### The Euclidean algorithm

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### Outline

- Course Outline
  - Basic terminology and concepts
  - Symmetric-key ciphers
  - Public-key ciphers
- The Euclidean algorithm
  - The division algorithm, GCD & the Euclidean algorithm
  - The balanced Euclidean algorithm
  - The extended Euclidean algorithm

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#### References

- 1. D. Stinson, "Cryptography: Theory and Practice", 1996.
- 2. D. E. Knuth, "TAOCP", vol. 2, Chapter 4 Arithmetic.
- 3. A. Menezes, P. Oorschot, and S. Vanstone, "Handbook of Applied Cryptography", 1996. (free download at http://www.cacr.math.uwaterloo.ca/hac)

Prerequisites: Linear Algebra, Elementary Number Theory.

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### Course topics

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finite fields.
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multiplication algorithms, fast Fourier transform,
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finite fields, Chinese remainder theorem (CRT)

multiplication algorithms, fast Fourier transform,

primality test, discrete logarithms, factorization of integers,

public key ciphers (RSA and elliptic curve cryptosystem),

digital signatures, Advanced Encryption Standard (AES).
```

### Aims of the course

### After this course, you should

- be aware of basic cryptographic concepts and methods.
- 2 be familiar with  $GF(p^n)$ s;

Intel introduced the PCLMULQDQ (Carry-less Multiplication) instruction in 2010.

Some material is *copied* from various sources.

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Basic terminology and concepts

**Public-key ciphers** 

- Privacy (keeping secret data secret).
- Data Integrity (preventing alteration).
- (Message or Entity) Authentication (preventing frauds).
- Non-repudiation (preventing denials of messages sent).

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# Modern Cryptography: a computational science

Security of a practical system must rely not on the impossibility but on the computational difficulty of breaking the system.

Rather than: "It is impossible to break the scheme".

We might be able to say: "No attack using  $\leq 2^{160}$  time succeeds with probability  $\geq 2^{-20}$ ".

Cryptography is not just mathematics; it needs to draw on computer science

- 1. Computational complexity theory;
- 2. Algorithm design



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### An encryption scheme

 $m \in M$  is a plaintext of the message space M.

 $c \in C$  is a ciphertext of the ciphertext space C.

 $k \in K$  is a key of the key space K.

### Encryption function $E_e$

 $\forall e \in K$  uniquely determines a bijection  $E_e : M \to C$ .

### Decryption function $D_a$

The corresponding  $d \in K$  determines a bijection  $D_d : C \to M$ .

A cipher 
$$:= E_e + D_d$$
 s.t.  $D_d(E_e(m)) = m$ .



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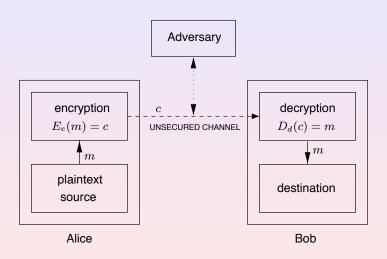
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A cipher := 
$$E_e + D_d$$
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# A simple model using encryption



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### **Definitions**

### Definition (Symmetric-key & Asymmetric-key ciphers)

A cipher  $E_e + D_d$  is said to be  $\frac{symmetric - key}{asymmetric - key}$  if it is computationally  $\frac{easy}{hard}$  to determine d knowing only e.

### Example (Caesar Cipher)

$$\forall x \in \mathbb{Z}_{26}$$
,

$$E_3(x) := (x+3) \mod 26; \quad D_{-3}(x) := (x+(-3)) \mod 26;$$

#### Notes:

- 1. e = d in most practical symmetric-key ciphers,
- 2. Other terms: single-key, one-key, privatekey ...



# The Kerckhoffs' principle (1883)

### Definition (Shift Cipher)

$$\forall x \in \mathbb{Z}_{26}$$
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$$E_k(x) := (x+k) \mod 26; \quad D_k(x) := (x+(-k)) \mod 26;$$

Its secrecy is in the algorithm only, not the key.

In cryptography, Kerckhoffs' principle was stated by A. Kerckhoffs in the 19th century:

A cryptosystem should be secure even if everything about the system, except the key, is public knowledge.

