

# TUPLES, LISTS, MUTABILITY, RECURSION

(download slides and .py files from Stellar to follow along)

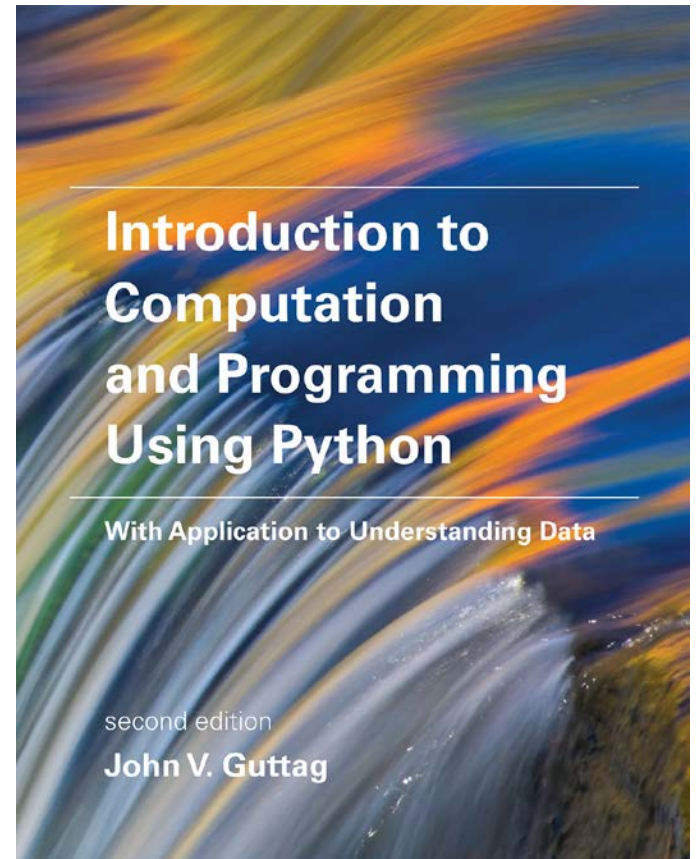
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6.0001 LECTURE 5

Ana Bell

# ASSIGNED READING

- Sections 5.1 – 5.5
- Sections 4.3 – 4.6



[https://mitpress.mit.edu/sites/default/files/Guttag\\_errata\\_revised\\_083117.pdf](https://mitpress.mit.edu/sites/default/files/Guttag_errata_revised_083117.pdf)

# TODAY

- Have seen variable types: `int`, `float`, `bool`, `string`
- Introduce new **compound data types**
  - tuples
  - lists
- Ideas of
  - Mutability
  - Aliasing
  - Cloning
- Recursion

# TUPLES

- **Indexable ordered sequence** of objects, can mix object types
- Cannot change element values, **immutable**

```
te = ()
```

Empty tuple

```
ts = (2,)
```

Extra comma means  
tuple with one element

```
t = (2, "mit", 3)
```

remember  
strings?

```
t[0] → evaluates to 2
```

```
(2, "mit", 3) + (5, 6) → evaluates to (2, "mit", 3, 5, 6)
```

```
t[1:2] → slice tuple, evaluates to ("mit",)
```

```
t[1:3] → slice tuple, evaluates to ("mit", 3)
```

```
len(t) → evaluates to 3
```

```
max((3, 5, 0)) → evaluates 5
```

```
t[1] = 4 → gives error, can't modify object
```

# INDICES AND SLICING

```
seq = (2, 'a', 4, (1, 2))
```

index: 0 1 2 3

```
print(len(seq))      → 4
print(seq[2]+1)      → 5
print(seq[3])        → (1, 2)
print(seq[-1])       → (1, 2)
print(seq[3][0])     → 1
print(seq[4])        → error
```

An element of a sequence is at an **index**, indices start at 0

```
print(seq[1])        → a
print(seq[:-1])       → (2, 'a', 4)
print(seq[1:3])       → 'a', 4
```

Slices extract subsequences

```
for e in seq:        → 2
    print(e)         'a'
                     4
                     (1, 2)
```

Iterating over sequences



# TUPLES

- Conveniently used to **swap** variable values

```
x = y
```

```
y = x
```



```
temp = x
```

```
x = y
```

```
y = temp
```



```
(x, y) = (y, x)
```



- Used to **return more than one value** from a function

```
def quotient_and_remainder(x, y):
```

```
    q = x // y
```

```
    r = x % y
```

```
    return (q, r)
```

integer  
division

```
(quot, rem) = quotient_and_remainder(4, 5)
```

# LISTS

- **Indexable ordered sequence** of objects
  - Usually homogeneous (i.e., all integers, all strings, all lists)
  - Can contain mixed types (not common)
- Denoted by **square brackets**, [ ]
- **Mutable**, this means you can change element values

# INDICES AND ORDERING

a\_list = [ ] *empty list*

L = [2, 'a', 4, [1, 2]]

len(L) → evaluates to 4

L[0] → evaluates to 2

L[2]+1 → evaluates to 5

L[3] → evaluates to [1, 2], another list!

