## CHAT: some experiment results

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## Background

- CHAT is designed to find a group of cases that share one or more IBD segments that are likely to harbor rare disease-associated genetic variants.
- I will refer to a group of individuals who have a common IBD segment as an IBD cluster and a cluster of k individuals a k-order cluster.
- CHAT detects IBD clusters around each marker. Each cluster **tags** an IBD segment shared by **all** the members.
- CHAT aims for higher-order clusters, because they tend to tag shorter segments and thus provide higher mapping resolution.

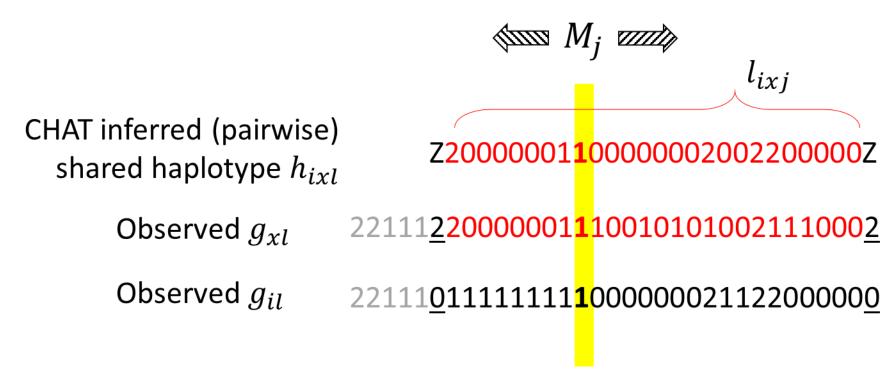
## Background (cont.)

- However, high-order IBD clusters are increasingly difficult to detect.
- CHAT determines whether a shared segment is IBD or IBS (i.e., shared by chance) by evaluating genotype/haplotype similarity in that segment statistically.
- A major task of CHAT is to accurately and efficiently detect highorder IBD clusters.
- Then CHAT uses Fisher's exact test to evaluate the association of each IBD cluster with the disease. The segment tagged by a disease-associated cluster is likely to harbor causal variant(s).

#### Nomenclature

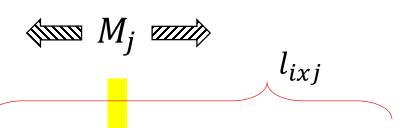
- Subject  $Z_i$ , i = 1,...,n; genetic marker  $M_j$ , j = 1,...,m
- A chromosomal segment  $l_j$  starts from Marker  $M_j$  towards two directions containing adjacent markers
- The genotype/haplotype of Subject  $Z_i$  in Segment  $l\colon g_{il}/h_{il}$
- Two or more subjects  $\{Z_{i_1}, \dots, Z_{i_k}\}$  share a segment  $l_{i_1 \dots i_k j}$  around  $M_j$  if in that segment their observed genotypes are compatible or their inferred haplotypes are the same\*.

#### CHAT progressively infers haplotypes shared by multiple individuals



- 1 heterozygote
- **0** homozygote at major allele
- **2** homozygote at minor allele

<sup>\*</sup>Suppose we do not tolerate any mismatch, i.e., we assume no genotyping error



Inferred (pairwise) shared haplotype  $h_{ixl}$ 

Z20000001<mark>1</mark>00000002002200000Z

Observed  $g_{xl}$  22111220000001110010101010021110002

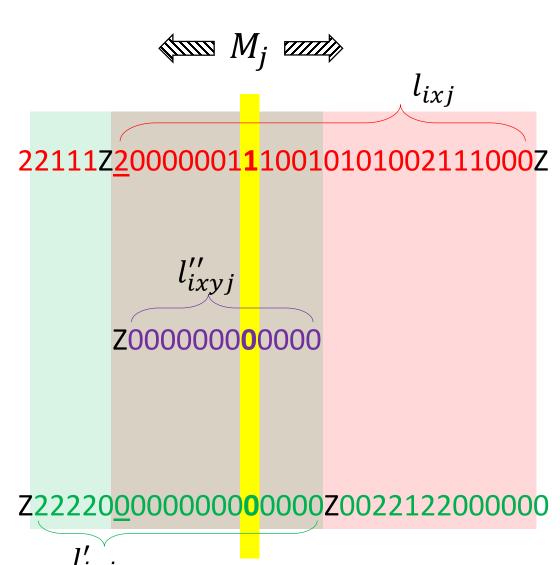
<u>2</u>21110111111111110000000022122000000 Observed  $g_{i,l'}$ 

