#### Deep Learning (CS324)

#### 9. Generative adversarial networks\*

Prof. Jianguo Zhang SUSTech

#### Generative tasks

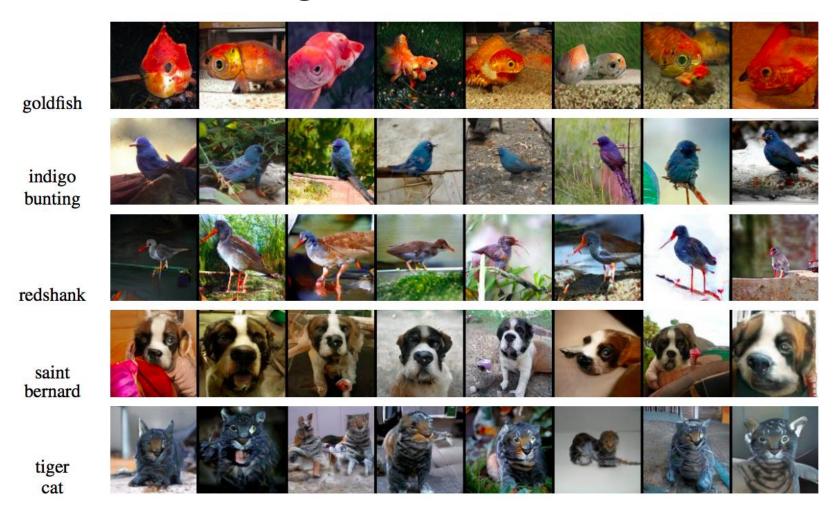
 Generation (from scratch): learn to sample from the distribution represented by the training set

Unsupervised learning task



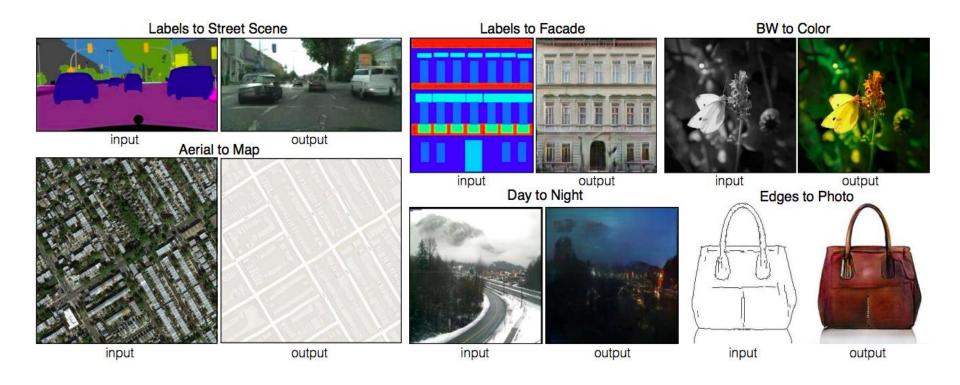
#### Generative tasks

Conditional generation



#### Generative tasks

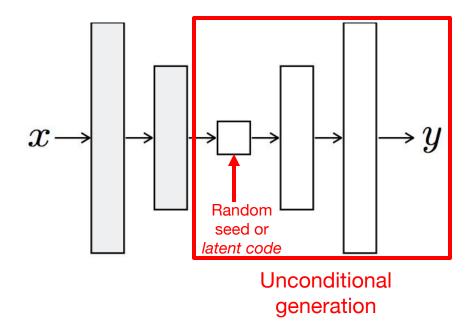
Image-to-image translation



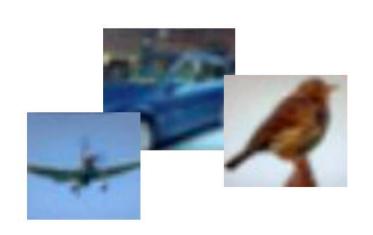
P. Isola, J.-Y. Zhu, T. Zhou, A. Efros, <u>Image-to-Image Translation with Conditional Adversarial Networks</u>, CVPR 2017

#### Designing a network for generative tasks

- We saw that VAEs can learn to generate data by training both an encoder and a decoder (generator)
- Can we learn just the generator?
  - Recall the decoder of VAE



# Learning to sample





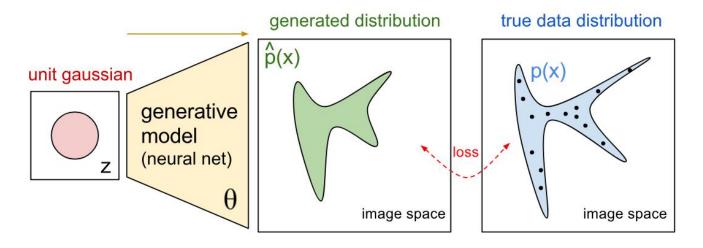
Training data  $x \sim p_{data}$ 

Generated samples  $x \sim p_{\text{model}}$ 

We want to learn  $p_{\text{model}}$  that matches  $p_{\text{data}}$ 

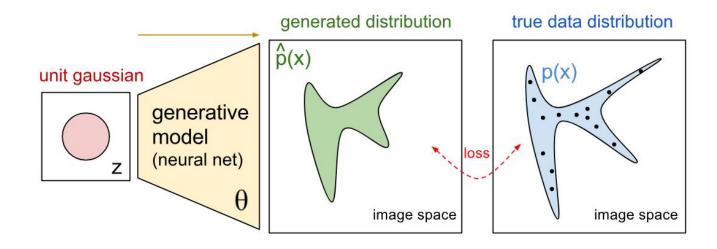
### From VAEs to GANs

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- Can we learn just the generator?

