

Course material at: <https://git.io/fjFga>

# Convolutional Neural Networks

Thursday, Lecture 1

FASE ML Bootcamp

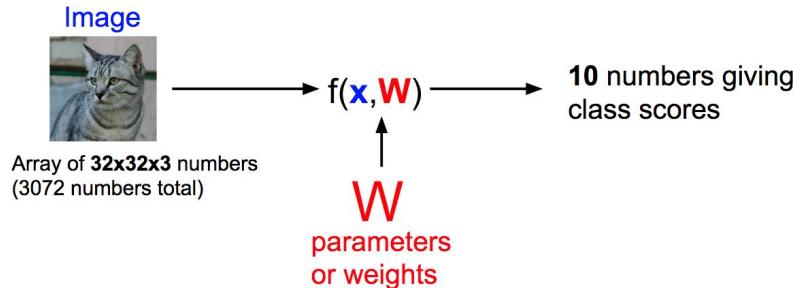
Based on material from:

Stanford CS321n: see full slide decks at

<http://cs231n.stanford.edu/schedule.html>

# Lecture 5: Image Classification with CNNs

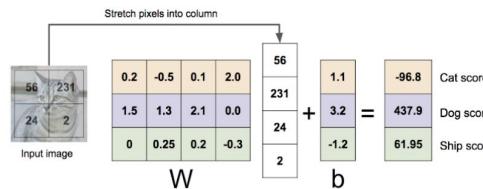
# Recap: Image Classification with Linear Classifier



$$f(x, W) = Wx + b$$

## Algebraic Viewpoint

$$f(x, W) = Wx$$



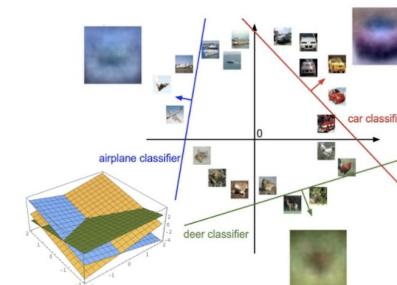
## Visual Viewpoint

One template per class



## Geometric Viewpoint

Hyperplanes cutting up space



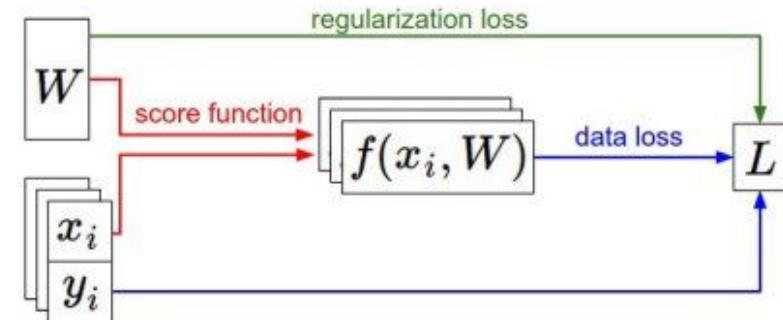
# Recap: Loss Function

- We have some dataset of  $(x, y)$
- We have a **score function**:  $s = f(x; W) = Wx$
- We have a **loss function**:

$$L_i = -\log\left(\frac{e^{sy_i}}{\sum_j e^{sj}}\right) \text{ Softmax}$$

$$L_i = \sum_{j \neq y_i} \max(0, s_j - s_{y_i} + 1) \text{ SVM}$$

$$L = \frac{1}{N} \sum_{i=1}^N L_i + R(W) \text{ Full loss}$$



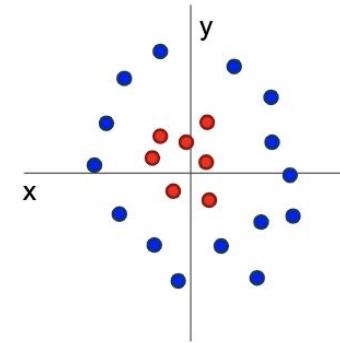
# Problem: Linear Classifiers are not very powerful

## Visual Viewpoint



Linear classifiers learn  
one template per class

## Geometric Viewpoint



Linear classifiers  
can only draw linear  
decision boundaries

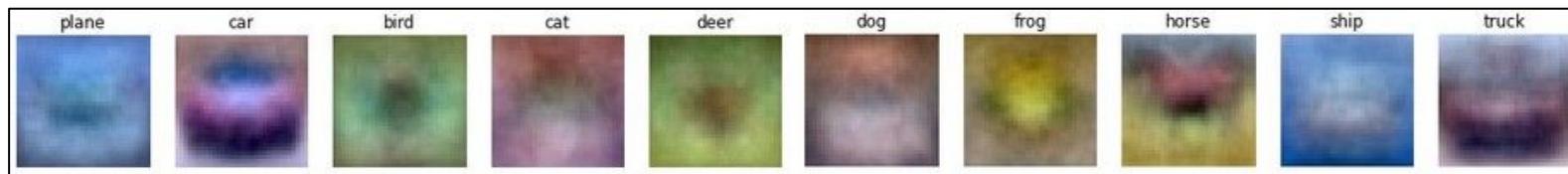
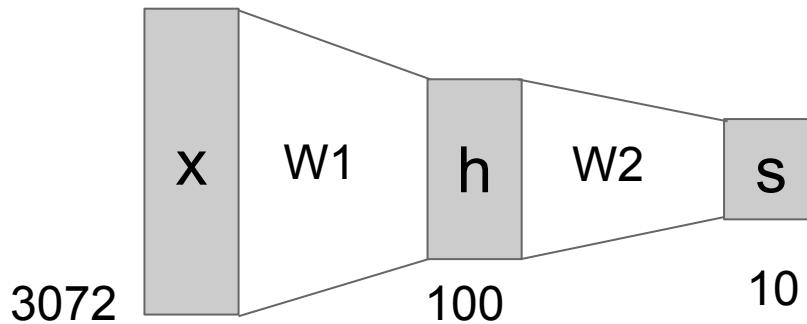
# Last time: Neural Networks

Linear score function:

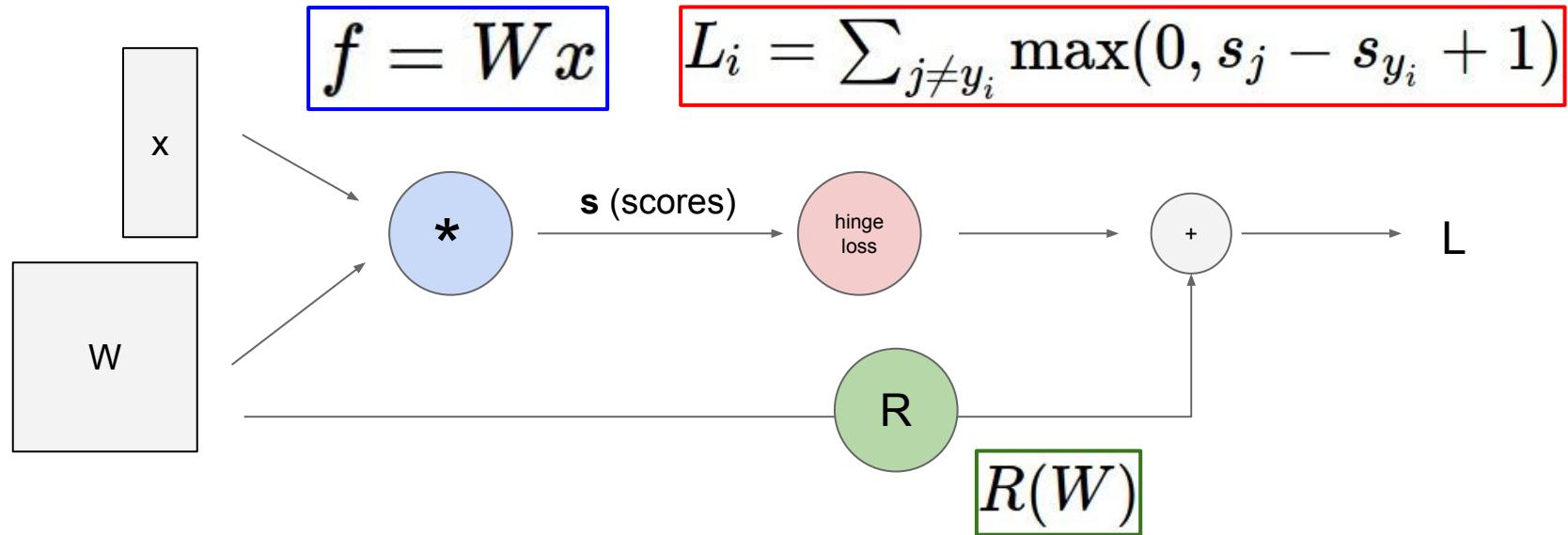
$$f = Wx$$

2-layer Neural Network

$$f = W_2 \max(0, W_1 x)$$



# Last time: Computation Graph



# Image Classification: A core task in Computer Vision



This image by [Nikita](#) is  
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(assume given a set of labels)  
{dog, cat, truck, plane, ...}



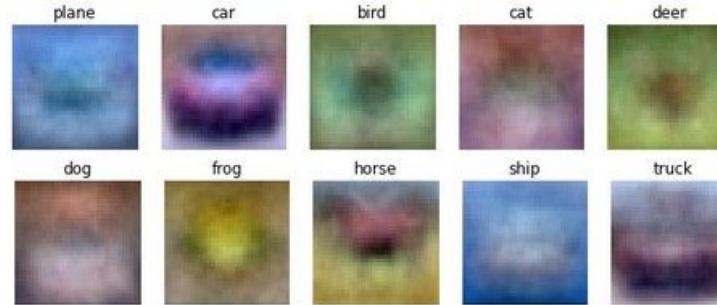
cat  
dog  
bird  
deer  
truck

# Pixel space

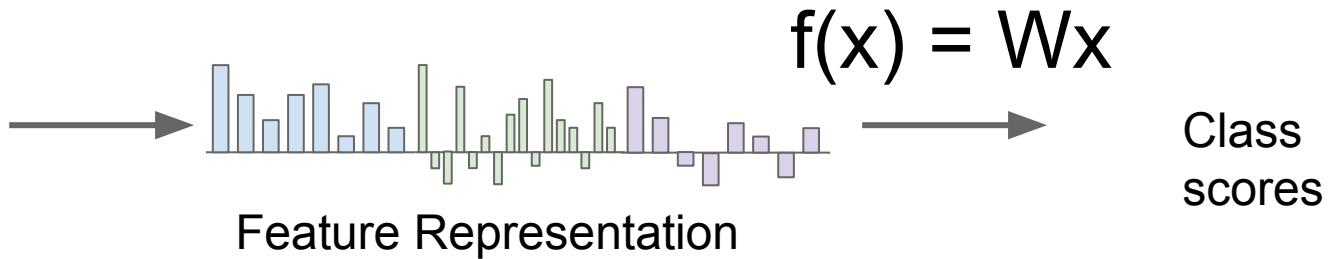


$$f(x) = Wx$$

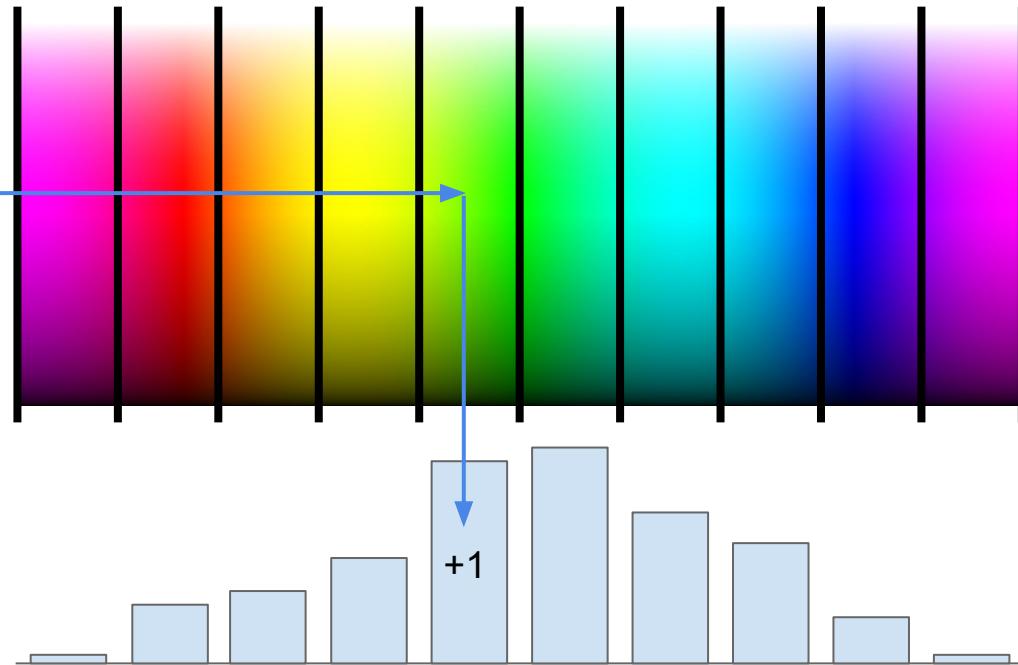
Class  
scores



# Image features



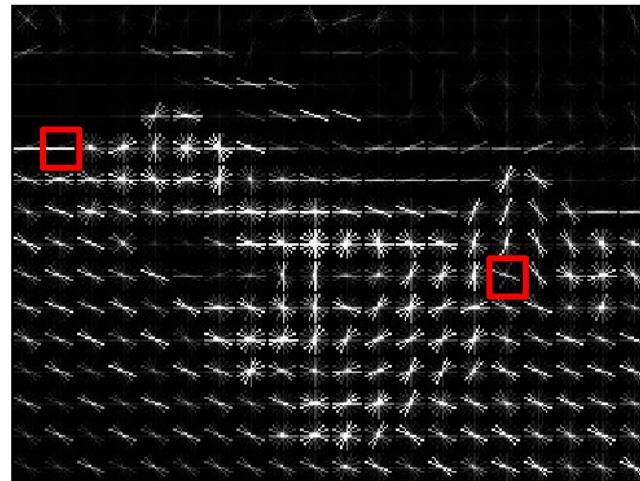
# Example: Color Histogram



# Example: Histogram of Oriented Gradients (HoG)



Divide image into 8x8 pixel regions  
Within each region quantize edge  
direction into 9 bins



Example: 320x240 image gets divided  
into 40x30 bins; in each bin there are  
9 numbers so feature vector has  
 $30 \times 40 \times 9 = 10,800$  numbers