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### Definition (One-Time Pad)

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Given m_1, m_2, \dots, m_t, where m_i \in \{0, 1\}, c_1, c_2, \dots, c_t is obtained by c_i := m_i XOR k_i, where k_i \in \{0, 1\} is randomly chosen and never used again.
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#### Theorem (Shannon)

One-Time Pad is a perfect encryption scheme.

A cipher is perfect only if its key space is at least the size of its message space.

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It is totally secure if used correctly.

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One-time pads have applications in today's world, primarily for ultra-secure low-bandwidth channels.

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# Public-Key Cryptography (1976(?)-present)

In most symmetric ciphers, A and B share the same secret key  $K_{A,B}$ .  $K_{A,B}$  must be secretly generated and exchanged prior to using the unsecure channel.

Merkle, "Secure communications over insecure channels",

Communications of the ACM, 1978

Diffie and Hellman, "New Directions in Cryptography", IEEE Transactions on Information Theory, 1976

Split the B's secret key *K* into two parts:

 $K_E$  to be used for encryption.  $K_E$  can be made public.  $K_D$  to be used for decryption.



#### Definition

A public key cipher is a cipher where everyone knows the enciphering transformation and everyone's enciphering key, but no known polynomial time algorithm will get deciphering keys from those.

Public-key cipher is rarely used for message exchange since it is slower than symmetric key ciphers.

### Main uses of public-key cryptography

- 1. Key distributions for a symmetric cryptosystem.
- 2. Digital signatures.



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### Some LARGE Numbers

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# seconds in a year 3*10^7 \approx 2^{25}
# operations of 1 GHz CPU per year 3*10^{16} \approx 2^{55}
# atoms in the sun 10^{57} \approx 2^{190}
# atoms in the galaxy 10^{67} \approx 2^{223}
# atoms in the universe 10^{77} \approx 2^{265}
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NIST has recommended 5  $GF(2^n)$ s and 5 GF(p)s for the elliptic curve digital signature algorithm (ECDSA):

$$GF(2^{192} - 2^{64} - 1), GF(2^{224} - 2^{96} + 1),$$
  
 $GF(2^{256} - 2^{224} + 2^{192} + 2^{96} - 1), GF(2^{384} - 2^{128} - 2^{96} + 2^{32})$ 

and 
$$GF(2^{521}-1)$$
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$$GF(2^{163}), GF(2^{233}), GF(2^{283}), GF(2^{409})$$
 and  $GF(2^{571})$ .

$$GF(2^{192} - 2^{64} - 1), GF(2^{224} - 2^{96} + 1),$$
  
 $GF(2^{256} - 2^{224} + 2^{192} + 2^{96} - 1), GF(2^{384} - 2^{128} - 2^{96} + 2^{32} - 1)$   
and  $GF(2^{521} - 1).$ 

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## Divisibility and primality

#### Definition

A positive integer other than 1 is said to be a prime if its only divisors are 1 and itself.

An integer other than 1 is called **composite** if it is not prime.

### Theorem (The division algorithm)

 $\forall 0 < m, a \in \mathbb{Z}$ , there exist unique integers q, r with

 $0 \le r < |m|, \quad s.t. \quad a = mq + r.$ 

**Notations:** q: the quotient of the division.

 $r = (a \mod m)$ : the remainder (or residue) of the division.

If r = 0 then m is called a divisor of a. We write m|a.

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#### Definition

 $d \in \mathbb{Z}$  is a common divisor of  $a, b \in \mathbb{Z}$  if d|a and d|b. d is called the greatest common divisor (GCD) of a and b if it is the largest among the common divisors of a and b.

### Theorem (The Euclidean theorem, 300 B.C.)

If 
$$a = bq + r$$
 then  $gcd(a, b) = gcd(b, r)$ .

**Proof:** 
$$\gcd(a,b)|r = a - bq \Rightarrow \gcd(a,b)|\gcd(b,r)$$
.  $\gcd(b,r)|a = bq + r \Rightarrow \gcd(b,r)|\gcd(a,b)$ .

**Note:** It is not necessary for q and r chosen in the above theorem to be the quotient and remainder obtained by dividing b into a, i.e.,  $0 \le r < |b|$ . The theorem holds for any integers q and r satisfying the equality a = bq + r.

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# Example: gcd(a = 24, b = 15)

### The Euclidean algorithm: gcd(a, b)

