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CS61C

Great Ideas in **Computer Architecture** (a.k.a. Machine Structures)



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Professor
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Floating Point

Basics & Fixed Point

Quote of the day

“95% of the folks out there are completely **clueless** about floating-point.”

– James Gosling, 1998-02-28

- Sun Fellow
- Java Inventor



Review of Numbers

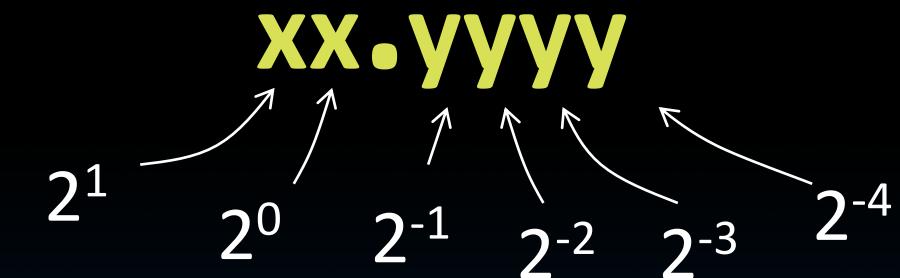
- Computers made to process numbers
- What can we represent in N bits?
 - 2^N things, and no more! They could be...
 - Unsigned integers:
 - 0 to $2^N - 1$
 - (for N=32, $2^N - 1 = 4,294,967,295$)
 - Signed Integers (Two's Complement)
 - $-2^{(N-1)}$ to $2^{(N-1)} - 1$
 - (for N=32, $2^{(N-1)} - 1 = 2,147,483,647$)

What about other numbers?

- Very large numbers (sec/millennium)
 - 31,556,926,00010 ($3.155692610 \times 10^{10}$)
- Very small numbers? (Bohr radius)
 - 0.00000000052917710m ($5.2917710 \times 10^{-11}$)
- #s with both integer & fractional parts?
 - 1.5
- First consider #3.
 - ...our solution will also help with 1 and 2.

Representation of Fractions

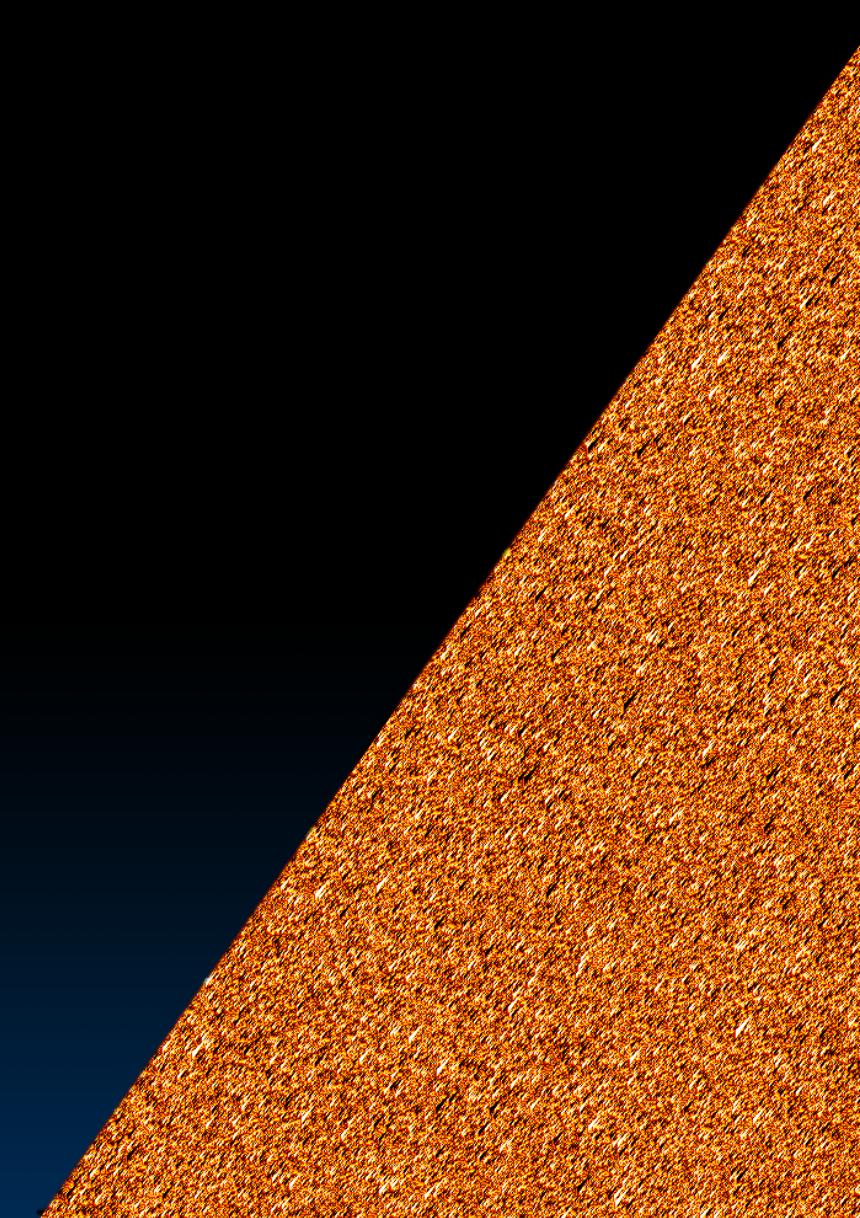
- “Binary Point” like decimal point signifies boundary betw. integer and fractional parts:
- Example 6-bit representation
- $10.1010_2 = 1 \times 2^1 + 1 \times 2^{-1} + 1 \times 2^{-3} = 2.625_{10}$



