



# Gait Recognition from 2D to 3D

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# Outline

- 01** Introduction
- 02** Related Work
- 03** Gait3D Benchmark
- 04** Our Methods
- 05** Conclusion

# 01

## Introduction

# What is Gait Recognition ?



Identify the same person across multiple cameras with gait biometrics, i.e., the motion patterns of human walking [1].

## Advantages:

1. Remotely accessible
2. Non-contacting
3. Hard to impersonate
4. Robust to lightening variation and cloth changing

## Challenges:

1. Noise of silhouette segmentation
2. Cross-view variance
3. Uncertainty in temporal pattern
4. Ambiguity of 3D bodies projected into 2D images

## Applications:

1. ID authentication
2. Missing person search
3. Public security
4. ...

[1] Liang Wang, Tieniu Tan, Huazhong Ning, Weiming Hu: Silhouette Analysis-Based Gait Recognition for Human Identification. IEEE Trans. Pattern Anal. Mach. Intell. 25(12): 1505-1518 (2003)

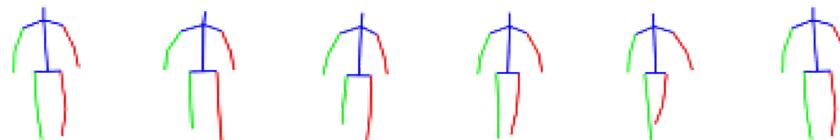
# Gait Representations



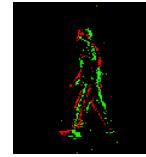
(a) Silhouettes



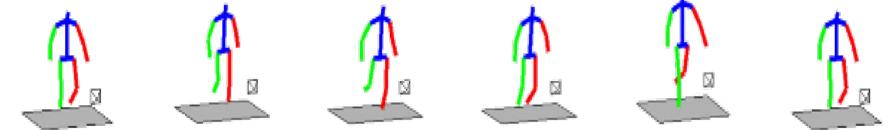
(b) GEI



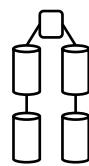
(c) 2D Skeletons



(d) Event Stream



(e) 3D Skeletons

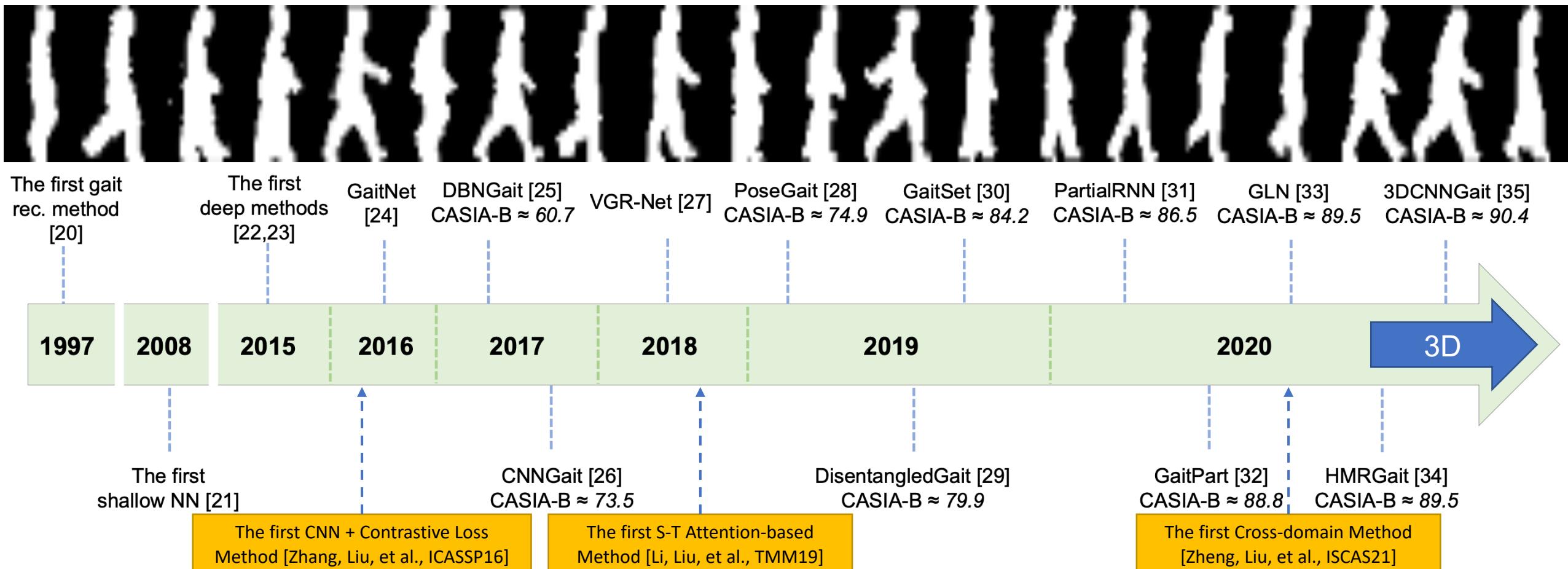


(f) 3D Cylinder



(g) 3D Meshes (ours)

