

Integrating Machine Learning in Embedded Sensor Systems for Internet-of-Things Applications

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Abstract—Interpreting sensor data in Internet-of-Things applications is a challenging problem particularly in embedded systems. We consider sensor data analytics where machine learning algorithms can be fully implemented on an embedded processor/sensor board. We develop an efficient real-time realization of a Gaussian mixture model (GMM) for execution on the NXP FRDM-K64F embedded sensor board. We demonstrate the design of a customized program and data structure that generates real-time sensor features, and we show details and training/classification results for select IoT applications. The integrated hardware/software system enables real-time data analytics and continuous training and re-training of the machine learning (ML) algorithm. The real-time ML platform can accommodate several applications with lower sensor data traffic.

Keywords—sensor data analytics; embedded machine learning; condition monitoring; Internet-of-Things

I. INTRODUCTION

Integrated sensor technologies are at the core of many applications including Internet-of-Things (IoT), diagnosis of machine condition [1, 2], human body activities [3], health monitoring [4, 5], localization [6, 7], and structural monitoring [8, 9]. Embedded sensors in IoT and other applications are often associated with exceedingly large datasets which pose storage, transmission and security/privacy problems [10, 11]. A recent research report [12] states that machine-generated data will increase up to 42%, and embedded systems will provide 10% of all digital data by 2020. Sharing of sensor systems and cloud computing resources can help cope with these problems. The ability of simple embedded sensor boards to accommodate machine learning tasks, which is demonstrated in this paper, can help cope with big data and high bit rate issues. Furthermore, parametric representations of data, obtained by embedding machine learning algorithms directly on the sensor board, enables users to obtain analytics and develop efficient IoT applications.

In this paper, we describe the design, efficient realization and full integration of a machine learning algorithm on a simple NXP sensor board. The machine learning process relies on a Gaussian mixture model (GMM) [37,47] that uses the expectation-maximization (EM) algorithm with the minimum description length (MDL) criterion. The algorithm we implemented is based on a probabilistic model generated on the NXP board that characterizes the statistical features of sensor

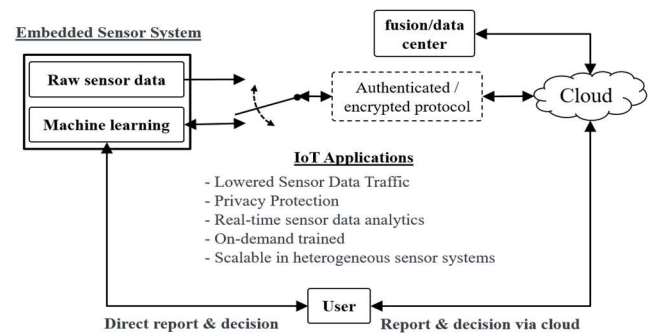


Fig. 1. Embedded machine learning for IoT applications.

measurements in real time. To implement the machine learning algorithm on the sensor board, we customize the data structure and computation sequence. Our implementation demonstrates the embedded machine learning and classification process with select real-time IoT experiments. We argue that the integrated sensor hardware and machine learning software has several benefits including: a) enabling the sensor system to be continuously trained and retrained, b) providing real-time analytics directly from the board, c) scalability in that the algorithms can also operate with attached heterogeneous sensors, and d) reduction of sensor data traffic and potentially enhanced privacy by communicating parameters instead of raw data (see Fig. 1).

The rest of this paper is organized as follows. Section II introduces an embedded sensor system for data analytics, and describes the steps taken to integrate a machine learning algorithm on an embedded system. In Section III, potential IoT experiments are provided. Section IV provides concluding remarks.