```
If (b = 0) then return a; gcd(a, 0) = a; Return gcd(b, a \mod b);
```

It generates a sequence  $r_i$   $(-1 \le i \le t+1)$  ends with  $r_{t+1} = 0$ :

$$r_{k-1} = q_{k+1}r_k + r_{k+1}$$

$$\begin{array}{ll} r_{-1} := a = 24 \\ r_0 := b = 15 & \gcd(r_{-1}, r_0) = \gcd(a, b) \\ r_1 := r_{-1} \bmod r_0 = 9 & \gcd(r_{i-1}, r_i) = \gcd(r_i, r_{i+1}) \\ r_2 := r_0 \bmod r_1 = 6 & \gcd(r_{i-1}, r_i) = \gcd(r_i, r_{i+1}) \\ r_3 := r_1 \bmod r_2 = 3 & \gcd(r_t, r_{t+1} = 0) = \gcd(a, b) = r_3 = 3 \end{array}$$

 $r_{-1} = a$ 

### The descending sequence $r_i$

$$\begin{array}{rcl}
r_{-1} & = & q_1 r_0 + r_1 \\
r_0 & = & q_2 r_1 + r_2 \\
& \cdots \\
r_{i-3} & = & q_{i-1} r_{i-2} + r_{i-1} \\
r_{i-2} & = & q_i r_{i-1} + r_i \\
r_{i-1} & = & q_{i+1} r_i + r_{i+1} \\
& \cdots \\
r_{t-2} & = & q_t r_{t-1} + r_t \\
r_{t-1} & = & q_{t+1} r_t + 0
\end{array}$$

 $r_0 = b$ 

$$d = \gcd(a, b) = \gcd(r_{i-1}, r_i) = \gcd(r_i, r_{i+1}) = \gcd(r_t, r_{t+1} = 0)$$

$$r_{-1} > r_0 > r_1 \cdots r_{i-1} > r_i \cdots r_t = d > r_{t+1} = 0$$

$$d \qquad d \qquad d \qquad d$$

**Euclid:** If a = bq + r then gcd(a, b) = gcd(b, r).

### A recursive Euclidean algorithm: gcd(a, b)

**INPUT:** a > 0 and b > 0.

Return a:

**OUTPUT:** gcd(a, b).

If (b = 0) then return a; Return  $gcd(b, a \mod b)$ ;

### A nonrecursive Euclidean algorithm: gcd(a, b)

While 
$$b \neq 0$$
 do  $//(a, b) := (b, a \mod b)$   
 $t := b;$   
 $b := a \mod b;$   
 $a := t;$ 

D. E. Knuth: "the oldest nontrivial algorithm that has survived to the present day."

### The nonrecursive Euclidean algorithm: gcd(a, b)

```
INPUT: a > 0 and b > 0.

While b \neq 0 do

t := b;

b := a \mod b;

a := t;