- If we assume “fixed binary point”, range of 6-bit representations with this format:
 - 0 to 3.9375 (almost 4)

Fractional Powers of 2

Mark Lu's "Binary Float Display"



i	2^{-i}	
0	1.0	1
1	0.5	1/2
2	0.25	1/4
3	0.125	1/8
4	0.0625	1/16
5	0.03125	1/32
6	0.015625	
7	0.0078125	
8	0.00390625	
9	0.001953125	
10	0.0009765625	
11	0.00048828125	
12	0.000244140625	
13	0.0001220703125	
14	0.00006103515625	
15	0.000030517578125	

Representation of Fractions with Fixed Pt.

- What about addition and multiplication?

- Addition is straightforward

$$\begin{array}{r} 01.100 \\ + 00.100 \\ \hline 10.000 \end{array} \quad \begin{array}{l} 1.5_{10} \\ 0.5_{10} \\ 2.0_{10} \end{array}$$

- Multiplication a bit more complex:

$$\begin{array}{r} 01.100 & 1.5_{10} \\ \times 00.100 & 0.5_{10} \\ \hline 00 & \\ 000 & 0 \\ 0110 & 0 \\ 00000 & \\ 00000 & \\ \hline 0000110000 & \end{array}$$

- Where's the answer, 0.11?

- Need to remember where point is...



Floating Point

Representation of Fractions

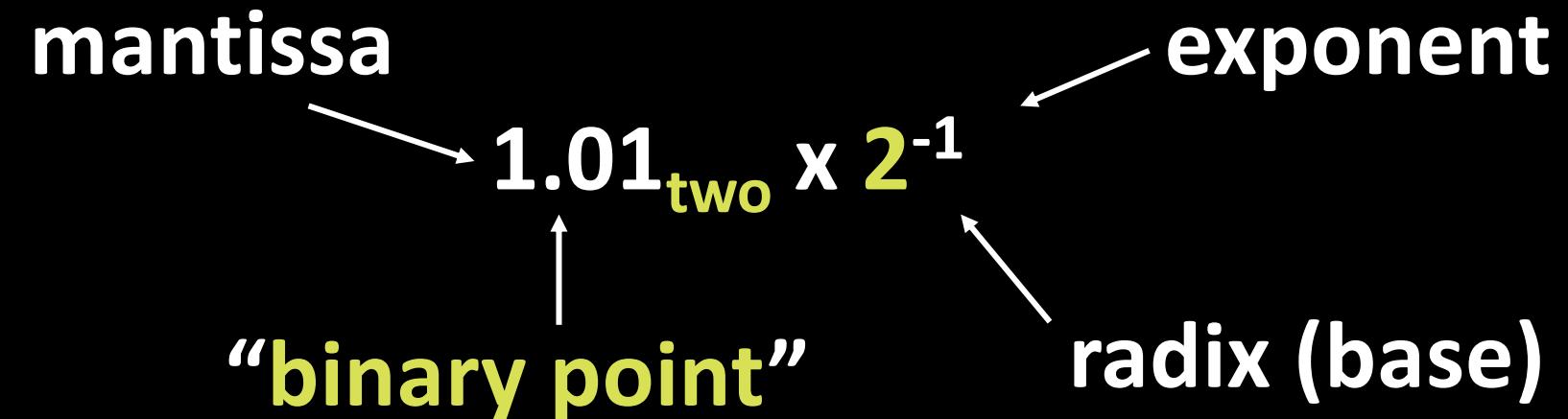
- So far, in our examples we used a “fixed” binary point what we really want is to “float” the binary point. Why?
 - Floating binary point most effective use of our limited bits (and thus more accuracy in our number representation):
 - E.g., put 0.1640625 into binary. Represent as in 5-bits choosing where to put the binary point.
 - ... 00000.00¹⁰¹⁰¹00000...
 - Store these bits  and keep track of the binary point 2 places to the left of the MSB.
 - Any other solution would lose accuracy!
- With floating point representation, each numeral carries an exponent field recording the whereabouts of its binary point.
- The binary point can be outside the stored bits, so very large and small numbers can be represented.