L[4] → gives an error

i = 2

L[i-1] → evaluates to 'a' since L[1]='a'

max([3, 5, 0]) → evaluates 5



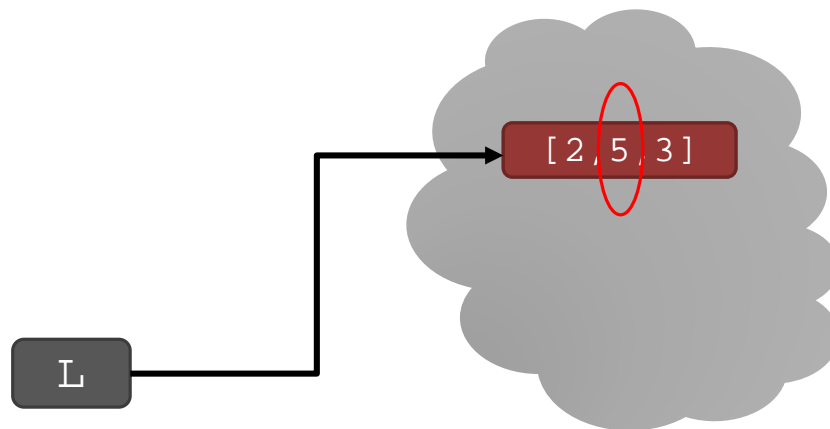
# MUTABILITY

- Lists are **mutable**!
- Assigning to an element at an index **changes** the value

```
L = [2, 1, 3]
```

```
L[1] = 5
```

- L is now [2, 5, 3], note this is the **same object** L



*different from  
strings and tuples!*



# ITERATING OVER A LIST

- Compute the **sum of elements** of a list
- Common pattern

```
total = 0
for i in range(len(L)):
    total += L[i]
print(total)
```

```
total = 0
for i in L:
    total += i
print(total)
```

Like strings, can  
iterate over list  
elements directly

- Notice
  - List elements are indexed 0 to  $\text{len}(L) - 1$
  - $\text{range}(n)$  goes from 0 to  $n - 1$

This version is  
more “pythonic”!

# OPERATION ON LISTS: append

- **Add** elements to end of list with `L.append(element)`

- **Mutates** the list!

`L = [2, 1, 3]`

`L.append(5)`      → L is now `[2, 1, 3, 5]`



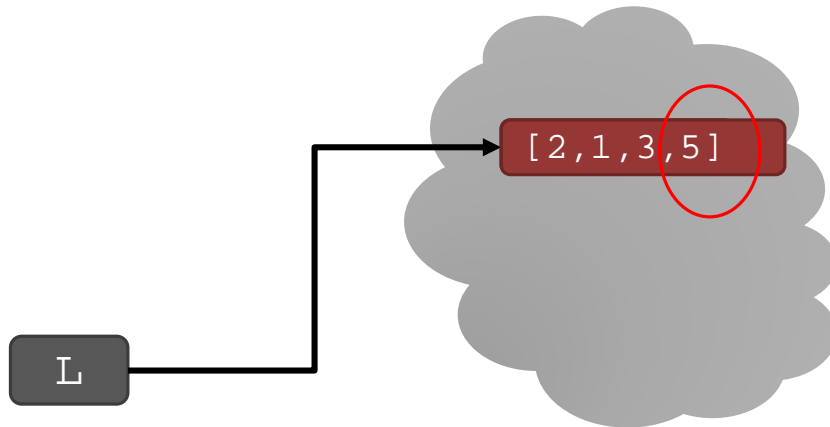
- What is the dot?
  - Lists are Python objects, everything in Python is an object
  - Objects have data
  - Objects have methods and functions
  - Access this information by `object_name.do_something()`
  - Will learn more about these later

# OPERATION ON LISTS – append

- **Add** element to end of list with `L.append(element)`
- **Mutates** the list!

`L = [2, 1, 3]`

`L.append(5)`       $\rightarrow$  L is now `[2, 1, 3, 5]`



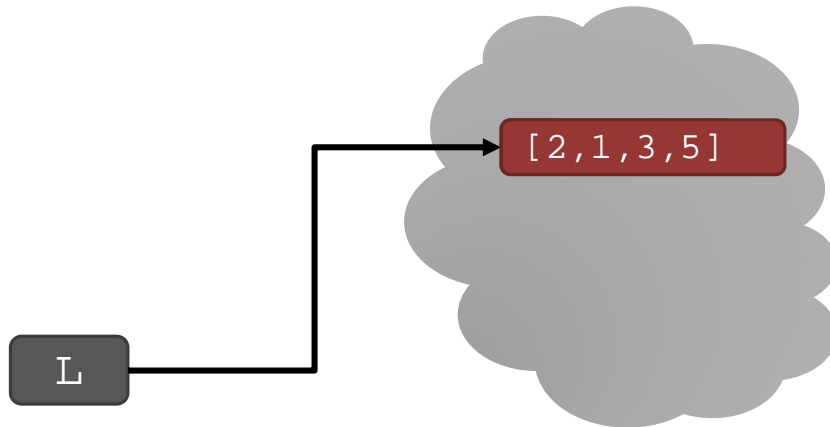
# OPERATION ON LISTS – append

- **Add** element to end of list with `L.append(element)`
- **Mutates** the list!

```
L = [2, 1, 3]
```

```
L.append(5)    → L is now [2, 1, 3, 5]
```

```
L = L.append(5)
```



