

Inference about a Population Rate (λ)

JH notes on rates

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EPIB 607

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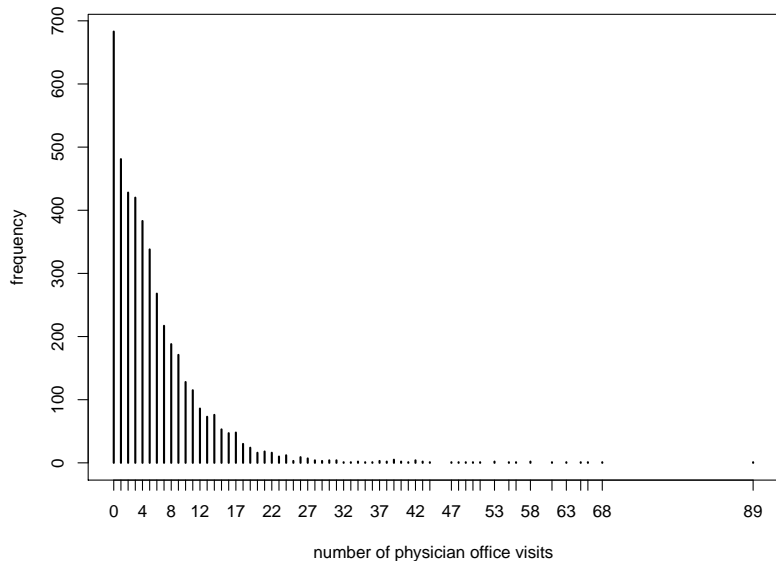


Poisson Model for Sampling Variability of a Count in a Given Amount of “Experience”

Motivating example: Demand for medical care

- Data from the US National Medical Expenditure Survey (NMES) for 1987/88
- 4406 individuals, aged 66 and over, who are covered by Medicare, a public insurance program
- The objective of the study was to model the demand for medical care - as captured by the number of physician/non-physician office and hospital outpatient visits - by the covariates available for the patients.

Motivating example: Demand for medical care



Some observations about the previous plot

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- There are rare events, e.g. 1 individual with 89 visits
- The data are far from normally distributed
- Can theoretically go on forever

The Poisson Distribution

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- The binomial distribution was derived by starting with an experiment consisting of trials or draws and applying the laws of probability to various outcomes of the experiment.
- There is no simple experiment on which the Poisson distribution is based, although we will shortly describe how it can be obtained by certain limiting operations.

The Poisson Distribution: what it is, and features

- The (infinite number of) probabilities $P_0, P_1, \dots, P_y, \dots$, of observing $Y = 0, 1, 2, \dots, y, \dots$ events in a given amount of “experience.”

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$$P(Y = k) = \frac{\mu^k}{k!} e^{-\mu}, \quad k = 0, 1, 2, \dots$$

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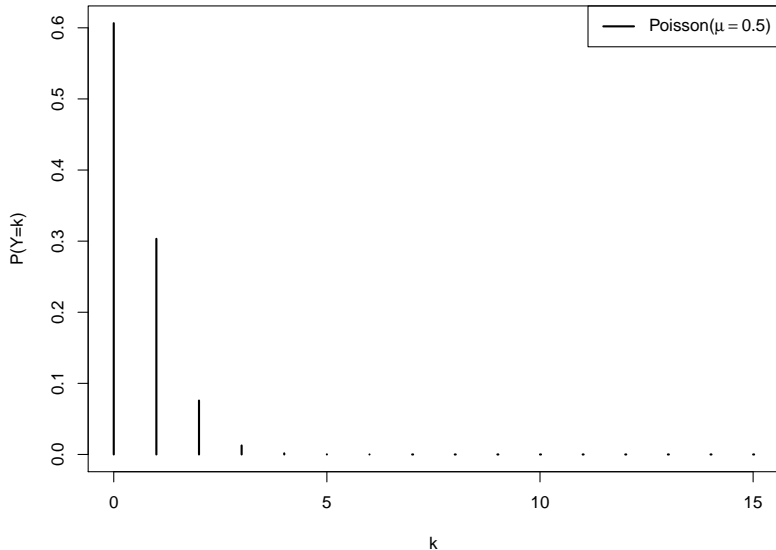
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- Note: in `dpois()` μ is referred to as `lambda`
- Note the distinction between μ and λ
 - ▶ μ : expected **number** of events
 - ▶ λ : **rate** parameter

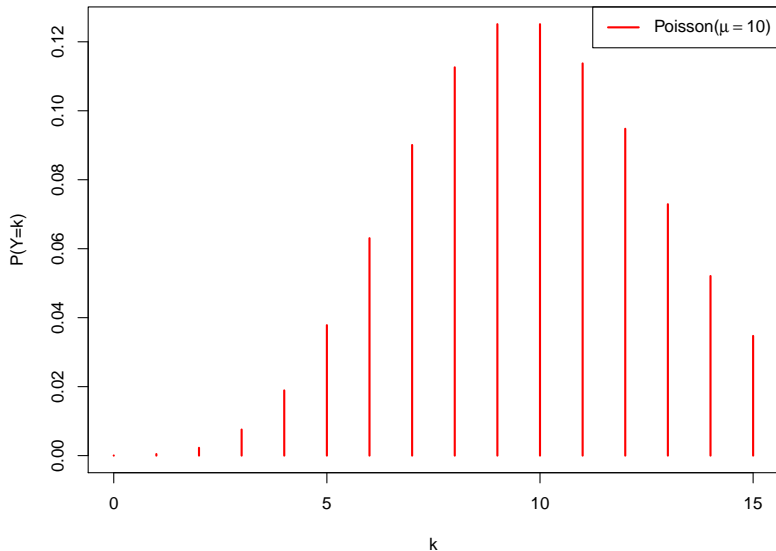
The probability mass function for $\mu = 0.5$

```
dpois(x = 0:15, lambda = 0.5)
```