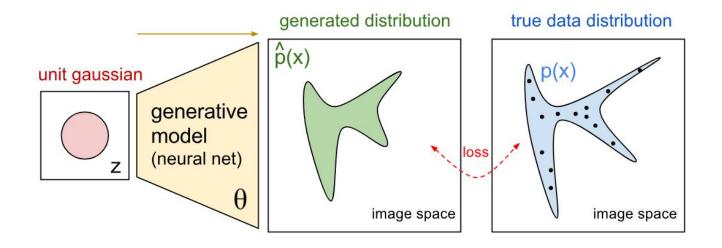


### From VAEs to GANs

- But, how do we measure the quality of the generator without an encoder?
- In other words, what's the loss function?



- GANs propose to learn the loss function
- The training process is a game between two networks



- Train two networks with opposing objectives:
  - Generator: learns to generate samples
  - **Discriminator:** learns to distinguish between generated and real s

    Random noise z

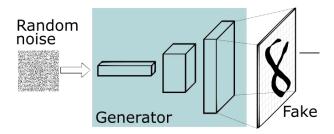
    "Fake"



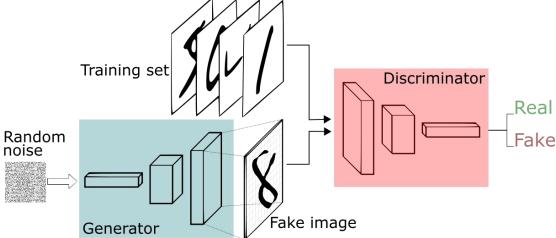
Figure adapted from F. Fleuret

I. Goodfellow, J. Pouget-Abadie, M. Mirza, B. Xu, D. Warde-Farley, S. Ozair, A. Courville, Y. Bengio, Generative adversarial nets, NIPS

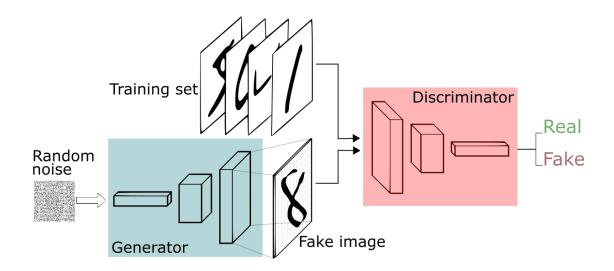
 Generator: G(z) takes random noise z as input and outputs a (fake) image



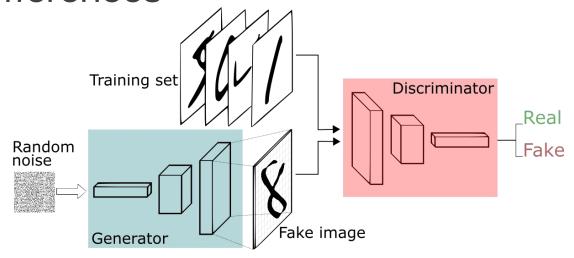
- Generator: G(z) takes random noise z as input and outputs a (fake) image
- Discriminator: D(x) receives an image x in input, real or fake, and estimates its probability to be real



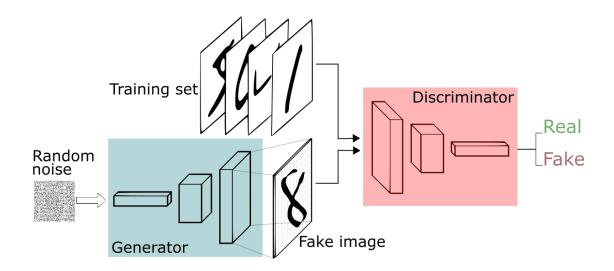
 Adversarial training: the generator tries to fool the discriminator while the discriminator tries to get better at distinguishing fake vs real images



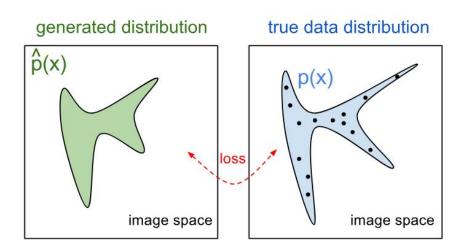
 When the discriminator spots a fake the generator adjusts its parameters, until at the end the generator reproduces the true data distribution and the discriminator is unable to find differences



- Note: both the generator and the discriminator need to be differentiable
- Typically both implemented as (deep) neural networks, so we can use backpropagation