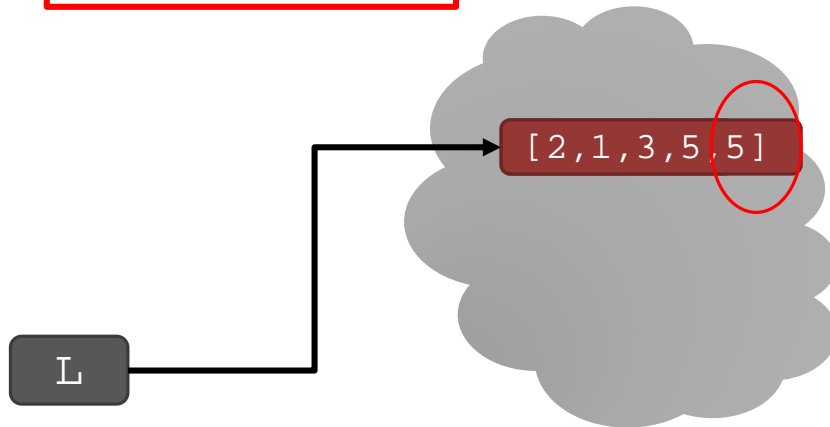
# OPERATION ON LISTS – append

- **Add** element to end of list with `L.append(element)`
- **Mutates** the list!

```
L = [2, 1, 3]
```

```
L.append(5) → L is now [2, 1, 3, 5]
```

```
L = L.append(5)
```



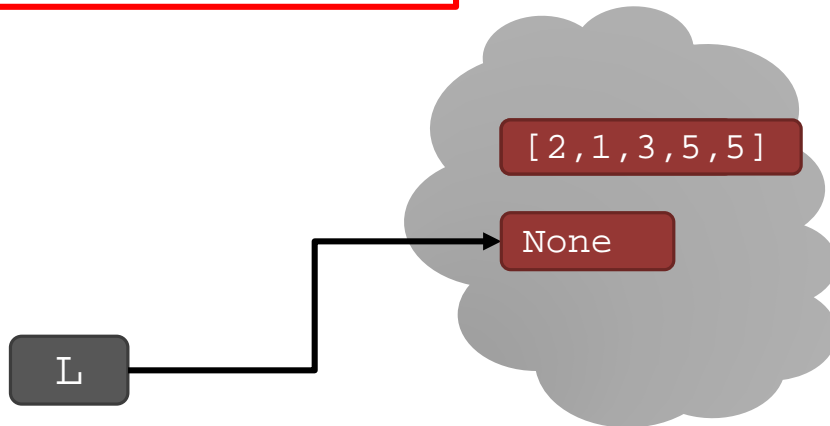
# OPERATION ON LISTS – append

- **Add** element to end of list with `L.append(element)`
- **Mutates** the list!

```
L = [2, 1, 3]
```

```
L.append(5) → L is now [2, 1, 3, 5]
```

```
L = L.append(5)
```



# TRICKY EXAMPLE 1: append

- **Range returns something that behaves like a tuple** (but isn't)
- Generates the first element, and provides an iteration method by which subsequent elements can be generated

`range(5)` → evaluates to tuple `(0, 1, 2, 3, 4)`

`range(2, 6)` → evaluates to tuple `(2, 3, 4, 5)`

```
L = [1, 2, 3, 4]
```

```
for i in range(len(L)):
```

```
    L.append(i)
```

```
print(L)
```

*Iteration sequence is pre-determined at the beginning*

1<sup>st</sup> time: L is [1, 2, 3, 4, 0]

2<sup>nd</sup> time: L is [1, 2, 3, 4, 0, 1]

3<sup>rd</sup> time: L is [1, 2, 3, 4, 0, 1, 2]

4<sup>th</sup> time: L is [1, 2, 3, 4, 0, 1, 2, 3]



# TRICKY EXAMPLE 2: append

```
L = [1, 2, 3, 4]
```

```
i = 0
```

```
for e in L:
```

```
    L.append(i)
```

```
    i += 1
```

```
print(L)
```

*Originally  
[1,2,3,4]*

*L is mutated each iteration*

*1<sup>st</sup> time: L is [1, 2, 3, 4, 0]*

*2<sup>nd</sup> time: L is [1, 2, 3, 4, 0, 1]*

*3<sup>rd</sup> time: L is [1, 2, 3, 4, 0, 1, 2]*

*4<sup>th</sup> time: L is [1, 2, 3, 4, 0, 1, 2, 3]*

*5<sup>th</sup> time: L is [1, 2, 3, 4, 0, 1, 2, 3, 4]*

*...*

# COMBINING LISTS

- **Concatenation**, + operator, creates a **new** list
- **Mutate** list with `L.extend(some_list)`