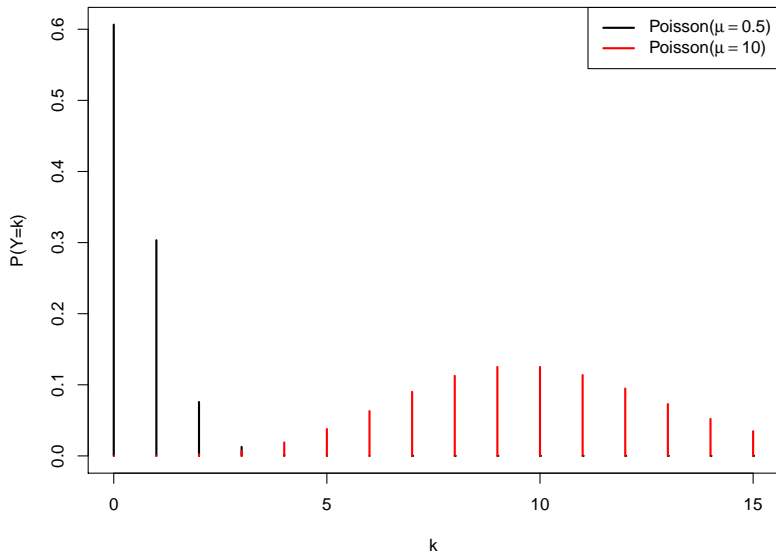


The probability mass function for $\mu = 10$

```
dpois(x = 0:15, lambda = 10)
```



The probability mass function



The Poisson Distribution: what it is, and features

- $\sigma_Y^2 = \mu \rightarrow \sigma_Y = \sqrt{\mu}.$

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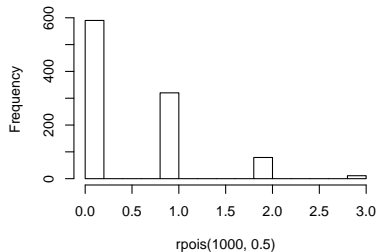
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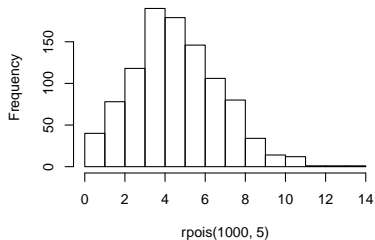
- $\sigma_Y^2 = \mu \rightarrow \sigma_Y = \sqrt{\mu}.$
- Approximated by $\mathcal{N}(\mu, \sqrt{\mu})$ when $\mu \gg 10$
- Open-ended (unlike Binomial), but in practice, has finite range.
- Poisson data sometimes called "numerator only": (unlike Binomial) may not "see" or count "non-events"

Normal approximation to Poisson is the CLT in action

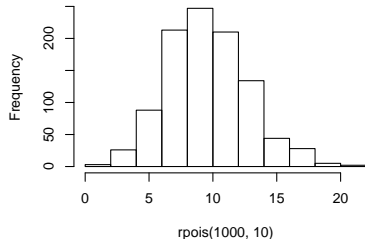
Histogram of rpois(1000, 0.5)



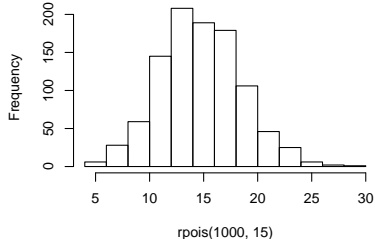
Histogram of rpois(1000, 5)



Histogram of rpois(1000, 10)



Histogram of rpois(1000, 15)



How it arises

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- $\text{Binomial}(n, \pi)$ when $n \rightarrow \infty$ and $\pi \rightarrow 0$, but $n \times \pi = \mu$ is finite.
- $Y \sim \text{Poisson}(\mu_Y)$ if time (T) between events follows an $T \sim \text{Exponential}(\mu_T = 1/\mu_Y)$. http://www.epi.mcgill.ca/hanley/bios601/Intensity-Rate/Randomness_poisson.pdf

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- As sum of ≥ 2 independent Poisson random variables, with same **or different** μ 's:
 $Y_1 \sim \text{Poisson}(\mu_1) \quad Y_2 \sim \text{Poisson}(\mu_2) \Rightarrow Y = Y_1 + Y_2 \sim \text{Poisson}(\mu_1 + \mu_2)$.

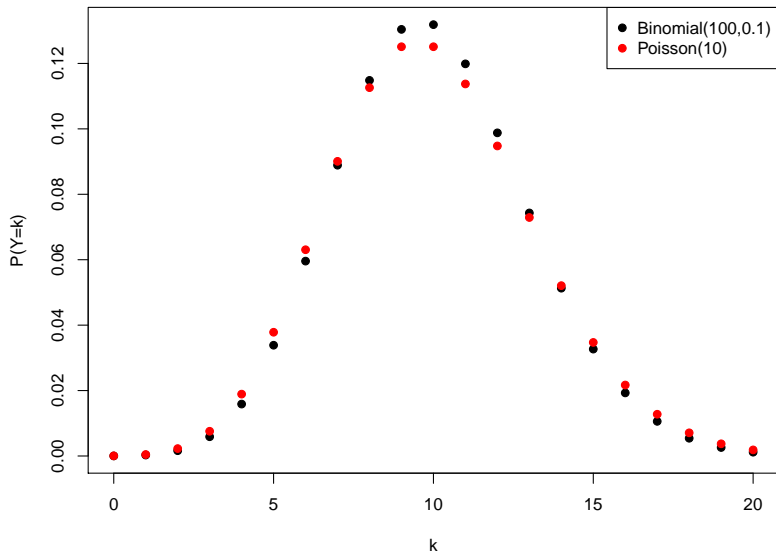
Poisson distribution as a limit

The rationale for using the Poisson distribution in many situations is provided by the following proposition.

Proposition 1 (Limit of a binomial is Poisson)

Suppose that $Y \sim \text{Binomial}(n, \pi)$. If we let $\pi = \mu/n$, then as $n \rightarrow \infty$, $\text{Binomial}(n, \pi) \rightarrow \text{Poisson}(\mu)$. Another way of saying this: for large n and small π , we can approximate the $\text{Binomial}(n, \pi)$ probability by the $\text{Poisson}(\mu = n\pi)$.