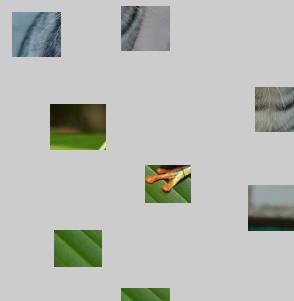
Lowe, "Object recognition from local scale-invariant features", ICCV 1999  
Dalal and Triggs, "Histograms of oriented gradients for human detection," CVPR 2005

# Example: Bag of Words

## Step 1: Build codebook



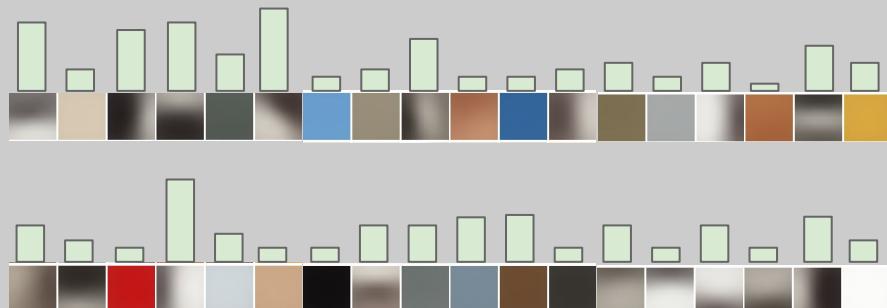
Extract random patches



Cluster patches to form “codebook” of “visual words”

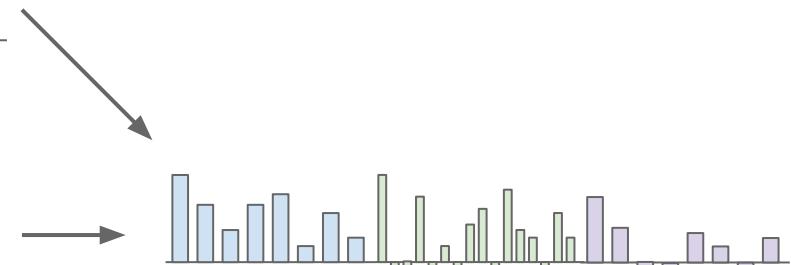
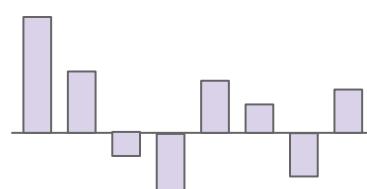
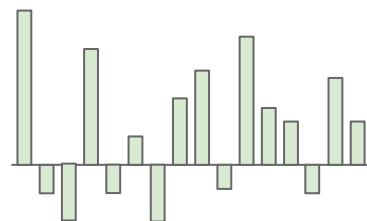
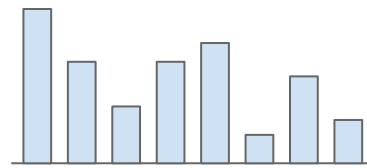


## Step 2: Encode images

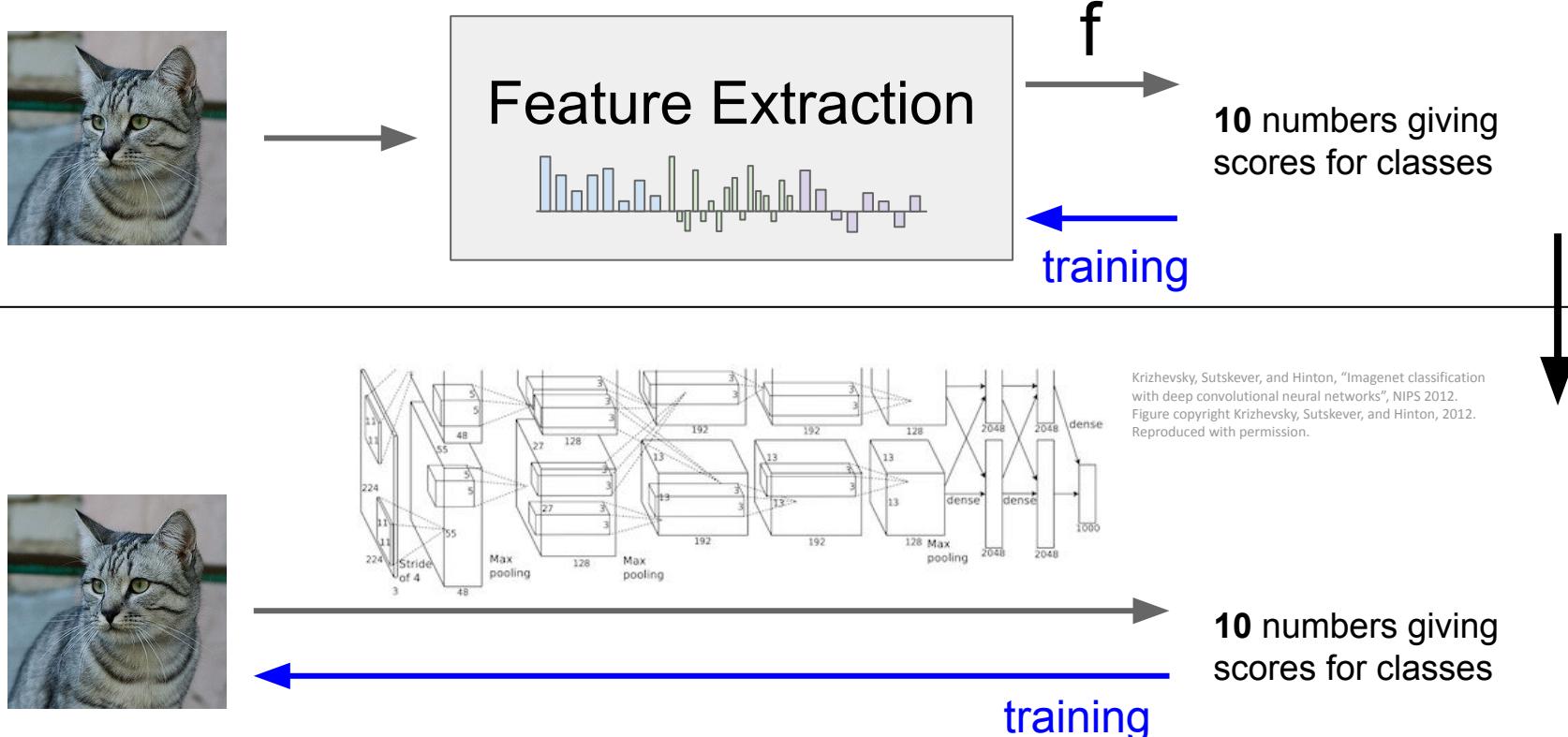


Fei-Fei and Perona, "A bayesian hierarchical model for learning natural scene categories", CVPR 2005

# Image Features



# Image features vs. ConvNets



# Last Time: Neural Networks

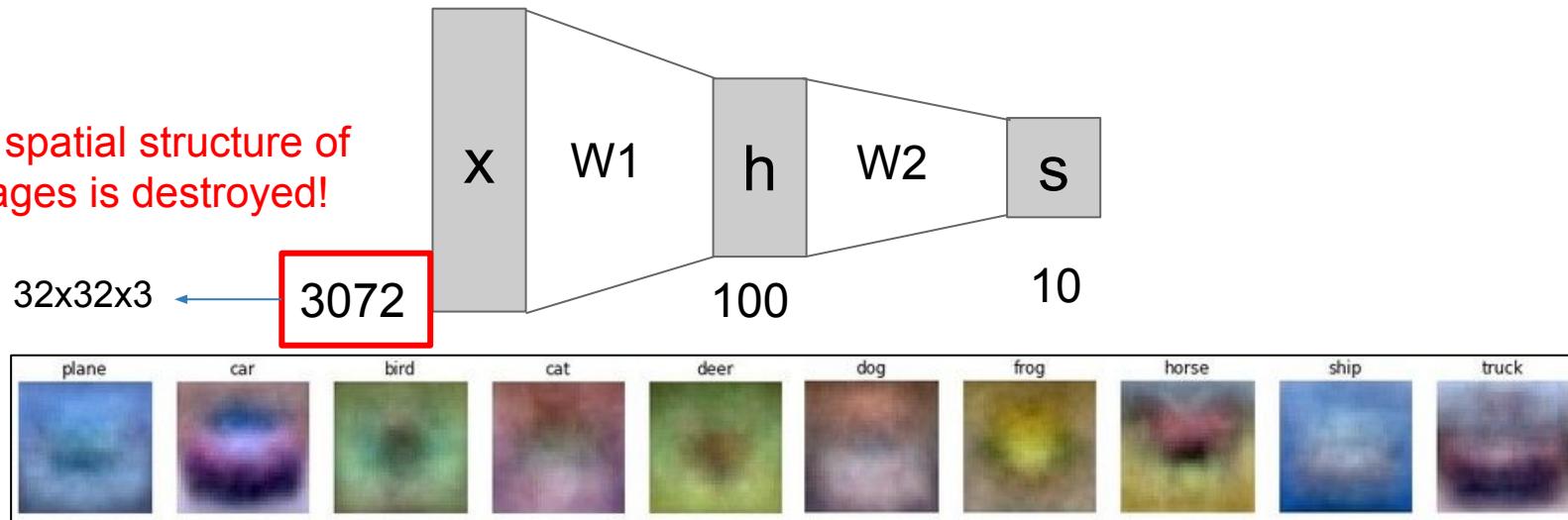
Linear score function:

$$f = Wx$$

2-layer Neural Network

$$f = W_2 \max(0, W_1 x)$$

The spatial structure of images is destroyed!



# Next: Convolutional Neural Networks

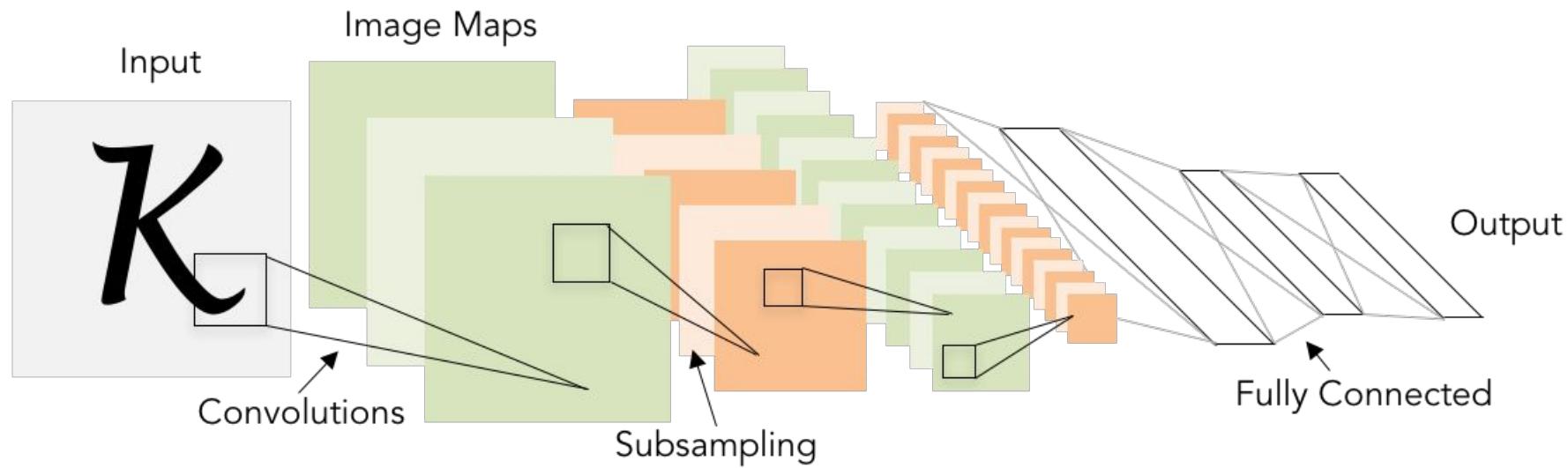


Illustration of LeCun et al. 1998 from CS231n 2017 Lecture 1

# A bit of history...

The **Mark I Perceptron** machine was the first implementation of the perceptron algorithm.

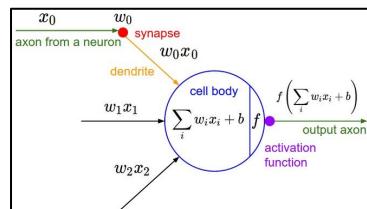
The machine was connected to a camera that used  $20 \times 20$  cadmium sulfide photocells to produce a 400-pixel image.

recognized  
letters of the alphabet

$$f(x) = \begin{cases} 1 & \text{if } w \cdot x + b > 0 \\ 0 & \text{otherwise} \end{cases}$$

update rule:

$$w_i(t+1) = w_i(t) + \alpha(d_j - y_j(t))x_{j,i}$$

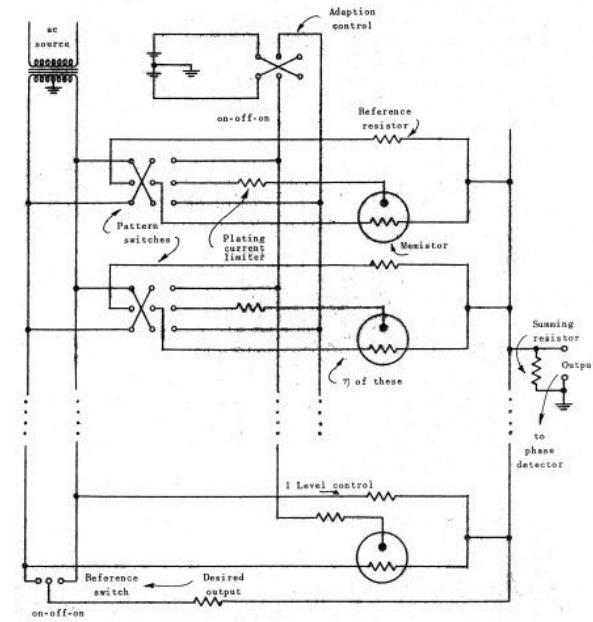
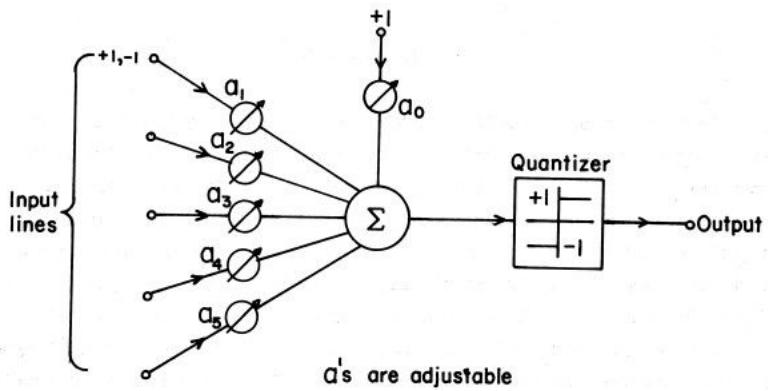


Frank Rosenblatt, ~1957: Perceptron



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# A bit of history...

