

Restricted Bipartitions.

George E. Andrews⁽¹⁾ and Richard Lewis⁽²⁾

(1) Department of Mathematics, 218 McAllister Building, Pennsylvania State University, Pennsylvania 16802

(2) School of Mathematical Sciences, The University of Sussex, Brighton BN1 9QH, U.K.

ABSTRACT: We consider bipartitions subject to certain restrictions and show that $b_e(m, n) \geq b_o(m, n)$, where $b_e(m, n)$ (respectively, $b_o(m, n)$) denotes the number of these partitions with an even (respectively, odd) number of even parts. Our principal tool is a lemma concerning the non-negativity of the coefficients of a certain rational function. As another corollary of this lemma, we deduce an inequality between the rank-counting numbers, $N(r, m, n)$.

§1 Introduction.

A *bipartite* number is a pair $(m, n) \in \mathbb{N} \times \mathbb{N}$ and a bipartite number (m, n) is *even* (respectively, *odd*) if $m + n$ is even (respectively, odd). A *bipartite partition* or, simply, *bipartition* of a bipartite number (m, n) is a sequence of bipartite numbers (ordered lexicographically) whose (vector) sum is (m, n) . We shall be considering bipartitions satisfying two conditions:

- (a) the parts (i, j) each satisfy $|i - 3j| \leq 3$,
- (b) the even parts are distinct.

Let $b(m, n)$ denote the number of bipartitions of (m, n) that satisfy (a) and (b) and let $b_e(m, n)$ (respectively, $b_o(m, n)$) denote the number of such bipartitions wherein the number of even parts is even (respectively, odd). We shall prove

Theorem. $b_e(m, n) \geq b_o(m, n)$ holds for all $(m, n) \in \mathbb{N} \times \mathbb{N}$ and $b_e(m, n) = b_o(m, n)$, if $m \equiv -1 \pmod{3}$.

As an example, $b_e(5, 1)$ counts the seven bipartitions: $(4, 1) + (1, 0)$; $(3, 1) + (2, 0)$; $(3, 0) + (2, 1)$; $(3, 0) + (0, 1) + (1, 0) + (1, 0)$; $(2, 1) + (1, 0) + (1, 0) + (1, 0)$; $(2, 0) + (1, 1) + (1, 0) + (1, 0)$; $(0, 1) + (1, 0) + (1, 0) + (1, 0) + (1, 0) + (1, 0)$ and $b_o(5, 1)$ counts the seven bipartitions: $(5, 1)$; $(3, 1) + (1, 0) + (1, 0)$; $(3, 0) + (2, 0) + (0, 1)$; $(3, 0) + (1, 0) + (1, 1)$; $(2, 1) + (2, 0) + (1, 0)$; $(2, 0) + (1, 0) + (1, 0) + (1, 0) + (0, 1)$; $(1, 1) + (1, 0) + (1, 0) + (1, 0) + (1, 0)$.

Our main tool is the lemma proved in §2 below.

We will use the familiar notation

$$(z; q)_\infty := \prod_{n \in \mathbb{N}} (1 - zq^n)$$

(for $|q| < 1$) and we also write

$$[z; q]_\infty := (z; q)_\infty (z^{-1}q; q)_\infty$$

(for $|q| < 1, z \neq 0$).

§2 The proof.

Define

$$(2.1) \quad R(x, y) = \frac{[xy; x^3y]_\infty (x^3y; x^3y)_\infty}{[x; x^3y]_\infty [y; x^3y]_\infty}.$$

Lemma. *The coefficients of all $x^n y^m$ in $R(x, y)$ are nonnegative and are 0, if $n \equiv -1$ modulo 3.*

Proof. Taking $b = aq$ in Ramanujan's ${}_1\Psi_1$ identity [1; (C.1), p.115], viz.

$$\sum_{n \in \mathbb{Z}} \frac{(a; q)_n t^n}{(b; q)_n} = \frac{(b/a; q)_\infty (at; q)_\infty (q/at; q)_\infty (q; q)_\infty}{(q/a; q)_\infty (b/at; q)_\infty (b; q)_\infty (t; q)_\infty},$$

(for $|b/a| < |t| < 1$) gives

$$(2.2) \quad \sum_{n \in \mathbb{Z}} \frac{t^n}{1 - aq^n} = \frac{[at; q]_\infty (q; q)_\infty^2}{[a; q]_\infty [t; q]_\infty}$$

for $|q| < |t| < 1$.

