PARTITIONS WITH FIXED DIFFERENCES BETWEEN LARGEST AND SMALLEST PARTS

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ABSTRACT. We study the number p(n,t) of partitions of n with difference t between largest and smallest parts. Our main result is an explicit formula for the generating function $P_t(q) := \sum_{n\geq 1} p(n,t) \, q^n$. Somewhat surprisingly, $P_t(q)$ is a rational function for t>1; equivalently, p(n,t) is a quasipolynomial in n for fixed t>1. Our result generalizes to partitions with an arbitrary number of specified distances.

A partition of a positive integer n is an integer k-tuple $\lambda_1 \geq \lambda_2 \geq \cdots \geq \lambda_k > 0$, for some k, such that

$$n = \lambda_1 + \lambda_2 + \cdots + \lambda_k$$
.

The integers $\lambda_1, \lambda_2, \dots, \lambda_k$ are the *parts* of the partition. Enumeration results on integer partitions form a classic body of mathematics going back to at least Euler, including numerous applications throughout mathematics and some areas of physics; see, e.g., [2]. We are interested in the counting function

p(n,t) := #partitions of n with difference t between largest and smallest parts.

It is immediate that

$$p(n,0) = d(n)$$

where d(n) denotes the number of divisors of n. Charmingly, p(n, 1) equals the number of nondivisors of n:

$$p(n,1) = n - d(n),$$

which can be explained bijectively by the fact that the partitions counted by p(n,0)+p(n,1) contain exactly one sample with k parts, for each $k=1,2,\ldots,n$ [1, Sequence A049820], or by the generating function identity

$$\sum_{n \ge 1} p(n,1) \, q^n = \sum_{m \ge 1} \frac{q^m}{1 - q^m} \, \frac{q^{m+1}}{1 - q^{m+1}} = \frac{q}{(1 - q)^2} - \sum_{m \ge 1} \frac{q^m}{1 - q^m}$$

(the last equation follows from a few elementary operations on rational function). An even less obvious instance of our partition counting function is

(1)
$$p(n,2) = \begin{pmatrix} \left\lfloor \frac{n}{2} \right\rfloor \\ 2 \end{pmatrix},$$

as observed by Reinhard Zumkeller in 2004 [1, Sequence A008805]. (It is not clear to us where in the literature this formula first appeared, though specific values of p(n, k) are well represented in

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[1], where Sequences A000005, A049820, A008805, A128508, and A218567–A218573 give the first values of p(n, k) for fixed k = 0, 1, ..., 10, and Sequence A097364 paints a general picture of p(n, t).)

We remark that p(n, 2) is arithmetically quite different from p(n, 0) and p(n, 1): namely, p(n, 2) is a quasipolynomial, i.e., a function that evaluates to a polynomial when n is restricted to a fixed residue class modulo some (minimal) positive integer, the period of the quasipolynomial. (For p(n, 2) this period is 2.) Equivalently, the accompanying generating function evaluates to a rational function all of whose poles are roots of unity. (See, e.g., [3, Chapter 4] for more on quasipolynomials and their rational generating functions.) Our goal is to prove closed formulas for these generating functions

$$P_t(q) := \sum_{n>1} p(n,t) q^n.$$

Theorem 1. For t > 1,

$$P_t(q) = \frac{q^{t-1}(1-q)}{(1-q^t)(1-q^{t-1})} - \frac{q^{t-1}}{(1-q^t)^2(1-q^{t-1})^2(1-q^{t-2})\cdots(1-q^2)} + \frac{q^t}{(1-q^t)(1-q^{t-1})^2(1-q^{t-2})\cdots(1-q)}.$$

Written in terms of the usual shorthand $(q)_m := (1-q)(1-q^2)\cdots(1-q^m)$, Theorem 1 says

$$P_t(q) = \frac{q^{t-1}(1-q)}{(1-q^t)(1-q^{t-1})} - \frac{q^{t-1}(1-q)}{(1-q^t)(1-q^{t-1})(q)_t} + \frac{q^t}{(1-q^{t-1})(q)_t}.$$

