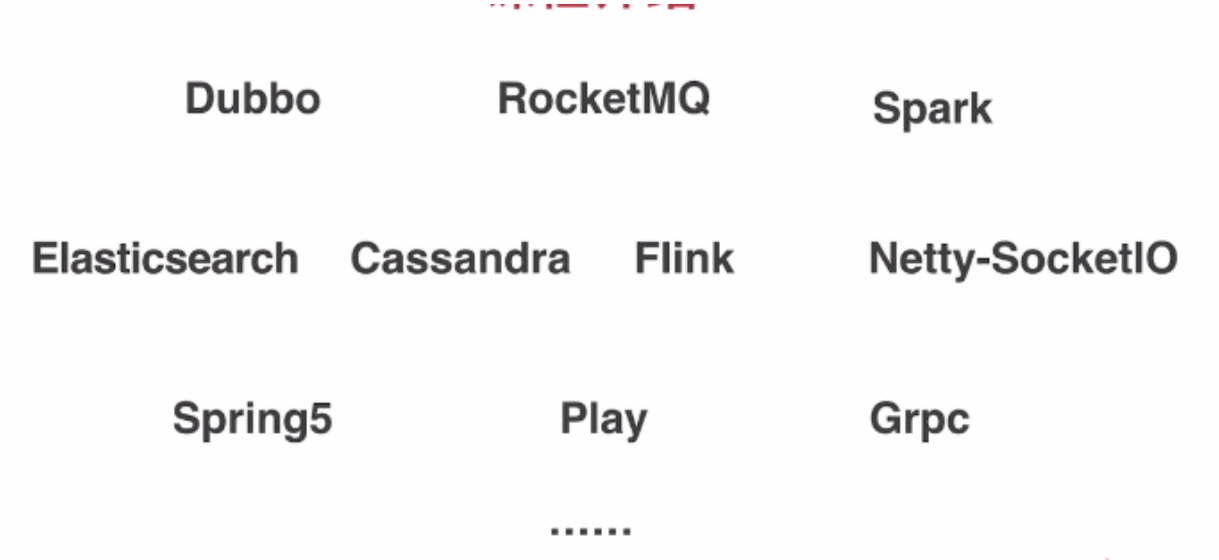
Netty 深入剖析

一 netty简介

1.1 业界使用netty的开源框架

- dubbo
- RocketMQ
- Spark
- ElasticSearch
- Cassandra 开源分布式nosql数据库
- Flink 分布式高性能高可用的流处理框架
- Netty-SocketIo socketIo协议的java服务端实现
- Spring5 使用netty作为http协议框架
- Grpc 谷歌开源的高性能Rpc框架



1.2. Netty是什么？ 为什么使用netty之后，几乎不用担心性能问题

- 异步事件驱动框架，用于快速开发高性能服务端和客户端
- 封装了JDK底层BIO和NIO模型，提供高度可用的API（提供了非常多的扩展点，使API更加灵活丰富，channelHandler热插拔机制，解放了业务逻辑之外的细节问题，使业务逻辑的热添加和删除变得容易）
- 自带编解码器解决了拆包粘包问题，用户只用关心业务逻辑
- 精心设计的reactor线程模型支持高并发海量连接（为什么netty只使用了少量的线程，就能管理成千上万甚至几十万的连接）
- 自带各种协议栈让你处理任何一种通用协议都几乎不用亲自动手

1.3. 为什么学netty

- 各大开源框架选择netty作为底层通信框架
- 更好的使用，少走弯路
- 单机连接数上不去？性能遇到瓶颈？如何调优

- 详解reactor线程模型，实践中举一反三
- 庞大的项目是如何组织的，设计模式，体验优秀的设计
- 阅读源码 -- 可以作为第一个深入研究的开源框架

1.4. 目标

- 掌握netty底层核心原理，解决各类问题，深度调优
- 给netty官方提issue
- 实现一个简易版的netty
- 开启阅读源码之旅
- 加速掌握基于netty的各类中间件

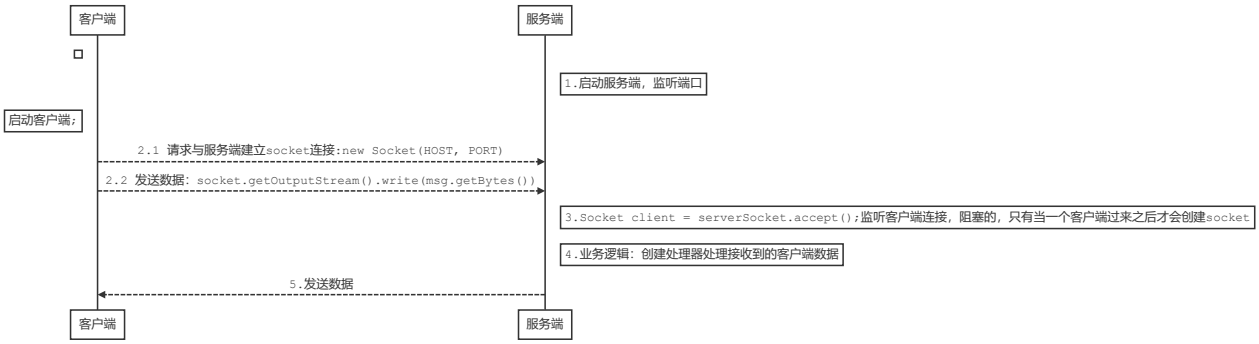
1.5. 技术储备

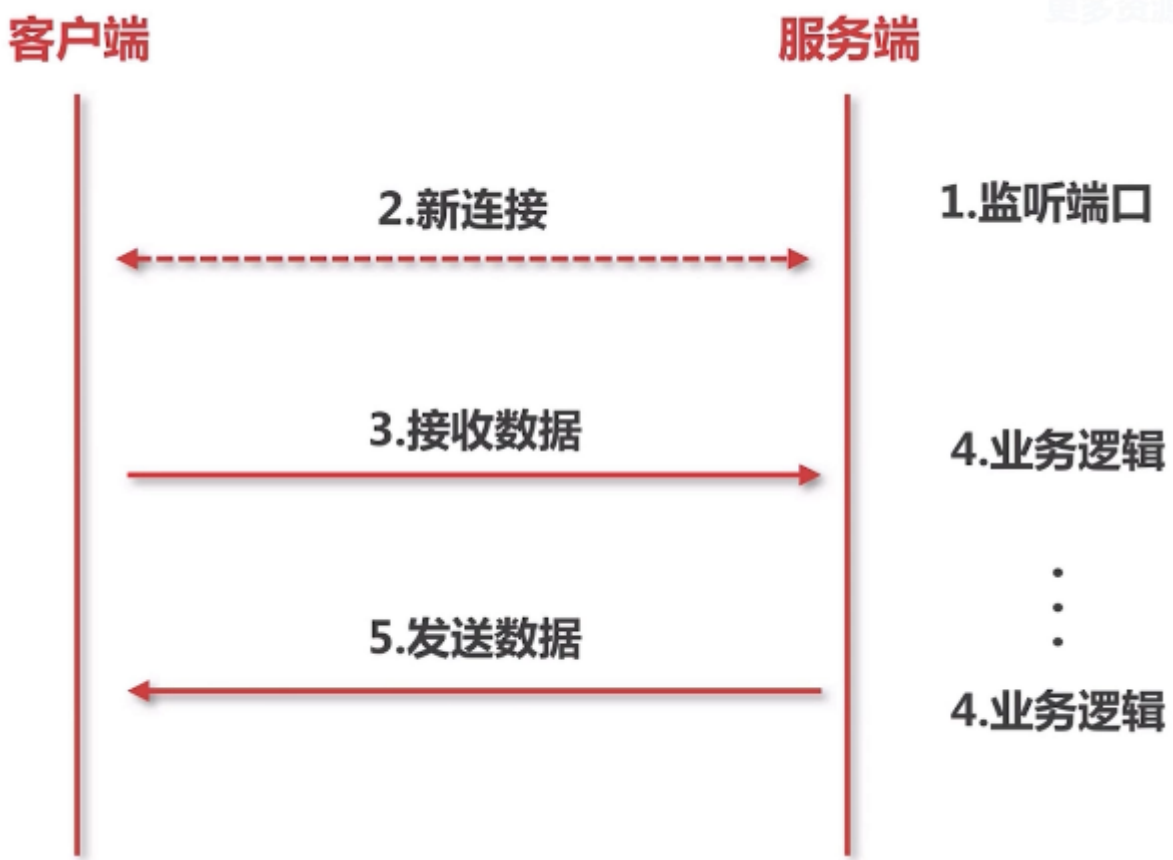
- java基础，多线程
- TCP原理， NIO

二 netty基本组件

包括：NioEventLoop（发动机：起了两种类型的线程），Channel（对连接的封装，数据读写），ByteBuf（数据流），Pipeline（逻辑处理链），ChannelHandler（逻辑）

2.1 不使用netty情况下，模拟传统的客户端与服务端通信



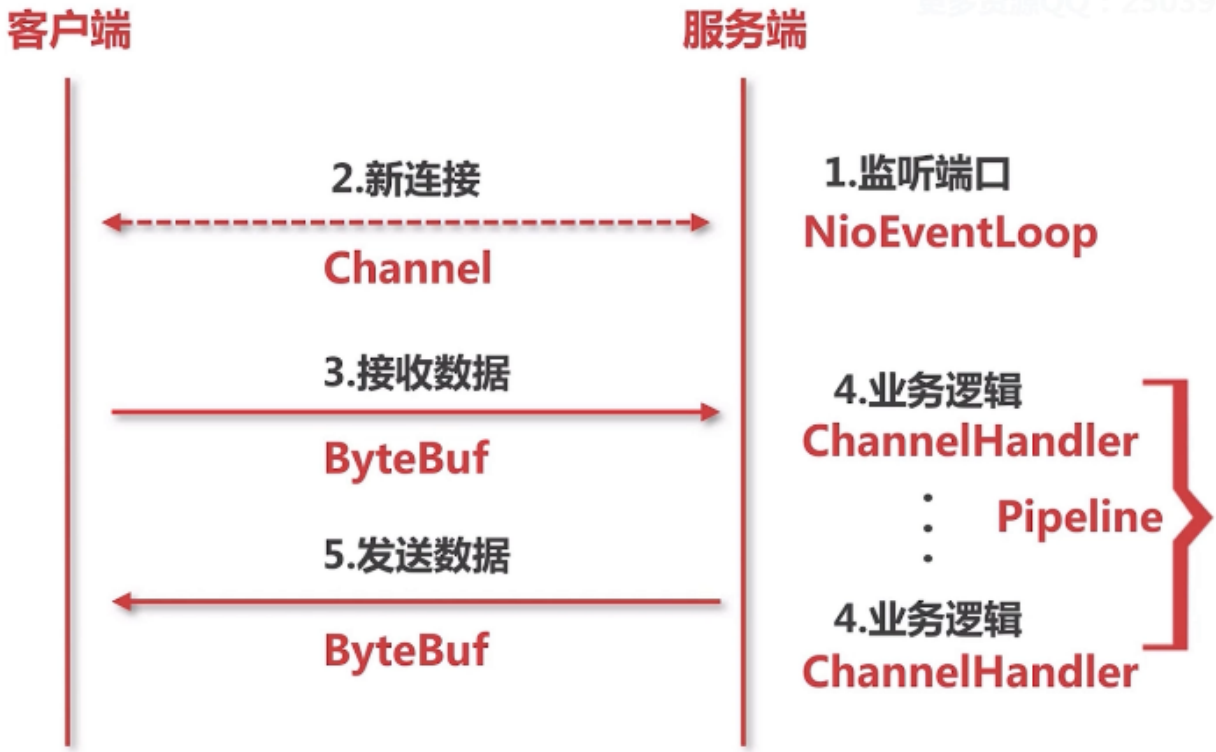


2.1.1 监听端口实际包含两层含义：对应两个while循环

a）server不断的在某个端口上监听新用户的连接

b）新用户的连接建立完成后，在对应的端口上不断的监听新连接的数据

netty实现：



2.2 netty - NioEventLoop

对应socket编程的线程

NioEventLoop： nio事件循环

2.2.1 新连接的接入

2.2.2 当前存在的连接上数据流的读写

2.3 netty - channel

```
channel 定义:
* A nexus to a network socket or a component which is capable of I/O
* operations such as read, write, connect, and bind.
```