Return a;
```

### Theorem (Dr. Finck - a French mathematician, 1841)

Suppose 0 < b, 0 < a and M = MAX(a,b). The Euclidean algorithm will find gcd(a,b) after a cost of at most  $\lfloor 2\log_2 M \rfloor + 1$  integer divisions.

### This is the first rigorous analysis of the Euclidean algorithm.

Another proof which makes use of the Fibonacci numbers can be found in "Introduction to Algorithms" by T. H. Cormen, C. E. Leiserson, R. L. Rivest and C. Stein.

**Lemma:** If  $0 < b \le a$  then  $(a \mod b) \le \frac{(a-1)}{2}$ .

$$0 - -b - -\frac{a}{2} - - -a$$

$$0 - - - - \frac{a}{2} - - b - - - a$$

#### Proof.

Clearly  $(a \mod b) \le b - 1$ .

Further,  $(a \mod b) = a - \lfloor \frac{a}{b} \rfloor b \le a - b$ 

Thus  $(a \mod b) \leq MIN(b-1, a-b)$ 

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$$b-1 \le a-b$$
, i.e.,  $b \le \frac{(a+1)}{2}$ , we have  $(a \mod b) \le b-1 \le \frac{(a+1)}{2} - 1 = \frac{(a-1)}{2}$ 

② 
$$b-1 > a-b$$
, i.e.,  $b > \frac{(a+1)}{2}$ , we have  $(a \mod b) \le a-b < a - \frac{(a+1)}{2} = \frac{(a-1)}{2}$ 

If  $0 < b \le a$  then  $(a \mod b) \le \frac{(a-1)}{2}$ .

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$$0 - - - - \frac{a}{2} - b - - a$$

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- $b-1 \le a-b$ , i.e.,  $b \le \frac{(a+1)}{2}$ , we have  $(a \bmod b) \le b - 1 \le \frac{(a+1)}{2} - 1 = \frac{(a-1)}{2}$ .

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- **1**  $b-1 \le a-b$ , i.e.,  $b < \frac{(a+1)}{2}$ , we have  $(a \bmod b) \le b - 1 \le \frac{(a+1)}{2} - 1 = \frac{(a-1)}{2}$ .
- b-1 > a-b, i.e.,  $b > \frac{(a+1)}{2}$ , we have  $(a \bmod b) < a - b < a - \frac{(a+1)}{2} = \frac{(a-1)}{2}$ .



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### Proof.

Suppose that  $a \ge b$ .

The algorithm generates a sequence  $r_{-1}, r_0, r_1, ...$ , where  $r_{-1} = a$ ,  $r_0 = b$ ,  $r_1 = r_{-1} \mod r_0$ ,  $r_2 = r_0 \mod r_1$  and  $r_{j+1} = r_{j-1} \mod r_j$   $(j \ge 1)$ .

By the above lemma,  $r_{j+1} \le \frac{r_{j-1}-1}{2} < \frac{r_{j-1}}{2}$ .

Then, by induction on  $j(\geq 0)$  it follows that either  $r_{2j} < \frac{r_0}{2^j}$  or  $r_{2j+1} < \frac{r_1}{2^j} \iff r_s < \frac{M}{2^{\lfloor s/2 \rfloor}}$ .

The algorithm has terminated if  $r_s < 1$ , i.e.,  $s > 2 \log_2 M$ .

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Then, by induction on  $j(\geq 0)$  it follows that either  $r_{2j} < \frac{r_0}{2^j}$  or  $r_{2j+1} < \frac{r_1}{2^j} \iff r_s < \frac{M}{2^{\lfloor s/2 \rfloor}}$ .

The algorithm has terminated if  $r_s < 1$ , i.e.,  $s > 2 \log_2 M$ .



**Lemma:** If  $0 < b \le a$  then  $(a \mod b) \le \frac{(a-1)}{2}$ .

### Proof.

Suppose that  $a \ge b$ .

The algorithm generates a sequence  $r_{-1}, r_0, r_1, ...$ , where  $r_{-1} = a$ ,  $r_0 = b$ ,  $r_1 = r_{-1} \mod r_0$ ,  $r_2 = r_0 \mod r_1$  and  $r_{j+1} = r_{j-1} \mod r_j$   $(j \ge 1)$ .

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# The binary Euclidean algorithm

