

Placebo-Controlled Phase III Trial of Patient-Specific Immunotherapy With Mitumprotimut-T and Granulocyte-Macrophage Colony-Stimulating Factor After Rituximab in Patients With Follicular Lymphoma

Arnold Freedman, Sattva S. Neelapu, Craig Nichols, Michael J. Robertson, Benjamin Djulbegovic, Jane N. Winter, John F. Bender, Daniel P. Gold, Richard G. Ghalie, Morgan E. Stewart, Vanessa Esquibel, and Paul Hamlin

ABSTRACT

Purpose

To evaluate patient-specific immunotherapy with mitumprotimut-T (idiotype keyhole limpet hemocyanin [Id-KLH]) and granulocyte-macrophage colony-stimulating factor (GM-CSF) in CD20⁺ follicular lymphoma.

Patients and Methods

Patients with treatment-naïve or relapsed/refractory disease achieving a complete response (CR), partial response (PR), or stable disease (SD) with four weekly rituximab infusions were randomly assigned to mitumprotimut-T/GM-CSF or placebo/GM-CSF, with doses given monthly for six doses, every 2 months for six doses, and then every 3 months until disease progression (PD). Randomization was stratified by prior therapy (treatment-naïve or relapsed/refractory) and response to rituximab (CR/PR or SD). The primary end point was time to progression (TTP) from randomization.

Results

A total of 349 patients were randomly assigned; median age was 54 years, 79% were treatment naïve, and 86% had stage III/IV disease. Median TTP was 9.0 months for mitumprotimut-T/GM-CSF and 12.6 months for placebo/GM-CSF (hazard ratio [HR] = 1.384; *P* = .019). TTP was comparable between the two arms in treatment-naïve patients (HR = 1.196; *P* = .258) and shorter with mitumprotimut-T/GM-CSF in relapsed/refractory disease (HR = 2.265; *P* = .004). After adjusting for Follicular Lymphoma International Prognostic Index (FLIPI) scores, the difference in TTP between the two arms was no longer significant. Overall objective response rate, rate of response improvement, and duration of response were comparable between the two arms. Toxicity was similar in the two arms; 76% of adverse events were mild or moderate, and 94% of patients had injection site reactions.

Conclusion

TTP was shorter with mitumprotimut-T/GM-CSF compared with placebo/GM-CSF. This difference was possibly due to the imbalance in FLIPI scores.

J Clin Oncol 27:3036-3043. © 2009 by American Society of Clinical Oncology

INTRODUCTION

Despite progress in the treatment of advanced follicular B-cell lymphoma, most patients experience recurrences. The induction of an active immune response to patient-specific tumor antigens could result in more durable remissions and improve treatment outcome.

B cells express a surface immunoglobulin with a specific idiotype (Id) that is unique to each B-cell clone. Because B-cell lymphoma arises from the clonal expansion of a single B cell, the Id protein expressed by the predominant malignant clone

could serve as a patient-specific target for active immunotherapy. Early studies have demonstrated that patients with indolent B-cell lymphoma can mount anti-Id immune responses after immunization with patient-specific Id proteins, and durable clinical responses could be achieved in patients first placed into remission with chemotherapy.^{1,2} To augment the immunogenicity of the Id protein, it has been mixed with chemical adjuvants or conjugated to keyhole limpet hemocyanin (KLH), a strong immunogenic protein, to form an Id-KLH complex.² Furthermore, the immunomodulatory cytokine granulocyte-macrophage colony-stimulating factor

From the Dana Farber Cancer Institute, Boston, MA; The University of Texas M. D. Anderson Cancer Center, Houston, TX; Providence Cancer Center, Earle A. Chiles Research Institute, Portland, OR; Indiana University Medical Center, Indianapolis, IN; H. Lee Moffitt Cancer Center, Tampa, FL; Feinberg School of Medicine, Northwestern University, Chicago, IL; Faville, Inc, San Diego, CA; and Memorial Sloan-Kettering Cancer Center, New York, NY.

Submitted August 28, 2008; accepted January 20, 2009; published online ahead of print at www.jco.org on May 4, 2009.

Supported and sponsored by Faville, Inc.

Presented in part at the 48th Annual Meeting of the American Society of Hematology, December 9-12, 2006, Orlando, FL.

Authors' disclosures of potential conflicts of interest and author contributions are found at the end of this article.

Corresponding author: Arnold Freedman, MD, Dana-Farber Cancer Institute, 44 Binney St, Boston, MA 02115; e-mail: arnold_freedman@dfci.harvard.edu.

The Acknowledgment and Appendix are included in the full-text version of this article; they are available online at www.jco.org. They are not included in the PDF version (via Adobe® Reader®).

© 2009 by American Society of Clinical Oncology

0732-183X/09/2718-3036/\$20.00

DOI: 10.1200/JCO.2008.19.8903

(GM-CSF) has been coadministered with Id-KLH to increase the proportion of immune responders.^{2,3}

Mitumprotimut-T (Specifid; Faville, San Diego, CA) is a patient-specific Id-KLH therapeutic vaccine in which the Id protein is produced by a proprietary recombinant technology. A phase II trial conducted in 32 patients with relapsed follicular B-cell lymphoma has shown that mitumprotimut-T plus GM-CSF without preceding debulking therapy led to a 15% response rate and durable remissions.⁴ A subsequent phase II trial investigated mitumprotimut-T plus GM-CSF after rituximab in follicular lymphoma. An objective response was achieved in 27 (77%) of 35 treatment-naïve patients and 28 (52%) of 54 patients with relapsed/refractory disease. The event-free survival curves seemed to plateau at 4 years at 40% in treatment-naïve patients and 17% in relapsed/refractory disease.^{5,6} This phase III trial was conducted to confirm these favorable preliminary findings.