# Timeline of Gait Recognition



1. Alireza Sepas-Moghaddam, Ali Etemad: Deep Gait Recognition: A Survey. CoRR abs/2102.09546 (2021)
2. Cheng Zhang, Wu Liu, et al., : Siamese neural network based gait recognition for human identification. ICASSP 2016: 2832-2836
3. Shuangqun Li, Wu Liu, Huadong Ma: Attentive Spatial-Temporal Summary Networks for Feature Learning in Irregular Gait Recognition. IEEE Trans. Multim. 21(9): 2361-2375 (2019)
4. Jinkai Zheng, Xinchen Liu, et al. : TraND: Transferable Neighborhood Discovery for Unsupervised Cross-Domain Gait Recognition. ISCAS 2021: 1-5

# 02

## Related Work

# Existing Gait Datasets

Dataset	Year	Subject #	Sequence #	Cam #	Data Type	Speed	Wild	3D-View
CASIA-A [17]	2003	20	240	3	RGB	✗	✗	✗
USF HumanID [12]	2005	122	1,870	2	RGB	✗	✗	✗
CASIA-B [20]	2006	124	13,680	11	RGB, Silh.	✗	✗	✗
CASIA-C [14]	2006	153	1,530	1	Infrared, Silh.	✓	✗	✗
OU-ISIR Speed [15]	2010	34	306	1	Silh.	✓	✗	✗
OU-ISIR MV [10]	2010	168	4,200	25	Silh.	✗	✗	✗
OU-LP [6]	2012	4007	31,368	4	Silh.	✗	✗	✗
OU-MVLP [13]	2018	10,307	259,013	14	Silh.	✗	✗	✗
OU-MVLP Pose [1]	2020	10,307	259,013	14	2D Pose	✗	✗	✗
GREW* [22]	2021	26,345	128,671	882	Silh., 2D/3D Pose, Flow	✗	✓	✗
<b>Gait3D</b>	-	<b>4,000</b>	<b>25,309</b>	<b>46</b>	<b>Silh., 2D/3D Pose, 3D Mesh&amp;SMPL</b>	✓	✓	✓

- [1] Sudeep Sarkar, P. Jonathon Phillips, Zongyi Liu, Isidro Robledo Vega, Patrick Grother, Kevin W. Bowyer: The HumanID Gait Challenge Problem: Data Sets, Performance, and Analysis. IEEE Trans. Pattern Anal. Mach. Intell. 27(2): 162-177 (2005)
- [2] Shiqi Yu, Daoliang Tan, Tieniu Tan: A Framework for Evaluating the Effect of View Angle, Clothing and Carrying Condition on Gait Recognition. ICPR (4) 2006: 441-444
- [3] Yasushi Makihara, Hidetoshi Mannami, Akira Tsuji, Md. Altab Hossain, Kazushige Sugiura, Atsushi Mori, Yasushi Yagi: The OU-ISIR Gait Database Comprising the Treadmill Dataset. IPSJ Trans. Comput. Vis. Appl. 4: 53-62 (2012)
- [4] Yasushi Makihara, Atsuyuki Suzuki, Daigo Muramatsu, Xiang Li, Yasushi Yagi: Joint Intensity and Spatial Metric Learning for Robust Gait Recognition. CVPR 2017: 6786-6796
- [5] Md. Zasim Uddin, Trung Ngo Thanh, Yasushi Makihara, Noriko Takemura, Xiang Li, Daigo Muramatsu, Yasushi Yagi: The OU-ISIR Large Population Gait Database with real-life carried object and its performance evaluation. IPSJ Trans. Comput. Vis. Appl. 10: 5 (2018)
- [6] Noriko Takemura, Yasushi Makihara, Daigo Muramatsu, Tomio Echigo, Yasushi Yagi: Multi-view large population gait dataset and its performance evaluation for cross-view gait recognition. IPSJ Trans. Comput. Vis. Appl. 10: 4 (2018)
- [7] Zheng Zhu, Xianda Guo, Tian Yang, Junjie Huang, Jiankang Deng, Guan Huang, Dalong Du, Jiwen Lu, Jie Zhou: Gait Recognition in the Wild: A Benchmark. ICCV, 2021.