`L1 = [2, 1, 3]`

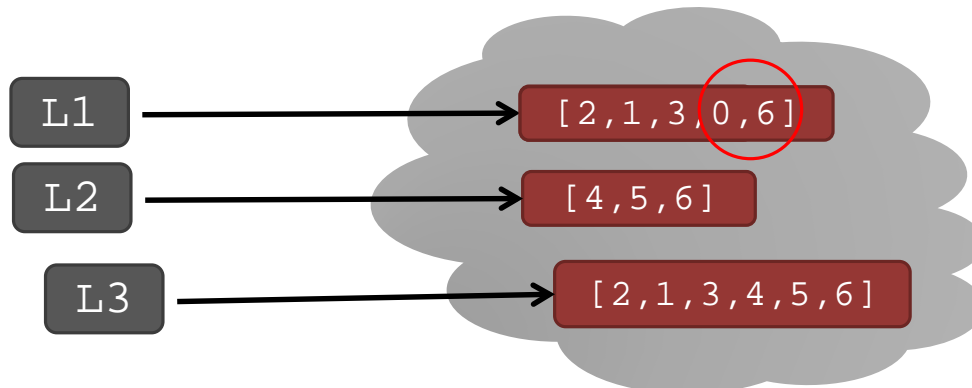
`L2 = [4, 5, 6]`

`L3 = L1 + L2`

→ `L3` is `[2, 1, 3, 4, 5, 6]`

`L1.extend([0, 6])`

→ mutated `L1` to `[2, 1, 3, 0, 6]`



# TRICKY EXAMPLE 3: combining

```
L = [1, 2, 3, 4]
```

```
i = 0
```

```
for e in L:
```

```
    L = L + L
```

```
    i += 1
```

```
print(L)
```

*Originally  
[1,2,3,4]*

*L is a new object each iteration  
e in L iterates only 4 times,  
over the original L=[1,2,3,4]*

1<sup>st</sup> time: new L is [1, 2, 3, 4, 1, 2, 3, 4]

2<sup>nd</sup> time: new L is [1, 2, 3, 4, 1, 2, 3, 4,  
1, 2, 3, 4, 1, 2, 3, 4]

3<sup>rd</sup> time: new L is [1, 2, 3, 4, 1, 2, 3, 4,  
1, 2, 3, 4, 1, 2, 3, 4,  
1, 2, 3, 4, 1, 2, 3, 4,  
1, 2, 3, 4, 1, 2, 3, 4]

4<sup>th</sup> time: new L is [1, 2, 3, 4, 1, 2, 3, 4,  
1, 2, 3, 4, 1, 2, 3, 4,  
1, 2, 3, 4, 1, 2, 3, 4,  
1, 2, 3, 4, 1, 2, 3, 4,  
1, 2, 3, 4, 1, 2, 3, 4,  
1, 2, 3, 4, 1, 2, 3, 4,  
1, 2, 3, 4, 1, 2, 3, 4,  
1, 2, 3, 4, 1, 2, 3, 4]

# OPERATION ON LISTS: REMOVE

- Delete element at a **specific index** with `del(L[index])`
- Remove element at **end of list** with `L.pop()`, returns the removed element
- Remove a **specific element** with `L.remove(element)`
  - Looks for the element and removes it
  - If element occurs multiple times, removes first occurrence
  - If element not in list, gives an error


all these  
operations  
mutate  
the list

```
L = [2,1,3,6,3,7,0] # do below in order
L.remove(2) → mutates L = [1,3,6,3,7,0]
L.remove(3) → mutates L = [1,6,3,7,0]
del(L[1])    → mutates L = [1,3,7,0]
L.pop()      → returns 0 and mutates L = [1,3,7]
```

# MUTATION AND ITERATION

## Try this in Python Tutor!

- **Avoid** mutating a list as you are iterating over it




```
def remove_dups(L1, L2):  
    for e in L1:  
        if e in L2:  
            L1.remove(e)
```

```
L1 = [1, 2, 3, 4]  
L2 = [1, 2, 5, 6]  
remove_dups(L1, L2)
```

- L1 is [2, 3, 4] not [3, 4] Why?

- Python uses an internal counter to keep track of index it is in the loop
- Mutating changes the list length but Python doesn't update the counter
- Loop never sees element 2



```
def remove_dups(L1, L2):  
    L1_copy = L1[:]  
    for e in L1_copy:  
        if e in L2:  
            L1.remove(e)
```

Clone list first  
Note that `L1_copy = L1`  
does NOT clone

# CONVERT LISTS TO STRINGS AND BACK

- Convert **string to list** with `list(s)`, returns a list with every character from `s` as an element in `L`
- Can use `s.split()`, to **split a string on a character** parameter, splits on spaces if called without a parameter
- Use `''.join(L)` to turn a **list of characters into a string**, can give a character in quotes to add char between every element

`s = "I<3 cs"`

→ `s` is a string

`list(s)`

→ returns `['I', '<', '3', ' ', 'c', 's']`

`s.split('<')`

→ returns `['I', '3 cs']`

`L = ['a', 'b', 'c']`

→ `L` is a list

`''.join(L)`

→ returns `"abc"`

`'_'.join(L)`

→ returns `"a_b_c"`



# OTHER LIST OPERATIONS

- `sort()` and `sorted()`

- `reverse()`

- and many more!

<https://docs.python.org/3/tutorial/datastructures.html>

`L = [9, 6, 0, 3]`

`a = sorted(L)` → returns sorted list, does **not mutate** `L`

`L.sort()` → **mutates** `L = [0, 3, 6, 9]`

`L.reverse()` → **mutates** `L = [9, 6, 3, 0]`

# MUTATION, ALIASING, CLONING



IMPORTANT  
and  
TRICKY!

***Again, Python Tutor is your best friend  
to help sort this out!***

<http://www.pythontutor.com/>



# LISTS IN MEMORY

- Lists are **mutable**
- Behave differently than immutable types
- Is an object in memory
- Variable name points to object
- Using equal sign between mutable objects creates aliases
- Any variable pointing to that object is affected
- Key phrase to keep in mind when working with lists is **side effects**

# ALIASING



Boston  
The Hub  
Beantown

- City may be known by many names
- Attributes of a city
  - small, tech-savvy
- All nicknames point to the **same city**
  - add new attribute to **one nickname** ...