Poisson approximation to the Binomial



Examples

- numbers of asbestos fibres
- deaths from horse kicks*
- needle-stick or other percutaneous injuries
- bus-driver accidents*
- twin-pairs*
- radioactive disintegrations*
- flying-bomb hits*
- white blood cells
- typographical errors
- cell occupants – in a given volume, area, line-length, population-time, time, etc. ¹

¹* included in

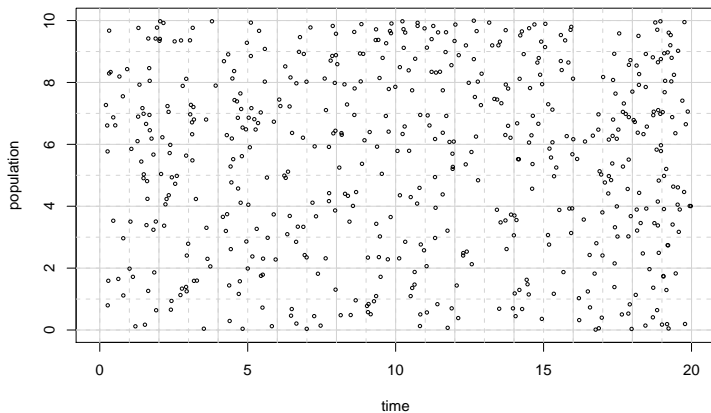


Fig.: Events in Population-Time randomly generated from intensities that are constant within (2 squares high by 2 squares wide) ‘panels’, but vary between such panels. In Epidemiology, each square might represent a number of units of population-time, and each dot an event.

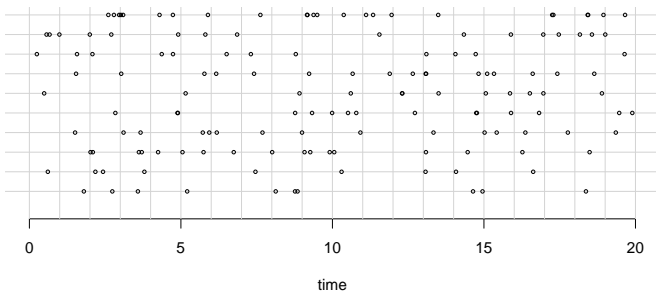


Fig.: Events in Time: 10 examples, randomly generated from constant over time intensities. Simulated with 1000 Bernoulli(π)'s per time unit.

Does the Poisson Distribution apply to.. ?

1. Yearly variations in numbers of persons killed in plane crashes
2. Daily variations in numbers of births
3. Weekly variations in numbers of births
4. Daily variations in numbers of deaths
5. Daily variations in numbers of traffic accidents
6. Variations across cookies/pizzas in numbers of chocolate chips/olives

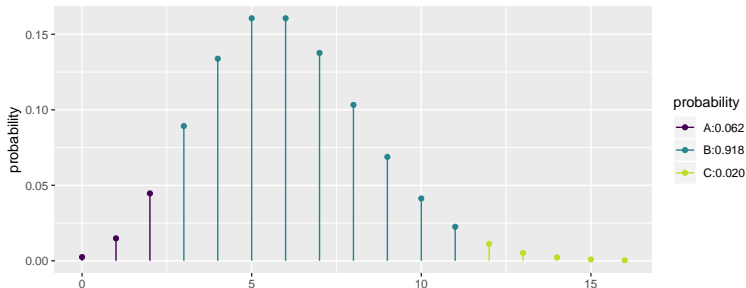
Inference regarding μ , based on observed
count y

Confidence interval for μ

- If the CLT hasn't kicked in, then the usual CI might not be appropriate:

$$\text{point-estimate} \pm z^* \times \text{standard error}$$

```
mosaic::xqpois(c(0.025, 0.975), lambda = 6)
```



```
## [1] 2 11
```

Confidence interval for μ

```
manipulate::manipulate(  
  mosaic::xqpois(c(0.025, 0.975), lambda = LAMBDA),  
  LAMBDA = manipulate::slider(1, 200, step = 1))
```

Confidence interval for μ

- Similar to the binomial (Clopper-Pearson CI), we consider a *first-principles* $100(1 - \alpha)\%$ CI $[\mu_{\text{LOWER}}, \mu_{\text{UPPER}}]$ such that

$$P(Y \geq y \mid \mu_{\text{LOWER}}) = \alpha/2 \quad \text{and} \quad P(Y \leq y \mid \mu_{\text{UPPER}}) = \alpha/2.$$

- For example, the 95% CI for μ , based on $y = 6$, is $[\underline{2.20}, \underline{13.06}]$.

LOWER
 $\mu = 2.2$

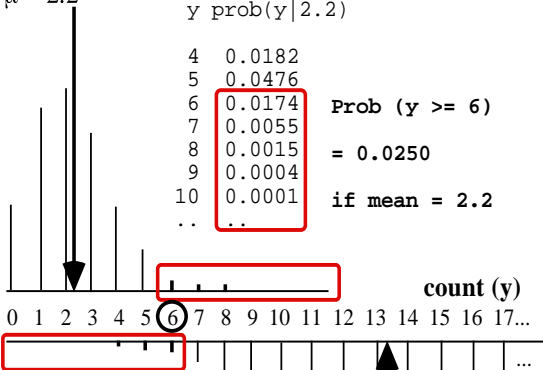
y prob(y|2.2)

4	0.0182
5	0.0476
6	0.0174
7	0.0055
8	0.0015
9	0.0004
10	0.0001
..	..

Prob (y >= 6)

= 0.0250

if mean = 2.2



y prob(y|13.06)

0	0.0000
1	0.0000
2	0.0002
3	0.0008
4	0.0026
5	0.0067
6	0.0147
7	0.0274
..	..