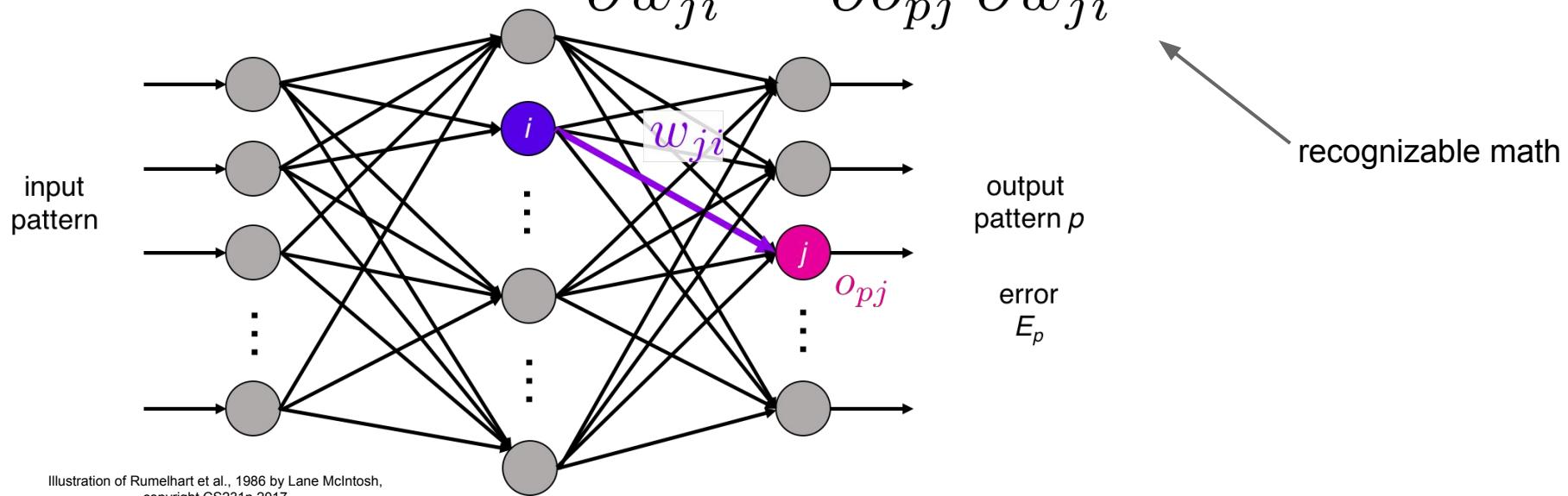


Widrow and Hoff, ~1960: Adaline/Madaline

These figures are reproduced from [Widrow 1960, Stanford Electronics Laboratories Technical Report](#) with permission from [Stanford University Special Collections](#).

# A bit of history...

$$\frac{\partial E_p}{\partial w_{ji}} = \frac{\partial E_p}{\partial o_{pj}} \frac{\partial o_{pj}}{\partial w_{ji}}$$



Rumelhart et al., 1986: First time back-propagation became popular

# A bit of history...

[Hinton and Salakhutdinov 2006]

Reinvigorated research in  
Deep Learning

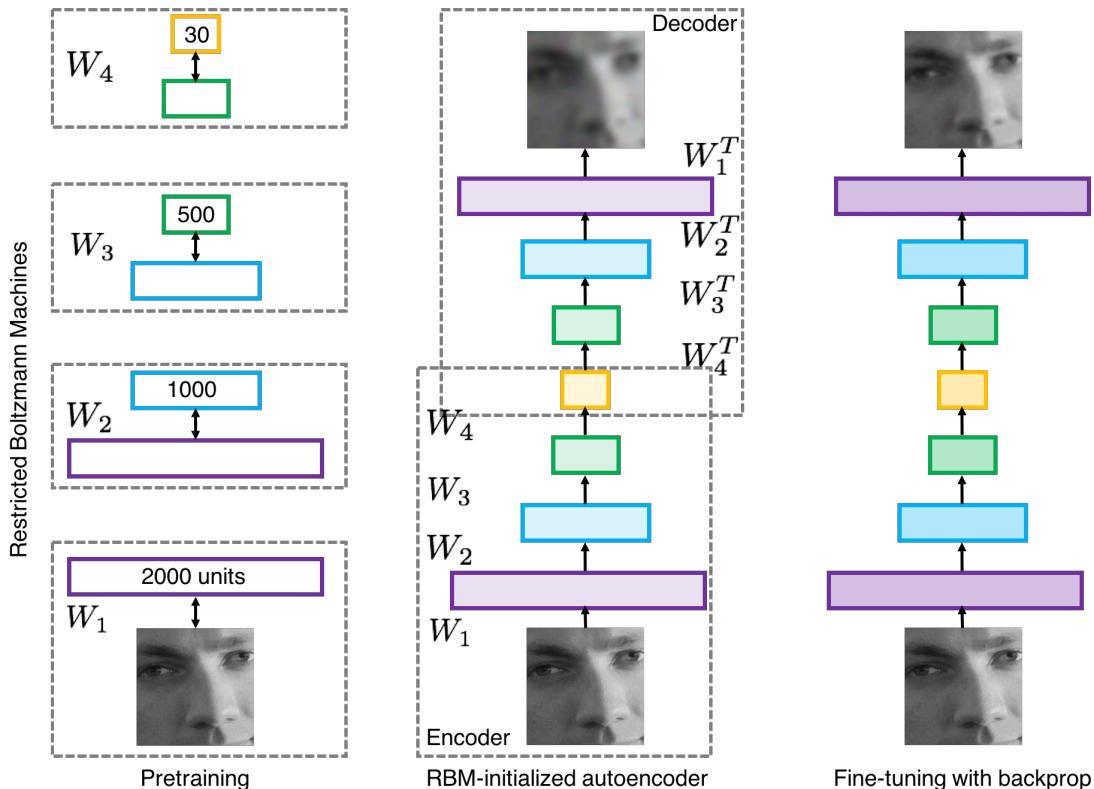


Illustration of Hinton and Salakhutdinov 2006 by Lane McIntosh, copyright CS231n 2017

# First strong results

## **Acoustic Modeling using Deep Belief Networks**

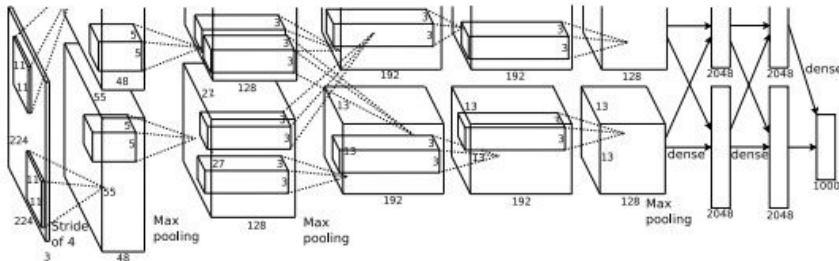
Abdel-rahman Mohamed, George Dahl, Geoffrey Hinton, 2010

## **Context-Dependent Pre-trained Deep Neural Networks for Large Vocabulary Speech Recognition**

George Dahl, Dong Yu, Li Deng, Alex Acero, 2012

## **Imagenet classification with deep convolutional neural networks**

Alex Krizhevsky, Ilya Sutskever, Geoffrey E Hinton, 2012



Figures copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

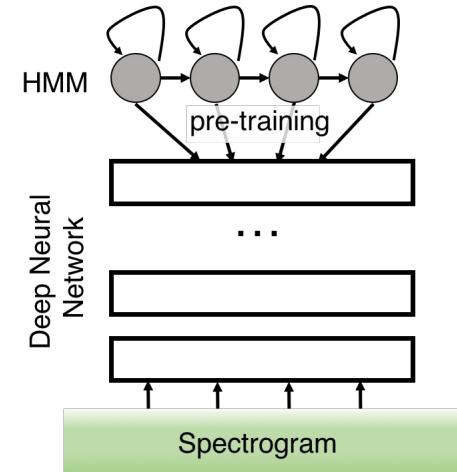


Illustration of Dahl et al. 2012 by Lane McIntosh, copyright CS231n 2017

# Hierarchical organization

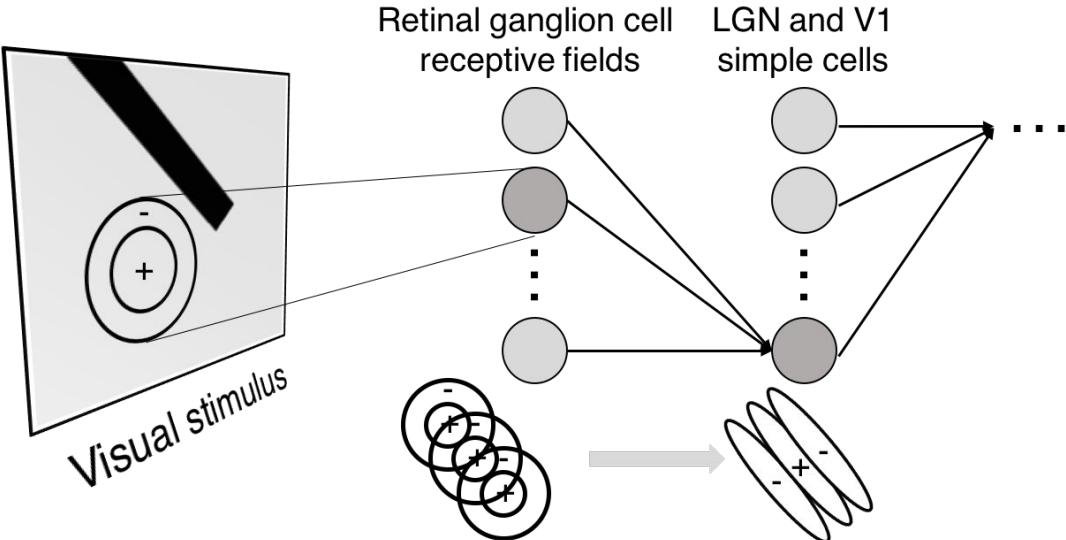
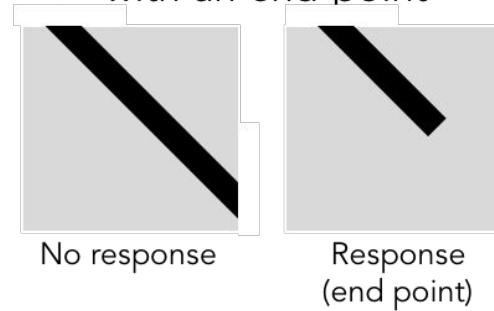


Illustration of hierarchical organization in early visual pathways by Lane McIntosh, copyright CS231n 2017

**Simple cells:**  
Response to light orientation

**Complex cells:**  
Response to light orientation and movement

**Hypercomplex cells:**  
response to movement with an end point



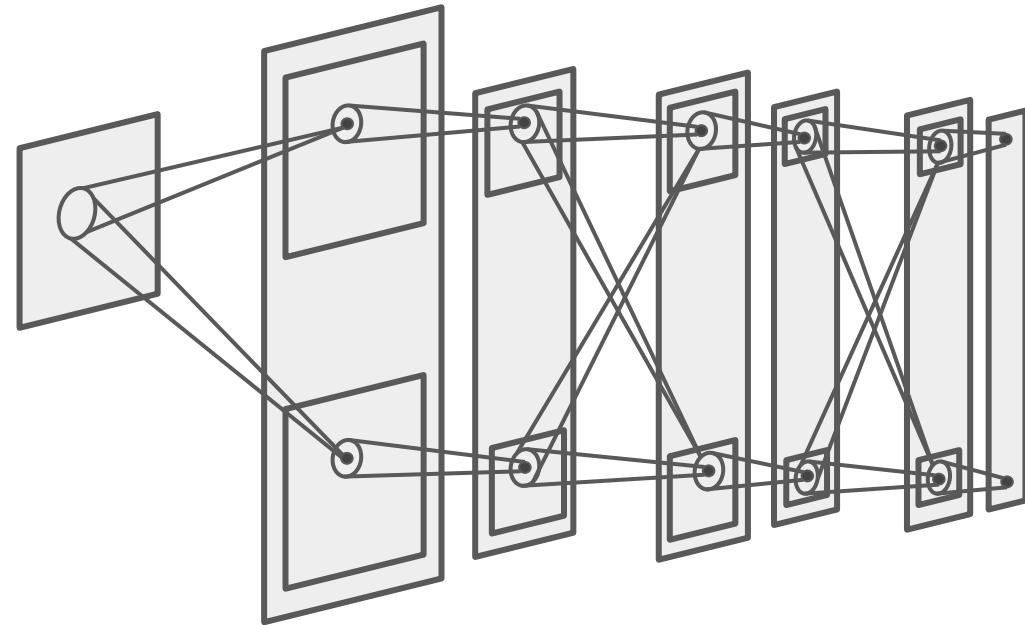
# A bit of history:

## Neocognitron [Fukushima 1980]

“sandwich” architecture (SCSCSC...)