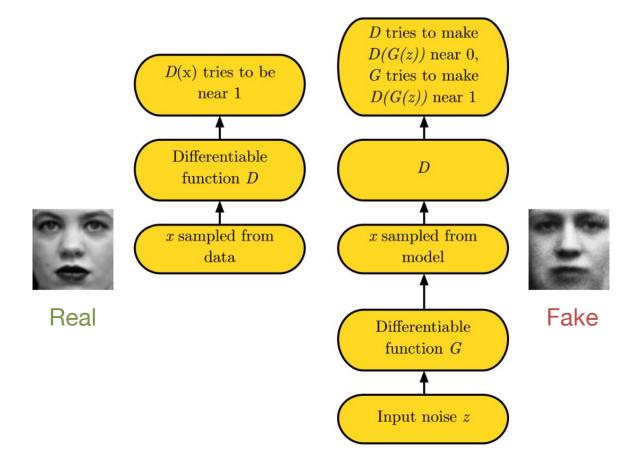


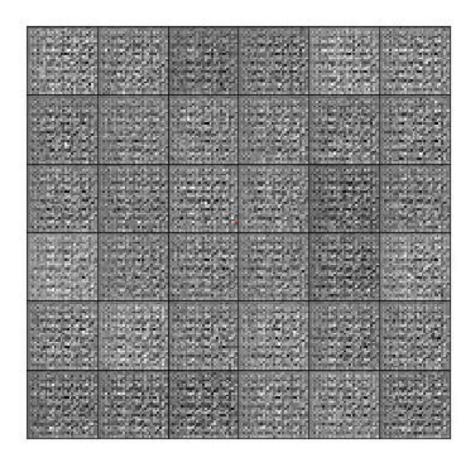
 That's like saying that the discriminator at the end in unable to tell the difference between the generated data distribution and the true data distribution (where training data comes from)



Source: https://medium.freecogecamp.org/an-intuitive-introduction-to-generative-adversarial-networks-gans-7a2264a81694

## GANs pipeline





7	3	4	6	1	8
l	0	9	8	0	3
1	2	7	0	2	9
6	0	1	6	7	1
٩	7	6	5	5	8
8	3	4	4	8	ァ

9	9	7	9	3	1
7	9	7	5	3	1
1	6	6	3	7	ន
5	0	2	4	7	1
Q	3	8	8	8	3
3	3	4	3	/	1

Real Fake

G and D follow a minimax strategy

$$\min_{G} \max_{D} V(D,G) = \mathbb{E}_{\boldsymbol{x} \sim p_{\text{data}}(\boldsymbol{x})}[\log D(\boldsymbol{x})] + \mathbb{E}_{\boldsymbol{z} \sim p_{\boldsymbol{z}}(\boldsymbol{z})}[\log (1 - D(G(\boldsymbol{z})))]$$

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Prior distribution on input noise variables

G and D follow a minimax strategy

$$\min_{G} \max_{D} V(D,G) = \mathbb{E}_{\boldsymbol{x} \sim p_{\text{data}}(\boldsymbol{x})}[\log D(\boldsymbol{x})] + \mathbb{E}_{\boldsymbol{z} \sim p_{\boldsymbol{z}}(\boldsymbol{z})}[\log(1 - D(\boldsymbol{G}(\boldsymbol{z})))]$$

The generator is a differentiable function (e.g., MLP) mapping noise to data space

G and D follow a minimax strategy

$$\min_{G} \max_{D} V(D,G) = \mathbb{E}_{\boldsymbol{x} \sim p_{\text{data}}(\boldsymbol{x})}[\log D(\boldsymbol{x})] + \mathbb{E}_{\boldsymbol{z} \sim p_{\boldsymbol{z}}(\boldsymbol{z})}[\log (1 - D(G(\boldsymbol{z})))]$$

The discriminator is a differentiable function (e.g., MLP) with single scalar output, i.e., the probability that *x* comes from the true data distribution

G and D follow a minimax strategy

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D(x) = 1 when the discriminator thinks x is a real image

G and D follow a minimax strategy

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D(G(z)) = 1 when the discriminator thinks G(z) is a real image

G and D follow a minimax strategy

$$\min_{G} \max_{D} V(D,G) = \mathbb{E}_{\boldsymbol{x} \sim p_{\text{data}}(\boldsymbol{x})}[\log D(\boldsymbol{x})] + \mathbb{E}_{\boldsymbol{z} \sim p_{\boldsymbol{z}}(\boldsymbol{z})}[\log (1 - D(G(\boldsymbol{z})))]$$

D is trained to maximise the probability of giving the correct label to samples from the true data distribution (the label should be 1) as well as to samples from G (the label should be 0)

G and D follow a minimax strategy

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Large when D(x) close to 1

G and D follow a minimax strategy

$$\min_{G} \max_{D} V(D,G) = \mathbb{E}_{\boldsymbol{x} \sim p_{\text{data}}(\boldsymbol{x})}[\log D(\boldsymbol{x})] + \mathbb{E}_{\boldsymbol{z} \sim p_{\boldsymbol{z}}(\boldsymbol{z})}[\log (1 - D(G(\boldsymbol{z})))]$$

Large when D(G(z)) close to 0

G and D follow a minimax strategy

$$\min_{G} \max_{D} V(D,G) = \mathbb{E}_{\boldsymbol{x} \sim p_{\text{data}}(\boldsymbol{x})}[\log D(\boldsymbol{x})] + \mathbb{E}_{\boldsymbol{z} \sim p_{\boldsymbol{z}}(\boldsymbol{z})}[\log (1 - D(G(\boldsymbol{z})))]$$

Simultaneously G is trained to minimise log(1 - D(G(z))), i.e., fool the discriminator