Z2222000000000<mark>0</mark>0000Z

 $l'_{iyj}$ 

Inferred (pairwise)

shared haplotype  $h_{iyl'}$ 



Inferred haplotype shared by  $Z_i$  and  $Z_{\chi}$  around  $M_i$ 

Inferred haplotype shared by  $Z_i$ ,  $Z_{x}$  and  $Z_{y}$  around  $M_i$ 

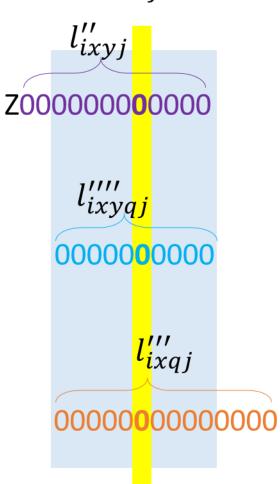
Inferred haplotype shared by  $Z_i$  and  $Z_{\nu}$  around  $M_i$ 

 $M_j$ 

Inferred haplotype shared by  $Z_i$ ,  $Z_x$  and  $Z_y$  around  $M_j$ 

Inferred haplotype shared by  $Z_i$ ,  $Z_x$ ,  $Z_y$  and  $Z_q$  around  $M_i$ 

Inferred haplotype shared by  $Z_i$ ,  $Z_x$  and  $Z_a$  around  $M_i$ 



We could go on and on...

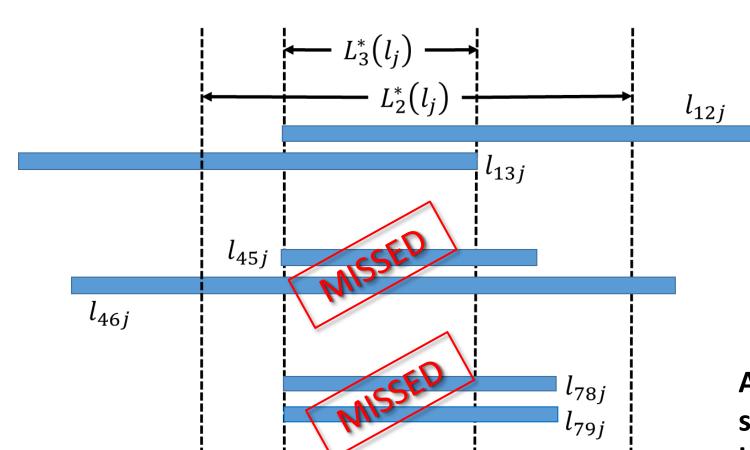
Are these shared segments IBD or IBS?

- CHAT answers this question statistically. The general strategy is to fit a null distribution of IBS sharing and to treat a specific sharing that has a significantly small p value as IBD.
- This question becomes increasingly difficult to answer when we search for higher-order IBD clusters.
  - More computational resources are needed to handle the rapid growing search space: Given n subjects, there are  $\binom{n}{k}$  candidates for a k-order IBD cluster.
  - Better statistics and null models are needed to determine whether the increasingly short shared segments are IBD or IBS.
- How does CHAT handle these problems?

## Incremental search of high-order IBD clusters

- Since an exhaustive search is computationally intensive, CHAT builds higher-order IBD clusters on established lower-order ones.
- In order for a three-subject group to be evaluated, there must be at least two established pairwise IBD relations (2-order IBD clusters) in that group.
  - Suppose  $l_{ixj}$  and  $l'_{iyj}$  are pairwise IBD segments around Marker  $M_j$  whereas  $l''_{iuj}$  is not. CHAT only evaluates the significance of  $l'''_{ixyj}$  being IBD.
- This strategy limits the search space by following promising paths. It improves efficiency at the cost of possible false negatives.

Suppose sharing is measured by the length of shared segments. Around Marker  $M_j$ ,  $L_2^*(l_j)$  and  $L_3^*(l_j)$  is the critical length for detecting pairwise and triple IBD segments respectively.  $L_2^*(l_j)$  is arguably longer than  $L_3^*(l_i)$ .