# Existing Gait Datasets

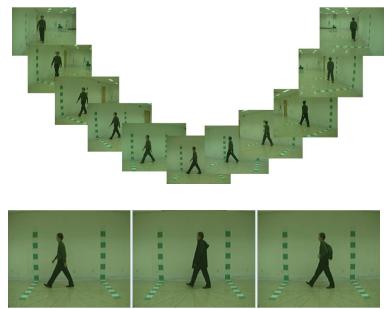
CASIA-A



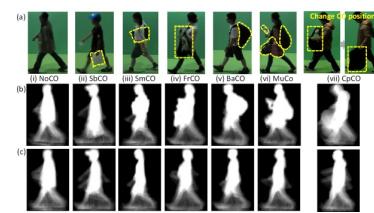
OU-LP



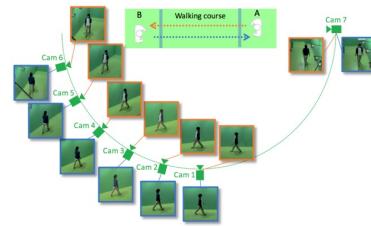
CASIA-B



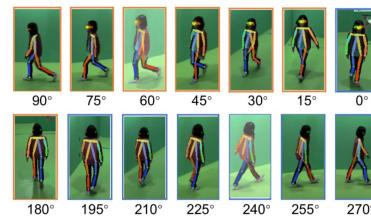
OU-LP-Bag



OU-MVLP



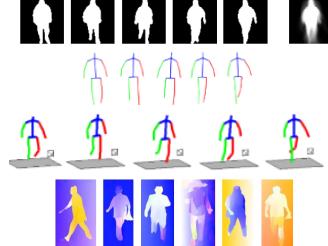
OU-MVLP-Pose



OU-LP-Age



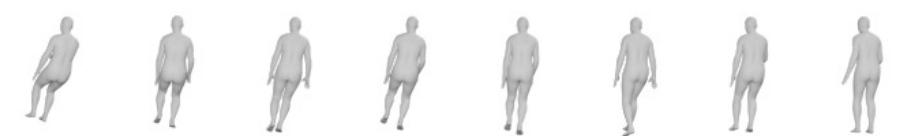
GREW



Gait3D (ours)



(a) RGB Frames



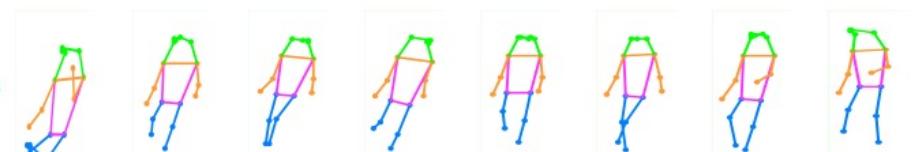
(b) 3D Meshes



(c) 3D Skeletons



(d) Silhouettes



(e) 2D Skeletons

# Existing Gait Recognition Methods

- Early Work (Before 2015):

- Model-based:
  - 3D voxel-based [IJCB11]
- Appearance-based:
  - **Gait Energy Image** [TPAMI06]
  - Chrono-Gait Image [TPAMI12]

- Recent Work:

- GEI+CNN/SNN:
  - SiaNet [ICASSP16]
  - GEINet [ICB16]
- Sequence/Set + RNN/LSTM/CNN:
  - ASTSN [TMM19]
  - GaitSet [AAAI19]
  - GaitPart [CVPR20]
  - GLN [ECCV20]
- Pose + GCN
  - PoseGait [PR20]
  - GaitGraph [arXiv21]

Results on CASIA-B					
Type	Method	Probe			CL
		NM	BG	CL	
appearance -based	GaitNet [1]	91.6	85.7	58.9	
	GaitSet [2]	95.0	87.2	70.4	
	GaitPart [3]	<b>96.2</b>	<b>91.5</b>	<b>78.7</b>	
model -based	PoseGait [6]	60.5	39.6	29.8	
	<b>GaitGraph</b>	<b>87.7</b>	<b>74.8</b>	<b>66.3</b>	

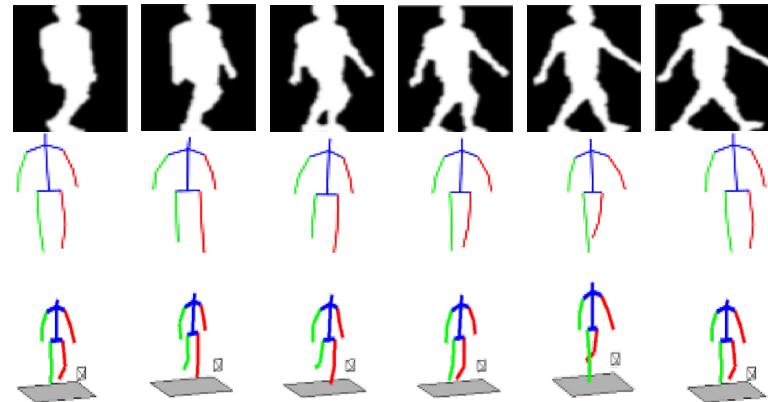
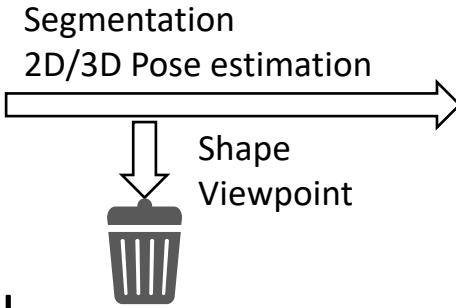
Methods	Input Size (W×H) Publication	88×128			
		R-1 (%)	R-5 (%)	mAP (%)	mINP
GEINet [32]	ICB 2016	7.00	16.30	6.05	3.77
GaitSet [5]	AAAI 2019	42.60	63.10	33.69	19.69
GaitPart [9]	CVPR 2020	29.90	50.60	23.34	13.15
GLN [15]	ECCV 2020	42.20	64.50	33.14	19.56
GaitGL [22]	ICCV 2021	23.50	38.50	16.40	9.20
CSTL [16]	ICCV 2021	12.20	21.70	6.44	3.28
PoseGait [21]	PR 2020	0.24	1.08	0.47	0.34
GaitGraph [38]	arXiv 2021	6.25	16.23	5.18	2.42

# 03

## The Gait3D Benchmark

# Why Gait Recognition in the Wild with 3D information?

- Problem of current gait recognition:
  - Information loss of 2D silhouette and 2D/3D skeleton



- Gap between Lab and Wild:

CASIA-B

**Walking Style:**

- Directed
- Straight routes
- Fixed speed

**Environment Settings:**

- Constrained scenes
- Pre-defined viewpoints
- Simple background

OU-LP



Supermarket

**Walking Style:**

- Natural Walking
- Irregular routes
- Varied speed

**Environment Settings:**

- Occlusions
- Arbitrary 3D viewpoint
- Background clutter

# What do we need and what are our challenges ?

- In the wild



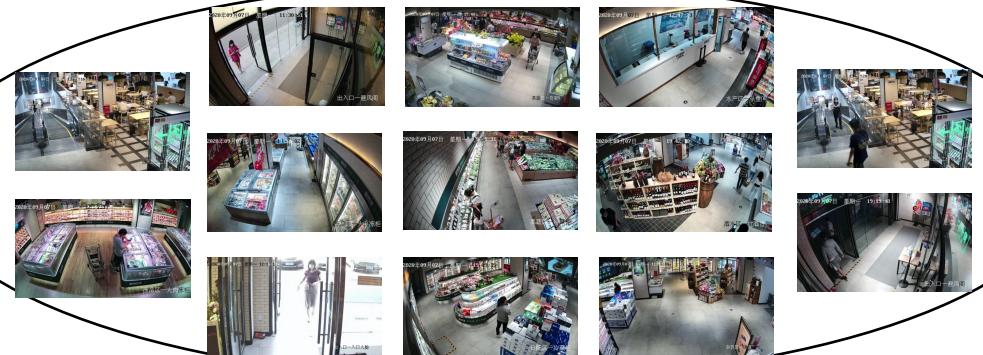
**Walking Style:**

- Natural Walking
- Irregular routes
- Varied speed

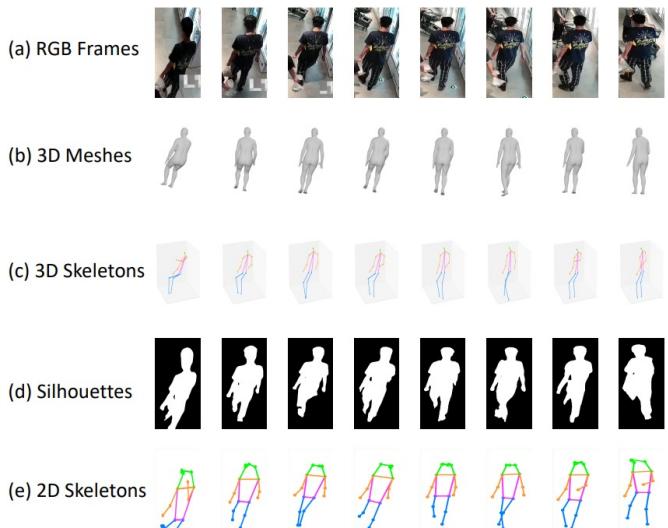
**Environment Settings:**

- Occlusions
- Arbitrary 3D viewpoint
- Background clutter

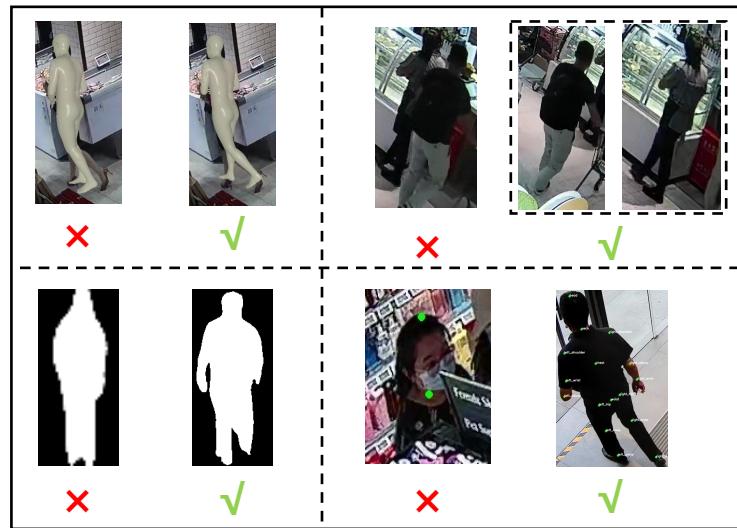
- Large scale and Diverse



- Multi-modal



- High-quality



# Gait3D Construction

- A Large-scale In-the-Wild 3D Gait Recognition Benchmark
  - Construction:

1. Person detection and tracking from video frames



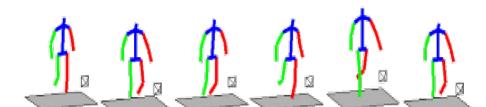
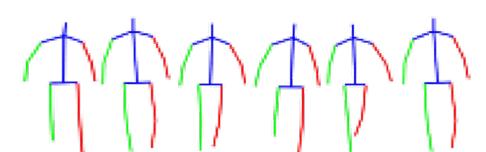
2. Cross-camera matching of the same ID using ReID feature



3. Manually filtering out the wrong sequences in individual IDs

4. Generation of gait representations from sequences:

- 1) silhouettes using HRNet (finetuned)
- 2) 2D pose using HRNet (finetuned)
- 3) 3D SMPL & mesh using ROMP (finetuned)
- 4) 3D pose using ROMP (finetuned)