Boston

small

tech-savvy

windy

... all the **aliases** refer to the old attribute and all the new ones

The Hub

small

tech-savvy

windy

Beantown

small

tech-savvy

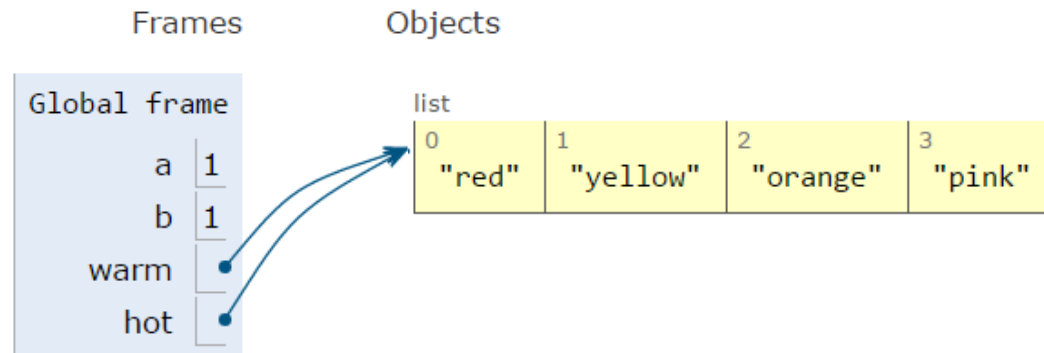
windy

# ALIASES

- `hot` is an **alias** for `warm` – changing one changes the other!
- `append( )` has a side effect

```
1 a = 1
2 b = a
3 print(a)
4 print(b)
5
6 warm = ['red', 'yellow', 'orange']
7 hot = warm
8 hot.append('pink')
9 print(hot)
10 print(warm)
```

```
1
1
['red', 'yellow', 'orange', 'pink']
['red', 'yellow', 'orange', 'pink']
```

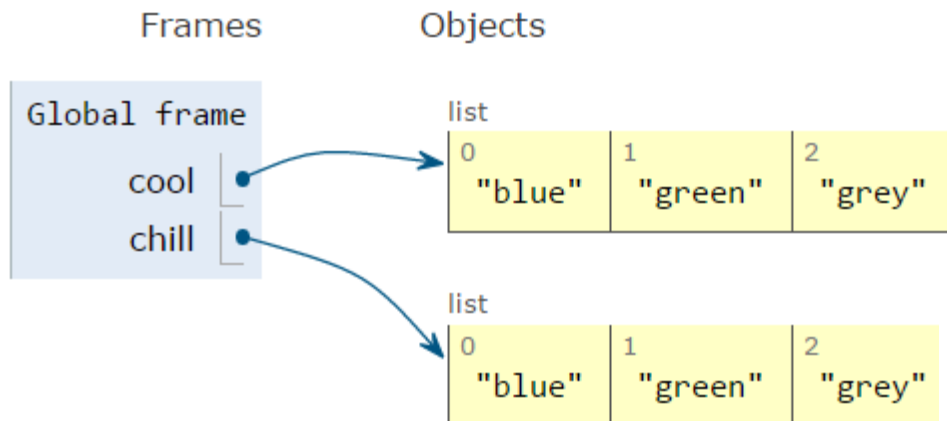


# CLONING A LIST

- Create a new list and **copy every element** using  
`chill = cool[:]`

```
1 cool = ['blue', 'green', 'grey']  
2 chill = cool[:]  
3 chill.append('black')  
4 print(chill)  
5 print(cool)
```

```
['blue', 'green', 'grey', 'black']  
['blue', 'green', 'grey']
```