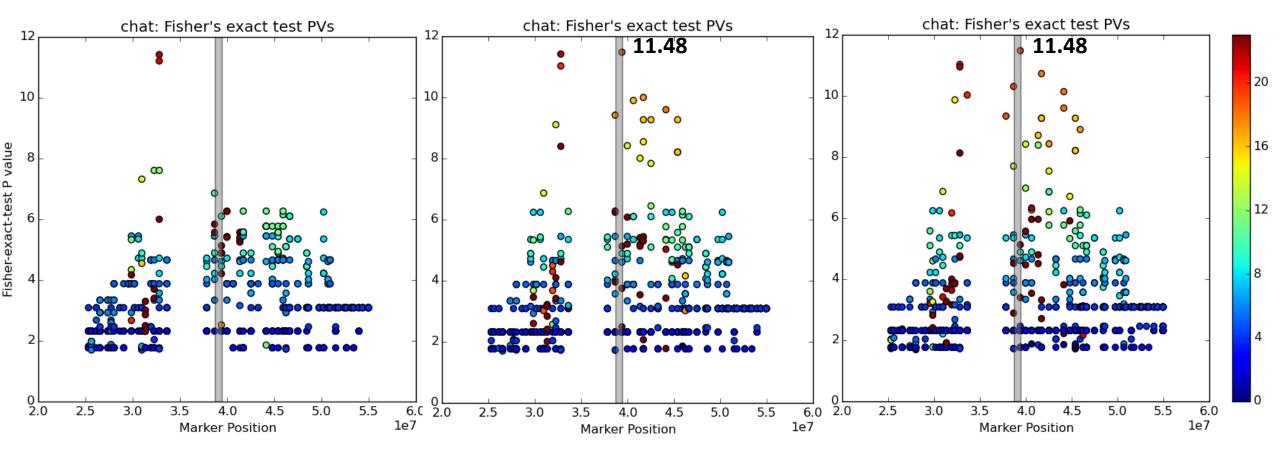


Suppose  $\{Z_1, Z_2, Z_3\}$ ,  $\{Z_4, Z_5, Z_6\}$ , and  $\{Z_7, Z_8, Z_9\}$  are all true IBD trios.  $l_{45j}$ ,  $l_{78j}$ , and  $l_{79j}$  will be false negatives under  $L_2^*(l_i)$ .

If we build IBD trios on existing IBD pairs, only  $l_{123j}$  will be detected, even though  $l_{789j} > l_{456j} > l_{123j}$ .

A solution is to reduce the significance threshold for selecting lower-order IBD clusters.

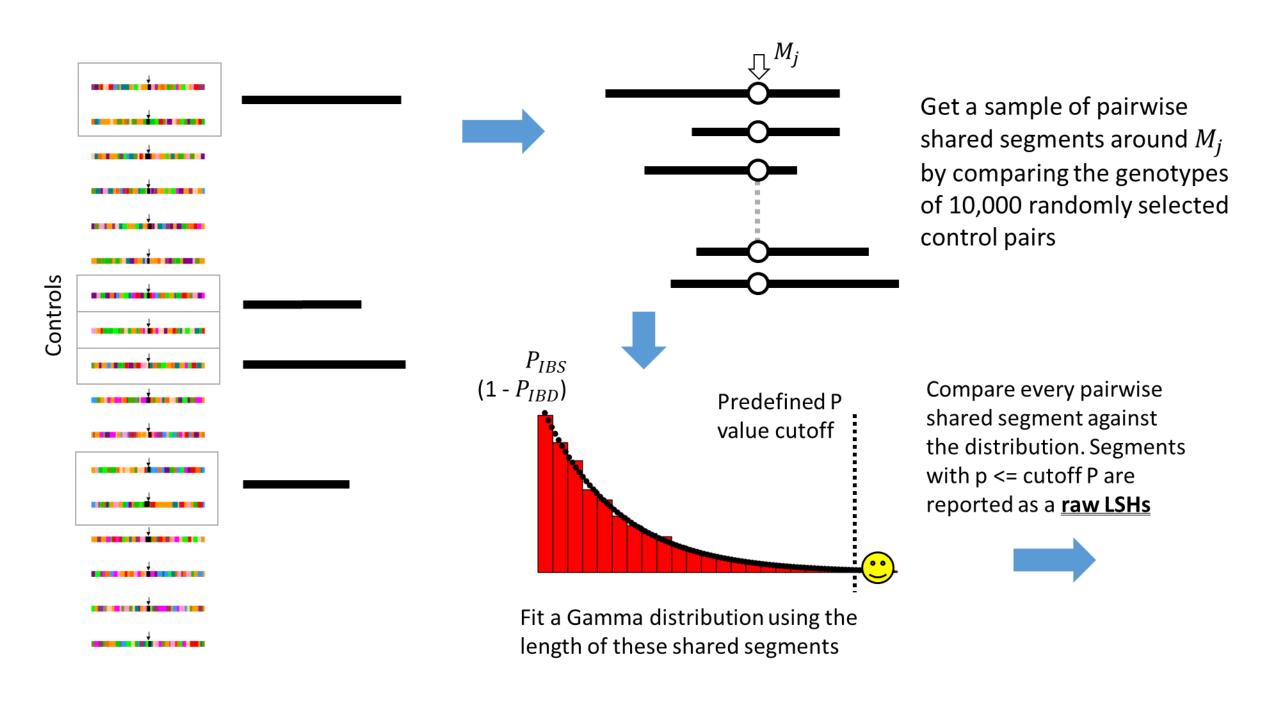
#### Strategy 1: IBD pairs identified using "Raw LSHs"