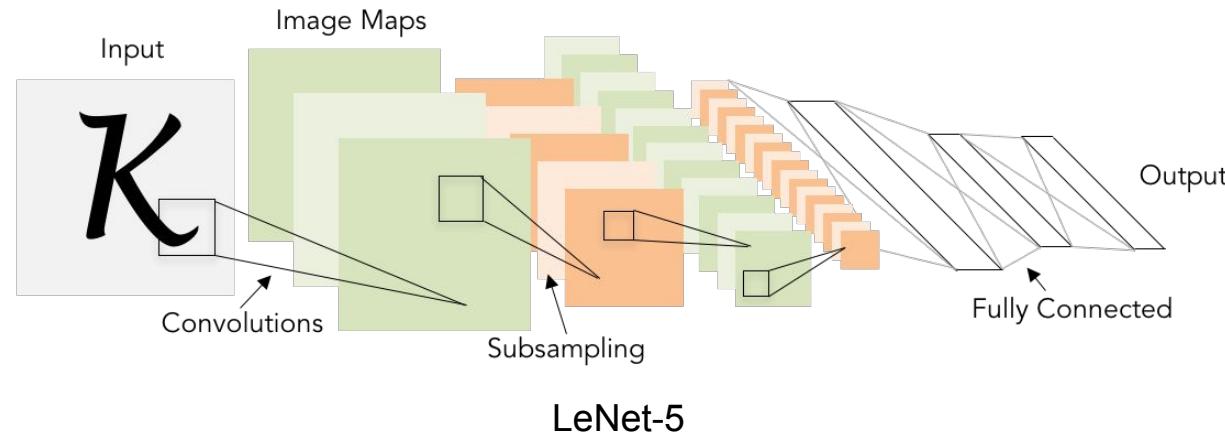
simple cells: modifiable parameters

complex cells: perform pooling



# A bit of history: Gradient-based learning applied to document recognition

[LeCun, Bottou, Bengio, Haffner 1998]



# A bit of history: ImageNet Classification with Deep Convolutional Neural Networks *[Krizhevsky, Sutskever, Hinton, 2012]*

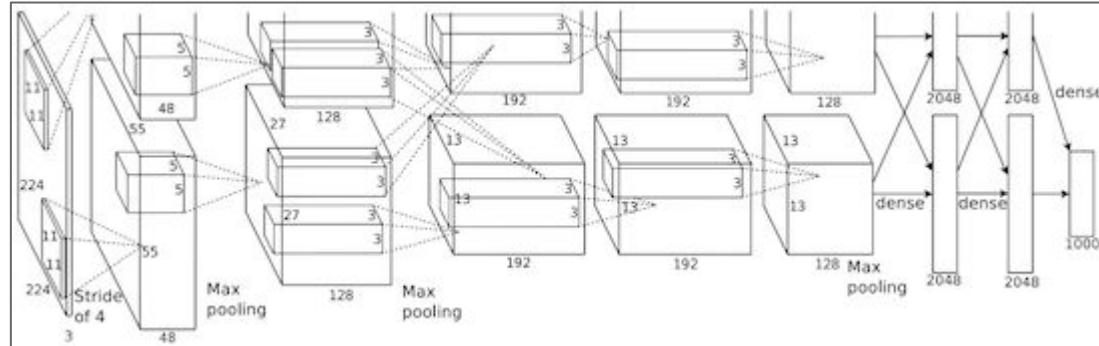
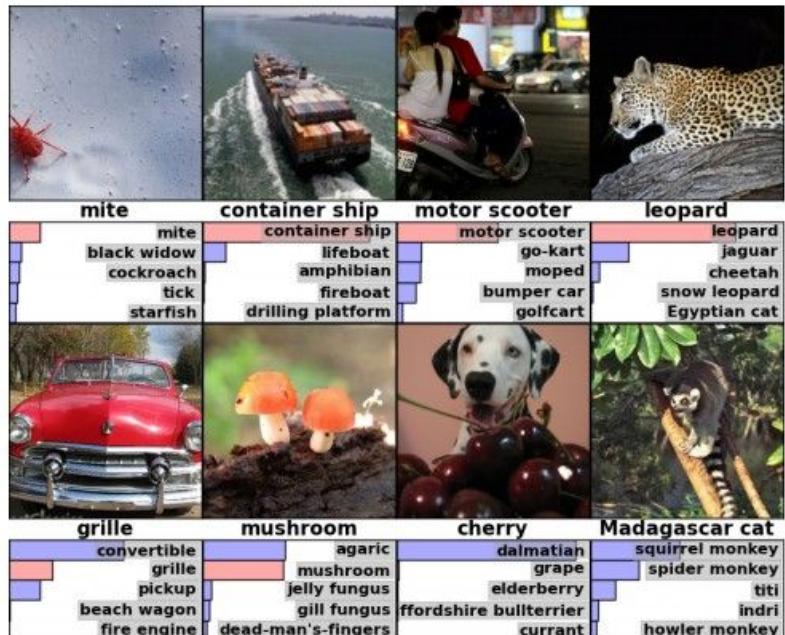


Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

“AlexNet”

# Fast-forward to today: ConvNets are everywhere

Classification



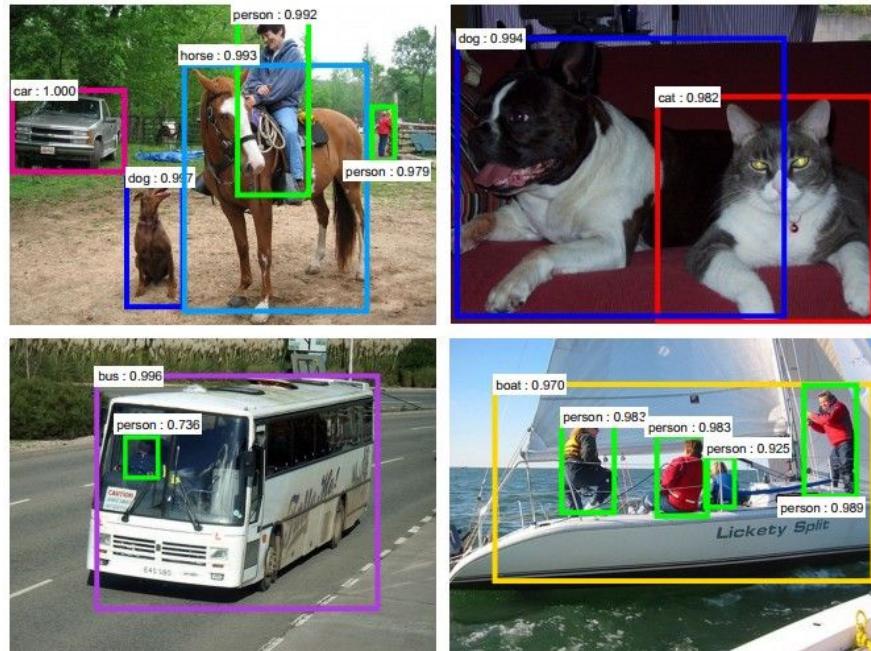
Retrieval



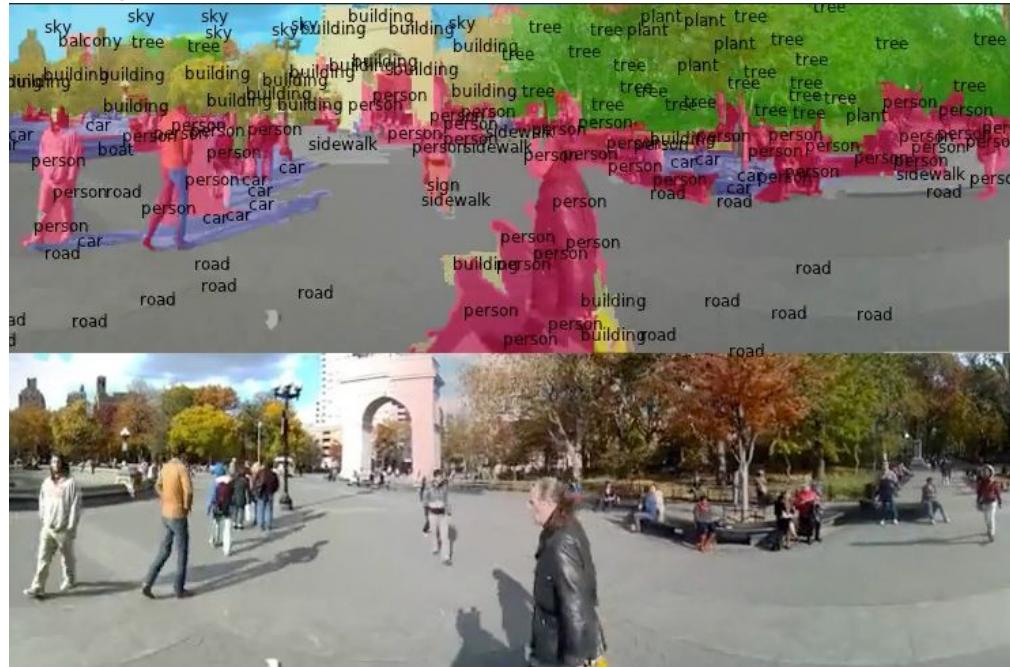
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# Fast-forward to today: ConvNets are everywhere

## Detection



## Segmentation



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[*Faster R-CNN: Ren, He, Girshick, Sun 2015*]

Figures copyright Clement Farabet, 2012.  
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[*Farabet et al., 2012*]

# Fast-forward to today: ConvNets are everywhere



self-driving cars

Photo by Lane McIntosh. Copyright CS231n 2017.



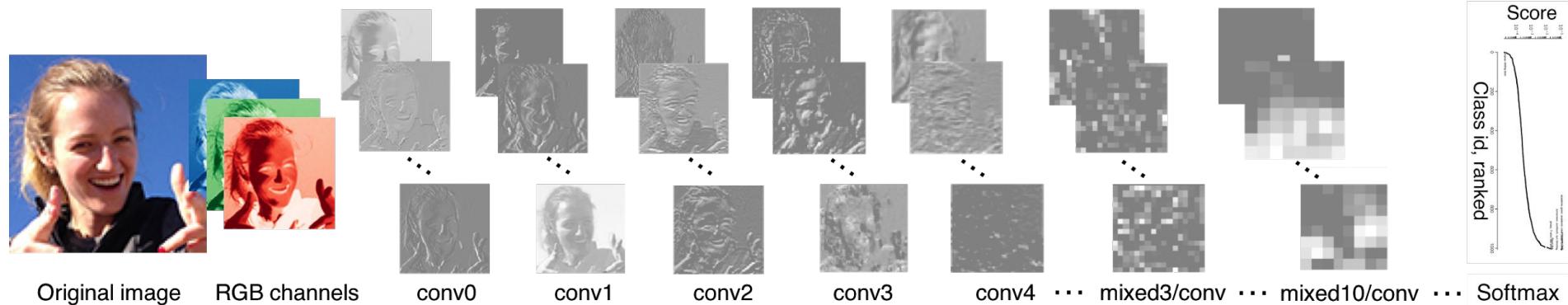
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## NVIDIA Tesla line

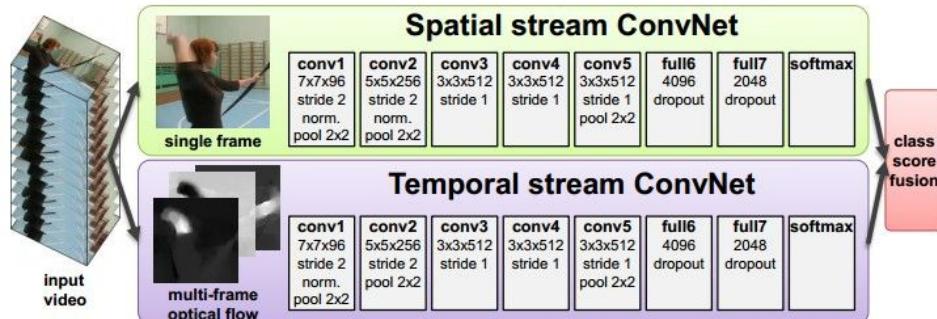
(these are the GPUs on rye01.stanford.edu)

Note that for embedded systems a typical setup would involve NVIDIA Tegras, with integrated GPU and ARM-based CPU cores.

# Fast-forward to today: ConvNets are everywhere



[Taigman et al. 2014]



[Simonyan et al. 2014]

Figures copyright Simonyan et al., 2014.  
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Activations of [inception-v3 architecture](#) [Szegedy et al. 2015] to image of Emma McIntosh, used with permission. Figure and architecture not from Taigman et al. 2014.

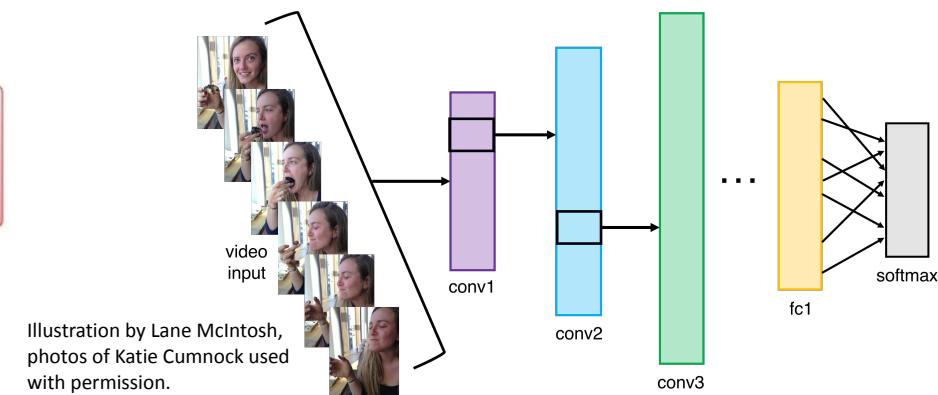


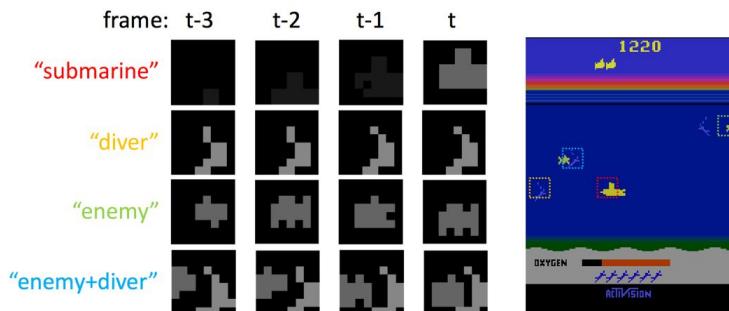
Illustration by Lane McIntosh,  
photos of Katie Cumnock used  
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# Fast-forward to today: ConvNets are everywhere

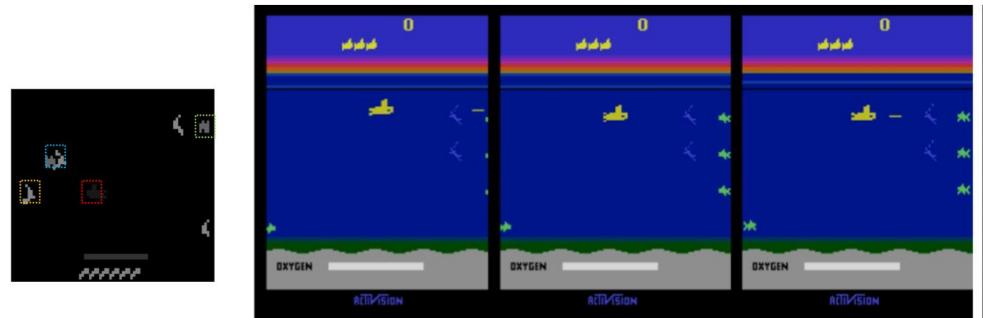


Images are examples of pose estimation, not actually from Toshev & Szegedy 2014. Copyright Lane McIntosh.