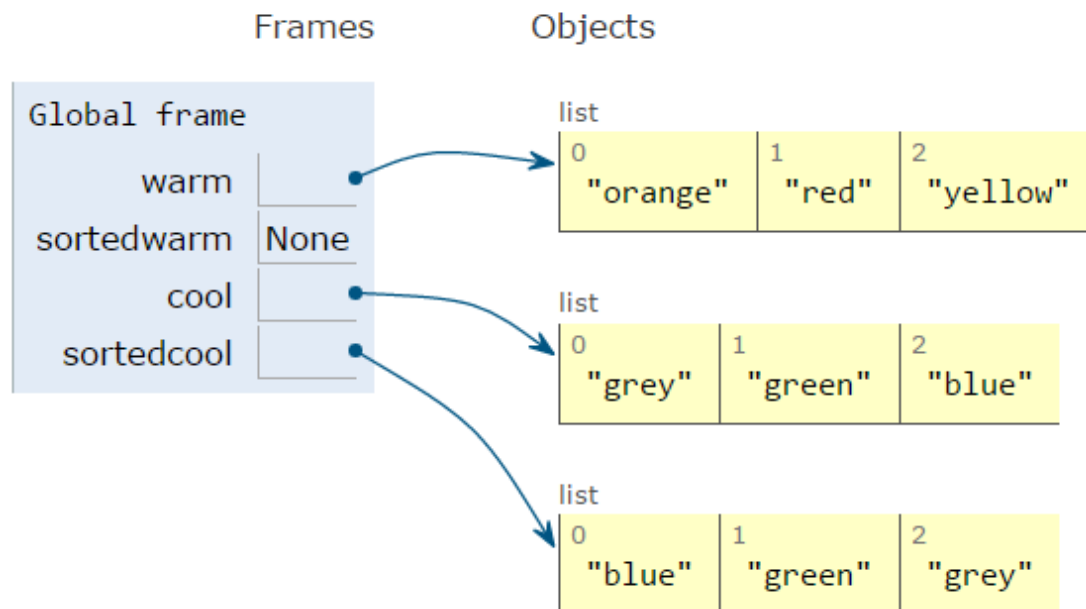


# SORTING LISTS

- Calling `sort()` **mutates** the list, returns nothing
- Calling `sorted()` **does not mutate** list, must assign result to a variable

```
['orange', 'red', 'yellow']  
None  
['grey', 'green', 'blue']  
['blue', 'green', 'grey']
```

```
1 warm = ['red', 'yellow', 'orange']  
2 sortedwarm = warm.sort()  
3 print(warm)  
4 print(sortedwarm)  
5  
6 cool = ['grey', 'green', 'blue']  
7 sortedcool = sorted(cool)  
8 print(cool)  
9 print(sortedcool)
```



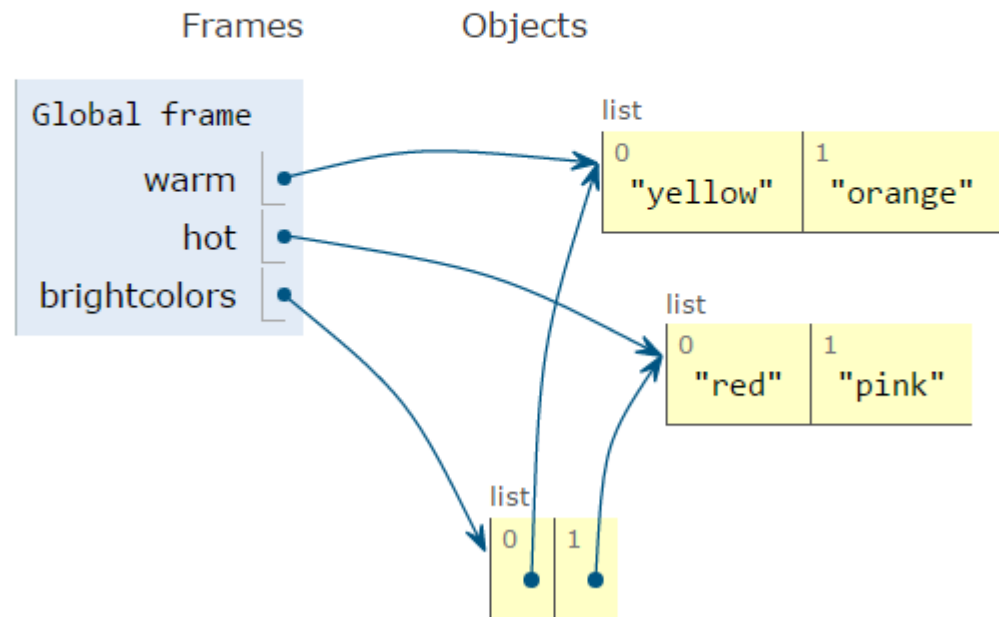


# LISTS OF LISTS OF LISTS OF....

- Can have **nested** lists
- Side effects still possible after mutation

```
[['yellow', 'orange'], ['red']]  
['red', 'pink']  
[['yellow', 'orange'], ['red', 'pink']]
```

```
1 warm = ['yellow', 'orange']  
2 hot = ['red']  
3 brightcolors = [warm]  
4 brightcolors.append(hot)  
5 print(brightcolors)  
6 hot.append('pink')  
7 print(hot)  
8 print(brightcolors)
```