Scientific Notation (in Decimal)

mantissa → $6.02_{\text{ten}} \times 10^{23}$ ← exponent
↑
decimal point ← radix (base)

- Normalized form: no leadings 0s
(exactly one digit to left of decimal point)
- Alternatives to representing 1/1,000,000,000
 - Normalized: 1.0×10^{-9}
 - Not normalized: $0.1 \times 10^{-8}, 10.0 \times 10^{-10}$

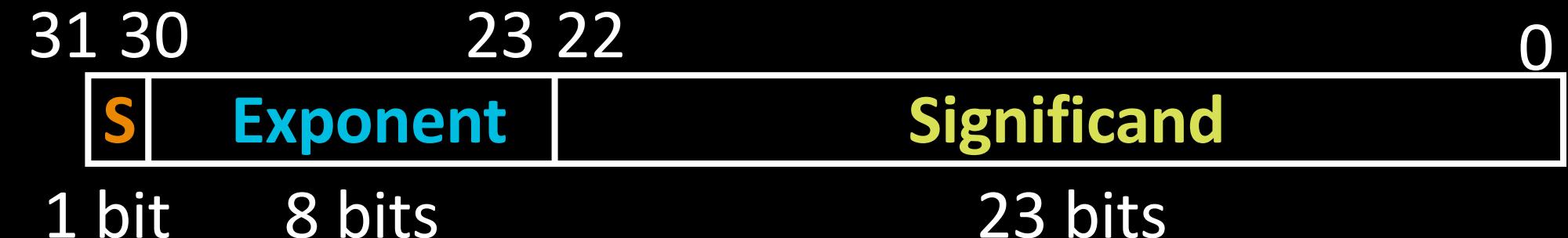
Scientific Notation (in Binary)



- Computer arithmetic that supports it called **floating point**, because it represents numbers where the binary point is not fixed, as it is for integers
 - Declare such variable in C as **float**

Floating Point Representation (1/2)

- Normal format: $+1.\text{xxx...x}_{\text{two}} * 2^{\text{yyy...y}_{\text{two}}}$
- Multiple of Word Size (32 bits)



- **S** represents **Sign**
- **Exponent** represents **y's**
- **Significand** represents **x's**
- Represent numbers as small as 1.2×10^{-38} to as large as 3.4×10^{38}

Floating Point Representation (2/2)

- What if result too large?
 - ($> 3.4 \times 10^{38}$, $< -3.4 \times 10^{38}$)
 - Overflow! \rightarrow Exponent larger than represented in 8-bit Exponent field
- What if result too small?
 - (> 0 and $< 1.2 \times 10^{-38}$, < 0 and $> -1.2 \times 10^{-38}$)
 - Underflow! \rightarrow Negative exponent larger than represented in 8-bit Exponent field



- What would help reduce chances of overflow and/or underflow?

IEEE 754 Floating Point Standard (1/3)

- Single Precision (DP similar):



- Sign bit: 1 means negative, 0 means positive
- Significand:
 - To pack more bits, leading 1 implicit for normalized numbers
 - 1 + 23 bits single, 1 + 52 bits double
 - always true: $0 < \text{Significand} < 1$ (for normalized numbers)
- Note: 0 has no leading 1, so reserve exponent value 0 just for number 0

IEEE 754 Floating Point Standard (2/3)

- IEEE 754 uses “biased exponent” representation.
 - Designers wanted FP numbers to be used even if no FP hardware; e.g., sort records with FP numbers using integer compares
 - Wanted bigger (integer) exponent field to represent bigger numbers.
 - 2’s complement poses a problem (because negative numbers look bigger)
 - We’re going to see that the numbers are ordered EXACTLY as in sign-magnitude
 - I.e., counting from binary odometer 00...00 up to 11...11 goes from 0 to +MAX to -0 to -MAX to 0

IEEE 754 Floating Point Standard (3/3)

- Called **Biased Notation**, where bias is number subtracted to get real number
 - IEEE 754 uses bias of 127 for single prec.
 - Subtract 127 from Exponent field to get exponent value
- Summary (single precision, or **fp32**):



- $(-1)^S \times (1 + \text{Significand}) \times 2^{(\text{Exponent}-127)}$
- Double precision identical, except exponent bias of 1023 (half, quad similar)...