Prob (y <= 6)

= 0.0250

if mean = 13.06

UPPER
 $\mu = 13.06$

⑥ observed count

Poisson 95% CI for μ when $y = 6$

```
# upper limit --> lower tail needs 2.5%
manipulate::manipulate(
  mosaic::xppois(6, lambda = LAMBDA),
  LAMBDA = manipulate::slider(0.01, 20, step = 0.01))

# lower limit --> upper tail needs 2.5%
# when lower.tail=FALSE, ppois doesn't include k, i.e., P(Y > k)
manipulate::manipulate(
  mosaic::xppois(5, lambda = LAMBDA, lower.tail = FALSE),
  LAMBDA = manipulate::slider(0.01, 20, step = 0.01))
```

Confidence interval for μ

- For a given confidence level, there is one CI for each value of y .
- Each one can be worked out by trial and error, or – as has been done for the last 80 years – directly from the (exact) link between the tail areas of the Poisson and **Gamma** distributions.
- These CI's – for y up to at least 30 – were found in special books of statistical tables or in textbooks.
- As you can check, z-based intervals are more than adequate beyond this y . **Today**, if you have access to **R** (or **Stata** or **SAS**) you can obtain the first principles CIs directly **for any value of y** .

80%, 90% and 95% CI for mean count μ if we observe 0 to 30 events in a certain amount of experience

y	95%		90%		80%	
0	0.00	3.69	0.00	3.00	0.00	2.30
1	0.03	5.57	0.05	4.74	0.11	3.89
2	0.24	7.22	0.36	6.30	0.53	5.32
3	0.62	8.77	0.82	7.75	1.10	6.68
4	1.09	10.24	1.37	9.15	1.74	7.99
5	1.62	11.67	1.97	10.51	2.43	9.27
6	<u>2.20</u>	<u>13.06</u>	2.61	11.84	3.15	10.53
7	2.81	14.42	3.29	13.15	3.89	11.77
8	3.45	15.76	3.98	14.43	4.66	12.99
9	4.12	17.08	4.70	15.71	5.43	14.21
10	4.80	18.39	5.43	16.96	6.22	15.41
11	5.49	19.68	6.17	18.21	7.02	16.60
12	6.20	20.96	6.92	19.44	7.83	17.78
13	6.92	22.23	7.69	20.67	8.65	18.96
14	7.65	23.49	8.46	21.89	9.47	20.13
15	8.40	24.74	9.25	23.10	10.30	21.29
16	9.15	25.98	10.04	24.30	11.14	22.45
17	9.90	27.22	10.83	25.50	11.98	23.61
18	10.67	28.45	11.63	26.69	12.82	24.76
19	11.44	29.67	12.44	27.88	13.67	25.90
20	12.22	30.89	13.25	29.06	14.53	27.05
21	13.00	32.10	14.07	30.24	15.38	28.18
22	13.79	33.31	14.89	31.41	16.24	29.32
23	14.58	34.51	15.72	32.59	17.11	30.45
24	15.38	35.71	16.55	33.75	17.97	31.58

95% CI for mean count μ with `q` function

- To obtain these in **R** we use the natural link between the Poisson and the *gamma* distributions.²
- In **R**, e.g., the 95% limits for μ based on $y = 6$ are obtained as

```
qgamma(p = c(0.025, 0.975), shape = c(6, 7))  
## [1] 2.201894 13.059474
```

- More generically, for *any* y , as

```
qgamma(p = c(0.025, 0.975), shape = c(y, y+1))
```

² [details found here](#)

95% CI for mean count μ with canned function

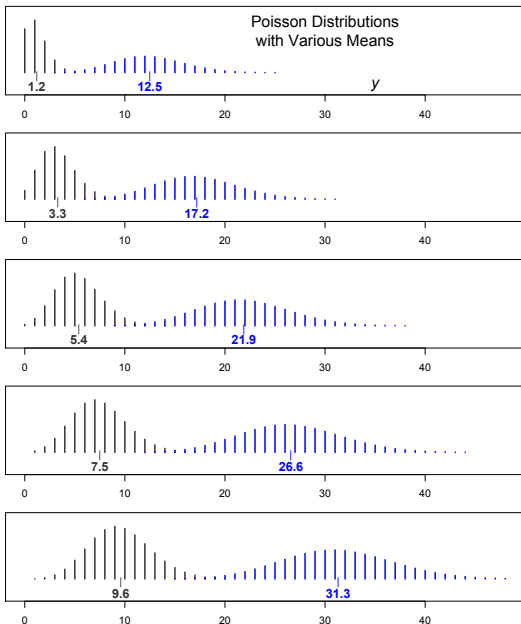
- These limits can also be found using the canned function in R

```
stats::poisson.test(6)

##
## ^IExact Poisson test
##
## data: 6 time base: 1
## number of events = 6, time base = 1, p-value = 0.0005942
## alternative hypothesis: true event rate is not equal to 1
## 95 percent confidence interval:
## 2.201894 13.059474
## sample estimates:
## event rate
## 6
```

z-based confidence intervals

once μ is in the upper teens, the Poisson \rightarrow the Normal



z-based confidence intervals

- Thus, a plus/minus CI based on $SE = \hat{\sigma} = \sqrt{\hat{\mu}} = \sqrt{y}$, is simply

$$[\mu_L, \mu_U] = y \pm z^* \times \sqrt{y}.$$

- Equivalently we can use the **q** function:

$$qnorm(p = c(0.025, 0.975), mean = y, sd = \sqrt{y})$$

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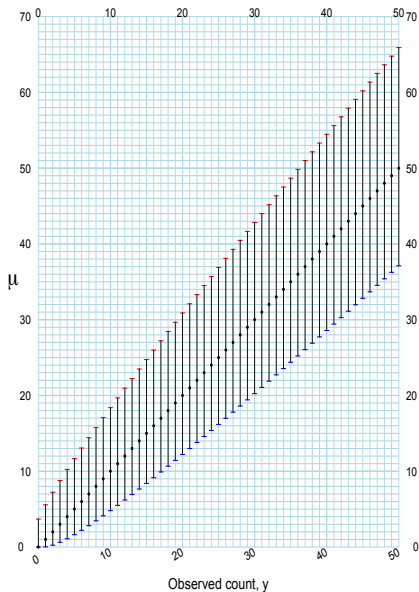
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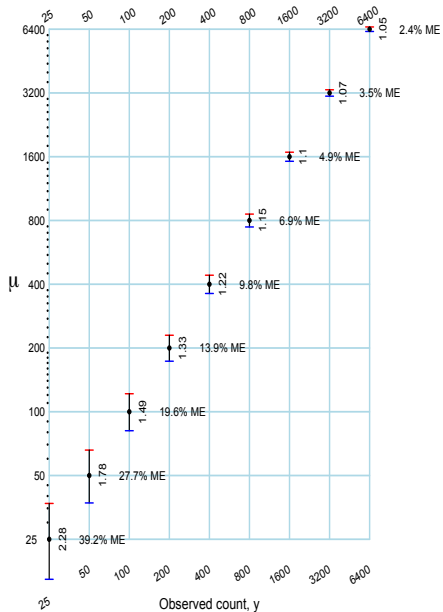
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- From a single realization y of a $N(\mu, \sigma_Y)$ random variable, we can't estimate **both** μ and σ_Y : for a SE, we would have to use *outside* information on σ_Y .
- In the $\text{Poisson}(\mu)$ distribution, $\sigma_Y = \sqrt{\mu}$, so we calculate a "model-based" SE.

95% CIs for μ



95% CIs for μ



Inference regarding an event rate parameter λ , based on observed number of events y in a known amount of population-time (PT)

Rates are better for comparisons

year	deaths (y)
1971	33
2002	211

Table: Deaths from lung cancer in the age-group 55-60 in Quebec in 1971 and 2002

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A researcher asks: Is the situation getting worse over time for lung cancer in this age group?

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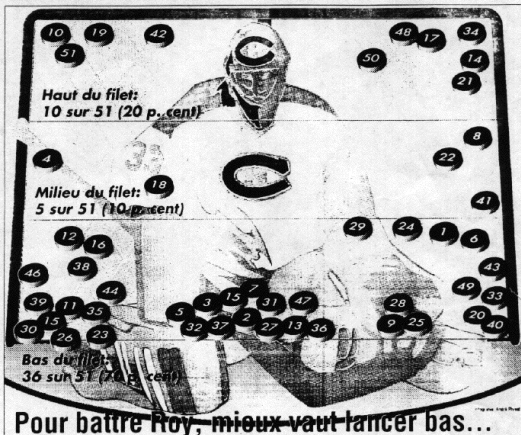
A researcher asks: Is the situation getting worse over time for lung cancer in this age group?

Your reply: What's the denominator??

La Presse Sports

**Sutter a trop parlé;
personne ne va
toucher à Roy,
foi de Carbo**

Pages 2 à 5



Quand même étonnant que les gens des Bruins de Boston ne soient pas mieux renseignés. En voulant battre Roy en lançant dans le haut du filet, comme il l'ont fait jusqu'ici, les Bruins perdent leur temps. La preuve, le graphique ci-dessus. Au cours des vingt matches des séries éliminatoires disputés l'an passé, le Canadien a accordé 51 buts (16 contre les Nordiques, 12 face aux Sabres, 11 et 12 face aux Islanders et aux Kings). Des 51 buts attribués par le meilleur gardien au monde, 35, soit 70 p. cent d'entre eux, ont vu la rondelle pénétrer dans la porte

inférieure du filet, à ras la glace comme on dit. Seulement 20 p. cent des tirs accordés l'ont été dans la partie supérieure du filet. Le style de jeu défensif de Roy réussit merveilleusement à cacher les failles qui l'habillent. Jusqu'à présent, on ne s'est pas beaucoup intéressé à ces statistiques, on est sous cape. Pas étonnant que Patrick Roy dans la Presse d'hier ait volontairement marqué les Bruins en leur disant de continuer à lancer haut. Que jamais ils ne le battent!

A LIRE 1,45 (1984)

Rates are better for comparisons

- So far, we have focused on inference regarding μ , the expected **number** of events in the amount of experience actually studied.
- However, for comparison purposes, the frequency is more often expressed as a **rate, intensity** or **incidence density (ID)**.

Rates are better for comparisons

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- However, for comparison purposes, the frequency is more often expressed as a **rate**, **intensity** or **incidence density (ID)**.

year	deaths (y)	person-time (PT)	rate ($\hat{\lambda}$)
1971	33	131,200 years	25 per 100,000 women-years
2002	211	232,978 years	91 per 100,000 women-years

Table: Deaths from lung cancer in the age-group 55-60 in Quebec in 1971 and 2002

Rates are better for comparisons

- The *statistic*, the empirical rate or empirical incidence density, is

$$rate = \hat{ID} = \hat{\lambda} = y/PT.$$

- where y is the observed number of events and PT is the amount of Population-Time in which these events were observed.
- We think of \hat{ID} or $\hat{\lambda}$ as a point estimate of the (theoretical) Incidence Density *parameter*, ID or λ .

CI for the rate parameter λ

- To calculate a CI for the ID parameter, we **treat the PT denominator as a constant**, and the numerator, y , as a **Poisson random variable**, with expectation $E[y] = \mu = \lambda \times PT$, so that

$$\lambda = \mu \div PT$$

$$\hat{\lambda} = \hat{\mu} \div PT$$

$$= y \div PT$$

$\text{CI for } \lambda = \{\text{CI for } \mu\} \div PT.$

(1)

CI for the rate parameter λ

- $y = 211$ deaths from lung cancer in 2002 leads to a 95% CI for μ :

```
qgamma(p = c(0.025, 0.975), shape = c(211, 212))
```

```
## [1] 183.4885 241.4725
```


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- From this we can calculate the 95% CI **per 100,000 WY** for λ using a PT=232978 years:

```
qgamma(p = c(0.025, 0.975), shape = c(211, 212)) / 232978 * 1e5
```

```
## [1] 78.75788 103.64607
```

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```

```
## [1] 78.75788 103.64607
```

- $y = 33$ deaths from lung cancer in 131200 women-years in 1971 leads to a 95% CI per 100,000 WY for λ of

```
qgamma(c(0.025, 0.975), c(33, 34)) / 131200 * 1e5
```

```
## [1] 17.31378 35.32338
```

CI for the rate parameter λ using canned function

```
stats::poisson.test(x = 33, T = 131200)

##
## ^^IExact Poisson test
##
## data: 33 time base: 131200
## number of events = 33, time base = 131200, p-value < 2.2e-16
## alternative hypothesis: true event rate is not equal to 1
## 95 percent confidence interval:
## 0.0001731378 0.0003532338
## sample estimates:
## event rate
## 0.0002515244
```

Test of $H_0 : \mu = \mu_0 \quad \Leftrightarrow \quad \lambda = \lambda_0$

Statistical evidence and the p -value

Recall:

- P-Value = $\text{Prob}[y \text{ or more extreme} \mid H_0]$
- With 'more extreme' determined by whether H_{alt} is 1-sided or 2-sided.
- For a **formal test**, at level α , compare this P-value with α .