Thus $P_t(q)$ is rational for t > 1, and so p(n, t) is a quasipolynomial in n, with period lcm(1, 2, ..., t). For example, for t = 2, Theorem 1 gives

$$P_2(q) = \frac{q^4}{(1-q)^3(1+q)^2}$$

which confirms (1). The rational generating function given by Theorem 1 in the case t = 3 simplifies to

$$P_3(q) = \frac{q^5 + q^6 + q^7 - q^8}{(1-q)^4(1+q)^2(1+q+q^2)^2}$$

which translates to the partition counting function

$$p(n,3) = \frac{1}{108} \times \begin{cases} n^3 - 18n & \text{if } n \equiv 0 \bmod 6, \\ n^3 - 3n + 2 & \text{if } n \equiv 1 \bmod 6, \\ n^3 - 30n + 52 & \text{if } n \equiv 2 \bmod 6, \\ n^3 + 9n - 54 & \text{if } n \equiv 3 \bmod 6, \\ n^3 - 30n + 56 & \text{if } n \equiv 4 \bmod 6, \\ n^3 - 3n - 2 & \text{if } n \equiv 5 \bmod 6 \end{cases}$$

$$= \begin{cases} m(2m^2 - 1) & \text{if } n = 6m, \\ m(2m^2 + 1) & \text{if } n = 6m + 1, \\ m(2m^2 + 2m - 1) & \text{if } n = 6m + 2, \\ m(2m^2 + 3m + 2) & \text{if } n = 6m + 3, \\ (m - 1)(2m^2 - 1) & \text{if } n = 6m - 2, \\ m^2(2m - 1) & \text{if } n = 6m - 1. \end{cases}$$

Using this explicit form of p(n,3), one easily affirms a conjecture about the recursive structure of p(n,3) given in [1, Sequence A128508] in the positive.

The corresponding data for t = 4 is

$$P_4(q) = \frac{q^6 + q^7 + 2q^8 - q^{11} - q^{12} + q^{13}}{(1 - q)^5 (1 + q)^3 (1 + q^2)^2 (1 + q + q^2)^2}$$

and

$$p(n,4) = \frac{1}{6912} \times \begin{cases} 3n^4 + 20n^3 - 24n^2 - 288n & \text{if } n \equiv 0 \bmod{12}, \\ 3n^4 + 20n^3 - 78n^2 - 492n + 547 & \text{if } n \equiv 1 \bmod{12}, \\ 3n^4 + 20n^3 - 24n^2 + 48n - 208 & \text{if } n \equiv 2 \bmod{12}, \\ 3n^4 + 20n^3 - 78n^2 - 1260n + 3699 & \text{if } n \equiv 3 \bmod{12}, \\ 3n^4 + 20n^3 - 24n^2 + 480n - 3584 & \text{if } n \equiv 4 \bmod{12}, \\ 3n^4 + 20n^3 - 78n^2 - 492n + 35 & \text{if } n \equiv 5 \bmod{12}, \\ 3n^4 + 20n^3 - 24n^2 - 720n + 3888 & \text{if } n \equiv 6 \bmod{12}, \\ 3n^4 + 20n^3 - 78n^2 - 492n + 115 & \text{if } n \equiv 7 \bmod{12}, \\ 3n^4 + 20n^3 - 78n^2 - 492n + 115 & \text{if } n \equiv 7 \bmod{12}, \\ 3n^4 + 20n^3 - 24n^2 + 480n - 4096 & \text{if } n \equiv 8 \bmod{12}, \\ 3n^4 + 20n^3 - 24n^2 + 480n - 4096 & \text{if } n \equiv 9 \bmod{12}, \\ 3n^4 + 20n^3 - 78n^2 - 1260n + 4131 & \text{if } n \equiv 9 \bmod{12}, \\ 3n^4 + 20n^3 - 78n^2 - 492n - 397 & \text{if } n \equiv 11 \bmod{12} \end{cases}$$

$$= \begin{cases} 9m^4 + 5m^3 - \frac{1}{2}(m^2 + m) & \text{if } n = 12m, \\ 9m^4 + 8m^3 - m & \text{if } n = 12m + 1, \\ 9m^4 + 11m^3 + \frac{1}{2}(7m^2 + m) & \text{if } n = 12m + 2, \\ 9m^4 + 14m^3 + \frac{1}{2}(11m^2 - 3m) & \text{if } n = 12m + 3, \\ 9m^4 + 17m^3 + \frac{1}{2}(21m^2 + 7m) & \text{if } n = 12m + 4, \\ 9m^4 + 20m^3 + 14m^2 + 3m & \text{if } n = 12m + 5, \\ 9m^4 + 23m^3 + \frac{1}{2}(41m^2 + 13m) + 1 & \text{if } n = 12m + 6, \\ 9m^4 + 26m^3 + \frac{1}{2}(51m^2 + 19m) + 1 & \text{if } n = 12m + 7, \\ 9m^4 + 29m^3 + \frac{1}{2}(67m^2 + 35m) + 3 & \text{if } n = 12m + 8, \\ 9m^4 + 32m^3 + 40m^2 + 19m + 3 & \text{if } n = 12m + 9, \\ 9m^4 + 38m^3 + \frac{1}{2}(115m^2 + 73m) + 8 & \text{if } n = 12m + 10, \\ 9m^4 + 38m^3 + \frac{1}{2}(115m^2 + 73m) + 8 & \text{if } n = 12m + 11. \end{cases}$$