Note that

$$(2.3) \quad \begin{aligned} R(x, y) &= \frac{[xy; x^3y]_\infty (x^3y; x^3y)_\infty}{[x; x^3y]_\infty [y; x^3y]_\infty} \\ &= \frac{1}{(x^3y; x^3y)_\infty} \sum_{n \in \mathbb{Z}} \frac{x^n}{1 - y(x^3y)^n} \end{aligned}$$

(by (2.2), with $q = x^3y, a = y, t = x$). Splitting this last sum according to the residue classes of $n \bmod 3$, we have

$$(2.4) \quad R(x, y) = R_0(x, y) + R_1(x, y) + R_{-1}(x, y)$$

where

$$(2.5) \quad R_i(x, y) = \frac{1}{(x^3y; x^3y)_\infty} \sum_{n \in \mathbb{Z}} \frac{x^{3n+i}}{1 - y(x^3y)^{3n+i}}$$

Note that, in $R_i(x, y)$, all powers of x are congruent to $i \bmod 3$.

Now

$$(2.6) \quad \begin{aligned} R_{-1}(x, y) &= \frac{x^{-1}}{(x^3y; x^3y)_\infty} \sum_{n \in \mathbb{Z}} \frac{x^{3n}}{1 - x^{-3}(x^3y)^{3n}} \\ &= \frac{x^{-1}[1; x^9y^3]_\infty (x^9y^3; x^9y^3)_\infty^2}{(x^3y; x^3y)_\infty [x^{-3}; x^9y^3]_\infty [x^3; x^9y^3]_\infty}, \end{aligned}$$

(by (2.2)),

$$= 0.$$

Next,

$$(2.7) \quad \begin{aligned} R_0(x, y) &= \frac{1}{(x^3y; x^3y)_\infty} \sum_{n \in \mathbb{Z}} \frac{x^{3n}}{1 - y(x^3y)^{3n}} \\ &= \frac{1}{(x^3y; x^3y)_\infty} \frac{[x^3y; x^9y^3]_\infty (x^9y^3; x^9y^3)_\infty^2}{[x^3; x^9y^3]_\infty [y; x^9y^3]_\infty} \\ &= \frac{(x^9y^3; x^9y^3)_\infty}{[x^3; x^9y^3]_\infty [y; x^9y^3]_\infty} \\ &= \prod_{n \in \mathbb{N}} \frac{(1 - (x^9y^3)^{2n+1})}{(1 - y(x^9y^3)^n)(1 - x^9y^2(x^9y^3)^n)} \\ &\quad \times \frac{1}{1 - x^3} \prod_{n \in \mathbb{N}} \frac{(1 - (x^9y^3)^{2n+2})}{(1 - x^{12}y^3(x^9y^3)^n)(1 - x^6y^3(x^9y^3)^n)} \\ &= \frac{1}{1 - x^3} \prod_{n \in \mathbb{N}} \frac{(1 - x^{18n+9}y^{6n+3})}{(1 - x^{9n}y^{3n+1})(1 - x^{9n+9}y^{3n+2})} \\ &\quad \times \prod_{n \in \mathbb{N}} \frac{(1 - x^{18n+18}y^{6n+6})}{(1 - x^{9n+12}y^{3n+3})(1 - x^{9n+6}y^{3n+3})} \end{aligned}$$

It follows that the coefficients of $x^n y^m$ in $R_0(x, y)$ are all non-negative, because each multiplicand in the final expression for $R_0(x, y)$ has the form

$$(2.8) \quad \frac{1 - AB}{(1 - A)(1 - B)} = \frac{1}{1 - A} + \frac{B}{1 - B} = 1 + \sum_{n=1}^{\infty} (A^n + B^n).$$

