Factors

Learning Objectives

- Manipulating factors.
- Chapter 15 of RDS.
- Wrangling Categorical Data in R.
- 8.2: Chimeras of the R Inferno
- Factors with forcats Cheat Sheet.
- Forcats Overview.

Factors

- A "factor" is R's way to say that a variable is categorical (puts observational/experimental units into different groups or categories based on their values.).
- A factor is different from a character in that:
 - 1. There is a small predefined set of "levels" (possible values) of a factor, but not of a character.
 - 2. There is an ordering for the levels of a factor
 - Useful when determining the order to plot something.
 - Useful when doing ordered logistic regression.
- Consider the following data frame for average highs in DC for each month.

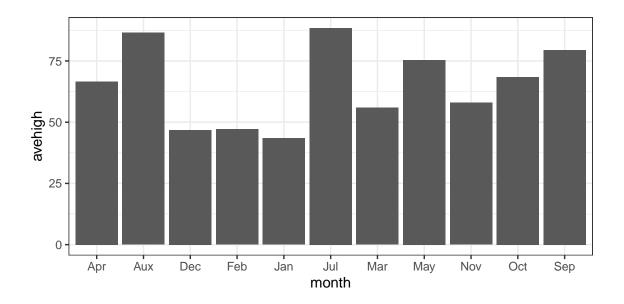
```
"May", 75.4,
"Jul", 88.4,
"Aux", 86.5,
"Sep", 79.5,
"Oct", 68.4,
"Nov", 57.9,
"Dec", 46.8)
```

dcclimate

```
# A tibble: 11 x 2
  month avehigh
   <chr>
           <dbl>
1 Jan
            43.4
2 Feb
            47.1
3 Mar
            55.9
4 Apr
            66.6
5 May
            75.4
6 Jul
            88.4
7 Aux
            86.5
8 Sep
            79.5
9 Oct
            68.4
10 Nov
            57.9
11 Dec
            46.8
```

- The weather for June is missing and the 3-letter abbreviation for August is incorrect. We would like to notice both of these.
- Also, when we plot the data, we would prefer the order to be the same as that for the order of the months of the year.

```
ggplot(dcclimate, aes(x = month, y = avehigh)) +
geom_col()
```



- Factors help us with all of these issues.
- You have to be **very** careful about factors.

```
x <- c("51", "32", "15", "2", "32")
x
```

[1] "51" "32" "15" "2" "32"

class(x)

[1] "character"

as.numeric(x)

[1] 51 32 15 2 32

- Order: By default, R orders the levels of a factor in alphabetical order. In this case, since the levels are character strings, they are ordered alphabetically. So, the levels in **xf** would be "15", "2", "32", and "51".
- "15" comes before "2" because in alphabetical order, "1" comes before "2".
- "2" comes before "32" because in alphabetical order, "2" comes before "3".

- "32" comes before "51" because in alphabetical order, "3" comes before "5".
- "51" comes last because it starts with the highest numerical digit among the strings.

```
xf <- factor(x)
xf</pre>
```

[1] 51 32 15 2 32 Levels: 15 2 32 51

- When you convert a factor to numeric in R using as.numeric(), it doesn't return the original values of the levels of the factor, but rather the underlying integer codes that represent each level.
- In our case:
- 1. The first level in our factor **xf** is "15", which gets assigned the numeric code 1.
- 2. The second level is "2", which gets assigned the numeric code 2.
- 3. The third level is "32", which gets assigned the numeric code 3.
- 4. The fourth level is "51", which gets assigned the numeric code 4.

So, when you use as.numeric(xf), it returns the numeric codes corresponding to each level of the factor, resulting in the output 4 3 1 2 3.

```
as.numeric(xf)

[1] 4 3 1 2 3

factor("Hello")

[1] Hello
Levels: Hello

as.numeric("Hello")

Warning: NAs introduced by coercion

[1] NA
```

```
factor("Hello")
[1] Hello
Levels: Hello
       as.numeric(factor("Hello"))
[1] 1
      fac1 <- factor(c("x1", "x2", "x3"))</pre>
  fac1
[1] x1 x2 x3
Levels: x1 x2 x3
      fac2 <- factor(c("y1", "y2", "y3"))</pre>
  fac2
[1] y1 y2 y3
Levels: y1 y2 y3
       c(fac1, fac2)
[1] x1 x2 x3 y1 y2 y3
Levels: x1 x2 x3 y1 y2 y3
  xf
[1] 51 32 15 2 32
Levels: 15 2 32 51
  levels(xf)
              "32" "51"
[1] "15" "2"
```