对应socket编程的socket

端口上监听到的新用户的连接

io.netty.channel.nio.AbstractNioMessageChannel#doReadMessages

```
SocketChannel ch = javaChannel().accept();
```

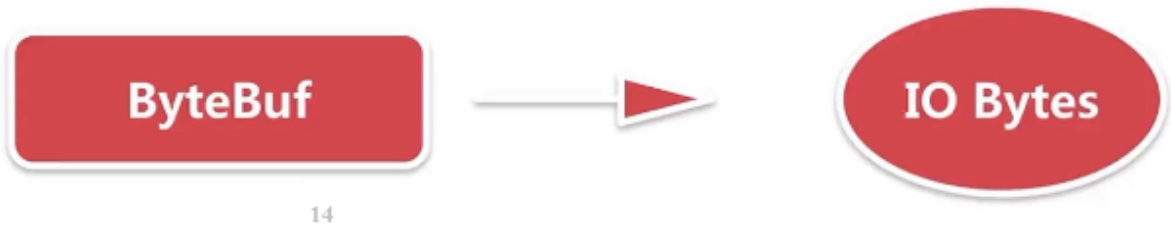
java IO编程模型 -- 当作socket处理

NIO编程模型 -- socketChannel

netty -- 封装成自定义的channel

基于channel，一系列的读写都可以在这个连接上操作，其实就是对socket的抽象

2.4 netty - ByteBuf



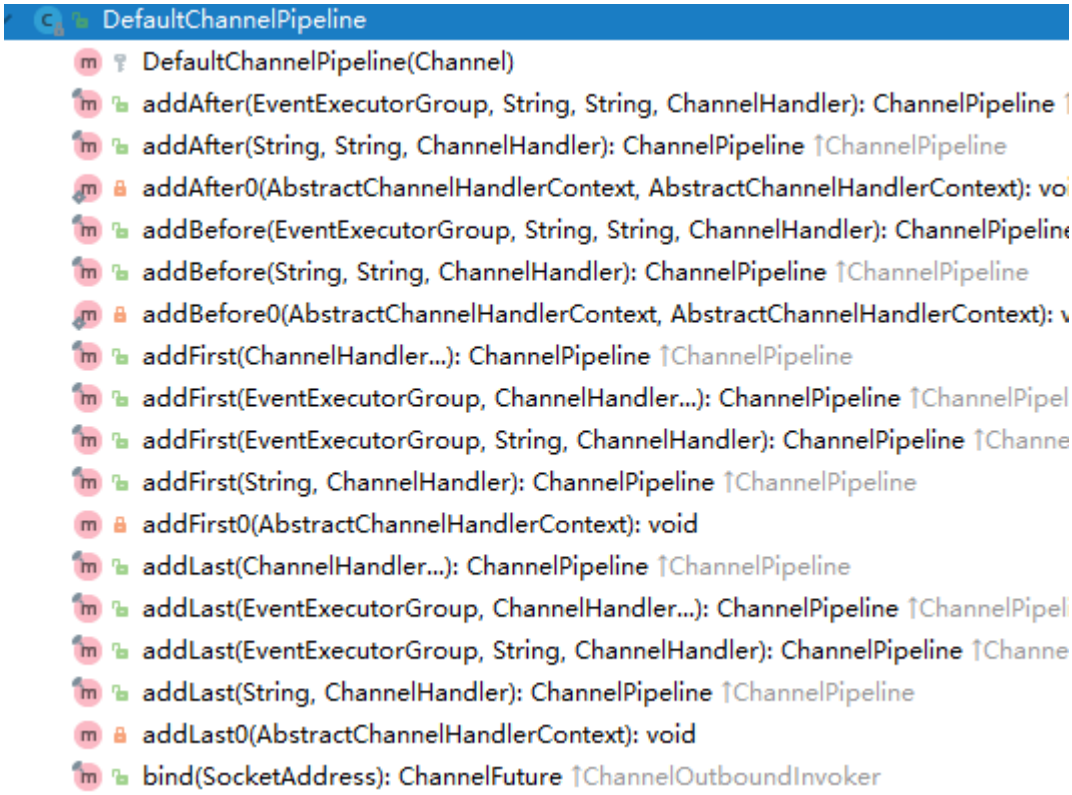
服务端接受用户的数据流的载体都是基于ByteBuf，封装了很多api可以与底层的连接的数据流通信

2.5 netty - channelHandler

服务端处理业务逻辑的处理器

io.netty.channel.DefaultChannelPipeline#addFirst(io.netty.channel.ChannelHandler...)

通过ChannelPipeline可以动态的添加channelHandler




```
@Override
public final ChannelPipeline addFirst(ChannelHandler... handlers) {
    return addFirst(null, handlers);
}
```

实际生产环境下，客户端与服务端的通信的时候都很复杂，

一般都需要定义二进制的协议，对二进制协议的数据进行数据包的拆分，对不同类型的协议数据包转换成不同的java对象并作不同的处理。

netty把每一个处理过程都当作ChannelHandler。

将不同的处理过程交给不同的channelHandler处理。

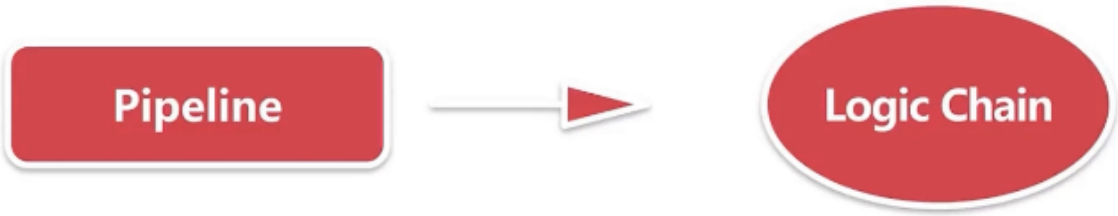
用户可以自定义channelHandler。

例如：数据包分包器

2.6 netty - Pipeline - 逻辑链

netty什么时候将Pipeline加入到每一个客户端连接的处理过程的。

```
protected AbstractChannel(Channel parent, ChannelId id) {
    this.parent = parent;
    this.id = id;
    unsafe = newUnsafe();
    pipeline = newChannelPipeline();
}
```



三. netty服务端启动

```
public final class Server {
    public static void main(String[] args) {
        // bossGroup 对应 socket编程中 server端 的 线程
        EventLoopGroup bossGroup = new NioEventLoopGroup(1);
        // workGroup 对应 socket编程中 client端 的 线程
        EventLoopGroup workGroup = new NioEventLoopGroup();

        ServerBootstrap bootstrap = new ServerBootstrap();

        bootstrap.group(bossGroup, workGroup)
                .channel(NioServerSocketChannel.class)
                .childOption(ChannelOption.TCP_NODELAY, true)
                .childAttr(AttributeKey.newInstance("childAttr"), "childAttrValue")
                .handler(new ServerHandler())
                .childHandler(new ChannelInitializer<SocketChannel>() {

                    @Override
                    protected void initChannel(SocketChannel ch) throws Exception {
                        ch.pipeline().addLast();
                    }
                });
        try {
            //服务端创建的入口 bind()
            ChannelFuture channelFuture = bootstrap.bind(8888).sync();
            channelFuture.channel().closeFuture().sync();
        } catch (InterruptedException e) {
            e.printStackTrace();
        }
    }
}
```

3.1 思考：服务端的socket在哪里初始化？在哪里accept连接？

3.2 netty服务端启动的四个过程

3.2.1 创建服务端channel

```
ChannelFuture channelFuture = bootstrap.bind(8888).sync();
```

```
 private ChannelFuture doBind(final SocketAddress localAddress) {
     final ChannelFuture regFuture = initAndRegister();
     final Channel channel = regFuture.channel();
     ....
 }
```

```
final ChannelFuture initAndRegister() {
    Channel channel = null;
    try {
        channel = channelFactory.newChannel();//创建服务端channel
        init(channel);//初始化服务端channel
    } catch (Throwable t) {
    .....
}
```

```
@Override
public T newChannel() {
    try {
        // 这里的clazz指什么?  --> NioServerSocketChannel.class
        return clazz.newInstance();//反射
    } catch (Throwable t) {
        throw new ChannelException("Unable to create Channel from class " + clazz, t);
    }
}
```

NioServerSocketChannel**如何构造的


```
io.netty.channel.socket.nio.NioServerSocketChannel#NioServerSocketChannel()
public NioServerSocketChannel() {
    this(newSocket(DEFAULT_SELECTOR_PROVIDER));
}
```

```
io.netty.channel.socket.nio.NioServerSocketChannel#NioServerSocketChannel(java.nio.channels.
ServerSocketChannel)

public NioServerSocketChannel(ServerSocketChannel channel) {
    super(null, channel, SelectionKey.OP_ACCEPT);
    config = new NioServerSocketChannelConfig(this, javaChannel().socket());
}
```

```
io.netty.channel.nio.AbstractNioChannel#AbstractNioChannel
protected AbstractNioChannel(Channel parent, SelectableChannel ch, int readInterestOp) {
    super(parent);
    this.ch = ch;
    this.readInterestOp = readInterestOp;
    try {
        ch.configureBlocking(false);
    } catch (IOException e) {
      ...
    }
}
```

```
io.netty.channel.AbstractChannel#AbstractChannel(io.netty.channel.Channel)
protected AbstractChannel(Channel parent) {
    this.parent = parent;
    id = newId();
    unsafe = newUnsafe();
    pipeline = newChannelPipeline();
}
```

3.2.2 初始化服务端channel

- io.netty.bootstrap.AbstractBootstrap#init() 初始化入口
    - set ChannelOptions， ChannelAttrs
    - set ChildOptions，ChildAttrs
    - config handler [配置服务端Pipeline]
    - add ServerBootStrapAcceptor[添加连接接入器]