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Age Standardized Incidence Ratio (SIR) = $O/E = 2/0.57 = 3.5$.

Q: Is the $O = 2$ significantly higher than $E = 0.57$

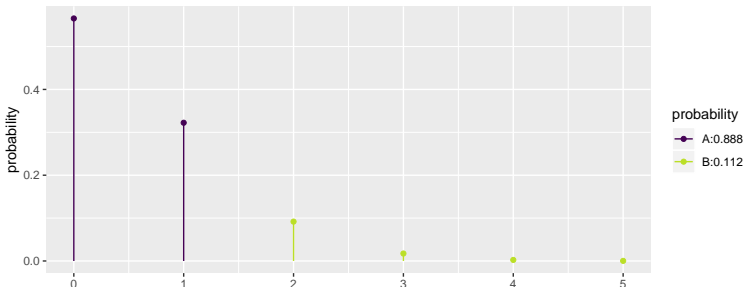
Question:

- Is the $y = 2$ cases of leukemia observed in the Douglas Point experience statistically significantly higher than the $E = 0.57$ cases “expected” for this many child-years of observation if in fact the rates in Douglas Point and the rest of Ontario were the same?
- Or, is the $y = 2$ observed in this community compatible with $H_0 : y \sim \text{Poisson}(\mu = 0.57)$?

A: Is the $O = 2$ significantly higher than $E = 0.57$

- **Answer:** Under H_0 , the age-specific numbers of leukemias $\{y_1 = O_1, y_2 = O_2, \dots\}$ in Douglas Point can be regarded as independent Poisson random variables, so their sum y can be regarded as a single Poisson random variable with $\mu = 0.57$.

```
mosaic::xppois(1, lambda = 0.57, lower.tail = FALSE)
```



```
## [1] 0.1121251
```

95% CI for the SIR by hand

- To get the CI for the SIR, divide the CI for Douglas Point μ_{DP} by the null $\mu_0 = 0.57$ (Ontario scaled down to the same size and age structure as Douglas Point.) We treat it as a constant because the Ontario rates used in the scaling are measured with much less sampling variability than the Douglas Point ones.

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- The $y = 2$ cases translates to
 - ▶ 95% CI for $\mu_{DP} \rightarrow [0.24, 7.22]$
 - ▶ 95% CI for the SIR $\rightarrow [0.24/0.57, 7.22/0.57]=[0.4, 12.7]$.

95% CI for the SIR using canned function

- We can *trick* `stats::poisson.test` to get the same CI by putting time as 0.57:

```
stats::poisson.test(x=2,T=0.57)

##
## ^^IExact Poisson test
##
## data:  2 time base: 0.57
## number of events = 2, time base = 0.57, p-value = 0.1121
## alternative hypothesis: true event rate is not equal to 1
## 95 percent confidence interval:
##  0.4249286 12.6748906
## sample estimates:
## event rate
##  3.508772
```


Examples of Poisson, 'Extra-Poisson' and 'Less-than-Poisson' Variation

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And what do we do about it?

- Can you think of a context where counts show less-than-Poisson variation?

Deaths from Horsekicks

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y	No. Deaths in corps-year	Frequencies (No. "corps-years" with y deaths)		y × Obs. Freq.
		Observed	Expected [§]	
0		109	108.7	0
1		65	66.3	65
2		22	20.2	44
3		3	4.1	9
4		1	0.6	4
5		-	0.1	-
Sum:		200	200	No. Deaths: 122

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http://www.epi.mcgill.ca/hanley/bios601/Intensity-Rate/horsekicks.anthrax_poisson.pdf
<http://www.epi.mcgill.ca/hanley/bios601/Intensity-Rate/horsekicks.txt>

Number of hurricanes by Saffir-Simpson Category to strike the mainland U.S. each decade.

Decade	Saffir-Simpson Category ¹					All 1,2,3,4,5	Major 3,4,5
	1	2	3	4	5		
1851-1860	8	5	5	1	0	19	6
1861-1870	8	6	1	0	0	15	1
1871-1880	7	6	7	0	0	20	7
1881-1890	8	9	4	1	0	22	5
1891-1900	8	5	5	3	0	21	8
1901-1910	10	4	4	0	0	18	4
1911-1920	10	4	4	3	0	21	7
1921-1930	5	3	3	2	0	13	5
1931-1940	4	7	6	1	1	19	8
1941-1950	8	6	9	1	0	24	10
1951-1960	8	1	5	3	0	17	8
1961-1970	3	5	4	1	1	14	6
1971-1980	6	2	4	0	0	12	4
1981-1990	9	1	4	1	0	15	5
1991-2000	3	6	4	0	1	14	5
2001-2004	4	2	2	1	0	9	3
1851-2004	109	72	71	18	3	273	92
Average Per Decade	7.1	4.7	4.6	1.2	0.2	17.7	6.0

¹ Data collected from [Fedor, Andrew. "Atlantic Hurricane Database." National Oceanic and Atmospheric Administration. 2014. Web. 10 Oct. 2014.](#)

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¹ [NOAA's National Hurricane Center's Best Track Data](#)

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Simulate 15 decades \pm a time pattern: `summary(rpois(15, lambda= ..))`

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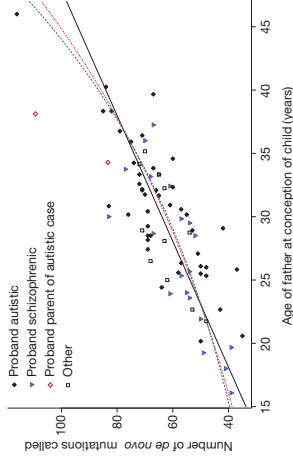


Figure 2 | Father's age and number of *de novo* mutations. The number of *de novo* mutations called is plotted against father's age at conception of child for the 78 trios. The solid black line denotes the linear fit. The dashed red curve is based on an exponential model fitted to the combined mutation counts. The dashed blue curve corresponds to a model in which maternal mutations are assumed to have a constant rate of 14.2 and paternal mutations are assumed to increase exponentially with father's age.