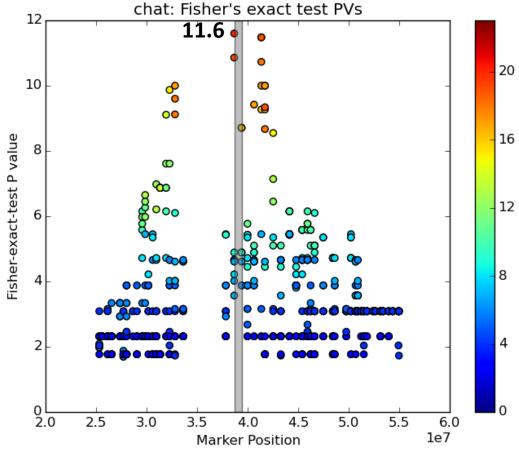
Search IBD trios using subject pairs with IBD probability = **0.9** 

Search IBD trios using subject pairs with IBD probability = **0.5** 

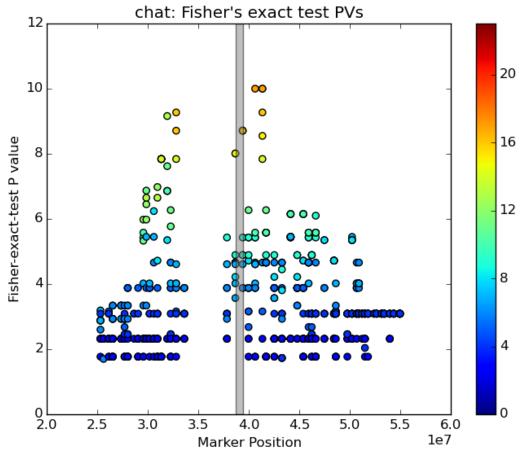
Search IBD trios using subject pairs with IBD probability = **0.1** 



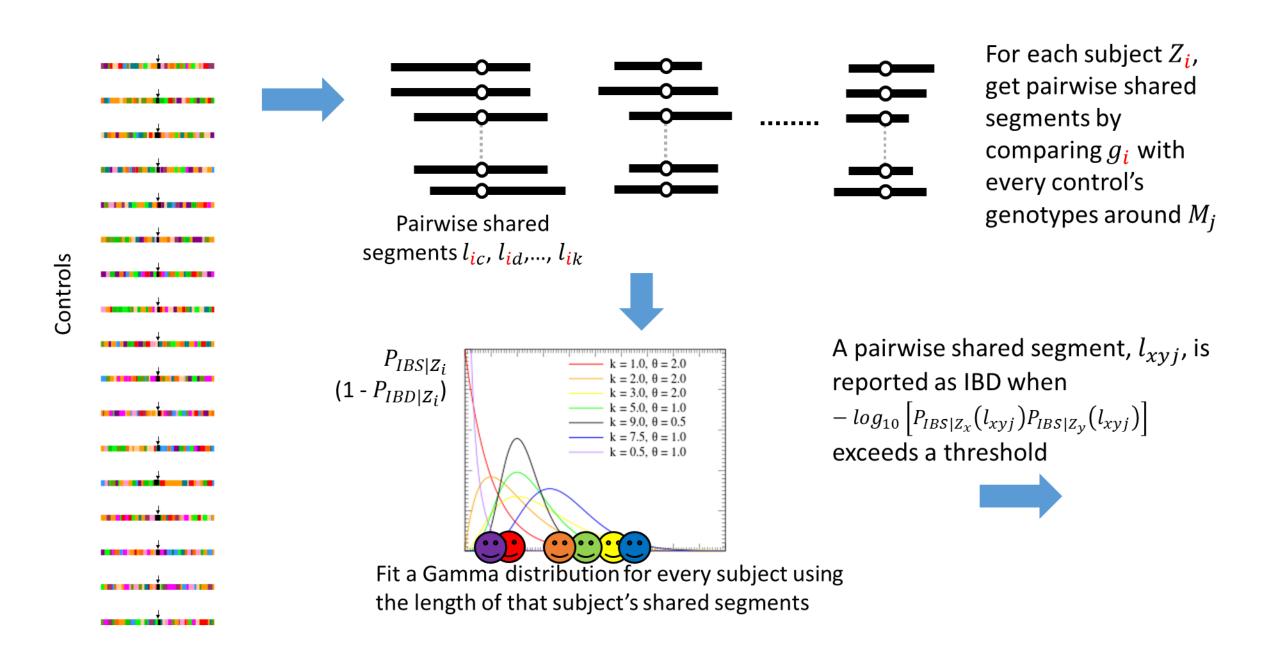
#### Strategy 2: IBD pairs identified using subject-specific distributions of genotype sharing