Proof of Theorem 1. We will use the usual shorthand

$$(A)_m := (1 - A)(1 - Aq) \cdots (1 - Aq^{m-1}),$$

the q-binomial coefficient

$$\begin{bmatrix} A \\ B \end{bmatrix} := \frac{(q)_A}{(q)_B(q)_{A-B}} \,,$$

as well as *Heine's transformation* (see, e.g., [2, p. 38])

(2)
$$\sum_{m\geq 0} \frac{(a)_m(b)_m z^m}{(q)_m(c)_m} = \frac{\left(\frac{c}{b}\right)_{\infty} (bz)_{\infty}}{(c)_{\infty} (z)_{\infty}} \sum_{j\geq 0} \frac{\left(\frac{abz}{c}\right)_j (b)_j \left(\frac{c}{b}\right)^j}{(q)_j (bz)_j}.$$

We start with the natural generating function for p(n,t):

$$\begin{split} P_t(q) &= \sum_{m \geq 1} \frac{q^m}{1 - q^m} \frac{1}{1 - q^{m+1}} \cdots \frac{1}{1 - q^{m+t-1}} \frac{q^{m+t}}{1 - q^{m+t}} = q^t \sum_{m \geq 1} \frac{q^{2m}(q)_{m-1}}{(q)_{m+t}} = q^{t+2} \sum_{m \geq 0} \frac{q^{2m}(q)_m}{(q)_{m+t+1}} \\ &= \frac{q^{t+2}}{(q)_{t+1}} \sum_{m \geq 0} \frac{(q)_m(q)_m q^{2m}}{(q)_m(q^{t+2})_m} \stackrel{(2)}{=} \frac{q^{t+2}(q^{t+1})_\infty(q^3)_\infty}{(q)_{t+1}(q^{t+2})_\infty(q^2)_\infty} \sum_{j \geq 0} \frac{(q^{-t+2})_j(q)_j q^{j(t+1)}}{(q)_j(q^3)_j} \\ &= \frac{q^{t+2}}{(q)_t} \sum_{j=0}^{t-2} \frac{(q^{-t+2})_j q^{j(t+1)}}{(q^2)_{j+1}} = \frac{q^{t+2}}{(q)_t} \sum_{j=0}^{t-2} \frac{(1 - q^{t-2})(1 - q^{t-3}) \cdots (1 - q^{t-j-1})(-1)^j q^{2j+\binom{j+1}{2}}}{(q^2)_{j+1}} \\ &= \frac{q^{t+2}(1 - q)}{(1 - q^t)(1 - q^{t-1})} \sum_{j=0}^{t-2} \frac{(-1)^j q^{2j+\binom{j+1}{2}}}{(q)_{j+2}(q)_{t-j-2}} = \frac{q^{t-1}(1 - q)}{(1 - q^t)(1 - q^{t-1})(q)_t} \sum_{j=0}^{t-2} \left[t \atop j+2 \right] (-1)^j q^{\binom{j+3}{2}}. \end{split}$$

Thus, by the q-binomial theorem (see, e.g., [2, p. 36])

$$P_{t}(q) = \frac{q^{t-1}(1-q)}{(1-q^{t})(1-q^{t-1})(q)_{t}} \sum_{j=2}^{t} \begin{bmatrix} t \\ j \end{bmatrix} (-1)^{j} q^{\binom{j+1}{2}} = \frac{q^{t-1}(1-q)}{(1-q^{t})(1-q^{t-1})(q)_{t}} \left((q)_{t} - 1 + q \begin{bmatrix} t \\ 1 \end{bmatrix} \right)$$

$$= \frac{q^{t-1}(1-q)}{(1-q^{t})(1-q^{t-1})} - \frac{q^{t-1}(1-q)}{(1-q^{t})(1-q^{t-1})(q)_{t}} + \frac{q^{t}}{(1-q^{t-1})(q)_{t}}.$$

Next we shall generalize Theorem 1 by considering partitions with specified distances. Let $p(n, t_1, t_2, ..., t_k)$ be the number of partitions of n such that, if σ is the smallest part then $\sigma + t_1 + t_2 + \cdots + t_k$ is the largest part and each of $\sigma + t_1, \sigma + t_1 + t_2, ..., \sigma + t_1 + t_2 + \cdots + t_{k-1}$ appear as parts. We consider the related generating function

$$P_{t_1,\dots,t_k}(q) := \sum_{n>1} p(n,t_1,t_2,\dots,t_k) q^n.$$

We note that when k=1 this is simply $P_t(q)$ from above. Let $t:=t_1+t_2+\cdots+t_k$ and $T:=kt_1+(k-1)t_2+\cdots+2t_{k-1}+t_k$.