--》保存用户自定义的属性

--》ServerBootstrapAcceptor 创建新连接接入器（一个特殊的channelHandler）

--》将用户自定义的属性传到新连接接入器中，当accept到一个新连接，通过这几个属性对新的连接进行配置，就可以把一个新的连接绑定到一个新的线程上去。

```
@Override
void init(Channel channel) throws Exception {
    final Map<ChannelOption<?>, Object> options = options0();
    synchronized (options) {
        channel.config().setOptions(options);
    }
```

```
    final Map<AttributeKey<?>, Object> attrs = attrs0();
    synchronized (attrs) {
        for (Entry<AttributeKey<?>, Object> e: attrs.entrySet()) {
            @SuppressWarnings("unchecked")
            AttributeKey<Object> key = (AttributeKey<Object>) e.getKey();
            channel.attr(key).set(e.getValue());
        }
    }

    ChannelPipeline p = channel.pipeline();

    final EventLoopGroup currentChildGroup = childGroup;
    final ChannelHandler currentChildHandler = childHandler;
    final Entry<ChannelOption<?>, Object>[] currentChildOptions;
    final Entry<AttributeKey<?>, Object>[] currentChildAttrs;
    synchronized (childOptions) {
        currentChildOptions =
childOptions.entrySet().toArray(newOptionArray(childOptions.size()));
    }
    synchronized (childAttrs) {
        currentChildAttrs = childAttrs.entrySet().toArray(newAttrArray(childAttrs.size()));
    }

    p.addLast(new ChannelInitializer<Channel>() {
        @Override
        public void initChannel(Channel ch) throws Exception {
            final ChannelPipeline pipeline = ch.pipeline();
            ChannelHandler handler = config.handler();
            if (handler != null) {
                pipeline.addLast(handler);
            }
            ch.eventLoop().execute(new Runnable() {
                @Override
                public void run() {
                    pipeline.addLast(new ServerBootstrapAcceptor(
                            currentChildGroup, currentChildHandler, currentChildOptions,
currentChildAttrs));
                }
            });
        }
    });
}
```

3.2.3 将channel注册到事件轮询器selector

- io.netty.channel.AbstractChannel.AbstractUnsafe#register（channel）[入口]
- this.eventLoop = eventLoop [绑定线程]
- io.netty.channel.AbstractChannel.AbstractUnsafe#register0 [实际注册]
    - io.netty.channel.AbstractChannel#doRegister [调用底层JDK底层注册]
    - io.netty.channel.DefaultChannelPipeline#invokeHandlerAddedIfNeeded [添加channelHandler的时候触发用户回调]

- io.netty.channel.DefaultChannelPipeline#fireChannelActive [传播channel注册成功事件到用户代码方法]

```
#ChannelFuture regFuture = config().group().register(channel);

@Override
        public final void register(EventLoop eventLoop, final ChannelPromise promise) {
            if (eventLoop == null) {
                throw new NullPointerException("eventLoop");
            }
            if (isRegistered()) {
                promise.setFailure(new IllegalStateException("registered to an event loop
already"));
                return;
            }
            if (!isCompatible(eventLoop)) {
                promise.setFailure(
                        new IllegalStateException("incompatible event loop type: " +
eventLoop.getClass().getName()));
                return;
            }
            // 处理所有I/O事件
            AbstractChannel.this.eventLoop = eventLoop;
            if (eventLoop.inEventLoop()) {
                register0(promise);
            } else {
                try {
                    eventLoop.execute(new Runnable() {
                        @Override
                        public void run() {
                            register0(promise);
                        }
                    });
                } catch (Throwable t) {
                    logger.warn(
                            "Force-closing a channel whose registration task was not
accepted by an event loop: {}",
                            AbstractChannel.this, t);
                    closeForcibly();
                    closeFuture.setClosed();
                    safeSetFailure(promise, t);
                }
            }
        }
```

```
private void register0(ChannelPromise promise) {
    try {
        // check if the channel is still open as it could be closed in the mean time when
the register
        // call was outside of the eventLoop
        if (!promise.setUncancellable() || !ensureOpen(promise)) {
            return;
```

```
        }
        boolean firstRegistration = neverRegistered;
        doRegister();
        neverRegistered = false;
        registered = true;

        // Ensure we call handlerAdded(...) before we actually notify the promise. This is
needed as the
        // user may already fire events through the pipeline in the ChannelFutureListener.
        pipeline.invokeHandlerAddedIfNeeded();

        safeSetSuccess(promise);
        pipeline.fireChannelRegistered();
        // Only fire a channelActive if the channel has never been registered. This prevents
firing
        // multiple channel actives if the channel is deregistered and re-registered.
        if (isActive()) {
            if (firstRegistration) {
                pipeline.fireChannelActive();
            } else if (config().isAutoRead()) {
                // This channel was registered before and autoRead() is set. This means we
need to begin read
                // again so that we process inbound data.
                //
                // See https://github.com/netty/netty/issues/4805
                beginRead();
            }
        }
    } catch (Throwable t) {
        // Close the channel directly to avoid FD leak.
        closeForcibly();
        closeFuture.setClosed();
        safeSetFailure(promise, t);
    }
}
```

io.netty.channel.nio.AbstractNioChannel#doRegister

```
@Override
protected void doRegister() throws Exception {
    boolean selected = false;
    for (;;) {
        try {
            //调用jdk底层的注册方法 this代表 NioServerSocketChannel.class
            //将NioServerSocketChannel作为一个attachment传到jdk底层的channel
            selectionKey = javaChannel().register(eventLoop().selector, 0, this);
            return;
        } catch (CancelledKeyException e) {
            if (!selected) {
                eventLoop().selectNow();
                selected = true;
            } else {
                // We forced a select operation on the selector before but the SelectionKey
```

```
is still cached
                // for whatever reason. JDK bug ?
                throw e;
            }
        }
    }
}
```

java.nio.channels.SelectableChannel#register(java.nio.channels.Selector, int, java.lang.Object)

```
* @param  sel
*         The selector with which this channel is to be registered
* @param  ops
*         The interest set for the resulting key
* @param  att
*         The attachment for the resulting key; may be <tt>null</tt>
 public abstract SelectionKey register(Selector sel, int ops, Object att)
        throws ClosedChannelException;
```

3.2.4 端口绑定

- io.netty.channel.AbstractChannel.AbstractUnsafe#bind [入口]
- io.netty.channel.AbstractChannel#doBind

```
@Override
public final void bind(final SocketAddress localAddress, final ChannelPromise promise) {
    assertEventLoop();

    if (!promise.setUncancellable() || !ensureOpen(promise)) {
        return;
    }
    if (Boolean.TRUE.equals(config().getOption(ChannelOption.SO_BROADCAST)) &&
        localAddress instanceof InetSocketAddress &&
        !((InetSocketAddress) localAddress).getAddress().isAnyLocalAddress() &&
        !PlatformDependent.isWindows() && !PlatformDependent.isRoot()) {
        logger.warn(
                "A non-root user can't receive a broadcast packet if the socket " +
                "is not bound to a wildcard address; binding to a non-wildcard " +
                "address (" + localAddress + ") anyway as requested.");
    }
    //端口绑定之前是false, doBind之后变为true
    boolean wasActive = isActive();
    try {

        doBind(localAddress);

    } catch (Throwable t) {
        safeSetFailure(promise, t);
        closeIfClosed();
        return;
```

```
    }

    //端口绑定之前不是active，绑定之后编程active
    if (!wasActive && isActive()) {
        invokeLater(new Runnable() {
            @Override
            public void run() {
                //传播事件
                pipeline.fireChannelActive();
            }
        });
    }

    safeSetSuccess(promise);
}
```

io.netty.channel.socket.nio.NioServerSocketChannel#doBind

```
@Override
protected void doBind(SocketAddress localAddress) throws Exception {
    if (PlatformDependent.javaVersion() >= 7) {
        //调用java底层api
        javaChannel().bind(localAddress, config.getBacklog());
    } else {
        javaChannel().socket().bind(localAddress, config.getBacklog());
    }
}
```

io.netty.channel.DefaultChannelPipeline.HeadContext#channelActive

```
@Override
public void channelActive(ChannelHandlerContext ctx) throws Exception {
    ctx.fireChannelActive();

    readIfIsAutoRead();
}
```

io.netty.channel.DefaultChannelPipeline.HeadContext#readIfIsAutoRead

```
private void readIfIsAutoRead() {
    if (channel.config().isAutoRead()) {
        channel.read();
    }
}
```

io.netty.channel.AbstractChannel#read

```
@Override
public Channel read() {
    pipeline.read();
    return this;
}
```

io.netty.channel.AbstractChannelHandlerContext#read

```
@Override
public ChannelHandlerContext read() {
    final AbstractChannelHandlerContext next = findContextOutbound();
    EventExecutor executor = next.executor();
    if (executor.inEventLoop()) {
        next.invokeRead();
    } else {
        Runnable task = next.invokeReadTask;
        if (task == null) {
            next.invokeReadTask = task = new Runnable() {
                @Override
                public void run() {
                    next.invokeRead();
                }
            };
        }
        executor.execute(task);
    }

    return this;
}
```

io.netty.channel.DefaultChannelPipeline.HeadContext#read

```
@Override
public void read(ChannelHandlerContext ctx) {
    unsafe.beginRead();
}
```

io.netty.channel.AbstractChannel.AbstractUnsafe#beginRead

```
@Override
public final void beginRead() {
    assertEventLoop();

    if (!isActive()) {
        return;
    }
    try {
        doBeginRead();
    } catch (final Exception e) {
```

```
        invokeLater(new Runnable() {
            @Override
            public void run() {
                pipeline.fireExceptionCaught(e);
            }
        });
        close(voidPromise());
    }
}
```

io.netty.channel.nio.AbstractNioChannel#doBeginRead

```
@Override
protected void doBeginRead() throws Exception {
    // Channel.read() or ChannelHandlerContext.read() was called
    //channel注册到selector上后返回的key，key对应channel
    final SelectionKey selectionKey = this.selectionKey;
    if (!selectionKey.isValid()) {
        return;
    }

    readPending = true;

    //Retrieves this key's interest set
    final int interestOps = selectionKey.interestOps();
    //与运算
    if ((interestOps & readInterestOp) == 0) {
        //将2者进行或运算以后重新注册到selectionKey上  即在之前事件的基础上再增加一个事件
        //readInterestOp 其实是NioServerSocketChannel的构造函数传进来的SelectionKey.OP_ACCEPT
        //是个accept事件
        selectionKey.interestOps(interestOps | readInterestOp);
    }
}
```

小结:

--》端口绑定bind

--》触发active事件

--》服务端channe doBeginRead方法, 向selector注册accept事件，这样netty就可以接收新的连接

tip:

与(&)运算： 同为 1 才为1

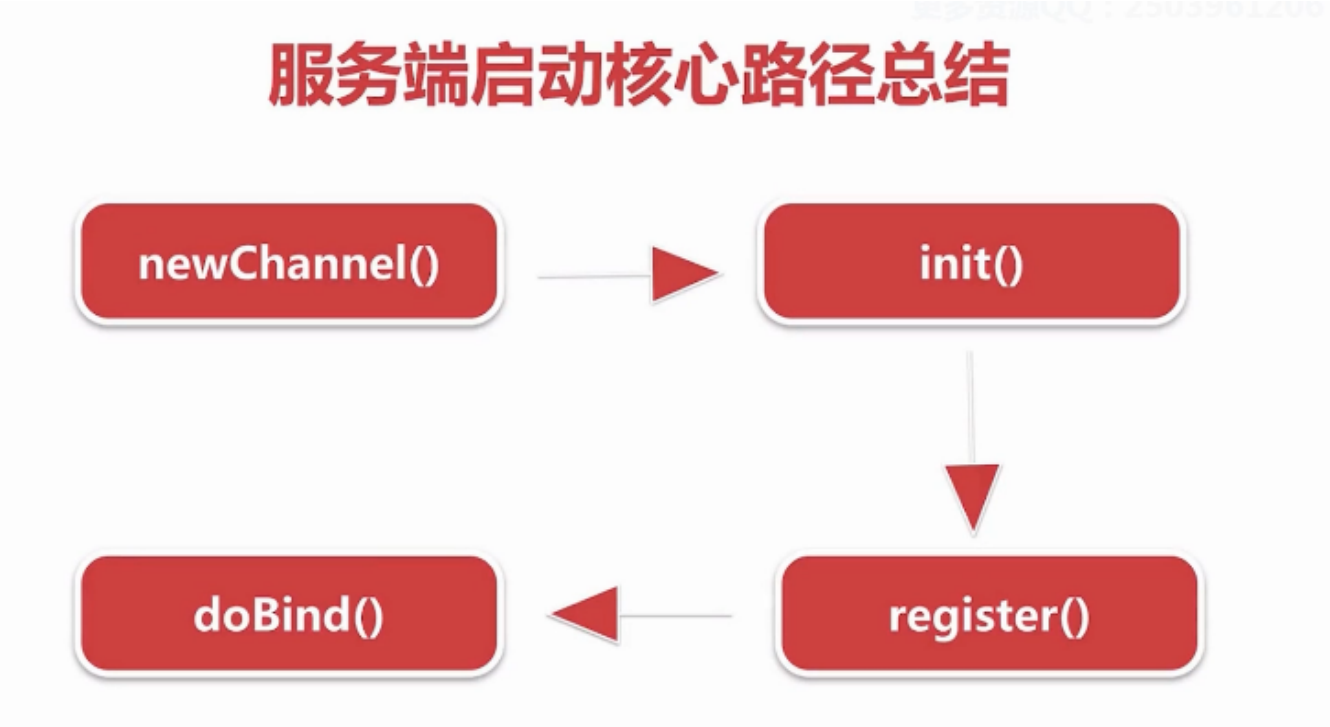
```
5 二进制 101
3 二进制 011
    结果 001
```

或(|)运算：有一个为1，则为1

```
5 二进制 101
3 二进制 011
    结果 111
```

异或(^)运算：不相同则为 1

```
5 二进制 101
3 二进制 011
    结果 110
```



四、NioEventLoop

4.1 思考三个问题

- 默认情况下，Netty服务端起多少线程？何时启动？
    - 2*cpu 调用execute方法时，判断当前线程是EventLoop线程，若是说明线程已启动，若是外部线程，则会调用startThread方法，判断当前线程是否启动，没有则启动当前线程。
- Netty如何解决JDK空轮询bug，避免cpu飙高的？

  超过512次，重新创建selector，并把原selector的所有的key移交到新的select or
- Netty如何保证异步串行无锁化？

  netty通过inEventLoop方法判断是外部线程，将所有操作封装成一个task丢到MpscQueue中，挨个执行。
    - 拿到客户端的一个channel，不需要对这个channel同步就可以进行多线程并发读写。
    - channelHandler中的所有操作都是线程安全的，不需要进行同步

4.2 NioEventLoop创建

- new NioEventLoopGroup(1) [线程组，默认 2*CPU]： 若不传构造参数，默认创建2倍cpu核心数的NioEventLoopGroup

```
protected MultithreadEventLoopGroup(int nThreads, Executor executor, Object... args)
{
    super(nThreads == 0 ? DEFAULT_EVENT_LOOP_THREADS : nThreads, executor, args);
}
```

- new ThreadPerTaskExecutor(newDefaultThreadFactory()) [线程创建器]：负责创建NioEventLoop底层对应的线程
    - 每次执行任务都会创建一个线程 : netty自己封装的FastThreadLocalThread，并非原生的线程。
    - NioEventLoop线程命名规则 nioEventLoop-1-xx
- for(){ new Child() } [构造NioEventLoop]
    - 保存线程执行器 ThreadPerTaskExecutor
    - 创建一个MpscQueue

      io.netty.channel.nio.NioEventLoopGroup#newChild

```
@Override
protected EventLoop newChild(Executor executor, Object... args) throws
Exception {
    return new NioEventLoop(this, executor, (SelectorProvider) args[0],
        ((SelectStrategyFactory) args[1]).newSelectStrategy(),
(RejectedExecutionHandler) args[2]);
}
```

```
protected SingleThreadEventLoop(EventLoopGroup parent, Executor executor,
                        boolean addTaskWakesUp, int maxPendingTasks,
                        RejectedExecutionHandler rejectedExecutionHandler) {
    super(parent, executor, addTaskWakesUp, maxPendingTasks,
rejectedExecutionHandler);
    tailTasks = newTaskQueue(maxPendingTasks);
}
```

io.netty.channel.nio.NioEventLoop#newTaskQueue

```
@Override
protected Queue<Runnable> newTaskQueue(int maxPendingTasks) {
    // This event loop never calls takeTask()
    //Mpsc MUltiply producer (外部线程)  single consumer (NioEventLoop线程)
    return PlatformDependent.newMpscQueue(maxPendingTasks);
}
```

```
/**
 * Create a new {@link Queue} which is safe to use for multiple producers
(different threads) and a single
 * consumer (one thread!).
 */
public static <T> Queue<T> newMpscQueue(final int maxCapacity) {
    return Mpsc.newMpscQueue(maxCapacity);
}
```

- 创建一个selector

```
NioEventLoop(NioEventLoopGroup parent, Executor executor, SelectorProvider
selectorProvider,
             SelectStrategy strategy, RejectedExecutionHandler
rejectedExecutionHandler) {
    super(parent, executor, false, DEFAULT_MAX_PENDING_TASKS,
rejectedExecutionHandler);
    if (selectorProvider == null) {
        throw new NullPointerException("selectorProvider");
    }
    if (strategy == null) {
        throw new NullPointerException("selectStrategy");
    }
    provider = selectorProvider;
    //一个selector和一个NioEventLoop绑定
```

```
        selector = openSelector();
        selectStrategy = strategy;
    }
```

- chooserFactory.newChooser(children) [线程选择器]：为每一个新连接，分配NioEventLoop线程

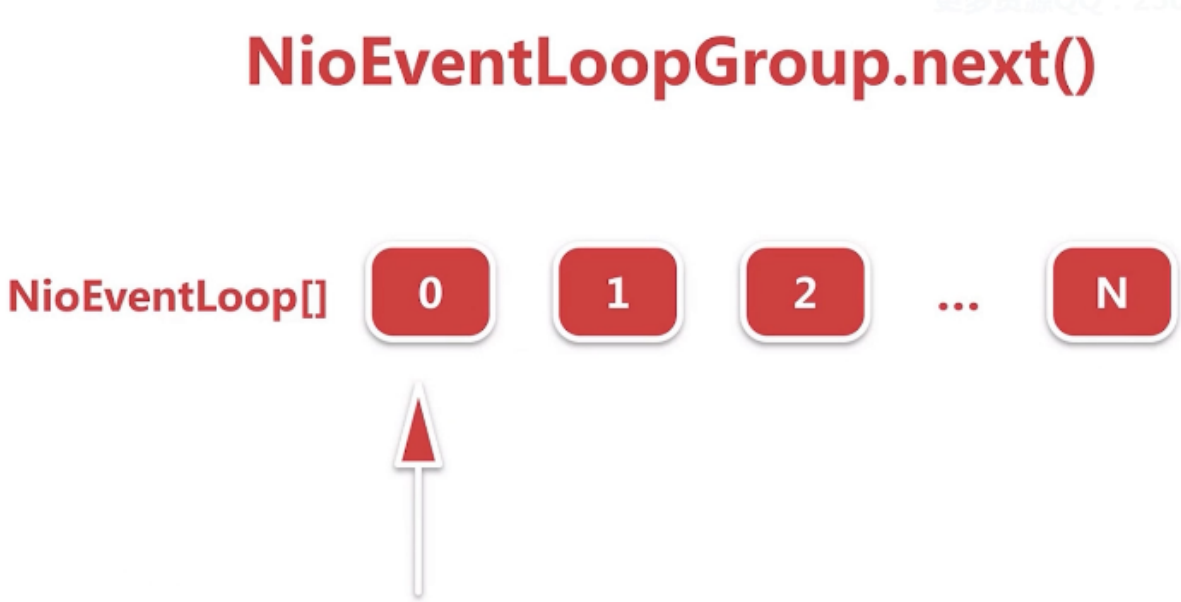
  io.netty.util.concurrent.MultithreadEventExecutorGroup#next

  第1个连接进来的时候选择第1个nioEventLoop进行绑定

  .....

  第n个连接进来的时候选择第n个nioEventLoop进行绑定

  第n+1个连接进来的时候选择第1个nioEventLoop进行绑定,循环进行



netty经过优化：与运算实现循环取数组下标，要比取模运算高效的多,因为在计算机底层，与运算是二进制的运算。

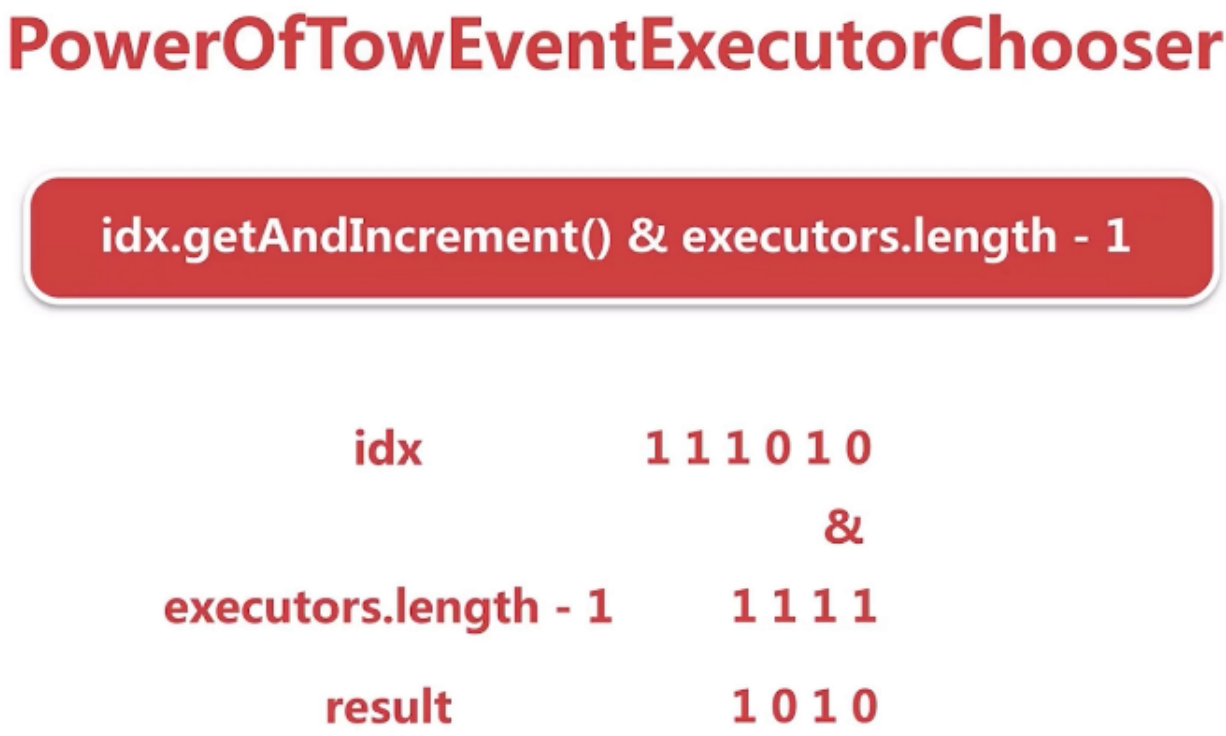

```
public EventExecutorChooser newChooser(EventExecutor[] executors) {
    if (isPowerOfTwo(executors.length)) {
        return new PowerOfTowEventExecutorChooser(executors);
    } else {
        return new GenericEventExecutorChooser(executors);
    }
}
```

io.netty.util.concurrent.DefaultEventExecutorChooserFactory.GenericEventExecutorChooser#next

```
    @Override
    public EventExecutor next() {
        return executors[Math.abs(idx.getAndIncrement() % executors.length)];
    }
```

io.netty.util.concurrent.DefaultEventExecutorChooserFactory.PowerOfTowEventExecutorChooser#next

```
public EventExecutor next() {
    return executors[idx.getAndIncrement() & executors.length - 1];
}
```