PATIENTS AND METHODS

Eligibility

Patients with histologically confirmed CD20⁺ follicular lymphoma WHO grade 1 to 3 were eligible if they were at least 18 years of age, had an Eastern Cooperative Oncology Group performance status of 0 to 1, granulocytes $\geq 1,500/\mu\text{L}$, platelets $\geq 75,000/\mu\text{L}$, and hemoglobin $\geq 10 \text{ g/dL}$. Patients had to be candidates for rituximab therapy (ie, be treatment-naïve, have experienced relapse after chemotherapy, or have experienced relapse after a response to rituximab more than 6 months). Patients had to have bidimensionally measurable disease and a lymph node accessible for biopsy to produce mitumprotimut-T. Previously treated patients were ineligible if they had received more than two systemic lymphoma therapies (rituximab/chemotherapy given simultaneously were considered a single regimen), more than six courses of fludarabine or any fludarabine within 9 months, rituximab/chemotherapy within 2 years, an anti-CD20–radiolabeled antibody, Id-KLH, or high-dose therapy with stem-cell transplantation. Patients were ineligible if they had a known allergy to GM-CSF, were receiving concurrent immunosuppressive therapy, had a history of CNS lymphoma, were HIV positive, were pregnant or nursing women, or had a serious nonmalignant disease that would compromise protocol objectives.

Procedures and Study Drug Administration

Institutional review boards approved the study at all sites. After signed informed consent was obtained, patients underwent a lymph node biopsy to produce their Id-KLH vaccine.⁴ Eligible patients received rituximab at 375 mg/m² weekly for 4 weeks and underwent tumor restaging 2 months later. Patients with stable disease (SD), partial response (PR), or complete response (CR) at restaging were randomly assigned to receive mitumprotimut-T or placebo. Random assignment occurred regardless of successful production of mitumprotimut-T and was performed centrally on a 1:1 schedule using balanced blocks of four, with stratification by prior treatment (treatment-naïve v relapsed/refractory disease) and response to rituximab therapy (CR/PR v SD). Mitumprotimut-T (0.5 mg of Id and 0.5 mg of KLH) or placebo was given on day 1 and GM-CSF (Leukine, sargramostim; Bayer HealthCare, Montville, NJ) was given at 250 μg daily on days 1 to 4 of each course. To ensure the integrity of treatment blinding, study drug and placebo prepared at Faville were sent to an independent distributor that shipped the appropriate vials to clinical sites. Blinded study drug (1 mL) and GM-CSF (0.5 to 1.0 mL) were given subcutaneously, with GM-CSF administered close to the blinded drug injection site. Courses were repeated monthly for six doses, every 2 months for six doses, and then every 3 months until evidence of progressive disease (PD) or unacceptable toxicity was observed.

Computed tomography (CT) scans of the neck, chest, abdomen, and pelvis were obtained at entry and repeated every 3 months for the first 2 years and then every 6 months. On discontinuation of treatment or at the date of data cutoff, copies of all patient CT scans were rendered anonymous and

submitted for review by a central radiology group (Synarc, San Francisco, CA). Two radiologists reviewed the CT scans independently to determine disease response and a third radiologist adjudicated discordant cases; all three radiologists were blinded to treatment assignment and clinical outcomes. Characterization of sites of nodal involvement for determination of the Follicular Lymphoma International Prognostic Index (FLIPI) was also performed by central radiology.⁷ Disease response was assessed by the investigators to manage patients and determine response to rituximab before random assignment and for patient stratification.

Disease response was defined using modified International Workshop Group response criteria.⁸ Objective responses had to be confirmed at least 4 weeks later. An unconfirmed (u) response was downgraded to the next lower stage (ie, CR/CRu to PR and PR to SD). To qualify for CR, patients had to have a documented negative bone marrow biopsy. For this analysis, patients with CRu are reported as CR. Criteria for PD were met when a new lesion was noted, the sum of the product of the perpendicular diameters (PPD) of all abnormal lymph nodes (SPD) increased by $\geq 50\%$ from previous nadir, the PPD of any single lymph node increased by $\geq 50\%$ from previous nadir, or any previously abnormal lymph node that had returned to normal size increased to more than 1.5 cm in its longest transverse diameter, or to more than 1.0 cm in its longest transverse diameter if the cross-perpendicular diameter was more than 1.0 cm and less than 1.5 cm. Blood samples for immune assays were obtained at baseline and before each blinded study drug course and assays were to be performed as previously described.⁴

Sample Size Calculation and Statistical Methods

It was calculated that 342 patients had to be randomly assigned to detect a TTP hazard ratio (HR) of 1.545 for control versus mitumprotimut-T, with $\alpha = .01$, $1 - \beta = .78$, and an estimated median TTP of 12.5 and 19.3 months in