[Toshev, Szegedy 2014]

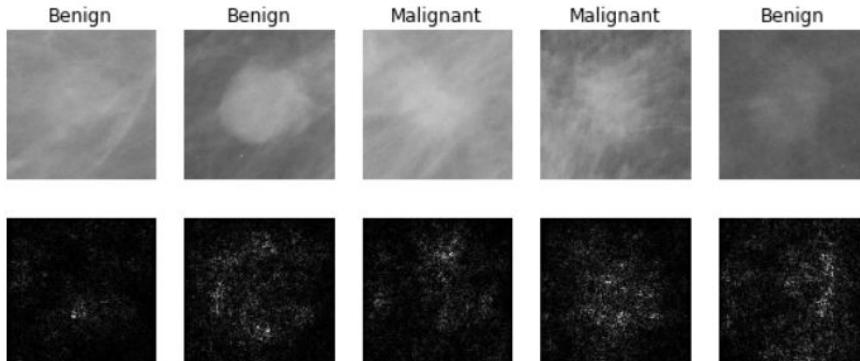


[Guo et al. 2014]



Figures copyright Xiaoxiao Guo, Satinder Singh, Honglak Lee, Richard Lewis, and Xiaoshi Wang, 2014. Reproduced with permission.

# Fast-forward to today: ConvNets are everywhere



[Levy et al. 2016]

Figure copyright Levy et al. 2016.  
Reproduced with permission.



[Dieleman et al. 2014]

From left to right: [public domain by NASA](#), usage [permitted](#) by  
ESA/Hubble, [public domain by NASA](#), and [public domain](#).



[Sermanet et al. 2011]  
[Ciresan et al.]

[This image](#) by Christin Khan is in the public domain and originally came from the U.S. NOAA.



*Whale recognition, Kaggle Challenge*

Photo and figure by Lane McIntosh; not actual example from Mnih and Hinton, 2010 paper.



*Mnih and Hinton, 2010*

No errors



*A white teddy bear sitting in the grass*



*A man riding a wave on top of a surfboard*

Minor errors



*A man in a baseball uniform throwing a ball*



*A cat sitting on a suitcase on the floor*

Somewhat related



*A woman is holding a cat in her hand*



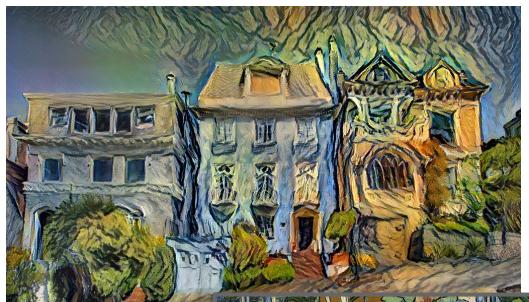
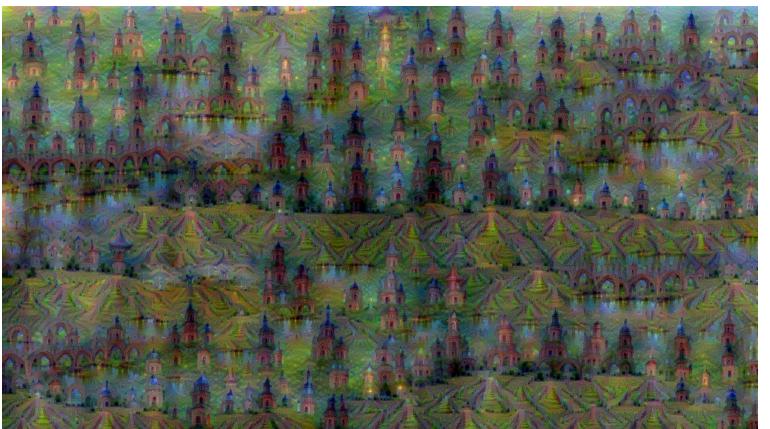
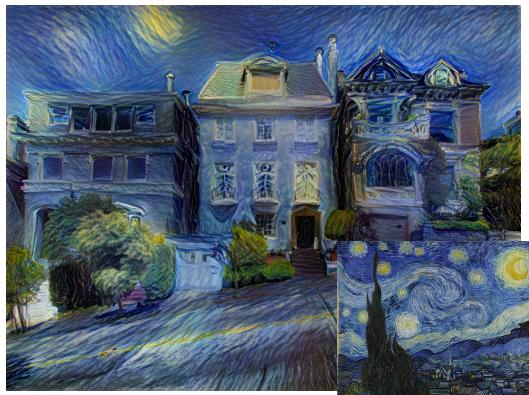
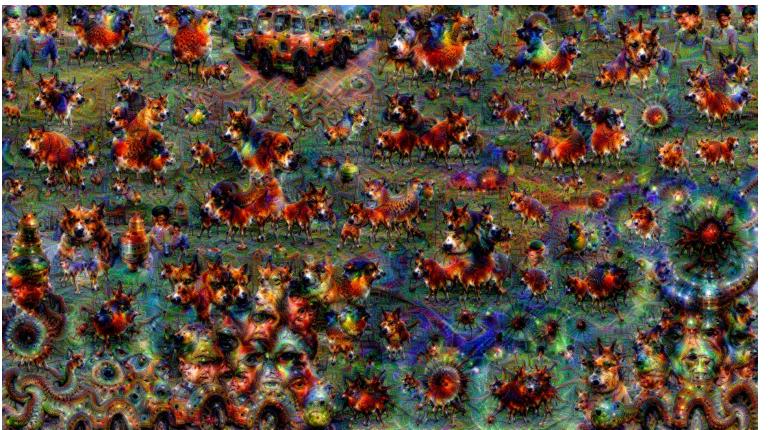
*A woman standing on a beach holding a surfboard*

# Image Captioning

[Vinyals et al., 2015]  
[Karpathy and Fei-Fei, 2015]

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<https://pixabay.com/en/luggage-antique-cat-1643010/>  
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Captions generated by Justin Johnson using [NeuralTalk2](#)



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[Original image](#) is CC0 public domain

[Starry Night](#) and [Tree Roots](#) by Van Gogh are in the public domain

[Bokeh image](#) is in the public domain

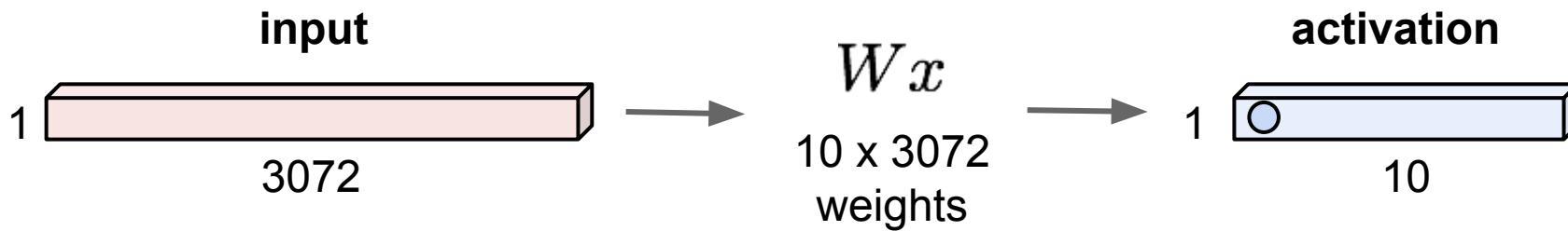
Stylized images copyright Justin Johnson, 2017;  
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Gatys et al, "Image Style Transfer using Convolutional Neural Networks", CVPR 2016  
Gatys et al, "Controlling Perceptual Factors in Neural Style Transfer", CVPR 2017

# Convolutional Neural Networks

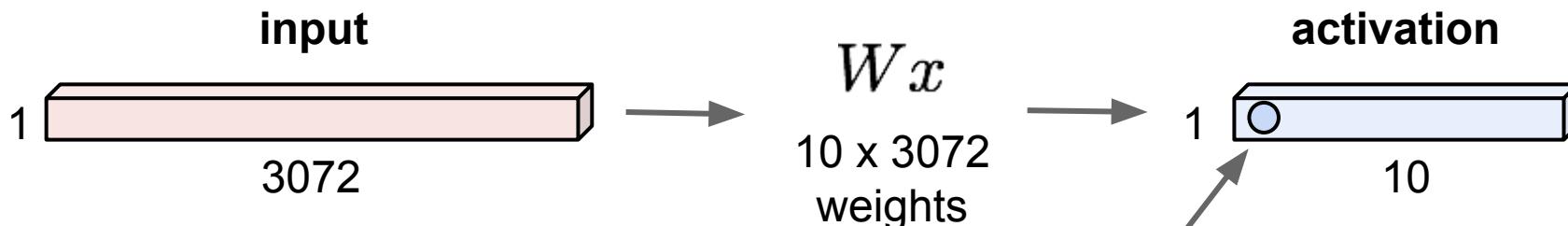
# Recap: Fully Connected Layer

32x32x3 image -> stretch to 3072 x 1



# Fully Connected Layer

32x32x3 image -> stretch to  $3072 \times 1$

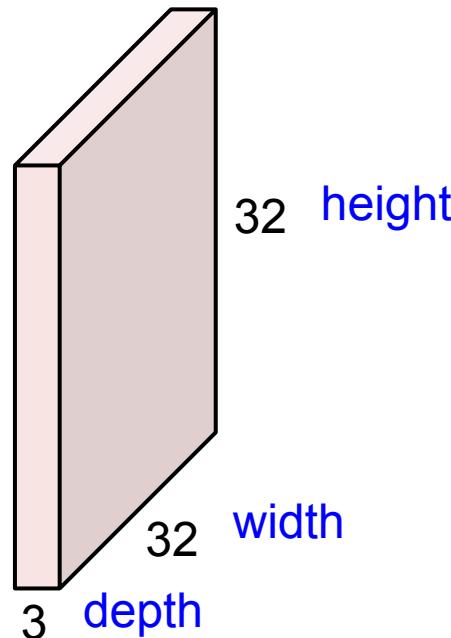


**1 number:**

the result of taking a dot product  
between a row of  $W$  and the input  
(a 3072-dimensional dot product)

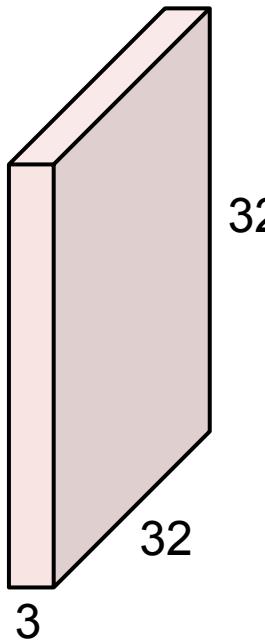
# Convolution Layer

32x32x3 image -> preserve spatial structure

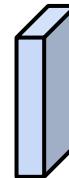


# Convolution Layer

32x32x3 image



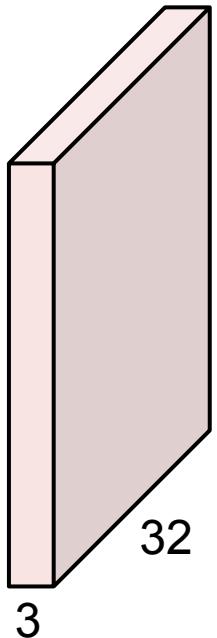
5x5x3 filter



**Convolve** the filter with the image  
i.e. “slide over the image spatially,  
computing dot products”

# Convolution Layer

32x32x3 image



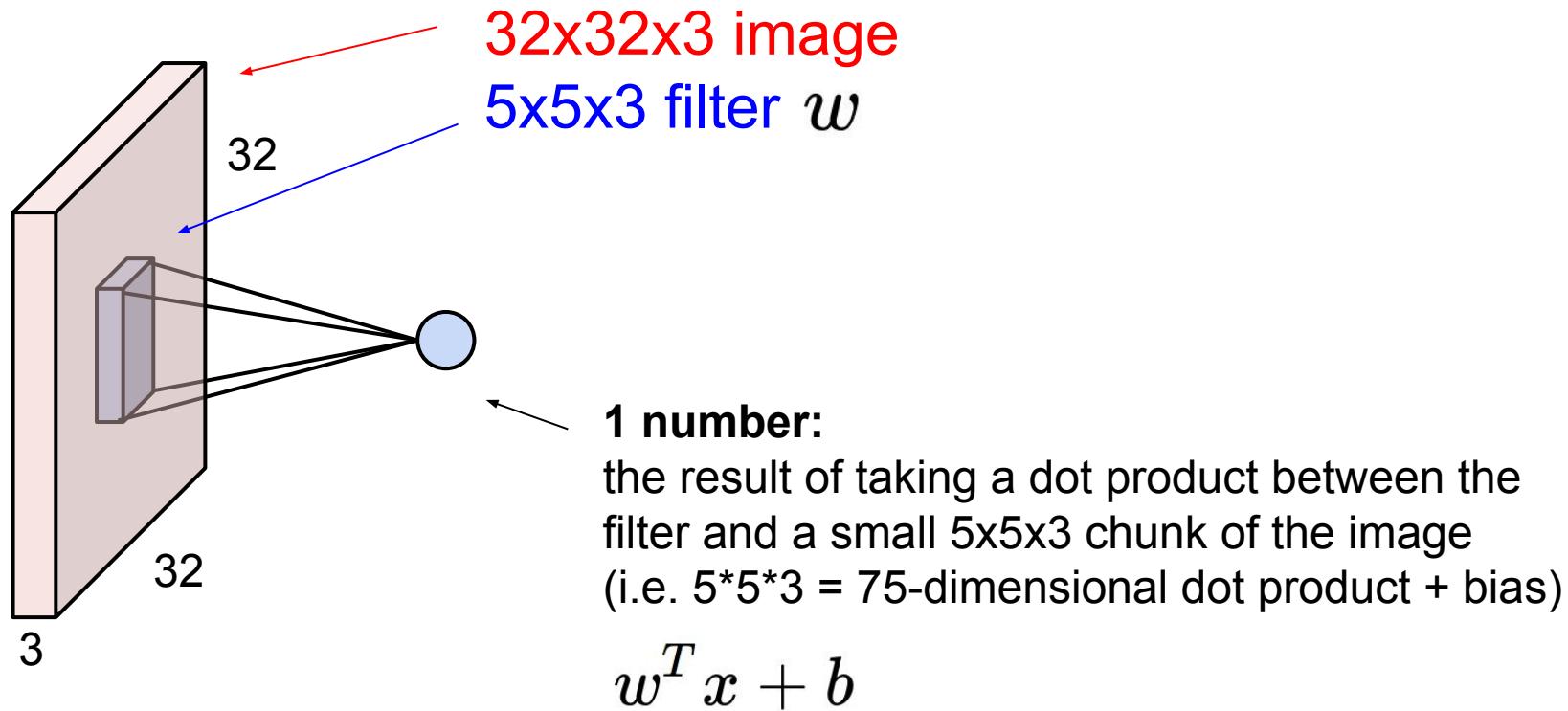
5x5x3 filter



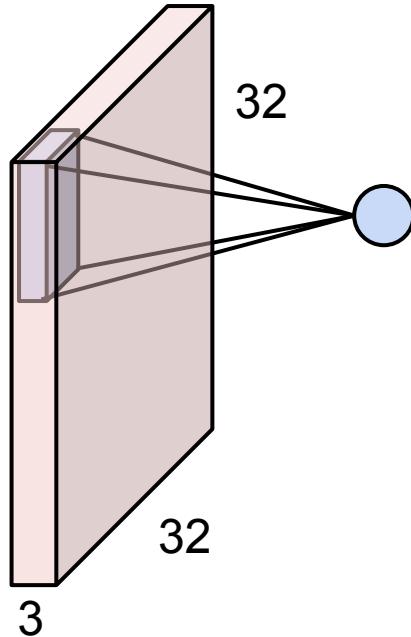
Filters always extend the full depth of the input volume

