### CS 480: MOBILE NETWORKS

Telecommunication Systems

## **Topics Covered**

### GSM

- Introduction
- Performance characteristics
- Mobile services
- System architecture
- Radio Interface
- Protocols
- Localization and calling
- Handover
- Security
- New Data Services

#### DECT

- System Architecture
- Protocol Architecture
- TETRA
- UMTS and IMT-2000

### Introduction (1)

- The most successful digital mobile telecommunication system in the world today.
- Used by over 800 million people in more than 190 countries.
- In the early 1980s, Europe had numerous coexisting analog mobile phone systems,
  - often based on similar standards, but ran on slightly different carrier frequencies.
- To avoid this situation for a second generation fully digital system, the Groupe Spéciale Mobile(GSM) was founded in 1982.
- This system was soon named the Global System for Mobile Communication (GSM).

### Introduction (2)

- The specification process lies in the hands of European Telecommunications Standards Institute (ETSI).
- The primary goal of GSM was to
  - provide a mobile phone system that allows users to roam throughout Europe and provides voice services compatible to ISDN and other PSTN systems.
- GSM is a typical second generation system,
  - replacing the first generation analog systems
  - but offering the high worldwide data rates that the third generation systems, such as Universal Mobile Telecommunications System (UMTS) are promising.

# Performance characteristics of GSM (with respect to analog systems)

#### Communication

mobile, wireless communication; support for voice & data services

### Total mobility

- international access, chip-card enables use of access points of different providers
- Worldwide connectivity
  - one number, the network handles localization
- High capacity
  - better frequency efficiency, smaller cells, more customers per cell
- High transmission quality
  - high audio quality and reliability for wireless, uninterrupted phone calls at higher speeds (e.g., from cars, trains)

### Security functions

- access control, authentication via chip-card and PIN

## Disadvantages of GSM

- There is no perfect system!!
  - no end-to-end encryption of user data
  - no full ISDN bandwidth of 64 kbit/s to the user
- Reduced concentration while driving
- Electromagnetic radiation
- Abuse of private data possible
- Roaming profiles accessible
- High complexity of the system
- Several incompatibilities within the GSM standards

### Mobile services

- GSM permits the integration of different voice and data services and the interworking with existing services.
- GSM has defined three categories of services:
  - bearer, tele and supplementary services.
- Figure 1 shows a reference model for GSM services.
- A mobile station MS is connected to the GSM public land mobile network (PLMN) via the U<sub>m</sub> interface.
  - GSM-PLMN is the infrastructure needed for the GSM network.
- The GSM-PLMN is connected to transit networks,
  - e.g., ISDN or PSTN.
- There might be another network, the source/destination network, before another terminal TE is connected.
- Within the mobile station MS, the mobile termination
  (MT) performs all network specific tasks such as TDMA
  - and offers an interface for data transmission (S) to terminal TE

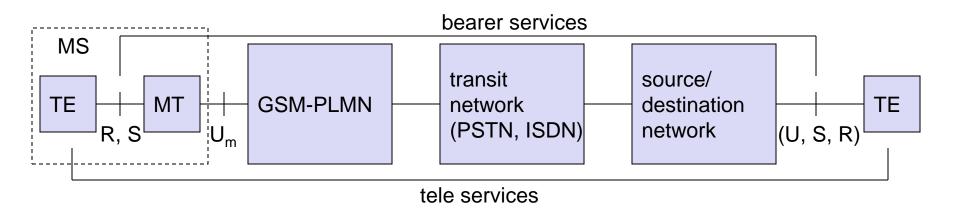


Figure 1: Bearer and tele services reference model

### Bearer services

- Telecommunication services to transfer data between access points
- Specification of services up to the terminal interface (OSI layers 1-3)
- Different data rates for voice and data (original standard)
  - data service (circuit switched) :
    - synchronous: 2.4, 4.8 or 9.6 kbit/s
    - asynchronous: 300 1200 bit/s
  - data service (packet switched):
    - synchronous: 2.4, 4.8 or 9.6 kbit/s
    - asynchronous: 300 9600 bit/s

### Tele services (1)

- Telecommunication services that enable voice communication via mobile phones
- All these basic services have to obey cellular functions, security measurements etc.
- Offered services
  - mobile telephony: primary goal of GSM was to enable mobile telephony offering the traditional bandwidth of 3.1 kHz
  - Emergency number: common number throughout Europe (112); mandatory for all service providers; free of charge; connection with the highest priority (preemption of other connections possible)
  - Multinumbering: several ISDN phone numbers per user possible

### Tele services (2)

- Additional services (non-Voice-Teleservices)
  - group 3 fax: fax data transmitted as digital data over the analog telephone network
  - voice mailbox (implemented in the fixed network supporting the mobile terminals)
  - electronic mail (MHS, Message Handling System, implemented in the fixed network)
- Short Message Service (SMS): alphanumeric data transmission to/from the mobile terminal (160 characters) using the signaling channel,
  - thus allowing simultaneous use of basic services and SMS
  - SMS was almost ignored in the beginning now the most successful add-on!