G and D follow a minimax strategy

$$\min_{G} \max_{D} V(D,G) = \mathbb{E}_{\boldsymbol{x} \sim p_{\text{data}}(\boldsymbol{x})}[\log D(\boldsymbol{x})] + \mathbb{E}_{\boldsymbol{z} \sim p_{\boldsymbol{z}}(\boldsymbol{z})}[\log (1 - D(G(\boldsymbol{z})))]$$

- For a fixed G, the loss is effectively the binary cross-entropy
- The minimax strategy is used for zero-sum games, i.e., loss of one player = gain of the adversary
- The minimax solution is the Nash equilibrium
   Nash equilibrium is a state in which D and G don't have any incentive to change because doing so would make the value of the objective function worse

G and D follow a minimax strategy

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- For a fixed G, the loss is effectively the binary cross-entropy
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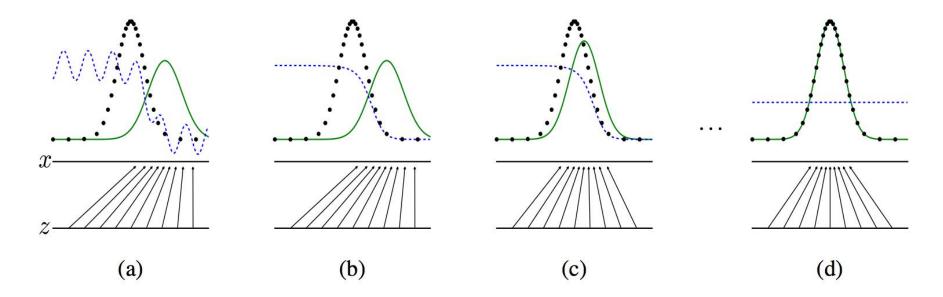


Figure 1: Generative adversarial nets are trained by simultaneously updating the discriminative distribution (D, blue, dashed line) so that it discriminates between samples from the data generating distribution (black, dotted line)  $p_x$  from those of the generative distribution  $p_g$  (G) (green, solid line). The lower horizontal line is the domain from which z is sampled, in this case uniformly. The horizontal line above is part of the domain of x. The upward arrows show how the mapping x = G(z) imposes the non-uniform distribution  $p_g$  on transformed samples. G contracts in regions of high density and expands in regions of low density of  $p_g$ . (a) Consider an adversarial pair near convergence:  $p_g$  is similar to  $p_{\text{data}}$  and  $p_{\text{data}}$  is a partially accurate classifier. (b) In the inner loop of the algorithm  $p_{\text{data}}$  is trained to discriminate samples from data, converging to  $p_{\text{data}}(x) = \frac{p_{\text{data}}(x)}{p_{\text{data}}(x) + p_g(x)}$ . (c) After an update to  $p_{\text{data}}$  and  $p_{\text{data}}$  have enough capacity, they will reach a point at which both cannot improve because  $p_g = p_{\text{data}}$ . The discriminator is unable to differentiate between the two distributions, i.e.  $p_{\text{data}}(x) = \frac{1}{2}$ .

## **GAN** training

$$\bullet V(G, D) = \mathbb{E}_{x \sim p_{\text{data}}} \log D(x) + \mathbb{E}_{z \sim p} \log(1 - D(G(z)))$$

- Alternate between
  - Gradient ascent on discriminator:

$$D^* = \operatorname{arg\,max}_D V(G, D)$$

 Gradient descent on generator (minimize log-probability of discriminator being right):

$$G^* = \arg\min_G V(G, D)$$

$$= \arg\min_G \mathbb{E}_{z \sim p} \log(1 - D(G(z)))$$

 In practice, do gradient ascent on generator (maximize log-probability of discriminator being wrong):

$$G^* = \operatorname{arg\,max}_G \mathbb{E}_{z \sim p} \log(D(G(z)))$$

## Training GANs

**Algorithm 1** Minibatch stochastic gradient descent training of generative adversarial nets. The number of steps to apply to the discriminator, k, is a hyperparameter. We used k=1, the least expensive option, in our experiments.

for number of training iterations do

#### for k steps do

- Sample minibatch of m noise samples  $\{z^{(1)}, \ldots, z^{(m)}\}$  from noise prior  $p_g(z)$ .
- Sample minibatch of m examples  $\{x^{(1)}, \dots, x^{(m)}\}$  from data generating distribution  $p_{\text{data}}(x)$ .
- Update the discriminator by ascending its stochastic gradient:

$$\nabla_{\theta_d} \frac{1}{m} \sum_{i=1}^m \left[ \log D\left(\boldsymbol{x}^{(i)}\right) + \log\left(1 - D\left(G\left(\boldsymbol{z}^{(i)}\right)\right)\right) \right].$$

#### end for

- Sample minibatch of m noise samples  $\{z^{(1)}, \ldots, z^{(m)}\}$  from noise prior  $p_g(z)$ .
- Update the generator by descending its stochastic gradient:

$$\nabla_{\theta_g} \frac{1}{m} \sum_{i=1}^{m} \log \left( 1 - D\left(G\left(\boldsymbol{z}^{(i)}\right)\right) \right).$$

#### end for

The gradient-based updates can use any standard gradient-based learning rule. We used momentum in our experiments.

