

# $\mathbb{R}^n$ and $\mathbb{C}^n$ ; Definition of Vector Space (向量空间的定义)

Lecture 1

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# Vector Spaces (向量空间)

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# Introduction

- Linear algebra is the study of linear maps on finite-dimensional vector spaces.
- In linear algebra, better theorems and more insight emerge if complex numbers are investigated along with real numbers.
- We will begin by introducing the complex numbers and their basic properties.
- We will generalize the examples of a plane and ordinary space to  $\mathbb{R}^n$  and  $\mathbb{C}^n$ .
- We then will generalize to the notion of a vector space.
- Then our next topic will be subspaces, which play a role for vector spaces analogous to the role played by subsets for sets.

# Complex Numbers (复数)

The idea is to assume we have a square root of  $-1$ , denoted  $i$ , that obeys the usual roles of arithmetic. Here are the formal definitions:

## 1.1 **Definition** *complex numbers*

- A **complex number** is an ordered pair  $(a, b)$ , where  $a, b \in \mathbf{R}$ , but we will write this as  $a + bi$ .
- The set of all complex numbers is denoted by  $\mathbf{C}$ :

$$\mathbf{C} = \{a + bi : a, b \in \mathbf{R}\}.$$

- **Addition and multiplication** on  $\mathbf{C}$  are defined by

$$\begin{aligned}(a + bi) + (c + di) &= (a + c) + (b + d)i, \\ (a + bi)(c + di) &= (ac - bd) + (ad + bc)i;\end{aligned}$$

here  $a, b, c, d \in \mathbf{R}$ .



## 1.3 Properties of complex arithmetic

### **commutativity**

$\alpha + \beta = \beta + \alpha$  and  $\alpha\beta = \beta\alpha$  for all  $\alpha, \beta \in \mathbf{C}$ ;

### **associativity**

$(\alpha + \beta) + \lambda = \alpha + (\beta + \lambda)$  and  $(\alpha\beta)\lambda = \alpha(\beta\lambda)$  for all  $\alpha, \beta, \lambda \in \mathbf{C}$ ;

### **identities**

$\lambda + 0 = \lambda$  and  $\lambda 1 = \lambda$  for all  $\lambda \in \mathbf{C}$ ;

### **additive inverse**

for every  $\alpha \in \mathbf{C}$ , there exists a unique  $\beta \in \mathbf{C}$  such that  $\alpha + \beta = 0$ ;

### **multiplicative inverse**

for every  $\alpha \in \mathbf{C}$  with  $\alpha \neq 0$ , there exists a unique  $\beta \in \mathbf{C}$  such that  $\alpha\beta = 1$ ;

### **distributive property**

$\lambda(\alpha + \beta) = \lambda\alpha + \lambda\beta$  for all  $\lambda, \alpha, \beta \in \mathbf{C}$ .

## 1.5 Definition $-\alpha$ , subtraction, $1/\alpha$ , division

Let  $\alpha, \beta \in \mathbb{C}$ .

- Let  $-\alpha$  denote the additive inverse of  $\alpha$ . Thus  $-\alpha$  is the unique complex number such that

$$\alpha + (-\alpha) = 0.$$

- **Subtraction** on  $\mathbb{C}$  is defined by

$$\beta - \alpha = \beta + (-\alpha).$$

- For  $\alpha \neq 0$ , let  $1/\alpha$  denote the multiplicative inverse of  $\alpha$ . Thus  $1/\alpha$  is the unique complex number such that

$$\alpha(1/\alpha) = 1.$$

- **Division** on  $\mathbb{C}$  is defined by

$$\beta/\alpha = \beta(1/\alpha).$$

# Notation

So that we can conveniently make definitions and prove theorems that apply to both real and complex numbers, we adopt the following notation:

## 1.6 Notation $\mathbb{F}$

Throughout this book,  $\mathbb{F}$  stands for either  $\mathbb{R}$  or  $\mathbb{C}$ .

- The letter  $\mathbb{F}$  is used because  $\mathbb{R}$  and  $\mathbb{C}$  are examples of what are called fields.
- Elements of  $\mathbb{F}$  are called scalars.
- The word “**scalar**”, a fancy word for “number”, is often used when we want to emphasize that an object is a number, as opposed to a vector.



# Lists

## 1.8 Definition *list, length*

Suppose  $n$  is a nonnegative integer. A *list* of *length*  $n$  is an ordered collection of  $n$  elements (which might be numbers, other lists, or more abstract entities) separated by commas and surrounded by parentheses. A list of length  $n$  looks like this:

$$(x_1, \dots, x_n).$$

Two lists are equal if and only if they have the same length and the same elements in the same order.

- Many mathematicians call a list of length  $n$  an  $n$ -tuple.
- Lists differ from sets in two ways: in lists, order matters and repetitions have meaning: in sets, order and repetitions are irrelevant.