Rate of *de novo* mutations and the importance of father's age to disease risk
<http://www.epi.mcgill.ca/hanley/bios601/FathersAgeMutations.pdf>

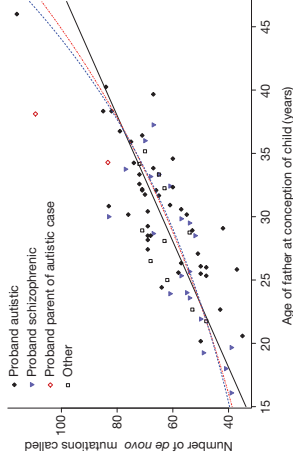


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$$\mu[y|age] \approx 2 \times age; \approx \text{Poisson variation of } y \text{'s around each } \mu[y|age] \text{ i.e. } \sigma[y|x] \approx \sqrt{\mu[y|age]}$$

What about Daily No.s of BIRTHS 9 MONTHS AFTER BLACKOUT ?

On November 9, 1965, the power went out in New York City, and it stayed out for a day – the **Great Blackout**. Nine months later, the newspapers suggested that New York was experiencing a baby boom. The columns below show the numbers of babies born every day during a 25-day period, Aug1-Aug25, centered nine months and ten days after the Great Blackout. These numbers average out to 436. This turns out not to be unusually high for New York.

Aug.	<u>Births</u>	<u>Day</u>
1	451	Mon
2	468	Tue
3	429	Wed
4	448	Thu
5	466	Fri
6	377	Sat
7	344	Sun
8	448	Mon
9	438	Tue
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12	462	Fri
13	405	Sat
14	377	Sun
15	451	Mon
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Statistics (2nd Ed) by D Freedman, R Pisani et al

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- Headline, p.1, Wed, Aug10, '66: "**Births Up 9 Months After the Blackout**" <http://www.epi.mcgill.ca/hanley/bios601/Intensity-Rate/BirthsUp9MonthsAfterBlackout.pdf>

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3	429	Wed
4	448	Thu
5	466	Fri
6	377	Sat
7	344	Sun
8	448	Mon
9	438	Tue
10	455	Wed
11	468	Thu
12	462	Fri
13	405	Sat
14	377	Sun
15	451	Mon
16	497	Tue
17	458	Wed
18	429	Thu
19	434	Fri
20	410	Sat
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Statistics (2nd Ed) by D Freedman, R Pisani et al

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- The hospitals reported that their obstetrics wards were busier than usual probably because of the general pattern that weekends are slow, Mondays and Tuesdays are busy.
- Headline, p.1, Wed, Aug10, '66: “**Births Up 9 Months After the Blackout**” <http://www.epi.mcgill.ca/hanley/bios601/Intensity-Rate/BirthsUp9MonthsAfterBlackout.pdf>
- This [overlooked source of variation] seems to be the origin of the baby-boom myth.”

What about Daily No.s of BIRTHS 9 MONTHS AFTER BLACKOUT ?

On November 9, 1965, the power went out in New York City, and it stayed out for a day – the **Great Blackout**. Nine months later, the newspapers suggested that New York was experiencing a baby boom. The columns below show the numbers of babies born every day during a 25-day period, Aug1-Aug25, centered nine months and ten days after the Great Blackout. These numbers average out to 436. This turns out not to be unusually high for New York.

Aug.	Births	Day
1	451	Mon
2	468	Tue
3	429	Wed
4	448	Thu
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Closer to Poisson variation if use week as unit, or if one ‘conditions on’ day-of-week; Over the year, some variation in weekly numbers.

Freedman cites Izenman & Zabel, “Babies and the blackout: the genesis of a misconception,” Soc. Sci. Res., 1981:282-99. available here <http://www.epi.mcgill.ca/hanley/bios601/Intensity-Rate/GenesisOfAMisconception.pdf>

Daily (and hourly!) variations in numbers of births

https://www.significancemagazine.com/585_and_https://rss-onlinelibrary-wiley-com.proxy3.library.mcgill.ca/doi/full/10.1111/3.1740-9713.2017.01026.x_or_http://www.epi.mcgill.ca/hanley/mysteryData/

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		<u>Observed</u>	<u>Expected</u> \$
0		117	71.5
1		157	164.0
2		158	187.9
3		115	143.6
4		78	82.3
5		44	37.7
6		21	14.4
7		7	4.7
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9		1	0.3
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- Ignoring this variation makes for (model-based) **Standard Errors (SE's) and CI's that are too narrow**, and that can lead to 'false positive' findings

- Encoding this (not identifiable) variation in 'random effects' or 'random-intercept' regression models makes for **more realistic SE's and CI's**.

<http://www.epi.mcgill.ca/haanley/bios601/Intensity-Rate/AccidentsBusDrivers.pdf>



We can use noninvasive techniques to examine the effects of minor disruptions of circadian rhythms on normal activities if we take advantage of annual shifts in time keeping. More than 25 countries shift to daylight savings time each spring and return to standard time in the fall. The spring shift results in the loss of one hour of sleep time (the equivalent in terms of jet lag of traveling one time zone to the east), whereas the fall shift permits an additional hour of sleep (the equivalent of traveling one time zone to the west). Although one hour's change may seem like a minor disruption in the cycle of sleep and wakefulness, measurable changes in sleep pattern persist for up to five days after each time shift.⁵ This leads to the prediction that the spring shift, involving a loss of an hour's sleep, might lead to an increased number of "microsleeps," or lapses of attention, during daily activities and thus might cause an increase in the probability of accidents, especially in traffic. The additional hour of sleep gained in the fall might then lead conversely to a reduction in accident rates.