 \bullet If you are 100% sure that all levels are numeric and are incorrectly specified as factors, then do the following to convert to numeric:

```
parse_number(levels(xf))
```

[1] 15 2 32 51

Creating Factors

- Use factor() or parse_factor() to create a factor variable
- parse_factor() returns better warnings, so I would recommend always using that.

dcclimate

```
# A tibble: 11 x 2
  month avehigh
  <chr>
           <dbl>
1 Jan
            43.4
2 Feb
            47.1
3 Mar
            55.9
4 Apr
            66.6
5 May
            75.4
6 Jul
           88.4
7 Aux
           86.5
8 Sep
           79.5
           68.4
9 Oct
10 Nov
            57.9
11 Dec
            46.8
      monthvec <- c("Jan", "Feb", "Mar", "Apr", "May", "Jun",</pre>
                     "Jul", "Aug", "Sep", "Oct", "Nov", "Dec")
      dcclimate %>%
        mutate(monthfc = factor(month, levels = monthvec)) ->
        dcclimate
      dcclimate
```

```
<dbl> <fct>
   <chr>
 1 Jan
           43.4 Jan
 2 Feb
           47.1 Feb
 3 Mar
           55.9 Mar
           66.6 Apr
 4 Apr
 5 May
           75.4 May
 6 Jul
           88.4 Jul
           86.5 <NA>
 7 Aux
8 Sep
           79.5 Sep
9 Oct
           68.4 Oct
10 Nov
          57.9 Nov
11 Dec
           46.8 Dec
  dcclimate$monthfc
 [1] Jan Feb Mar Apr May Jul <NA> Sep Oct Nov Dec
Levels: Jan Feb Mar Apr May Jun Jul Aug Sep Oct Nov Dec
      dcclimate %>%
        mutate(monthfc2 = parse_factor(month, levels = monthvec)) ->
        dcclimate
Warning: There was 1 warning in `mutate()`.
i In argument: `monthfc2 = parse_factor(month, levels = monthvec)`.
Caused by warning:
! 1 parsing failure.
row col
                 expected actual
  7 -- value in level set
                             Aux
      dcclimate
# A tibble: 11 x 4
  month avehigh monthfc monthfc2
          <dbl> <fct>
 1 Jan
           43.4 Jan
                         Jan
 2 Feb
           47.1 Feb
                        Feb
 3 Mar
          55.9 Mar
                        Mar
 4 Apr
           66.6 Apr
                        Apr
 5 May
           75.4 May
                        May
```

```
6 Jul
            88.4 Jul
                          Jul
7 Aux
            86.5 <NA>
                          <NA>
            79.5 Sep
8 Sep
                          Sep
9 Oct
            68.4 Oct
                          Oct
            57.9 Nov
10 Nov
                          Nov
11 Dec
            46.8 Dec
                          Dec
```

dcclimate\$monthfc2

- If you do not specify the levels argument, R will assume that the levels are the unique values of the vector.
 - factor() takes the order of the levels to be the same order returned by sort().
 - parse_factor() takes the order of the levels to be the same order as the order of the value introduced.

```
x <- c("A", "string", "vector", "is", "a", "string", "vector")
factor(x)</pre>
```

[1] A string vector is a string vector Levels: a A is string vector

```
sort(unique(x))
```

[1] "a" "A" "is" "string" "vector"

```
parse_factor(x)
```

- [1] A string vector is a string vector Levels: A string vector is a
 - You can always see the levels of a factor (and their order) using the levels() function

levels(dcclimate\$monthfc)

- [1] "Jan" "Feb" "Mar" "Apr" "May" "Jun" "Jul" "Aug" "Sep" "Oct" "Nov" "Dec"
 - Other options are the fct_unique() and fct_count() functions from the forcats package.

fct_unique(dcclimate\$monthfc)