**Convolve** the filter with the image  
i.e. “slide over the image spatially,  
computing dot products”

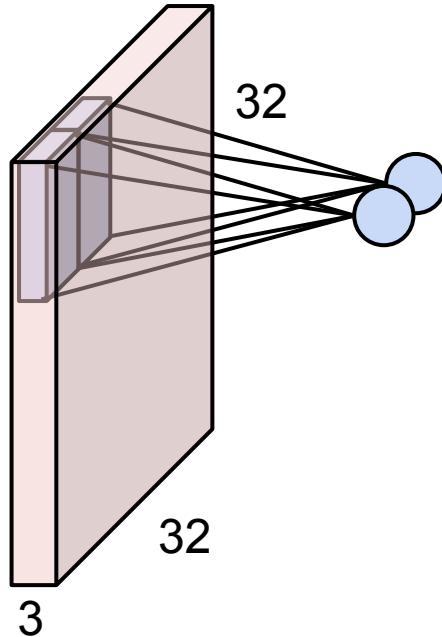
# Convolution Layer



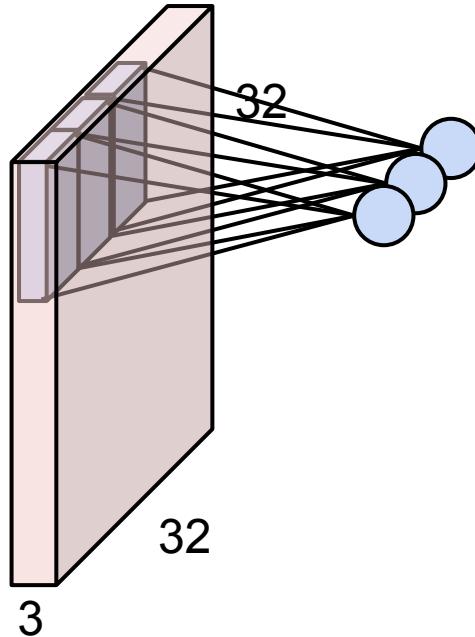
# Convolution Layer



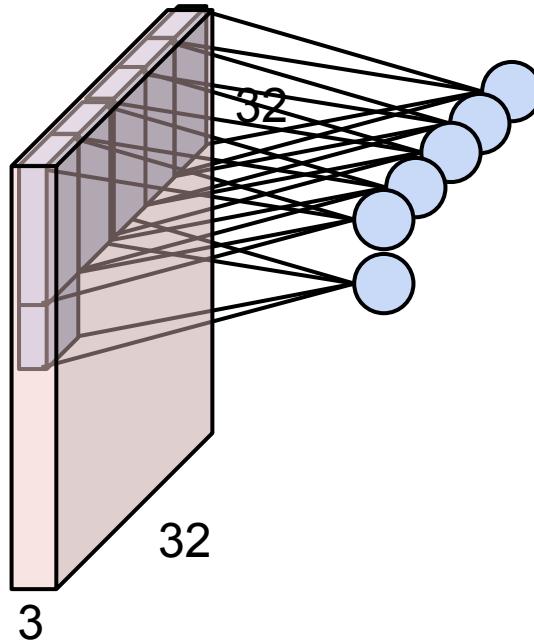
# Convolution Layer



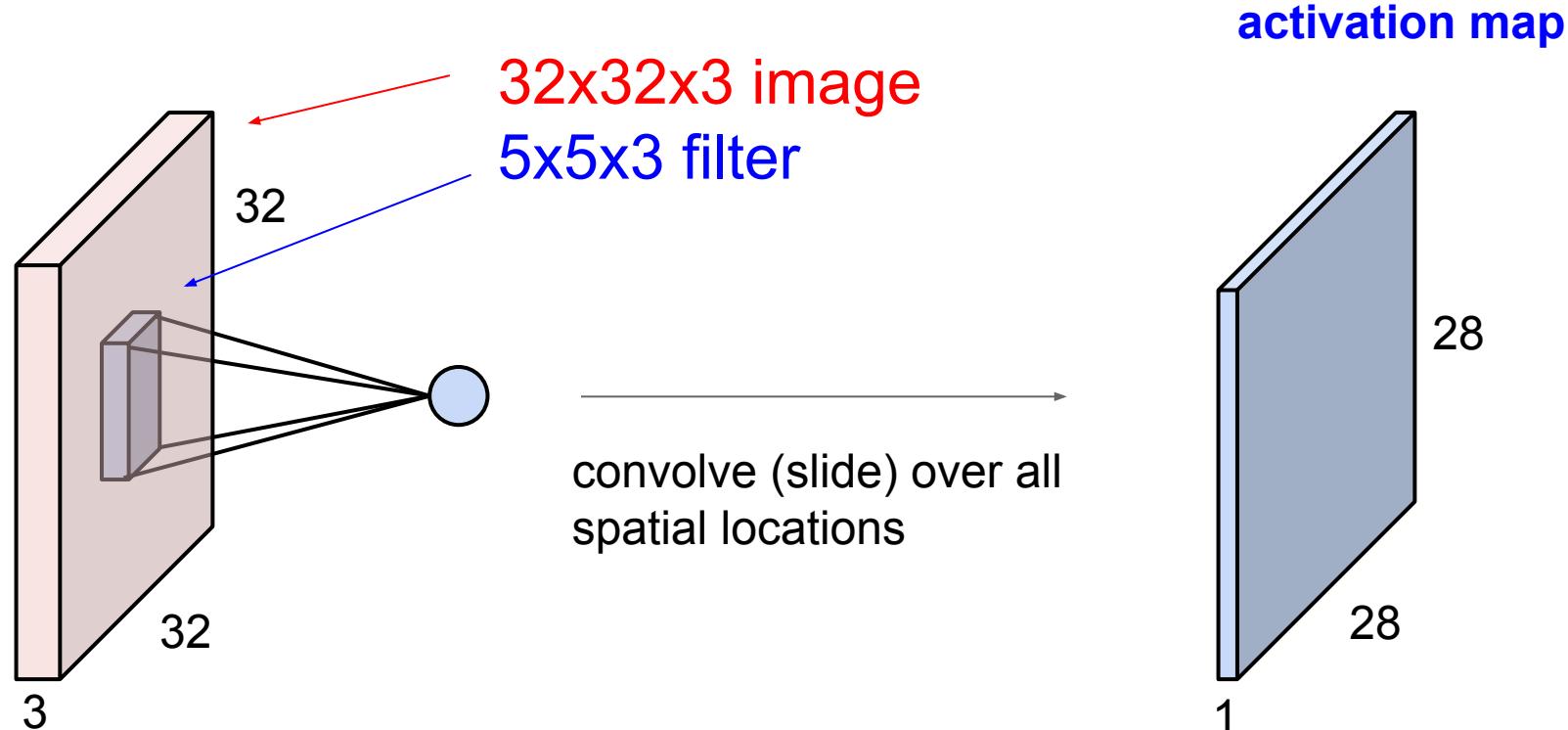
# Convolution Layer



# Convolution Layer

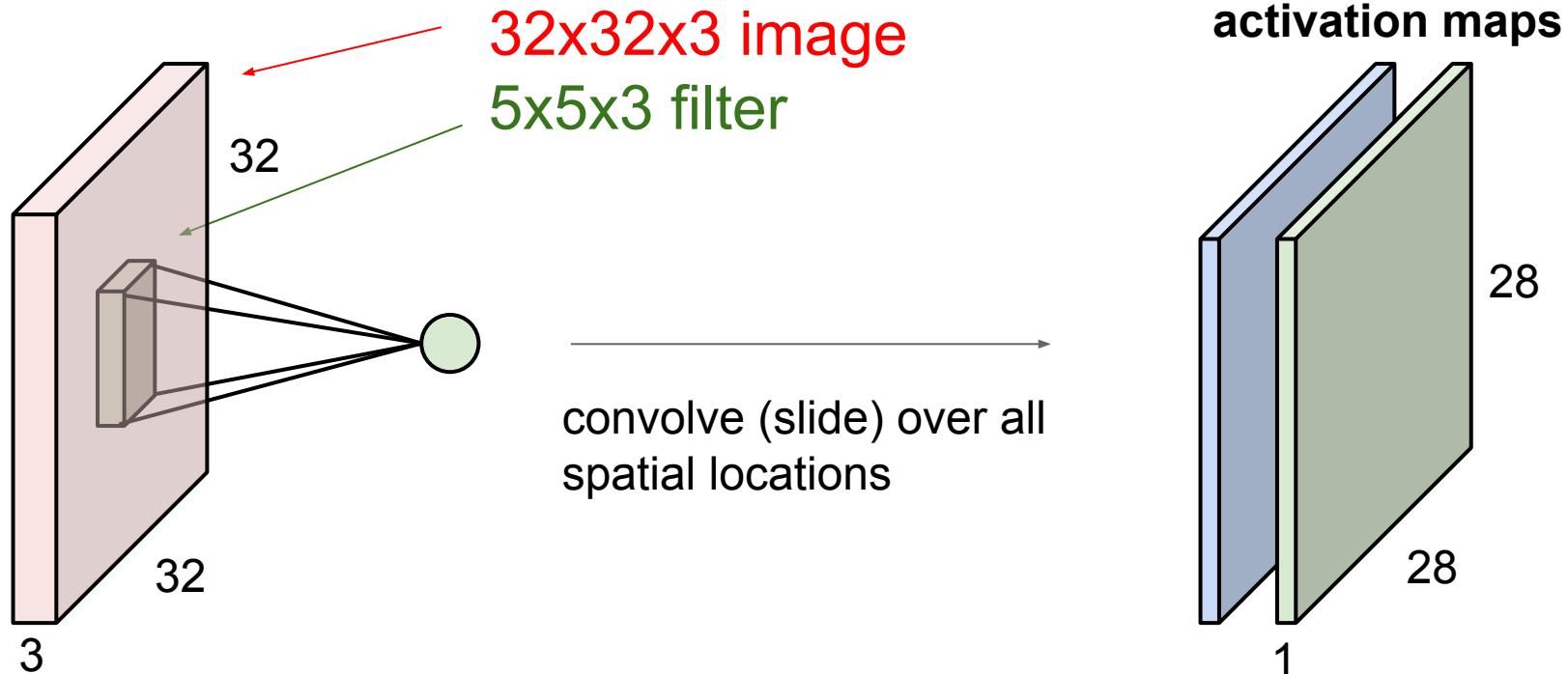


# Convolution Layer



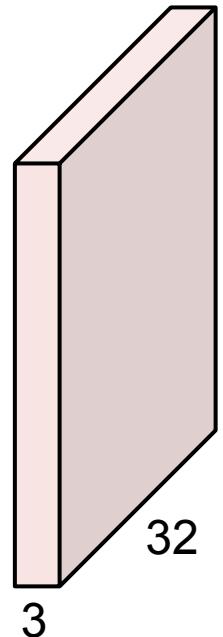
# Convolution Layer

consider a second, green filter

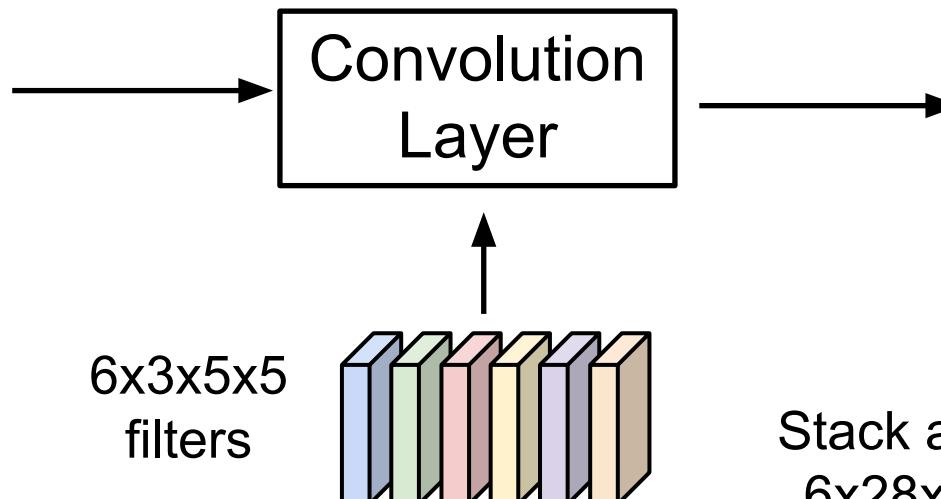


# Convolution Layer

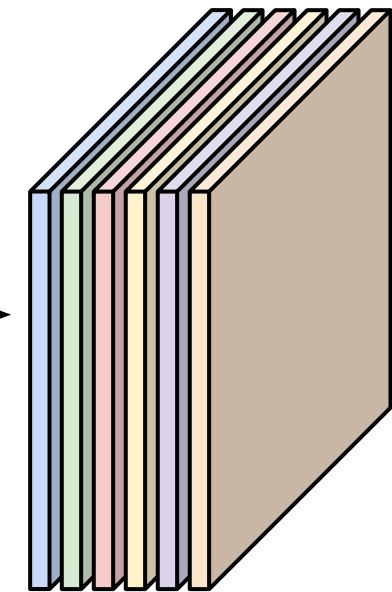
3x32x32 image



Consider 6 filters,  
each 3x5x5



6 activation maps,  
each 1x28x28

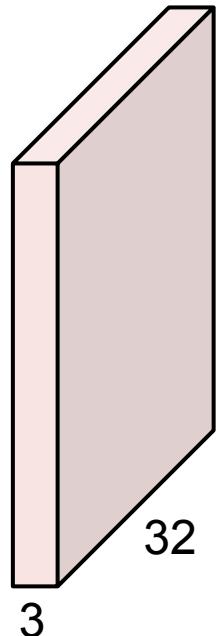


Stack activations to get a  
6x28x28 output image!

