PREVENT as part of Raytheon SAS's Cyber Electro Magnetic Battle Management

(CEMBM) product for predictive analytics and to detect anomalous spectrum behaviors of adversaries. Based on how the spectrum is denied and used by the adversaries, Electronic Warfare Officers can maneuver and counter adversarial attempts to deny spectrum to U.S. military forces. In summary, PREVENT is a novel approach for detecting emergent threat behavior through the modeling of events and actors as a dynamic stochastic network. It utilizes an unsupervised learning approach for real-time proactive analytics and can easily model new domains simply by defining a new set of associated actors and events. PREVENT's forensic capability allows learned configurations to be stored as a sequence with associated time stamps and then later analyzed to determine associations between actors, events and activities. PREVENT is an important analytic tool for Raytheon and its customers — providing a capability to thwart harmful events and save lives.

Dr. Shubha Kadambe



Shubha Kadambe, Ph.D.
Raytheon Space and Airborne Systems

Dr. Shubha Kadambe is a senior engineering fellow at the System Engineering, Integration and Test (SEIT) Center for Raytheon Space and Airborne Systems (SAS). She has in-depth experience in the development of advanced and innovative machine learning (ML) and artificial intelligence (AI) algorithms for applications such as electronic warfare (EW), radar, sonar, speech and communications. Dr. Kadambe uses this background to lead engineers in the research and development of AI/ML capabilities for cognitive EW; activity based intelligence (ABI); smart sensors; human-machine teaming; and efficient decision-making tools to reduce warfighter workloads and improve their ability to make quick decisions.

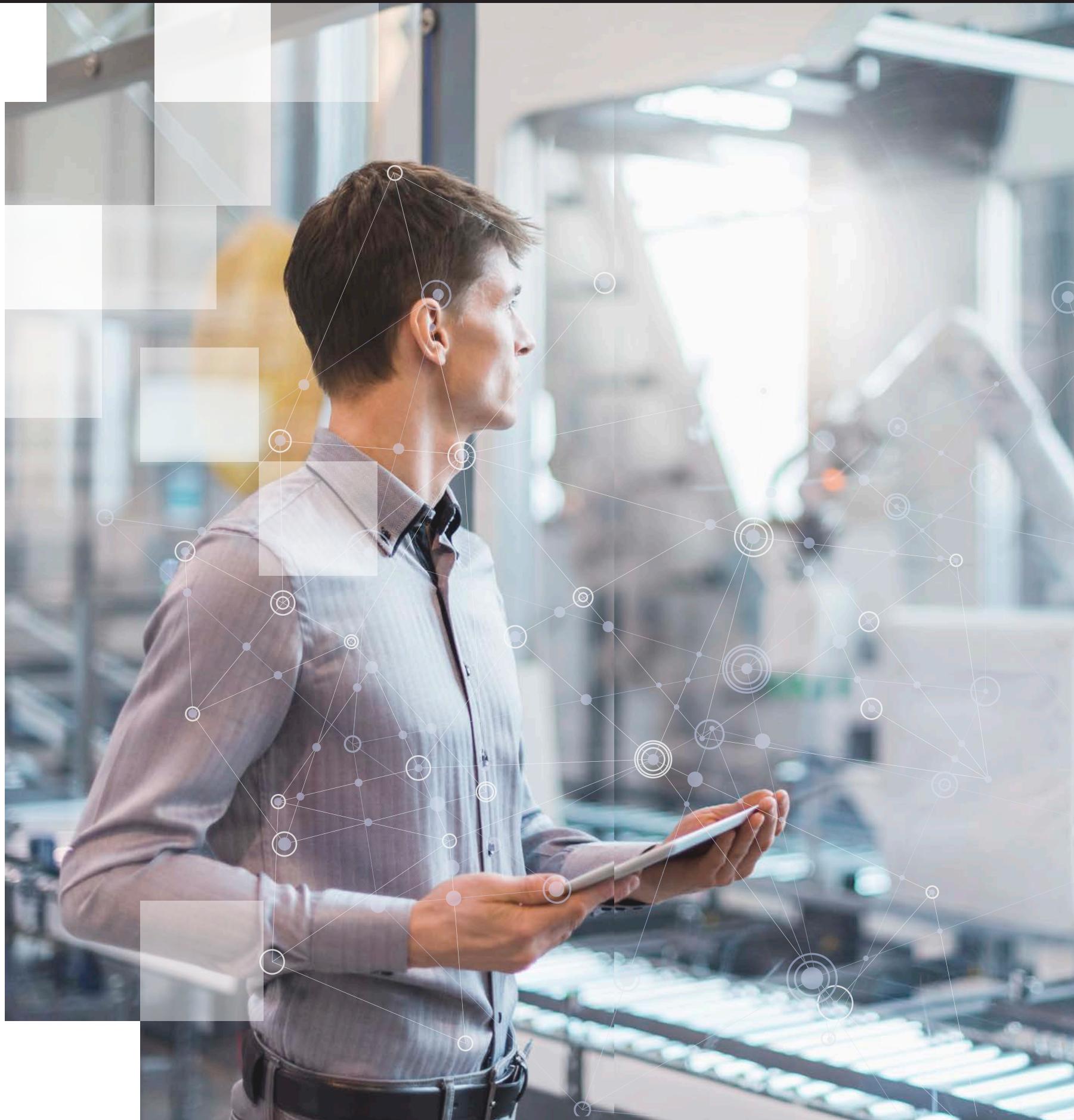
Prior to joining Raytheon in February of 2013, Dr. Kadambe worked for Rockwell CollinsTM, Inc. where she led a team of engineers to develop, from concepts to prototype, a cognitive EW architecture and system to be part of a larger communication system. Dr. Kadambe was a program officer at the Office of Naval Research (ONR) prior to joining Rockwell Collins. At ONR, she managed signal/image processing and understanding, a multi-university research initiative, and Small Business Innovation Research (SBIR) programs. Additionally, Dr. Kadambe has held various research positions at HRL LaboratoriesTM, Atlantic Aerospace Electronics Corporation and AT&T Bell Laboratories, where she successfully completed several Defense Advanced Research Projects Agency (DARPATM) projects and other Department of Defense (DoD) funded projects. When asked for advice for up-and-coming engineers at Raytheon, Kadambe said to "be passionate, have conviction and understand the underlying physics of the problem that you are working. When facing a problem, have the bigger picture in mind, and once you've come to a conclusion, analyze your results to be sure they support that conclusion."

Dr. Kadambe obtained her Ph.D. in Electrical Engineering from the University of Rhode Island. "My interest in science and math in high school led me to engineering," she reflects fondly. "And the hands-on training I had during my undergraduate work in engineering led me to a career in research." Dr. Kadambe's technical credits include more than eighty referenced journal and conference papers, seven invited chapters, an IEEE[®] video tutorial on Wavelets and its applications, 26 U.S. patents and four trade secrets.

¹An open-source container-based platform for effective application development and deployment (<https://opensource.com/resources/what-docker>)

MACHINE LEARNING IN THE FACTORY

Raytheon develops, matures and exploits machine learning to improve designs, enhance performance and raise the quality of its solutions in support of customer missions. Raytheon also utilizes these advanced cognitive and analytical methods to continually enhance its business operations. Machine learning powered approaches are now emergent across the company in all disciplines, including Engineering, Operations, Information Technology, Supply Chain and Business Development, helping to improve decision-making and maintain a competitive advantage.



APPLYING MACHINE LEARNING IN THE FACTORY

Raytheon generates a vast amount of data throughout the design, production and deployment of its complex defense systems. At each step, data is generated and retained, capturing details, decisions, measurements and transaction logs associated with products, processes and people. Some examples of data types include design simulations, supplier purchase orders, assembly steps, component tests, final quality assessments and field testing. One area that is particularly data-rich and filled with opportunity to apply analytics and machine learning is the factory floor, where the production, integration and test validation of products are completed.

Machine learning can provide predictive power and actionable insight to nearly all aspects of manufacturing on the factory floor. Unlike descriptive or diagnostic analytics, predictive analytics enabled by machine learning provide future predictions based on patterns discovered in historical data (Figure 1). Insight into the future, as opposed to statistics of the past and present (descriptive and diagnostic analytics), enables a business to be less reactive and more proactive in its decision-making. For example, a probabilistic estimation of when a product will fail permits proactive planning before the failure ever occurs. This technical approach can be applied within manufacturing across multiple areas to improve product yields, decrease cycle time, and eliminate redundant testing and processes. It also helps improve product quality through lower probability of defects, reduced scrap and decreased costs due to rework. All of these benefits increase competitive advantage and ensure high-quality products are delivered quickly and efficiently to customers.

MACHINE LEARNING IN THE FACTORY

Machine learning tasks can be categorized into three broad categories: supervised, unsupervised and reinforcement. Supervised learning is applied to understand the relationship across various inputs to predict specific outputs. For example, supervised learning can be used to evaluate attribute data about a component (input) to predict the probability of a future defect (output), and ultimately use that information to reduce the likelihood of occurrence or eliminate it totally. Unsupervised learning is applied to discover patterns or a hidden structure from input data where the output is unknown; for instance, it can be used to cluster or detect anomalies within product quality. Lastly, reinforcement learning is applied to find the ideal behavior within a given situation in order to maximize a reward; for example, it can be utilized to maximize physical space within a factory layout. Supervised and unsupervised learning have the most direct applicability to the manufacturing environment, although reinforcement learning may have utility for certain applications. Both unsupervised and supervised machine learning use iterative algorithms designed to learn continually and seek optimized outcomes as more data is incorporated. These algorithms can detect patterns across intricate datasets in seconds, thereby identifying optimized outcomes in seconds, where an analyst may require weeks to make the same determination. Further, the specific algorithms employed are chosen based on their higher interpretability (or explainability — the understanding of why an algorithm makes a specific prediction), *Figure 2*. This understanding provides more actionable insights for daily operations.

Figure 1: Analytics capability trade-space

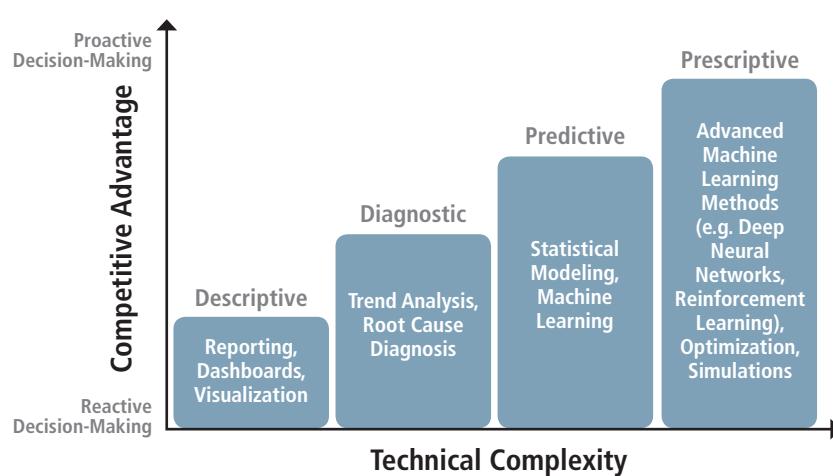
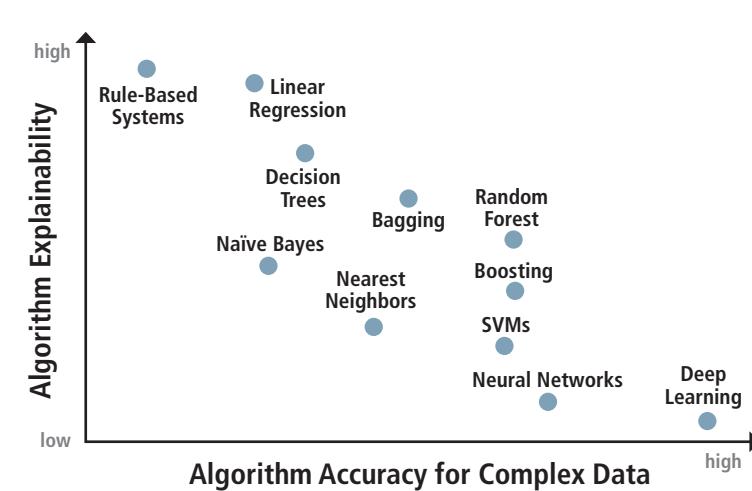


Figure 2: Tradeoffs between accuracy and explainability of techniques



AN APPLICATION OF MACHINE LEARNING WITHIN THE FACTORY: DREAMACHINE PROJECT

Introduction

At Raytheon, as each part is manufactured or purchased, component assembled, subsystem integrated, and tested on the factory floor, data is generated and stored to business and enterprise information systems. On a high-rate production program with multiple domestic and international customers, slices of the data are regularly used to construct reports and metrics, ensuring that the manufactured products met their quality and on-time delivery requirements. Large-scale data integration and analysis of this data is typically difficult due to historically siloed information systems, expensive storage costs, and discipline-specific nomenclature across data sources. However, with the application of machine learning in projects such as DREAMachine (Defect/Test Reduction Empowered by Analytics and Machine Learning), the cost-benefit tradeoff is shifted due to the ease of applying machine learning techniques and the broader insight they provide. Machine learning makes it possible to quickly sift through vast amounts of information, recognize complex patterns and predict future outcomes to support data-driven decision making.

Overview of the Technology

DREAMachine applies machine learning to traditionally siloed data sources to achieve a whole-systems view focused on reducing testing and predicting future defects (*Figure 3*). The execution of testing and rework of defects account for a large portion of the cost of manufacturing products; therefore, any opportunity to reduce these costs can have a sizable impact to a business. DREAMachine extracts data from business warehouses and enterprise systems, automates the data integration across sources, and builds upon open-source analytics and machine learning software libraries. It employs a modular architecture, where additional data sources are easily integrated as new information emerges, to achieve greater predictive accuracy and deeper systems-level understanding.

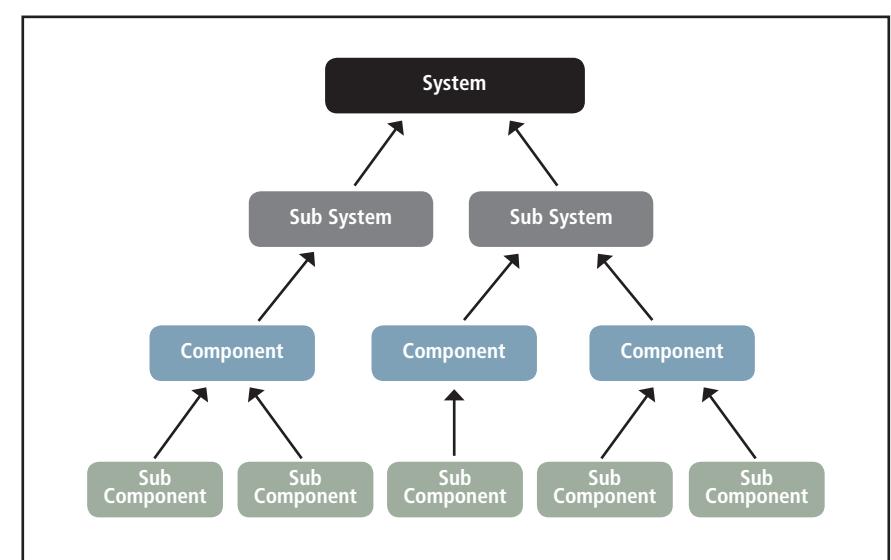


Figure 3: Whole system view versus traditional single component view

MACHINE LEARNING IN THE FACTORY

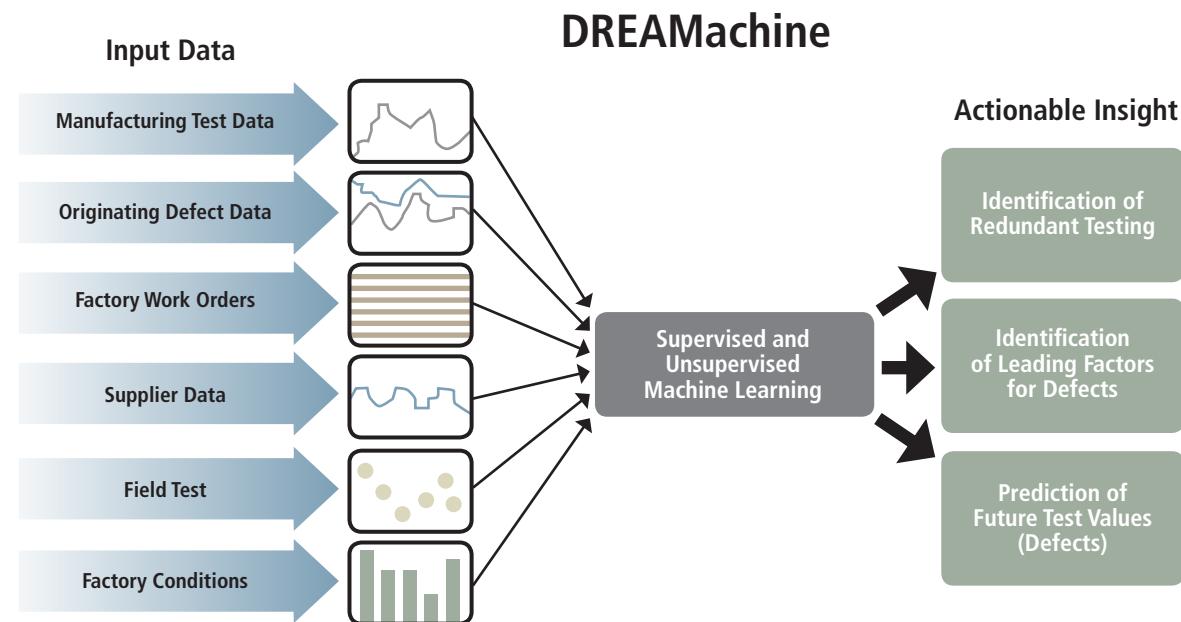


Figure 4: Overview of RIC DREAMachine generalizable framework

First, DREAMachine imports production process data from multiple information systems, such as enterprise resource planning (ERP) systems, databases and servers. This data can represent parametric test data from components, quality fault codes, work operation orders, supplier data and other production related information. Next, the data is filtered and joined to relate information across disciplines and through all levels of components and systems in the product structure. Finally, exploratory data analyses, including unsupervised machine learning approaches (e.g. k-means and hierarchical clustering, principal components analysis, linear discriminant analysis) are performed. It is these methods and algorithms which identify meaningful new groupings that point to potential opportunities to improve testing procedures and operational processes.

For example, k-means clustering can be applied to the component and system test values to identify clusters of similar values and highlight areas of redundancy. Then, supervised machine learning methods (e.g. decision trees, gradient boosting, random forests, support vector machines, naïve Bayes) are applied to predict future defects at both the component and system levels (Figure 4). Specifically, parameters such as test values, time of day the operation occurred, location of the test chamber and quality attributes are utilized to probabilistically predict if and when a failure will occur. In other words, historical data about the tests and operations are used as input into a model, an ensemble model like gradient boosting for example, to learn and discover patterns across the data from which future pass/fail predictions can be made.

Results

In the initial stage of DREAMachine development, the project team's analysts partnered with a production program to create the use case and ensure that the implementation added value to key decision makers on the shop floor. The supervised learning methods applied to predict failures at the component and system levels achieved accuracies of up to 99% in predicting failures. More significantly, the unsupervised learning methods identified areas of redundancy in the test flow, highlighting opportunities for process optimization.