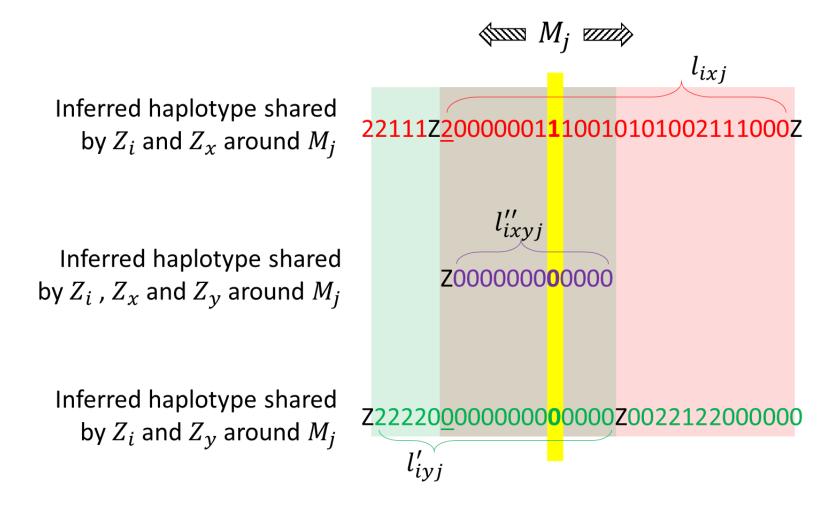


Search IBD trios in IBD pairs determined with threshold = 3



Search IBD trios in IBD pairs determined with threshold = 5 (fewer pairs)





Besides short shared segments, CHAT has to detect high-order IBD clusters based on inferred haplotypes.

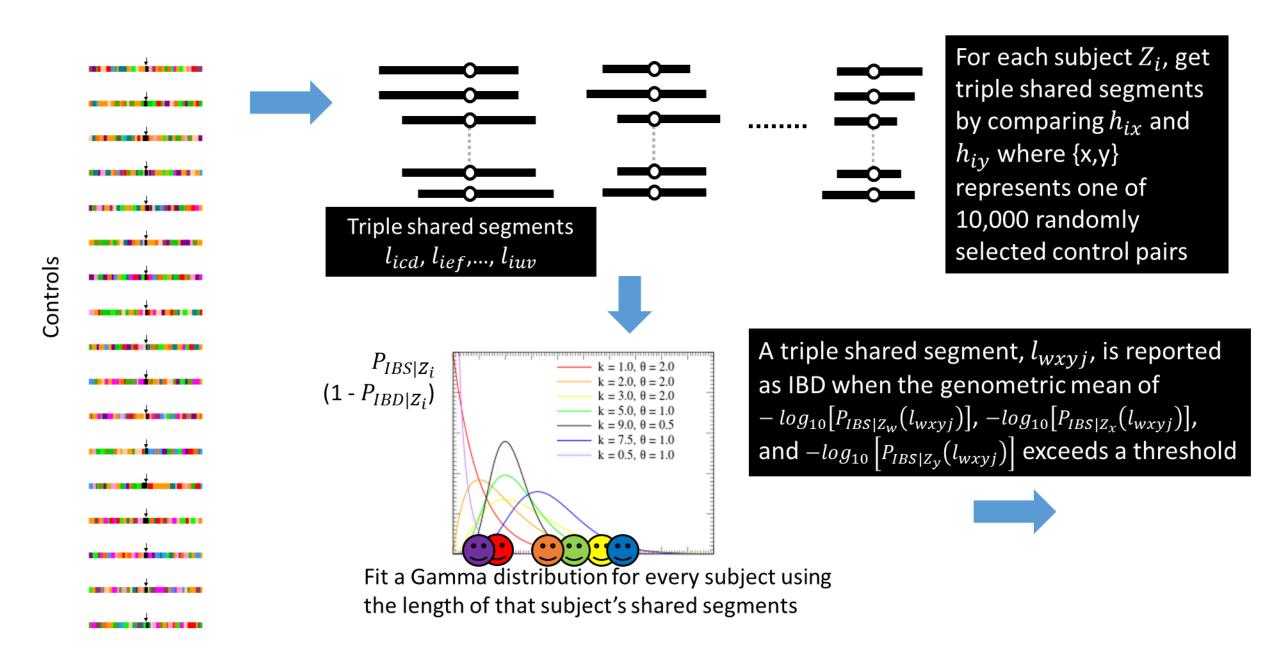
Given a potential triple shared segment  $l_{ixyj}$ , CHAT uses a test statistic that is **the maximal** value of LD-weighted Pi-SMOR calculated from the inferred haplotypes in pairwise shared segments  $l_{ixj}$  and  $l_{ivj}$ .