### Supplementary services

- Services in addition to the basic services, cannot be offered stand-alone
- Similar to ISDN services besides lower bandwidth due to the radio link
- May differ between different service providers, countries and protocol versions
- Important services
  - identification: forwarding of caller number
  - suppression of number forwarding
  - automatic call-back
  - conferencing with up to 7 participants
  - locking of the mobile terminal (incoming or outgoing calls)

## System architecture (1)

- GSM comes with a hierarchical, complex system architecture comprising many entities, interfaces, and acronyms.
- Figure 2a shows a simplified overview of the GSM system
- Figure 2b shows a functional architecture of the GSM system

### A GSM system consists of three subsystems:

- Radio subsystem (RSS)
- Network and switching subsystem (NSS)
- Operation subsystem (OSS)

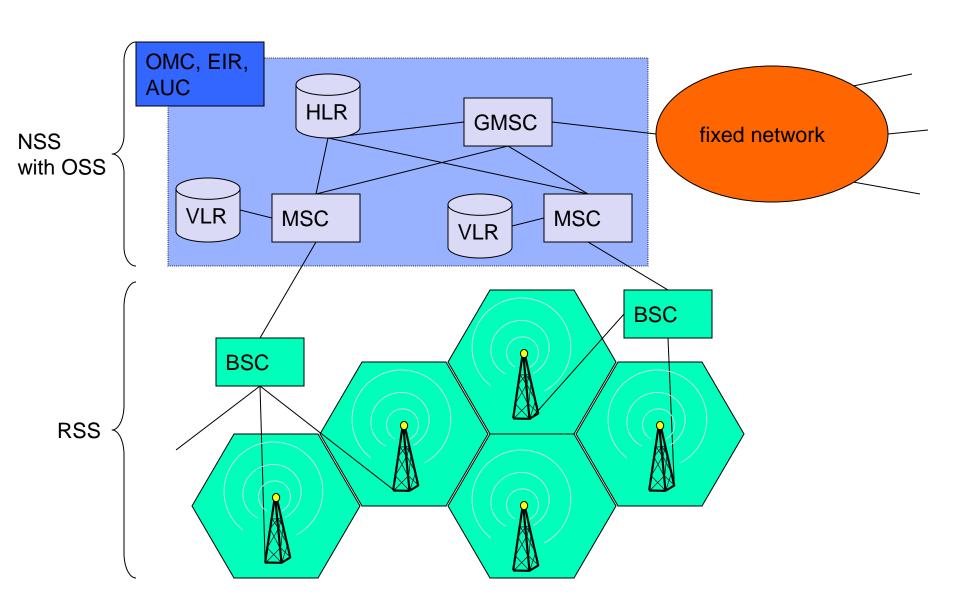


Figure 2 (a): GSM: overview

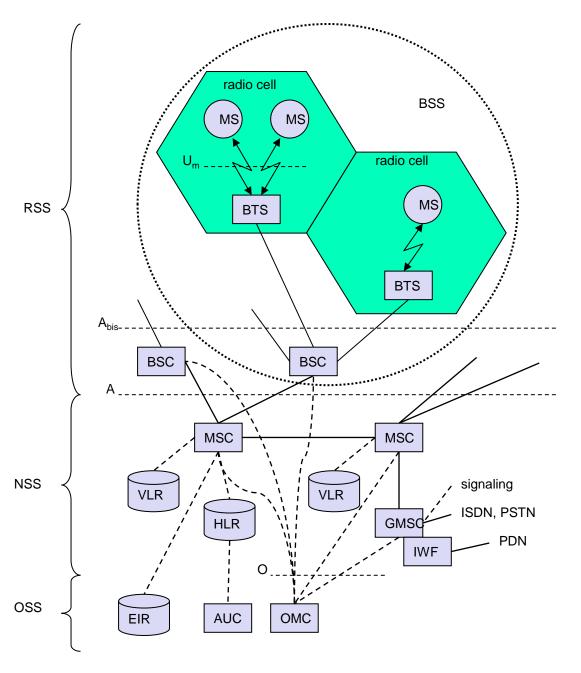


Figure 2 (b): Functional architecture of a GSM system.

## Radio subsystem (RSS) (1)

- Comprises all radio specific entities, i.e., the mobile stations (MS) and the base station subsystem (BSS)
- Figure 2 (b) shows the connection between the RSS and NSS via the A interface (solid lines) and the connection to the OSS via the O interface (dashed lines).
- The A interface is typically based on circuit-switched PCM-30 (Pulse Code Modulation) systems.
- The O interface uses the Signaling System No 7 based on X.25 carrying management data to/from the RSS.
- Base station subsystem (BSS): A GSM network comprises many BSSs, each controlled by a base station controller (BSC).

## Radio subsystem (RSS) (2)

- The BSS performs all functions necessary to maintain radio connections to an MS, coding/decoding of voice, and rate adaptation to/from the wireless network part.
- The BSS also contains several BTSs.
- Base transceiver station (BTS): A BTS comprises all radio equipment, i.e., antennas, signal processing, amplifiers necessary for radio transmission.
  - A BTS can form a radio cell or, using sectorized antennas, several cells and is connected to MS via the  $U_{\rm m}$  interface and to the BSC via the  $A_{\rm bis}$  interface (16 or 64k bit/s connections).
- U<sub>m</sub> interface
  - ISDN U interface for mobile use which contains wireless transmission mechanisms such as TDMA, FDMA

## Radio subsystem (RSS) (3)

- Base station controller (BSC): The BSC manages BTSs.
  - It receives radio frequencies, handles handover from one BTS to another within BSS.
  - The BSC also multiplexes radio channels onto fixed network connections at the A interface
- Mobile station (MS): The MS comprises all user equipment and software needed for communication with a GSM network.
  - It consists of user independent hardware and software of the subscriber identity module (SIM).
  - MS can be identified via the international mobile equipment identity (IMEI).