Early on, test and reliability engineers suspected that the elimination of certain testing operations could speed up the production line while maintaining strong quality standards. DREAMachine integrated traditionally siloed historical data and analyzed the dataset, validating that a lengthy series of test operations provided redundant information without additional benefit or insight, and could possibly be reduced or eliminated. Further, it was determined that suggested process optimizations could increase production capacity by as much as 40%, potentially saving millions of dollars once enacted on the production line.

Next Steps

In close collaboration with a Raytheon program, DREAMachine developed and applied machine learning methods to reduce redundant testing and predict future defects that could enhance critical production metrics. The next steps include continued testing and validating across other programs. The reusable DREAMachine framework will be applied to accommodate lower-volume production programs, as well as programs with markedly different product features. Further evolution of DREAMachine will improve the algorithms and fine-tune overall application performance. Feature enhancements and additional program data will improve prediction accuracies and provide greater insight into the testing and quality of Raytheon's products. Aside from simply adding more historical records, the ability to combine

data across multiple programs is a powerful advantage. For instance, patterns related to a particular component may be undetectable on a single program, but with data from the same or similar component combined across multiple programs patterns become stronger and more readily detected, enabling formulation of more accurate predictions. The ultimate integration and analysis of data across all Raytheon programs and businesses will provide new and actionable insight at the enterprise level for enhancing the production of complex defense systems.

INDUSTRY 4.0 AND ADDITIONAL MACHINE LEARNING APPLICATIONS IN THE FACTORY

As the manufacturing space continues to experience an unprecedented increase in available data, the opportunities for applying and attaining value from machine learning will grow. Known as "Industry 4.0" or "Smart Manufacturing," the current industrial movement aims to include more automation and data generation within manufacturing systems by exploiting the Internet of Things (IoT), cyber-physical systems, cloud infrastructure, and cognitive analytics.

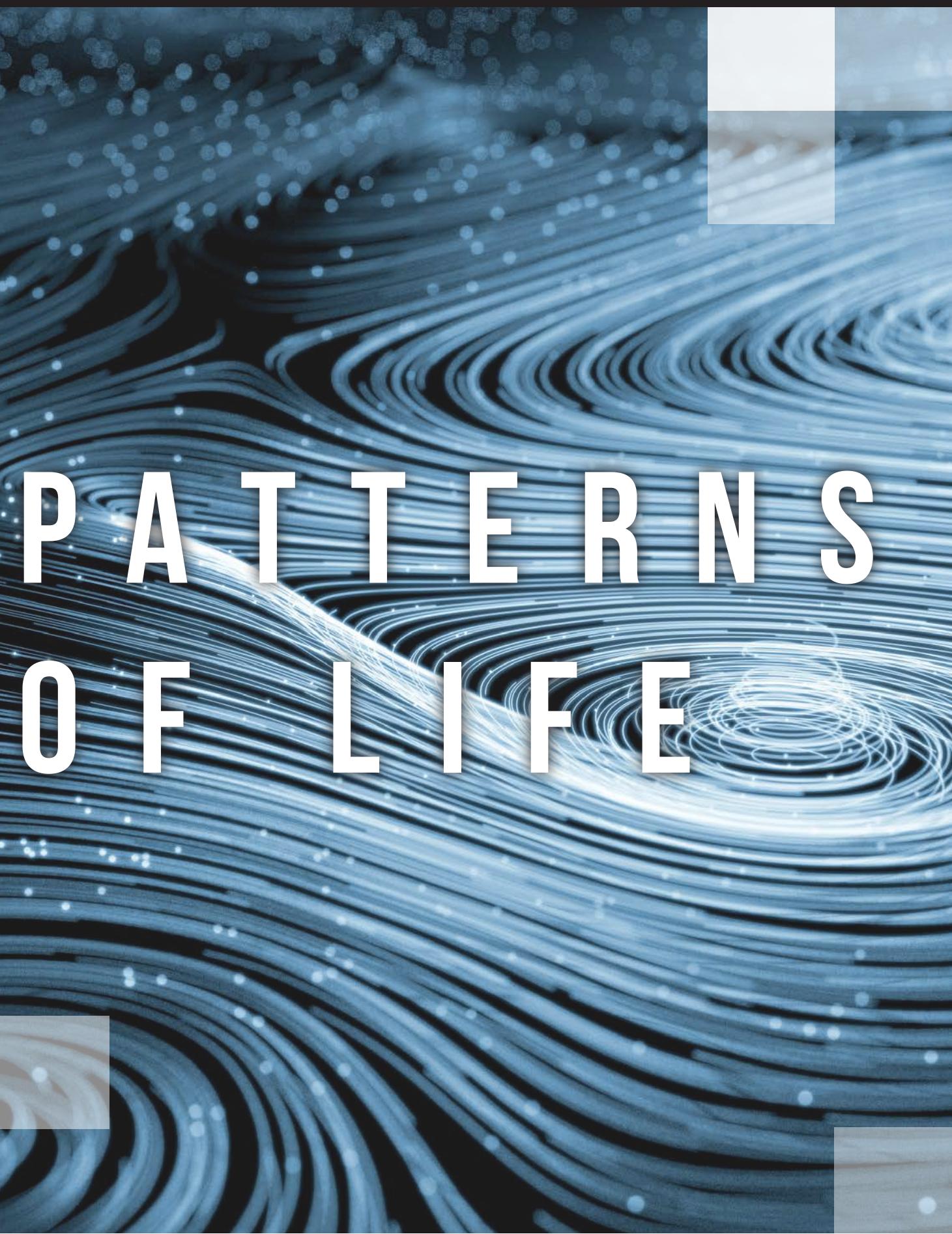
This data encompasses a variety of different formats, semantics, quality levels, and sources. For example, it could include sensor data from a robotics line, environmental data of the factory building, tooling calibration and maintenance, operation timing or assembler training history. This increase in data provides machine learning analysis opportunities to gain efficiencies throughout the factory.

Some machine learning applications that are already under development across Raytheon manufacturing include production schedule optimization, factory floor layout planning via reinforcement learning, predictive maintenance of machines, and automated quality inspections

using image processing empowered by convolutional neural networks. In conjunction with additional data sources, supervised machine learning models can be used to analyze and improve key business metrics, such as on-time delivery performance (probability of delivering products on-time across specific scenarios), cost forecasting (probability that the budget will be met given new constraints), and contract wins (probability of winning a specific contract and the associated risks).

In conclusion, machine learning-powered approaches have the potential to improve all aspects of the factory and business. As a leader in the defense industry, Raytheon continues to apply advanced analysis methods to understand the past, present, and future state of its factories, products, and businesses — making optimal, data-driven decisions and improving competitive advantage.

Kimberly Kukurba, Ph.D.



PATTERNS OF LIFE

FEATURE

MACHINE LEARNING FOR PATTERNS OF LIFE

With the rapid expansion in variety and accessibility of military, commercial and open/free data sources, Raytheon's customers are challenged to effectively leverage this information for detection, tracking and averting adversarial activity as part of daily Activity Based Intelligence (ABI) missions.

ABI is "...an analysis methodology which rapidly integrates data from multiple intelligence sources and sources around the interactions of people, events and activities, in order to discover relevant patterns, determine and identify change, and characterize those patterns to drive collection and create decision advantage."¹ Detecting, characterizing and monitoring Patterns of Life (PoL) is a critical ABI input. A PoL is best understood as a model, created through the analysis of entity, event and activity data which describes patterns in repeated activities, ongoing interactions or periodic changes in state. In military applications, patterns of life are useful for detecting anomalies, predicting future actions and helping to improve situational awareness from air, land, sea and space operating pictures. Today, practices within the Department of Defense (DoD) and Intelligence Community (IC) commonly include doctrine tuned to work on a single intelligence source, and are unable to process high volumes of data quickly enough to impact real-time decision making.

real-time decision making.

Raytheon's Intersect Sentry™ capability is meeting the volume and velocity challenges of today's data sources with services to detect, characterize and exploit patterns of life, at scale, in near real time. Leveraging big data machine learning for source fusion, target feature discovery and PoL modeling, it is able to make predictions about future target state. In order to form a complete common operating picture, Intersect Sentry combines customer data with open-source news data such as GDEL (Global Data on Events, Location and Tone); satellite imagery; video feeds of vehicle traffic flow; vessel track information from AIS (Automatic Identification System); air tracks from ADS-B (Automatic Dependent Surveillance - Broadcast); vehicle tracks from OpenStreetMap™; public utility patterns (e.g. electricity, water); and weather station reports from NOAA® (National Oceanic and Atmospheric Administration). Together, these sources provide a nuanced story for how a target behaves in space-time, how targets behave at the aggregate level or how the activity at a location changes over time.

Intersect Sentry's big data architecture gives the warfighter a decision advantage with real-time patterns of life, confidence metrics, and downstream exploitation for alerting and tipping. For example, today's Air Force warfighter must manage thousands of potential threats to space assets per day, and the timeline for input into Space Situational Awareness systems is on the order of minutes across all of the orbital regimes. Currently, there are more than 4000 maneuverable objects, but with the rapidly growing constellation of SmallSats (Small Satellites), this number is projected to nearly double by 2022, making real-time patterns of life and predictive machine learning models critical decision aids for timely mission inputs.

Marines are responsible for conducting such missions as enemy engagement, embassy protection, non-combatant evacuation and disaster relief. Mission planning for these efforts requires establishing and continuously updating normal baselines to quickly recognize, understand and, if necessary, mitigate anomalies in real time.

The Navy and Coast Guard are concerned with maritime domain awareness, including detection and interdiction of smuggling, illegal fishing and other nefarious activities. These challenges require the collection and fusion of open-source track and satellite information with Department of Defense (DoD) and Intelligence Community (IC) sources. Pattern of Life analysis of multi-source data is required to identify, track, characterize intent and predict future location or actions that would not be evident from single source analysis alone.

Through recent data partnerships, data acquisitions and existing proprietary sensor collection, Raytheon has unprecedented access to multi-INT (multiple intelligence) geo-temporal data sources, where the nature of the data is such that the read and update rate is beyond human comprehension and sensemaking abilities. For example: Twitter data has up to 330 million users and produces more than 500 million tweets/day; the Automatic Identification System (AIS) monitors more than 500 thousand vessels with roughly 150 million reports/month; and the Global Database of Events, Language and Tone (GDEL) generated a nearly 2.5 trillion node graph of new events in 2017 alone.

¹ Atwood, Chandler P., Activity-Based Intelligence: Revolutionizing Military Intelligence Analysis, Joint Force Quarterly 77 (2nd Quarter, April 2015), National Defense University Press.

FEATURE

MACHINE LEARNING FOR PATTERNS OF LIFE

As evidenced by recent broad agency announcements (BAA), requests for information (RFI) and requests for proposal (RFP), customers are seeking full exploitation of both commercial and military data assets for near real-time forecasts of target maneuvers, anomaly detection and activity assessments. Across military branches and the intelligence community, the new reality is that digital footprints and the resulting patterns reveal adversarial intent when leveraged in a timely and comprehensive way. Raytheon has made a significant investment in developing the necessary machine learning algorithms, products and systems to automate the creation and use of Patterns of Life at a scale to meet demands of both the IC and DoD. These real-time patterns provide Raytheon customers with the actionable intelligence they need to monitor adversaries, coordinate direct action forces and provide mission planning or collection tasking inputs in an increasingly complex and dynamic environment.

BIG DATA PATTERNS OF LIFE ON APACHE SPARK®

Intersect Sentry's Pattern of Life capabilities are part of a real-time multi-INT big data analytics ecosystem illustrated in *Figure 1*. The Pattern of Life models are built forensically from multi-INT time series observation data processed by Apache Spark² to extract patterns and generate predictive machine learning models. The resulting analytic products are pushed to a distributed Object Store and then queried by automated analytic agents to detect activity in near real time, assess potential anomalous conditions and make predictions about future activity.

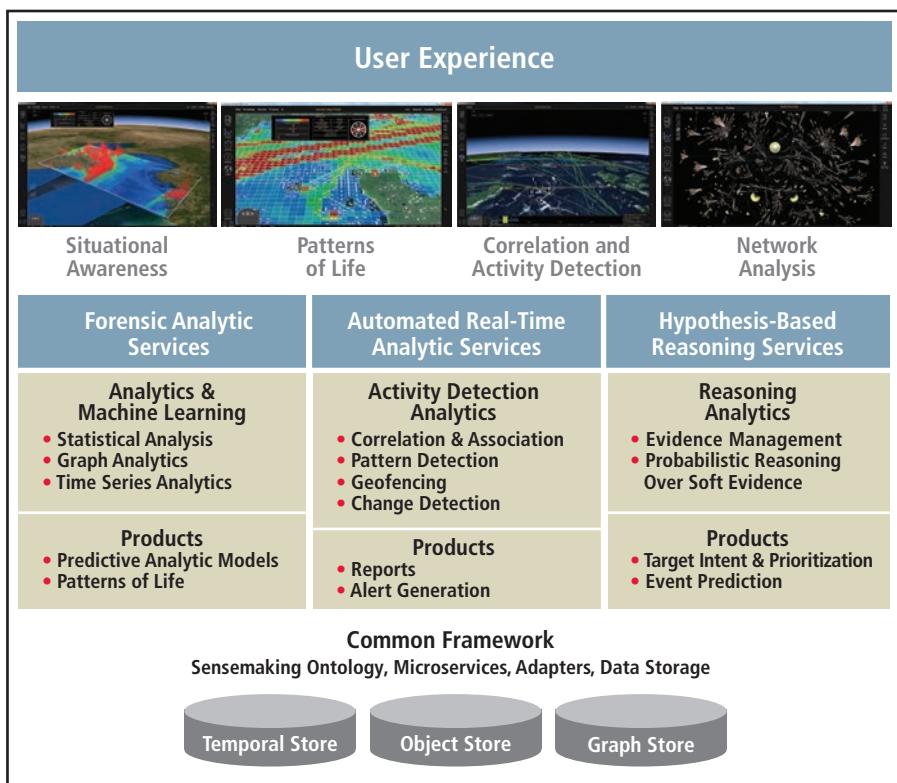


Figure 1: Intersect Sentry: Real-time multi-INT big data analytics ecosystem

With the volume and velocity of data coming from multi-INT sources, big data solutions are needed that scale appropriately based on the system load. Parallelizing updates to machine learning models and statistical summary data becomes increasingly important as the number of target entities grow beyond human operator capacity. Further incremental updates to global and local analytic products triggered by data source updates can quickly exceed the boundaries of performance for big server systems. Three specific big data capable machine learning products from Intersect Sentry's Analytic Suite are presented in the remaining paragraphs along with their application across the air, sea, ground and space domains.

GEOSTAT: BIG DATA, NEAR REAL-TIME SITUATIONAL AWARENESS

Intersect Sentry's GEOSTAT forensic analytic service continuously processes geospatial observation data to learn and statistically characterize global and regional patterns of life. These patterns are useful to define the historic norms of an area of interest for real-time anomaly detection and event prediction.

In the maritime domain, observations include vessel position data reported from the Automatic Identification System (AIS), a rich source of information about the speed, location and direction of travel for more than 500,000 vessels around the world. At a global scale, the GEOSTAT service has processed up to a year of maritime data to perform statistical analysis across all oceans and waterways at varying geospatial and temporal scales. Global, regional, and local patterns are characterized with associated probabilities enabling deduction of entity class, activity, and destination. Deviations and temporal changes to patterns of life are also analyzed.

In *Figure 2*, the observation frequency and density of vessels observed from AIS are visualized as a fluctuating heat map for the English Channel. To create the heat map, the area of interest is first divided into small cells, then the frequency is determined by the number of unique vessels in each cell, and the density is calculated as the number of vessels per unit area for the cell. In addition, probability distributions are calculated to determine the direction of travel and speed observed in each cell revealing patterns of activity such as routes and shipping lanes (*Figure 3*). This same area of interest can also be overlaid with flags (*Figure 4*), showing which country's ships are predominant in the various routes and regions.

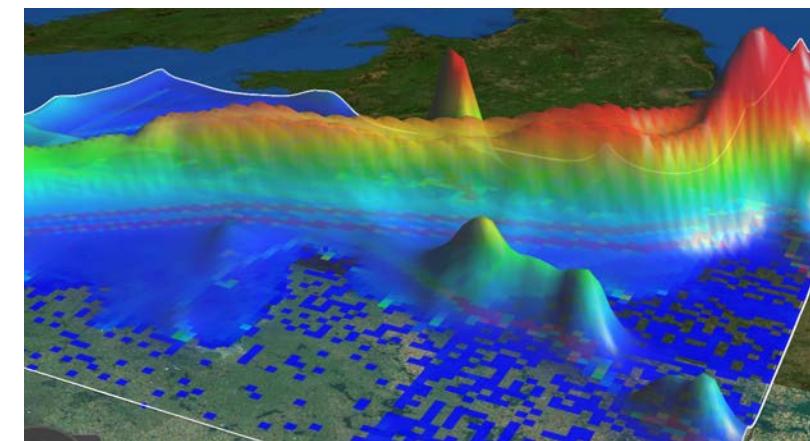


Figure 2: Intersect Sentry displays a fluctuating heat map of vessel frequency and density in the English Channel

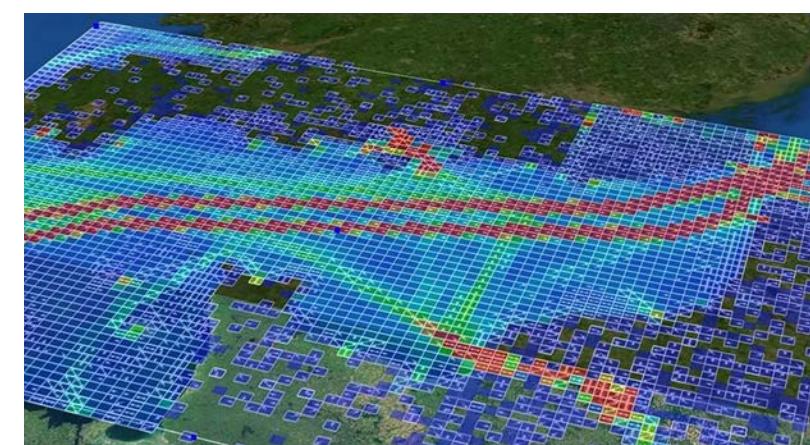


Figure 3: Intersect Sentry displays discovered routes and shipping lanes



Figure 4: Intersect Sentry displays a distribution of vessel country of origin for the region

²Apache Spark is a unified analytics engine for large-scale data processing (<https://spark.apache.org>)

MACHINE LEARNING FOR PATTERNS OF LIFE

BIG DATA, ENTITY PATTERNS OF LIFE SERVICE

To complement the aggregate patterns of activity, the PoL service analyzes observations at the entity level to generate Patterns of Life for individual actors. The goal of this analytic is to learn a probabilistic function to capture and quantify any recurring behavior in each entity state needed for downstream predictions and anomaly detection.

The PoL service processed AIS data to generate profiles for each entity based on their position and speed reports. A profile includes the most likely locations (hangouts) for an entity, the revisit rate for that location, and the typical speed of the entity at that location. *Figure 5* shows statistics generated by the service for the most common elapsed time between vessel detections and the vessel behavior at that location based on the reported speed.

CLUSTER ENTITY GRAPH SERVICE

Clustering is an unsupervised machine learning approach which organizes similar data objects into groups (or clusters). The clustering service consists of machine learning clustering algorithms that enable fast updates when entities change, or new entities are added or deleted. They do not require the number of clusters to be specified, only a similarity threshold, and entities are allowed to have membership in more than one cluster. If overlap exists in the clustering results, it is encoded as a weighted graph to uncover the interconnectedness of the entity set.