# Gait3D Dataset

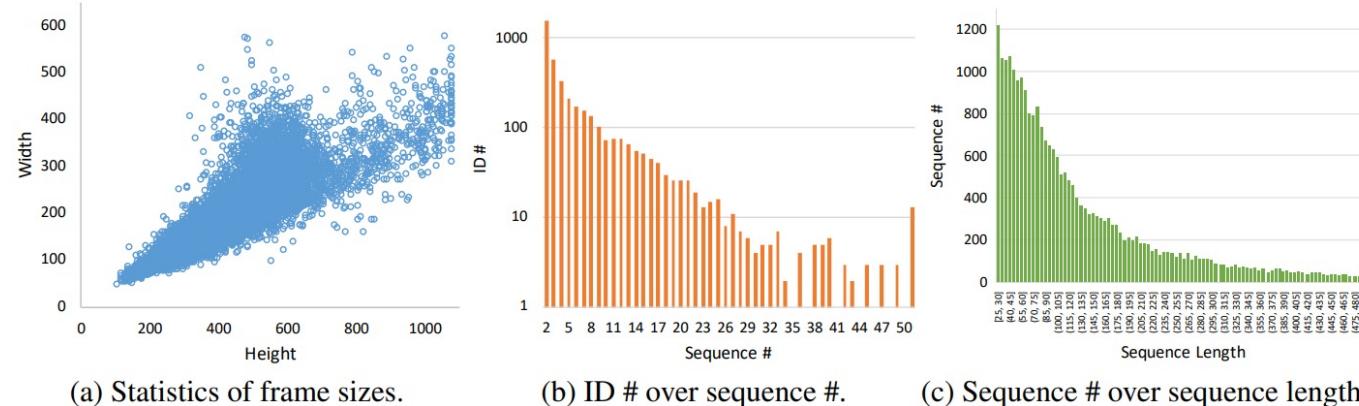
- A Large-scale In-the-Wild 3D Gait Recognition Benchmark

- Statistics:

- **1,090-hour** videos of **7 days** from **unconstrained environment**, i.e., a large supermarket
- **39 cameras** with various viewpoints, **1,920x1,080** resolution, and **25 FPS**
- **4,000 IDs, 25,309 sequences, 3,279,239 bounding boxes**

- Protocol:

- 3,000/1,000 IDs for training/testing
- 1,000/5,369 sequences for query/gallery of testing set
- Metrics: Rank-1, Rank5, mAP, mINP



Scan the QR code  
for the dataset!

# Benchmarking of SOTA Methods

Comparison of the state-of-the-art gait recognition methods on Gait3D.

Methods	Input Size (W×H)	Publication	88×128				44×64			
			R-1 (%)	R-5 (%)	mAP (%)	mINP	R-1 (%)	R-5 (%)	mAP (%)	mINP
GEINet [32]	ICB 2016	7.00	16.30	6.05	3.77	5.40	14.20	5.06	3.14	
GaitSet [5]	AAAI 2019	42.60	63.10	33.69	19.69	36.70	58.30	30.01	17.30	
GaitPart [9]	CVPR 2020	29.90	50.60	23.34	13.15	28.20	47.60	21.58	12.36	
GLN [15]	ECCV 2020	42.20	64.50	33.14	19.56	31.40	52.90	24.74	13.58	
GaitGL [22]	ICCV 2021	23.50	38.50	16.40	9.20	29.70	48.50	22.29	13.26	
CSTL [16]	ICCV 2021	12.20	21.70	6.44	3.28	11.70	19.20	5.59	2.59	
PoseGait [21]	PR 2020	0.24	1.08	0.47	0.34	-	-	-	-	
GaitGraph [38]	arXiv 2021	6.25	16.23	5.18	2.42	-	-	-	-	

Results of cross-domain experiments.

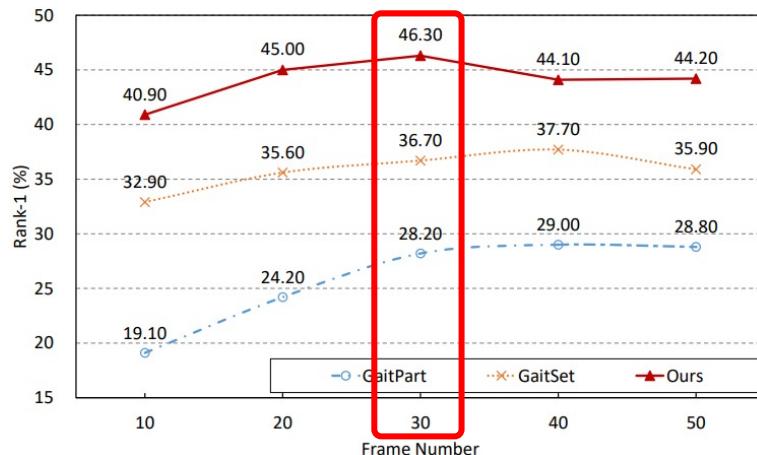
Source	Target	R-1 (%)	R-5 (%)	mAP (%)
CASIA-B [56]	Gait3D	6.90	14.60	4.64
OU-LP [17]		6.10	12.40	4.42
GREW [63]		16.50	31.10	11.71
Gait3D	CASIA-B [56]	66.71	71.59	33.88
	OU-LP [17]	97.84	99.38	68.06
	GREW [63]	43.86	60.89	28.06