# Network and switching subsystem (NSS) (1)

- The "heart" of the GSM
- The NSS
  - connects the wireless network with standard public networks,
  - performs handovers between different BSSs,
  - comprises functions for worldwide localization of users
  - and supports charging, accounting, and roaming between different providers in different countries.
- The NSS consists of the following switches and databases:
  - Mobile services switching center (MSC):
    - MSCs are high-performance digital ISDN switches.
    - They set up switches to other MSCs and to the BSCs via the A interface and form a fixed backbone network of a GSM system.
    - A gateway MSC (GMSC) has additional connections to other fixed networks, such as PSTN and ISDN.
    - Using additional interworking functions (IWF), an MSC can also connect to public data networks (PDN) such as X.25.

# Network and switching subsystem (NSS) (2)

- Home location register (HLR):
  - The most important database in a GSM system as it stores all user-relevant information.
  - This comprises static information, such as the mobile subscriber ISDN number (MSISDN), subscribed services (e.g., call forwarding, roaming restrictions, GPRS), and the international mobile subscriber identity (IMSI).
  - Dynamic information is also needed, e.g., the current location area (LA) of the MS, the mobile subscriber roaming number (MSRN), the current VLR and MSC.
  - As soon as an MS leaves is current LA, the information in the HLR is updated (to localize the use in the worldwide GSM network).

# Network and switching subsystem (NSS) (3)

- Visitor location register (VLR):
  - The VLR associated to each MSC is a dynamic database which stores all important information needed for the MS users currently in the LA associated to the MSC.
  - If a new MS comes into an LA the VLR responsible copies all relevant information for this user from the HLR.

# Operation subsystem (OSS) (1)

This contains the necessary functions for network operation and maintenance.

- Operation and maintenance center (OMC):
  - This monitors and controls all other network entities via the O interface.
  - Typical OMC management functions are traffic monitoring, status reports of network entities, subscriber and security management, or accounting and billing.
- Authentication centre (AUC):
  - as the radio interface and mobile stations are particularly vulnerable, a separate AUC has been defined to protect user identity and data transmission.
  - The AUC contains algorithms for authentication as well as keys for encryption and generates the values needed for user authentication in the HLR.

## Operation subsystem (OSS) (2)

- Equipment identity register (EIR):
  - Is a database for all IMEIs, i.e., it stores all device identifications registered for this network.
  - MSs are mobile and can be easily stolen. With a valid SIM, anyone could use the stolen MS.
  - The EIR has a blacklist of stolen (or locked) devices.
  - In theory an MS is useless as soon as the owner has reported a theft.
  - The EIR also contains a list of valid IMEIs (whitelist), and a list of malfunctioning devices (gray list).

## Radio interface (U<sub>m</sub>)

- The most interesting interface in a GSM system
- Comprises mechanisms for multiplexing and media access
- GSM implements SDMA using cells with BTS and assigns an MS to a BTS
- FDM is used to separate downlink an uplink
- Media access combines TDMA and FDMA

## GSM protocol architecture (1)

- Figure 3 shows the protocol architecture of GSM
- Layer 1, the physical layer, handles all radio-specific functions.
  - Creation of bursts (small portions of data to be transmitted),
    multiplexing of bursts into a TDMA frame, synchronization with the BTS, detection of idle channels
  - Main tasks of physical layer comprise channel coding and error detection/correction
- The LAPD<sub>m</sub> protocol has been defined at the U<sub>m</sub> interface for layer 2.
  - LAPD<sub>m</sub> (derived from link access procedure for the D-channel (LAPD) in ISDN systems) is a lightweight LAPD because it does not need synchronization flags or checksumming for error detection
  - LAPD<sub>m</sub> offers reliable data transfer over connections