“Father” of the Floating point standard

- IEEE Standard 754 for Binary Floating-Point Arithmetic.



Prof. Kahan

www.cs.berkeley.edu/~wkahan/ieee754status/754story.html

Special Numbers

Representation for $\pm \infty$

- In FP, divide by 0 should produce $\pm \infty$, not overflow.
- Why?
 - OK to do further computations with ∞
 - E.g., $X/0 > Y$ may be a valid comparison
 - Ask math majors
- IEEE 754 represents $\pm \infty$
 - Most positive exponent reserved for ∞
 - Significands all zeroes

Representation for 0

- Represent 0?
 - exponent all zeroes
 - significand all zeroes
 - What about sign? Both cases valid.

+0: 0 00000000 00000000000000000000000000000000

-0: 1 00000000 00000000000000000000000000000000

Special Numbers

- What have we defined so far? (Single Precision)

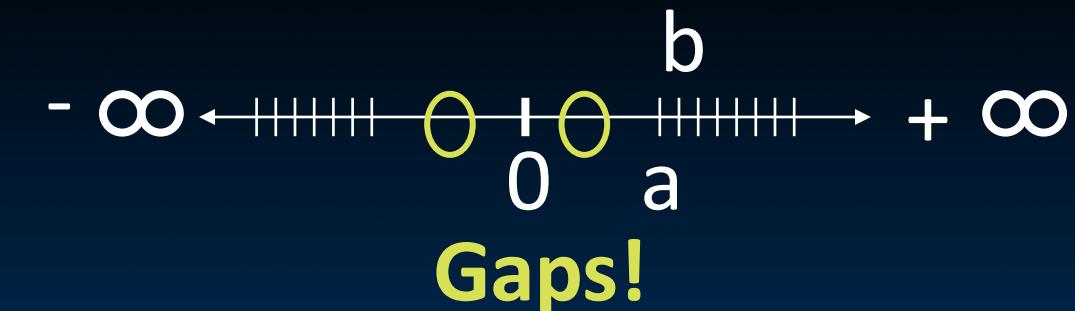
Exponent	Significand	Object
0	0	0
0	nonzero	???
1-254	anything	+/- fl. pt. #
255	0	+/- ∞
255	nonzero	???

- Professor Kahan had clever ideas;
“Waste not, want not”
 - Wanted to use Exp=0,255 & Sig!=0

Representation for Not a Number

- What do I get if I calculate $\sqrt{-4.0}$ or $0/0$?
 - If ∞ not an error, these shouldn't be either
 - Called **Not a Number (NaN)**
 - Exponent = 255, Significand nonzero
- Why is this useful?
 - Hope NaNs help with debugging?
 - They contaminate: $op(\text{NaN}, X) = \text{NaN}$
 - Can use the significand to identify which!

Representation for Denorms (1/2)

- Problem: There's a gap among representable FP numbers around 0
 - Smallest representable pos num:
 - $a = 1.0\dots_2 * 2^{-126} = 2^{-126}$
 - Second smallest representable pos num:
 - $b = 1.000\dots_2 * 2^{-126}$
 $= (1 + 0.00\dots_2) * 2^{-126}$
 $= (1 + 2^{-23}) * 2^{-126}$
 $= 2^{-126} + 2^{-149}$
 - $a - 0 = 2^{-126}$
 - $b - a = 2^{-149}$
- Normalization and implicit 1 is to blame!**
- 

Representation for Denorms (2/2)

- Solution:
 - We still haven't used Exponent = 0, Significand nonzero
 - DEnormalized number: no (implied) leading 1, implicit exponent = -126.
 - Smallest representable pos num:
 - $a = 2^{-149}$
 - Second smallest representable pos num:
 - $b = 2^{-148}$



Special Numbers Summary

- Reserve exponents, significands:

Exponent	Significand	Object
0	0	0
0	nonzero	Denorm
1-254	anything	+/- fl. pt. #
255	0	+/- ∞
255	nonzero	NaN



**Examples,
Discussion**

Example

- What is the decimal equivalent of:

1	1000 0001	111 0000 0000 0000 0000 0000
S	Exponent	Significand

$$(-1)^S \times (1 + \text{Significand}) \times 2^{(\text{Exponent}-127)}$$

$$(-1)^1 \times (1 + .111)_2 \times 2^{(129-127)}$$

$$-1 \times (1.111)_2 \times 2^{(2)}$$

$$-111.1_2$$

$$-7.5_{10}$$

Example: Representing 1/3

- 1/3

$$= 0.33333\dots_{10}$$

$$= 0.25 + 0.0625 + 0.015625 + 0.00390625 + \dots$$

$$= 1/4 + 1/16 + 1/64 + 1/256 + \dots$$

$$= 2^{-2} + 2^{-4} + 2^{-6} + 2^{-8} + \dots$$

$$= 0.0101010101\dots_2 * 2^0$$

$$= 1.0101010101\dots_2 * 2^{-2}$$

- **Sign:** 0

- **Exponent** = -2 + 127 = 125 = 01111101

- **Significand** = 0101010101...