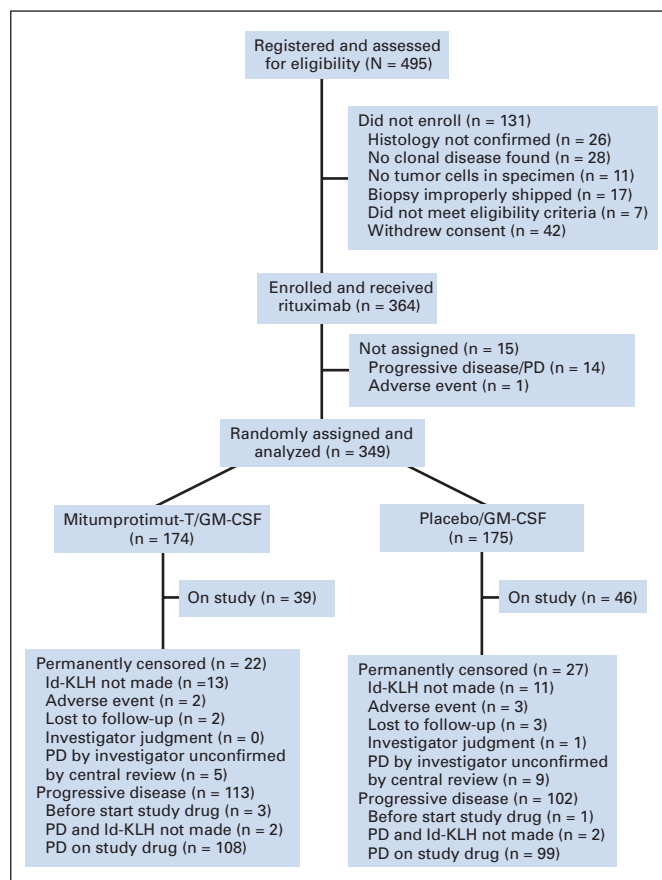


Fig 1. Patient disposition. GM-CSF, granulocyte-macrophage colony-stimulating factor; Id-KLH, idiotype keyhole limpet hemocyanin; PD, progressive disease.

Table 1. Patient and Disease Characteristics at Baseline

Characteristic	Mitumprotimut-T (n = 174)		Placebo (n = 175)	
	No.	%*	No.	%*
Age, years				
Median		55.8		53.0
Range		22-86		21-81
< 65	137	79	148	85
≥ 65	37	21	27	15
Sex				
Female	77	44	74	42
Male	97	56	101	58
Race				
White	160	92	163	93
African-American	3	2	4	2
Hispanic or Latino	8	5	4	2
Asian	3	2	4	2
ECOG PS				
0	146	84	152	87
1	28	16	22	13
2 or not reported	0	0	1	0
WHO grade				
1	89	51	91	52
2	70	40	73	42
3-unknown	15	9	11	6
"B" symptoms				
Present	12	7	23	13
Absent	162	93	150	86
Unknown	0	0	2	1
Prior therapy				
T-N	137	79	138	79
R/R	37	21	37	21
FLIPI risk group				
Low	51/174	29	78/175	45
T-N	37/137	27	55/138	40
R/R	14/37	38	23/37	62
Intermediate	71/174	41	66/175	38
T-N	55/137	40	57/138	41
R/R	16/37	43	9/37	24
High	49/174	28	29/175	17
T-N	42/137	31	25/138	18
R/R	7/37	19	4/37	11
Unknown	3	2	2	1
Ann Arbor stage				
I	0	0	7	4
II	17	10	21	12
III	75	43	67	38
IV	80	46	79	45
Unknown	2	1	1	0
No. of nodal sites				
Median		4		4
Range		1-6		1-6
LDH, U				
Median		186		177
Range		93-691		59-496
Hemoglobin, g/dL				
Median		14.1		14.1
Range		8.9-16.7		10.5-18.1

Abbreviations: ECOG PS, Eastern Cooperative Oncology Group performance status; T-N, treatment-naive patients; R/R, relapsed refractory disease; FLIPI, Follicular Lymphoma International Prognostic Index; LDH, lactate dehydrogenase.

*Percentage may not add to 100% because of rounding.

the control and mitumprotimut-T arms, respectively. The study was to be unblinded when at least 248 PDs were observed or after a total trial duration of 36 months (18 months for patient enrollment and 18 months of follow-up). An unblinded interim efficacy analysis was to be performed by an independent data monitoring board using the secondary efficacy end point of rate of response improvement (RRI) defined below, with the option of terminating the trial if the interim analysis showed a robust result in favor of the treatment group.⁹ The level of significance for the RRI interim analysis was set using the O'Brien-Fleming stopping rule boundary at 0.005 for the interim analysis and 0.048 for the final analysis.

The intent-to-treat population consisted of all randomly assigned patients. The efficacy-assessable population consisted of randomly assigned patients who received at least one dose of blinded study drug and had both a baseline and at least one follow-up CT scan assessment. The primary efficacy end point was TTP for all patients and for patient subsets according to stratification factors and was measured from the date of random assignment to the date of first documentation of PD, initiation of another therapy for lymphoma, or death as a result of lymphoma. Patients who had not experienced PD at the time of study analysis or who were lost to follow-up were censored at their last CT scan evaluation. Secondary end points included objective response rate (ORR), RRI (defined as the percentage of patients with SD or a PR after rituximab whose response subsequently improved to a PR or CR), duration of response, and safety. Comparisons of time-to-event variables between the two groups in the intent-to-treat population, patient subsets, and posthoc analyses were performed by Cox regression model adjusting for the two stratification factors. Statistical comparisons were two-sided. The study database was locked before unblinding, and all analyses were performed by the sponsor (Favril).