### 1.10 Definition $\mathbf{F}^n$

$\mathbf{F}^n$  is the set of all lists of length  $n$  of elements of  $\mathbf{F}$ :

$$\mathbf{F}^n = \{(x_1, \dots, x_n) : x_j \in \mathbf{F} \text{ for } j = 1, \dots, n\}.$$

For  $(x_1, \dots, x_n) \in \mathbf{F}^n$  and  $j \in \{1, \dots, n\}$ , we say that  $x_j$  is the  $j^{\text{th}}$  *coordinate* of  $(x_1, \dots, x_n)$ .

- Addition in  $\mathbb{F}^n$ .
- Commutativity of addition in  $\mathbb{F}^n$ .
- Definition of 0 in  $\mathbb{F}^n$ .
- Additive inverse in  $\mathbb{F}^n$ .
- Scalar multiplication in  $\mathbb{F}^n$ .

# Degression on Fields

## Definition

A field is a set containing at least two distinct elements called 0 and 1, along with operations of addition and multiplication satisfying all the properties listed in 1.3.

## Example

Thus  $\mathbb{R}$  and  $\mathbb{C}$  are fields, as is the set of rational numbers along with the usual operations of addition and multiplication.

## Example

Another example of a field is the set  $\{0, 1\}$  with the usual operations of addition and multiplication except that  $1 + 1$  is defined to equal 0.

# addition, scalar multiplication

The motivation for the definition of a vector space comes from properties of addition and scalar multiplication in  $\mathbb{F}^n$ :

- Addition is commutative, associative, and has an identity.
- Every element has an additive inverse.
- Scalar multiplication is associative.
- Addition and scalar multiplication are connected by distributive properties.

## 1.18 Definition *addition, scalar multiplication*

- An ***addition*** on a set  $V$  is a function that assigns an element  $u + v \in V$  to each pair of elements  $u, v \in V$ .
- A ***scalar multiplication*** on a set  $V$  is a function that assigns an element  $\lambda v \in V$  to each  $\lambda \in \mathbf{F}$  and each  $v \in V$ .

# Vector Space: Definition

## Definition

*A vector space is a set  $V$  along with an addition on  $V$  and a scalar multiplication on  $V$  such that the following properties hold:*

- (1) Commutativity:  $u + v = v + u$  for all  $u, v \in V$ ;*
- (2) Associativity:  $(u + v) + w = u + (v + w)$  and  $(ab)v = a(bv)$  for all  $u, v, w \in V$  and all  $a, b \in \mathbb{F}$ ;*
- (3) Additive Identity: there exists an element  $0 \in V$  such that  $v + 0 = v$  for all  $v \in V$ ;*
- (4) Additive Inverse: for every  $v \in V$ , there exists  $w \in V$  such that  $u + w = 0$ ;*
- (5) Multiplicative Identity:  $1v = v$  for all  $v \in V$ ;*
- (6) Distributive Properties:  $a(u + v) = au + av$  and  $(a + b)v = av + bv$  for all  $a, b \in \mathbb{F}$  and all  $u, v \in V$ .*

# One more definition

## 1.23 Notation $\mathbf{F}^S$

- If  $S$  is a set, then  $\mathbf{F}^S$  denotes the set of functions from  $S$  to  $\mathbf{F}$ .
- For  $f, g \in \mathbf{F}^S$ , the **sum**  $f + g \in \mathbf{F}^S$  is the function defined by

$$(f + g)(x) = f(x) + g(x)$$

for all  $x \in S$ .

- For  $\lambda \in \mathbf{F}$  and  $f \in \mathbf{F}^S$ , the **product**  $\lambda f \in \mathbf{F}^S$  is the function defined by

$$(\lambda f)(x) = \lambda f(x)$$

for all  $x \in S$ .

$\mathbf{F}^S$  is a vector space.

# Elementary Properties of Vector Spaces

- vector, point
- real vector space, complex vector space
- **Unique additive identity**: A vector space has a unique additive identity.
- **Unique additive inverse**: Every element in a vector space has a unique additive inverse.
- **Notation**  $-v, w - v$
- **Notation  $V$** : For the rest of the book,  $V$  denotes a vector space over  $\mathbb{F}$ .
- **The number 0 times a vector.**
- **A number times the vector 0.**
- **The number  $-1$  times a vector.**

# Cancellation Law for Vector Addition

## Theorem

*If  $x, y$ , and  $z$  are vectors in a vector space  $V$  such that  $x + z = y + z$ , then  $x = y$ .*

## Proof.

There exists a vector  $v$  in  $V$  such that  $z + v = 0$ . Thus

$$\begin{aligned}x &= x + 0 = x + (z + v) = (x + z) + v \\&= (y + z) + v = y + (z + v) = y + 0 = y.\end{aligned}$$





# Example

## Example

Let  $V = \{(a_1, a_2) : a_1, a_2 \in \mathbb{R}\}$ . For  $(a_1, a_2), (b_1, b_2) \in V$  and  $c \in \mathbb{R}$ , define

$$(a_1, a_2) + (b_1, b_2) = (a_1 + 2b_1, a_2 + 2b_2)$$

and

$$c(a_1, a_2) = (ca_1, ca_2).$$

Is  $V$  a vector space over  $\mathbb{R}$  with these operations? Justify your answer.

# Homework Assignment 1

1.A: 1, 3, 11, 12, 14.

1.B: 2, 3, 4, 5.