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Still working on the paper *Resource and Power Allocation in SWIPT-Enabled Device-to-Device Communications Based on a Nonlinear Energy Harvesting Model*. Record some notes for the piecewise linear EH model mentioned in this paper, and methods for transforming the linear programming model into nonlinear programming model.

Technical term

• Piecewise linear functions

It is a function whose graph consists of straight line segments. it is a function of which the each piecewise is linear.

$$f(x) = egin{cases} 2x & x <= 2 \ -x + 3 & x > 2 \end{cases}$$

For the linear EH model mentioned in this paper:

$$EH_i^D = egin{cases} 0 & P_i^R \in [P_{tn}^0, P_{th}^1] \ k_j P^R + b_j, & P_i^R \in [P_{th}^j, P_{th}^{j+1}], j \in 1, \dots, L-1 \ P_{max}^{EH} & P_i^R \in [P_{th}^L, P_{th}^{L+1}] \end{cases}$$

Where the EH_i^D is the power harvested by D2D receiver i , and P_i^R is the received power for EH at D2D receiver i when sharing the RB with CUE k, which can be expressed as:

$$P_i^R = \lambda_i^e(P_i^D + P_k^C h_{k,i} + N_0)$$

Note that $P_{th}=\{P_{th}|1\leq j\leq L+1\}$ is the set of thresholds on P_i^R for L+1 linear segments. The k_j and b_j are the coefficients and the intercept of the linear function in the j_{th} segment. P_{th}^1 denotes the minimum received power requirement for activating the **RF EH** circuit, which is also the circuit sensitivity of the EH circuit, and te P_{max}^{EH} is the maximum power the **RF EH** circuit can harvest.

So the above piecewise linear EH model shows the **different amount of energy that the system can harvest at different segment.**

• Maximization of energy efficiency(EE)for SWIPT-enabled D2D links

The final equation of **Energy Efficiency(EE)** for D2D links can be expressed by

$$EE_{i}^{D} = rac{T_{i}^{D}}{EC_{i}^{D}} = rac{log_{2}(1 + rac{P_{i}^{D}h_{i}^{D}}{(P_{k}^{C}h_{k,i} + N_{0}) + rac{N_{1}}{1 - \lambda_{i}^{c}}})}{P_{i}^{D} + 2P_{cir} - EH_{i}^{D}}$$

As shown in the equation, if i want to find the maximum value of the Energy Efficiency, it is all about finding a optimal value for the transmission power at D2D link i (P_i^D) , the harvest energy from the system(EH_i^D), the power splitting ratio(λ_i^e).

And in this paper, the transmission power for **CUE link is constant for simulation**.

Accordingly, In this paper the EE maximization problem was formulated as

P1:
$$\max_{\{P_i^D, \lambda_e^i, i \in Eha^D\}} EE_i^D$$

s.t. $C1: 0 < P_i^D \le P_{\max}$
 $C2: 0 \le \lambda_i^e \le 1$
 $C3: T_i^D \ge T_{\min}^D$
 $C4: T_k^C \ge T_{\min}^C$
 $C5: P_i^R \ge P_{th}^1$
 $C6: P_{th}^j \le P_i^R \le P_{th}^{j+1}, \quad j \in 0, \dots, L \quad (12)$

• non-linear programming

In <u>mathematics</u>, **nonlinear programming** (**NLP**) is the process of solving an <u>optimization</u> <u>problem</u> where some of the constraints or the objective function are <u>nonlinear</u>

• linear programming:

Linear programming is a simple technique where we **depict** complex relationships through linear functions and then find the optimum points. The important word in the previous sentence is depicted. The real relationships might be much more complex – but we can simplify them to linear relationships.

As shown in the figure 1, it is a very classic example for people to use LP to save on fuel and time and find the shortest route.

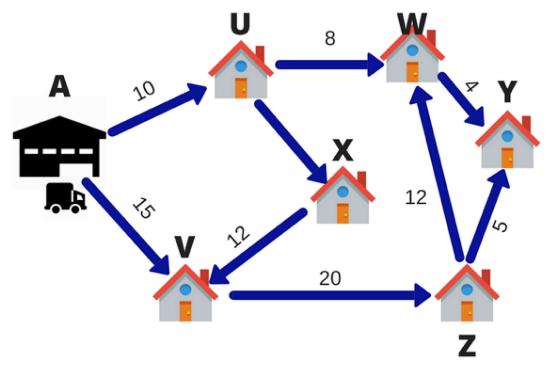


Figure 1: A simple example for linear programming

Notation definition

• $argmax_Sf = \{x \in f(s) \ for \ all \ s \in S\}$:argmax is the set of points x for which f(x) attains the function's largest value(if it exists). Argmax may be the empty set, a singleton, or contain multiple elements.