[1] Jan Feb Mar Apr May Jun Jul Aug Sep Oct Nov Dec <NA> Levels: Jan Feb Mar Apr May Jun Jul Aug Sep Oct Nov Dec

dcclimate\$monthfc

[1] Jan Feb Mar Apr May Jul <NA> Sep Oct Nov Dec Levels: Jan Feb Mar Apr May Jun Jul Aug Sep Oct Nov Dec

fct_count(dcclimate\$monthfc)

```
# A tibble: 13 x 2
  f
   <fct> <int>
1 Jan
2 Feb
             1
3 Mar
             1
4 Apr
5 May
             1
6 Jun
7 Jul
             1
             0
8 Aug
9 Sep
             1
10 Oct
             1
11 Nov
             1
12 Dec
             1
13 <NA>
```

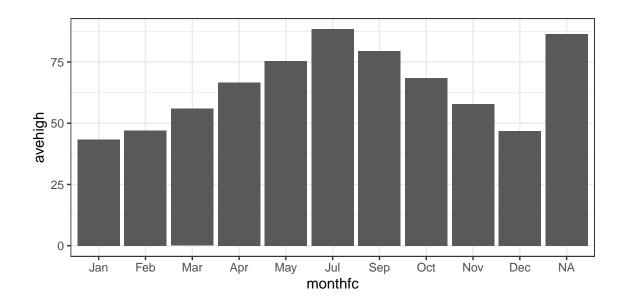
• You can count the number of levels with nlevels().

nlevels(dcclimate\$monthfc)

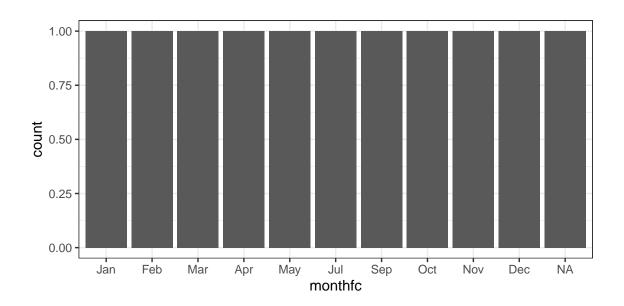
[1] 12

• Once we have a factor variable, the order of the aesthetic map is set in ggplot.

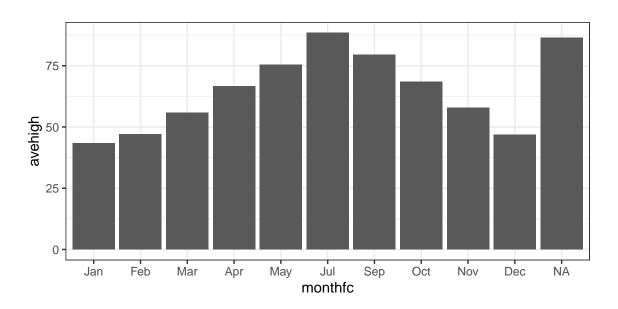
```
ggplot(dcclimate, aes(x = monthfc, y = avehigh)) +
  geom_col()  # if you want to specify the height use geom_col()
```



```
ggplot(dcclimate, aes(x = monthfc)) +
  geom_bar()  # it uses frequency by default
```



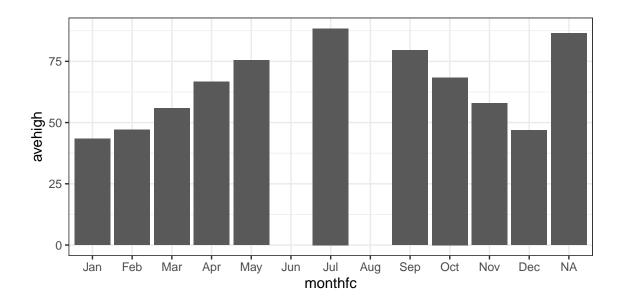
```
ggplot(dcclimate, aes(x = monthfc, y = avehigh)) +
  geom_bar(stat = "identity") # by default it use count. If you want to use the value
```



data use stat="identity"

• We can include missing levels by using the drop = FALSE argument in the appropriate scale call:

```
ggplot(dcclimate, aes(x = monthfc, y = avehigh)) +
  geom_col() +
  scale_x_discrete(drop = FALSE)
```



forcats

- forcats is an R package which makes two things much easier in R:
 - Changing the order of the levels of the factor variable.
 - Changing the levels of the factor variable.
- It also a few other helper functions for factors.
- All {forcats} functions begin with fct_. So you can type "fct_" then use tab-completion to scroll through the possible functions. The goal of the {forcats} is to provide a suite of tools that solves common problem with factors.
- forcats is a part of the tidyverse, so you don't need to load it separately when you load the tidyverse.