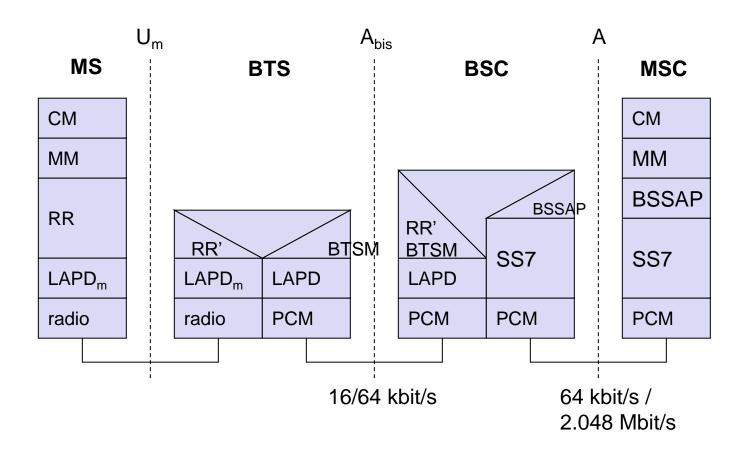


Figure 3: Protocol architecture for signalling

## GSM protocol architecture (2)

- The network layer in GSM, layer 3, comprises several sublayers:
  - Lowest sublayer is radio resource management (RR). Only a part of this layer, RR' is implemented in the BTS, the rest is situated in the BSC.
  - The functions of RR' are supported by BSC via the BTS management (BTSM).
  - The main tasks of RR are setup, maintenance and release of radio channels.
- Mobility management (MM) contains functions for registration, authentication, identification, location updating.
- The call management (CM) layer contains three entities:
  - Call control (CC): provides a point-to-point connection between two terminals
  - Short message service (SMS): allows for transfer of messages
  - Supplementary service (SS)

## GSM protocol architecture (3)

- Data transmission at the physical layer uses pulse code modulation (PCM) systems
- Signaling system No 7 (SS7) is used for signaling between an MSC and a BSC

## Localization and calling (1)

- One fundamental feature of the GSM system is the automatic, worldwide localization of users.
  - The system always knows where a user currently is, and the same phone number is valid worldwide.
- To provide this service, GSM performs periodic location updates even if a user does not use the mobile phone
- The HLR always contains information about the current location and the VLR currently responsible for the MS informs the HLR about location changes.
- As soon as an MS moves into the range of a new VLR, the HLR sends all user data needed to the new VLR
- Changing VLRs with uninterrupted availability of all services is also called **roaming**.

## Localization and calling (2)

- Roaming can take place
  - within the network of one provider,
  - between two providers in one country,
  - between different providers in different countries
- To locate an MS and to address the MS, several numbers are needed:
- Mobile station international ISDN number (MSISDN):
  - The important number for a user of GSM is the phone number.
    The phone number is associated with the SIM
  - The MSISDN follows the ITU-T standard E.16 for addresses as it is also used in fixed networks.
  - This number consists of the country code (CC), the national destination code (NDC), and the subscriber number (SN). E.g., +49 179 1234567 with 49 for Germany, 179 for the network provider, and 1234567 for the subscriber number.

## Localization and calling (3)

### International mobile subscriber identity (IMSI):

- GSM uses the IMSI for internal unique identification of a subscriber.
- IMSI consists of a mobile country code (MCC) (e.g., 240 for Sweden), the mobile network code (MNC) (i.e., the code of the network provider) and the mobile subscriber identification number (MSIN).

### Temporary mobile subscriber identity (TMSI):

- To hide the IMSI, which would give away the exact identity of the user signaling over air interface, GSM uses a 4 byte TMSI for local subscriber identification.
- TMSI is selected by the VLR and is only valid temporarily and within the location area of the VLR.

## Localization and calling (4)

### Mobile station roaming number (MSRN):

- Another temporary address that hides the identity and location of a subscriber is MSRN.
- The VLR generates this address on request from the MSC, and the address is also stored in the HLR.
- MSRN contains the current visitor country code (VCC), the visitor national destination code (VNDC), the identification of the current MSC together with the subscriber number.
- The MSRN helps the HLR to find a subscriber for an incoming call.

### Handover (1)

- Cellular systems require handover procedures,
  - as single cells do not cover the whole service area, but e.g., only up to 35 km around each antenna on the country side and some hundred meters in cities.
- The smaller the cell size and the faster the movement of a mobile station through cells (up to 250 km/h for GSM)
  - the more handovers of ongoing calls are required.
- Handover should not cause a cut-off, also called a call drop.
  - GSM aims at maximum handover duration of 60 ms.
- There are two basic reasons for a handover
  - The mobile station moves out of range of the BTS or a certain antenna of a BTS respectively.
    - The received signal level decreases continuously until it falls below<sub>33</sub> the minimal requirements for communication.

### Handover (2)

- The error rate may grow due to interference, the distance to the BTS may be too high.
- The wired infrastructure (MSC, BSC) may decide that the traffic in one cell is too high and shift some MS to other cells with lower load.
  - Handover may be due to load balancing.
- Fig. 4 shows four possible handover scenarios in GSM
  - Intra-cell handover:
    - Within a cell, narrowband interference could make transmission at a certain frequency impossible.
    - The BSC could decide to change the carrier frequency (scenario 1).
  - Inter-cell, intra-BSC handover:
    - This is a typical handover scenario.
    - The mobile station moves from one cell to another, but stays within the control of the same BSC.
    - The BSC then performs a handover, assigns a new radio channel ig<sub>4</sub> the new cell and releases the old one (scenario 2).

### Handover (3)

#### – Inter-BSC, intra-MSC handover:

 As a BSC only controls a limited number of cells; GSM also has to perform handovers between cells controlled by different BSCs. This handover then has to be controlled by the MSC (scenario 3).

#### – Inter MSC handover:

- A handover could be required between two cells belonging to different MSCs.
- Now both MSCs perform the handover together (scenario 4).