0	0111	1101	0101	0101	0101	0101	0101	010
---	------	------	------	------	------	------	------	-----

Understanding the Significand (1/2)

- Method 1 (Fractions):
 - In decimal: 0.340_{10} $\Rightarrow 340_{10}/1000_{10}$
 $\Rightarrow 34_{10}/100_{10}$
 - In binary: 0.110_2 $\Rightarrow 110_2/1000_2 = 6_{10}/8_{10}$
 $\Rightarrow 11_2/100_2 = 3_{10}/4_{10}$
 - Advantage: less purely numerical, more thought oriented; this method usually helps people understand the meaning of the significand better

Understanding the Significand (2/2)

- Method 2 (Place Values):
 - Convert from scientific notation
 - In decimal:
$$1.6732 = (1 \times 10^0) + (6 \times 10^{-1}) + (7 \times 10^{-2}) + (3 \times 10^{-3}) + (2 \times 10^{-4})$$
 - In binary:
$$1.1001 = (1 \times 2^0) + (1 \times 2^{-1}) + (0 \times 2^{-2}) + (0 \times 2^{-3}) + (1 \times 2^{-4})$$
 - Interpretation of value in each position extends beyond the decimal/binary point
 - Advantage: good for quickly calculating significand value; use this method for translating FP numbers



Floating Point Discussion

Floating Point Fallacy

- FP add associative?
 - $x = -1.5 \times 10^{38}$, $y = 1.5 \times 10^{38}$, and $z = 1.0$
 - $$\begin{aligned}x + (y + z) &= -1.5 \times 10^{38} + (1.5 \times 10^{38} + 1.0) \\&= -1.5 \times 10^{38} + (1.5 \times 10^{38}) = \underline{0.0}\end{aligned}$$
 - $$\begin{aligned}(x + y) + z &= (-1.5 \times 10^{38} + 1.5 \times 10^{38}) + 1.0 \\&= (0.0) + 1.0 = \underline{1.0}\end{aligned}$$
- Therefore, Floating Point add is not associative!
 - Why? FP result approximates real result!
 - This example: 1.5×10^{38} is so much larger than 1.0 that $1.5 \times 10^{38} + 1.0$ in floating point representation is still 1.5×10^{38}

Precision and Accuracy

Don't confuse these two terms!

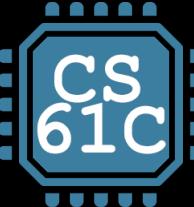
- Precision is a count of the number bits in used to represent a value.
- Accuracy is the difference between the actual value of a # and its computer representation.
- High precision permits high accuracy but doesn't guarantee it.
 - It is possible to have high precision but low accuracy.
- Example: **float pi = 3.14;**
 - **pi** will be represented using all 24 bits of the significant (highly precise), but is only an approximation (not accurate).

Rounding

- When we perform math on real numbers, we have to worry about rounding to fit the result in the significant field.
- The FP hardware carries two extra bits of precision, and then round to get the proper value
- Rounding also occurs when converting: double to a single precision value, or floating point number to an integer