RESULTS

Patient Disposition and Characteristics

Between July 2004 and January 2006, 495 patients were assessed for eligibility and 364 patients were enrolled and received rituximab. Fifteen patients withdrew during or after rituximab therapy and were not eligible for random assignment, 14 patients because of PD and one patient because of an adverse event. Thus the intent-to-treat population consists of 349 patients: 174 patients randomly assigned to mitumprotimut-T and 175 patients randomly assigned to placebo. Thirty-four randomly assigned patients did not receive blinded study drug, 28 patients because mitumprotimut-T could not be produced, five patients because of PD before start of blinded study drug, and one patient because of withdrawal for personal reasons (Fig 1).

Demographics and disease status at entry were comparable between the two groups (Table 1). The median age was 54 years (range, 21 to 86 years), 57% were male, 85% had an Eastern Cooperative Oncology Group performance status of 0, 93% had follicular lymphoma WHO grade 1 or 2, 86% had stage III to IV disease, and 79% were treatment naive. There was an imbalance in the distribution of FLIPI risk scores between the two treatment arms, with more high-risk FLIPI patients randomly assigned to mitumprotimut-T and more low-risk FLIPI patients randomly assigned to placebo, and this imbalance was most significant in patients with relapsed/refractory disease.

Efficacy Results

As of March 2008, 215 randomly assigned patients (62%) had experienced PD (113 patients assigned to mitumprotimut-T/GM-CSF and 102 patients assigned to placebo/GM-CSF). The median TTP was 9.0 months (95% CI, 6.2 to 12.5 months) for 174 patients randomly assigned to mitumprotimut-T/GM-CSF and 12.6 months (95% CI, 10.7 to 20.8 months) for 175 patients randomly assigned to

placebo/GM-CSF (Fig 2), with a mitumprotimut-T:placebo HR of 1.384 (95% CI, 1.053 to 1.819; $P = .019$).

In 275 patients with treatment-naïve disease, there was no significant difference in TTP between the two arms (HR = 1.196; $P = .258$), with median TTP of 11.9 months (95% CI, 8.4 to 17.1 months) for mitumprotimut-T/GM-CSF and 17.2 months (95% CI, 11.0 to 25.0 months) for placebo/GM-CSF (Fig 3A). In 74 patients with relapsed/refractory disease, there was a significant difference in TTP between the two arms (HR = 2.265; $P = .004$), with median TTP of 6.0 months (95% CI, 3.8 to 7.3 months) for mitumprotimut-T/GM-CSF and 11.2 months (95% CI, 6.2 to 18.2 months) for placebo/GM-CSF (Fig 3B).

In 205 patients achieving CR or PR to rituximab, there was no significant difference in TTP between the two arms (HR = 1.352; $P = .142$), with median TTP of 18.8 months (95% CI, 12.5 to 27.2 months) for mitumprotimut-T/GM-CSF and 25.4 months (95% CI, 20.1 month to undetermined) for placebo/GM-CSF (Fig 4A). In 144 patients with SD after rituximab, there was no significant difference in TTP between the two arms (HR = 1.412; $P = .068$), with median TTP of 6.4 months (95% CI, 4.5 to 73. months) for mitumprotimut-T/GM-CSF and 6.3 months (95% CI, 5.8 to 8.8 months) for placebo/GM-CSF (Fig 4B).

In 162 treatment-naïve patients achieving CR or PR to rituximab, there was no significant difference in TTP between the two arms (HR = 1.141; $P = .570$), with median TTP of 25.3 months for mitumprotimut-T/GM-CSF and 25.4 months for placebo/GM-CSF. In 113 treatment-naïve patients with SD after rituximab, there was no significant difference in TTP between the two arms (HR = 1.243; $P = .310$), with median TTP of 6.6 months for mitumprotimut-T/GM-CSF and 6.4 months for placebo/GM-CSF.

When FLIPI was added as a covariate in the Cox regression model, the difference in TTP between the two treatment arms was no longer significant (HR = 1.242; $P = .128$). There were no significant differences in TTP between the two treatment arms in 78 patients with high-risk FLIPI scores ($P = .891$) or 266 patients with intermediate/low-risk FLIPI scores ($P = .143$).

When using the investigator's assessment of disease response, there were no significant differences in TTP in the intent-to-treat

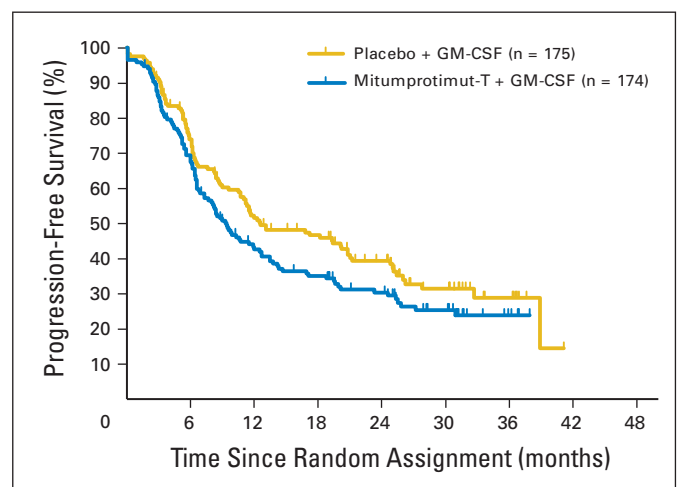


Fig 2. Time to progression: intent-to-treat population. Mitumprotimut-T:placebo hazard ratio of 1.384 (95% CI, 1.053 to 1.819; $P = .019$). GM-CSF, granulocyte-macrophage colony-stimulating factor.