## Insights:

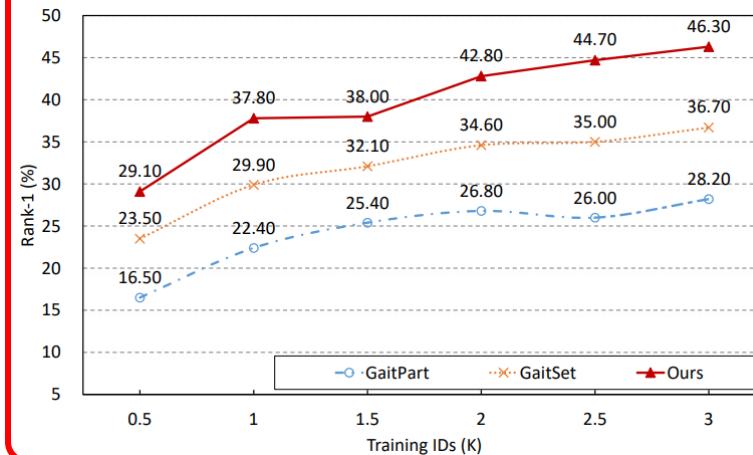
- Model-based approaches are greatly worse than model-free methods due to information loss.
- There is a huge gap between the in-the-lab dataset and in-the-wild application. Our Gait3D enables the model to learn more generalized gait representations.

# Experiments

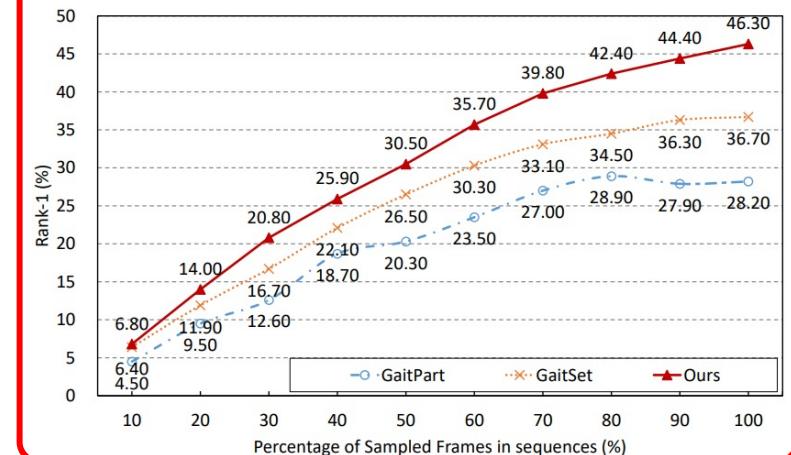
The effect of frame numbers in sequences.



The effect of different training ID numbers.



The effect of the lengths of test sequences.



## Insights:

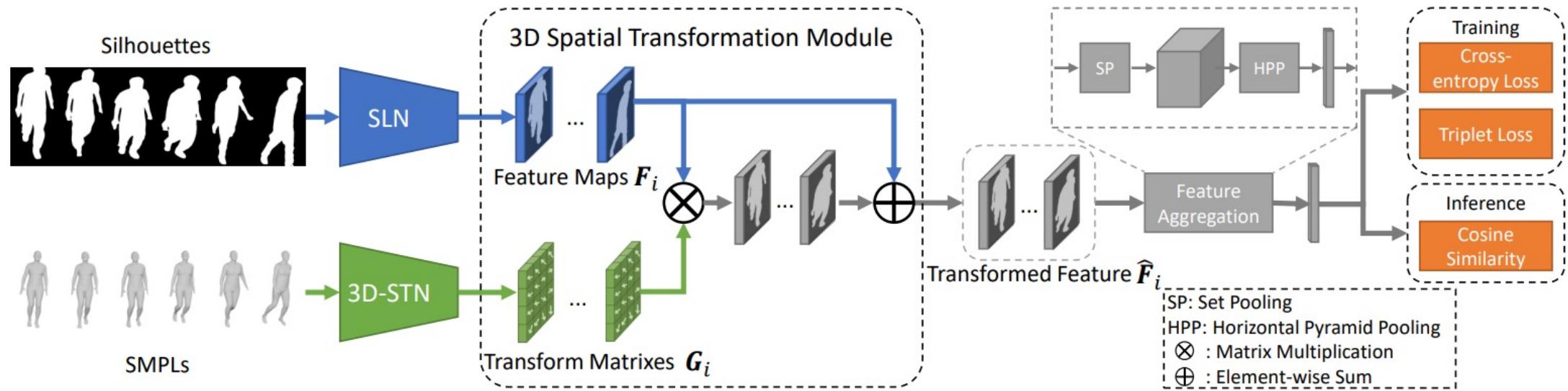
1. The best performance occurs around 30 frames per sequence in the training phase.
2. The performance grows stably with more training IDs and testing frames.

# 04

## Our Methods

# SMPLGait framework

The architecture of the SMPLGait framework for 3D gait recognition in the wild.



The architecture of the Silhouette Learning Network (SLN).

Layers	Kernel #	Kernel Size	Stride	Padding
Conv1 LeakyReLU (0.01)	64	5×5	1	2
-	-	-	-	-
Conv2 LeakyReLU (0.01)	64	3×3	1	1
-	-	-	-	-
Max Pooling	-	2×2	2	0
Conv3 LeakyReLU (0.01)	128	3×3	1	1
-	-	-	-	-
Conv4 LeakyReLU (0.01)	128	3×3	1	1
-	-	-	-	-
Max Pooling	-	2×2	2	0
Conv5 LeakyReLU (0.01)	256	3×3	1	1
-	-	-	-	-
Conv6 LeakyReLU (0.01)	256	3×3	1	1
-	-	-	-	-

SLN

The architecture of the 3D Spatial Transformation Network (3D-STN).