II. EMBEDDED SENSORS AND MACHINE LEARNING

A key objective of the paper is to demonstrate real-time training and classification of a machine learning algorithm on an embedded sensor board for use in data analytics and IoT applications. We provide first some background information and bibliography on applications of machine learning in IoT [19, 20], mobile [24] and cloud systems [25], natural data compression [23, 26] and recognition [27,28,58,60], image classification [41], health monitoring [29,30], smart cities [21, 22], smart campus [31], transportation systems [48], solar

panel monitoring [43,54,63] and other applications [64-68]. Machine learning algorithms are at the core of IoT systems that engage both mobile and cloud computing. Research and development is creating new technologies that have enabled new products [32, 33, 61]. Machine learning methods emerged in mobile communications in the form of vector quantization algorithms of speech features for coding and compression [23, 35, 36, 46, 49, 50]. Extensive coverage of machine learning is given in [39] and the study of Gaussian Mixture Models (GMM) was addressed in several publications [34,37,42,45, 47]. Extension, customization, and enhancement of features and machine learning methods have been discussed in [38,40, 42,57,59,62]. Kalman-type estimation methods for sensor, IoT and other systems have been covered in [44,51,52,53].

We are addressing here a sensor-based embedded system for analytics on the performance of IoT applications. We describe first the embedded sensor system which gathers raw data, obtains parameters, performs initial calculations, and provides IoT related analytics. Potential analytic scenarios were introduced in [13, 55, 56]. Manufacturers reduce the maintenance cost by proactively monitoring the performance and reliability of their products. For sensor data analytics NXP (formerly, Freescale) Semiconductors developed an embedded sensor data logger based on an Intelligent Sensing Framework (ISF). The ISF is a comprehensive and robust runtime framework providing an open sensor hub capability available for ARM-based microcontrollers and various sensors [14]. The ISF-based sensor data logger uses the FXOS8700CQ 6-axis combo accelerometer/magnetometer that is on the FRDM-K64F embedded board shown in Fig. 4. The sensor data logger collects raw sensor data in real time and records it for subsequent tasks. The existing data logger is not able to process data analytics in an embedded system but needs to transmit all the data to an IoT fusion/server center for centralized processing.

Centralized processing may increase sensor data traffic and also create vulnerabilities with data security and privacy. For example, 3-dimensional accelerometer data with high sampling frequency may generate a large set of data samples in real time. Instead, a set of model parameters obtained from data analytics can represent these data. Moreover, sensor data may contain private information that should be kept secure. Therefore, integrating data analytics in the embedded sensor board will not only reduce sensor data traffic but also enhance privacy protection. In the following sections, we implement real time a

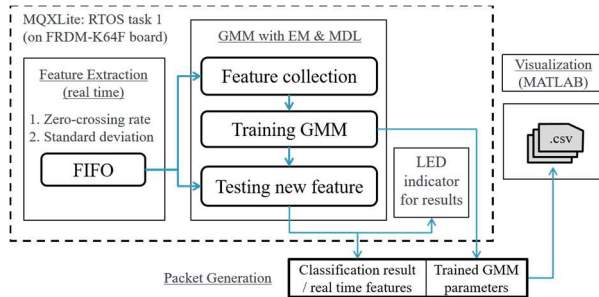


Fig. 2. Block diagram of Embedded Machine Learning.

GMM on an embedded sensor board. GMM is one of the most common parametric density estimation methods. We have ported the GMM, along with EM algorithm under the MDL criterion, onto the FRDM-K64F embedded board. We note that this implementation paradigm can be also ported to other embedded sensor boards. Given raw sensor data, after extracting statistical features, the implemented algorithm automatically estimates the closest distribution that is used for classification and clustering. We explain below the theoretical background followed by the implementation details.