4.3 NioEventLoop启动流程

NioEventLoop启动两大触发器：

- 服务端启动绑定端口
    - 服务端将具体绑定端口的操作封装成一个task，调用NioEventLoop的execute方法
    - netty判断调用execute方法的线程是否是Nio线程，若不是，调用startThread()方法尝试创建线程。
    - 通过线程执行器 ThreadPerTaskExecutor创建nio线程 - FastThreadLocalThread
    - NioEventLoop对象会将创建的线程保存，目的是为了：判断后续对NioEventLoop相关的执行线程是否是本身，若不是，就封装成一个task，扔到一个taskQueue中串行执行，保证线程安全
    - 调用驱动NioEventLoop运转的核心方法：run()


```
io.netty.bootstrap.AbstractBootstrap#doBind0
private static void doBind0(
    ......
    channel.eventLoop().execute(new Runnable() {
        @Override
        public void run() {
            if (regFuture.isSuccess()) {
                channel.bind(localAddress,
promise).addListener(ChannelFutureListener.CLOSE_ON_FAILURE);
            } else {
                promise.setFailure(regFuture.cause());
            }
        }
    });
```

```
io.netty.util.concurrent.SingleThreadEventExecutor#execute
@Override
public void execute(Runnable task) {
    if (task == null) {
        throw new NullPointerException("task");
    }
    boolean inEventLoop = inEventLoop();
    if (inEventLoop) {
        addTask(task);
    } else {
        startThread();
        addTask(task);
        if (isShutdown() && removeTask(task)) {
            reject();
        }
    }
```

```
    if (!addTaskWakesUp && wakesUpForTask(task)) {
        wakeup(inEventLoop);
    }
}
```

```
io.netty.util.concurrent.AbstractEventExecutor#inEventLoop
判断线程是否是EventLoop线程
@Override
public boolean inEventLoop() {
    return inEventLoop(Thread.currentThread());
}
```

```
io.netty.util.concurrent.SingleThreadEventExecutor#inEventLoop
io.netty.util.concurrent.SingleThreadEventExecutor#thread

private volatile Thread thread;
@Override
public boolean inEventLoop(Thread thread) {
    return thread == this.thread;
}
```

```
private void startThread() {
    if (STATE_UPDATER.get(this) == ST_NOT_STARTED) {
        if (STATE_UPDATER.compareAndSet(this, ST_NOT_STARTED, ST_STARTED)) {
            doStartThread();
        }
    }
}
```

```
private void doStartThread() {
    assert thread == null;
    executor.execute(new Runnable() {
        @Override
        public void run() {
            thread = Thread.currentThread();
            if (interrupted) {
                thread.interrupt();
            }

            boolean success = false;
            updateLastExecutionTime();
            try {
                SingleThreadEventExecutor.this.run();
                success = true;
            } catch (Throwable t) {
                logger.warn("Unexpected exception from an event executor: ", t);
            } finally {
                for (;;) {
                    int oldState =
STATE_UPDATER.get(SingleThreadEventExecutor.this);
                    if (oldState >= ST_SHUTTING_DOWN || STATE_UPDATER.compareAndSet(
```

```
                            SingleThreadEventExecutor.this, oldState,
ST_SHUTTING_DOWN)) {
                        break;
                    }
                }

                // Check if confirmShutdown() was called at the end of the loop.
                if (success && gracefulShutdownStartTime == 0) {
                    logger.error("Buggy " + EventExecutor.class.getSimpleName() + "
implementation; " +
                            SingleThreadEventExecutor.class.getSimpleName() +
".confirmShutdown() must be called " +
                            "before run() implementation terminates.");
                }

                try {
                    // Run all remaining tasks and shutdown hooks.
                    for (;;) {
                        if (confirmShutdown()) {
                            break;
                        }
                    }
                } finally {
                    try {
                        cleanup();
                    } finally {
                        STATE_UPDATER.set(SingleThreadEventExecutor.this,
ST_TERMINATED);
                        threadLock.release();
                        if (!taskQueue.isEmpty()) {
                            logger.warn(
                                    "An event executor terminated with " +
                                            "non-empty task queue (" +
taskQueue.size() + ')');
                        }

                        terminationFuture.setSuccess(null);
                    }
                }
            }
        }
    });
}
```

4.4 NioEventLoop执行逻辑 （底层干了哪些事情，如何保证高效运转）

NioEventLoop 执行逻辑：

for循环体做三件事情：

---》调用select方法轮询注册到selector上的连接的i/o事件

---》调用processSelectedKeys()处理轮询出来的i/o事件

---》调用runAllTasks()方法处理外部线程扔到taskQueue中的任务

```
@Override
protected void run() {
    for (;;) {
        try {
            switch (selectStrategy.calculateStrategy(selectNowSupplier, hasTasks()))
{
                case SelectStrategy.CONTINUE:
                    continue;
                case SelectStrategy.SELECT:
                    select(wakenUp.getAndSet(false));
                    if (wakenUp.get()) {
                        selector.wakeup();
                    }
                default:
                    // fallthrough
            }

            cancelledKeys = 0;
            needsToSelectAgain = false;
            //默认50，处理i/o事件和运行任务时间是1 ： 1
            final int ioRatio = this.ioRatio;
            if (ioRatio == 100) {
                try {
                    processSelectedKeys();
                } finally {
```

```
                    // Ensure we always run tasks.
                    runAllTasks();
                }
            } else {
                final long ioStartTime = System.nanoTime();
                try {
                    processSelectedKeys();
                } finally {
                    // Ensure we always run tasks.
                    final long ioTime = System.nanoTime() - ioStartTime;
                    runAllTasks(ioTime * (100 - ioRatio) / ioRatio);
                }
            }
        } catch (Throwable t) {
            handleLoopException(t);
        }
        // Always handle shutdown even if the loop processing threw an exception.
        try {
            if (isShuttingDown()) {
                closeAll();
                if (confirmShutdown()) {
                    return;
                }
            }
        } catch (Throwable t) {
            handleLoopException(t);
        }
    }
}
```

- **检测i/o事件，select方法执行逻辑**
    - deadline及任务穿插逻辑处理
        - select操作进行deadline处理，判断如果当前有任务在taskQueue里面就终止本次select操作
    - 阻塞式select
        - 如果没有到截止时间并且taskQueue没有任务，就进行阻塞式select操作
    - 避免jdk空轮询bug
        - 阻塞式select操作结束之后，判断这次select操作是否真的阻塞这么长时间，如果没有阻塞这么长时间，则表示可能触发了jdk nio空轮询的bug，接下来netty判断触发空轮询次数是否达到一定的阈值（512），如果达到阈值，就通过替换原来select操作的方式，巧妙的避开了空轮询的bug

io.netty.channel.nio.NioEventLoop#select

```
private void select(boolean oldWakenUp) throws IOException {
    Selector selector = this.selector;
    try {
        int selectCnt = 0;
        long currentTimeNanos = System.nanoTime();
        long selectDeadLineNanos = currentTimeNanos +
delayNanos(currentTimeNanos);
```

```
        for (;;) {
            long timeoutMillis = (selectDeadLineNanos - currentTimeNanos +
500000L) / 1000000L;
            if (timeoutMillis <= 0) {
                if (selectCnt == 0) {
                    selector.selectNow();
                    selectCnt = 1;
                }
                break;
            }
            if (hasTasks() && wakenUp.compareAndSet(false, true)) {
                selector.selectNow();
                selectCnt = 1;
                break;
            }
            int selectedKeys = selector.select(timeoutMillis);
            selectCnt ++;

            if (selectedKeys != 0 || oldWakenUp || wakenUp.get() ||
hasTasks() || hasScheduledTasks()) {
                break;
            }
            if (Thread.interrupted()) {
                if (logger.isDebugEnabled()) {
                    logger.debug("Selector.select() returned prematurely
because " +
                            "Thread.currentThread().interrupt() was called.
Use " +
                            "NioEventLoop.shutdownGracefully() to shutdown
the NioEventLoop.");
                }
                selectCnt = 1;
                break;
            }

            long time = System.nanoTime();
            if (time - TimeUnit.MILLISECONDS.toNanos(timeoutMillis) >=
currentTimeNanos) {
                // timeoutMillis elapsed without anything selected.
                selectCnt = 1;
            } else if (SELECTOR_AUTO_REBUILD_THRESHOLD > 0 &&
                    selectCnt >= SELECTOR_AUTO_REBUILD_THRESHOLD) {
                logger.warn(
                        "Selector.select() returned prematurely {} times in a
row; rebuilding Selector {}.",
                        selectCnt, selector);

                rebuildSelector();
                selector = this.selector;
                // Select again to populate selectedKeys.
                selector.selectNow();
                selectCnt = 1;
                break;
```

```
            }
            currentTimeNanos = time;
        }

        if (selectCnt > MIN_PREMATURE_SELECTOR_RETURNS) {
            if (logger.isDebugEnabled()) {
                logger.debug("Selector.select() returned prematurely {} times
in a row for Selector {}.",
                        selectCnt - 1, selector);
            }
        }
    } catch (CancelledKeyException e) {
        if (logger.isDebugEnabled()) {
            logger.debug(CancelledKeyException.class.getSimpleName() + "
raised by a Selector {} - JDK bug?",
                    selector, e);
        }
    }
}
```

io.netty.channel.nio.NioEventLoop#rebuildSelector

```
public void rebuildSelector() {
    if (!inEventLoop()) {
        execute(new Runnable() {
            @Override
            public void run() {
                rebuildSelector();
            }
        });
        return;
    }

    final Selector oldSelector = selector;
    final Selector newSelector;

    if (oldSelector == null) {
        return;
    }

    try {
        newSelector = openSelector();
    } catch (Exception e) {
        logger.warn("Failed to create a new Selector.", e);
        return;
    }

    // Register all channels to the new Selector.
    int nChannels = 0;
    for (;;) {
        try {
            for (SelectionKey key: oldSelector.keys()) {
                Object a = key.attachment();
```

```
                try {
                    if (!key.isValid() || key.channel().keyFor(newSelector)
!= null) {
                        continue;
                    }

                    int interestOps = key.interestOps();
                    key.cancel();
                    SelectionKey newKey = key.channel().register(newSelector,
interestOps, a);
                    if (a instanceof AbstractNioChannel) {
                        // Update SelectionKey
                        ((AbstractNioChannel) a).selectionKey = newKey;
                    }
                    nChannels ++;
                } catch (Exception e) {
                    logger.warn("Failed to re-register a Channel to the new
Selector.", e);
                    if (a instanceof AbstractNioChannel) {
                        AbstractNioChannel ch = (AbstractNioChannel) a;
                        ch.unsafe().close(ch.unsafe().voidPromise());
                    } else {
                        @SuppressWarnings("unchecked")
                        NioTask<SelectableChannel> task =
(NioTask<SelectableChannel>) a;
                        invokeChannelUnregistered(task, key, e);
                    }
                }
            }
        } catch (ConcurrentModificationException e) {
            // Probably due to concurrent modification of the key set.
            continue;
        }

        break;
    }

    selector = newSelector;

    try {
        // time to close the old selector as everything else is registered to
the new one
        oldSelector.close();
    } catch (Throwable t) {
        if (logger.isWarnEnabled()) {
            logger.warn("Failed to close the old Selector.", t);
        }
    }

    logger.info("Migrated " + nChannels + " channel(s) to the new
Selector.");
}
```