Clustering properties are further correlated with additional metadata about the entities in order to statistically label the cluster. As the clusters evolve, the service detects changes between the previous and current state, such as merged clusters, split clusters, new clusters, and missing clusters and generates operator alerts.

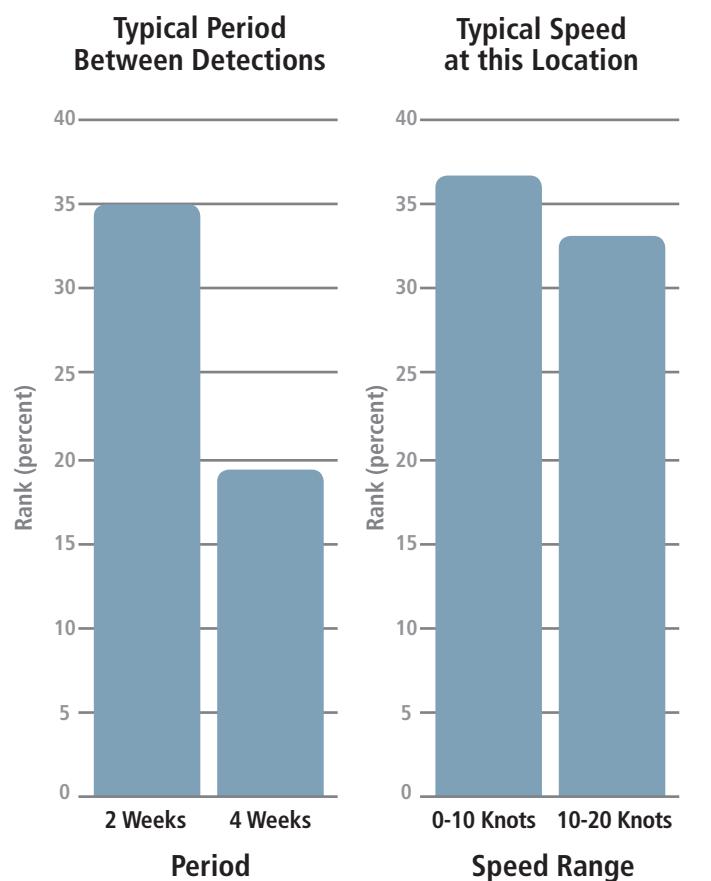


Figure 5:
Intersect Sentry displays the vessel location behavior statistics provided by the PoL service

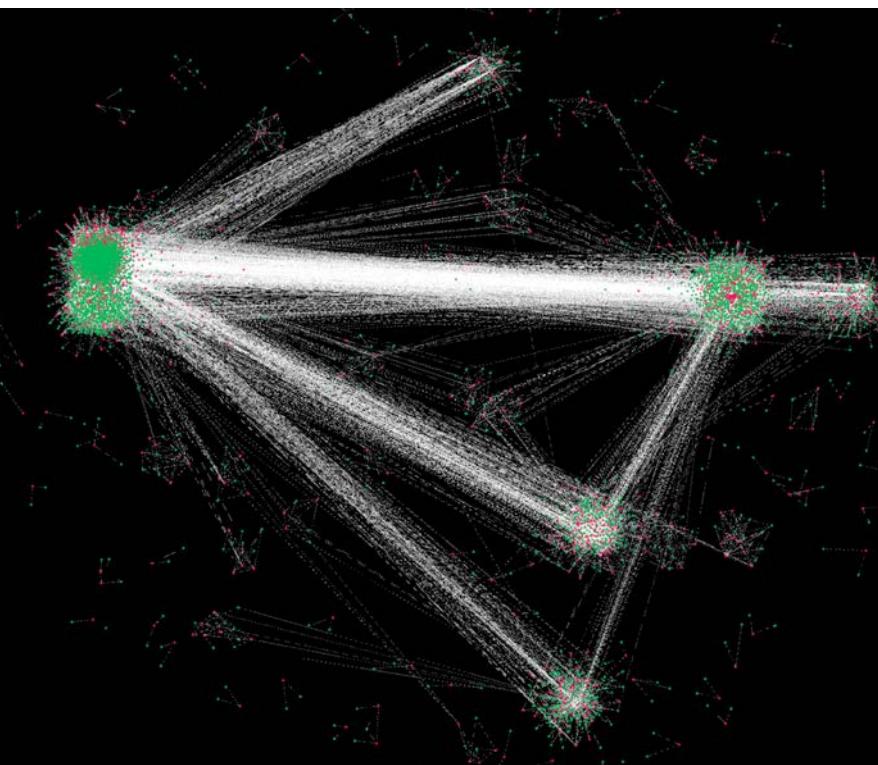


Figure 6: Intersect Sentry displays a graph of discovered LEO satellite clusters and associations

Space Situational awareness requires continuously tracking and monitoring all space objects across all orbit regimes to keep space assets safe from both adversaries and debris. Two Line Elements (TLEs) are data records containing identification and the latest orbital parameters for a satellite. The service processes publicly available TLEs from the Joint Space Operations Center for all active Low Earth Orbit (LEO) space objects. *Figure 6* shows the clusters discovered for the LEO satellite payloads and the connections between them. Red nodes represent the satellites, green nodes are the clusters. LEO satellites clusters are formed based on orbital characteristics of the satellites, grouping

satellites with similar orbits together. Edges (connecting lines) between red and green nodes indicate membership within that cluster; edges between green nodes are derived associations created when two clusters share the same member.

The clustering properties consist of the orbital parameters for each satellite. The entity metadata used to label the clusters included country, users, ground stations and launch sites. By correlating the orbital parameters with the entity attributes, properties of newly launched space objects can be inferred from their orbital parameter state.

Conclusion

Raytheon is at the forefront of pattern of life discovery, detection, tracking and prediction due to its extensive investment in data, customer relationships, big data infrastructure and machine learning analytic development. Future efforts will incorporate Recurrent Neural Networks (RNN) for time series specific predictions and deployment to the cloud to ensure horizontal scaling as data volume and velocity continue to increase. RNNs are able to capture and encode complex time series feature representations that outperform systems that encode temporal windows directly in the feature space. In addition to computational scaling, implementation within the cloud enables easier access to analytic resources, machine learning models and their outputs.

Christine Nezda



Christine Nezda
Raytheon Intelligence, Information and Services

As senior scientist in IIS' Automated Sensing and Analytics Capability Center, Christine Nezda specializes in the development and refinement of machine learning (ML) techniques in a variety of big data applications. She has extensive experience in ML, particularly as applied to pattern of life (PoL) discovery and natural language processing (NLP). Nezda is the lead data scientist on several independent research and development (IRAD) efforts to create big data machine learning solutions for Activity Based Intelligence (ABI), including PoL, data fusion, text analytics and constrained resource allocation. She has also applied these techniques for many Department of Defense (DoD) and Intelligence Community (IC) projects, including those of the Intelligence Advanced Research Projects Activity and the Air Force Research Laboratory.

"Data science and machine learning analytics provide our customers with actionable insight — patterns and predictions from massive quantities of data in support of ABI activities for target discovery, identification and tracking," Nezda states. "My passion is building data-driven systems to provide the IC with increased decision advantages and opportunities to apply cutting edge computer science and machine learning techniques to problems and programs directly impacting the protection of our national security."

Before Raytheon, Nezda worked 12 years as a lead research scientist for NLP efforts focusing on computational linguistics and machine learning algorithms for the IC. She published and presented multiple technical papers detailing results from advanced prototypes, which led to her joining Raytheon to work on fast-paced IRAD ML activities. Nezda has won several awards in her field, including Top System at the Question Answering Track at the National Institute of Standards and Technology's Text Retrieval Conference, 2004-2007.

As for advice she offers engineers beginning a career in her field, Nezda responded: "When tackling a new problem, start by defining success criteria and metrics, keep an open mind, rely on first principles and communicate frequently with stakeholders."

Nezda has a Bachelor of Science in Computer Science from the University of Washington and a Master of Science in Computer Science (Intelligent Systems Track) from the University of Texas at Dallas.

RAYTHEON PREDICTIVE MAINTENANCE (RPM)

In today's world of System Operations, there are two dominant approaches to maintenance, Reactive and Preventive. Reactive Maintenance is characterized by waiting for the system to fail, and repairing after the fact as a means of minimizing unnecessary repairs.

The problems with this approach are typically those of increased system downtime; expensive repairs, including travel for specialized repair personnel; and in the worst scenarios, catastrophic failure. Preventive Maintenance is based on replacing parts according to manufacturers' recommended schedules, with the intent of minimizing unforeseen downtime. This approach raises issues of opportunity cost of materials replaced before a failure, as well as unnecessary maintenance. Alternatively, the goal of Predictive Maintenance is having the ability to accurately predict failures in order to find an optimized balance of reduced downtime and full replacement part utilization.

PREDICTIVE MAINTENANCE

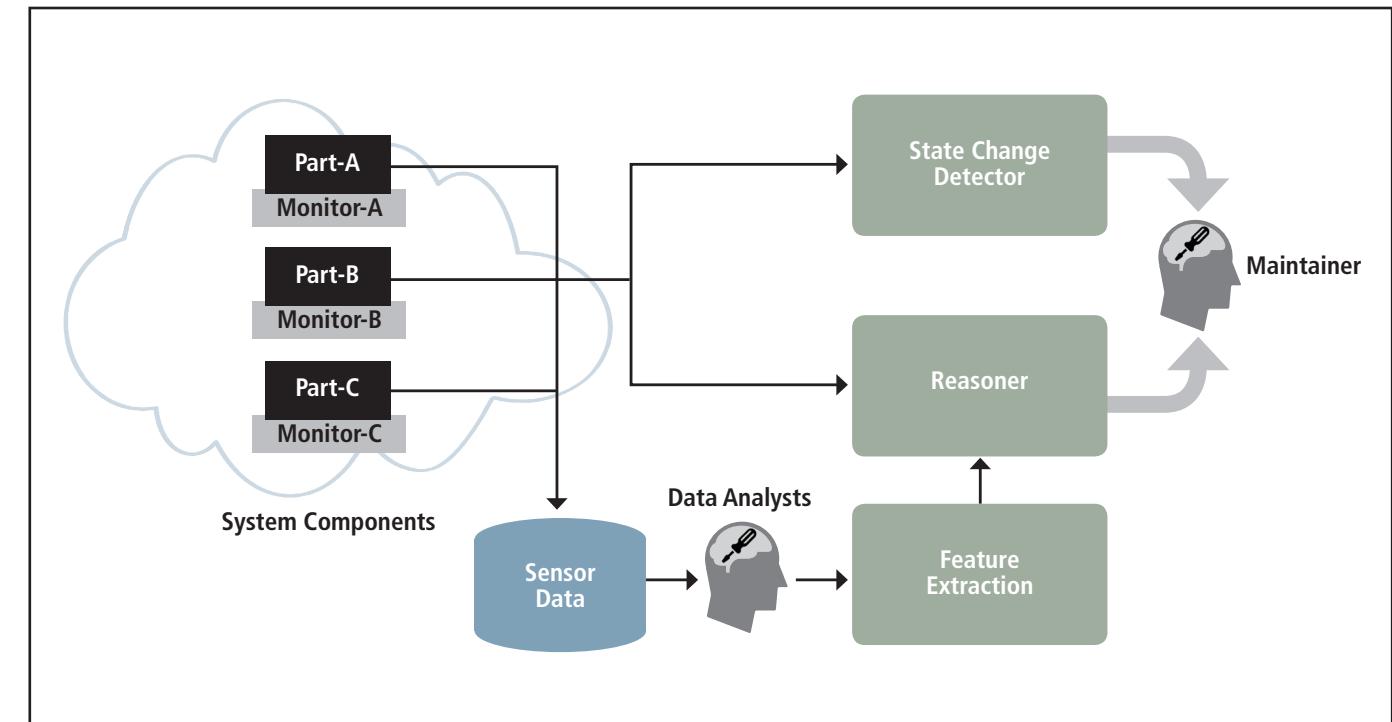


Figure 1: Raytheon Predictive Maintenance Architecture

Solving the Predictive Maintenance problem requires careful coordination between the operational maintainers and the designers and analysts who are likely at a remote site. As seen in Figure 1, real-time data and system operation is available to the maintenance operator, who has visual aids and dashboards for operational assessment. The maintainer also often has informal methods of checking in on the system: visual inspection, sound, smells, and general human pattern recognition of unmodeled effects that correlate with system performance. Automated methods of capturing this maintainer instinctive understanding should be one of the areas of investigation going forward. The data analysts may not have access to all of the data coming out of the sensors in real time due to Data Sovereignty,¹ communication throughput, or cost constraints at design time. Optimizing which data to pull back — and how often given project constraints — is difficult, and often hard to change after the fact. Finally, coordinating analysis between the maintainer in the field and

the data analysts offsite is vital to updating models and data feeds. Predictive Maintenance is as much a traditional engineering problem as a data science problem. First and foremost, the diagnostic data gathered is generally time series data from electronic and mechanical control systems. This means that physics of failure and theory of design are available as a starting point for understanding (or predicting) failures in simpler parts of the system. These are also the criteria for deciding, at design time, which diagnostic data will be recorded. Changing the data gathered or adding data taps after manufacture can be extremely expensive, and is typically avoided at all costs. In general, diagnostics based on Control System theory engender more trust, since they are based on theory that can be trusted beyond the regions of test data. Eventually, however, there remain unexpected system responses which lend themselves better to machine learning.

Once the interaction of system components becomes complex enough to warrant machine learning applications, those methods that allow visibility into the decision making process are preferable — taking advantage of available experience from system designers and RAM (Reliability, Availability and Maintainability) forensic analysts. In cases where the systems are fielded far from the data analysts, communication bandwidth and data sovereignty become important considerations, often imposing constraints on the ability to diagnose and/or characterize system performance. For example, if only a fraction of the sensor and failure data can be transmitted, there must be rules on the system at the front end to compress, thin and/or summarize the data. This can include anomaly detection, designer-based rules, and information theoretic methods. As much as possible, the system should be tested against full data and method availability to determine how much information is lost in

RAYTHEON PREDICTIVE MAINTENANCE

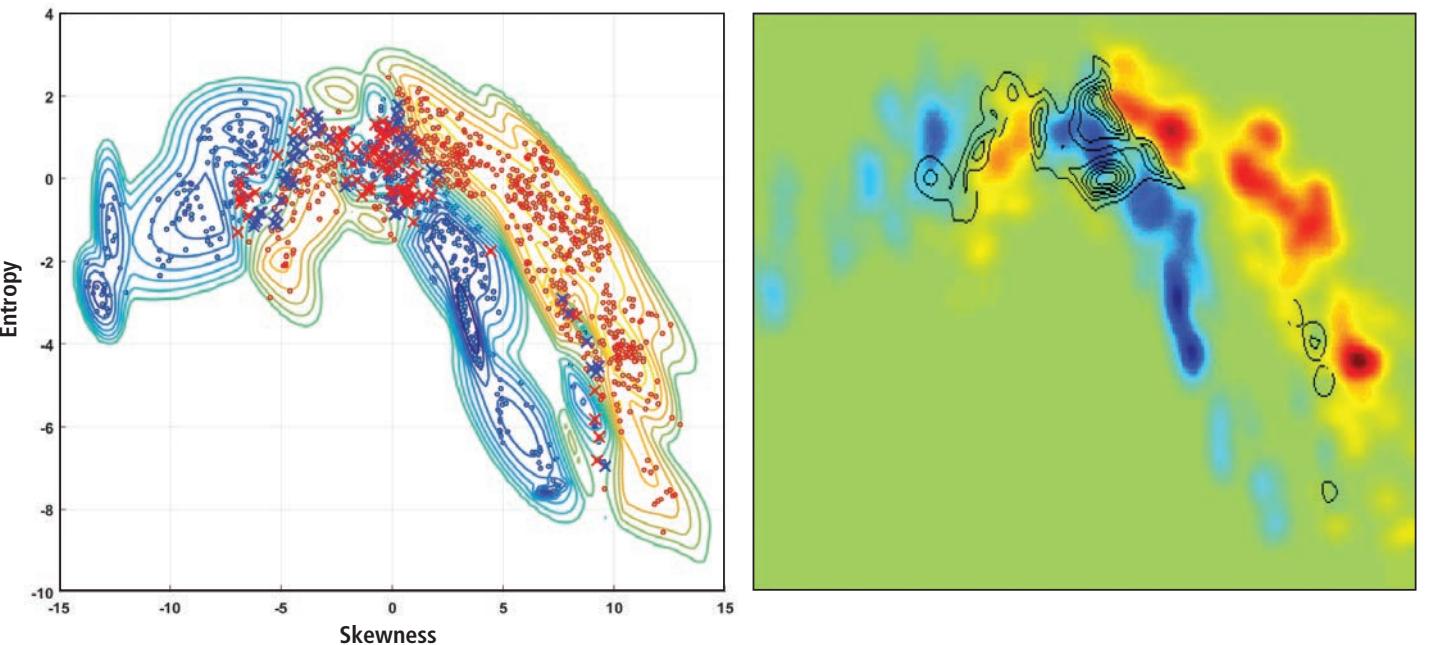


Figure 2: Classifier making decisions close to the training data

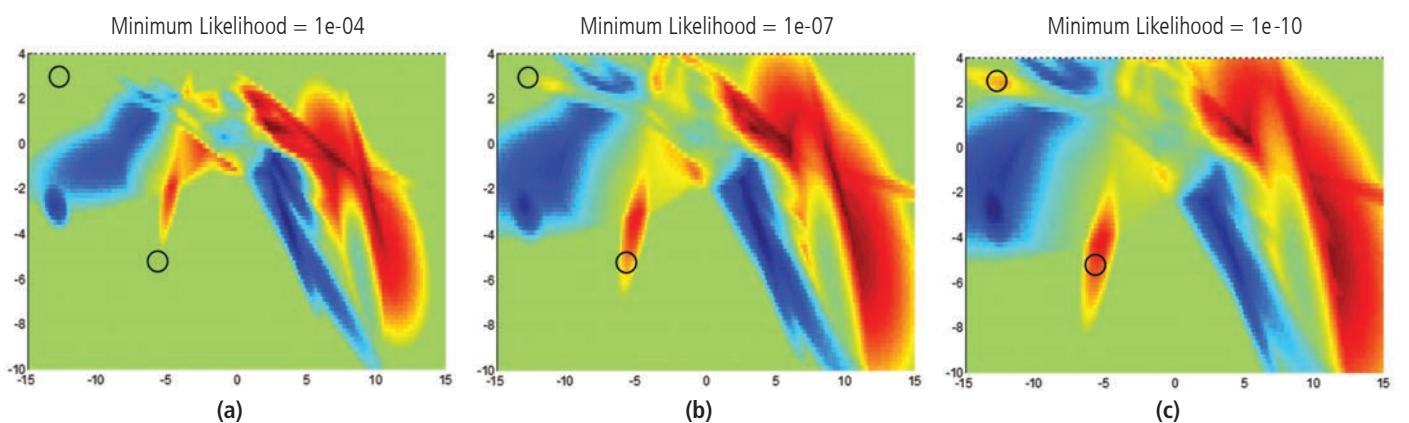


Figure 3: The "Open Set" or "Strangeness" problem. Making decisions far from training data is dangerous. (a) Initial non-decision areas bound by the black circles become decision regions as classifier restrictions are progressively loosened, (b) and (c).

the summarization process, and how much performance is lost, if any, by restricting the final solution to explainable methods. Finally, at each part of the architecture, the data analytics should have some way to update both training and decisions in real time, to avoid being overwhelmed by the sheer volume of new data coming in. This combined set of restrictions severely constrains the final predictive analytics solution space.