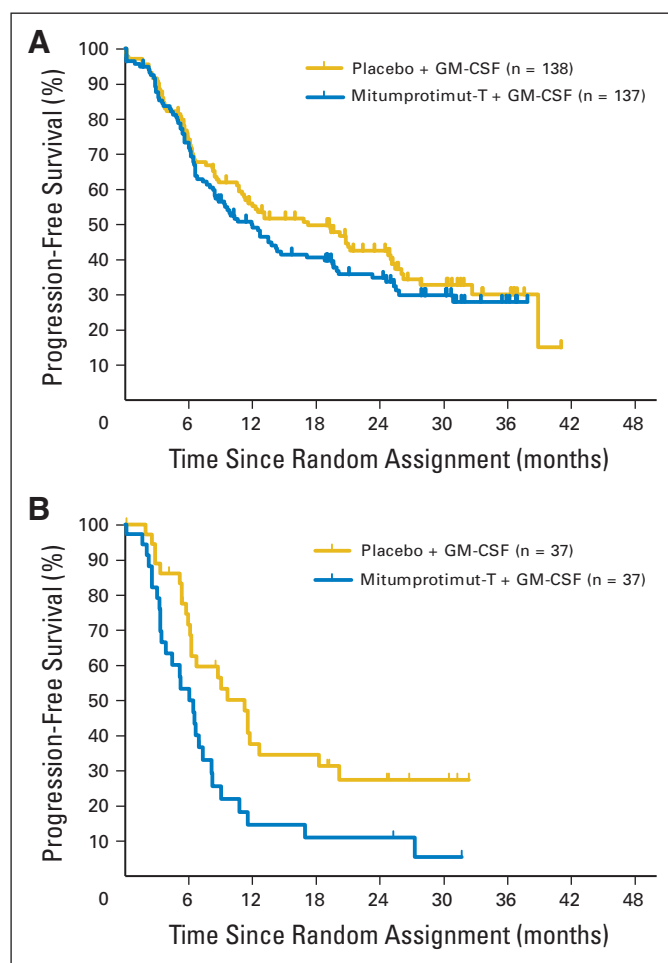


Fig 3. Time to progression by prior therapy: (A) treatment-naïve patients and (B) patients with relapsed/refractory disease. Mitumprotimut-T:placebo hazard ratio (HR) = 1.196; $P = .258$ for treatment-naïve patients. Mitumprotimut-T:placebo HR = 2.265, $P = .004$ for patients with relapsed/refractory disease. GM-CSF, granulocyte-macrophage colony-stimulating factor.

population (HR = 1.213; $P = .169$), with median TTP of 12.7 months (95% CI, 10.3 to 18.4 months) for mitumprotimut-T/GM-CSF and 17.0 months (95% CI, 12.0 to 24.5 months) for placebo/GM-CSF, or in any of the patient subsets. Comparisons of TTP between the two treatment arms for 312 patients comprising the efficacy-assessable population were consistent with those observed in the intent-to-treat population.

There were no significant differences between the two treatment arms in the ORR to rituximab, the ORR postrandomization, and RRI (Table 2). As a result of resource constraints, the immune assays were not performed, and immune responses are not available.

Safety Results

Study drug exposure was comparable in the two treatment arms, with a mean of 10.4 courses (range, two to 21 courses) in 155 patients given mitumprotimut-T and 10.8 courses (range, one to 21 courses) in 160 patients given placebo. The interval of time between any two blinded study drug doses for the first six doses was comparable between the two treatment arms, with a mean of 33.8 days for mitumprotimut-T and 32.2 days for placebo.

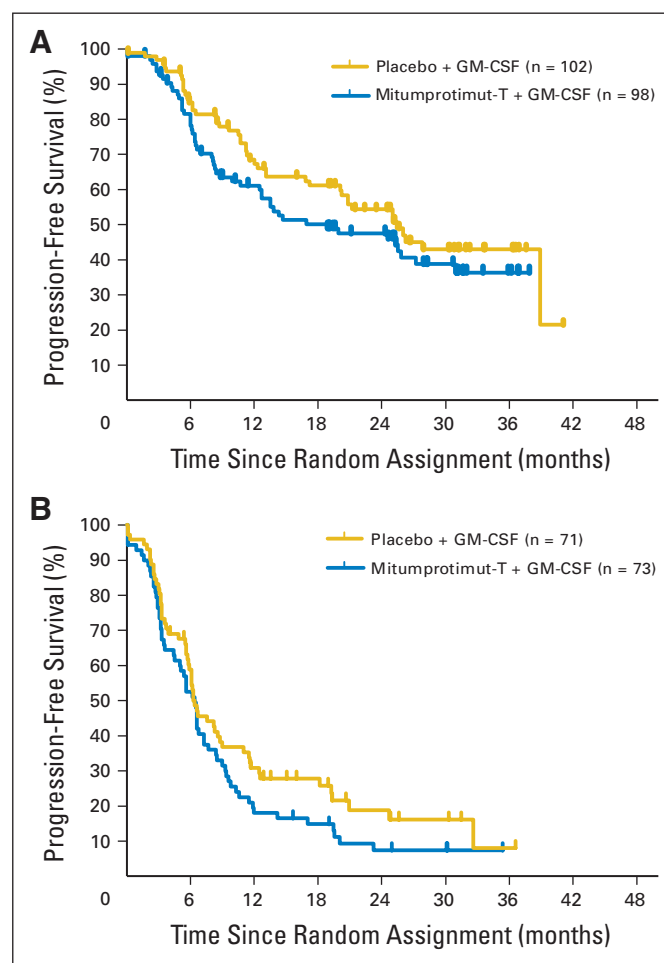


Fig 4. Time to progression by disease response to rituximab based on investigator's assessment: (A) objective response and (B) stable disease. Mitumprotimut-T:placebo hazard ratio (HR) = 1.352; $P = .142$ for patients having complete response/partial response. Mitumprotimut-T:placebo HR = 1.412; $P = .068$ for patients with stable disease. GM-CSF, granulocyte-macrophage colony-stimulating factor.