# Five Minute Break

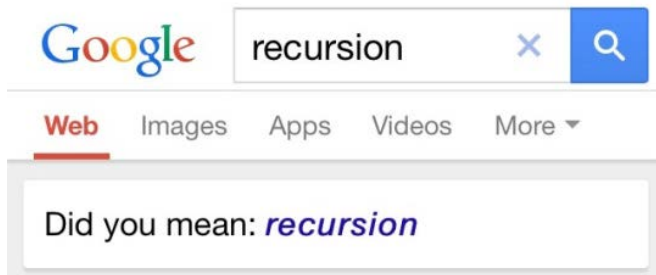


Debugging your pset

**When you delete a block  
of code that you thought  
was useless**



# RECURSION

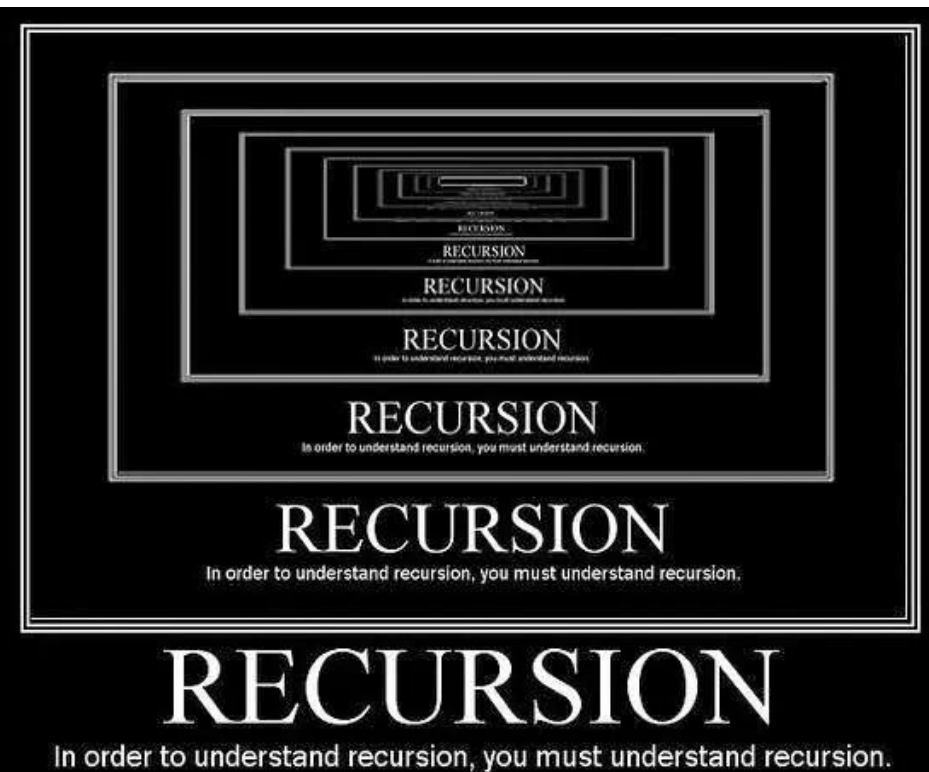


## A recursive light bulb joke

Q: How many twists does it take to screw in a light bulb?

A: Is it already screwed in? Then zero. If not, then twist it once, ask me again, and add 1 to my answer.

## MANUFACTURER FILES FOR BANKRUPTCY 3D PRINTER COMPANY ASKS CLIENTS NOT TO PRINT 3D PRINTERS





# ITERATIVE ALGORITHMS SO FAR

- Looping constructs (while and for loops) lead to **iterative** algorithms
- Can capture computation in a set of **state variables** that update on each iteration through loop

# WHAT IS RECURSION

- Most joked about CS topic
- A way to design solutions to problems by **divide-and-conquer** or **decrease-and-conquer**
- A programming technique where a **function calls itself**
- In programming, goal is to NOT have infinite recursion
  - Must have **1 or more base cases** that are easy to solve
  - Must solve the same problem on **some other input** with the goal of simplifying the larger problem input

# MULTIPLICATION – ITERATIVE SOLUTION

- “multiply  $a * b$ ” is equivalent to “add  $a$  to itself  $b$  times”
- Capture **state** by
  - An **iteration** number ( $i$ ) starts at  $b$   
 $i \leftarrow i-1$  and stop when 0
  - A current **value of computation** ( $result$ )  
 $result \leftarrow result + a$

```
def mult_iter(a, b):  
    result = 0  
    while b > 0:  
        result += a  
        b -= 1  
    return result
```

*iteration  
current value of computation,  
a running sum*

# MULTIPLICATION – RECURSIVE SOLUTION

## ■ Recursive step

- Think how to reduce problem to a **simpler/smaller version** of same problem

$$\begin{aligned} a * b &= \underbrace{a + a + a + a + \dots + a}_{b \text{ times}} \\ &= a + \underbrace{a + a + a + \dots + a}_{b-1 \text{ times}} \\ &= a + a * (b-1) \end{aligned}$$

## ■ Base case

- Keep reducing problem until reach a simple case that can be **solved directly**
- When  $b = 1$ ,  $a * b = a$

---

```
def mult(a, b):
```

```
    if b == 1:
        return a
```

```
    else:
        return a + mult(a, b-1)
```

# FACTORIAL

$$n! = n * (n-1) * (n-2) * (n-3) * \dots * 1$$

- What  $n$  do we know the factorial of?

```
n = 1      →      if n == 1:
                        return 1
```

base case

- How to reduce problem? Rewrite in terms of something simpler to reach base case

```
n*(n-1)!    →    else:
                  return n*factorial(n-1)
```

recursive step

# RECURSIVE FUNCTION SCOPE EXAMPLE

```
def fact(n):  
    if n == 1:  
        return 1  
    else:  
        return n*fact(n-1)
```

```
print(fact(4))
```

Global scope

fact

Some  
code

fact scope  
(call w/ n=4)

n

4

fact scope  
(call w/ n=3)

n

3

fact scope  
(call w/ n=2)