To illustrate the aforementioned engineering concerns with machine

learning methods, a generic example is presented using a 'counterfeit vs. real banknote' dataset from the University of California at Irvine (UCI™) Data Repository.² The dataset of image information is separable in four dimensions (variance, skewness, kurtosis and entropy), but for the purpose of this example, we only allow ourselves two of the dimensions (skewness and entropy), in which the data has a lot of shape and overlap. One could just as easily label the data part failures vs. part non-failures. Building a classifier from this training dataset, then running the original

data back through results in the decision plot shown in *Figure 2*. On the left side it can be seen that we can build classifiers that make decisions ("red" or "blue") when close to training data, but make no decision when far away from the training data, and label those as anomalies. The x's in this plot are incorrect classifications, which you expect in the areas of high conflict (overlapping data), shown on the contour plot on the right as areas with tight contour rings (or high elevations). The light green surrounding area at low elevation on the right is set to be areas where the

classifier knows not to make any decision. Many classifiers may just make a decision no matter where the new test data comes from, which can cause incorrect decisions with high reported probability, as the following example illustrates.

If we loosen the restriction on areas of confident decisions, and allow the classifier to make decisions progressively further away from the training data, we are subject to the dangerous effects outlined in *Figure 3*. This is known as the "Open Set" or "Strangeness" problem. Three cases are presented with the exact same classifier and x-y scale; only the allowed decision region and the scale of the log-likelihood ratios is changing. The black circles initially bound a region of no decision (a). As the restrictions are loosened from (a) to (b) and then even further from (b) to (c) these areas become strong decision regions where the classifier is allowed to make decisions where it arguably shouldn't. This is due to the relative likelihoods being so different when far out on the tails of these multimodal distributions. Also notice the lensing effect in *Figure 3c* as the red class starts pushing "south" into the bottom circle, far away from any data of either type. This is due to the likelihoods from multiple red Gaussian Distributions focusing relative to the blue in this region.

One of the advantages of Gaussian Mixture Models and other models that estimate probability density functions is that they allow for a rational threshold beyond which new points can be called outliers, and no class decision is made. These are just a few of the considerations RPM actively tracked while choosing machine learning methods.

For Raytheon Predictive Maintenance (RPM), we evaluated multiple datasets across many programs, evaluating a bank of Machine Learning methods for suitability. In general, datasets contained both continuous time series data and discrete state variables. Not surprisingly, discrete Machine Learning approaches tended to work better than continuous approaches as the number of important discrete states increased. Also, because these were control systems, it was common for there to be extremely repetitive data

during normal operation, which skewed results for all of the machine learning methods that depend on density, including tree based methods, Neural Networks, and unmodified Gaussian Mixture Models. This meant that the assumption that the training data came from a representative distribution was suspect, at best. Where possible, data summarization concepts were used to not only model bandwidth restrictions, but to mitigate varying data densities as well.

Data visualization and decision justification were given high priority as evaluation criteria in RPM to enable subject matter expert (SME) feedback. Because RPM is intended to analyze complex systems that are designed to work over long periods, there is a body of expertise built up during design and integration that is included in the error analysis. Along with this come engineering questions such as: Are the assumptions in the failure models correct? If not, how do we update them? Is there a variable that is showing correlation to failure that was considered unimportant? Can we find a causality linked to such a variable?

Providing answers to these questions enabled us to not just provide a predicted probability that something was about to go wrong, but also to provide the variables and data instances that influenced the decision. As systems are deployed over longer periods of time, operational costs can increase and automated operational assessment and predictive maintenance become increasingly important. The machine learning models used in RPM are intended to grow with experience and draw upon design expertise in a feedback loop — meeting tomorrow's challenges while strengthening trust amongst the users.

Dr. Michael Salpukas



Michael R. Salpukas, Ph.D.
Raytheon Integrated Defense Systems

With over 20 years' experience at Raytheon, Dr. Michael Salpukas is an Engineering Fellow for Raytheon Integrated Defense Systems (IDS), where he leads a Machine Learning independent research and development (IRAD) effort, an activity designed around technology insertion to provide human-assist to the warfighter and to mitigate new threats in a timely manner.

"Five years ago, the System Architecture, Design and Integration Directorate (SADID) was challenged to become more involved in innovation," Salpukas states. "That inspired me to submit multiple Raytheon Innovation Challenges and IDEA projects. Those projects led to working closely with Advanced Technology, which in combination led to the current Machine Learning IRAD."

Previously, Dr. Salpukas was a Systems Engineer working in prognostics, advanced tracking and discrimination algorithms for projects such as NATO Air Command and Control System (ACCS), Sea-Based X-Band Radar (SBX), Upgraded Early Warning Radar (UEWR), Japan Air Defense Ground Environment (JADGE), Terminal High Altitude Air Defense (THAAD), as well as multiple Research and Development Projects. Early technical interests included Particle Flow Filters, and applying computational topology and geometry to data analytics and predictive analytics. Dr. Salpukas also had Systems Engineering Lead roles and was a Section Manager.

On success at Raytheon, Salpukas advises, "Try to map your technical interests to the future needs of the warfighter. Understanding how much can go wrong in the field is vital to making novel solutions work in the real world, and provides a stronger appreciation for how to build early prototype frameworks."

Dr. Salpukas received a bachelor's degree in Mathematics from the University of Chicago. He received a master's degree in Statistics and a doctorate in Mathematics from the State University of New York at Albany. "My original Ph.D. was in pure mathematics, but I added a Masters in Statistics during my last two years to help my move toward industry. Learning a wide range of disparate mathematics in a short time helped me enormously starting out at Raytheon, where my first five years were like a Radar University. I had to quickly link my educational background to the mathematics of Radar, in areas such as tracking, optimization and signal processing."

² Dua, D. and Karra Taniskidou, E. (2017). UCI Machine Learning Repository [<http://archive.ics.uci.edu/ml/datasets/banknote+authentication>]. Irvine, CA: University of California, School of Information and Computer Science.

STRATEGIES FOR RAPID PROTOTYPING MACHINE LEARNING

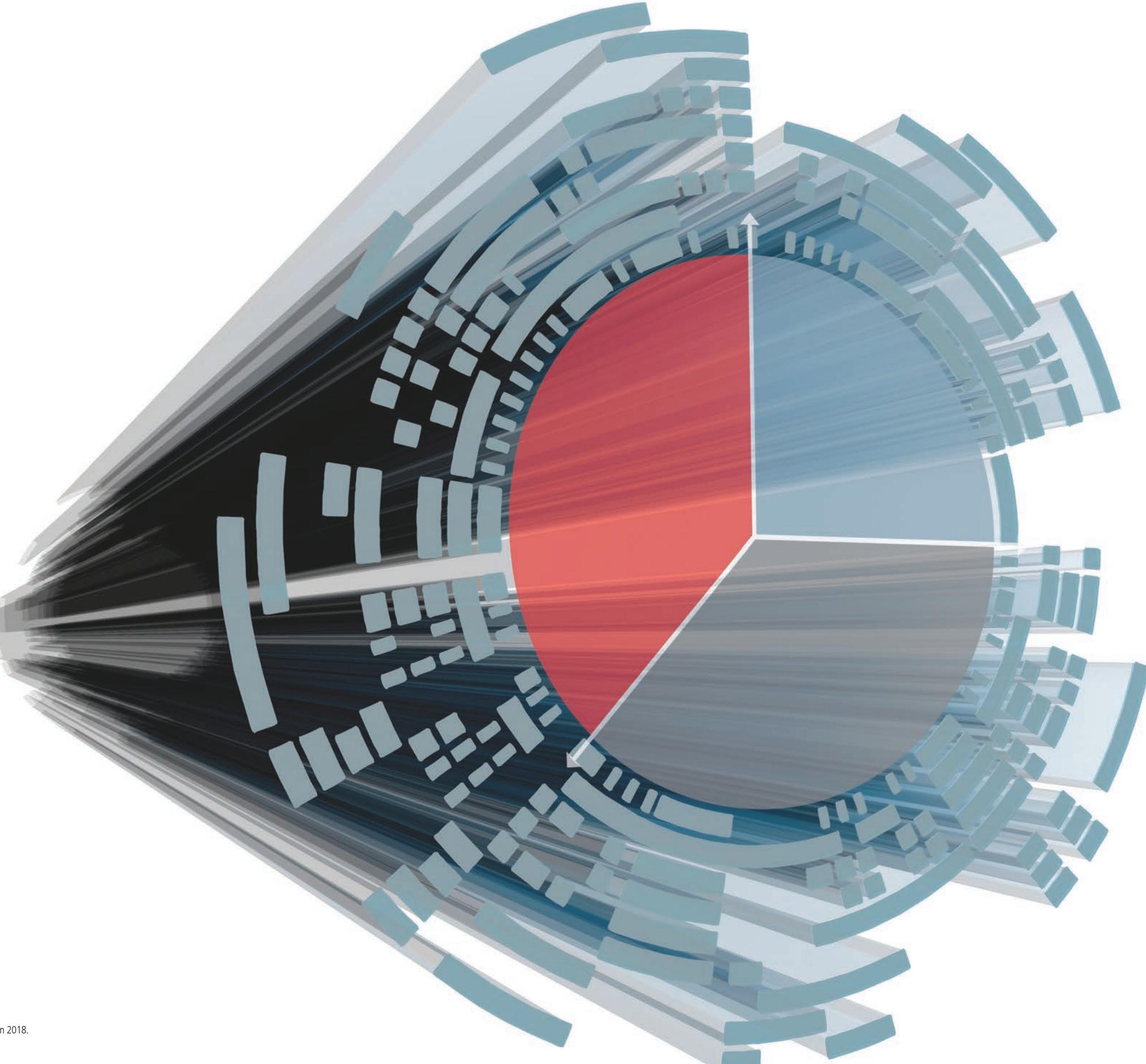
Recent advances in computer technology are enabling scientists and engineers to solve more complex problems with Machine Learning (ML).

Significant leaps in processing power, bit depth, caching, and storage along with expansion of cloud based services, provide researchers virtually unlimited scaling of resources, bringing problems having millions of input attributes and thousands of potentially non-exclusive output classes within reach. One example is convolutional neural networks (CNNs). A CNN is a form of deep learning neural network often used in image processing, such as the Google™ 50-layer ResNet network, which contains more than 20 million computational nodes and was trained on a database of over one million images.¹ New tools, such as Python®, TensorFlow™ and Matlab®'s Artificial Intelligence (AI) tool box, have opened the door for non-machine learning scientists to build complex networks to solve a wider breadth of problems previously unexplored in the computational machine learning community.

Raytheon has leveraged both academic network models and commercially available datasets in its research of computational pattern recognition. One basic pattern recognition application is detecting objects. The difficulty is often not the complexity of the object itself, but the sheer volume of source data which must be analyzed. Time is required to acquire data, label examples, and then train the models using the labeled data. Consider the case of an image of

an airport where a model is required to detect the number of aircraft on the ground. A recent Raytheon training experiment used 100,000 image chips of airplanes and approximately 100,000 background image chips. Image chips were a single band panchromatic containing 300x300 pixels. Using an Amazon Web Services (AWS™) G2.8 virtual machine (VM), batch training 32 images at a time for 10 weeks achieved an 80% probability of detection P_d at $10E-5$ false alarm rate (FAR).

Within the defense industry, objects of interest and their images often pose limitations that are not addressed by commercial applications. The ability to rapidly analyze unique, camouflaged, fleeting and possibly threatening entities is increasingly important. To build and train representative models for these cases requires that training data cover the breadth of available object/image variations, environmental parameters, and characteristics of the sensors observing them (*Figure 1*). Typically, Raytheon's customers' data provides observations of limited instance; data collected by individual sensors, across a common background, and with similar viewing geometries.² Consequently, data are locally sparse, yielding training databases effectively smaller than nominal training sizes, which can then cause bias in the resulting models.



¹ Yang You, Zhao Zhang, Cho-Jui Hsieh, James Demmel and Kurt Keutzer, "ImageNet Training in Minutes," arXiv:1709.05011v10 [cs.CV] 31 Jan 2018.

² S. Israel and E. Blasch, "Chapter 5: Context Assumptions for Threat Assessment Systems," in Context-Enhanced Information Fusion: Boosting Real-World Performance with Domain Knowledge, L. G. J. L. a. B. E. Snidaro, Ed., Springer International, 2016, pp. 99-124.

FEATURE

STRATEGIES FOR RAPID PROTOTYPING MACHINE LEARNING

Overcoming these limitations is a key focus area of Raytheon's Machine Learning research and several approaches to the problem are discussed in the following sections.

ML TRAINING

Three commonly used ML training algorithms are supervised, unsupervised and semi-supervised. Supervised training typically requires large amounts of input labeled data, where each training exemplar is tagged with a known output class. Discriminant functions, generated by modeling this mapping are then used to assign (or infer) classes to new, unlabeled input examples. Unsupervised training consists of unlabeled exemplars, not tagged with a known output class, and the discriminant functions must learn how the data clusters into classes. During operations, unlabeled data are assigned to the nearest data cluster. Unsupervised learning can bias the outcomes by generating clusters during training that are not representative of specific target classes. Semi-supervised training utilizes both labeled and unlabeled exemplars. While unlabeled data help estimate data distribution, reducing the overall need for labeled data, labeled data are still required for class separation. Semi-supervised approaches are the least likely biasing training strategy as the cluster statistics are drawn predominantly by unlabeled data.

TOOLS AND APPROACHES

Raytheon has developed a number of tools and approaches to maximize training efficiency and mitigate the effects of limited training exemplars, bad labels, and noisy data. These approaches include training with a mix of both labeled and unlabeled data, the use of generative adversarial networks (GANs) to train more effectively with limited data, and the generation of quantifiable evaluation strategies and metrics for assessing performance.

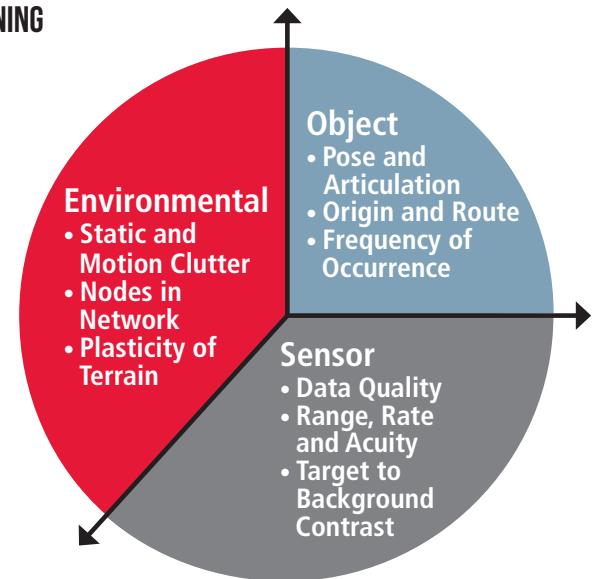


Figure 1: Object, Sensor and Environmental variations

GENERATIVE ADVERSARIAL NETWORKS

One approach to mitigating ML sample size requirements is to integrate Generative Adversarial Networks (GANs) into the training process.³ Classically, for detection and classification problems, ML techniques focused on discriminative models which generate a mapping from input attributes to output classes. Less attention has been paid to generative models that learn the joint probability between a set of input attributes and output classes.

GANs utilize what is best described as a two-player game between a discriminator network and a generator network. Iteratively, the generator creates synthetic examples and the discriminator decides whether these examples are real or fake (Figure 2). The generator creates the fake examples by transforming a noise source into synthetic data. As the game continues, the generator learns to produce more realistic examples and the discriminator improves its ability to separate real from fake examples. The generator is optimized by mapping the noise signal onto the training data and the discriminator is optimized by how well it correctly detects or classifies both the real and the synthetic data. During the process, the generator

is learning the training data distribution. Ideally, the system is optimized when the discriminator is only 50% confident that the generator's examples are fake.

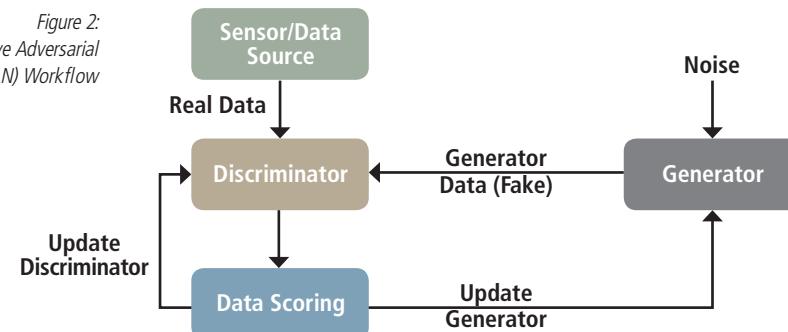
Initially, Goodfellow's GAN experiments were replicated to prove their reduction in the training burden relative to deep learning neural networks without the GAN process.⁴ In addition to reproducing results for reducing training burden for detection, or two class, problems, the GAN domain was expanded to classification problems of more than two classes. Figure 3 displays a series of experiments with the discriminator alone (blue diamonds and gray squares) and with a GAN processor (green triangles) using different network sizes. All of the experiments provided statistically similar results and used feedforward backpropagation neural networks. The X axis represents the size of the network as network connections and the Y axis is the number of training iterations. Since performance was similar for all the experiments, the GANs trained in approximately 10 times fewer iterations.

SEMI-SUPERVISED LEARNING WITH PSEUDO-LABELS

Another approach to reduce the required amount of labeled training data is using unlabeled data with a semi-supervised learning algorithm. In many cases, unlabeled data is plentiful, even though labeled data may be scarce. Semi-supervised learning algorithms can utilize partially labeled datasets, alleviating the heavy requirement for large amounts of labeled training data. The Raytheon Machine Learning Team is currently investigating the use of pseudo-labels, labels that are created automatically for unlabeled data using a partially trained network. At first glance, this approach may seem like learning what is already known. In other words, if a network exists that can correctly label the images, then we are already done. Alternatively, if the network generates erroneous pseudo-labels then how can these help to improve the network, since they contain precisely the same mistakes that the network would already make? Yet, surprisingly, pseudo-labels can significantly improve classification accuracy and unlike other semi-supervised approaches, they are extremely simple to implement as they do not require any changes to the network architecture.