IEEE FP Rounding Modes

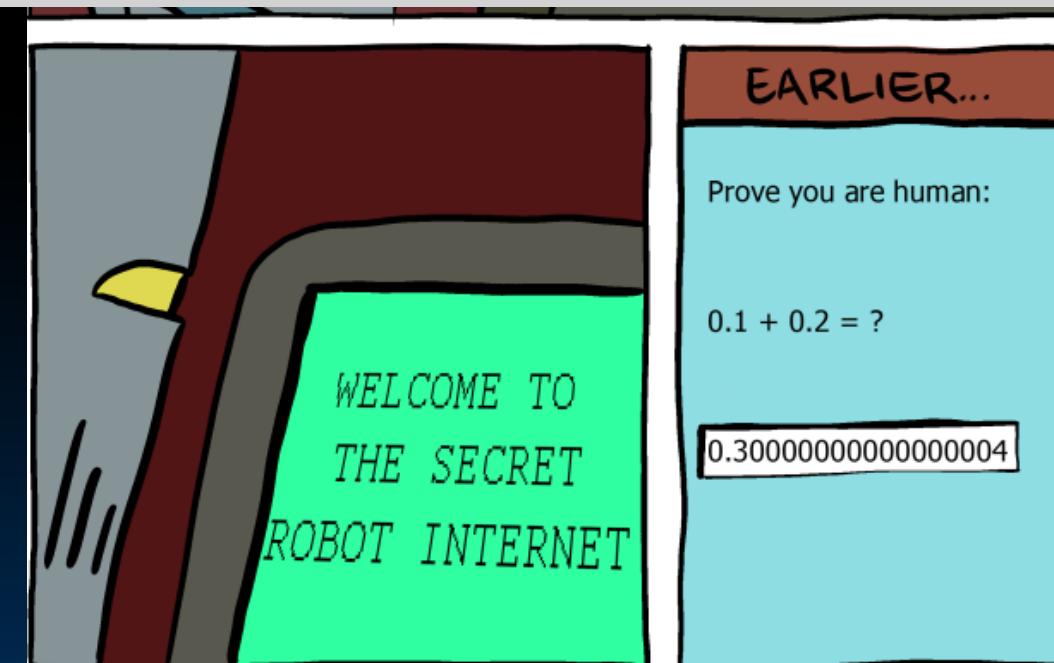
- Round towards $+\infty$
 - ALWAYS round “up”: $2.001 \rightarrow 3, -2.001 \rightarrow -2$
 - Round towards $-\infty$
 - ALWAYS round “down”: $1.999 \rightarrow 1, -1.999 \rightarrow -2$
 - Truncate
 - Just drop the last bits (round towards 0)
 - Unbiased (default mode). Midway? Round to even
 - Normal rounding, almost: $2.4 \rightarrow 2, 2.6 \rightarrow 3, 2.5 \rightarrow 2, 3.5 \rightarrow 4$
 - Round like you learned in grade school (nearest int)
 - Except if the value is right on the borderline, in which case we round to the nearest EVEN number
 - Ensures fairness on calculation
 - This way, half the time we round up on tie, the other half time we round down. Tends to balance out inaccuracies
- Examples in decimal (but, of course, IEEE754 in binary)



Now you know why you see these errors...

IEEE 754 Converter (JavaScript), V0.22

	Sign	Exponent	Mantissa
Value:	+1	2^{-2}	1.2000000476837158
Encoded as:	0	125	1677722
Binary:	<input type="checkbox"/>	<input type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
	You entered	0.3	
	Value actually stored in float:	0.300000011920928955078125	+1
	Error due to conversion:	1.1920928955078125E-8	-1
	Binary Representation	00111110100110011001100110011010	
	Hexadecimal Representation	0x3e99999a	



Saturday Morning Breakfast Comics

www.smbc-comics.com/comic/2013-06-05

- More difficult than with integers
- Can't just add significands
- How do we do it?
 - De-normalize to match exponents
 - Add significands to get resulting one
 - Keep the same exponent
 - Normalize (possibly changing exponent)
- Note: If signs differ, just perform a subtract instead.

Casting floats to ints and vice versa

(int) floating_point_expression

Coerces and converts it to the nearest integer (C uses truncation)

```
i = (int) (3.14159 * f);
```

(float) integer_expression

converts integer to nearest floating point

```
f = f + (float) i;
```

int → float → int

```
if (i == (int)((float) i)) {  
    printf("true");  
}
```

- Will not always print “**true**”
- Most large values of integers don’t have exact floating point representations!
- What about **double**?

float → int → float

```
if (f == (float)((int) f)) {  
    printf("true");  
}
```

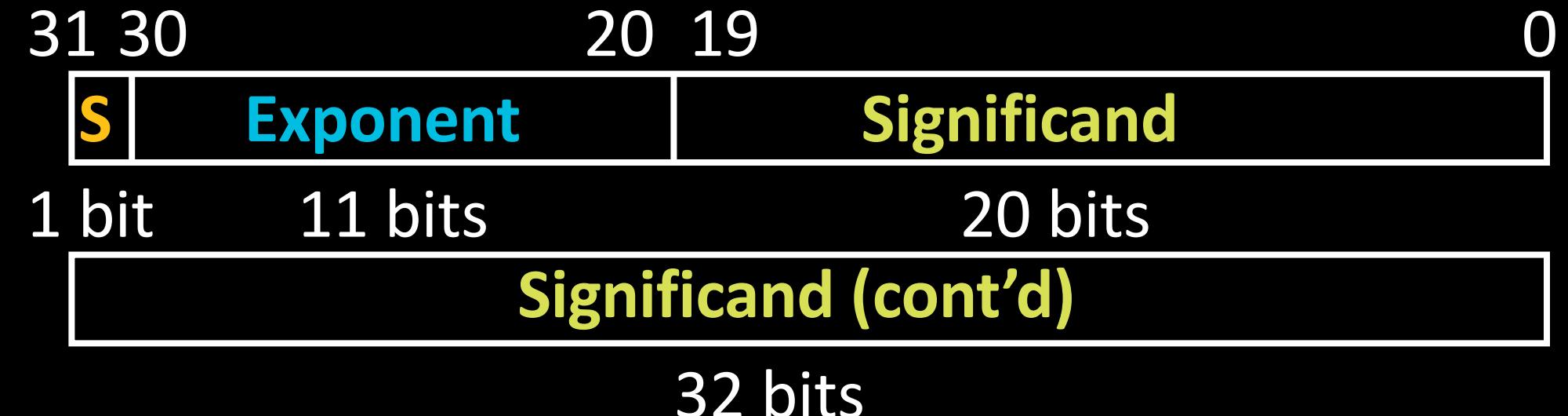
- Will not always print “true”
- Small floating point numbers (<1) don’t have integer representations
- For other numbers, rounding errors