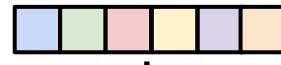
Slide inspiration: Justin Johnson

# Convolution Layer

3x32x32 image

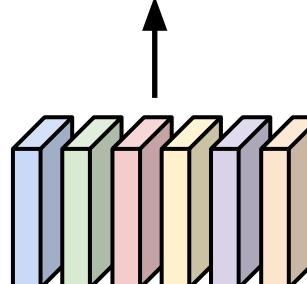


Also 6-dim bias vector:

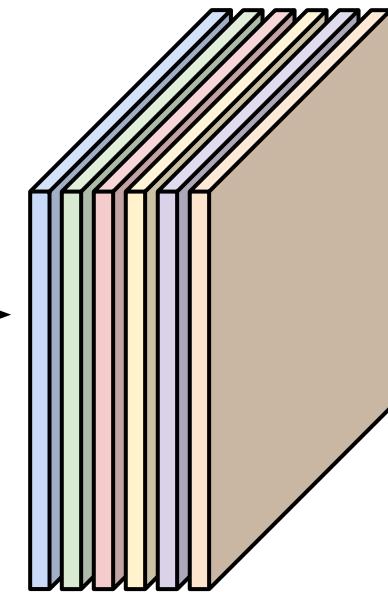


Convolution  
Layer

6x3x5x5  
filters



6 activation maps,  
each 1x28x28



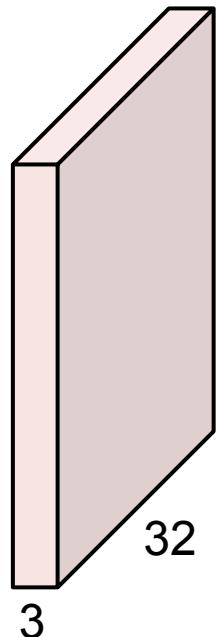
Stack activations to get a  
6x28x28 output image!

Slide inspiration: Justin Johnson

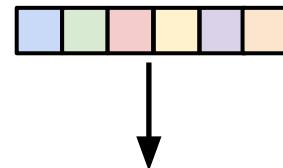
# Convolution Layer

28x28 grid, at each point a 6-dim vector

3x32x32 image

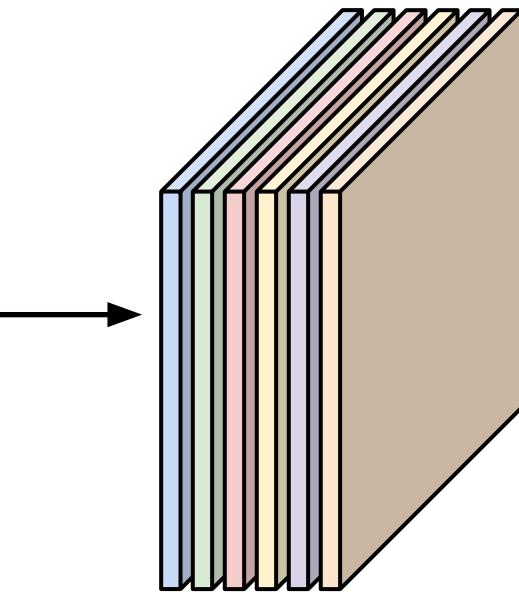
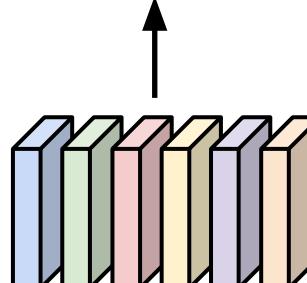


Also 6-dim bias vector:



Convolution  
Layer

6x3x5x5  
filters

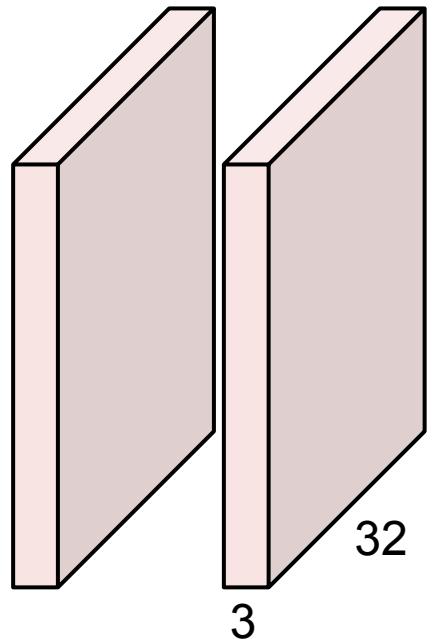


Stack activations to get a 6x28x28 output image!

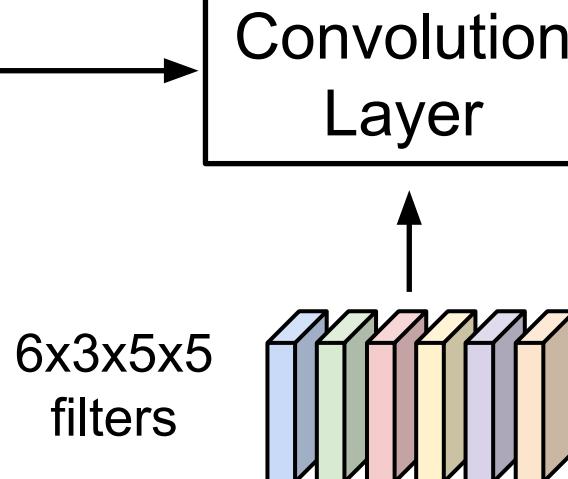
Slide inspiration: Justin Johnson

# Convolution Layer

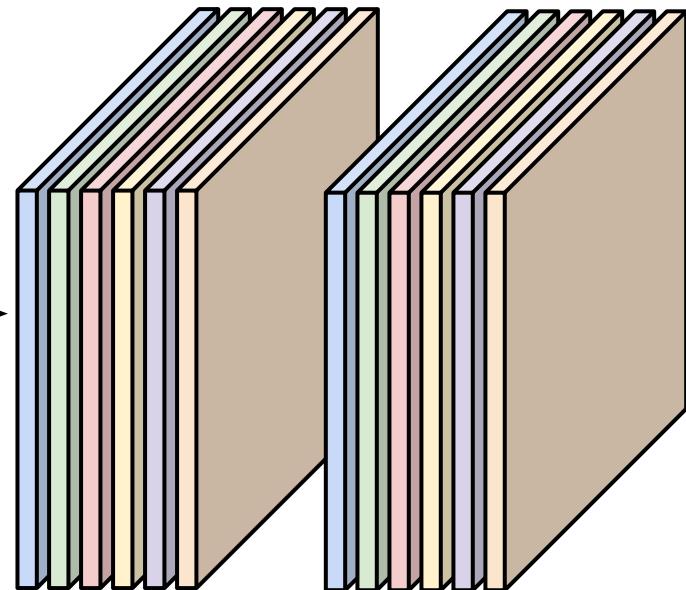
2x3x32x32  
Batch of images



Also 6-dim bias vector:



2x6x28x28  
Batch of outputs

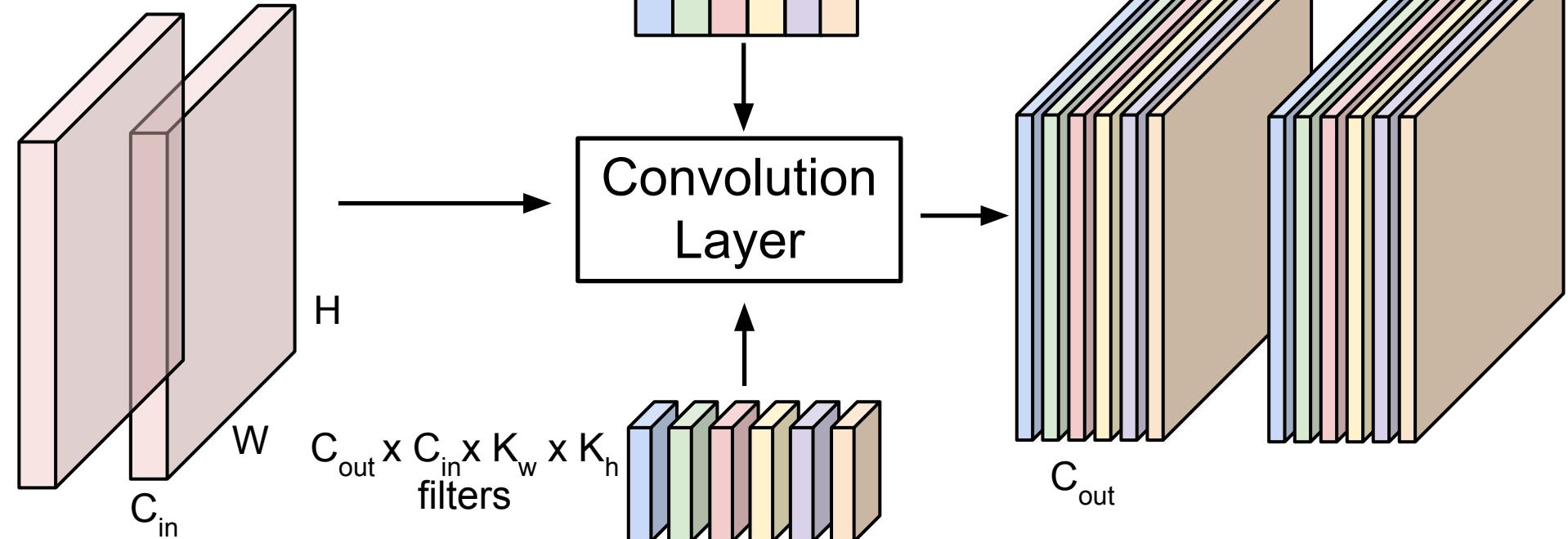


Slide inspiration: Justin Johnson

# Convolution Layer

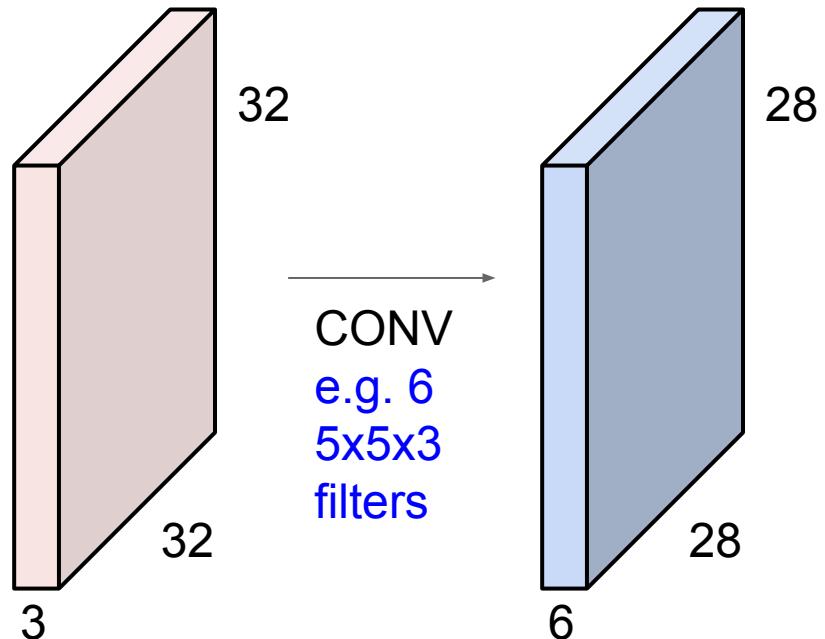
$N \times C_{in} \times H \times W$   
Batch of images