Pseudo-labeling is based on a theory known as Entropy Regularization that assumes data points exist in clusters, high-density pockets in some feature space. The pseudo-labels are created by a type of clustering algorithm, locating the decision boundaries that separate these high-density clusters. Consider a small set of labeled data points for two classes in a 2D feature space as shown in top plot of Figure 4. The current decision boundary of the network, determined from sparsely labeled data, is shown as a dashed line. The middle plot includes additional points from our unlabeled dataset. The centers of each point are shaded according to the unknown true class label and the outlines of the points are colored according to the current prediction of the network (pseudo-label). The training algorithm adjusts the

Figure 2:
Generative Adversarial Network (GAN) Workflow



Using All Training Data

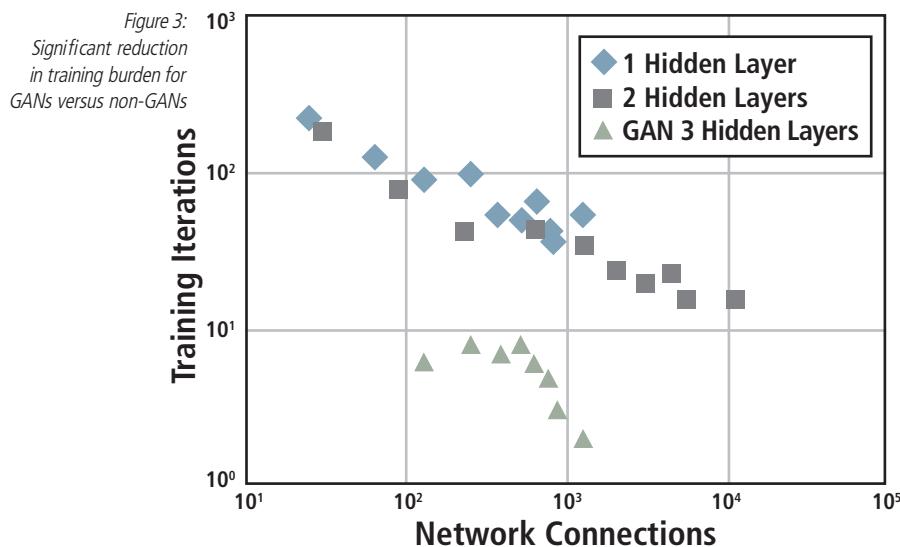
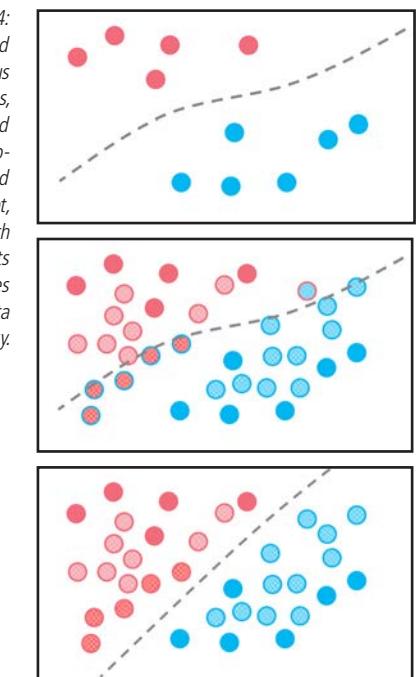


Figure 4:
TOP: Sparse labeled data has ambiguous class boundaries,
MIDDLE: Unlabeled data with pseudo-labels (outlines) added
to dataset,
BOTTOM: Re-training with pseudo-labels corrects decision boundaries
based on data population density.



³ I. Goodfellow, J. Pouget-Abadie, M. Mirza, B. Xu, D. Warde-Farley, S. Ozair, A. Courville and Y. Bengio, "Generative Adversarial Networks," no. arXiv:1406.2661v1, p. 9 pages, 2014.

⁴ S. Israel, J. Golstein, J. Klein, J. Talamonti, F. Tanner, S. Zabel, P. Salle and L. McCoy, "Generative Adversarial Networks for Classification," in IEEE® Applied Imagery and Pattern Recognition Workshop: Big Data, Analytics, and Beyond, Washington, 2017.

STRATEGIES FOR RAPID PROTOTYPING MACHINE LEARNING

weights of the network to accommodate as much as possible all of the data point assignments. With a high density of points located in distinct clusters, this will move the decision boundary towards the true boundary shown in the plot on the bottom. At each step, pseudo-labels are re-evaluated, allowing them to flip to correct assignments as the network discovers clusters in the unlabeled data consistent with the labeled data.

Historically, pseudo-labels have only been applied to unlabeled data. However, Raytheon has developed an informed pseudo-label algorithm that takes into account noisy labels based on a known or estimated probability of correctness. While extremely noisy labels have limited use with most supervised methods, we demonstrate that a high percentage of label errors may be tolerated using this approach. Using the MNIST (Modified National Institute of Standards and Technology) hand-written digit dataset, our method achieves greater than 98% accuracy even if 70% of the labels are chosen at random, and more than 95% accuracy if 90% of the labels are chosen at random. These results are competitive with recently published works.^{5,6}

When the proportion of labeled to unlabeled data is small, pseudo-labels can become easily unbalanced, resulting in all of the points being assigned to only a few or even one class. To counter this, previous approaches restricted the amount of unlabeled data that was used, which limits the amount of information available to the algorithm and to an extent, performance. Raytheon ML scientists took a different approach, estimating the percentage of unlabeled data points in each class *a priori*, and enforcing a more even split during the assignment of pseudo-labels. As shown in *Figure 5*, using this approach with the MNIST dataset compares favorably to previous published studies. In the figure, average error results from the Raytheon experiment with a convolutional neural network (CNN) and

Method	Size of Labeled Subset			
	100	600	1000	3000
CNN	18.05	6.1	4.06	2.16
CNN+PL	7.14	2.43	2.05	1.82
Lee:NN	21.89	8.57	6.59	3.72
Lee:NN+PL	16.15	5.03	4.3	2.8

CNN = Convolutional Neural Network PL = Pseudo-labels NN = Neural Network

Figure 5: Average error rate (%) results for the MNIST dataset of hand-written digits using only a small number of labeled data points. Individual values represent the average error over 10 training trials, each with a different random labeled subset of MNIST. CNN+PL is the CNN trained with use of Raytheon's balanced pseudo-label algorithm for the remaining "unlabeled" training data.

the same network using pseudo-labels (CNN+PL) are compared to a similar previous study by Lee using a neural network (NN) and neural network with pseudo-labels (NN+PL).⁷

STATISTICAL METHODS FOR MEASURING ALGORITHM VERACITY AND MAXIMIZING LIMITED TRAINING DATA

When failures occur in machine object recognition algorithms, researchers often have limited information on the root causes of the failure. For example, did an algorithm fail to detect an object due to occlusion, shadow, contrast, or other known computer vision shortcoming? Was the training data not representative of the test data? Is the algorithm fundamentally flawed? Modern ML algorithms like deep neural networks are particularly opaque and provide little information to ML engineers and analysts (*Figure 6*). Along with the tremendous benefits of the use of AI and ML throughout industry, comes the need for greater empirical insight into how these algorithms are performing.

A primary underlying assumption of ML is that the relevant characteristic parameters of training data are representative of the data the algorithm will be tested with and required to discriminate in operation. Raytheon is developing a data-driven statistical confidence metric that will provide insight into some fundamental

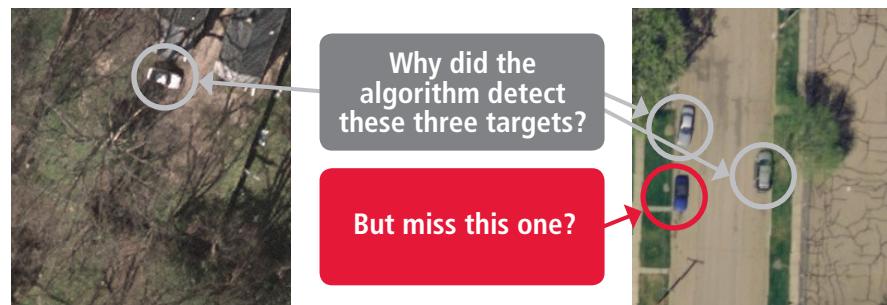


Figure 6: Example results from ML algorithm^{8,9}

questions about ML, such as what is the accuracy of a model's prediction given a classifier and sample of data, or which characteristics of the training data have the greatest influence on a model's accurate prediction of Sample X.

While results on many classification problems have been impressive, Raytheon's customers often have limited training data available for many applications yet require metrics that attest to the veracity of an algorithm's results. Having an innovative confidence metric based on examining scene and target statistics will provide insight to a model's applicability to a specific test sample.

Summary

Many challenges remain for the integration of ML into the systems and technologies of the defense industry, not the least of which is the ability to rapidly apply ML capabilities in dynamic environments of limited training data. Raytheon has made significant investment in this area through the Independent Research & Development (IR&D) of the approaches discussed in this article, and experimental results suggest that these techniques can provide meaningful performance improvements with customer datasets. Other methods under consideration include variational autoencoders; triplet loss; and image-to-

⁵I. Jindal, M. Nokleby and X. Chen, "Learning Deep Networks from Noisy Labels with Dropout Regularization," in IEEE International Conference on Data Mining, 2016.

⁶D. Rolnick, A. Veit, S. Belongie and N. Shavit, "Deep Learning is Robust to Massive Label Noise," 31 05 2017. [Online]. Available: <https://arxiv.org/abs/1705.10694>. [Accessed 27 01 2018].

⁷D. Lee, "Pseudo-label: The simple and efficient semi-supervised learning method for deep neural networks," in Workshop on Challenges in Representation Learning, ICML, 2013.

⁸F. Tanner, B. Colder, C. Pullen, D. Heagy, M. Eppolito, V. Carlan, O. C. and P. Sallee, "Overhead Imagery Research Data Set - An annotated data library and tools to aid in the development of computer vision algorithms," in IEEE Applied Imagery Pattern Recognition Workshop, Washington D.C., 2009.

⁹Images from U.S. Geological Survey Department of the Interior/USGS U.S. Geological Survey.

¹⁰P. Isola, Zhu, J.Y., Z. Tinghui and A. Efros, "Image-to-Image Translation with Conditional Adversarial Networks," in Computer Vision and Pattern Recognition, Honolulu, 2017.



Shane Zabel, Ph.D.
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Dr. Shane Zabel is the Artificial Intelligence and Autonomy Capability Lead for Raytheon Intelligence, Information and Services (IIS), and also leads corporate core research activities in machine learning. He holds a Ph.D. in physics from Carnegie Mellon University with a specialization in electromagnetic diffraction theory and a master's degree in applied cognition and neuroscience with a specialization in neural network theory from the University of Texas at Dallas. During his 14 years with Raytheon, he has held various roles of increasing responsibility, such as algorithm developer, team lead, business development lead, chief engineer, enterprise campaign lead and IIS capability center lead.

Dr. Zabel's current focus is on artificial intelligence (AI) and machine learning (ML), emerging technologies where he can support customer missions by providing better insight from data, at a larger scale, and with faster response times. "Artificial intelligence has the potential to bring great benefits to our customers' missions," Zabel states, "and being able to integrate AI technologies into customer solutions is very rewarding."

Dr. Zabel's subject matter expert (SME) level of knowledge and experience in electro-optical/infrared (EO/IR) and synthetic aperture radar (SAR) sensor processing, computer vision, AI and ML are generally recognized throughout the industry. For the first 7 years of his career, Dr. Zabel developed domain knowledge and experience in EO/IR and SAR processing technologies, including system calibrations, image formation and advanced exploitation methodologies. He broadened this experience through architecture development for large-scale data processing and autonomous systems.

Dr. Zabel's pivot to AI and ML began in 2012. He completed a master's degree in the subject, and strengthened his knowledge through small independent research and development (IRAD) efforts. "I started my career working in image and signal processing technology development," Zabel says. "In the 2011/2012 timeframe, when state-of-the-art in this domain pivoted to ML-based solutions, I began learning as much as I could on the subject."

In his AI and ML roles, Dr. Zabel supports enterprise-wide training activities, leading the machine learning training curriculum development for IIS, and supporting companywide software and information systems and computing technology networks.

DETECTION, EXTRACTION AND LANGUAGE CLASSIFICATION OF HANDWRITING

The written record is considered by historians as man's transition from pre-history. More importantly, handwriting (and accounting) enabled the further development of civilization with records such as agricultural yields, livestock, births, and land ownership, which in turn led to centralized management and the rise of cities.

With such a significant role, it's ironic that modern information processing systems cannot reliably "read" unstructured handwriting, particularly when of unknown language or mixed with printed text and images. While Optical Character Recognition (OCR) of printed text has become robust, even routine, Handwriting Character Recognition (HCR) remains stubbornly difficult except for controlled input conditions. The few successful applications, such as postal code address reading, or form scanning, require a defined input format of expected content. This article will address the general more difficult problem of extracting and classifying handwriting of unknown location, size, color, content, and language, in a document also containing undefined images and undefined printed text.

High value documents, such as mission plans, or intelligence reports, may be handwritten for cultural reasons or to frustrate electronic methods of surveillance. The age-old method of couriering sealed handwritten documents is impervious to modern threats of hacking and electronic attack. Most of today's handwritten documents do not possess such levels of intrigue, but rather reflect everyday activities

CLASSIFICATION OF HANDWRITING

HANDWRITING

such as diaries, calendar notes, letters, to-do lists and other common artifacts. However, even these seemingly mundane snippets of information can shed light on an intelligence analysis problem if properly indexed and searched. Separating the wheat from the chaff is an overwhelming task given the large volume of documents which contain unstructured handwritten notes, mixed with print and images.

The first step in solving this problem is to discover the handwriting and determine the language so that the HCR algorithm can be properly initialized. This is a big data problem due to the magnitude of document datasets. It is a machine learning challenge due to the wide variability between languages, people, sensors and environmental conditions such as poor or uneven lighting. *Figure 1* lists several techniques evaluated for a possible solution to this challenging task.

The simple process flow shown in *Figure 2* does not reflect the combined algorithmic complexity of integrating and evaluating the various segmentation, recognition and language classification approaches. For example, considering the techniques listed in *Figure 1*, the total number of system configurations is the overall product of all possible combinations of algorithms for each stage. In this case there are four binarization techniques, two recognition techniques and three language classification techniques or 24 total ($=4 \times 2 \times 3$) which, when coupled with all the parameter settings of each individual algorithm, can easily stress the test/evaluation capacities.

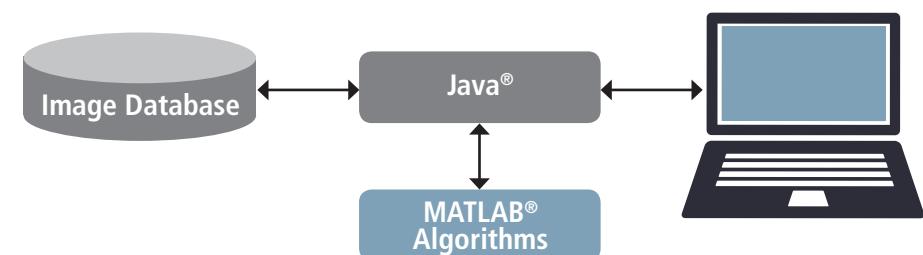
Dividing the processing into three stages helps confine this complexity by allowing algorithms at each stage to be optimized as an independent problem. Complexity is further managed through separation of the document handling and user interfaces from the algorithm development within the evaluation architecture as shown in *Figure 3*. In this way, the infrastructure is able to scale to available resources required to handle millions of documents in an automatic workflow and users are able to direct and annotate processing results. Users can point the system to collections of

Binarization Approaches	Handwriting Recognition Approaches	Language Classification Approaches
Otsu's method	Line-cuts	Speeded Up Robust Features (SURF) with Support Vector Machine (SVM) classifier
Modified Sauvola method	Deep Page Layout	N-gram features with K-Nearest Neighbor classifier
Color		Deep Learning – Convolutional Neural Networks (CNN)
Graph-based		

Figure 1: Techniques for segmentation, recognition, and language classification of handwriting



Figure 2: Simple algorithmic flow belies combinatorial complexity of technique selection and integration



scanned images and route the processed result to the appropriate language specialist. They can also mark the machine learning results as incorrect or mark missed detections for further analysis.

HANDWRITING BINARIZATION

The goal of binarization is to convert the input document into a background represented by 0 (zero, or logical false), and a foreground represented by 1 (one, or logical true) which includes the objects of interest, in this case handwriting. This simple procedure often proves to be a difficult task due to variations in illumination, condition of the paper, and other factors such as variations in the ink. However, the success of the later stages of handwriting recognition

and language classification depends on a good binarization which makes easier the computerized interpretation and classification of the handwriting's component objects.

Otsu's Method Versus Modified Sauvola Method

Otsu's method¹ calculates a global threshold by maximizing the interclass variance between the foreground and the background. This approach can completely fail when the handwriting is a light gray, such as when using a pencil, and the rest of the image has darker interfering elements such as machine printed text or images.

Niblack² first applied an adaptive method to adjust the binarization threshold similar to the way a Constant False Alarm Rate (CFAR) might be adjusted by making the threshold proportional to the local mean and standard deviation of a sliding window. Subsequent experiments by Sauvola³ showed that including a term proportional to the product of the local mean and standard deviation could provide better results. We modified Sauvola's method to first pre-process the input image using the stretch histogram in only those places that have energy over a threshold. Energy is detected by dividing the document into small sub-blocks.

At each sub-block position the maximum intensity difference is recorded. The resulting sub-block image is interpolated to the original image size. Morphological operations are used to select the higher energy regions for processing. Without this technique of selective contrast stretching, the salt-and-pepper noise in non-information bearing parts of the document are amplified, causing false detects. Selectively stretching contrast in this manner contributed to better handling of lighting variations and separation of the foreground images as shown in *Figure 4*.

Color Exploitation

Separation of handwriting on top of machine printed text is much less difficult if a color difference can be exploited.

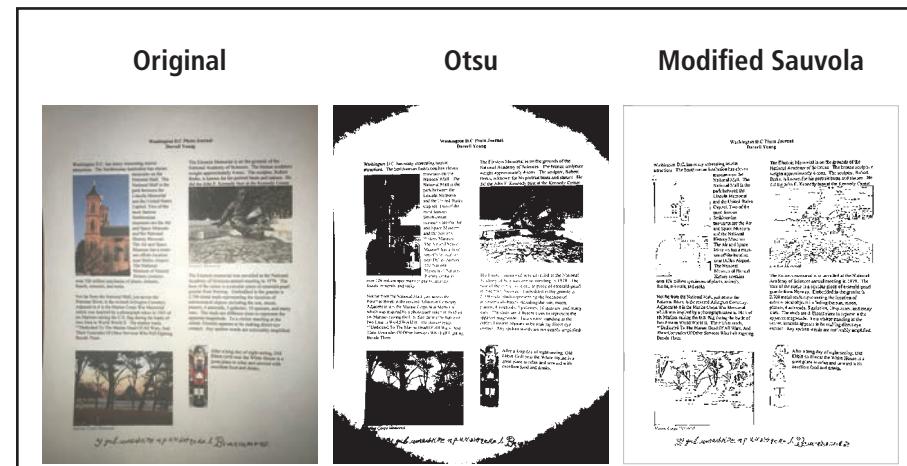


Figure 4: Modified Sauvola method adapts to variations in lighting

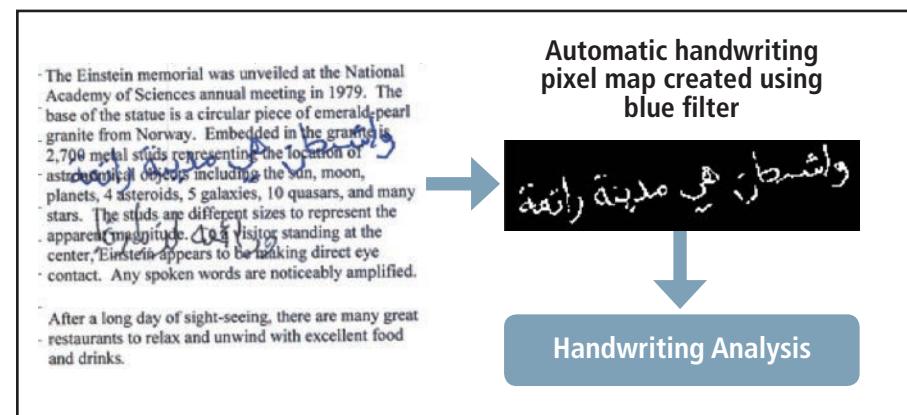


Figure 5: Blue color filter extracts handwriting written in blue ink

For example, handwriting is often in blue ink as depicted in *Figure 5*. Algorithms were created to extract these text objects by exploiting detectable color differences. The document is converted from RGB to the Lab color system in order to create color filters. The Lab color system is a mathematically described color space where L is Lightness and a and b represent the color components green-red and blue-yellow respectively.