Safety was assessed in all patients who received rituximab and in patients who received blinded study drug/GM-CSF. The type, frequency, and severity of treatment-emergent adverse events were comparable between the two treatment arms (Table 3). The most common adverse event was injection site reaction, reported in 93.6% of patients. Injection site reactions (defined as erythema, pruritus, edema, inflammation, induration, and/or pain) were transient and graded as mild or moderate in 58.7% and 31.7% of patients, respectively. No cumulative toxicities were observed, and there were no drug-related deaths.

DISCUSSION

This phase III trial evaluated an all-biologic immunotherapeutic approach in follicular lymphoma consisting of passive immunotherapy with rituximab followed by active immunization with a patient-specific vaccine. It was hypothesized that active immunization would extend the time to disease progression after cytoreduction with rituximab. Rituximab was chosen for tumor debulking because it is better

Table 2. Objective Responses Rate and Rate of Response Improvement

Response	Tumor Restaging Before Randomization				Best Response After Randomization			
	Mitumprotimut-T		Placebo		Mitumprotimut-T		Placebo	
	No.	%	No.	%	No.	%	No.	%
Randomly assigned patients								
No. of patients	174		175		174		175	
CR	29	17	34	19	69	40	81	46
PR	69	40	68	39	42	24	34	19
ORR	98	57	102	58	111	64	115	65
Treatment-naïve patients								
No. of patients	137		138		137		138	
CR	23	17	25	18	60	44	66	48
PR	57	42	55	40	32	23	24	17
ORR	80	59	80	58	92	67	90	65
Relapsed/refractory disease								
No. of patients	37		37		37		37	
CR	6	16	9	24	9	24	15	41
PR	12	32	13	35	10	27	10	27
ORR	18	48	22	59	19	51	25	68
RRI postrandomization								
No. of patients								
SD to PR					9/70	13	7/70	10
SD to CR					4/70	6	6/70	9
PR to CR					36/69	52	41/68	60
Any RRI					49/139	35	54/138	39

Abbreviations: CR, complete response; PR, partial response; ORR, objective response rate; RRI, rate of response improvement; SD, stable disease.

tolerated than chemotherapy and, when used alone or with chemotherapy, is the preferred treatment for most patients with follicular lymphoma. Furthermore, studies have shown that rituximab does not suppress T-cell numbers and T-cell immunity, a key contributor to anticancer immune response.^{10,11} This trial differed from other Id-KLH phase III trials in that it used rituximab debulking instead of chemotherapy, provided for “boosting” doses of vaccines beyond the first six doses in an attempt to maintain or augment immune responses, was open to enrollment of previously untreated patients and those who had experienced relapse, and allowed enrollment of patients with SD after debulking therapy.^{12,13}

Patient characteristics were comparable in the two treatment arms except for the imbalance in FLIPI. When the study was designed, the importance of FLIPI as prognostic factor for time to progression and for patients treated with rituximab alone had not been realized, and patients were not stratified by FLIPI score.⁷ This inadvertently resulted in markedly more patients with high-risk FLIPI randomly assigned to mitumprotimut-T, particularly in the subset of patients with relapsed/refractory disease and fewer patients with low-risk FLIPI randomly assigned to placebo.

Treatment was usually well tolerated, and most adverse events were consistent with those expected with subcutaneous administration of immunomodulatory agents and with those reported in other Id-KLH trials.^{2-5,12} The type, incidence, and severity of adverse events were comparable between the two arms, providing additional assurance that blinding was maintained during the trial.

The study did not confirm the hypothesized improvement in TTP with mitumprotimut-T in randomly assigned patients nor in patients who received study drug. There was a significantly inferior

TTP in the mitumprotimut-T/GM-CSF arm compared with placebo/GM-CSF. Although it is not possible to exclude a detrimental vaccine effect, this difference can be attributed to the marked imbalance in FLIPI risk group between the two arms because the difference in TTP was no longer significant after adjusting for FLIPI risk group in all, treatment-naïve, and relapsed/refractory disease patients.

In the control arm, the observed median TTP of 12.6 months was consistent with the protocol assumptions and the published single-agent rituximab trials. The median TTP of 17.2 months from randomization, or approximately 20 months from the start of rituximab, in treatment-naïve patients is within the published range of 18 to 26 months.¹⁴⁻¹⁶ The median TTP of 11.2 months from randomization, or approximately 14 months from the start of rituximab, in relapsed/refractory disease is within the published range of 6 to 13 months.¹⁷⁻¹⁹

In the mitumprotimut-T arm, the overall ORR was similar to that reported in the phase II trial.⁵ The median and 3-year TTP, however, were lower than expected and that reported in the phase II trial.⁶ Whether this is due to enrollment of proportionally more patients with high-risk FLIPI scores, stricter definition of PD, stringent central radiology review, or other unknown factors is unclear.