Theorem 2. For t > k,

$$P_{t_1,\dots,t_k}(q) = \frac{(-1)^k q^{T-\binom{k+1}{2}} \left(\sum_{j=0}^k \begin{bmatrix} t \\ j \end{bmatrix} (-1)^j q^{\binom{j+1}{2}} - (q)_t \right)}{\binom{t-1}{k} \left(1 - q^t\right)(q)_t}.$$

Proof. Again we start with the natural generating function

$$P_{t_{1},\dots,t_{k}}(q) = \sum_{m\geq 1} \frac{q^{m} q^{m+t_{1}} q^{m+t_{1}+t_{2}} \cdots q^{m+t_{1}+t_{2}+\dots+t_{k}}}{(1-q^{m})(1-q^{m+1})\cdots(1-q^{m+t_{1}+t_{2}+\dots+t_{k}})} = \sum_{m\geq 1} \frac{q^{(k+1)m+T}}{(q^{m})_{t+1}}$$

$$= \sum_{m\geq 1} \frac{q^{(k+1)m+T}(q)_{m-1}}{(q)_{m+t}} = q^{T+k+1} \sum_{m\geq 0} \frac{q^{(k+1)m}(q)_{m}}{(q)_{m+t+1}} = \frac{q^{T+k+1}}{(q)_{t+1}} \sum_{m\geq 0} \frac{(q)_{m}(q)_{m} q^{(k+1)m}}{(q)_{m}(q^{t+2})_{m}}$$

$$\stackrel{(2)}{=} \frac{q^{T+k+1}(q^{t+1})_{\infty}(q^{k+2})_{\infty}}{(q)_{t+1}(q^{k+1})_{\infty}(q^{t+2})_{\infty}} \sum_{j\geq 0} \frac{(q^{k+1-t})_{j}(q)_{j}q^{(t+1)j}}{(q)_{j}(q^{k+2})_{j}}$$

$$= \frac{q^{T+k+1}(q)_{k}}{(q)_{t}} \sum_{j=0}^{t-k-1} \frac{(q^{-(t-k+1)})_{j}q^{(t+1)j}}{(q)_{j+k+1}}$$

$$\begin{split} &=\frac{q^{T+k+1}(q)_k}{(q)_t}\sum_{j=0}^{t-k-1}\frac{(1-q^{t-k-1})(1-q^{t-k-2})\cdots(1-q^{t-k-j})(-1)^jq^{\binom{j}{2}-j(t-k-1)+(t+1)j}}{(q)_{j+k+1}}\\ &=\frac{q^{T+k+1}(q)_k}{(q)_t}\sum_{j=0}^{t-k-1}\frac{(q)_{t-k-1}(-1)^jq^{\binom{j+1}{2}+j(k+1)}}{(q)_{j+k+1}(q)_{j-k-j-1}}\\ &=\frac{q^{T+k+1}(q)_k(q)_{t-k-1}}{(q)_t(q)_t}\sum_{j=0}^{t-k-1}\begin{bmatrix} t\\ j+k+1\end{bmatrix}(-1)^jq^{\binom{j+k+2}{2}-\binom{k+2}{2}}\\ &=\frac{q^{T+k+1}(q)_k}{\begin{bmatrix} t^{t-1}\\ k\end{bmatrix}(1-q^t)(q)_t}\sum_{j=0}^{t-k-1}\begin{bmatrix} t\\ j+k+1\end{bmatrix}(-1)^jq^{\binom{j+k+2}{2}-\binom{k+2}{2}}\\ &=\frac{q^{T-\binom{k+1}{2}}(-1)^{k+1}}{\begin{bmatrix} t^{t-1}\\ k\end{bmatrix}(1-q^t)(q)_t}\sum_{j=k+1}^{t}\begin{bmatrix} t\\ j\end{bmatrix}(-1)^jq^{\binom{j+1}{2}}\\ &=\frac{q^{T-\binom{k+1}{2}}(-1)^k}{\begin{bmatrix} t^{t-1}\\ k\end{bmatrix}(1-q^t)(q)_t}\left(\sum_{j=0}^k\begin{bmatrix} t\\ j\end{bmatrix}(-1)^jq^{\binom{j+1}{2}}-(q)_t\right). \end{split}$$

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