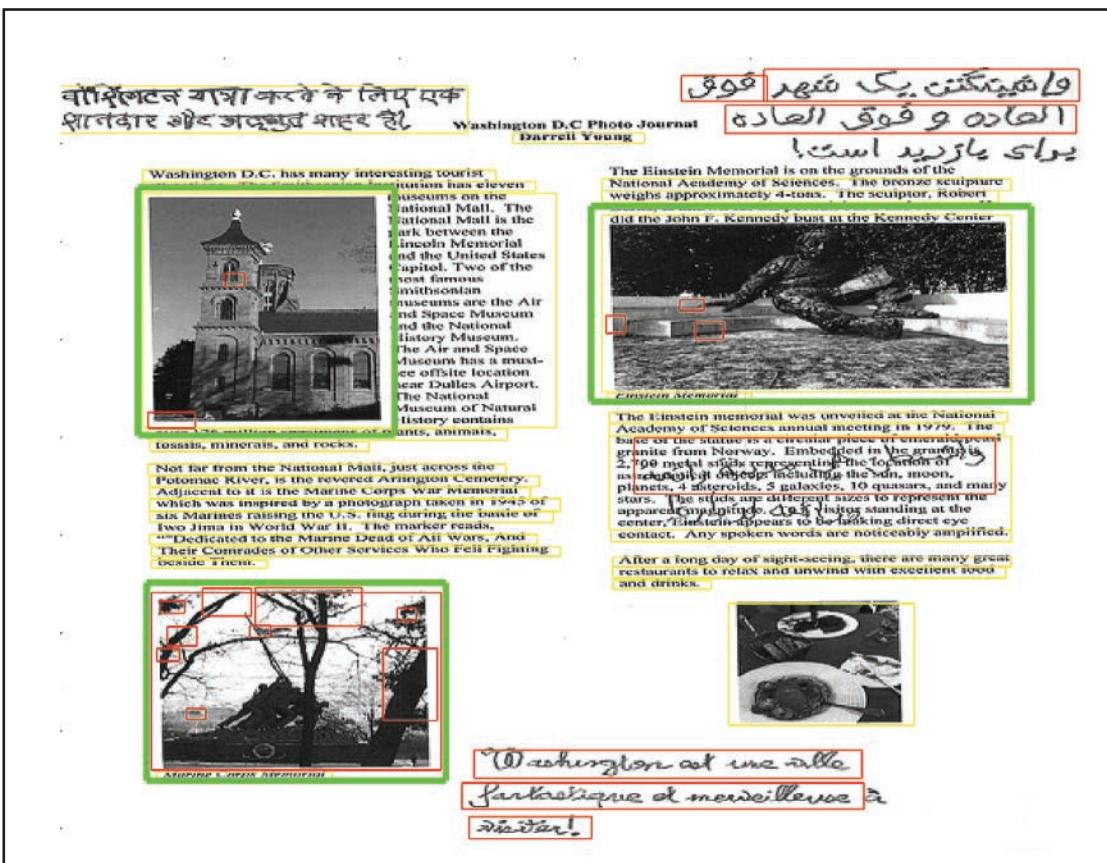
The blue Arabic handwriting is easily extracted by the Lab color filter and sent downstream for language identification. In the figure there is black Arabic handwriting

¹ N. Otsu, "A threshold selection method from gray-level histograms," IEEE® Trans. Systems Man Cybernet., 9 (1), 1979, pp. 62-66.

² W. Niblack, "An Introduction to Digital Image Processing," pages 115-1 16. Englewood Cliffs, N.J., Prentice Hall, 1956.

³ J. Sauvola, M. Pietikainen, "Adaptive Document Image Binarization," Pattern Recognition, 33, 2000, pp. 225-236.

Figure 6: Page layout of a magazine article processed by the deep learning CNN. Green boxes are detected images, red are handwriting and yellow are text



below the blue that was not extracted by the blue color filter. In these cases, when there is no detectable color difference, a graph-based segmentation algorithm is used to extract the handwriting.

Deep Learning CNN Page Layout Versus Line Cuts

Characteristic features that distinguish handwriting from printed text include poor alignment of characters within a word, or between words within a phrase or sentence; variations in relative character sizes; and greater variation in character spacing than occurs in printed text. In order to capture these properties, it is necessary to first properly align the suspected handwriting, and then measure the lack of printed text uniformity. Conventional methods to distinguish handwriting from machine printed text exploit these variations using a horizontal line cut. Vertical line cuts can detect the uniformity of lower and upper case variation in

printed text. The problem with the line cut method is that embedded images, such as those found in magazines or news articles, also have unstructured variation which can cause the algorithm to confuse images for handwriting.

An alternate solution was evaluated using a deep learning convolutional neural network (CNN) to recognize handwriting, machine printed text, and images. The machine learning algorithm was first trained with a dataset of printed text, handwriting, and images. Then a page from a travel article about Washington D.C. written by the author was marked with handwriting and processed as an image by the algorithm (Figure 6). The red boxes indicate handwriting detected by the CNN and the green boxes are detected images. Since the entire page is an image, the deep learning CNN is detecting images inside the overall image. Similar to the line cut problem, handwriting is sometimes falsely detected inside the images. However, in this implementation it is

Figure 7: Segmented word and phrase images used to build a training dataset

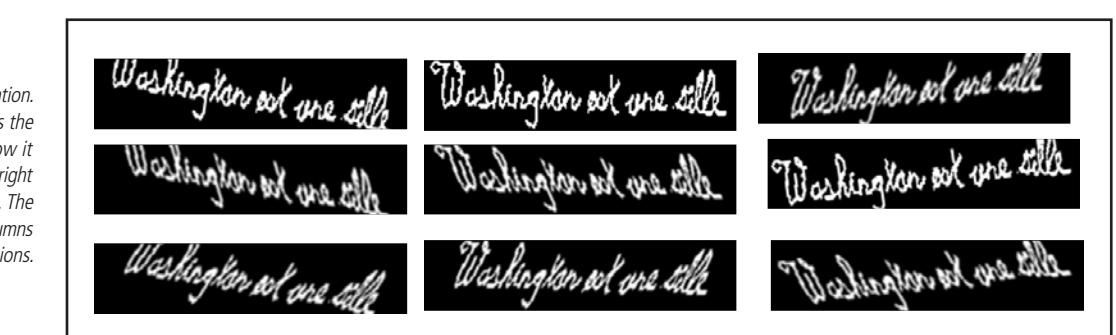
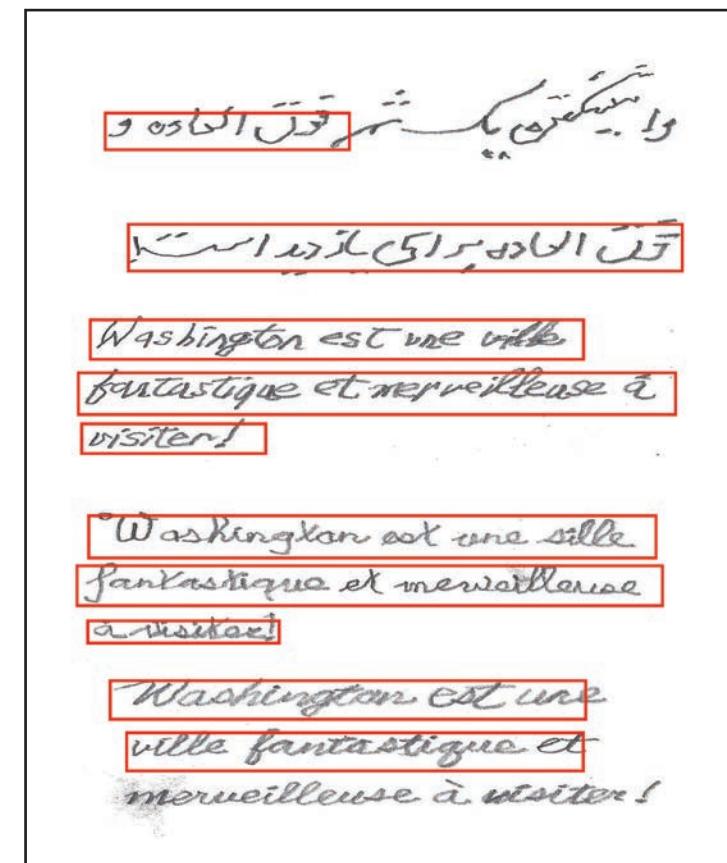


Figure 8: Augmentation. Top row center is the original and below it are affine left and right transformations. The left and right columns are rotated versions.

a configuration option to process candidate handwriting on top of detected images.

The most difficult part of machine learning is oftentimes training set preparation. In this case, the available handwriting data consisted of a collection of handwritten documents in various languages. Our approach segmented each document into a collection of small, binarized images as shown in Figure 7.

Once the handwriting has been extracted various versions are created using image warping routines to slant the image to the left and to the right. The middle column of Figure 8 shows the original extracted handwriting (top) and below it, two warped versions of the image. In addition, each image is rotated left and right through 1 degree increments up to +/- 8 degrees (left and right columns).

LANGUAGE CLASSIFICATION

Three methods were evaluated to classify the detected handwriting: SURF-based features with Support Vector Machine (SVM) classifier; N-gram histogram vectors with K-Nearest Neighbor classifier; and deep learning Convolutional Neural Net.

SURF-Based Features with Support Vector Machine (SVM) Classifier

Initial experiments utilized the Speeded Up Robust Features (SURF)⁴ algorithm to identify keypoints in the training set. The strongest keypoints were selected to create a visual “bag-of-words,” which was then used in a Support Vector Machine to distinguish the language classes.⁵ This approach worked surprisingly well as an “off-the-shelf” algorithm with no specific customization for handwriting language recognition, achieving accuracies of more than 75%.

N-gram histogram vectors with K-Nearest Neighbor Classifier

The first step in this method was to approach the problem in much the same way as early day cryptographers, by noting that n-gram character sets from secret writing, (where n is 1, 2 or 3), exhibited statistical frequencies characteristic of the language the code was concealing. By constructing sub-blocks of the text, we believed we could map their frequencies to a set of the major languages. Approximately 100 individual features were designed to capture the uniqueness of each language (Figure 9). For example, the French phrase, “Où dans la forêt est le garçon étudiant naïf?” illustrates all five French accent marks: grave, circumflex, cedilla, acute and umlaut. We first detected the appearance of these marks and then encoded them as features, assigning each feature a unique number. Next, a detector was designed for each feature. Similarly, detectors for other languages were developed, such as unique



arrangement of circles and lines found in Korean, “제 눈에 안경이다” (“beauty is in the eye of the beholder”); the curves of Arabic, “لذت ربها” (“be patient”); the multiple orthogonal intersections of Chinese, “见钟情” (“love at first sight”); and so on for Japanese, Urdu, Persian, Bengali, Hindu, Portuguese, Russian, Swahili, Tamil, Telugu, and Turkish.

Once each feature was detected and encoded into a number, the language classification process began. The approach was patterned on the successful Cavnar and Trenkle technique⁶ used on characters (not handwriting) where histograms of n-grams are formed to create a language profile. An n-gram, in this case, is an occurrence of two features together. The language profile vector of n-gram normalized counts is developed during training and stored for each language. During testing, n-gram profile test vectors of the test document are compared to the stored profile vectors. The “closest match” is the reported language.

Figure 9: Custom feature tokens detected in handwriting are used to build n-gram feature vector histograms

Line Shapes	Horseshoe Shapes	Circular Features
1 Horizontal line	15 East horseshoe	19 Big lower circle
2 Vertical line	16 North horseshoe	20 Big upper circle
3 Lower cross	17 West horseshoe	21 Small lower circle
4 Upper cross	18 South horseshoe	22 Small upper circle
5 Lower T		23 Big lower horizontal oval
6 Upper T		24 Big upper horizontal oval
7 Lower L		25 Small horizontal lower oval
8 Upper L		26 Small horizontal upper oval
9 Lower upside down L		27 Big lower vertical oval
10 Upper upside down L		28 Big upper vertical oval
11 F		29 Small vertical lower oval
50 Diagonal line		30 Small vertical upper oval
51 Horizontal diagonal line		
52 Vertical diagonal line		
Accent Features		
60 Dot		
61 Dash		
62 Aigu		
63 Grave		
64 Circumflex		
65 Tilde		
66 Caron		
67 Breve		
68 Inverted Breve		
69 Hoi		
70 Circle Accent		

The feature bi-gram approach takes advantage of the fact that certain handwritten strokes uniquely appear together in certain languages. For example, the letters ‘th’ are the most common character bi-gram in the English language. The circumflex found above the é (e-circumflex) was assigned the feature code of 64. The top part of the “e” is coded as a “South horseshoe” feature (see Figure 9). The feature bi-gram formed by 18 and 64 appearing together is common for the occurrence of the e-circumflex found in Afrikaans, Dutch, French, Friulian, Kurdish, Portuguese, Vietnamese, and Welsh and would be prominent in their profile vectors. A counter-example is the diacritics found in Arabic such as the fatah and kasrah which are small diagonal marks placed above or below letters, respectively to indicate pronunciation. Depending on the personal style of the handwriter they would be assigned feature codes 50, 51, or 52. The Arabic bi-gram feature profile will have many occurrences of these feature codes which helps distinguish it from Latin languages. We evaluated various distance metrics such as Spearman, Minkowski,

Mahalanobis, Jaccard, Hamming, Euclidean, Seuclidean, cosine, correlation, Chebyshev and cityblock. In general, Hamming and cosine distance measures performed the best for this application.

The approach used in this study was to form n-grams using the feature numbers. A profile n-gram histogram vector for each language was created during training and then n-gram test vectors were compared to it during test to estimate the language by choosing the profile vector that was the best match to the test vector. The experiments showed this as a viable technique, which could learn a language profile and match it against features extracted from never-before-seen data, achieving accuracies of up to 87%. The downside of this technique, however, is the complexity of coding the individual feature detectors.

⁴ Herbert Bay, Andreas Ess, Tinne Tuytelaars, Luc Van Gool, “SURF: Speeded Up Robust Features,” Computer Vision and Image Understanding (CVIU), Vol. 110, No. 3, pp. 346–359, 2008.

⁵ Csurka, G., C. R. Dance, L. Fan, J. Willamowski, and C. Bray Visual Categorization with Bag of Keypoints, Workshop on Statistical Learning in Computer Vision, ECCV 1 (1-22), 1-2.

⁶ Cavnar, William B., and John M. Trenkle. “N-gram-based text categorization.” Ann Arbor MI 48113, no. 2 (1994): 161-175.

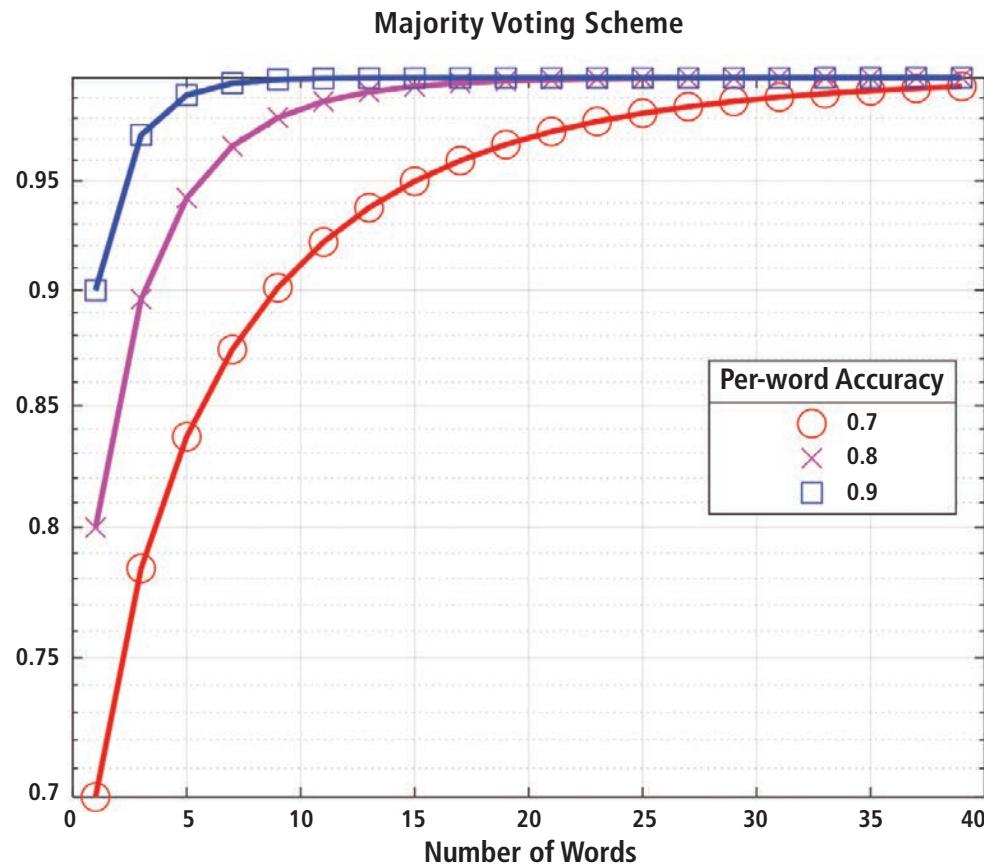


Figure 10: Document language classification accuracy improved via majority voting. Increased number of words improves overall accuracy

words in the document are from the same language, additional accuracy can be achieved by implementing a majority voting scheme. Assume that the accuracy of each language class is p , and all the misclassification error probabilities are the same, then the P_{correct} of a majority voting scheme over n -words is given by the binomial equation:

$$P_{\text{correct}} = \sum_{k>n/2}^n \binom{n}{k} p^k (1-p)^{n-k}$$

The majority voting scheme can yield substantial improvement as Figure 10 shows for per-word probabilities of 0.7 (red), 0.8 (magenta), and 0.9 (blue). A per-word classification accuracy of 0.7 converts to an overall document accuracy of .95 after only 15 words are input into the majority voting.

CONCLUSIONS

Detection, extraction, and classification of handwriting language are a prerequisite to Handwriting Character Recognition (HCR). Raytheon has developed a scalable prototype system that accomplishes these tasks. Deep learning algorithms were evaluated for both the page layout and language classification tasks. The deep learning language classification performance was compared to more conventional SURF bag-of-words features with an SVM classifier, and to a novel bi-gram handwritten feature representation with a nearest neighbor classifier. The development of the custom features requires significantly more thought and programming effort, but could be useful in those cases where there is insufficient data to fully train the other machine learning approaches.

The division of the problem into manageable segments controlled the combinatorial complexity. The end-to-end performance of the system requires each segment work as intended.

Handwriting language classification requires the handwriting to be detected, which requires correct page layout which requires good binarization and so forth.