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 In practice this saturates in the beginn since D rejects the samples with high confidence because they are clearly different from the training data

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#### end for

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# Training GANs

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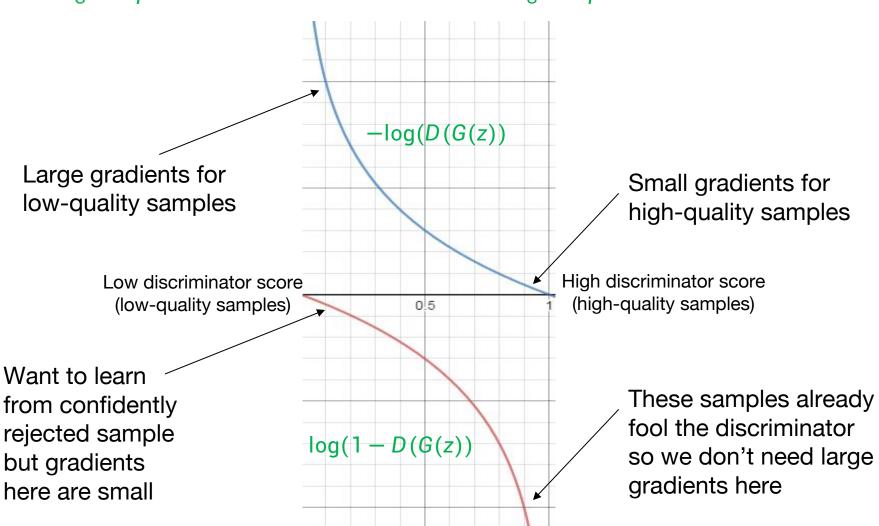
So we use this loss function for the generator instead, i.e., the generator maximises the log-prob of the discriminator being mistaken

#### end for

The gradient-based updates can use any standard gradient-based learning rule. We used momentum in our experiments.

#### **GAN** training

 $\min_{w_G} \mathbb{E}_{z \sim p} \log(1 - D(G(z)))$  vs.  $\max_{w_G} \mathbb{E}_{z \sim p} \log(D(G(z)))$ 



### Example generator: DCGAN

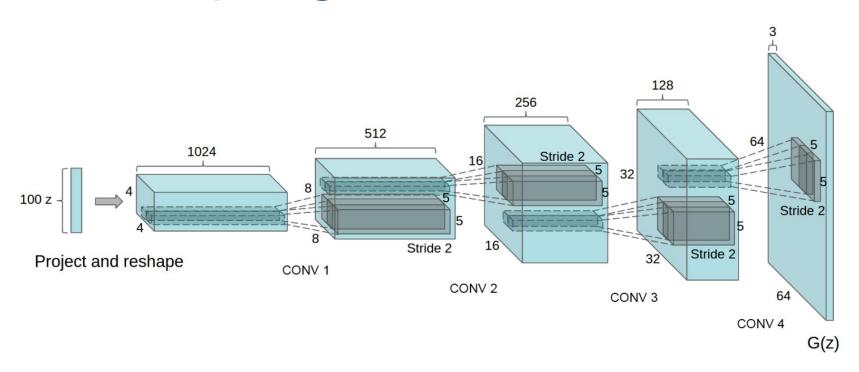
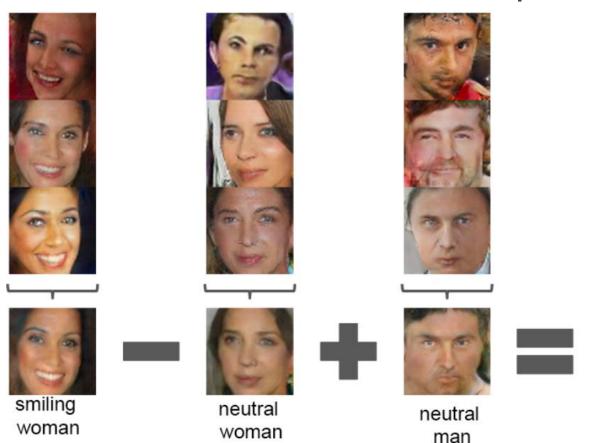


Figure 1: DCGAN generator used for LSUN scene modeling. A 100 dimensional uniform distribution Z is projected to a small spatial extent convolutional representation with many feature maps. A series of four fractionally-strided convolutions (in some recent papers, these are wrongly called deconvolutions) then convert this high level representation into a  $64 \times 64$  pixel image. Notably, no fully connected or pooling layers are used.