Changing the Order of the Levels

• Consider the subset of the General Social Survey stored in the gss_cat data in forcats.

```
data(gss_cat)
glimpse(gss_cat)
```

• You often want to change the order of the levels of a factor to make plots more insightful.

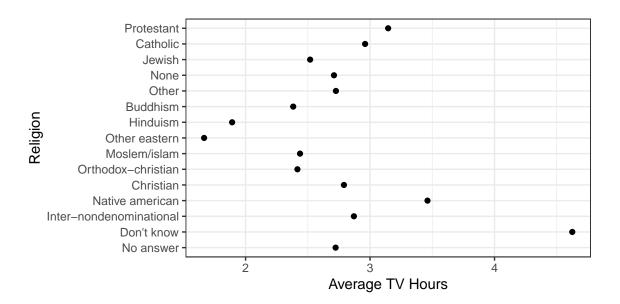
```
gss_cat %>%
    group_by(relig) %>%
    summarize(tvhours_mean = mean(tvhours, na.rm = TRUE)) ->
    tvdat

tvdat
```

A tibble: 15 x 2

	relig	tvhours_mean
	<fct></fct>	<dbl></dbl>
1	No answer	2.72
2	Don't know	4.62
3	Inter-nondenominational	2.87
4	Native american	3.46
5	Christian	2.79
6	Orthodox-christian	2.42
7	Moslem/islam	2.44
8	Other eastern	1.67
9	Hinduism	1.89
10	Buddhism	2.38
11	Other	2.73
12	None	2.71
13	Jewish	2.52
14	Catholic	2.96
15	Protestant	3.15

```
ggplot(tvdat, aes(x = tvhours_mean, y = relig)) +
  geom_point() +
  xlab("Average TV Hours") +
  ylab("Religion")
```



- fct_reorder() reorders the levels of a factor according to some values of another variable. The arguments are:
 - f: The factor vector.
 - x: A numeric vector used to reorder the levels.
 - fun: A function applied to x, the result of which will be used to order the levels of f.

tvdat\$relig

[1] No ai	nswer	Don't know	Inter-nondenominational		
[4] Nativ	ve american	Christian	Orthodox-christian		
[7] Mosle	em/islam	Other eastern	Hinduism		
[10] Buddl	nism	Other	None		
[13] Jewis	sh	Catholic	Protestant		
16 Levels: No answer Don't know Inter-nondenominational Not applicable					

levels(tvdat\$relig)

```
[1] "No answer"
                                "Don't know"
 [3] "Inter-nondenominational" "Native american"
 [5] "Christian"
                                "Orthodox-christian"
 [7] "Moslem/islam"
                                "Other eastern"
 [9] "Hinduism"
                                "Buddhism"
[11] "Other"
                                "None"
[13] "Jewish"
                                "Catholic"
[15] "Protestant"
                                "Not applicable"
  tvdat
# A tibble: 15 x 2
  relig
                           tvhours_mean
   <fct>
                                   <dbl>
1 No answer
                                    2.72
2 Don't know
                                    4.62
3 Inter-nondenominational
                                    2.87
4 Native american
                                    3.46
                                    2.79
5 Christian
6 Orthodox-christian
                                    2.42
7 Moslem/islam
                                    2.44
8 Other eastern
                                    1.67
9 Hinduism
                                    1.89
10 Buddhism
                                    2.38
11 Other
                                    2.73
12 None
                                    2.71
13 Jewish
                                    2.52
14 Catholic
                                    2.96
15 Protestant
                                    3.15
      tvdat %>%
        mutate(relig = fct_reorder(relig, tvhours_mean)) ->
        tvdat
  tvdat
# A tibble: 15 x 2
                           tvhours_mean
  relig
   <fct>
                                   <dbl>
                                    2.72
1 No answer
```