A. Overview of GMM with EM and MDL

Let M be the number of Gaussian components. The m -th mixture model is parameterized in terms of the mean vector μ_m , the covariance matrix Σ_m , and the mixing probability p_m . These parameters are represented for order M using the notation: $\{p_m, \mu_m, \Sigma_m\}_{m=1}^M$. Let $\mathbf{x} \in \mathbb{R}^d$ be a real feature vector which is formed from raw sensor data. The density function of the vector \mathbf{x} with M components is given by

$$f(\mathbf{x}; \theta, M) = \sum_{m=1}^M p_m g(\mathbf{x}; \mu_m, \Sigma_m) \quad (1)$$

where the mixing probability satisfies $\sum_{m=1}^M p_m = 1$ and the m -th Gaussian component is defined as

$$g(\mathbf{x}; \theta, M) = \frac{1}{(2\pi)^{d/2} |\Sigma_m|^{0.5}} e^{-\frac{1}{2}(\mathbf{x}-\mu_m)^T \Sigma_m^{-1} (\mathbf{x}-\mu_m)} \quad (2)$$

Given N feature samples of $\mathbf{X} = [\mathbf{x}_1, \dots, \mathbf{x}_N]$, the parameter θ that makes GMM closely match the distribution of \mathbf{X} can be achieved by maximum likelihood estimation. The likelihood function of θ for a fixed M , assuming independence in \mathbf{X} , is given by $f(\mathbf{X}; \theta, M) = \prod_{n=1}^N f(\mathbf{x}_n; \theta, M)$. The maximum likelihood estimates $\hat{\theta}$ can be determined using the iterative EM algorithm [15]. With initial parameters denoted θ_i where $i = 0$, the θ_{i+1} in the next iteration is obtained by taking the expectation of θ_i with respect to \mathbf{X} . Each iteration estimates $f(\mathbf{X}; \theta_{i+1}, M)$ such that $f(\mathbf{X}; \theta_{i+1}, M) \geq f(\mathbf{X}; \theta_i, M)$. This process is repeated until a convergence threshold is satisfied. At every iteration, the parameters of θ for the m -th Gaussian component are updated as shown below:

$$p_{m,i+1} = \frac{1}{N} \sum_{n=1}^N \Pr(m|\mathbf{x}_n, \theta_i) \quad (3)$$

$$\mu_{m,i+1} = \frac{\sum_{n=1}^N \Pr(m|\mathbf{x}_n, \theta_i) \mathbf{x}_n}{\sum_{n=1}^N \Pr(m|\mathbf{x}_n, \theta_i)} \quad (4)$$

$$\Sigma_{m,i+1} = \frac{\sum_{n=1}^N \Pr(m|\mathbf{x}_n, \theta_i) (\mathbf{x}_n - \mu_{m,i})(\mathbf{x}_n - \mu_{m,i})^T}{\sum_{n=1}^N \Pr(m|\mathbf{x}_n, \theta_i)} \quad (5)$$

The MDL criterion [16] is used to determine the Gaussian mixture model order M , i.e.,

$$MDL(M, \theta) = -\log f(\mathbf{X}; \theta, M) + \frac{1}{2} L \log(dN) \quad (6)$$

where d denotes the dimension of \mathbf{x} and L is the number of parameters with the model order M [17]. The best positive integer order M^* is determined by sequentially testing from a

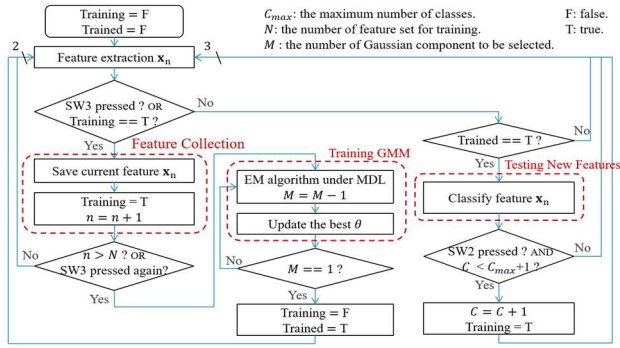


Fig. 3. Flowchart of the GMM-based classification.

large number of M to 1. When the value of M is reduced from M to $M - 1$, two Gaussian components are merged to a single one. The appropriate component pair is selected by finding the two closest ones such that the upper bound on the change, i.e. $MDL(M - 1, \theta_{(l,k)}) - MDL(M, \theta)$, is minimized where $\theta_{(l,k)}$ are the parameters of the merging components l, k .