Layers	Neuron #	Dropout Rate
FC1	128	0.0
BN1	-	-
ReLU	-	-
FC2	256	0.2
BN2	-	-
ReLU	-	-
FC3	$h \times w$	0.2
BN3	-	-
ReLU	-	-

3D-STN

# Experiments

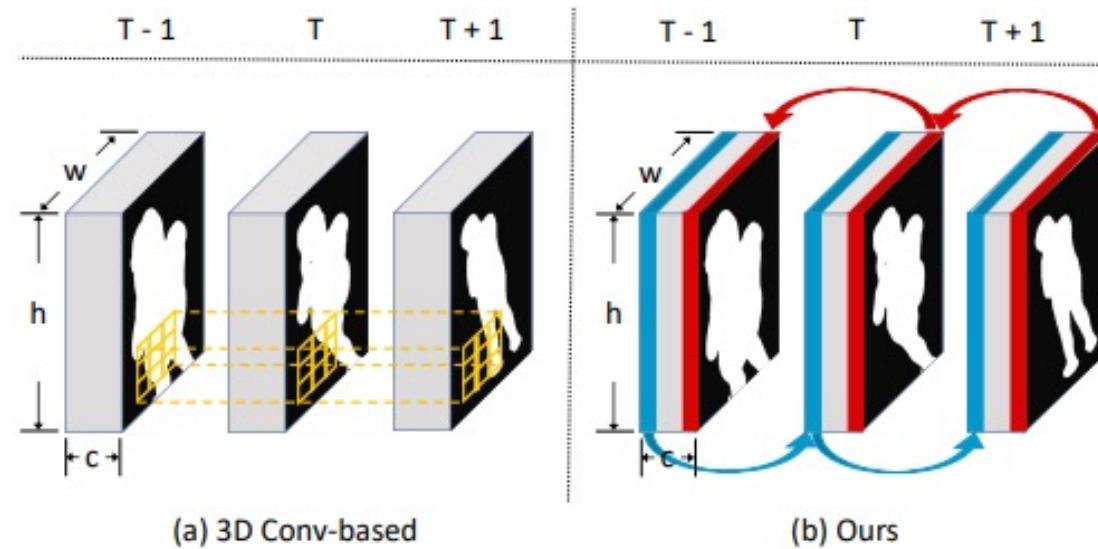
Comparison of the state-of-the-art gait recognition methods on Gait3D.

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GaitPart [9]	CVPR 2020	29.90	50.60	23.34	13.15	28.20	47.60	21.58	12.36
GLN [15]	ECCV 2020	42.20	64.50	33.14	19.56	31.40	52.90	24.74	13.58
GaitGL [22]	ICCV 2021	23.50	38.50	16.40	9.20	29.70	48.50	22.29	13.26
CSTL [16]	ICCV 2021	12.20	21.70	6.44	3.28	11.70	19.20	5.59	2.59
PoseGait [21]	PR 2020	0.24	1.08	0.47	0.34	-	-	-	-
GaitGraph [38]	arXiv 2021	6.25	16.23	5.18	2.42	-	-	-	-
SMPLGait w/o 3D	Ours	47.70	67.20	37.62	22.24	42.90	63.90	35.19	20.83
SMPLGait	Ours	<b>53.20</b>	<b>71.00</b>	<b>42.43</b>	<b>25.97</b>	<b>46.30</b>	<b>64.50</b>	<b>37.16</b>	<b>22.23</b>

## Insights:

1. The performance is significantly improved with the addition of 3D mesh.

# Temporal Modeling on Gait3D



## ✓ Motivation:

- 3D convolution-based methods have the problem of feature misalignment.
- Existing methods can hardly model varied temporal dynamics of gait sequences in unconstrained scenes.
- Existing methods based on 3D convolution for temporal modeling have the problem of large-scale model parameters and difficulty in training.

# MTSGait

The architecture of the MTSGait framework for modeling spatial and multi-hop temporal gait features