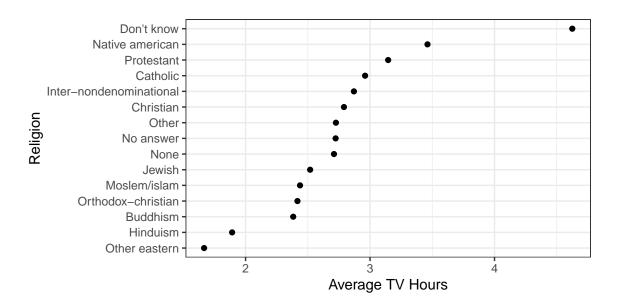
```
2 Don't know
                                    4.62
3 Inter-nondenominational
                                    2.87
4 Native american
                                    3.46
5 Christian
                                    2.79
6 Orthodox-christian
                                    2.42
7 Moslem/islam
                                    2.44
8 Other eastern
                                    1.67
9 Hinduism
                                    1.89
10 Buddhism
                                    2.38
11 Other
                                    2.73
12 None
                                    2.71
13 Jewish
                                    2.52
14 Catholic
                                    2.96
15 Protestant
                                    3.15
```

levels(tvdat\$relig)

```
[1] "Other eastern" "Hinduism"
[3] "Buddhism" "Orthodox-christian"
[5] "Moslem/islam" "Jewish"
[7] "None" "No answer"
[9] "Other" "Christian"
[11] "Inter-nondenominational" "Catholic"
[13] "Protestant" "Native american"
[15] "Don't know" "Not applicable"
```

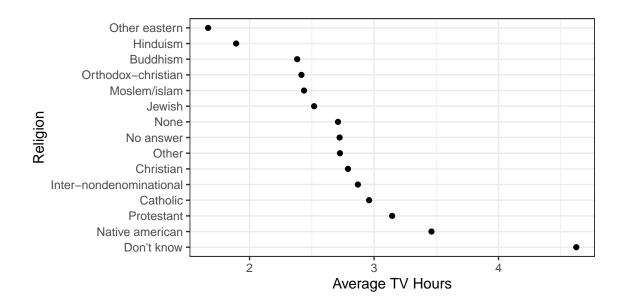
• The plot now reorders the y-axis according to the new level order.

```
ggplot(tvdat, aes(x = tvhours_mean, y = relig)) +
   geom_point() +
   xlab("Average TV Hours") +
   ylab("Religion")
```



• fct_rev() reverses the order of the factors.

```
tvdat %>%
  mutate(relig = fct_rev(relig)) %>%
  ggplot(aes(x = tvhours_mean, y = relig)) +
    geom_point() +
    xlab("Average TV Hours") +
    ylab("Religion")
```



• fct_relevel() allows you to move existing levels to any location.

levels(tvdat\$relig)

```
[1] "Other eastern"
                                "Hinduism"
 [3] "Buddhism"
                                "Orthodox-christian"
 [5] "Moslem/islam"
                                "Jewish"
                                "No answer"
 [7] "None"
[9] "Other"
                                "Christian"
[11] "Inter-nondenominational" "Catholic"
[13] "Protestant"
                                "Native american"
[15] "Don't know"
                                "Not applicable"
      ## Moves "None" to first level
      fct_relevel(tvdat$relig, "None") %>%
        levels()
 [1] "None"
                                "Other eastern"
[3] "Hinduism"
                                "Buddhism"
[5] "Orthodox-christian"
                                "Moslem/islam"
[7] "Jewish"
                                "No answer"
 [9] "Other"
                                "Christian"
[11] "Inter-nondenominational" "Catholic"
[13] "Protestant"
                                "Native american"
[15] "Don't know"
                                "Not applicable"
      ## Moves "None" to the third level
      fct_relevel(tvdat$relig, "None", after = 2L) %>%
        levels()
 [1] "Other eastern"
                                "Hinduism"
 [3] "None"
                                "Buddhism"
 [5] "Orthodox-christian"
                                "Moslem/islam"
[7] "Jewish"
                                "No answer"
[9] "Other"
                                "Christian"
[11] "Inter-nondenominational" "Catholic"
[13] "Protestant"
                                "Native american"
[15] "Don't know"
                                "Not applicable"
```

```
## Moves "None" to the last level
      fct_relevel(tvdat$relig, "None", after = nlevels(tvdat$relig)) %>%
        levels()
 [1] "Other eastern"
                               "Hinduism"
[3] "Buddhism"
                               "Orthodox-christian"
 [5] "Moslem/islam"
                               "Jewish"
 [7] "No answer"
                               "Other"
[9] "Christian"
                               "Inter-nondenominational"
[11] "Catholic"
                               "Protestant"
[13] "Native american"
                               "Don't know"
[15] "Not applicable"
                               "None"
      ## Returns a warning because "Cthulhuism" is not a level
      fct_relevel(tvdat$relig, "Cthulhuism")
```