B. Implementation

The embedded sensor board FRDM-K64F is equipped with a 32-bit ARM[®] Cortex-M4F core, 1MB FLASH, and 256KB RAM, along with FXOS8700CQ accelerometer. The software component was developed on top of the MQX Lite real-time operating system (RTOS) using the Intelligent Sensing Framework (ISF) library. This process can be extended to similar embedded sensor boards and RTOS.

In order for the GMM-EM algorithm to efficiently run on the FRDM-K64F with limited resources, dynamic memory allocation was not used. Statistical features were computed in fixed point representation provided by the ARM CMSIS DSP library. The implemented algorithm was partially computed in 32 bits float type using the floating-point unit of ARM Cortex M4F. We designed the data structure consisting of \mathbf{X} , θ , and resulting classification decisions. The feature vectors are

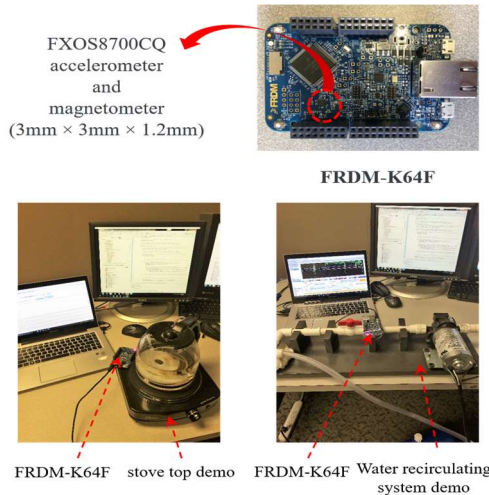


Fig. 4. IoT applications with FRDM-K64F: intelligent stove top (Appl. A) and water recirculating system (Appl. B).

calculated from the raw data locally and used to estimate the GMM parameters θ and the order M in (1). Note that θ and M represent a statistical model for the sensor data and can be used to reconstruct the data-driven analytics. By performing analytics with θ and M , raw sensor data traffic can be reduced. Figure 2 shows the functional block diagram for the implementation on the FRDM-K64F. It collects real-time raw accelerometer data, and computes statistical features for the GMM-EM algorithm. The algorithm runs in real time within a task of the MQX Lite RTOS. Fig. 3 provides more details of the implemented algorithm. It first collects N features to train a GMM. Once the GMM is trained, new features are tested in real time for classification or clustering. The implemented algorithm is controlled either by external switches on the board or by transmitting a command. The external LEDs are used as indicators for status and classification results. GMM parameters and algorithm decisions are transmitted to a host computer and visualized in real time.

III. IoT EXPERIMENTS

With regard to IoT applications, we apply our integrated sensor and ML system for an intelligent stove top and water re-circulating system where the embedded sensor system monitors various conditions. For example, the sensors on a stove top can detect whether water in a pot is boiling or simmering, or potentially whether the pot is empty or even contains other liquids. The water re-circulating system diagnoses different conditions of valves so that abnormal operation caused by the motor, valves, or even pipeline defects can be detected. Those conditions are revealed using statistical features extracted from real-time sensor data. We trained the GMM, running locally on the sensor board, to recognize multiple operating states. We used accelerometer measurement whose statistical features can identify different conditions in many applications [18]. Fig. 4 shows the demonstration setup. The accelerometer on the FRDM-K64F collects raw vibration data at 800 samples per second and the embedded board conducts the GMM-EM algorithm. 2-dimensional $N = 300$ feature samples $\{\mathbf{x}_n\}_{n=1}^N$, which are zero-crossing rate (feature 1) and standard deviation (feature 2). These are computed in real time (other features can also be used). From the initial value of model order e.g. $M = 7$, it sequentially decreases until $M = 1$, merging the closest Gaussian components. The minimum MDL value is determined and the corresponding parameters in $\hat{\theta}$ are saved.