```
INPUT: two binary positive integers a and b.
OUTPUT: gcd(a, b).
  i := 0:
  while (a \mod 2 == b \mod 2 == 0) do
       (i, a, b) := (i + 1, a/2, b/2);
  while (a \mod 2 == 0) \text{ do } a := a/2;
  while (b \mod 2 == 0) \text{ do } b := b/2;
  while (a \neq b) do
       (a, b) := (|a - b|, \min(a, b));
       repeat a := a/2 until (a \mod 2 \neq 0);
  return a2^i;
```

It is more efficient on average than the original Euclidean algorithm.

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# The 1st generalized division algorithm

### Theorem (The division algorithm)

 $\forall 0 < m, a \in \mathbb{Z}$ , there exist unique integers q, r with  $0 \le r < |m|$ , s.t. a = mq + r.

$$--\frac{m}{2}$$
 - - - 0 - - -  $\frac{m}{2}$  - - -  $m$  -

### Theorem (The 💹 generalized division algorithm)

 $\forall 0 < m, a, d \in \mathbb{Z}$ , there exist unique integers Q, R with  $d \leq R < |m| + d$ , s.t. a = mQ + R.

Especially, if 
$$d = -\left|\frac{m}{2}\right|$$
 then  $-\left|\frac{m}{2}\right| \le R < \left|\frac{m}{2}\right|$ .

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### Theorem (The 1st generalized Euclidean theorem)

If 
$$a = bq + r$$
 then 
$$\gcd(a, b) = \gcd(b, r) = \gcd(b, -r) = \gcd(b, b - r).$$

# Example (Comparisons of the two methods to compute gcd(114, 34) = 2)

### Theorem (The 1st generalized Euclidean theorem)

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# Example (Comparisons of the two methods to compute gcd(114, 34) = 2)

### The balanced Euclidean algorithm: $B\_gcd(a, b)$

**INPUT:** a > 0 and b > 0.

**OUTPUT:** gcd(a, b).

If (b = 0) then return a;

 $r := a \bmod b$ ;

If (2r > b) then r := b - r;

Return  $B\_gcd(b,r)$ ;

 $r \le \frac{(b-1)}{2} < \frac{b}{2}$ 

### The Euclidean algorithm: gcd(a, b)

If (b = 0) then return a;

 $r := a \mod b$ ;

Return gcd(b, r);

$$r \le \frac{(a-1)}{2} < \frac{a}{2}$$

### Theorem

Suppose 0 < b, 0 < a and M = MAX(a, b). The balanced Euclidean algorithm will find gcd(a, b) after a cost of at most  $\lfloor \log_2 M \rfloor + 1$  integer divisions.

Recall that this number is  $|2\log_2 M| + 1$  in gcd(a, b).

This theorem does *not* mean that  $B\_gcd(a, b)$  is faster than gcd(a, b) since it provides only the upper bound.

In fact, the number of integer divisions in  $B\_gcd(a, b)$  is not greater than that in gcd(a, b).

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Similarly, we obtain  $r_s < \frac{M}{2^s}$ .  $(r_{j+1} \le \frac{r_{j-1}-1}{2} \text{ in } \gcd(a,b))$ 

The algorithm has terminated if  $r_s < 1$ , i.e.,  $s > \log_2 M$ .

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### Theorem (Bezout's identity)

$$\forall a, b \in \mathbb{Z}, \exists u, v \in \mathbb{Z} \text{ s.t. } gcd(a, b) = ua + vb.$$

**Proof:** Define 
$$S = \{au + bv | u, v \in \mathbb{Z}\}$$
. We will prove:  $ax + by = n$  is solvable in  $\mathbb{Z} \Leftrightarrow n \in S \Leftrightarrow \gcd(a, b) | n$ 

The 1st  $\Leftrightarrow$  and the 2nd  $\Rightarrow$  are easy. Now we prove the 2nd  $\Leftarrow$ .

### 1. If $x, y \in S$ then $x \pm y \in S$ .

$$\therefore \exists u, v, s, t \in \mathbb{Z} \text{ s.t. } x = au + bv \text{ and } y = as + bt$$

$$\therefore x \pm y = a(u \pm s) + b(v \pm t) \in S.$$

### 2. If $x \in S$ then $cx \in S$ for $\forall c \in \mathbb{Z}$ .

$$x = au + bv \in S \implies cx = a(cu) + b(cv) \in S$$

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## 3. Let $d = Min(S \cap \mathbb{Z}^+)$ . Prove S is the set of all multiples of d.