A randomized phase III trial comparing Id-KLH/GM-CSF with KLH/GM-CSF in 287 previously untreated patients with follicular lymphoma who had achieved an objective response with eight courses of cyclophosphamide, vincristine, and prednisone showed an apparent plateau of progression-free survival (PFS) at 30% at 5 years, but there was no difference in PFS and time to next lymphoma treatment between the two arms, although there was a significant prolongation of PFS in patients mounting an anti-Id humoral immune response.¹³ The final results of another randomized phase III trial evaluating Id

Table 3. Adverse Events Reported in $\geq 10\%$ of Patients*

Adverse Event	Mitumprotimut-T and GM-CSF (n = 155)		Placebo and GM-CSF (n = 160)	
	No.	%	No.	%
Injection site reaction	147	95	148	97
Fatigue	70	45	69	43
Headache	45	29	43	27
Fever of any cause	34	22	26	16
Arthralgia	30	19	30	19
Chills	28	18	24	15
Nausea	27	17	33	21
Myalgia	27	17	23	14
Influenza-like symptoms	26	17	30	19
Back pain	26	17	38	24
Upper respiratory tract infection	25	16	27	17
Cough	24	16	14	9
Diarrhea	23	15	26	16
Pain	23	15	24	15
Dizziness	21	14	13	8
Pain in extremity	17	11	16	10
Dyspnea	15	10	16	10
Bone pain	14	9	14	9
Rash	13	8	17	11
Abdominal pain	11	7	18	11
Chest pain	9	6	19	12

Abbreviation: GM-CSF, granulocyte-macrophage colony-stimulating factor.

*Regardless of relationship to blinded study drug and GM-CSF.

vaccination in patients achieving an objective response to chemotherapy have not been reported.¹²

Taken together, the results of the two reported phase III trials indicate that immunotherapy with patient-specific Id-KLH and GM-CSF does not improve PFS despite evidence of cellular and humoral anti-Id responses, in contrast to the encouraging results reported in the smaller earlier single-arm studies.^{1-6,10,20,21} Whether the absence of improvement is the result of targeting an irrelevant antigen, the weak immunogenicity of Id and inadequacy of GM-CSF as adjuvant, impaired humoral responses after rituximab, presence of immune inhibitors such as regulatory T-cells or transforming growth factor β , vaccination in patients with residual disease, or other reasons is unclear and requires further evaluation.

AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

Although all authors completed the disclosure declaration, the following author(s) indicated a financial or other interest that is relevant to the subject matter under consideration in this article. Certain relationships marked with a "U" are those for which no compensation was received; those relationships marked with a "C" were compensated. For a detailed description of the disclosure categories, or for more information about ASCO's conflict of interest policy, please refer to the Author Disclosure Declaration and the Disclosures of Potential Conflicts of Interest section in Information for Contributors.

Employment or Leadership Position: John F. Bender, Favril Inc (C); Daniel P. Gold, Favril Inc (C); Richard G. Ghalie, Favril Inc (C); Morgan E. Stewart, Favril Inc (C); Vanessa Esquibel, Favril Inc (C)
Consultant or Advisory Role: Arnold Freedman, Favril Inc (C); Sattva S. Neelapu, Favril Inc. (C); Craig Nichols, Favril Inc (C); Jane N.

Winter, Favril Inc (C); Paul Hamlin, Favril Inc (C) **Stock Ownership:** John F. Bender, Favril Inc; Daniel P. Gold, Favril Inc; Vanessa Esquibel, Favril Inc **Honoraria:** Paul Hamlin, Favril Inc **Research Funding:** Arnold Freedman, Favril Inc; Craig Nichols, Favril Inc; Jane N. Winter, Favril Inc; Michael J. Robertson, Favril Inc; Benjamin Djulbegovic, Favril Inc; Sattva S. Neelapu, Favril Inc; Paul Hamlin, Favril Inc **Expert Testimony:** None **Other Remuneration:** None

AUTHOR CONTRIBUTIONS

Conception and design: John F. Bender, Daniel P. Gold, Richard G. Ghalie, Morgan E. Stewart

Administrative support: John F. Bender, Richard G. Ghalie, Vanessa Esquibel

Provision of study materials or patients: Arnold Freedman, Sattva S. Neelapu, Craig Nichols, Michael J. Robertson, Benjamin Djulbegovic, Jane N. Winter, John F. Bender, Daniel P. Gold, Paul Hamlin

Collection and assembly of data: Arnold Freedman, Sattva S. Neelapu, Craig Nichols, Michael J. Robertson, Benjamin Djulbegovic, Jane N. Winter, Paul Hamlin, John F. Bender, Daniel P. Gold, Richard G. Ghalie, Morgan E. Stewart, Vanessa Esquibel

Data analysis and interpretation: Arnold Freedman, Sattva S. Neelapu, Craig Nichols, Michael J. Robertson, Benjamin Djulbegovic, Jane N. Winter, John F. Bender, Daniel P. Gold, Richard G. Ghalie, Morgan E. Stewart, Vanessa Esquibel, Paul Hamlin

Manuscript writing: Arnold Freedman, Sattva S. Neelapu, Craig Nichols, Michael J. Robertson, Benjamin Djulbegovic, Jane N. Winter, John F. Bender, Daniel P. Gold, Richard G. Ghalie, Morgan E. Stewart, Paul Hamlin

Final approval of manuscript: Arnold Freedman, Sattva S. Neelapu, Craig Nichols, Michael J. Robertson, Benjamin Djulbegovic, Jane N. Winter, John F. Bender, Daniel P. Gold, Richard G. Ghalie, Morgan E. Stewart, Vanessa Esquibel, Paul Hamlin