#### Advanced statistics and null models

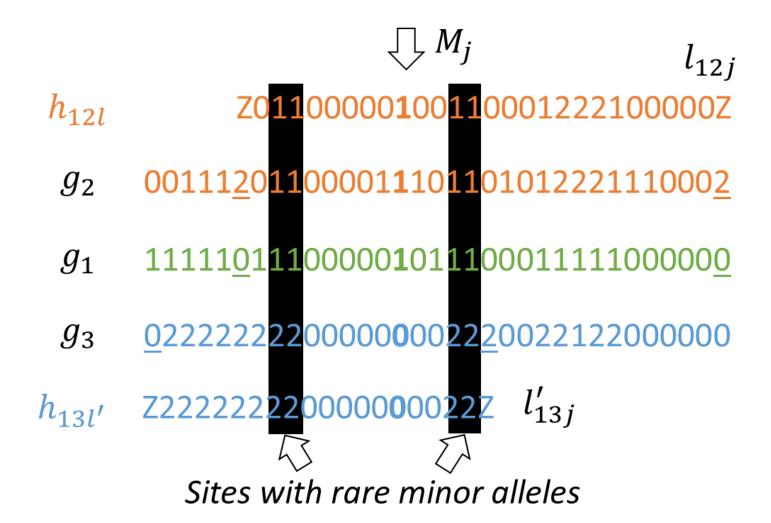
- **Pi-SMOR** is sum of the odds ratio of IBD against IBS at every single marker within the segment. It increases with not only the length a shared segment but also the number of rare variants in that segment, which is also a strong indicator of IBD sharing.
- The contribution of each marker (i.e., single marker odds ratio or SMOR) is adjusted with local LD to avoid over-representing certain regions.

## Advanced statistics and null models (cont.)

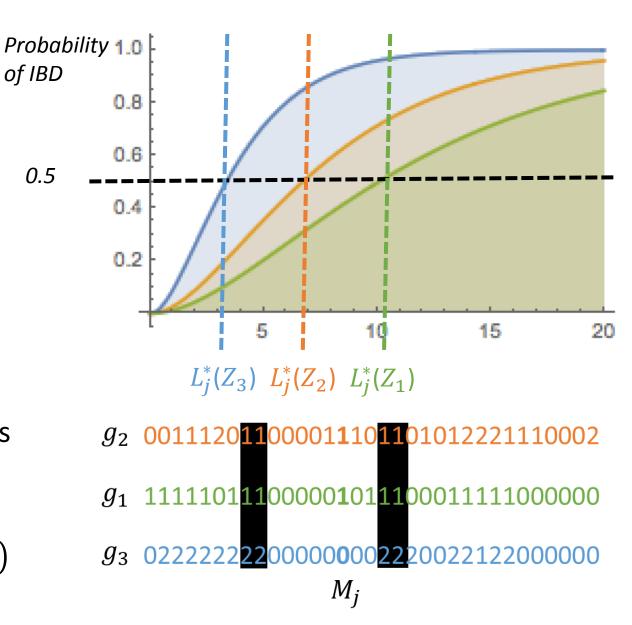
- Pi-SMOR can be "fooled" by long range of heterozygous sites, which provides some interesting information (the presence of minor alleles) at the cost of high phase uncertainty.
- To avoid overestimating long range of heterozygotes, CHAT evaluates triple shared segment against subject-specific distributions of maximal LD-weighted Pi-SMOR.