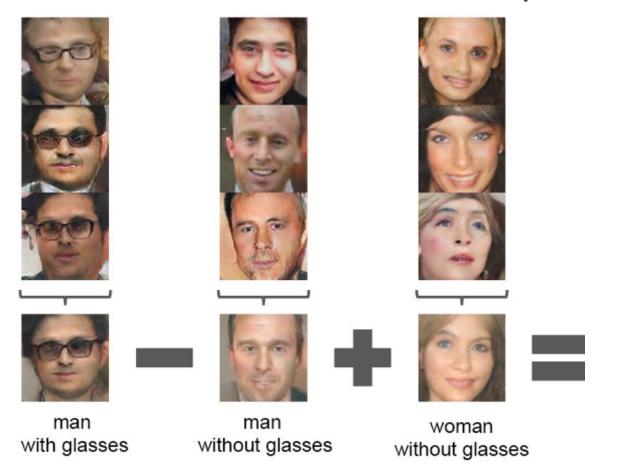
#### DCGAN results

Vector arithmetic in the z space



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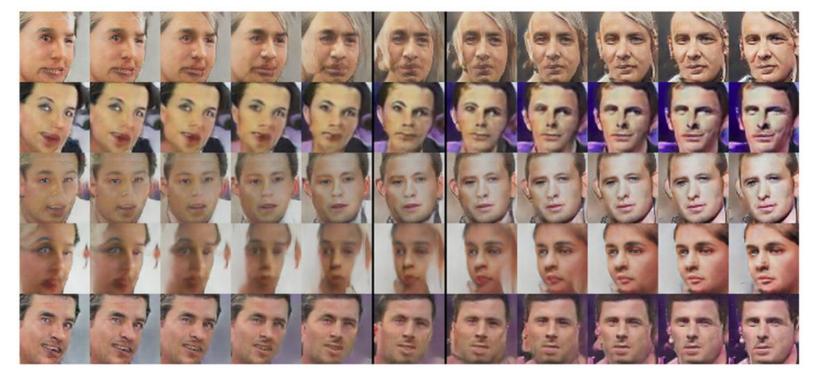
Vector arithmetic in the z space



 DCGAN results
 Pose transformation by adding a "turn" vector







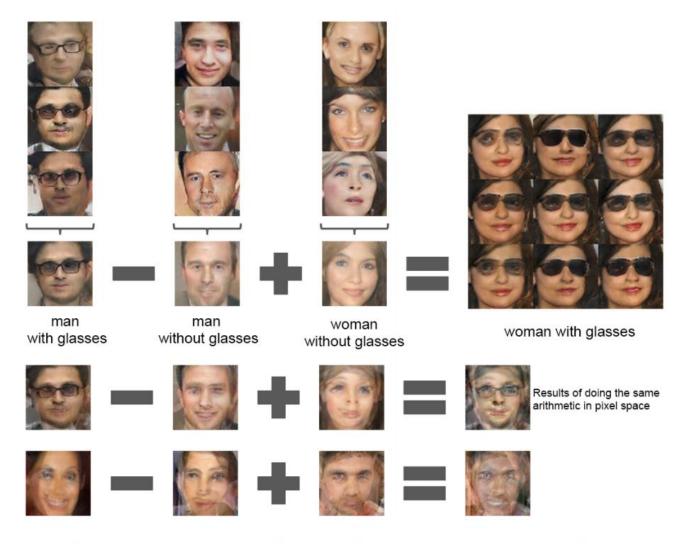


Figure 7: Vector arithmetic for visual concepts. For each column, the Z vectors of samples are averaged. Arithmetic was then performed on the mean vectors creating a new vector Y. The center sample on the right hand side is produce by feeding Y as input to the generator. To demonstrate the interpolation capabilities of the generator, uniform noise sampled with scale +-0.25 was added to Y to produce the 8 other samples. Applying arithmetic in the input space (bottom two examples) results in noisy overlap due to misalignment.

#### Problems with GANs

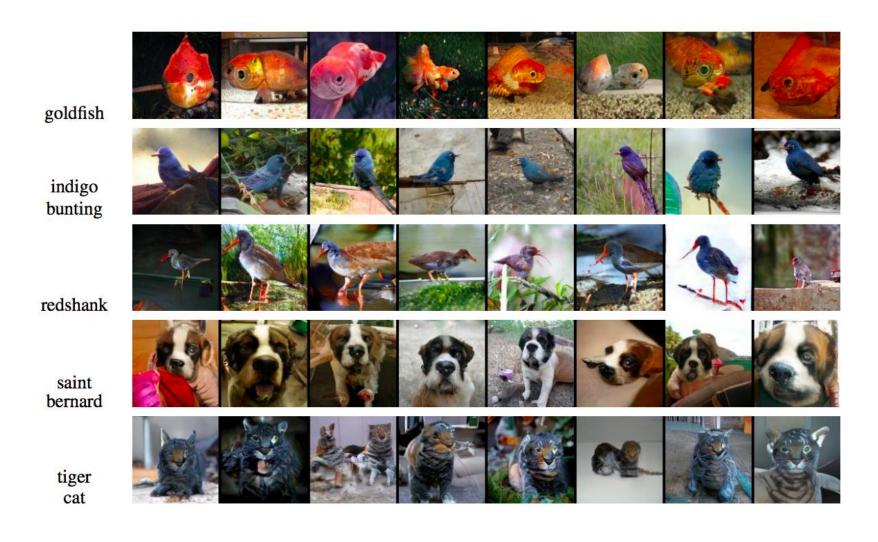
- In the real world we face multiple problems when training GANs:
  - Finite sample size: training set is finite, not the full distribution
  - Limited capacity: the generator has limit capacity, i.e. cannot perfectly represent any distribution
  - Optimisation errors: optimisers can get stuck in local optima or never exactly converge to global optima

#### Problems with GANs

- In the real world we face multiple problems when training GANs:
  - Saddle point problem: harder than finding a maximum or minimum
  - Balancing updates: D too weak/strong means no gradient for G to improve

