

ENHANCED DUE DILIGENCE (EDD) FORM

☐ HNWI ☒ HIGH RISK CUSTOMER

DETAILS OF CUSTOMER

Name: Mr. Chea Yi and Mdm. Ting Bopha	Address: No. 391, National Road No. 1, Toul Thnout Village, Korki Commune, Kien Svay District, Kandal Province
Account Number & Type: Current Account No. 1010002000007271	Account Balance (s): USD57,257.06 as at 18 July 2016
Branch Account Established: City Mall Olympic	Mr. Chea Yi's ID No.: 020986091 Mdm. Ting Bopha's ID No.: 020986108
Country of Origin: Cambodian	Occupation: Goldsmith and money exchanger
Company Name: N/A	Nature of Business: Goldsmith and money exchanger

To understand customer background:

- Please provide a brief description of your personal/business background? Owner of high Risk business? Yes/No

The borrowers are self-employed and they have engaged in Jewelry business under shop named "Yi Yi" since 1995. It is directly managed by the borrowers.
- What is the objective in opening an account?
It is for loan installment and channel on business operation
- Where is initial funds/balances from?
It is from their own fund from goldsmith
- How were funds accumulated?
The fund were accumulated from Yi Yi Jewelry Shop

To clarify customer's financial needs:

- In the next 6 months:
 - Will you be increasing your deposits? How much?
No, it is normal process from business income.
 - Will there be any significant cash/check transactions? Range?
From USD1,000 to USD25,000
- What is the expected number of transaction per month?
From 3 to 10 transactions
- What is the expected amount or range for each transaction?
From USD1,000 to USD25,000
- Will there be any foreign transactions? Why?
No, they purchase raw material from local suppliers only

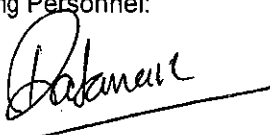

From: N/A How Much: _____

To: _____ How Much: _____

Other Information:

5. Other details obtained from telephone conversation / face to face meetings / media. (Please add annexure if necessary)
N/A

CONCLUSION

Source of funds <input type="checkbox"/> Suspicious <input checked="" type="checkbox"/> Not Suspicious	YES / NO If "YES" – submit SAT whether to proceed / terminate relationship. If "No" – you may proceed to seek approval for account opening/continue relationship.
Conclusion: (Please attach annexure, if necessary)	
Date of Review: 18-July-2016	Date of Next Review: N/A
Attending Personnel:  Initials: _____ Print Name: <u>SOK Ratanall</u> Please refer to 'Account Opening Approval Authorities'	Approved by:  Initials: _____ BM/RCB Head/DIV Head Name: <u>KEANG CHANOEARM</u>