io.netty.channel.nio.NioEventLoop#openSelector

```
private Selector openSelector() {
    final Selector selector;
    try {
        //调用jdkApi创建selector
        selector = provider.openSelector();
    } catch (IOException e) {
        throw new ChannelException("failed to open a new selector", e);
    }
    //如果不需要优化，直接返回原生selector
    if (DISABLE_KEYSET_OPTIMIZATION) {
        return selector;
    }
    //SelectedSelectionKeySet 底层是用数组 + keySize的方式实现的
    final SelectedSelectionKeySet selectedKeySet = new
SelectedSelectionKeySet();

    Object maybeSelectorImplClass = AccessController.doPrivileged(new
PrivilegedAction<Object>() {
        @Override
        public Object run() {
            try {
                return Class.forName(
                        "sun.nio.ch.SelectorImpl",
                        false,
                        PlatformDependent.getSystemClassLoader());
            } catch (ClassNotFoundException e) {
                return e;
            } catch (SecurityException e) {
                return e;
            }
        }
    });

    if (!(maybeSelectorImplClass instanceof Class) ||
            // ensure the current selector implementation is what we can
instrument.
            !((Class<?>)
maybeSelectorImplClass).isAssignableFrom(selector.getClass())) {
        if (maybeSelectorImplClass instanceof Exception) {
            Exception e = (Exception) maybeSelectorImplClass;
            logger.trace("failed to instrument a special java.util.Set into:
{}", selector, e);
        }
        return selector;
    }
    final Class<?> selectorImplClass = (Class<?>) maybeSelectorImplClass;
    Object maybeException = AccessController.doPrivileged(new
PrivilegedAction<Object>() {
        @Override
        public Object run() {
            try {
```

```
                Field selectedKeysField =
selectorImplClass.getDeclaredField("selectedKeys");
                Field publicSelectedKeysField =
selectorImplClass.getDeclaredField("publicSelectedKeys");

                selectedKeysField.setAccessible(true);
                publicSelectedKeysField.setAccessible(true);

                selectedKeysField.set(selector, selectedKeySet);
                publicSelectedKeysField.set(selector, selectedKeySet);
                return null;
            } catch (NoSuchFieldException e) {
                return e;
            } catch (IllegalAccessException e) {
                return e;
            } catch (RuntimeException e) {
                // JDK 9 can throw an inaccessible object exception here;
since Netty compiles
                // against JDK 7 and this exception was only added in JDK 9,
we have to weakly
                // check the type
                if
("java.lang.reflect.InaccessibleObjectException".equals(e.getClass().getName(
))) {
                    return e;
                } else {
                    throw e;
                }
            }
        }
    });
    if (maybeException instanceof Exception) {
        selectedKeys = null;
        Exception e = (Exception) maybeException;
        logger.trace("failed to instrument a special java.util.Set into: {}",
selector, e);
    } else {
        selectedKeys = selectedKeySet;
        logger.trace("instrumented a special java.util.Set into: {}",
selector);
    }
    return selector;
}
```

java.lang.Class#isAssignableFrom 判断是否是一个类的实现

- **处理i/o事件，processSelectedKeys执行逻辑**

  select操作每次都会把已经就绪状态的i/o事件，放到底层一个HashSet的数据结构中。

  netty默认情况下，会通过反射将select底层的HashSet转换成数组的方式进行优化，

  在处理每一个Keyset的时候，都会拿到对应的一个attachment，这个attachment就是

向selector注册i/o事件的时候绑定的经过netty封装之后的channel。

- selected keySet优化
  - 用数组替换select HashSet的实现，做到add方法时间复杂度为o(1)
- processSelectedKeysOptimized()
  - 真正处理I/O事件

```
private void processSelectedKeysOptimized(SelectionKey[] selectedKeys) {
    for (int i = 0;; i ++) {
        final SelectionKey k = selectedKeys[i];
        if (k == null) {
            break;
        }
        // null out entry in the array to allow to have it GC'ed once the
Channel close
        // See https://github.com/netty/netty/issues/2363
        selectedKeys[i] = null;

        final Object a = k.attachment();

        if (a instanceof AbstractNioChannel) {
            processSelectedKey(k, (AbstractNioChannel) a);
        } else {
            @SuppressWarnings("unchecked")
            NioTask<SelectableChannel> task = (NioTask<SelectableChannel>) a;
            processSelectedKey(k, task);
        }

        if (needsToSelectAgain) {
            // null out entries in the array to allow to have it GC'ed once
the Channel close
            // See https://github.com/netty/netty/issues/2363
            for (;;) {
                i++;
                if (selectedKeys[i] == null) {
                    break;
                }
                selectedKeys[i] = null;
            }

            selectAgain();
            // Need to flip the optimized selectedKeys to get the right
reference to the array
            // and reset the index to -1 which will then set to 0 on the for
loop
            // to start over again.
            //
            // See https://github.com/netty/netty/issues/1523
            selectedKeys = this.selectedKeys.flip();
            i = -1;
        }
```

```
        }
    }
```

io.netty.channel.nio.NioEventLoop#processSelectedKey(java.nio.channels.SelectionKey, io.netty.channel.nio.AbstractNioChannel)

```
private void processSelectedKey(SelectionKey k, AbstractNioChannel ch) {
    final AbstractNioChannel.NioUnsafe unsafe = ch.unsafe();
    if (!k.isValid()) {
        final EventLoop eventLoop;
        try {
            eventLoop = ch.eventLoop();
        } catch (Throwable ignored) {
            // If the channel implementation throws an exception because there is no
event loop, we ignore this
            // because we are only trying to determine if ch is registered to this
event loop and thus has authority
            // to close ch.
            return;
        }
        // Only close ch if ch is still registerd to this EventLoop. ch could have
deregistered from the event loop
        // and thus the SelectionKey could be cancelled as part of the
deregistration process, but the channel is
        // still healthy and should not be closed.
        // See https://github.com/netty/netty/issues/5125
        if (eventLoop != this || eventLoop == null) {
            return;
        }
        // close the channel if the key is not valid anymore
        unsafe.close(unsafe.voidPromise());
        return;
    }

    try {
        //读取事件
        int readyOps = k.readyOps();
        // We first need to call finishConnect() before try to trigger a read(...)
or write(...) as otherwise
        // the NIO JDK channel implementation may throw a NotYetConnectedException.
        if ((readyOps & SelectionKey.OP_CONNECT) != 0) {
            // remove OP_CONNECT as otherwise Selector.select(..) will always return
without blocking
            // See https://github.com/netty/netty/issues/924
            int ops = k.interestOps();
            ops &= ~SelectionKey.OP_CONNECT;
            k.interestOps(ops);

            unsafe.finishConnect();
        }
        // Process OP_WRITE first as we may be able to write some queued buffers and
so free memory.
        if ((readyOps & SelectionKey.OP_WRITE) != 0) {
```

```
            // Call forceFlush which will also take care of clear the OP_WRITE once
there is nothing left to write
            ch.unsafe().forceFlush();
        }
        // Also check for readOps of 0 to workaround possible JDK bug which may
otherwise lead
        // to a spin loop
        if ((readyOps & (SelectionKey.OP_READ | SelectionKey.OP_ACCEPT)) != 0 ||
readyOps == 0) {
            unsafe.read();
            if (!ch.isOpen()) {
                // Connection already closed - no need to handle write.
                return;
            }
        }
    } catch (CancelledKeyException ignored) {
        unsafe.close(unsafe.voidPromise());
    }
}
```

- **runAllTask()执行逻辑**

  任务分为两种，普通任务和定时任务，netty执行这些任务的时候，首先会将定时任务聚合到普通任务队列中，再挨个执行这些任务，并且每执行64个任务之后，计算当前执行时间是否超过最大允许执行时间，如果超过，就直接中断，中断之后就执行下一次nioEventLoop的循环

  - task的分类和添加
  - 普通任务队列 MpscQueue
    - 定时任务队列

  io.netty.util.concurrent.AbstractScheduledEventExecutor#schedule(java.lang.Runnable, long, java.util.concurrent.TimeUnit)

```
public  ScheduledFuture<?> schedule(Runnable command, long delay, TimeUnit
unit) {
        ObjectUtil.checkNotNull(command, "command");
        ObjectUtil.checkNotNull(unit, "unit");
        if (delay < 0) {
            throw new IllegalArgumentException(
                    String.format("delay: %d (expected: >= 0)", delay));
        }
        return schedule(new ScheduledFutureTask<Void>(
                this, command, null,
ScheduledFutureTask.deadlineNanos(unit.toNanos(delay))));
    }

......
    <V> ScheduledFuture<V> schedule(final ScheduledFutureTask<V> task) {
        if (inEventLoop()) {
            scheduledTaskQueue().add(task);
        } else {
            //scheduledTaskQueue 是一个普通的PriorityQueue，非线程安全的
            //为了保证线程安全，将添加定时任务的操作也当作一个普通的task，来保证所有的定
```

时任务的操作都是在nioEventLoop中实现的

```
            execute(new Runnable() {
                @Override
                public void run() {
                    scheduledTaskQueue().add(task);
                }
            });
        }
        return task;
    }
```

- 任务的聚合

  io.netty.util.concurrent.SingleThreadEventExecutor#fetchFromScheduledTaskQueue

  定时任务队列排队机制

  io.netty.util.concurrent.ScheduledFutureTask#compareTo

```
public int compareTo(Delayed o) {
    if (this == o) {
        return 0;
    }

    ScheduledFutureTask<?> that = (ScheduledFutureTask<?>) o;
    long d = deadlineNanos() - that.deadlineNanos();
    if (d < 0) {
        return -1;
    } else if (d > 0) {
        return 1;
    } else if (id < that.id) {
        return -1;
    } else if (id == that.id) {
        throw new Error();
    } else {
        return 1;
    }
}
```

- reactor线程任务的执行

  io.netty.util.concurrent.SingleThreadEventExecutor#runAllTasks(long)

```
protected boolean runAllTasks(long timeoutNanos) {
    fetchFromScheduledTaskQueue();
    Runnable task = pollTask();
    if (task == null) {
        afterRunningAllTasks();
        return false;
    }

    final long deadline = ScheduledFutureTask.nanoTime() +
```

```
timeoutNanos;
    long runTasks = 0;
    long lastExecutionTime;
    for (;;) {
        safeExecute(task);

        runTasks ++;

        // Check timeout every 64 tasks because nanoTime() is
relatively expensive. 耗时的
        // XXX: Hard-coded value - will make it configurable if it is
really a problem.
        if ((runTasks & 0x3F) == 0) {
            lastExecutionTime = ScheduledFutureTask.nanoTime();
            if (lastExecutionTime >= deadline) {
                break;
            }
        }

        task = pollTask();
        if (task == null) {
            lastExecutionTime = ScheduledFutureTask.nanoTime();
            break;
        }
    }

    afterRunningAllTasks();
    this.lastExecutionTime = lastExecutionTime;
    return true;
}
```