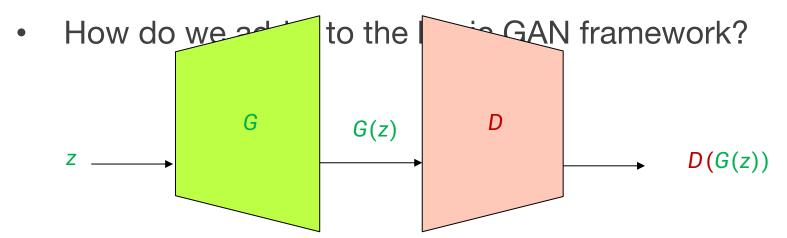
M. Arjovsky, S. Chintala, L. Bottou, Wasserstein generative adversarial networks

# Conditional generation (cGAN)

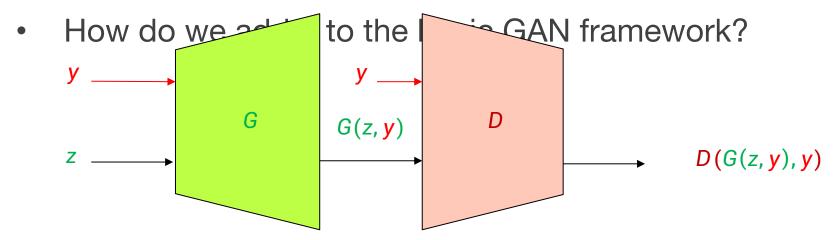


# Conditional generation (cGAN)

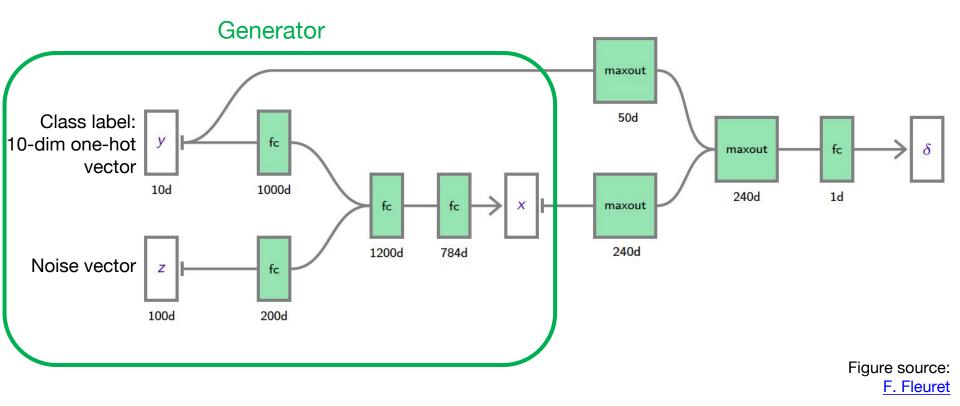
 Suppose we want to condition the generation of samples on discrete side information (label) y



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# Conditional generation Example: simple network for generating 28 x 28 MNIST digits



M. Mirza and S. Osindero, Conditional Generative Adversarial Nets, arXiv 2014

Conditional generation Example: simple network for generating

 Example: simple network for generating 28 x 28 MNIST digits

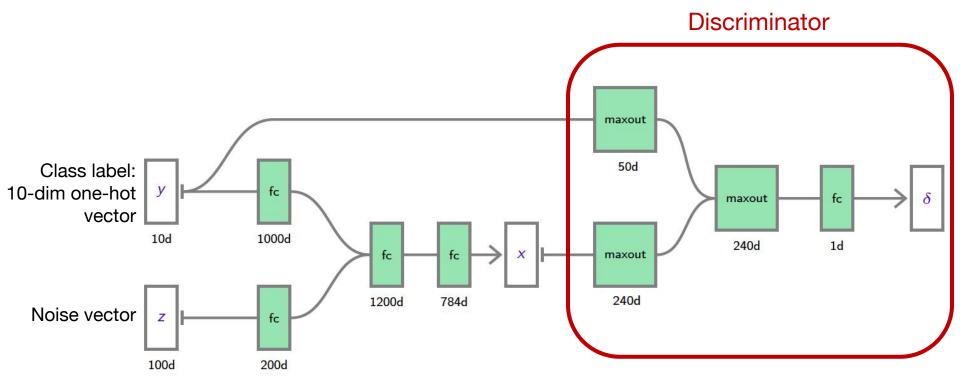
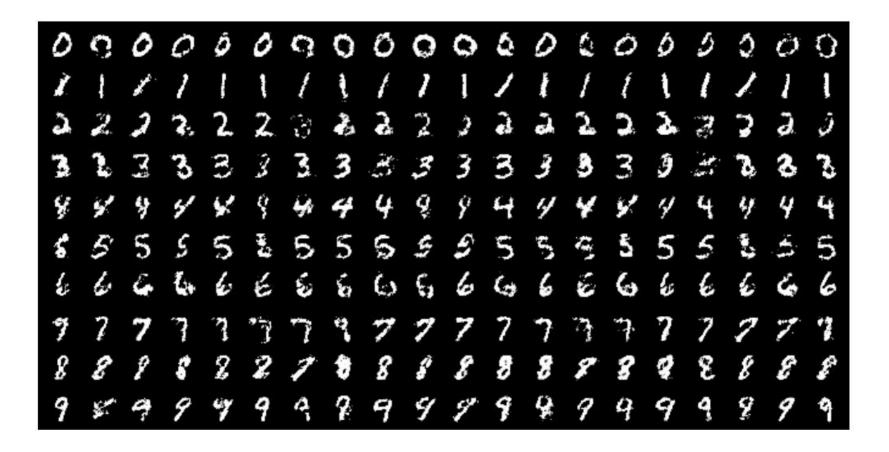
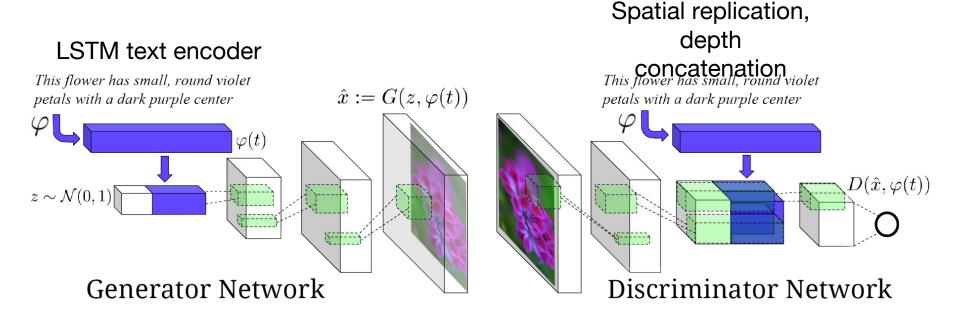