Other Floating Point Representations

Double Precision Fl. Pt. Representation

- **binary64**: Next Multiple of Word Size (64 bits)

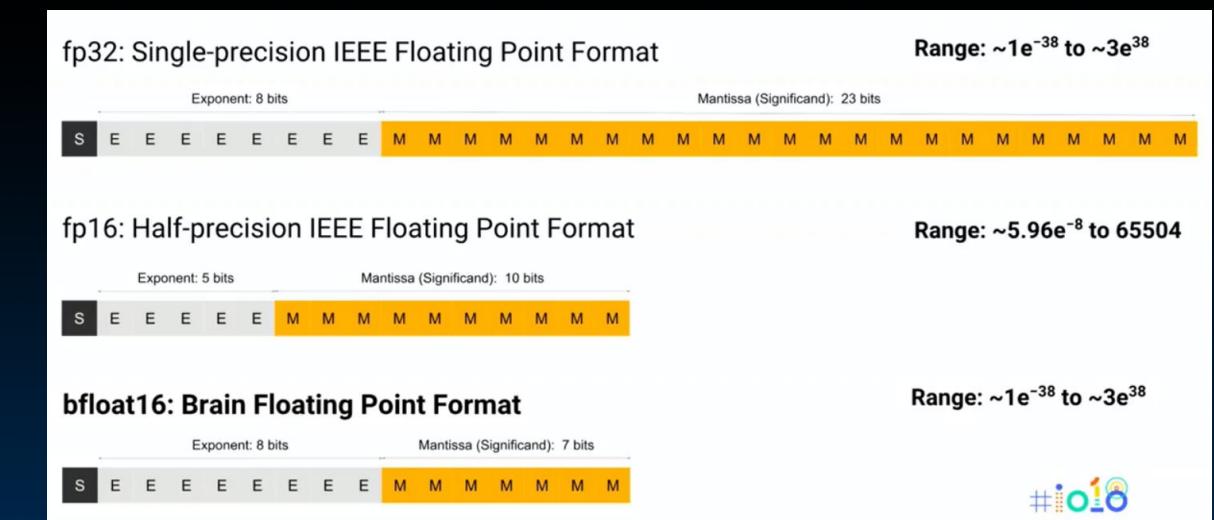


- Double Precision (vs. Single Precision)

- C variable declared as **double**
- Represent numbers almost as small as 2.0×10^{-308} to almost as large as 2.0×10^{308}
- But primary advantage is greater accuracy due to larger significand