Warning: 1 unknown level in `f`: Cthulhuism

[1] No answer	Don't know	Inter-nondenominational
[4] Native american	Christian	Orthodox-christian
[7] Moslem/islam	Other eastern	Hinduism
[10] Buddhism	Other	None
[13] Jewish	Catholic	Protestant
16 Levels: Other eastern H	induism Buddhism Orthodox-	-christian Not applicable

• Exercise: Reorder the levels of the partyid variable so that the levels are in alphabetical order.

```
glimpse(gss_cat)
```

```
Rows: 21,483
Columns: 9
        <int> 2000, 2000, 2000, 2000, 2000, 2000, 2000, 2000, 2000, 2000, 20~
$ year
$ marital <fct> Never married, Divorced, Widowed, Never married, Divorced, Mar~
$ age
         <int> 26, 48, 67, 39, 25, 25, 36, 44, 44, 47, 53, 52, 52, 51, 52, 40~
$ race
         <fct> White, White, White, White, White, White, White, White,~
$ rincome <fct> $8000 to 9999, $8000 to 9999, Not applicable, Not applicable, ~
$ partyid <fct> "Ind,near rep", "Not str republican", "Independent", "Ind,near~
         <fct> Protestant, Protestant, Protestant, Orthodox-christian, None, ~
$ relig
         <fct> "Southern baptist", "Baptist-dk which", "No denomination", "No~
$ denom
$ tvhours <int> 12, NA, 2, 4, 1, NA, 3, NA, 0, 3, 2, NA, 1, NA, 1, 7, NA, 3, 3~
```

unique(gss_cat\$partyid) [1] Ind, near rep Not str republican Independent Not str democrat [5] Strong democrat Ind, near dem Strong republican Other party [9] No answer Don't know 10 Levels: No answer Don't know Other party ... Strong democrat levels(gss_cat\$partyid) [1] "No answer" "Don't know" "Other party" [4] "Strong republican" "Not str republican" "Ind, near rep" [7] "Independent" "Ind, near dem" "Not str democrat" [10] "Strong democrat" gss_cat %>% mutate(partyid = fct_relevel(partyid, sort(levels(partyid)))) # A tibble: 21,483 x 9 year marital relig denom tvhours age race rincome partyid <int> <fct> <int> <fct> <fct> <fct> <fct> <fct> <int> 1 2000 Never married 26 White \$8000 to 9999 Ind, near ~ Prot~ Sout~ 12 48 White \$8000 to 9999 Not str r~ Prot~ Bapt~ 2 2000 Divorced NA 3 2000 Widowed 67 White Not applicable Independer Protr No dr 2 39 White Not applicable Ind, near ~ Orth~ Not ~ 4 2000 Never married 4 2000 Divorced 25 White Not applicable Not str d~ None Not ~ 1 6 2000 Married 25 White \$20000 - 24999 Strong de~ Prot~ Sout~ NA36 White \$25000 or more Not str r~ Chri~ Not ~ 7 2000 Never married 3 44 White \$7000 to 7999 Ind, near ~ Prot~ Luth~ 8 2000 Divorced NA44 White \$25000 or more Not str d~ Prot~ Other 9 2000 Married 0 47 White \$25000 or more Strong re~ Prot~ Sout~ 10 2000 Married 3

• Exercise: Move the "Not applicable" level to the front in the rincome variable.