Figure source: F. Fleuret

 Example: simple network for generating 28 x 28 MNIST digits



Another example: text-to-image synthesis



#### Another example: text-to-image synthesis

Previously unseen captions (zero-shot setting)

this small bird has a pink breast and crown, and black primaries and secondaries.



the flower has petals that are bright pinkish purple with white stigma



this magnificent fellow is almost all black with a red crest, and white cheek patch.



this white and yellow flower have thin white petals and a round yellow stamen



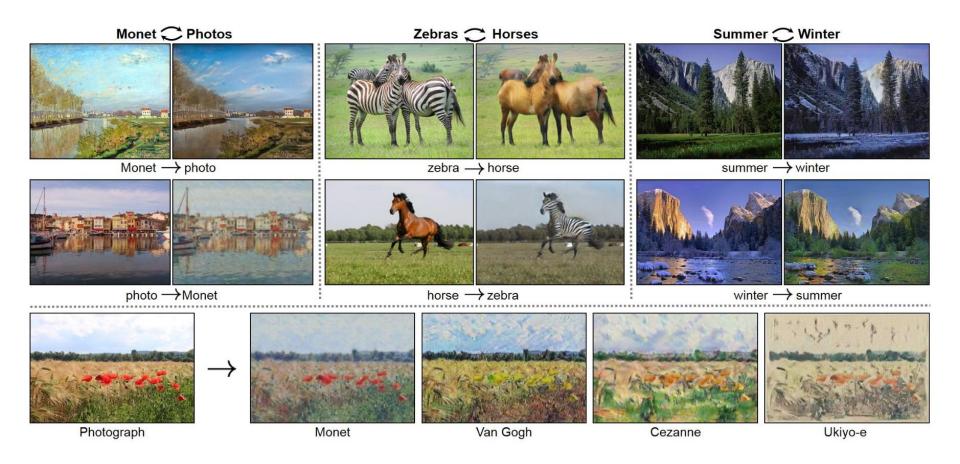
Captions seen in the training set

S. Reed, Z. Akata, X. Yan, L. Logeswaran, B. Schiele, H. Lee, Generative adversarial text to image synthesis, ICML 2016

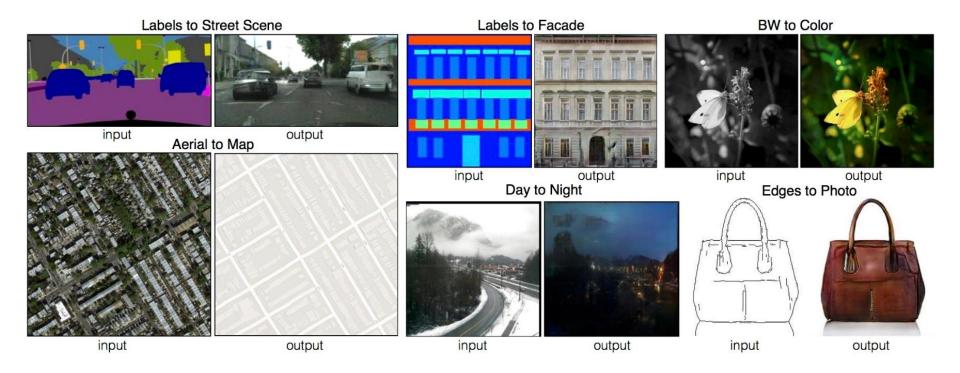
# Application: face generation



# Application: style transfer



# Application: image translation



#### Conclusion

- GANs provide an attractive way to create a generative model without using an explicit encode (as in variational auto-encoders) but using supervised learning
- However the training is far from trivial and in general finding Nash equilibria in highdimensional non-convex games is still an important open research problem