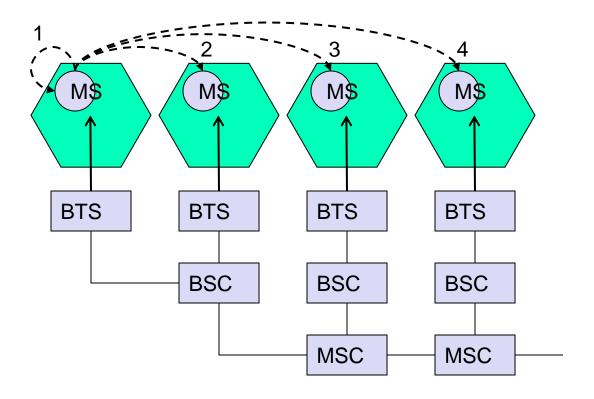


Figure 4: Types of handover in GSM

## Security (1)

- GSM offers several security services using confidential information stored in
  - the AuC (Authentication Centre)
  - and in the individual SIM (which is plugged into an arbitrary MS).
- The SIM (Subscriber Identity Module) stores personal, secret data and is protected with a PIN against unauthorized use.
  - (For example, the secret key K<sub>i</sub> used for authentication and encryption procedures is stored in the SIM).
- The security services offered by GSM are
  - Access control and authentication:
    - The first step includes the authentication of a valid user for the SIM.
    - The user needs a secret PIN to access the SIM.
    - The next step is subscriber authentication.

# Security (2)

#### – Confidentiality:

- All user-related data is encrypted.
- After authentication, BTS and MS apply encryption to voice, data, and signaling.
- This confidentiality exists only between MS and BTS, but it does not exist end-to-end or within the whole fixed GSM/telephone network.

#### – Anonymity:

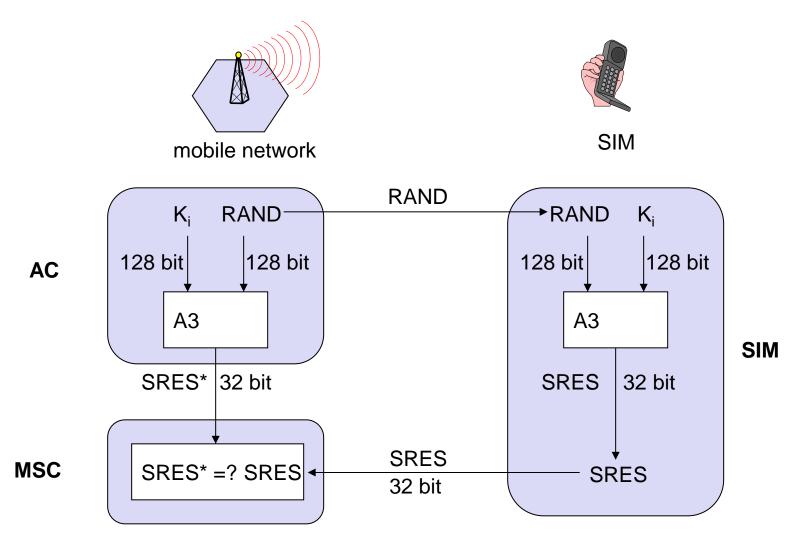
- To provide user anonymity, all data is encrypted before transmission, and user identifiers are not used over the air.
- Instead, GSM transmits a temporary identifier (TMSI), which is newly assigned by the VLR after each location update.
- Additionally, the VLR can change the TMSI at any time.
- Three algorithms have been specified to provide security services in GSM.
  - Algorithm A3 is used for authentication, A5 for encryption, and A8 for the generation of a cipher key.

### Security (3)

- Network providers can use stronger algorithms for authentication
  - or users can apply stronger end-to-end encryption.
- Algorithm A3 and A8 are located on the SIM and in the AuC and can be proprietary.

### Authentication (1)

- Before a subscriber can use any service from the GSM network, he or she must be authenticated.
- Authentication is based on the SIM,
  - which stores the individual authentication key K<sub>i</sub>, the user identification IMSI, and the algorithm used for authentication A3
- Authentication uses a challenge-response method:
  - the access control AC generates a random number RAND as challenge, and the SIM within the MS answers with SRES (signed response) as response (see Figure 5).
- The AuC performs the basic generation of
  - random values RAND, signed responses SRES, and cipher keys
    K<sub>c</sub> for each IMSI, and then forwards this information to the HLR.
- The current VLR requests the appropriate values for RAND, SRES, and K<sub>c</sub> from the HLR.



K<sub>i</sub>: individual subscriber authentication key SRES: signed response

Figure 5: Subscriber authentication

### Authentication (2)

- For authentication, the VLR sends the random value RAND to the SIM.
- Both sides, network and subscriber module, perform the same operation with RAND and the key K<sub>i</sub>, called A3.
- The MS sends back the SRES generated by the SIM; the VLR can now compare both values.
- If they are the same, the VLR accepts the subscriber, otherwise the subscriber is rejected.

### Encryption

- To ensure privacy, all messages containing user-related information are encrypted in GSM over the air interface.
- After authentication, MS and BSS can start using encryption by applying the cipher key K<sub>c</sub>.
- K<sub>c</sub> is generated using the individual key K<sub>i</sub> and a random value by applying the algorithm A8.
- The SIM in the MS and the network both calculate the same K<sub>c</sub> based on the random value RAND.
- The key K<sub>c</sub> is not transmitted over the air interface.
- MS and BTS can now encrypt and decrypt data using the algorithm A5 and the cipher key K<sub>c</sub>.
- As Figure 6 shows, K<sub>c</sub> should be a 64 bit key
  - which is not very strong, but is at least a good protection against eavesdropping.