We used data from a tabulation of all traffic accidents in Canada as they were reported to the Canadian Ministry of Transport for the years 1991 and 1992 by all 10 provinces. A total of 1,398,784 accidents were coded according to the date of occurrence. Data for analysis were restricted to the Monday preceding the week of the change due to daylight savings time, the Monday immediately after, and the Monday one week after the change, for both spring and fall time shifts. Data from the province of Saskatchewan were excluded because it does not observe daylight savings time. The analysis of the spring shift included 9593 accidents and that of the fall shift 12,010. The resulting data are shown in Figure 1.

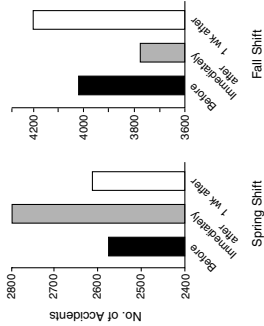


Figure 1. Numbers of Traffic Accidents on the Mondays before and after the Shifts to and from Daylight Savings Time for the Years 1991 and 1992.

There is an increase in accidents after the spring shift (when an hour of sleep is lost) and a decrease in the fall (when an hour of sleep is gained).

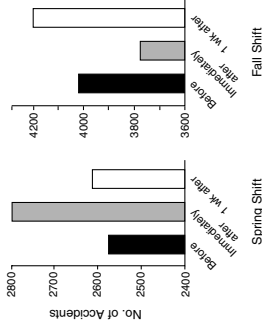


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to daylight savings time increased the risk of accidents. The Monday immediately after the shift showed a relative risk of 1.086 (95 percent confidence interval, 1.029 to 1.145; $\chi^2 = 9.01$, 1 df; $P < 0.01$). As compared with the accident rate a week later, the relative risk for the Monday immediately after the shift was 1.070 (95 percent confidence interval, 1.015 to 1.129; $\chi^2 = 6.19$, 1 df; $P < 0.05$). Conversely, there was a reduction in the risk of traffic accidents after the fall shift from daylight savings time when an hour of sleep was gained. In the fall, the relative risk on the Monday of the change was 0.937 (95 percent confidence interval, 0.897 to 0.980; $\chi^2 = 8.07$, 1 df; $P < 0.01$) when compared with the preceding Monday and 0.896 (95 percent confidence interval, 0.838 to 0.937; $\chi^2 = 23.69$, $P < 0.001$) when compared with the Monday one week later. Thus, the spring shift to daylight savings time, and the concomitant loss of one hour of sleep, resulted in an average increase in traffic accidents of approximately 8 percent, whereas the fall shift resulted in a decrease in accidents of approximately the same magnitude immediately after the time shift.

These data show that small changes in the amount of sleep that people get can have major consequences in everyday activities. The loss of merely one hour of sleep can increase the risk of traffic accidents. It is likely that the effects are due to sleep loss rather than a nonspecific disruption in circadian rhythm, since gaining an additional hour of sleep at the fall time shift seems to decrease the risk of accidents.

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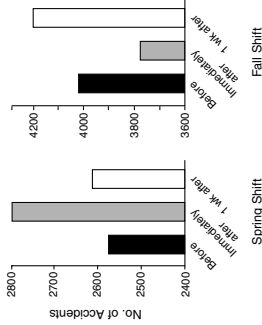


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Vincent: <http://www.epi.mcgill.ca/hanley/bios601/Intensity-Rate/Sleep-Vincent.pdf> The results of both a graphical analysis and the variability estimation of 10 years of data failed, as had an earlier study, to support Coren's hypothesis. [JH: Coren's χ^2 statistic assumes that the 2 'before' counts are like 2 Geiger counts generated by a uniform source, and ignores all outside influences.]

Other examples of "lumpy" counts ["extra-Poisson" variation]

Examples that don't fit Poisson distribution (or, not without further aggre-/segre-gation)

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- Yearly numbers/incidence of hospitalized injuries in a region
<http://www.epi.mcgill.ca/hanley/c609/Material/LidkopingALL.pdf>

Is Poisson Model appropriate for?

1. **Yearly Numbers of Dengue Fever Cases**
<https://www.nature.com/articles/d41586-018-05914-3> and [here https://gatesopenresearch.org/articles/2-36/v1](https://gatesopenresearch.org/articles/2-36/v1)
2. **Daily numbers of Sudden Infant Deaths?**
<https://www.ncbi.nlm.nih.gov/pubmed/21059188>
3. **Yearly numbers/incidence of hospitalized injuries in a region?**
<http://www.epi.mcgill.ca/hanley/c609/Material/LidkopingALL.pdf>
4. **Yearly Accidents, Fatalities, and Rates, 1982 - 2000, U.S. Air Carriers**
<http://www.epi.mcgill.ca/hanley/c626/airline-data-sas.txt>
5. **Quarterly & Monthly (prevalence) rates of Spina Bifida and Anencephaly Among Births (in relation to fortification of Foods with Folic Acid)**
http://www.epi.mcgill.ca/hanley/c626/folic_acid.pdf. See more data on webpage
<http://www.epi.mcgill.ca/hanley/c626/>.
6. **(Yearly) fatal and nonfatal crash rates on a toll highway (following a 5-15 mph (8-24 kph) decrease in speed limits)**
<https://www.ncbi.nlm.nih.gov/pubmed/1251837>
7. **Daily numbers of in-hospital deaths and Daily Maximal Temperatures**
http://www.epi.mcgill.ca/hanley/c626/Heatwave_death_lyon.pdf
8. **The (daily) incidence of crimes reported to 3 police stations in different towns (one rural, one urban, one industrial) vis-a-vis the day of the lunar cycle**
<http://www.epi.mcgill.ca/hanley/c626/fullmoon.pdf>
9. **Daily no.s (Postponement of Death Until Symbolically Meaningful Occasions)**
<http://www.epi.mcgill.ca/hanley/c626/holidays.pdf>
10. **Rates of audience fidget. (F Galton)**
http://www.epi.mcgill.ca/hanley/c626/measure_of_fidget_galton.pdf

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