Literature Review

- 1. On nonlinear fractional programming:
 - 1. To figure out how did the authors transform a the nonconvex factional programming problem which is very difficult to solve into a nonfractional problem by employing nonlinear fractional programming.
 - Some mathematic concepts:
 - Compact space: In <u>mathematics</u>, specifically <u>general topology</u>, <u>compactness</u> is a property that generalizes the notion of a subset of <u>Euclidean space</u> being <u>closed</u> (containing all its <u>limit points</u>) and <u>bounded</u> (having all its points lie within some fixed distance of each other).
 - Euclidean space: Euclidean space is the fundamental space of <u>classical</u> <u>geometry</u>. Originally, it was the <u>three-dimensional space</u> of <u>Euclidean</u> <u>geometry</u>, but in modern <u>mathematics</u> there are Euclidean spaces of any nonnegative integer <u>dimension</u>,[1] including the three-dimensional space and the <u>Euclidean plane</u> (dimension two).
 - First of all, we need to figure out the relationship between nonlinear fractional nonlinear parametric Programming:

Let's set two different real-valued functions N(x) and D(x), they are all continuous. In addition, we also assumed that:D(x)>0 for all $x\in S$.

To figure out the problems mentioned before, we need to think about two following questions:

- 1. $max\{rac{N(x)}{D(x)}|x\in S\}$
- 2. $\max\{N(x)-qD(x)|x\in S\}$ for $q\in E^1$ where E^1 is one dimensional Euclidean space

According to Dickelbach, we have a couple of following Lemmas.

- ullet $Lemma\ 1:F(q)=max\{N(x)-qD(x)|x\in S\}$ is convex over E^1
- $Lemma\ 2:F(q)$ is continuous for $q\in E^1$.
- $Lemma\ 3$: $F(q) = max\{N(x) qD(x)|x \in S\}$ is strictly monotonic decreasing.
- Lemma 4:F(q)=0 has an unique solution, q_0
- $lacksquare Lemma ext{ 5: Let } x^+ \in S ext{ and } q^+ = rac{N(x^+)}{D(x^+)}) ext{ then } F(q^+) \geq 0$

For any $q=q^*$, the maximum of $\{N(x)-q^*D(x)|x\in S\}$ is taken on, for instance at x^* ; this may be indicated by writing $F(q^*,x^*)$. Now that we can get a theorem:

$$egin{aligned} q_0 &= rac{N(x_0)}{D(x_0)} = max\{rac{N(x)}{D(x)}|x\in S\} & if \quad and \quad only \quad if, \ F(q_0) &= F(q_0,x_0) = max\{N(x) - q_0D(x)|x\in S\} = 0 \end{aligned}$$

Now, back to the problem, for the original equation we have:

$$EE_i^D = rac{T_i^D}{EC_i^D}$$

This is the target of the whole paper we want to find the maximum value of the Energy efficiency. Now we can use the method that we deduced above:

$$max\{T_i^D - Q_i^D E C_i^D\}, \quad i \in E ha^D$$

Where in this method $\,T_i^D\,$ and $EC_i^D\,$ is N(x) and D(x) respectively. And according to the nonlinear fractional programming method there is a $Q_i^D\,$ which can let the $F(T_i^D,EC_i^D)=0.$

Then remember that we have this equation and a couple constraints:

P1:
$$\max_{\{P_i^D, \lambda_e^i, i \in Eha^D\}} EE_i^D$$

s.t. $C1: 0 < P_i^D \le P_{\max}$
 $C2: 0 \le \lambda_i^e \le 1$
 $C3: T_i^D \ge T_{\min}^D$
 $C4: T_k^C \ge T_{\min}^C$
 $C5: P_i^R \ge P_{th}^1$
 $C6: P_{th}^j \le P_i^R \le P_{th}^{j+1}, \quad j \in 0, ..., L \quad (12)$

So according to the usage of Lagrange multipliers, C1-C6 can all be thought of as different constraints. And if you want to find the Maximum value of EE_i^D , we can put them all into the Lagrange function:

$$EE_i^D = T_i^D - Q_i^D E C_i^D - lpha(P_i^D - P_{max}) \ -eta(\lambda_i^e - 1) + \gamma(T_i^D - T_{min}^D) + \delta(T_i^C - T_{min}^C) + \in (P_i^R - P_{th}^1)$$

Where all of these symbols are Lagrange multipliers for C1-C5 respectively.