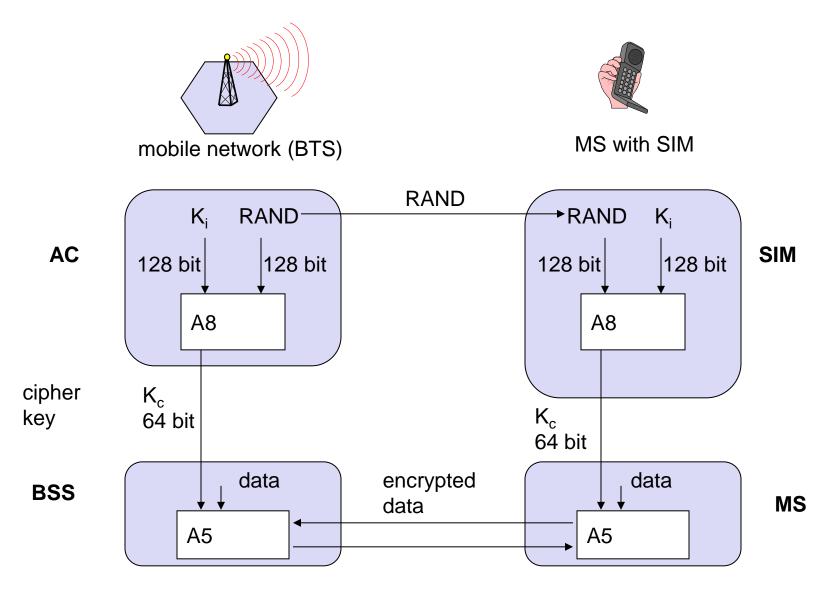


Figure 6: Data encryption

### New Data services in GSM (1)

- Data transmission is standardized with only 9.6 kbit/s
  - advanced coding allows 14.4 kbit/s
  - not enough for Internet and multimedia applications
- To enhance the data transmission capabilities of GSM, two basic approaches are possible.
  - High speed circuit switched data (HSCSD)
    - mainly software update
    - bundling of several time-slots to get higher AIUR (Air Interface User Rate, e.g., 57.6 kbit/s using 4 slots @ 14.4)
    - advantage: ready to use, constant quality, simple
    - disadvantage: channels blocked for voice transmission
  - General packet radio service (GPRS)
    - Next step towards more flexible and powerful data transmission and that avoids problems of HSCSD by being packet-oriented.
    - The goal is to provide a more efficient and cheaper packet transfer service for typical internet applications that rely solely on packet transfer

### New Data services in GSM (2)

- using free slots only if data packets ready to send (e.g., 50 kbit/s using 4 slots temporarily)
- standardization 1998, introduction 2001
- advantage: one step towards UMTS, more flexible
- disadvantage: more investment needed (new hardware)

#### GPRS network elements

- GSN (GPRS Support Nodes) which are in fact routers: GGSN and SGSN
- GGSN (Gateway GSN)
  - interworking unit between GPRS and PDN (Packet Data Network)
  - this node contains routing information for GPRS users, performs address conversion.
- SGSN (Serving GSN)
  - supports the MS (location, billing, security)
- GR (GPRS Register)
  - contains user addresses

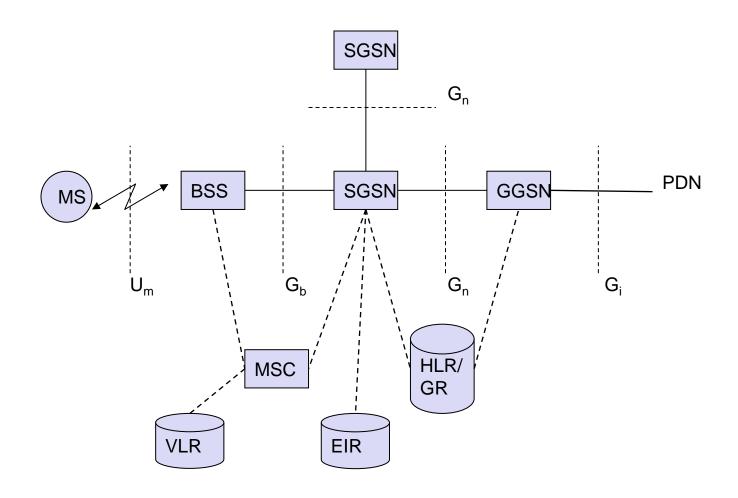


Figure 7: GPRS architecture reference model

# **DECT**

### DECT

- Another fully digital cellular network
- DECT (Digital European Cordless Telephone) standardized by ETSI (ETS 300.175-x) for cordless telephones
- Standard describes air interface between base-station and mobile phone
- DECT was renamed for international marketing reasons to Digital Enhanced Cordless Telecommunication
- DECT is mainly used in offices, on campus, at trade shows or in the home
- The big difference between DECT and GSM exists in terms of cell diameter and cell capacity
  - GSM is designed for outdoor use with a cell diameter of up to 70 km. DECT range is limited to about 300 m from the base station