The immense variety of the unconstrained input document types, combined with the cascade dependencies of the processing chain, continues to make the handwriting language classification on general documents of any type a challenging problem. Future work will explore the gain of various machine learning architectures including more layers and extended training sets for improved machine learning of document layout, handwriting detection and handwriting language recognition. This work could also extend the techniques developed in the prototype system to detect hand-drawn maps and circuit diagrams which could have relevance for counter-IED and other important intelligence applications.

Dr. Darrell Young
Dr. Kevin Holley

Deep Learning CNN Handwriting Language Classifier

Deep learning CNNs do not require the language expertise to know which features most likely differentiate handwriting systems. Instead, presumably, the deep network learns the unique characteristics of each language such as the unique L'accent marks in French and the inverted question marks (¿) in Spanish. The deep net, conceivably, removes the need for one or more language specialists that know the peculiarities and distinguishing features of each language; and one or more computer specialists to code the feature detectors.

This large gain in automation obtained from the deep net does not come without a price. A new kind of specialist is needed to organize and feed the algorithm a very large dataset of training examples. Moreover, in some cases of rare and/or vanishing languages it is difficult to obtain a sufficiently large set of handwritten samples for training.

The deep CNN approach automatically learns the features from the raw input training images. The performance of the deep learning CNN increases with the number of layers and the quality of the input training set. In the prototype experiment, each convolution layer had a rectified linear unit (ReLU) and batch normalization. The cross entropy⁷ loss function was minimized to select one of the mutually exclusive language categories achieving accuracies up to 95%.

Post-Processing

The language classification results are on a per-word basis. The evidence for declaring a language increases with the number of words evaluated. If all the handwritten

⁷ Bishop, C. M. Pattern Recognition and Machine Learning. Springer, New York, NY, 2006.

LEADERS CORNER



JEFF VOLLIN, Ph.D.

Dr. Jeff Vollin is the Technology Director and Chief Technologist for emerging technology for Raytheon Missile Systems (MS). He is responsible for selection, funding and oversight of MS fundamental research and development programs, including advanced propulsion, structures and materials, radio frequency (RF) and electro-optical sensors, signal processing, as well as high-power-microwave and high-energy-laser technology. As a principal engineering fellow, Dr. Vollin specializes in the design of high-power systems, transmitters, and high- and low-voltage power systems. Previously as lead technologist for power systems, he oversaw advanced technology in that area; and earlier he was chief engineer for the Vigilant Eagle program, a high-power-microwave defense system against shoulder-fired missiles. Dr. Vollin earned a bachelor's degree in engineering from the California Institute of Technology™, a master's degree in electrical engineering from UCLA™ and a doctorate in Electrical Engineering from the California Institute of Technology.

TECHNOLOGY TODAY SPOKE WITH JEFF VOLLIN ABOUT HOW RESEARCH AND TECHNOLOGY IS MANAGED AT RAYTHEON MISSILE SYSTEMS AND HIS ROLE AND RESPONSIBILITIES AS TECHNICAL DIRECTOR.

TT: WHAT IS A BUSINESS TECHNICAL DIRECTOR (TD) AND WHAT ARE YOUR DAY-TO-DAY RESPONSIBILITIES AS A TD?

JV: While it varies a bit from business to business, at Missle Systems (MS) the TD has shared responsibilities to Corporate Headquarters and to MS. For Corporate, I interface with the Chief Technology Officer on all our advanced technology plans and strategies. I coordinate Missle Systems' attendance at major events, such as Technology Integration week and the Principal Fellows Workshop. I also answer any questions on technology from corporate leadership and monitor the MS IRAD investments relative to the other businesses, to ensure there is the maximum amount of synergy possible between IRAD investments. For MS, I oversee the annual process of selecting strategic IRAD projects, then oversee the execution of those projects throughout the year. Our office also coordinates all Missle Systems' university engagements whether they be Directed Research, Research Memberships, or just university services.

TT: HOW DID YOU GET INVOLVED IN TECHNOLOGY AND WHAT ARE YOUR HOBBIES?

JV: My involvement with technology started early in my career. I was involved in the design of Raytheon's High Power Transmitters and High Power Microwave products, and I felt it was essential to understand how these devices fit into the overall strategy of Missle Systems. Not every missile contains an active RF transmitter, and I wanted to understand which ones did and why. For example, those missiles that did not carry an active transmitter, could they include one in

the future, and what obstacles might prevent their adoption? This led me into a position of coordinating IRAD investments in our Electrical Systems Directorate for not only high power transmitters, but also for all MS electronic technologies. And following this, I was offered a position on the MS Technology Staff.

As far as hobbies are concerned, I enjoy amateur astronomy, amateur radio, metal working in my home machine and welding shop, and woodworking. To support my astronomy hobby, I built a deck on the roof of my house with a fiberglass dome to house the telescope. The telescope structure required both machine work and welding. I also traveled this year to the Great American Eclipse with friends that were professional Astronomers. The experience was great, especially with like-minded friends regarding events in the sky.

TT: WHAT EXCITES YOU ABOUT TECHNOLOGY AT RAYTHEON?

JV: For me, the excitement comes from the opportunity to see all the great science I learned about in my undergraduate education at Caltech™ come into reality. Much of my career was spent narrowly focused on Power Electronics, my chosen specialty for my Ph.D. Working in the Technology office has allowed me to expand my knowledge and influence far beyond electronics into such areas as propulsion; Guidance, Navigation and Control (GNC); atomistic simulation; exotic materials and Artificial Intelligence. It is truly amazing to see all the technology our people can take from the imagination and make into working products. I do miss being THE expert on one topic, now relying on other experts in their domains, but the rewards of being involved in many technologies easily make up the difference.

TT: WHAT ARE SOME KEY EMERGING TECHNOLOGY AREAS AND WHY ARE THEY IMPORTANT?

JV: Today it is impossible to work in almost any area of technology without encountering Artificial Intelligence (AI) and Machine Learning (ML). We use it not only in obvious ways such as target recognition in complex scenes, but also in some very unexpected ways such as sharpening an out-of-focus image. Some of the AI/ML technology is making a direct impact today, while some of it will need considerably more time to mature. We are creating strategic partnerships with universities such as Caltech in the area of autonomous systems. These partnerships help move our technology ahead quickly by mixing the theoretical work of a university with the practical work of Missle Systems.

There are other key emerging technologies that I am tracking as possibly "the next big thing" in their respective fields. One is electric propellant, which is a unique combination of electricity and chemistry yielding a solid propellant that can be turned on and off, literally with the flip of a switch. When in the off position, the material will not burn energetically, providing a propellant with unprecedented safety and utility. Another emerging technology I am watching closely is Integrated Photonics. This field is just now making the transition from discrete components to complete subsystems integrated on a chip; much like electronics made the shift in the 1980s. With photonic systems, all the size, weight and power (SWAP) factors could potentially be improved by an order of magnitude or more. Commercial practice is moving quickly in this direction in the large data communications area, and our missiles use more and more high speed data all the time. There are many more emerging technology areas we are investing in, these are just a couple that may not be widely appreciated yet.

TT: HOW DOES RAYTHEON TEAM WITH UNIVERSITIES TO DEVELOP TECHNOLOGIES?

JV: We team with universities to gain access to the newest thoughts in science and technology. Many of the problems that universities deal with require extended time spent with great focus and concentration to solve. It is usually not economically practical for companies that need to make a product to spend this effort, especially when there is no guarantee of useful results. By teaming with a company like Raytheon, we can help the university to focus their thinking along practical lines, to solve real problems that the nation has. Raytheon gains from the exposure to new ideas and new ways of thinking about problems that may have been unsolvable just a few years ago.

TT: HOW DOES A PERSON GET INVOLVED IN RAYTHEON RESEARCH, TECHNOLOGY AND INNOVATION?

JV: At MS, this question has many dimensions and pathways, but they all start with an interest and a passion for what comes next. Early in my career, it became clear to me that in a competitive environment, the person who sits still quickly falls behind. Even then, the rate of technology change was so rapid that a good idea today, was common practice tomorrow. I knew I needed to be searching along multiple paths to find that next big idea. That is where it all starts, with that new idea. Once the idea has been formulated, the next step is to find a way to make that idea a reality. For that, Raytheon has many activities and programs from the basic MS Igniter crowdsourcing tool, to the more formal

"THERE REALLY IS SOMETHING FOR EVERYONE — SO LONG AS THERE IS A PASSION AND A GOOD IDEA."

— JEFF VOLLIN

IDEA (Identify-Develop-Expose-Action) Program run by Technology Area Directors (TADs), and finally Independent Research and Development (IRAD) projects. There is also the MS Hackathon and Conclave, collaborative events where employees can think and innovate, sharing and building upon ideas to create solutions to real world problems. At the enterprise level, there is also the Raytheon Innovation Challenge, a terrific venue to develop ideas to solve stated challenges.

I mentioned the TADs before. These are people that take a year away from their home organization to work in advanced technology for the enterprise, in one of our key technology domains. A great way to get involved in innovation is to know the TAD associated with your area of interest, and engage with the associated Technology Network (TN). The TN provides opportunities for enterprise-wide collaboration within that technology area, organizing activities for innovation such as symposia, workshops, and Technology Interest Groups. There really is something for everyone — so long as there is a passion and a good idea.



MECHANICAL MODULAR OPEN SYSTEMS ARCHITECTURES

Raytheon has enterprise-wide technology networks established to communicate and coordinate technology needs and developments across the company. These networks help ensure discriminating technologies are available to our system solutions that, in turn, provide our customers with the highest performance capability at the lowest possible cost.

One particular technology network is the Mechanical, Materials, and Structures (MMS) Technology Network (MMSTN) that focuses primarily on electronics packaging, advanced structures, emerging materials, and thermal management. This article discusses industry shifts toward open standards designs and how these shifts change and exert influence on the MMS technology domain.

OPEN STANDARDS AND ARCHITECTURE FOR COMPUTING SYSTEMS

Raytheon produces computing hardware and also adapts other vendors' hardware for use in areas such as communications, cyber, electronic warfare, radar, surveillance and weapons. Many of the computing systems Raytheon builds on these platforms are considered *embedded*—systems designed to perform specific functions within a larger system. Recently, there has been a shift in customer requirements towards using embedded computing systems with modular open systems architectures. This is due in large part to the 2017 National Defense Authorization Act (NDAA) requiring all major defense acquisition programs receiving Milestone A or B approval after January 1, 2019 to be designed and developed with a Modular Open System Approach (MOSA) to the maximum extent practicable.¹

MOSA divides systems into modules connected through well-defined interfaces. This enables customers to minimize "vendor lock,"² increase competition, maximize interchangeability, and facilitate technology refresh whereby pieces of a system are upgraded to improve or augment capabilities without replacing the entire system. Open architectures, generally agreed to reduce cost and spur innovation through open competition³, may also come at the expense of performance and reliability.⁴

Open architecture is commonly misunderstood as a systems engineering philosophy that impacts only systems engineers and system architects. However, architecture is "the fundamental organization of a system" embodied in its modules and interfaces, including mechanical, electrical, and software interfaces. An open architecture will follow defined standards for these interfaces,

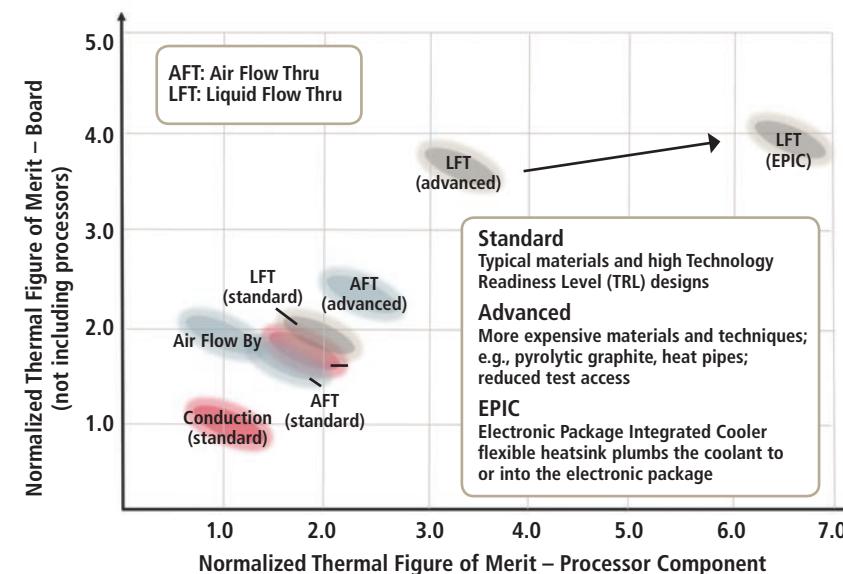


Figure 1: Board and Processor Figures of Merit (FOM) for VITA 48 Cooling Architectures

¹ National Defense Authorization Act for Fiscal Year 2017. Sec. 805 Subsection 2446a "Requirement for modular open system approach in major defense acquisition programs; definitions." PUBLIC LAW 114-328—DEC. 23, 2016.

² "Vendor lock," also known as vendor lock-in, refers to a situation where a product architecture is customized or otherwise protected by intellectual property rights to the point where all upgrades must be performed by the original developer to avoid substantial switching costs.

³ Baldwin, C. and Clark, K. "Managing in an Age of Modularity." Harvard Business School Publishing, 1997.

⁴ Christensen, C., Raynor, M. *The Innovator's Solution*. Harvard Business School Publishing, 2003, p 133.

⁵ ISO/IEC 42010 - IEEE® Std 1471-2000 "Systems and software engineering — Recommended practice for architectural description of software-intensive systems."

often down to the module level, impacting the MMSTN engineering disciplines.

The VITA⁶ Standards Organization is responsible for developing and defining key open standards specifications for embedded computing systems and modules. VITA 48, commonly known as VPX-REDI,⁷ establishes the latest mechanical formats and interfaces between the computing module and the chassis. Within the VITA 48 framework are standards for different cooling schemes, including standard air cooling (VITA 48.1), conduction cooling (VITA 48.2), air flow through cooling (VITA 48.5), and air flow by cooling (VITA 48.7). The relative thermal performance of each of these is presented in *Figure 1*.

Air flow by (AFB) cooling techniques employ convective cooling across the board surface by placing it in an airstream. Air flow through (AFT) and liquid flow through (LFT) techniques plumb the cooling fluid into a finned heat exchanger frame, conductively cooling the board to the heat exchanger. As shown in *Figure 1*, LFT cooling achieves superior thermal performance compared to alternative methods. It can also support high altitude operation. While conduction cooled applications may suffice in many current ruggedized processing module applications, liquid cooling will be necessary to transfer the heat dissipated by state-of-the-art processor solutions in the near future.

In response to interest from Raytheon and others, VITA formed a new working group in early 2015, chaired by Raytheon, to write the standard on liquid-flow-through VPX-REDI (VITA 48.4). Participation in a standards working group gave Raytheon and other members early access to the new standard and lessons learned, and

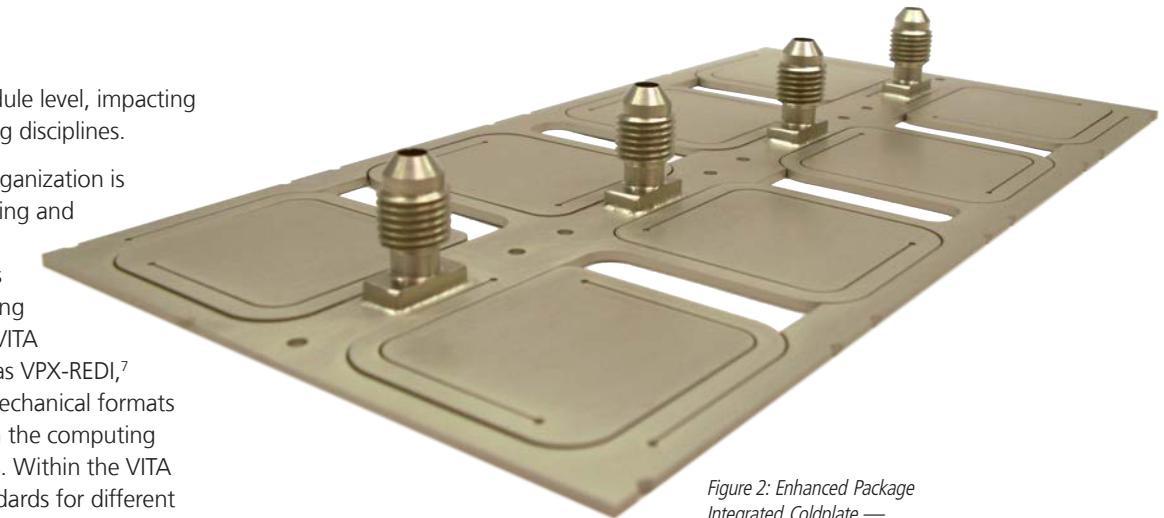


Figure 2: Enhanced Package Integrated Coldplate — an array of eight coolers for demonstration testing

provided them an opportunity to steer requirements and help drive the pace of the standard development milestones to meet program needs. The VITA 48.4 standard was ANSI (American National Standards Institute) ratified in 2018 and is available for use by any VITA member.

Open standards specify external mechanical requirements, such as mounting, outline, and interfaces. Internal components, for example circuit card assembly (CCA) and heat exchanger, are mostly customizable. An example of a customized internal component that still supports external open standards is the Raytheon developed Enhanced Package Integrated Coldplate (EPIC),⁸ *Figure 2*. EPIC is a thin (less than 2mm in thickness) flexible microchannel heat exchanger that can directly couple to the processor lid or die without the need for silicone based conforming gap filler materials. The mechanical flexibility enables EPIC to bring the coolant closer to the heat source, rejecting nearly twice as much heat from a processor as other state-of-the-art cooling solutions (pyrolytic graphite, heat pipes, etc.), extending the thermal limit of embedded computing processors well into the future.

More specific to United States military products, the Sensor Open Systems Architecture (SOSA)[™] Consortium⁹ is developing consensus-based, open technical standards specifying a reference architecture primarily aimed at Command, Control, Communications, Computers, Intelligence, Surveillance and Reconnaissance (C4ISR) sensor systems, including electro-optical and infrared (EO/IR), radar, signals intelligence (SIGINT), communications, and electronic warfare systems. The hardware working group within SOSA "specifies relevant existing standards and, as necessary, develops new standards to achieve modularity, interoperability, and scalability within a chassis" for all subsystems within a sensor product.¹⁰ SOSA is about two years old and is in the early stages of identifying and adopting relevant standards that extend to the entire sensor system.¹¹ Future sensor pursuits will require conformance with SOSA standards that extend beyond embedded computing to other subsystems and interfaces within the sensor system, the radar antenna for example.

Chris Koontz

FROM ONE ROBOT-MAKER TO ANOTHER

WOMEN ENGINEERS MENTOR 100 NEXT-GEN ROBOT-MAKERS AT FIRST® COMPETITION

Hundreds of students packed a Pomona, California, fairplex; makers of robots that would do battle at the Los Angeles Regional FIRST® Robotics Competition. The atmosphere in the arena, themed to recall the 8-bit video games of the 1980s, was electric as teams made last-minute modifications.

The competition brought together high school students from across Southern California to compete head-to-head with robots they designed and built over a six-week period. Raucous cheers bounced off the walls as each team's robot hit the arena floor. Operators, armed with controllers designed by their teammates, deftly moved their robots along the arena floor, picking up bright yellow boxes and depositing them into bins for points.

During a break in the competition's action, 100 of the young women behind the robots met with eight Raytheon women engineers at a speed-mentoring event in the conference center next door. Their mission? Share important lessons about making it in a field where women are often underrepresented.