Finally

$$\begin{aligned} R_1(x, y) &= \frac{x}{(x^3 y; x^3 y)_{\infty}} \sum_{n \in \mathbb{Z}} \frac{x^{3n}}{1 - x^3 y^2 (x^3 y)^{3n}} \\ &= \frac{x}{(x^3 y; x^3 y)_{\infty}} \frac{[x^3 y; x^9 y^3]_{\infty} (x^9 y^3; x^9 y^3)_{\infty}^2}{[x^3; x^9 y^3]_{\infty} [x^3 y^2; x^9 y^3]_{\infty}} \\ &= \frac{x (x^9 y^3; x^9 y^3)_{\infty}}{[x^3; x^9 y^3]_{\infty} [x^3 y^2; x^9 y^3]_{\infty}} \\ &= x \prod_{n \in \mathbb{N}} \frac{(1 - (x^9 y^3)^{2n+1})}{(1 - x^3 (x^9 y^3)^n) (1 - x^6 y^3 (x^9 y^3)^n)} \\ &\quad \times \frac{1}{1 - x^6 y} \prod_{n \in \mathbb{N}} \frac{(1 - (x^9 y^3)^{2n+2})}{(1 - x^3 y^2 (x^9 y^3)^n) (1 - x^{15} y^4 (x^9 y^3)^n)} \\ &= \frac{x}{1 - x^6 y} \prod_{n \in \mathbb{N}} \frac{(1 - x^{18n+9} y^{6n+3})}{(1 - x^{9n+3} y^{3n}) (1 - x^{9n+6} y^{3n+3})} \\ &\quad \times \prod_{n \in \mathbb{N}} \frac{(1 - x^{18n+18} y^{6n+6})}{(1 - x^{9n+3} y^{3n+2}) (1 - x^{9n+15} y^{3n+4})} \end{aligned}$$

Once again, all the multiplicands in $R_1(x, y)$ are of the form (2.8), and the coefficients of all $x^n y^m$ in $R_1(x, y)$ are non-negative. The decomposition (2.1) proves the lemma. \square

Now, a little thought shows that

$$\sum_{m, n \in \mathbb{N} \times \mathbb{N}}^{\infty} \left(b_e(m, n) - b_o(m, n) \right) x^m y^n = R(x, y)$$

and the Theorem follows from this Lemma.

Let $N(r, m, n)$ denote the number of partitions of n having rank $[2]$ congruent to r modulo m . Our original motivation in introducing the function $R(x, y)$ was to give a proof of

Corollary. For all $n \in \mathbb{N}$,

$$N(1, 9, 3n + 1) \leq N(0, 9, 3n + 1),$$

and the inequality is strict for $n > 1$.

Proof. It is shown in [3; p.116] that

$$(2.9) \quad \sum_{n \in \mathbb{N}} \left(N(0, 9, 3n + 1) - N(1, 9, 3n + 1) \right) q^n = \frac{[q^4; q^9]_{\infty} (q^9; q^9)_{\infty}}{[q^2; q^9]_{\infty}^2 [q^3; q^9]_{\infty}} \\ = \frac{1}{[q^2; q^9]_{\infty}} R(q^2, q^3)$$

By the Lemma, $R(q^2, q^3)$ has nonnegative coefficients and it is plain that $[q^2; q^9]_{\infty}^{-1}$ has positive coefficients from q^7 onwards. So the same is true of the series (2.9). But this series begins $1 + 2q^2 + q^3 + 2q^4 + q^5 + 4q^6 + \dots$. \square

It is pointed out in [3; p.87] that three further inequalities follow easily from the identities

$$\sum_{n \in \mathbb{N}} \left(N(1, 9, 3n + 2) - N(0, 9, 3n + 2) \right) q^n = \frac{(q^9; q^9)_{\infty}}{[q^2; q^9]_{\infty} [q^3; q^9]_{\infty}} \\ \sum_{n \in \mathbb{N}} \left(N(0, 9, 3n + 1) - N(2, 9, 3n + 1) \right) q^n = \frac{(q^9; q^9)_{\infty}}{[q; q^9]_{\infty} [q^3; q^9]_{\infty}} \\ \sum_{n \in \mathbb{N}} \left(N(2, 9, 3n) - N(3, 9, 3n) \right) q^n = \frac{(q^9; q^9)_{\infty}}{[q^4; q^9]_{\infty} [q^3; q^9]_{\infty}}$$

[3; pp.116,117] (and without the help of our Lemma), namely

$$N(1, 9, 3n + 2) > N(0, 9, 3n + 2), \quad (n \geq 2),$$

$$N(0, 9, 3n + 1) > N(2, 9, 3n + 1), \quad (n \geq 0),$$

$$N(2, 9, 3n) > N(3, 9, 3n), \quad (n \geq 3).$$

References.

- [1] George E. Andrews, “q-series: their development and application...”, CBMS regional conference series in mathematics, no. 66, AMS.
- [2] F.J. Dyson, “Some guesses in the theory of partitions”, Eureka **8** (1944), 10-15.
- [3] R.P. Lewis, “Dyson’s rank and the Andrews-Garvan crank”, D.Phil. thesis, The University of Sussex, 1991.