### **DECT Characteristics**

- frequency: 1880-1990 MHz
- channels: 120 full duplex
- duplex mechanism: TDD (Time Division Duplex) with 10 ms frame length
- multiplexing scheme: FDMA with 10 carrier frequencies,
  TDMA with 2x 12 slots
- modulation: digital, Gaussian Minimum Shift Key (GMSK)
- power: 10 mW average (max. 250 mW)
- range: approx. 50 m in buildings, 300 m open space

## DECT System architecture (1)

 Figure 8 shows the DECT system architecture reference model

#### Global network:

- Connects the local communication structure to the outside world and offers its services via the interface D₁.
- Global services could be ISDN, PSTN.
- Services offered include transportation of data, translation of addresses and routing of data between local networks.

#### Local networks:

- Offer local telecommunication services from simple switching to intelligent call forwarding, address translation.
- Examples of such networks include LANs following the IEEE 802.x family of LANs.

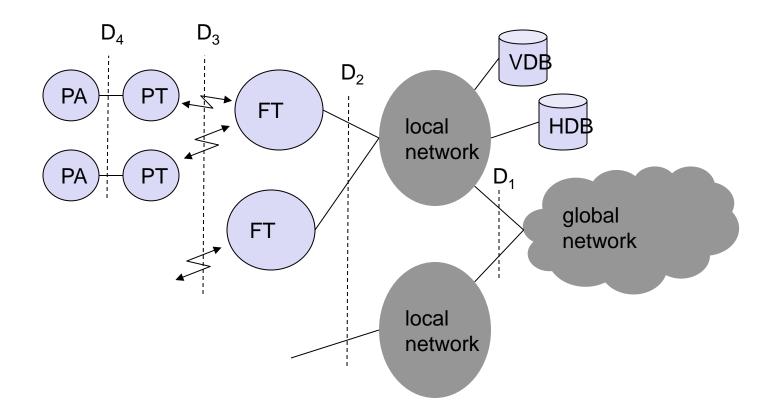


Figure 8: DECT system architecture reference model

## DECT System architecture (2)

- Home database (HDB) and visitor database (VDB):
  - Support mobility with functions similar to HLR and VLR in GSM systems.
  - Incoming calls are automatically forwarded to the current subsystem responsible for the DECT user, and the current VDB informs the HDB about changes in location.
- The DECT core network consists of fixed radio termination (FT) and the portable radio termination (PT) which provides a multiplexing service.
- Several portable applications (PA) can be implemented on a device.

# **TETRA**

### TETRA (1)

- Trunked radio systems constitute another method of wireless data transmission
- The systems use many different radio carriers
  - but only assign a specific carrier to certain user for a short period of time according to demand
- Taxi services, transport companies with fleet management systems and rescue teams all have their own unique carrier frequency in traditional systems,
  - they can share a whole group of frequencies in trunked radio systems for better frequency reuse via FDM and TDM techniques
- These radio systems offer interfaces to fixed telephone networks but are not publicly accessible

### TETRA (2)

- To allow a common system throughout Europe, ETSI standardized the TETRA system (terrestrial trunked radio) in 1991.
- TETRA offers two standards:
  - Voice+Data (V+D) service: offers circuit switched voice and data transmission
  - Packet data optimized (PDO) service: offers packet data transmission
- TETRA offers bearer services of up to 28.8 kbit/s for unprotected data transmission and 9.6 kbit/s for protected transmission.

### TETRA (3)

- The system architecture of TETRA is very similar to GSM
  - Via the radio interface U<sub>m</sub>, the mobile station (MS) connects to the switching and management infrastructure (SwMI) which contains user databases (HDB, VDB), base station and interfaces to PSTN, ISDN or PDN
  - TETRA offers traffic channels (TCH) and control channels (CCH) similar to GSM
- In contrast to GSM, TETRA offers additional services like group call, broadcast call and discreet listening

# **UMTS**

### **UMTS** (1)

- The European proposal for the international mobile telecommunications (IMT)-2000 prepared by ETSI is called universal mobile telecommunications system (UMTS)
- The specific proposal for the radio interface RTT (radio transmission technologies) is UMTS terrestrial radio access (UTRA)
- UMTS presents an evolution from the second generation GSM system to the third generation rather than a complete system

### **UMTS** (2)

- Many solutions have been proposed for a smooth transition from GSM to UMTS
  - Enhanced data rates for global evolution (EDGE) which uses enhanced modulation schemes (8 PSK instead of GSM's GMSK) for data rates of up to 384 kbit/s using the same 200 kHz wide carrier and the same frequencies as GSM
  - Customized application for mobile enhanced logic (CAMEL) introduces intelligent network support. This system supports e.g. the creation of a virtual home environment (VHE) for visiting subscribers.
- UMTS fits into GMM (global multimedia mobility) initiative from ETSI
  - min. 144 kbit/s rural (goal: 384 kbit/s)
  - min. 384 kbit/s suburban (goal: 512 kbit/s)
  - up to 2 Mbit/s urban

## UMTS system architecture (1)

- Figure 9 shows a simplified UMTS reference architecture
- The UTRA network (UTRAN) handles cell level mobility and comprises several radio network subsystems (RNS)
- The functions of the RNS include radio channel ciphering and deciphering, handover control, radio resource management etc.
- The UTRAN is connected to the user equipment (UE) via the radio interface U<sub>u</sub> (comparable to the U<sub>m</sub> interface in GSM).
- Via the I<sub>u</sub> interface (similar to the A interface in GSM), the UTRAN communicates with the core network (CN).
- The CN contains functions for inter-system handover, gateways to other networks

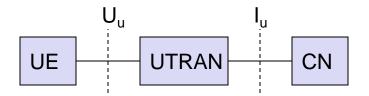


Figure 9: Main components of the UMTS reference architecture.