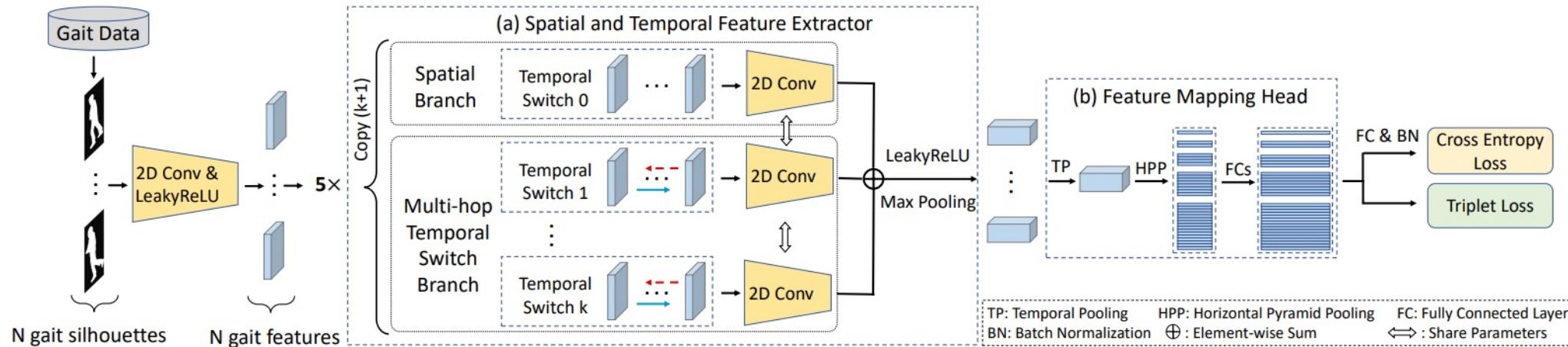
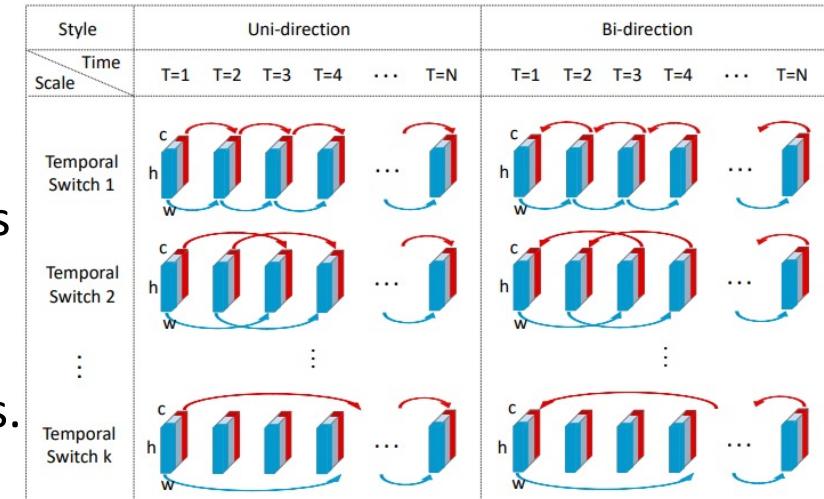


Illustration of the uni-direction, bi-direction, and multi-hop temporal switch operation



- ✓ MTSGait can learn spatial and multi-scale temporal information simultaneously.
- ✓ MTSGait avoids the problem of large-scale model parameters and difficulty in training 3D CNN.
- ✓ We propose a new sampling strategy, i.e., Non-cyclic continuous sampling, to learn more robust temporal features.

# Experiments

Results on Gait3D dataset

Methods	Rank-1	Rank-5	mAP	mINP
PoseGait [17]	0.24	1.08	0.47	0.34
GaitGraph [29]	6.25	16.23	5.18	2.42
GEINet [25]	5.40	14.20	5.06	3.14
GaitSet [2]	36.70	58.30	30.01	17.30
GaitPart [4]	28.20	47.60	21.58	12.36
GLN [9]	31.40	52.90	24.74	13.58
GaitGL [19]	29.70	48.50	22.29	13.26
CSTL [10]	11.70	19.20	5.59	2.59
SMPLGait [47]	46.30	64.50	37.16	22.23
Ours w/o MTS	42.90	63.90	35.19	20.83
Ours	<b>48.70</b>	<b>67.10</b>	<b>37.63</b>	21.92

Results on GREW dataset

Methods	Rank-1	Rank-5	Rank-10	Rank-20
PoseGait [17]	0.23	1.05	2.23	4.28
GaitGraph [29]	1.31	3.46	5.10	7.51
GEINet [25]	6.82	13.42	16.97	21.01
GaitSet [2]	46.28	63.58	70.26	76.82
GaitPart [4]	44.01	60.68	67.25	73.47
GaitGL [19]	47.28	63.56	69.32	74.18
Ours w/o MTS	50.42	67.89	74.28	79.38
Ours	<b>55.32</b>	<b>71.28</b>	<b>76.85</b>	<b>81.55</b>

## Results:

1. Our method achieves superior performance on two public gait in-the-wild datasets, i.e., Gait3D and GREW, compared with state-of-the-art methods.
2. We can observe that our method is better than SMPLGait. This means that in addition to adding additional input data like 3D meshes, temporal modeling is also very important.

# 05

## Conclusion

# Conclusion

- Contributions:
  - ✓ We believe that the next direction of gait recognition is gait recognition in the wild, especially in combination with dense 3D representations, such as 3D meshes.
  - ✓ There are many potential directions for this challenging task:
    - How to design a model for learning more discriminative features directly from 3D meshes.
    - How to learn the temporal information of gait representation, because the walking speed and route in Gait3D are irregular, it is significantly different from the datasets built in the lab.
    - How to fuse the multi-modal information like silhouette, 2D/3D skeleton, and 3D mesh for gait recognition in the wild.
- Discussion:
  - ✓ Dataset access:
    - case-by-case application via license
  - ✓ Ethic issues:
    - The involved subjects of the datasets are told to collect data for research purposes.
    - The dataset can only be used for research purposes.
    - We will not release any human cognizable data like original video files, original RGB video frames, and RGB bounding boxes of persons.



Scan the QR code for  
the Gait3D dataset!

## Team & Collaborators



JD Explore Academy



Xinchen Liu



Wu Liu



Lingxiao He



Tao Mei



Jinkai Zheng



Yaoqi Sun



Jiyong Zhang



Chenggang Yan



Xiaoyan Gu  
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