In Fig. 5, we show the comparison of the resulting GMM estimation at FRDM-K64F in real time and the estimates obtained by MATLAB off-line. The trained GMM can be used to cluster (or classify, if labeled features are available) feature samples by assigning them into an appropriate Gaussian component that provides the largest probability. All the training and testing were performed in the embedded board FRDM-K64F and compared with MATLAB based off-line estimates. Plots for the NXP sensor board results were also created by porting data to MATLAB. Table 1 shows the comparison of the average log-likelihood values for the two applications. Once a GMM is trained with $\mathbf{X}_{\text{train}}$, $\hat{\theta}$ is tested with new measurements \mathbf{X}_{test} from different conditions illustrated in Fig. 5. The testing is performed with 30 samples

for each experiment. As a reference of the performance, we also compare our results with the values computed by *gmdistribution* MATLAB toolbox after logging the measurements. Since the EM algorithm is affected by initial parameter values, which are randomly selected, the procedure above is repeated 20 times and the results are averaged. Table 1 shows that the estimated GMM by the embedded system is close to the off-line results obtained by the host computer.

TABLE I. COMPARISON OF GMM ESTIMATION ACCURACY

Log-likelihood	X_{train}	X_{test}
Appl. A with $\hat{\theta}_{embedded}$	1.9987	1.4629
Appl. A with $\hat{\theta}_{off-line}$	2.1009	1.4686
Appl. B with $\hat{\theta}_{embedded}$	2.5877	1.9696
Appl. B with $\hat{\theta}_{off-line}$	2.7472	1.9364

IV. CONCLUSION

We considered an integrated sensor/machine learning system that is suitable for certain IoT applications. The embedded algorithm automatically determines an appropriate set of GMM parameters using the embedded processor/sensor board in real time, and can provide classification results continuously. The embedded implementation is compared to a similar offline MATLAB implementation and the results reveal comparable classification and clustering performance. Our integrated sensor/ML system provided real-time analytics with on-demand training. This system can also be used for other

applications including those that involve sensor fusion of data obtained from heterogeneous sensors. The ability of the system to classify signals and provide analytics directly from the board enables lower sensor data traffic.

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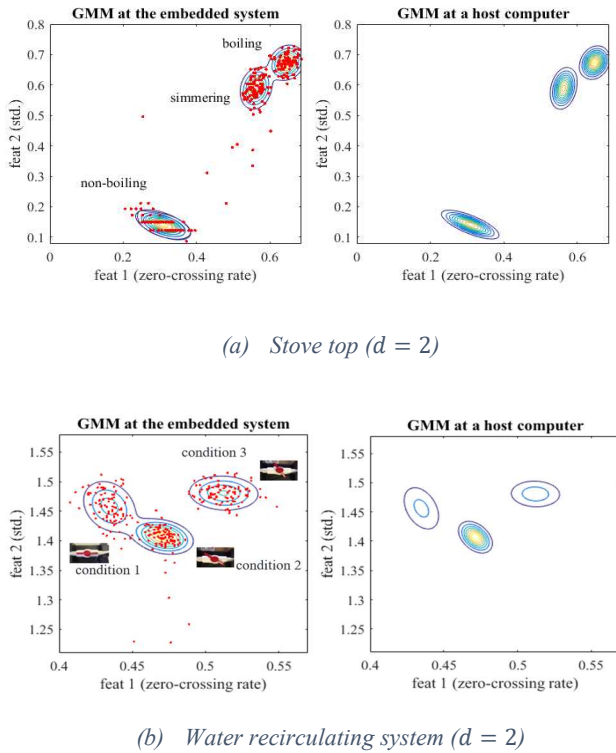


Fig. 5. Comparison between the estimated GMMs at the real-time embedded system (left panels) and off-line (right panels).

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