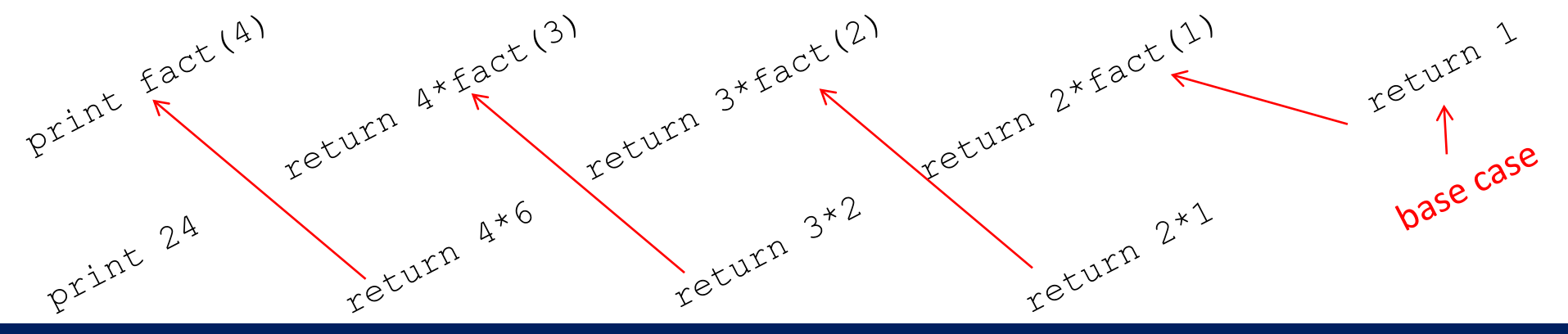
n

2

fact scope  
(call w/ n=1)

n

1



# SOME OBSERVATIONS

- Each recursive call to a function creates its **own scope/environment**
- **Bindings of variables** in a scope is not changed by recursive call
- Flow of control passes back to **previous scope** once function call returns value

using the same variable names but they are different objects in separate scopes

# ITERATION vs. RECURSION

```
def factorial_iter(n):  
    prod = 1  
    for i in range(1,n+1):  
        prod *= i  
    return prod  
  
def factorial(n):  
    if n == 1:  
        return 1  
    else:  
        return n*factorial(n-1)
```

- Recursion may be simpler, more intuitive
- Recursion may be efficient from programmer POV
- Recursion may not be efficient from computer POV



# MULTIPLY ELEMENTS OF LIST

```
def mult_list_recur(L):  
    if len(L) == 1:  
        return L[0]  
    else:  
        return L[0]*mult_list_recur(L[1:])
```

- Decrease and conquer
- What happens when  $L = [ ]$ ?

*error, list index  
out of range*

# FIND ELEMENT IN ORDERED LIST

```
def find_elem_recur(e, L):  
    if L == []:  
        return False  
    elif len(L) == 1:  
        return L[0] == e  
    else:  
        half = len(L)//2  
        if L[half] > e:  
            return find_elem_recur(e, L[:half])  
        else:  
            return find_elem_recur(e, L[half:])
```

## ■ Divide and conquer

```
[-----]  
[-----]  
      [-----]  
      [---]  
      [-]
```

- Look in half of original list
- Decide which half based on size of elem at half point
- What happens if list not ordered?

*cannot  
guarantee  
correctness!*

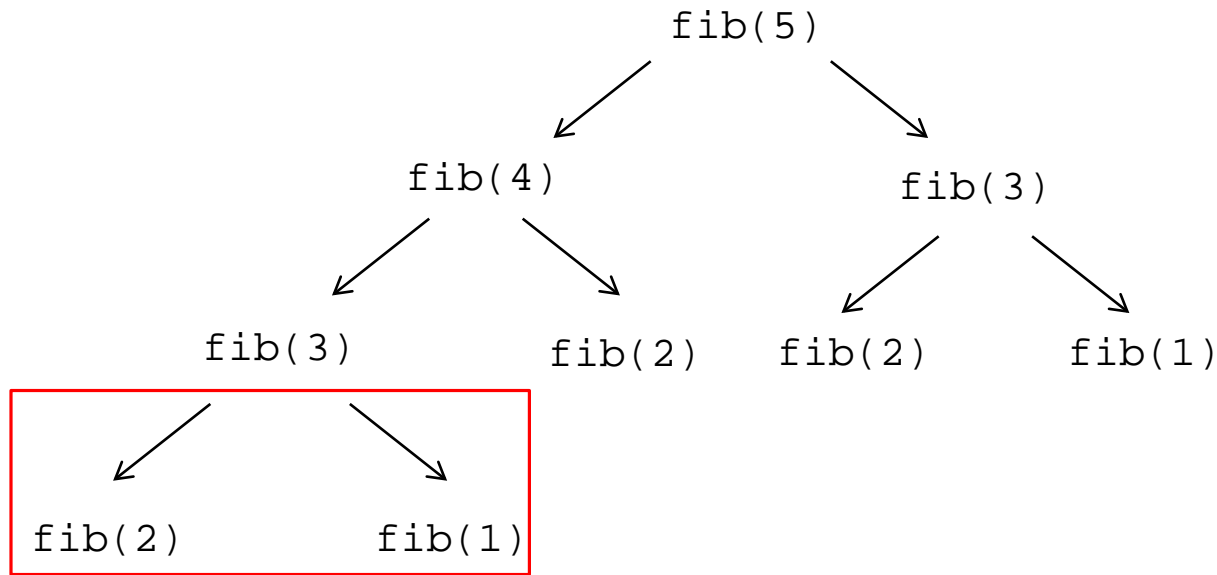
# FIBONACCI RECURSIVE CODE (MULTIPLE BASE CASES)

```
def fib(n):  
    if n == 1:  
        return 1  
    elif n == 2:  
        return 2  
    else:  
        return fib(n-1) + fib(n-2)
```

- Two base cases
- Calls itself twice
- This code is inefficient

# INEFFICIENT FIBONACCI

$$\text{fib}(n) = \text{fib}(n-1) + \text{fib}(n-2)$$



base cases

- **Recalculating** the same values many times!

# Monday

- Dictionaries
- Exceptions
- Assertions
- Debugging
- Microquiz