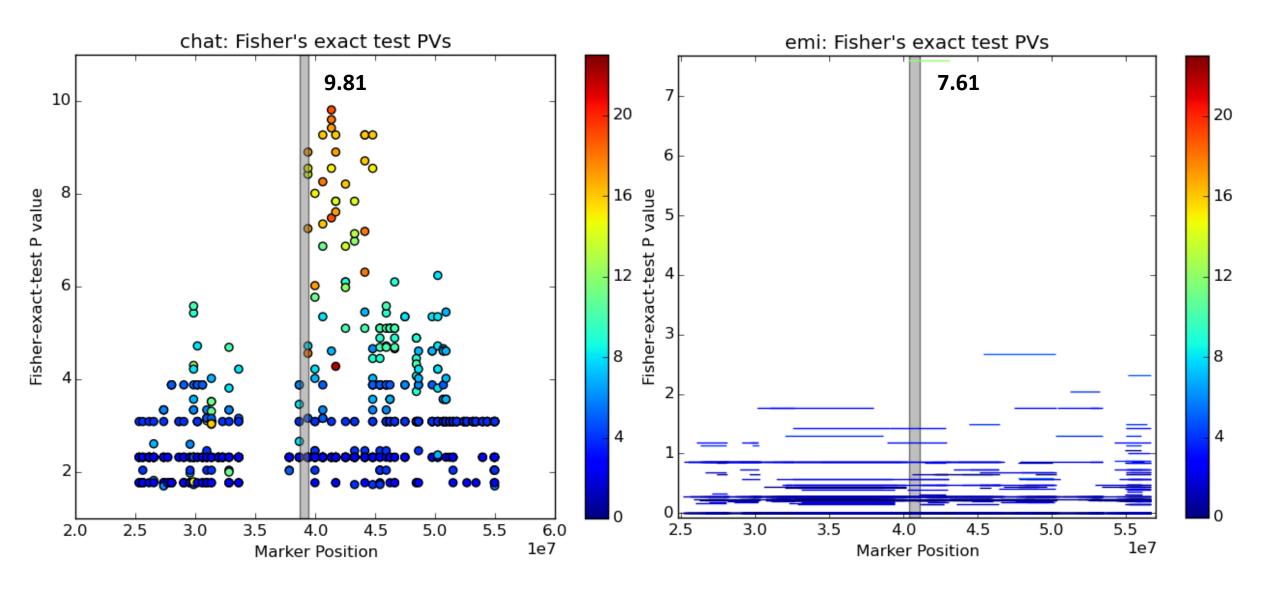
Two pairwise shared segments  $l_{12j}$  and  $l'_{13j}$  span 26 and 18 consecutive markers respectively. Which one do you think is more likely to be IBD?



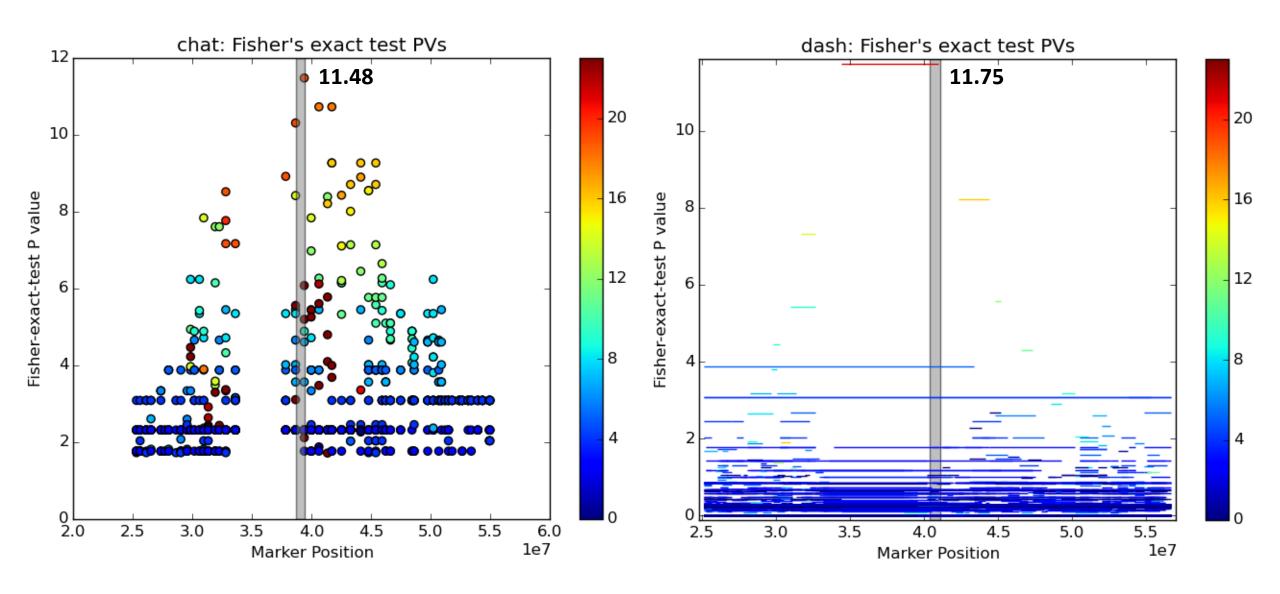
- Given genotypes  $g_1$ ,  $g_2$  and  $g_3$ , the average length of pairwise sharing between  $Z_1$  and any other subject in the dataset around Marker  $M_j$  is probably greater than that for  $Z_2$  and both greater than that for  $Z_3$ .
- The critical length  $(L_j^*)$  for determining whether a shared segment around  $M_j$  is IBD or IBS could vary with subjects.
- In general,  $L_j^*$  increases with the number of consecutive common SNPs in a subject's genotypes around  $M_i$ .
- In this case, it is likely that  $P_{IBD}(l'_{13j}|g_3) > P_{IBD}(l_{12j}|g_2) > P_{IBD}(l_{12j}|g_1) > P_{IBD}(l'_{13j}|g_1)$