 $\therefore a, b \in S$   $\therefore \pm a, \pm b \in S$   $\therefore \phi \neq S \cap \mathbb{Z}^+$ . Let  $d = \text{Min}(S \cap \mathbb{Z}^+)$ ,  $\therefore$  All multiples of d are in S by (2). On the other hand,  $\forall x \in S$ , we have x = qd + r, where

 $0 \le r < d$ .  $\therefore dq \in S$   $\therefore r = x - dq \in S$ .  $\therefore r = 0$ .

### 4. $d = \gcd(a, b)$ .

Let  $D = \gcd(a, b)$  and d = au + bv.  $\therefore D|a, D|b$ ,  $\therefore D|d$  $\therefore a, b \in S$   $\therefore d|a$  and d|b by (3).

Define  $S = \{au + bv | u, v \in \mathbb{Z}\}$ . We have proved: ax + by = n is solvable in  $\mathbb{Z} \Leftrightarrow n \in S \Leftrightarrow \gcd(a, b) | n$ .

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## $4. d = \gcd(a, b).$

Let  $D = \gcd(a, b)$  and d = au + bv.  $\therefore D|a, D|b, \therefore D|d$ .  $\therefore a, b \in S \therefore d|a \text{ and } d|b \text{ by (3)}$ .

 $\therefore d$  is a common divisor of a and b.  $\therefore d \leq D$ .  $\therefore d = D$ .

Define  $S = \{au + bv | u, v \in \mathbb{Z}\}$ . We have proved:

$$ax + by = n$$
 is solvable in  $\mathbb{Z} \Leftrightarrow n \in S \Leftrightarrow \gcd(a, b)|n$ .

## The extended Euclidean algorithm

#### Problem

Find d, u and  $v \in \mathbb{Z}$ , s.t. d = gcd(a, b) = ua + vb. Integers u and v are called Bezout coefficients.

#### Notes:

Bezout coefficients u and v are not unique:

$$gcd(a,b) = ua + vb = ua + ba - ab + vb = (u + b)a + (v - a)b$$

Coefficients u and v are useful for the computation of modular multiplicative inverses in  $\mathbb{Z}_n$ , i.e.,

If 1 = d = gcd(a, b) = ua + vb then  $1 \equiv vb \pmod{a}$ . So, v is the multiplicative inverse of  $b \pmod{a}$ .



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## Example: gcd(a = 252, b = 198)

$$\therefore 18 = \gcd(252, 198) = 4 \cdot 252 - 5 \cdot 198.$$

Bezout coefficients are not unique:

If "return  $18 = 1 \cdot 18 + 1 \cdot (0)$ " then  $18 = -7 \cdot 252 + 9 \cdot 198$ .

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Bezout coefficients are not unique:

If "return 
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" then  $18 = -7 \cdot 252 + 9 \cdot 198$ .

The following recursive program is based on this idea.

## A recursive extended Euclidean algorithm $E_{-gcd}(a, b)$

**INPUT:** two positive integers a > b. **OUTPUT:** (d, u, v) that satisfies  $d = \gcd(a, b) = ua + vb$ . **LOCAL:** q, r, u, v, u', v'; d, d' can be global. If b = 0 return (a, 1, 0);  $\therefore a = \gcd(a, 0) = 1 \cdot a + 0 \cdot 0$ Let a := qb + r;  $(d', u', v') := E \cdot \gcd(b, r);$  return (d, u, v) := (d', v', u' - qv');

**Proof:** 1.  $d = \gcd(a, b) = \gcd(b, r) = d'$ .

2. After the recursive step, we get u' and v' s.t. d' = bu' + rv'. We want to compute u and v in d = ua + vb at the next step:  $\therefore d = d' = bu' + rv' = bu' + (a - qb)v' = v'a + (u' - qv')b$ ,  $\therefore$  Choosing u = v' and v = u' - qv' satisfies d = ua + vb.

We present a nonrecursive program in the following.

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**INPUT:** two positive integers a > b.

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**LOCAL:** q, r, u, v, u', v'; d, d' can be global.

If b = 0 return (a, 1, 0);  $a = \gcd(a, 0) = 1 \cdot a + 0 \cdot 0$ 

Let a := qb + r;

 $(d', u', v') := E\_gcd(b, r);$ 

return (d, u, v) := (d', v', u' - qv');

**Proof:** 1.  $d = \gcd(a, b) = \gcd(b, r) = d'$ .

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If b = 0 return (a, 1, 0);  $a = \gcd(a, 0) = 1 \cdot a + 0 \cdot 0$ 