REFERENCES

1. Kwak LW, Campbell MJ, Czerwinski DK, et al: Induction of immune responses in patients with B-cell lymphoma against the surface-immunoglobulin idiotype expressed by their tumors. *N Engl J Med* 327:1209-1215, 1992
2. Hsu FJ, Caspar CB, Czerwinski D, et al: Tumor-specific idiotype vaccines in the treatment of patients with B-cell lymphoma: Long-term results of a clinical trial. *Blood* 89:3129-3135, 1997
3. Bendandi M, Gocke CD, Kobrin CB, et al: Complete molecular remissions induced by patient-specific vaccination plus granulocyte-monocyte colony-stimulating factor against lymphoma. *Nat Med* 5:1171-1177, 1999
4. Redfern CH, Guthrie TH, Bessudo A, et al: Phase II trial of idiotype vaccination in previously treated patients with indolent non-Hodgkin's lymphoma resulting in durable clinical responses. *J Clin Oncol* 24:3107-3112, 2006
5. Koc ON, Redfern C, Wiernik PH, et al: Continued late conversion to complete remission (CR/CRu) and durability of remission (DUR) in pts with B-cell follicular lymphoma (FL) treated with rituximab followed by mitumprotimut-T (Id-KLH, Favld) immunotherapy. *Blood* 110, 2007 (abstr 3427)
6. Koc ON, Redfern C, Wiernik PH, et al: Active immunotherapy with mitumprotimut-T (Specifid, Id-KLH, Favld) following rituximab cytoreduction in patients with follicular B-cell lymphoma: Progression-free survival at 4-year follow-up—Study Favld-04. *Blood* 110, 2007 (abstr 2567)
7. Solal-Céligny P, Roy P, Colombat P, et al: Follicular Lymphoma International Prognostic Index. *Blood* 104:1258-1265, 2004
8. Cheson BD, Horning SJ, Coiffier B, et al: Report of an international workshop to standardize response criteria for non-Hodgkin's lymphomas: NCI Sponsored International Working Group. *J Clin Oncol* 17:1244-1253, 1999
9. Freedman A, Hamlin PA, Neelapu S, et al: Phase III trial of active immunotherapy (Favld, Id/KLH) following rituximab induction therapy: Clinical responses in patients with follicular non-Hodgkin's lymphoma. *Blood* 108, 2006 (abstr 2756)
10. Koc O, Redfern C, Wiernik P, et al: Extended follow-up and analysis with central radiological review of patients receiving Favld (Id/KLH) vaccine following rituximab. *Blood* 106, 2005 (abstr 772)
11. Neelapu SS, Kwak LW, Kobrin CB, et al: Vaccine-induced tumor-specific immunity despite severe B-cell depletion in mantle cell lymphoma. *Nat Med* 11:986-991, 2005
12. Stergiou AM, Neelapu SS, Casciano R, et al: BiovaxID vaccine therapy of follicular lymphoma in first remission: Phase III blinded safety update. *Blood* 110, 2007 (abstr 4500)
13. Levy R, Robertson MJ, Ganjoo K, et al: Results of a phase 3 trial evaluating safety and efficacy of specific immunotherapy, recombinant idiotype (Id) conjugated to KLH (Id-KLH) with GM-CSF, compared to non-specific immunotherapy, KLH with GM-CSF, in patients with follicular non-Hodgkin's lymphoma (fNHL). *Proc Am Assoc Cancer Res* 2008 (abstr LB-204)
14. Colombat P, Brousse N, Morschhauser F, et al: Single treatment with rituximab monotherapy for low-tumor burden follicular lymphoma (FL): Survival analyses with extended follow-up of 7 years. *Blood* 108, 2006 (abstr 486)
15. Witzig TE, Vukov A, Habermann TM, et al: Rituximab therapy for patients with newly diagnosed, advanced-stage follicular grade I non-Hodgkin's lymphoma: A Phase II trial in the North Central Cancer Treatment Group. *J Clin Oncol* 23:1103-1108, 2005
16. Ghielmini M, Hsu Schmitz SF, Cogliatti SB, et al: Prolonged treatment with rituximab significantly increases event-free survival and response duration compared with the standard weekly x4 schedule. *Blood* 103:4416-4423, 2004
17. Maloney DG, Grillo-Lopez AJ, White CA, et al: IDEC-C2B8 (rituximab) anti-CD20 monoclonal antibody therapy in patients with relapsed low-grade non-Hodgkin's lymphoma. *Blood* 90:2188-2195, 1997
18. McLaughlin P, Grillo-Lopez AJ, Link BK, et al: Rituximab chimeric anti-CD20 monoclonal antibody therapy for relapsed indolent lymphoma: Half of patients respond to a four-dose treatment program. *J Clin Oncol* 16:2825-2833, 1998
19. Witzig TE, Gordon LI, Cabanillas F, et al: Randomized controlled trial of Yttrium-90-labeled ibritumomab tiogetan radioimmunotherapy versus rituximab immunotherapy for patients with relapsed or refractory low-grade, follicular, or transformed B-cell non-Hodgkin's lymphoma. *J Clin Oncol* 20:2453-2463, 2002
20. Inogès S, Rodriguez-Calvillo M, Zabalegui N, et al: Clinical benefit associated with idiotype vaccination in patients with follicular lymphoma. *J Natl Cancer Inst* 98:1292-1301, 2006
21. Timmerman JM, Vose J, Levy R, et al: Long-term follow-up of patients treated in a Phase 2 trial with MyVax personalized immunotherapy (recombinant Id-KLH with GM-CSF) after chemotherapy as initial treatment for follicular non-Hodgkin's lymphoma (NHL). *Blood* 106, 2005 (abstr 2438)