#### CHAT Vs EMI: using IBD pairs detected by Refined IBD



#### CHAT Vs DASH: using IBD pairs detected by Germline



Lrrk2 mutation carriers that tend to be excluded from the finally detected IBD cluster

Most false negatives

**COM**: Exhaustive search

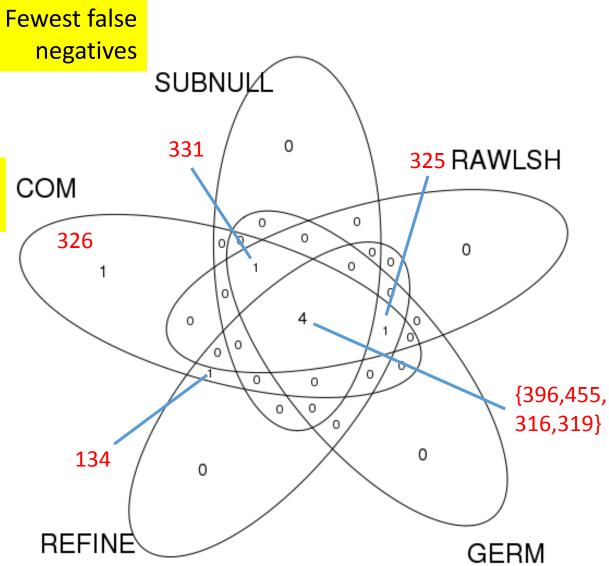
**SUBNULL**: IBD pairs identified via subject-

specific null distributions

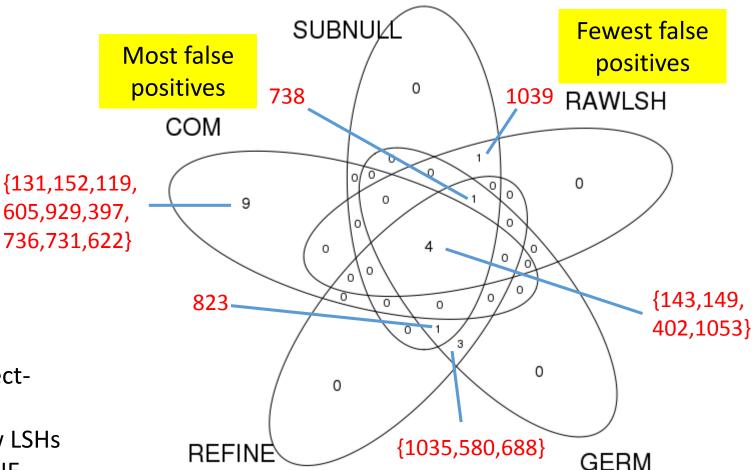
**RAWLSH:** IBD pairs identified from Raw LSHs

**GERM**: IBD pairs identified by GERMLINE

**REFINE**: IBD pairs identified by Refined IBD



Subjects that are not known Lrrk2 mutation carriers yet tend to be included in the finally detected IBD cluster



**COM**: Exhaustive search

**SUBNULL**: IBD pairs identified via subject-

specific null distributions

**RAWLSH:** IBD pairs identified from Raw LSHs

**GERM**: IBD pairs identified by GERMLINE

**REFINE**: IBD pairs identified by Refined IBD

## Efficiency

• Using raw LSHs as the sources of IBD pairs seems most efficient regarding CHAT's workload and the final result.

# CHAT's workload in building IBD trios on IBD pairs from different sources (measured by the number of comparison jobs CHAT needs to do)

Raw LSH p=0.1	Raw LSH p=0.3	Raw LSH p=0.9	Subject GT thresh=0.3	Subject GT thresh=0.5	Germline	Refined IBD
5219	5206	5200	5414	5399	5543	5305

## Efficiency (cont.)

- Fitting subject-specific distributions of sharing is time demanding.
- When the maximal LD-weighted Pi-SMOR is the test statistic, fitting subject-specific distributions does not provide useful null models for genotype sharing as it does for haplotype sharing.

# Thank you! Questions?