- 新连接接入通过chooser绑定一个NioEventLoop

五. netty-新连接接入

5.1 netty 新连接接入概述及思考问题

1）netty是在哪里检测有新连接接入的

2）新连接是怎样注册到NioEventLoop线程的

NIO模型的多路复用，多个连接复用一个线程，对netty而言，就是NioEventLoop

5.2.1 检测新连接

新连接通过服务端channel绑定的selector轮询出accpet事件（即I/O事件）

5.2.2 创建NioSocketChannel

基于JDK nio的channel创建一个netty的nioSocketChannel，也就是客户端channel

5.2.3 分配线程及注册selector

netty给客户端channel分配NioEventLoop并把这条channel注册到NioEventLoop对应的selector上，至此这条channel后续相关的读写都由此NioEventLoop管理

5.2.4 向selector注册读事件

注册的过程和服务端启动注册的accept事件复用同一段逻辑

断点调试：启动服务端 ---》telnet 127.0.0.1 8888方式创建新的连接

小结：

在服务端channel的NioEventLoop run（）的 第二个过程：

--》NioEventLoop#processSelectedKey(SelectionKey, AbstractNioChannel) 检测出accept事件之后，

--》通过jdk的accept方法创建jdk的channel，

--》并包装成netty自定义的channel，

--》List readBuf 临时存放channnel，

--》此过程中通过Handle对象控制连接接入的速率，默认情况下一次性读取16个连接

io.netty.channel.nio.AbstractNioMessageChannel.NioMessageUnsafe#read

```
public void read() {
        assert eventLoop().inEventLoop();
        final ChannelConfig config = config();
        final ChannelPipeline pipeline = pipeline();
        final RecvByteBufAllocator.Handle allocHandle = unsafe().recvBufAllocHandle();
        allocHandle.reset(config);
        boolean closed = false;
        Throwable exception = null;
        try {
            try {
                do {
                    int localRead = doReadMessages(readBuf);
                    if (localRead == 0) {
                        break;
                    }
                    if (localRead < 0) {
```

```
                        closed = true;
                        break;
                    }

                    allocHandle.incMessagesRead(localRead);
                } while (allocHandle.continueReading());
            } catch (Throwable t) {
                exception = t;
            }

            int size = readBuf.size();
            for (int i = 0; i < size; i ++) {
                readPending = false;
                pipeline.fireChannelRead(readBuf.get(i));
            }
            readBuf.clear();
            allocHandle.readComplete();
            pipeline.fireChannelReadComplete();

            if (exception != null) {
                closed = closeOnReadError(exception);

                pipeline.fireExceptionCaught(exception);
            }

            if (closed) {
                inputShutdown = true;
                if (isOpen()) {
                    close(voidPromise());
                }
            }
        } finally {
            // See https://github.com/netty/netty/issues/2254
            if (!readPending && !config.isAutoRead()) {
                removeReadOp();
            }
        }
    }
}
```

客户端channel创建完成之后，将服务端channel和客户端channel作为参数传递到NioSocketChannel的构造函数中，接下来进行一系列的创建过程。

```
// this 代表创建客户端channel的服务端nioServerSocketChannel（通过反射创建）  ch 代表jdk accept创建的channel
//new NioSocketChannel 构造出来的netty的客户端channel
new NioSocketChannel(this, ch);
...
public NioSocketChannel(Channel parent, SocketChannel socket) {
        super(parent, socket);
        config = new NioSocketChannelConfig(this, socket.socket());
    }
```

NioSocketChannel的构造函数是入口。做两件事情：

- 逐层调用父类的构造函数
    - 配置此channel为非阻塞，将感兴趣的读事件OP_READ,保存到成员变量方便后续注册到selector上

```
protected AbstractNioChannel(Channel parent, SelectableChannel ch, int readInterestOp) {
    super(parent);
    this.ch = ch;
    this.readInterestOp = readInterestOp;
    try {
        ch.configureBlocking(false);
    } catch (IOException e) {
        try {
            ch.close();
```

```
        } catch (IOException e2) {
            if (logger.isWarnEnabled()) {
                logger.warn(
                        "Failed to close a partially initialized socket.",
e2);
            }
        }
        throw new ChannelException("Failed to enter non-blocking mode.", e);
    }
}
```

- 创建和此channel相关的一些组件 id 作为channel的唯一标识 unsafe作为底层数据读写 pipeline作为业务逻辑的载体。

```
protected AbstractChannel(Channel parent) {
    this.parent = parent;
    id = newId();
    unsafe = newUnsafe();
    pipeline = newChannelPipeline();
}
```

- 创建一个和NioSocketChannel绑定的配置类
  - 设置此channel tcpNoDeply为 true，禁止negle算法（使小的数据包集合成大的数据包再发送出去），保证小的数据包尽可能发出去，降低延时

```
public DefaultSocketChannelConfig(SocketChannel channel, Socket javaSocket) {
    super(channel);
    if (javaSocket == null) {
        throw new NullPointerException("javaSocket");
    }
    this.javaSocket = javaSocket;

    // Enable TCP_NODELAY by default if possible.
    if (PlatformDependent.canEnableTcpNoDelayByDefault()) {
        try {
            setTcpNoDelay(true);
        } catch (Exception e) {
            // Ignore.
        }
    }
}
```

思考：与创建服务端channel不同的是，服务端channel是利用反射创建，而这里直接使用new 关键词，netty为什么这么设计?

5.3 Netty中channel的分类

- NioServerSocketChannel
  - Netty服务端channel的创建：用户代码传进来一个class类，netty拿到这个类通过反射方式创建。
- NioSocketChannel

    - 新连接接入过程中，拿到jdk底层创建的channel之后，通过显式的new关键字创建客户端channel
- unsafe
    - 用于实现每一种channel底层具体的协议

5.3.1 netty中channel的层级关系

io.netty.channel.Channel：

```
A nexus to a network socket or a component which is capable of I/O
* operations such as read, write, connect, and bind.
```

io.netty.channel.AbstractChannel

```
A skeletal {@link Channel} implementation.
...
    private final Channel parent;
    private final ChannelId id;
    private final Unsafe unsafe;
    private final DefaultChannelPipeline pipeline;
...
    private volatile EventLoop eventLoop;
```

io.netty.channel.nio.AbstractNioChannel

使用select轮询的的方式进行读写事件的监听

抽象出来，只关心I/O事件

```
 Abstract base class for {@link Channel} implementations which use a Selector based
approach.
     ...
    private final SelectableChannel ch;
    protected final int readInterestOp;
    volatile SelectionKey selectionKey;

    ...
    protected AbstractNioChannel(Channel parent, SelectableChannel ch, int readInterestOp) {
        super(parent);
        this.ch = ch;
        this.readInterestOp = readInterestOp;
        try {
            ch.configureBlocking(false);
        } catch (IOException e) {
         ...
```

io.netty.channel.nio.AbstractNioByteChannel

io.netty.channel.nio.AbstractNioByteChannel#AbstractNioByteChannel

```
protected AbstractNioByteChannel(Channel parent, SelectableChannel ch) {
    super(parent, ch, SelectionKey.OP_READ);
}
```

客户端channel： 创建的时候调用父类AbstractNioChannel 的构造函数，传递 read事件（I/O事件） 读取数据

```
{@link AbstractNioChannel} base class for {@link Channel}s that operate on bytes.
...
public final void read() {
        final ChannelConfig config = config();
        final ChannelPipeline pipeline = pipeline();
        final ByteBufAllocator allocator = config.getAllocator();
        final RecvByteBufAllocator.Handle allocHandle = recvBufAllocHandle();
        allocHandle.reset(config);
        ByteBuf byteBuf = null;
        boolean close = false;
        try {
            do {
                byteBuf = allocHandle.allocate(allocator);
                //读取字节数据
                allocHandle.lastBytesRead(doReadBytes(byteBuf));
                ...
            } while (allocHandle.continueReading());
                ...
}
```

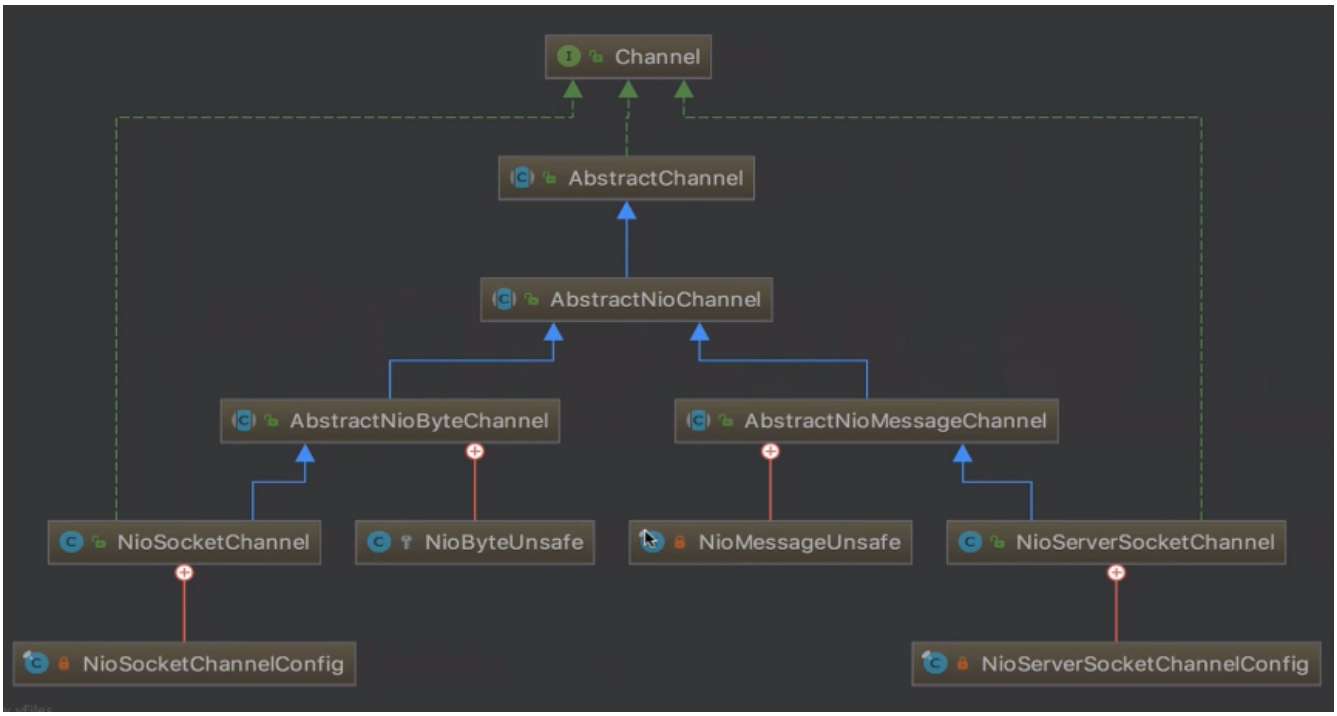
io.netty.channel.nio.AbstractNioMessageChannel