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 $(d',\ u',\ v'):=E\_gcd(b,r);$ 

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 $\therefore$  Choosing u = v' and v = u' - qv' satisfies d = ua + vb.

We present a nonrecursive program in the following.

: Both  $r_{i-1}$  and  $r_i$  are linear combinations of a and b,

 $\therefore r_{i+1} = r_{i-1} - q_{i+1}r_i \in S$  is also the linear combination of a and b.

$$\begin{array}{rcl}
r_{i+1} & = & u_{i+1}a + v_{i+1}b = r_{i-1} - q_{i+1}r_i = [u_{i-1}a + v_{i-1}b] - q_{i+1}[u_ia + v_ib] \\
& = & (u_{i-1} - q_{i+1}u_i)a + (v_{i-1} - q_{i+1}v_i)b.
\end{array}$$

$$\begin{array}{llll} r_{-1} = a & r_0 = b & r_{-1} & = & 1 \cdot a + 0 \cdot b \\ & r_0 & = & 0 \cdot a + 1 \cdot b \\ r_{-1} & = & q_1 r_0 + r_1 & r_1 & = & r_{-1} - q_1 r_0 = 1a - q_1 b \\ r_0 & = & q_2 r_1 + r_2 & r_2 & = & r_0 - q_2 r_1 = -q_2 a + (1 + q_1 q_2) b \\ & \cdots & & \cdots \\ r_{i-3} & = & q_{i-1} r_{i-2} + r_{i-1} & r_{i-1} & = & u_{i-1} a + v_{i-1} b \\ r_{i-2} & = & q_i r_{i-1} + r_i & r_i & = & u_i a + v_i b \\ r_{i-1} & = & q_{i+1} r_i + r_{i+1} & r_{i+1} & = & r_{i-1} - q_{i+1} r_i = u_{i+1} a + v_{i+1} b \\ & \cdots & & \cdots \\ r_{t-2} & = & q_t r_{t-1} + r_t & r_t & = & u_t a + v_t b = \gcd(a, b) \\ r_{t-1} & = & q_{i+1} r_t + 0 & 0 & = & u_{t+1} a + v_{t+1} b \end{array}$$

$$r_{i+1} = & u_{i+1} a + v_{i+1} b = r_{i-1} - q_{i+1} r_i = [u_{i-1} a + v_{i-1} b] - q_{i+1} [u_i a + v_i b] \\ = & (u_{i-1} - q_{i+1} u_i) a + (v_{i-1} - q_{i+1} v_i) b.$$

$$u_{-1} = 1, \quad u_0 = 0, \quad u_{i+1} = u_{i-1} - q_{i+1} u_i, \quad 0 \leq i \leq t,$$

 $v_{-1} = 0$ ,  $v_0 = 1$ ,  $v_{i+1} = v_{i-1} - q_{i+1}v_i$ ,  $0 \le i \le t$ .

$$\begin{array}{lll} r_{-1} = a, & r_0 = b, & r_{i+1} = r_{i-1} - q_{i+1}r_i, & 0 \leq i \leq t \\ u_{-1} = 1, & u_0 = 0, & u_{i+1} = u_{i-1} - q_{i+1}u_i, & 0 \leq i \leq t, \\ v_{-1} = 0, & v_0 = 1, & v_{i+1} = v_{i-1} - q_{i+1}v_i, & 0 \leq i \leq t, \\ & r_t = u_t a + v_t b, & r_t = \gcd(a, b), \\ Stop & if & r_{t+1} = u_{t+1}a + v_{t+1}b = 0. \end{array}$$

#### A nonrecursive extended Euclidean algorithm $E_{-}gcd(A, B)$

**OUTPUT:** 
$$(d, u, v)$$
 that satisfies  $d = gcd(A, B) = uA + vB$ .  
 $(a, b) := (A, B); (u, e) := (1, 0); (v, f) := (0, 1);$   
While  $b \neq 0$  do  
Let  $a := qb + r;$   
 $(a, b) := (b, a - qb = r);$   
 $(u, e) := (e, u - qe);$   
 $(v, f) := (f, v - qf);$   
return $(a, u, v)$ .

# A Matrix Interpretation of $E\_gcd(a, b)$

#### Theorem (The Euclidean theorem, 300 B.C.)

If a = bq + r then gcd(a, b) = gcd(b, r).

### The Euclidean algorithm: gcd(a, b)

**INPUT:** a > 0 and b > 0. **OUTPUT:** gcd(a, b).

If (b = 0) then return a;

Let a = bq + r; Return gcd(b, r = a - bq);

(a, b) is replaced by  $(b, a \mod b)$  in each iteration.

Schönhage formulated this as a matrix multiplication in 1971:

$$(b, a \bmod b) = (a, b) \begin{pmatrix} a & b \\ 1 & -q \end{pmatrix}$$

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# Example: $3 = E_{-}gcd(a = 15, b = 6)$

**Step 1:** 
$$15 = 2 \times 6 + 3$$

$$(6,3) = (15,6) \begin{pmatrix} 0 & 1 \\ 1 & -2 \end{pmatrix}.$$

**Step 2:** 
$$6 = 2 \times 3 + 0$$

$$(3,0) = (6,3) \left( \begin{array}{cc} 0 & 1 \\ 1 & -2 \end{array} \right).$$

$$\therefore (3 = \gcd(a, b), \overset{\mathbf{0}}{\mathbf{0}}) = (a, b) \begin{pmatrix} 0 & 1 \\ 1 & -2 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & -2 \end{pmatrix}$$

# A Matrix Interpretation of $E\_gcd(a, b)$

If the algorithm terminates after t + 1 iterations, i.e.,

$$r_{-1} = a = q_1b + r_1$$
  $r_0 = b = q_2r_1 + r_2 \cdots r_{t-2} = q_tr_{t-1} + r_t$  and  $r_{t-1} = q_{t+1}r_t + 0$ ,