Stephanie Yung, mechanical engineer, hosts a table of aspiring engineers. She was one of eight Raytheon engineers who offered advice to more than 100 young women from the Los Angeles Regional FIRST Robotics Competition.

"I am most looking forward to learning about confidence," said one of the students before the speed-mentoring event. "I know women are held to a different standard to do things a certain way. I think that talking about it helps relieve the stress of wanting to be perfect in a society where no one is perfect."

"YOU NEED TO PLAY TO YOUR STRENGTHS. AS YOU GO THROUGH HIGH SCHOOL AND COLLEGE AND THEN GET INTO THE WORKPLACE YOU'RE GOING TO HAVE AN OPPORTUNITY TO FIND WHAT YOUR STRENGTHS ARE."

— ANGELA JURANEK
RAYTHEON SPACE SYSTEMS PROGRAM MANAGER

The engineers encouraged the young women to own their chair as future leaders in science, technology, engineering and math — the subjects known as STEM.

"You need to play to your strengths. As you go through high school and college and then get into the workplace you're going to have an opportunity to find what your strengths are," advised Angela Juranek, a Raytheon Space Systems program manager. "My strengths are motivating and inspiring teams to get the job done, and that's how I ended up becoming a program manager."



After six weeks of design and testing, the robots hit the competition floor. Teams competed in 2.5 minute rounds, where their robots picked up and moved "power cubes" to earn points.

As the young women rotated from table to table, the conversations covered a wide variety of subjects, from choosing a college to tips on navigating a career. Many of the attendees were interested in hearing the engineers tell their own career stories.

"Higher education will open doors of opportunity," said April Sanders, a Raytheon systems engineer, when asked about her story. "These are words that I heard repeatedly as a child of a single mother and high school dropout."

In an ongoing effort to narrow the gender gap and increase diversity in the workplace, Raytheon sponsors FIRST Robotics teams from across the country. Employee volunteers spend thousands of hours coaching robotics team members and providing mentorship to students looking to make their mark in science, technology, engineering and math.

After the speed-mentoring event, the next generation of robot-makers charged back to the arena floor, ready to take on the challenges at the competition — and beyond. Juranek and her fellow engineers hope that the advice they offered will inspire the young women to join their ranks in STEM careers.

"I support STEM programs with the hope that I will be able to help young women see the potential in themselves," said Juranek. "The new generation of women engineers approach problems differently than my generation did — I want them to be part of my future teams, sit at the table with other engineers and design incredible things."



STEM advocate Angela Juranek shares college and career advice with future women engineers at the speed-mentoring event.

THE INVENTION ENGINE

RAYTHEON RECEIVES THE 10 MILLIONTH U.S. PATENT IN HISTORY

Joe Marron knew he had a good idea. But he had no idea it would make history.

Marron, an optical engineer at Raytheon, found a new way to get real-time readings from large laser radars, which use reflected light to measure speed and distance. Through Raytheon, he applied for a patent, and three years later, he got one. Not only did it confirm that his idea was novel, it made him the inventor behind the 10 millionth patent in the history of the United States.

"It's equivalent to a guy who buys a lottery ticket every month," Marron said of his noteworthy new patent number. "Eventually, it hits."

The odds of securing such a significant patent number are long, but they improve when you consider Marron's achievements and Raytheon's long history of innovation. Marron has turned his ideas into more than 20 patents over the years, starting with a 1991 concept to improve upon bifocal lenses. And Raytheon holds more than 13,000 active patents — 4,500 in the U.S. alone — with more than 4,300 applications pending.

Those numbers tell a story, Raytheon Chairman and CEO Thomas A. Kennedy said.

"Raytheon engineers and researchers like Joe have been pushing the bounds of what's possible for generations. It's what we do. We innovate, we solve hard problems, and we create solutions that explore new frontiers to shape an exciting future," said Kennedy, an electrical engineer with a Ph.D. and holder of four U.S. patents.



OFFICIAL WHITE HOUSE PHOTO BY SHEALAH CRAIGHEAD
THE INNOVATION NEVER STOPS

The potential applications are many, he said, including autonomous cars; a laser radar that can identify objects with speed and clarity could help a car's artificial intelligence make better decisions.

And that would be another entry in Raytheon's near-century-long record; along with Smith's revolutionary S-tube, the company's famous breakthroughs include Percy Spencer's 1943 patent application for mass-producing magnetron tubes, which helped meet a critical supply need for radars in World War II. The following year, Spencer struck again, this time with a way to use the magnetron to cook food, resulting in the first commercial microwave ovens.

One problem with large laser sensors is that light fluctuates very quickly, creating an enormous amount of data to process. That means large laser radar arrays rely on a series of converters and processors just to create a coherent picture of what they're seeing. They can do it, it just takes time.

To get that information faster and with high fidelity, Marron called upon his knowledge of two familiar technologies: digital cameras and FM radio.

By redesigning a laser radar like a digital camera, he could spread that data out across many pixels, each with its own processing electronics. Then, using an approach called quadrature detection, which underlies many forms of wireless communications, those pixels would report only the bits of data the sensor would need to draw a picture; in essence, it's a form of data compression.

"As an inventor," he said, "to be able to say I have Patent 10,000,000, that's pretty good for the resume."

"We can take terabytes of information and translate it down into something that can be digested by a computer," he said.

PATENTS ISSUED TO RAYTHEON

At Raytheon, we encourage people to work on technological challenges to make the world a safer place and develop innovative commercial products. Part of that process is identifying and protecting our intellectual property (IP). Once again, the U.S. Patent Office has recognized our engineers and technologists for their contributions in their fields of interest. We congratulate our inventors who were awarded patents from January 2016 through June 2018.

TEN MILLIONTH U.S. PATENT

JOSEPH MARRON
Coherent LADAR using intra-pixel quadrature detection
10000000
United States of America

RALPH KORENSTEIN, CHRISTOPHER S. NORDAHL, HUY Q. NGUYEN, RANDAL W. TUSTISON, RICHARD GENTILMAN, JOSEPH M. WAHL
Long wave infrared transparent window and coating materials
10000642
United States of America

DAVID N. SITTER JR
Optical configurations for optical field mappings for back-scanned and line-scanned imagers
10001636
United States of America

ANDREW WILBY
Laser synthetic aperture sonar for buried object detection
10006997
United States of America

JEFFREY A. SHUBROOKS, TRAVIS MAYBERRY
Ultrasonic consolidation with integrated printed electronics
10010020
United States of America

MONTY D. McDUGAL
Systems and methods for malware nullification
10021128
United States of America

ADAM M. KENNEDY, THOMAS ALLAN KOCIAN, BUU DIEP, STEPHEN H. BLACK, ROLAND GOOCH
Wafer level packaged infrared (IR) focal plane array (FPA) with evanescent wave coupling
9227839
United States of America

ERIC J. GRIFFIN, WALLACE H. SUNADA, MICHAEL L. BREST
Vacuum stable mechanism drive arm
9228645
United States of America
243007
Israel
6227770
Japan

KENT P. PFLIBSEN, BRIAN KEITH MCCOMAS
Imaging system with multiple focal plane array sensors
9228895
United States of America
2856093
France
602013012145.1
Germany
2856093
United Kingdom

GEORGE D. HAMMACK
Adaptive dynamic cluster deinterleaving
9229095
United States of America

JAMES FLORENCE, JOHN R. STALEY
Systems and methods for protection of eyepiece displays
9229216
United States of America

JOHN D. BLOOMER, IAN S. ROBINSON, BRADLEY A. FLANDERS
Method and apparatus for image processing
9230333
United States of America
220760
Israel

VALERI I. KARLOV, PATRICK BARRY, ADAM C. DURST
Video-assisted target location
9230335
United States of America
2901236
France
2901236
Germany
2901236
United Kingdom

THOMAS E. KAZIOR, EDUARDO M. CHUMBES, SHAHED REZA, GERHARD SOLLNER
Double heterojunction group III-nitride structures
9231064
United States of America
1577012
Taiwan

CLIFFORD S. BURNES, RYAN D. DEWITT
Isothermal terminator and method for determining shape of isothermal terminator
9231287
United States of America

BENJAMIN L. CANNON, JARED JORDAN
Multi-bandpass, dual-polarization radome with compressed grid
9231299
United States of America
2912721
France
602013030914.0
Germany
2912721
Turkey
2912721
United Kingdom

DAVID E. MUSSMANN, MARK J. BEALS
Method and apparatus to provide simultaneous sensing and transmission for dynamic spectrum access
9237043
United States of America

MICHAEL DEAN
Method and apparatus for dynamic mapping
9237059
United States of America

GHASSAN C. MAALOULI, BRETT J. YOUNG
Extracting spectral features from a signal in a multiplicative and additive noise environment
9239372
United States of America
2895877
France
2895877
Germany
2895877
United Kingdom

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WILLIAM SHANE POWELL, THOMAS L. CHEN System, method, and software for cyber threat analysis 9241008 United States of America	YUEH-CHI CHANG, JIAN WANG, TONY M. PONSFORD, ELI BROOKNER, BRADLEY FOURNIER, PETER R. DRAKE Methods and apparatus for 3D radar data from 2D primary surveillance radar and passive adjunct radar 9250317 United States of America	JOSEPH M. ANDERSON, HERBERT A. LEACH, CHARLES G. GILBERT Scanned antenna having small volume and high gain 9263791 United States of America	WAYNE P. O'BRIEN System and method for developing an object-oriented system 9274762 United States of America	MICHAEL L. BREST Variable aperture mechanism for creating different aperture sizes in cameras and other imaging devices 9285653 United States of America	BRIEN ROSS Variable magnification indicator in sighting system 9291810 United States of America 2015336880 Australia
DELMAR L. BARKER, WILLIAM RICHARD OWENS Infrared scene projector 9241115 United States of America	ANDREW L. URQUHART System and method for indexing of geospatial data using three-dimensional cartesian space 9251191 United States of America	PATRICK CICERO Directive, instantaneous wide bandwidth antenna 9263792 United States of America	JODY D. VERRET System and method for automatic registration of 3D data with electro-optical imagery via photogrammetric bundle adjustment 9275267 United States of America	SCOTT RITTER, MATTHEW DAILY, JASON HOLMES, MICHAEL NICOLETTI, JACOB BEAL, CHRISTOPHER PARK Smart garment and method for detection of body kinematics and physical state 9285788 United States of America	RYAN SALSAMENDI System and method for hypervisor breakpoints 9292417 United States of America
STEPHEN P. SHAFFER Use of sulfur hexafluoride gas to prevent laser induced air breakdown 9242311 United States of America	ANDREW L. URQUHART System and method for indexing of geospatial data using three-dimensional cartesian space 9251191 United States of America	BORIS S. JACOBSON, DONALD H. DESROSIERS Wide input DC/DC resonant converter to control reactive power 9263961 United States of America	PREMSAGAR GAZULA, ARTHUR R. CULBERTSON System and method for probabilistic name matching 9275339 United States of America	ROLAND TORRES Methods and apparatus for EMI filter having switched capacitance based on loading 9293248 United States of America	EDUARDO M. CHUMBES, DALE M. SHAW, KELLY P. IP, WILLIAM E. HOKE, STEVEN K. BRIERLEY Semiconductor structure with layers having different hydrogen contents 9293379 United States of America
PRESTON POWELL, CHARLES L. HORVATH Weapon posturing system and methods of use 9243869 United States of America 2742309 France 602012016873.0 Germany 2742309 United Kingdom	MATTHEW T. CASHEN, STEVEN R. WILKINSON, TODD O. CLATTERBUCK Distribution system for optical reference 9252795 United States of America 2652564 France 2652564 Germany 2652564 United Kingdom	GREGORY B. PRINCE Low complexity non-integer adaptive sample rate conversion 9264065 United States of America	LARISA ANGELIQUE NATALYA STEPAN, THOMAS F. BRUKIEWA, WILLIAM B. NOBLE Memory based electronically scanned array antenna control 9276315 United States of America 2803112 Finland 2803112 France 602012041648.3 Germany 2803112 United Kingdom	THOMAS OHKI, ANDREW KENT Magnetic memory system and methods in various modes of operation 9286962 United States of America	DAVID A. ROCKWELL, VLADIMIR V. SHKUNOV Method and apparatus for high-power raman beam-combining in a multimode optical fiber 9293888 United States of America 244598 Israel
BRIAN S. BOTTHOF, HENRI Y. KIM, GARRETT L. HALL, KIM L. CHRISTIANSON Low-collateral damage directed fragmentation munition 9243876 United States of America 3172525 France 3172525 Germany 3172525 Norway 3172525 Poland 3172525 United Kingdom	MATTHEW NEUMANN, MICHAEL W. SMITH Distributed network encryption key generation 9253171 United States of America	WILLIAM B. NOBLE, HARRY B. MARR, DANIEL THOMPSON, STEVEN G. DANIELSON, LARISA ANGELIQUE NATALYA STEPAN, JULIA L. KARL, PAUL YUE Runtime creation, assignment, deployment and updating of arbitrary radio waveform techniques for a radio waveform generation device 9268551 United States of America 3014495 Denmark 3014495 France 3014495 Germany 3014495 Israel 3014495 United Kingdom	ANDREW D. PORTNOY System, method, and software for image processing 9277141 United States Of America	KENNETH C. KUNG, THOMAS J. FLYNN Electrical phase synchronization 9293924 United States of America	CODY B. MOODY Hermetically sealed wafer packages 9287237 United States of America 1545665 Taiwan
DAVID G. GARRETT, LEONARD D. VANCE, CHRIS E. GESWENDER Correction of navigation position estimate based on the geometry of passively measured and estimated bearings to near earth objects (NEOs) 9243914 United States of America	ROBERT MARTZ, DAVID MATTHEWS Synthetic processing diversity within a homogeneous processing environment 9256431 United States of America	JODY D. VERRET, GRANT B. BOROUGH, RICHARD W. ELY System and method for automatically registering an image to a three-dimensional point set 9269145 United States of America	MATTHEW T. KUIKEN, STEPHEN H. BLACK Infrared thermal imaging system and method 9277142 United States of America 235861 Israel	MARK E. STADING, RICHARD D. YOUNG, DENPOL KULTRAN, MARK T. RICHARDSON, JEFFREY SAUNDERS, GEORGE W. GERACE High speed, high efficiency, high power RF pulse modulating integrated switch 9287870 United States of America	MICHAEL D. VAHEY, IAN S. ROBINSON, JOHN D. BLOOMER Correcting frame-to-frame image changes due to motion for three dimensional (3D) persistent observations 9294755 United States of America 214711 Israel
MICHAEL S. BIELAS Adaptive electronically steerable array (AESAs) system for multi-band and multi-aperture operation and method for maintaining data links with one or more stations in different frequency bands 9244155 United States of America	RICHARD W. ELY Method for detecting and recognizing boats 9256619 United States of America	ANTHONY SOMMSE, IAN S. ROBINSON, BRADLEY A. FLANDERS Method and system for identifying clusters within a collection of data entities 9269161 United States of America	RANDAL E. KNAR, TIFFANIE RANDALL, LUKE M. FLAHERTY Gum rosin protective coating and methods of use 9277638 United States of America	RONALD L. RONCONE Multimode shared aperture seeker 9291429 United States of America 2989410 France 602014022050.9 Germany 2989410 United Kingdom	GREGORY S. VOGT, NATHAN M. MINTZ, RUSSELL W. LAI, HUNG Q. NGUYEN, JAMIL R. HASHIMI, RYAN D. RETTING, GORDON R. SCOTT System and methods for using communication resources 9295072 United States of America
CHRISTOPHER J. BEARDSLEY, LUAN B. DO Video contrast enhancement with sub-segments 9245331 United States of America	JOHN G. WATTS, RICHARD J. KENEFIC Method and system for identifying clusters within a collection of data entities 9256681 United States of America	FRANCIS J. MORRIS Integrated capacitively-coupled bias circuit for RF mems switches 9269497 United States of America 1579874 Taiwan	BRADLEY A. FLANDERS, IAN S. ROBINSON, JOHN D. BLOOMER Imaging spectrometer with extended resolution 9279724 United States of America 247532 Israel	DAVID M. LA KOMSKI, ANDREW L. BULLARD Thermal management system and method for space and air-borne sensors 9296496 United States of America 2965045 France 2965045 Germany 6235049 Japan 2965045 United Kingdom	MARK A. TAYLOR, DON R. TOLBERT System and method for providing efficient cooling within a test environment 9297569 United States of America
STEVEN R. WILKINSON, TODD O. CLATTERBUCK, GABRIEL PRICE, JEFFREY L. SABALA, MATTHEW T. CASHEN Precision photonic oscillator and method for generating an ultra-stable frequency reference using a two-photon rubidium transition 9246302 United States of America 6081076 Japan	JOE A. ORTIZ Shield for toroidal core electromagnetic device, and toroidal core electromagnetic devices utilizing such shields 9257224 United States of America	VALERY S. KAPER, ANTHONY KOPA Differential-to-single-ended transmission line interface 9270002 United States of America	ROBERT D. STULTZ, BRIAN F. BOLAND Methods and apparatus for idler extraction in high power optical parametric amplifiers 9280031 United States of America	JOHN D. BLOOMER, IAN S. ROBINSON Configurable combination spectrometer and polarizer 9291500 United States of America 3100012 France 602015009082.9 Germany 3100012 United Kingdom	THOMAS P. DEARDORFF, ROBERT J. COLE, GEOFFREY GUISEWITE Associating signal intelligence to objects via residual reduction 9297654 United States of America
DAVID A. ROCKWELL, VLADIMIR V. SHKUNOV Method and apparatus for temporally concentrating pump power to support generation of high peak-power pulse bursts or other time-varying laser output waveforms 9246303 United States of America 6345348 Japan	JOSEPH A. TURNER System for scan organizing, managing and running enterprise-wide scans by selectively enabling and disabling scan objects created by agents 9258387 United States of America	WILLIAM J. MINISCALCO Free-space optical mesh network 9270372 United States of America 2014299312 Australia 6141459 Japan 2015/05372 South Africa	JULIA L. KARL, KENNETH E. PRAGER, LLOYD J. LEWINS, HARRY B. MARR Minimizing power consumption in asynchronous dataflow architectures 9281820 United States of America	ERIC M. MOSKUN, IAN S. ROBINSON, LACY G. COOK High efficiency multi-channel spectrometer 9291501 United States of America ZL 201380039223.2 China 236639 Israel 5925965 Japan	ROBERT J. COLE, GEOFFREY GUISEWITE Associating signal intelligence to objects via residual reduction 9297655 United States of America
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CHRISTOPHER R. ECK Predicting edges in temporal network graphs described by near-bipartite data sets 9299042 United States of America	JOHN WAGNER Methods and apparatuses for monitoring activities of virtual machines 9311248 United States of America	AMEDEO LARUSSI, JONATHAN COMEAU, MICHAEL A. GRITZ Imaging antenna and related techniques 9329255 United States of America 6193488 Japan 1587576 Taiwan	DAVID J. KNAPP, GREGORY P. HANAUSKA, CHADWICK B. MARTIN Offset aperture gimbled optical system with optically corrected conformal dome 9335126 United States of America	AARON C. WALLACE Methods and apparatus for adaptive motion compensation to remove translational movement between a sensor and a target 9348021 United States of America 2515727 United Kingdom	CASEY T. STREUBER Shared-aperture electro-optic imaging and ranging sensor 9354052 United States of America
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