levels(gss_cat\$rincome)

i 21,473 more rows

```
[1] "No answer" "Don't know" "Refused" "$25000 or more" [5] "$20000 - 24999" "$15000 - 19999" "$10000 - 14999" "$8000 to 9999" [9] "$7000 to 7999" "$6000 to 6999" "$5000 to 5999" "$4000 to 4999" [13] "$3000 to 3999" "$1000 to 2999" "Lt $1000" "Not applicable"
```

Modify Factor Levels

• Let's look at the levels of partyid in gss_cat.

```
levels(gss_cat$partyid)
[1] "No answer"
                          "Don't know"
                                               "Other party"
                          "Not str republican" "Ind, near rep"
[4] "Strong republican"
[7] "Independent"
                          "Ind, near dem"
                                               "Not str democrat"
[10] "Strong democrat"
  • Use fct_recode() to change the levels.
      gss_cat %>%
        mutate(partyid = fct recode(partyid,
                                    "Republican, strong"
                                                             = "Strong republican",
                                    "Republican, weak"
                                                             = "Not str republican",
                                    "Independent, near rep" = "Ind, near rep",
                                    "Independent, near dem" = "Ind, near dem",
                                    "Democrat, weak"
                                                         = "Not str democrat",
                                    "Democrat, strong"
                                                           = "Strong democrat"
                                    )) ->
        gss_cat
   levels(gss_cat$partyid)
[1] "No answer"
                             "Don't know"
                                                      "Other party"
[4] "Republican, strong"
                             "Republican, weak"
                                                      "Independent, near rep"
[7] "Independent"
                             "Independent, near dem" "Democrat, weak"
[10] "Democrat, strong"
      gss_cat %>%
        mutate(partyid = fct_recode(partyid,
                                    "Strong republican" = "Republican, strong",
                                    "Not str republican" = "Republican, weak",
                                    "Ind, near rep"
                                                        = "Independent, near rep",
                                    "Ind, near dem"= "Independent, near dem",
                                     "Not str democrat" = "Democrat, weak",
                                     "Strong democrat" = "Democrat, strong",
```

)) ->

```
gss_cat
   levels(gss_cat$partyid)
 [1] "No answer"
                            "Don't know"
                                                   "Other party"
 [4] "Strong republican"
                            "Not str republican" "Ind, near rep"
 [7] "Independent"
                            "Ind, near dem"
                                                   "Not str democrat"
[10] "Strong democrat"
  • New level goes on the left of the equals sign. Old level goes on the right. (Just like
     mutate()!)
  • Exercise: Modify the factor levels of marital to be abbreviations of their long-names.
     For example, "Divorced" can just be "D"
  levels(gss_cat$marital)
[1] "No answer"
                      "Never married" "Separated"
                                                         "Divorced"
[5] "Widowed"
                      "Married"
Other Useful Functions.
  • fct_c(): is the safe way to combine factor vectors.
      fc1 <- parse_factor(c("A", "B"))</pre>
      fc1
[1] A B
```

```
[1] A B C D
Levels: A B C D
   • fct_collapse(): combine multiple levels into one level.
       fc <- parse_factor(c("A", "B", "C", "A", "B", "C"))</pre>
[1] A B C A B C
Levels: A B C
       fct_collapse(fc, "blah" = c("A", "B"))
[1] blah blah C
                    blah blah C
Levels: blah C
   • fct_drop(): removes any levels that are unused.
       fc <- parse_factor(c("A", "B"), levels = c("A", "B", "C"))</pre>
       fc
[1] A B
Levels: A B C
       fct_drop(fc)
[1] A B
Levels: A B
   • fct_expand(): adds a new level.
       fc <- parse_factor(c("A", "B"))</pre>
       fc
[1] A B
```

Levels: A B

```
fct_expand(fc, "C")
[1] A B
Levels: A B C
  • fct_infreq(): Order by frequency of a level.
      fc <- parse_factor(c("A", "B", "C", "B", "C", "C"))</pre>
  fc
[1] A B C B C C
Levels: A B C
      fct_count(fc)
# A tibble: 3 x 2
  f
  <fct> <int>
1 A
2 B
3 C
  fc
[1] A B C B C C
Levels: A B C
  fct_infreq(fc)
[1] A B C B C C
Levels: C B A
      fct_infreq(fc) %>%
        fct_count()
```