then we have

$$(\gcd(a,b),\mathbf{0}) = (a,b) \left[ \begin{pmatrix} 0 & 1 \\ 1 & -q_1 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & -q_2 \end{pmatrix} \cdots \begin{pmatrix} 0 & 1 \\ 1 & -q_{t+1} \end{pmatrix} \right]$$

Let 
$$\begin{pmatrix} u & e \\ v & f \end{pmatrix} := [\cdots]$$
. Then we have  $(\gcd(a,b),\mathbf{0}) = (a,b) \begin{pmatrix} u & e \\ v & f \end{pmatrix}$ 

 $\therefore \exists u, v \in \mathbb{Z} \text{ s.t. } \gcd(a, b) = ua + vb.$ 



## A Matrix Interpretation of $E\_gcd(a, b)$

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Let 
$$\begin{pmatrix} u & e \\ v & f \end{pmatrix} := [\cdots]$$
. Then we have  $(\gcd(a,b), \mathbf{0}) = (a,b) \begin{pmatrix} u & e \\ v & f \end{pmatrix}$ 

$$\exists u, v \in \mathbb{Z} \text{ s.t. } \gcd(a, b) = ua + vb.$$



# Example: $E\_gcd(a = 15, b = 6)$

$$15 = 2 \times 6 + 3;$$
  $6 = 2 \times 3 + 0.$ 

$$(\gcd(a,b), \textcolor{red}{\mathbf{0}}) = (a,b) \left( \begin{array}{cc} 0 & 1 \\ 1 & -2 \end{array} \right) \left( \begin{array}{cc} 0 & 1 \\ 1 & -2 \end{array} \right).$$

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \xrightarrow{\times \begin{pmatrix} 0 & 1 \\ 1 & -2 \end{pmatrix}} \begin{pmatrix} 0 & 1 \\ 1 & -2 \end{pmatrix} \xrightarrow{\times \begin{pmatrix} 0 & 1 \\ 1 & -2 \end{pmatrix}} \begin{pmatrix} 1 & -2 \\ -2 & 5 \end{pmatrix}$$

$$(3, 0) = (15, 6) \begin{pmatrix} 1 & -2 \\ -2 & 5 \end{pmatrix}$$
 and

$$3 = \gcd(15, 6) = 15 \times 1 + 6 \times (-2).$$

### A matrix version of $E\_gcd(A, B)$

**INPUT:** two positive integers A > B > 0.

**OUTPUT:** (d, u, v) that satisfies d = gcd(A, B) = uA + vB.

$$\begin{pmatrix} u & e \\ v & f \end{pmatrix} := \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix};$$
$$(a, b) := (A, B);$$

While  $b \neq 0$  do

Let 
$$a := qb + r$$
;

$$\begin{pmatrix} u & e \\ v & f \end{pmatrix} := \begin{pmatrix} u & e \\ v & f \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & -q \end{pmatrix};$$
  
$$(a, b) := (b, r);$$

return
$$(a = Au + Bv, u, v)$$
.

### A matrix version of $E_{-}gcd(A, B)$

**INPUT:** two positive integers A > B > 0.

**OUTPUT:** (d, u, v) that satisfies d = gcd(A, B) = uA + vB.

$$\left(\begin{array}{cc} u & e \\ v & f \\ a & b \end{array}\right) := \left(\begin{array}{cc} 1 & 0 \\ 0 & 1 \\ A & B \end{array}\right);$$

While  $b \neq 0$  do

Let 
$$a := qb + r$$
;

$$\begin{pmatrix} u & e \\ v & f \\ a & b \end{pmatrix} := \begin{pmatrix} u & e \\ v & f \\ a & b \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & -q \end{pmatrix};$$

return(a, u, v).

## Fibonacci number

### Definition

$$F_0 = 0$$
,  $F_1 = 1$ ,  $F_n = F_{n-1} + F_{n-2}$ .

$$F_n = \left\lceil \left(\frac{1+\sqrt{5}}{2}\right)^n - \left(\frac{1-\sqrt{5}}{2}\right)^n \right\rceil \div \sqrt{5}$$

$$(F_n, F_{n-1}) = (F_{n-1}, F_{n-2}) \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix} = (F_1, F_0) \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}^{n-1}$$

Therefore we can compute  $F_n$  using  $\mathcal{O}(\log_2 n)$  operations.



# Can we improve the Euclidean algorithm further?

#### Theorem (The Euclidean theorem, 300 B.C.)

If a = bq + r then gcd(a, b) = gcd(b, r).

## **INPUT:** a > b > 0. **OUTPUT:** gcd(a, b).

While  $b \neq 0$  do  $//(a, b) := (b, a \mod b)$  t := b;  $b := a \mod b;$  a := t;Return a;

## Exercises

- 1. Are there  $s, t \in \mathbb{Z}$  such that 24s + 14t = 1?
- 2. Let a and b be two n-bit positive integers. Explain that the average bit complexity of the Euclidean algorithm is  $\mathcal{O}(n^2)$ .