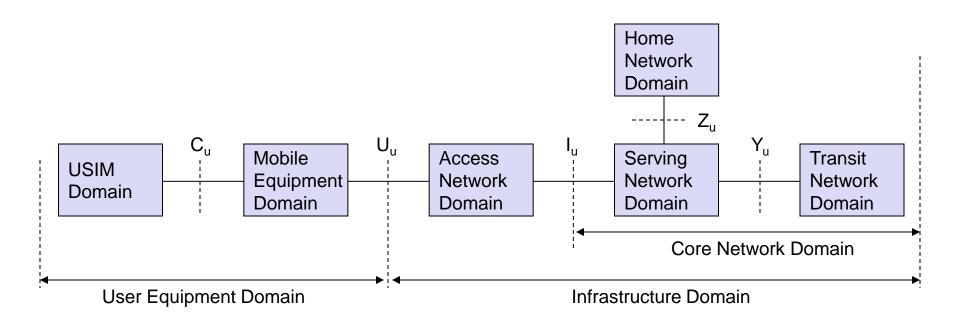


Figure 10: UMTS domains and interfaces

## UMTS system architecture (2)

- UMTS further subdivides the above simplified architecture into domains (see Figure 10)
- The user equipment domain is assigned to a single user and comprises all functions needed to access UMTS services.
  - Within this domain are the USIM domain and the mobile equipment domain.
- The USIM domain contains the SIM for UMTS which performs functions for encryption and authentication of users and stores all necessary user-related data for UMTS.
- The end device itself is in the mobile equipment domain.
- All functions for radio transmission as well as user interfaces are located here.

## UMTS system architecture (3)

- The infrastructure domain is shared among all users and offers UMTS services to all accepted users.
  - This domain consists of the access network domain which contains the radio access networks (RAN) and the core network domain which contains access network independent functions
- The core network domain can be separated into three domains with specific tasks.
  - The serving network domain comprises all functions currently used by a user for accessing UMTS services.
  - All functions related to the home network of a user, e.g., user data look-up, fall into the home network domain
  - The transit network domain may be necessary if, for example, the serving network cannot directly contact the home network
- The biggest difference between UMTS and GSM comes with the new radio interface (U<sub>u</sub>)
  - The direct sequence CDMA used in UMTS is new

### UTRAN (1)

- Figure 11 shows the basic architecture of the UTRA network (UTRAN)
- This consists of several radio network subsystems (RNS).
- Each RNS is controlled by a radio network controller (RNC) and comprises several components called node B (similar to a BTS)
- An RNC in UMTS can be compared with the BSC.
- Each node B can control several antennas which make a radio cell
- The mobile device, UE (user equipment) can be connected to one or more antennas.

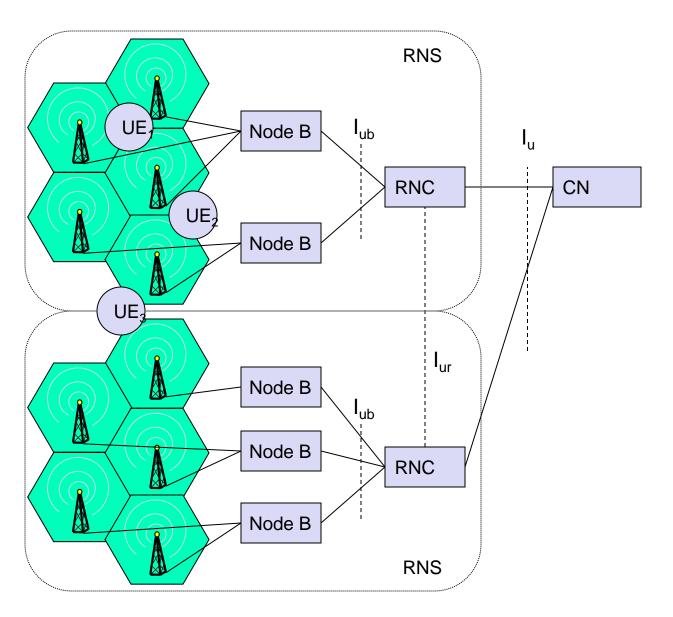


Figure 11: Basic architecture of the UTRA network

### UTRAN (2)

- It performs spreading and modulation, signal quality measurements etc.
- Each RNC is connected with the core network (CN) over the interface I<sub>u</sub> (similar to role of A interface in GSM) and with a node B over the interface I<sub>ub</sub>
- A new interface, with no counterpart in GSM, is the interface I<sub>III</sub> connecting two RNCs with each other.