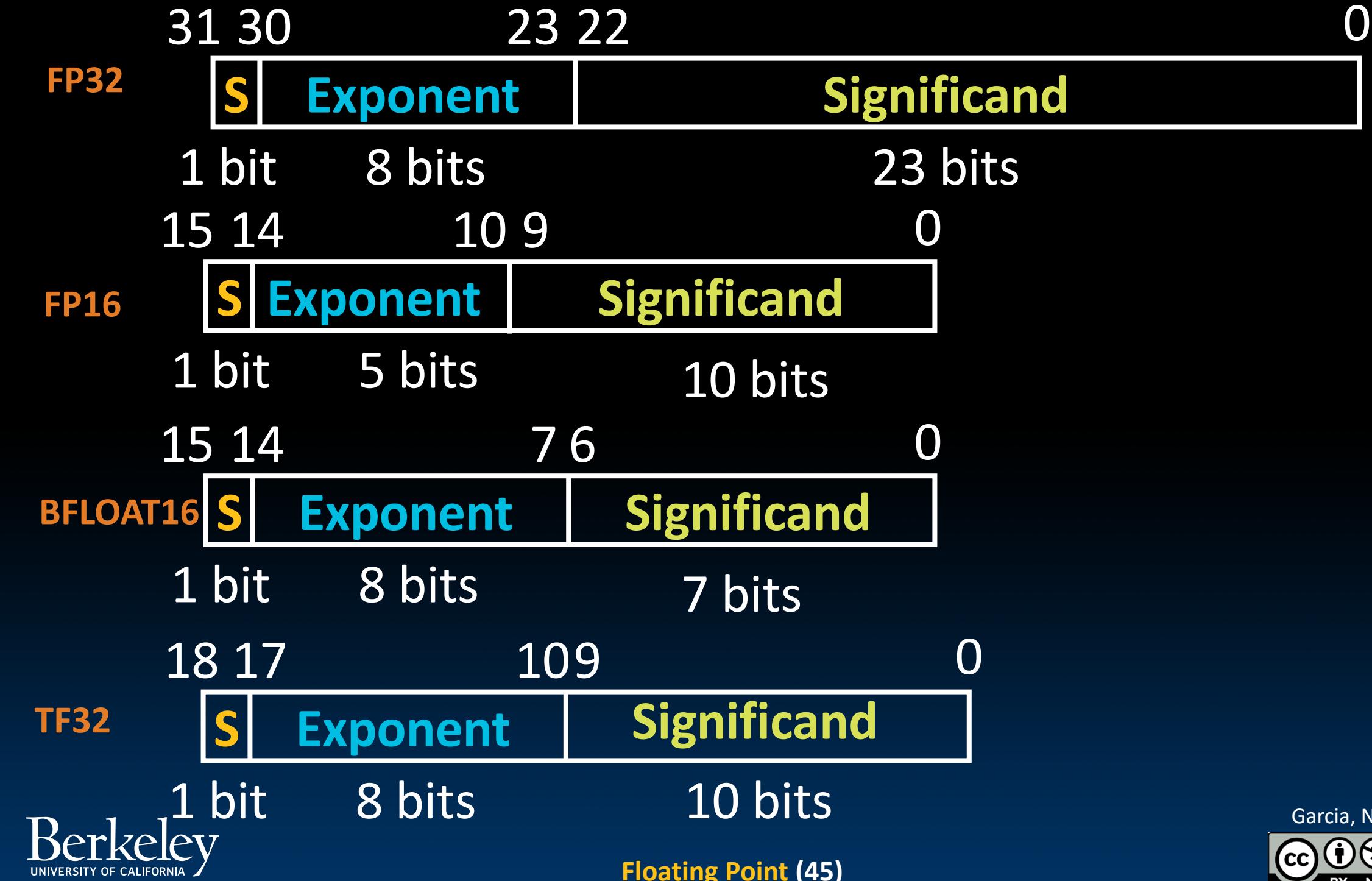
Other Floating Point Representations

- Quad-Precision? Yep! (128 bits) “binary128”
 - Unbelievable range, precision (accuracy)
 - 15 exponent bits, 112 significand bits
 - Oct-Precision? Yep! “binary256”
 - 19 exponent bits, 236 significant bits
 - Half-Precision? Yep! “binary16” or “fp16”
 - 1/5/10 bits
 - Half-Precision?
Yep! “bf16”
 - Competing with fp16
 - Same range as fp32!
 - Used for faster ML



en.wikipedia.org/wiki/Floating_point

Floating Point Soup



Who Uses What in Domain Accelerators?

Accelerator	int4	int8	int16	fp16	bf16	fp32	tf32
Google TPU v1		x					
Google TPU v2					x		
Google TPU v3					x		
Nvidia Volta TensorCore	x	x		x			
Nvidia Ampere TensorCore	x	x	x	x	x	x	x
Nvidia DLA		x	x	x			
Intel AMX		x			x		
Amazon AWS Inferentia		x		x	x		
Qualcomm Hexagon		x					
Huawei Da Vinci		x	x				
MediaTek APU 3.0		x	x	x			
Samsung NPU	x						
Tesla NPU		x					

- Everything so far has had a fixed set of bits for Exponent and Significant
 - What if they were variable?
 - Add a “**u-bit**” to tell whether number is exact or range
 - “Promises to be to floating point what floating point is to fixed point”
- Claims to save power!



Dr. John Gustafson

Conclusion

- Floating Point lets us:
 - Represent numbers containing both integer and fractional parts; makes efficient use of available bits.
 - Store approximate values for very large and very small #'s.
- IEEE 754 Floating Point Standard is most widely accepted attempt to standardize interpretation of such numbers
 - Every computer since ~1997 follows these conventions)
- Summary (single precision, or fp32):



Exponent tells **Significand** how much (2^i) to count by (... , $1/4$, $1/2$, 1 , 2 , ...)

