

DATASET

Shared Capitalism in the United States (1999-2024)

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Description

Shared capitalism is an umbrella term encompassing plans that give workers a financial stake in their employers' success. Typically, shared capitalism refers to (1) employee stock ownership plans (ESOPs), (2) profit-sharing plans, and (3) stock bonuses. Data on shared capitalism is generally limited, and there is no official database that tracks its changes over time. This dataset seeks to address this gap by offering a comprehensive coverage of companies that provide these three plans from 1999 to 2024.

Data Source

The data are drawn from the Form 5500 filings from the [U.S. Department of Labor \(EMBSA\)](#). All organizations that offer any kind of employee benefit plans covered by ERISA (Employee Retirement Income Security Act) must fill out this form annually.

Variables

Table 1: **Variable List**

Variable	Description
id	Unique identifier
plan_name	Unique name of the plan
start_year	Year the plan was started
sponsor_name	Name of the sponsoring company
street	Street address of the sponsoring company
city	City of the sponsoring company
state	State of the sponsoring company
zipcode	Zip code of the sponsoring company
phone_number	Phone number of the sponsoring company
collective_bargain	Indicates if the plan is collectively bargained (0 = No, 1 = Yes)
active_participants	Number of active participants
esop	Employee stock ownership plan (0 = No, 1 = Yes)
profit_sharing	Profit sharing plan (0 = No, 1 = Yes)
stock_bonus	Stock bonus (0 = No, 1 = Yes)

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