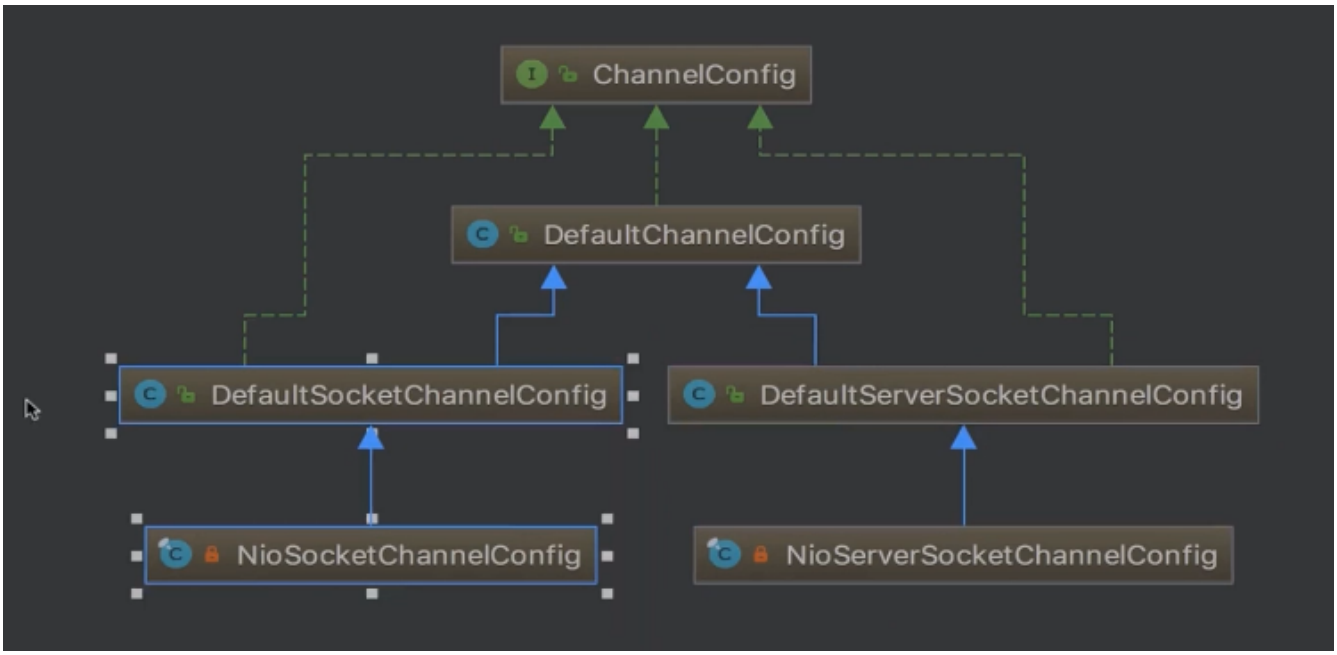
服务端channel：创建的时候调用父类AbstractNioChannel 的构造函数，传递accept事件（I/O事件），监听连接

```
* {@link AbstractNioChannel} base class for {@link Channel}s that operate on messages.

    public void read() {
            assert eventLoop().inEventLoop();
            final ChannelConfig config = config();
            final ChannelPipeline pipeline = pipeline();
            final RecvByteBufAllocator.Handle allocHandle = unsafe().recvBufAllocHandle();
            allocHandle.reset(config);
            boolean closed = false;
            Throwable exception = null;
            try {
                try {
                    do {
                        ...
                        int localRead = doReadMessages(readBuf);
                        ...
                        allocHandle.incMessagesRead(localRead);
                    } while (allocHandle.continueReading());
                } catch (Throwable t) {
                    exception = t;
                }
```

```
}
```





5.4 新连接 NioEventLoop分配和Selector注册

io.netty.channel.nio.AbstractNioMessageChannel.NioMessageUnsafe#read

--》io.netty.channel.nio.AbstractNioMessageChannel#doReadMessages 创建客户端channel

```
@Override
protected int doReadMessages(List<Object> buf) throws Exception {
    SocketChannel ch = javaChannel().accept();
    try {
        if (ch != null) {
            buf.add(new NioSocketChannel(this, ch));
            return 1;
        }
    } catch (Throwable t) {
     ...
    }
    return 0;
}
```

- --》io.netty.channel.ChannelPipeline#fireChannelRead for循环遍历每一条客户端连接，调用服务端channel的PipeLine的fireChannelRead 方法

```
...
for (int i = 0; i < size; i ++) {
    readPending = false;
    pipeline.fireChannelRead(readBuf.get(i));
}
...
```

- --》回顾netty服务端启动：io.netty.bootstrap.ServerBootstrap#init
- --》 服务端channel PipeLine的构成

服务端channel PipeLine传播channelRead事件会从head开始--ServerBootstrapAcceptor--最后到Tail

即 `pipeline.fireChannelRead(readBuf.get(i))` 会将每一条客户端连接通过fireChannelRead逐层传到ServerBootstrapAcceptor，即调用io.netty.bootstrap.ServerBootstrap.ServerBootstrapAcceptor#channelRead

- --》ServerBootstrapAcceptor#channelRead主要做以下几件事情
    - 添加childHandler
    - 设置options和attrs
    - 选择NioEventLoop并注册selector

```
public void channelRead(ChannelHandlerContext ctx, Object msg) {
    final Channel child = (Channel) msg;
    //这里的childHandler是以一个特殊的handler，即服务端启动时传进来的ChannelInitializer
    child.pipeline().addLast(childHandler);
    //childOptions 底层jdk读写相关的参数
    for (Entry<ChannelOption<?>, Object> e: childOptions) {
        try {
            if (!child.config().setOption((ChannelOption<Object>) e.getKey(), e.getValue()))
{
                logger.warn("Unknown channel option: " + e);
            }
        } catch (Throwable t) {
            logger.warn("Failed to set a channel option: " + child, t);
        }
    }
    //childAttrs 在客户端channel上绑定一些自定义的属性 如密钥 channel存活时间等
    for (Entry<AttributeKey<?>, Object> e: childAttrs) {
        child.attr((AttributeKey<Object>) e.getKey()).set(e.getValue());
    }

    try {
        // childGroup 是一个workGroup 注册的时候选择一个NioEventLoop进行注册
        childGroup.register(child).addListener(new ChannelFutureListener() {
            @Override
            public void operationComplete(ChannelFuture future) throws Exception {
                if (!future.isSuccess()) {
                    forceClose(child, future.cause());
                }
            }
        });
    } catch (Throwable t) {
        forceClose(child, t);
    }
}
```

io.netty.channel.ChannelInitializer#handlerAdded --》

io.netty.channel.ChannelInitializer#initChannel(io.netty.channel.ChannelHandlerContext)

```
private boolean initChannel(ChannelHandlerContext ctx) throws Exception {
    if (initMap.putIfAbsent(ctx, Boolean.TRUE) == null) { // Guard against re-entrance.
        try {
            //这里会回调到用户代码里的方法
ChannelInitializer#initChannel(io.netty.channel.socket.SocketChannel)
            initChannel((C) ctx.channel());
        } catch (Throwable cause) {
            // Explicitly call exceptionCaught(...) as we removed the handler before calling
initChannel(...).
            // We do so to prevent multiple calls to initChannel(...).
            exceptionCaught(ctx, cause);
        } finally {
```

```
            //调用remove将自身删除
            remove(ctx);
        }
        return true;
    }
    return false;
}
//这就是netty为新连接添加channelHandler的逻辑
```

io.netty.channel.EventLoopGroup#register(io.netty.channel.Channel)

```
io.netty.channel.MultithreadEventLoopGroup#register(io.netty.channel.Channel)
@Override
public ChannelFuture register(Channel channel) {
    return next().register(channel);
}
```

next()返回一个NioEventLoop

```
@Override
public EventLoop next() {
    return (EventLoop) super.next();
}
```

io.netty.util.concurrent.MultithreadEventExecutorGroup#next

```
@Override
public EventExecutor next() {
    return chooser.next();
}
```

客户端channel选择nioEventLoop并注册selector的过程

```
io.netty.channel.SingleThreadEventLoop#register(io.netty.channel.ChannelPromise)
@Override
public ChannelFuture register(final ChannelPromise promise) {
    ObjectUtil.checkNotNull(promise, "promise");
    promise.channel().unsafe().register(this, promise);
    return promise;
}
```

```
io.netty.channel.nio.AbstractNioChannel#doRegister
protected void doRegister() throws Exception {
    boolean selected = false;
    for (;;) {
        try {
            selectionKey = javaChannel().register(eventLoop().selector, 0, this);
            return;
```

```
        } catch (CancelledKeyException e) {
            if (!selected) {
                eventLoop().selectNow();
                selected = true;
            } else {
                throw e;
            }
        }
    }
}
```

小结：服务端channel在检测到新连接并且创建完客户端channel之后，会调用一个连接器做一些处理，

包括给客户端channel填充逻辑处理器channelHanler，配置options和attrs，选定一个NioEventLoop进行绑定，并把channel注册到NioEventLoop的selector上，这时不关心任何事件。

5.5 NioSocketChannel读事件的注册

io.netty.channel.AbstractChannel.AbstractUnsafe#register0

通过debug方式了解相应代码

io.netty.channel.DefaultChannelPipeline.HeadContext#channelActive

```
@Override
public void channelActive(ChannelHandlerContext ctx) throws Exception {
    //传播channelActive 事件
    ctx.fireChannelActive();

    readIfIsAutoRead();
}
```

```
private void readIfIsAutoRead() {
    if (channel.config().isAutoRead()) {//默认只要绑定端口就会接收连接，只要当前连接绑定到selector上就会自动读，即向selector上注册读事件
        channel.read();
    }
}
```

io.netty.channel.AbstractChannel#read

```
public Channel read() {
    pipeline.read();
    return this;
}
```

io.netty.channel.DefaultChannelPipeline#read

```
public final ChannelPipeline read() {
    tail.read();
    return this;
}
```

io.netty.channel.AbstractChannelHandlerContext#invokeRead

```
private void invokeRead() {
    if (invokeHandler()) {
        try {
            ((ChannelOutboundHandler) handler()).read(this);
        } catch (Throwable t) {
            notifyHandlerException(t);
        }
    } else {
        read();
    }
}
```

io.netty.channel.DefaultChannelPipeline.HeadContext#read

```
public void read(ChannelHandlerContext ctx) {
    unsafe.beginRead();
}
```

io.netty.channel.AbstractChannel.AbstractUnsafe#beginRead

```
public final void beginRead() {
    assertEventLoop();
    ...
    try {
        doBeginRead();
    } catch (final Exception e) {
    ...
    }
}
```

io.netty.channel.nio.AbstractNioChannel#doBeginRead

```
protected void doBeginRead() throws Exception {
    // Channel.read() or ChannelHandlerContext.read() was called
    final SelectionKey selectionKey = this.selectionKey;
    if (!selectionKey.isValid()) {
        return;
    }

    readPending = true;

    final int interestOps = selectionKey.interestOps();
    //readInterestOp 即创建nioSocketChannel时传进来的OP_READ
```

```
    if ((interestOps & readInterestOp) == 0) {
        selectionKey.interestOps(interestOps | readInterestOp);
    }
}
```

六. netty-PipeLine

PipeLine是netty的大动脉，主要负责读写事件的传播

6.1 思考问题

- netty是如何判断ChannelHandler类型的
- 对于ChannelHandler的添加应遵循什么样的顺序
- 用户手动触发事件传播，不同的触发方式有什么样的区别?

6.2 学习内容

- pipeLine的初始化
- 添加和删除ChannelHandler
- 事件和异常的传播

6.3 pipeLine的初始化