$N \times C_{out} \times H' \times W'$   
Batch of outputs

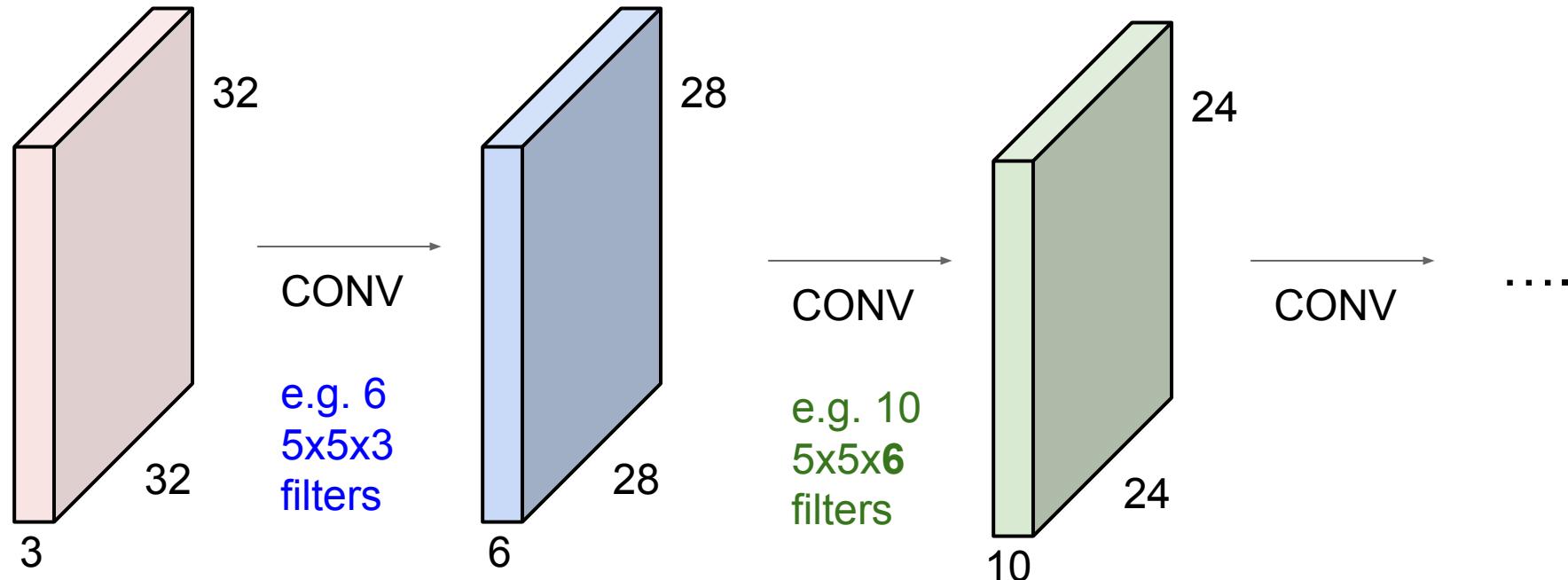


Slide inspiration: Justin Johnson

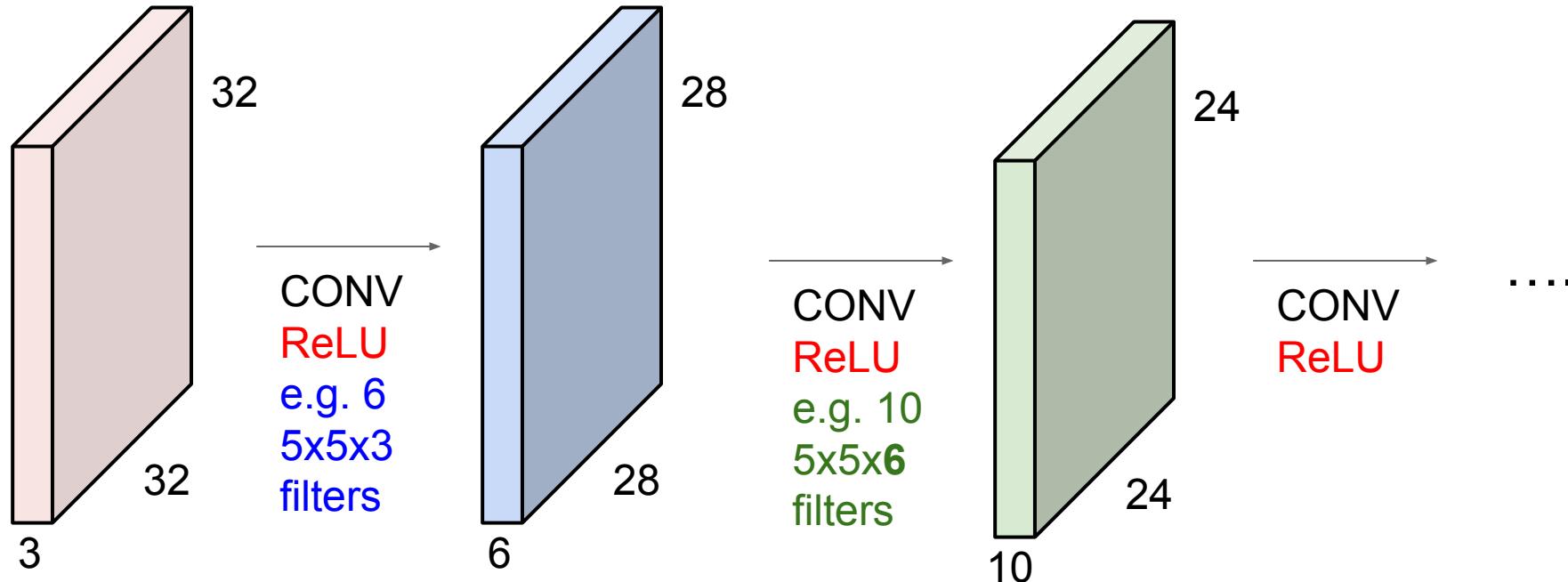
## Preview: ConvNet is a sequence of Convolution Layers



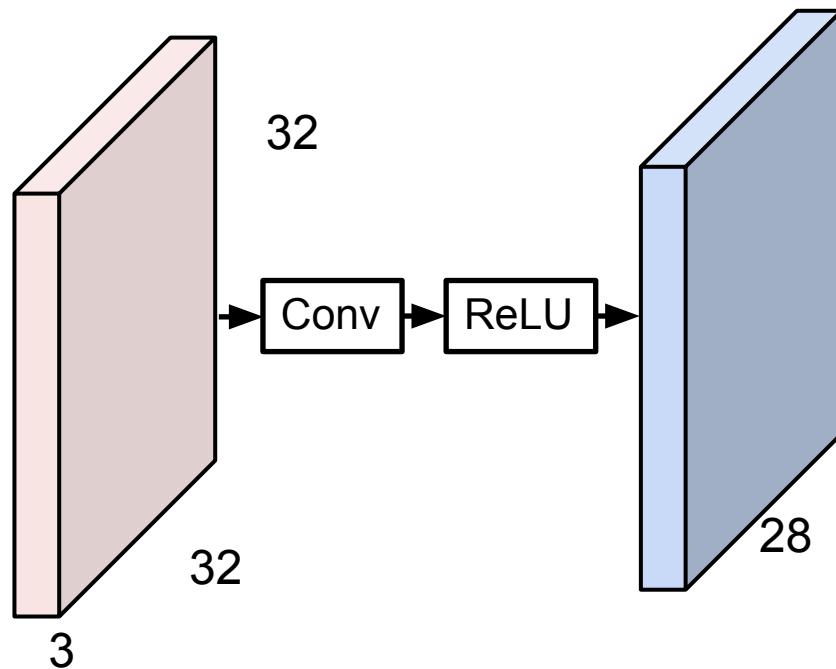
## Preview: ConvNet is a sequence of Convolution Layers



**Preview:** ConvNet is a sequence of Convolution Layers, interspersed with activation functions



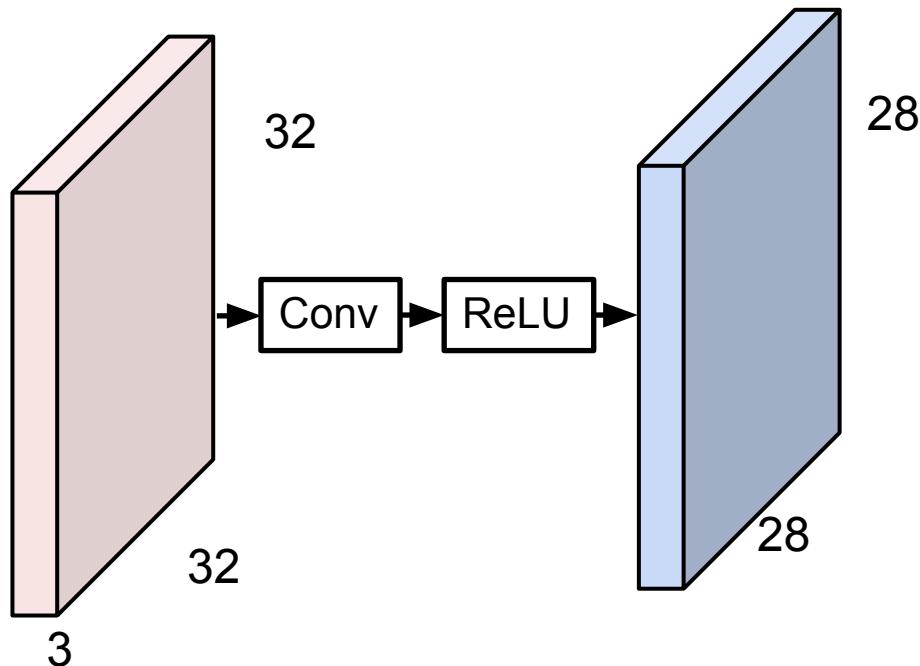
## Preview: What do convolutional filters learn?



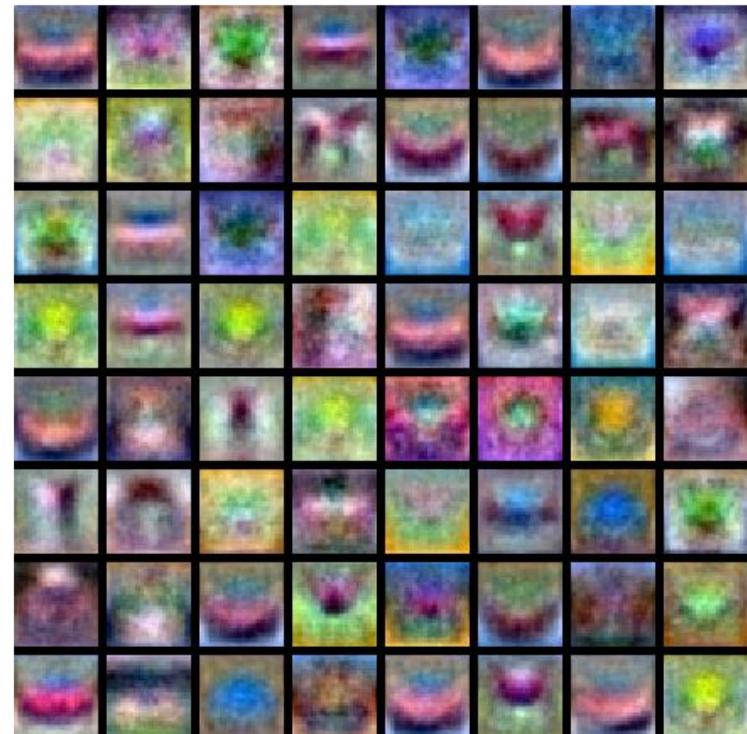
Linear classifier: One template per class



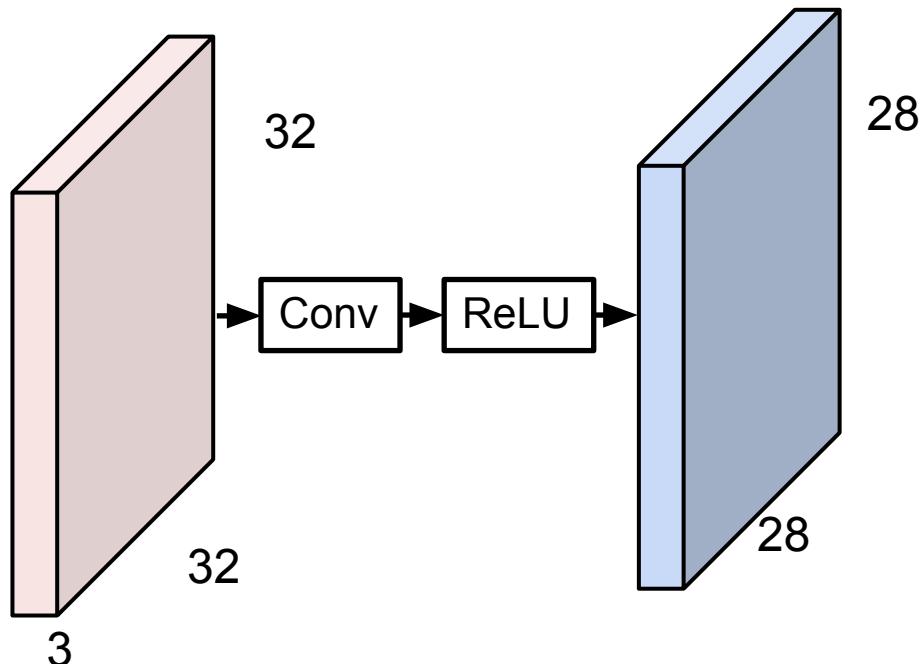
## Preview: What do convolutional filters learn?



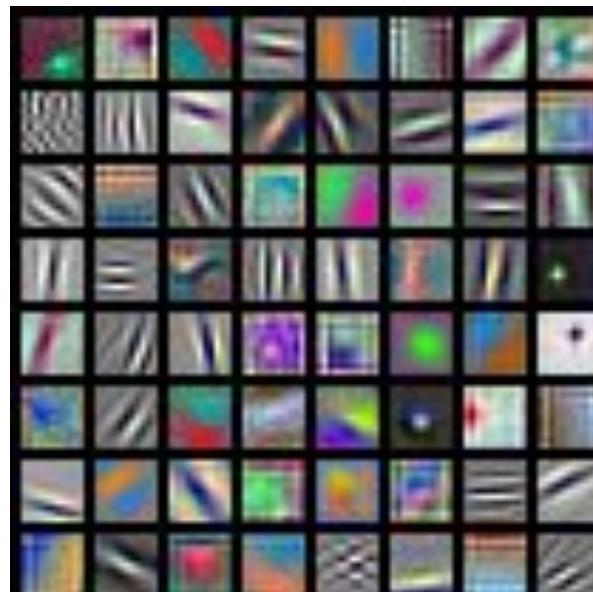
MLP: Bank of whole-image templates



## Preview: What do convolutional filters learn?



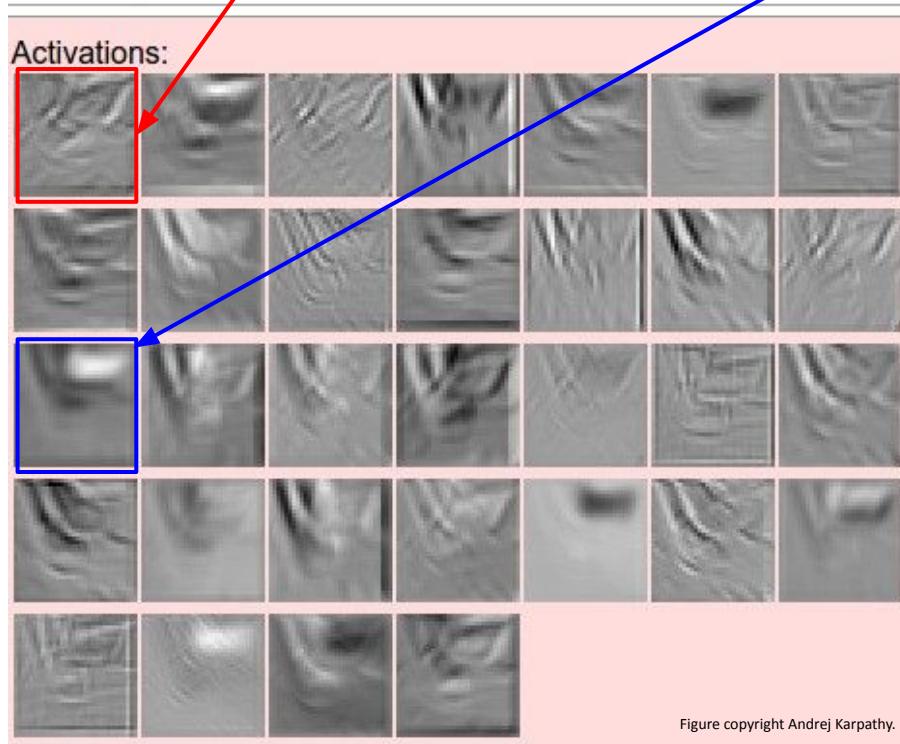
First-layer conv filters: local image templates  
(Often learns oriented edges, opposing colors)



AlexNet: 64 filters, each 3x11x11



one filter =>  
one activation map



example 5x5 filters  
(32 total)

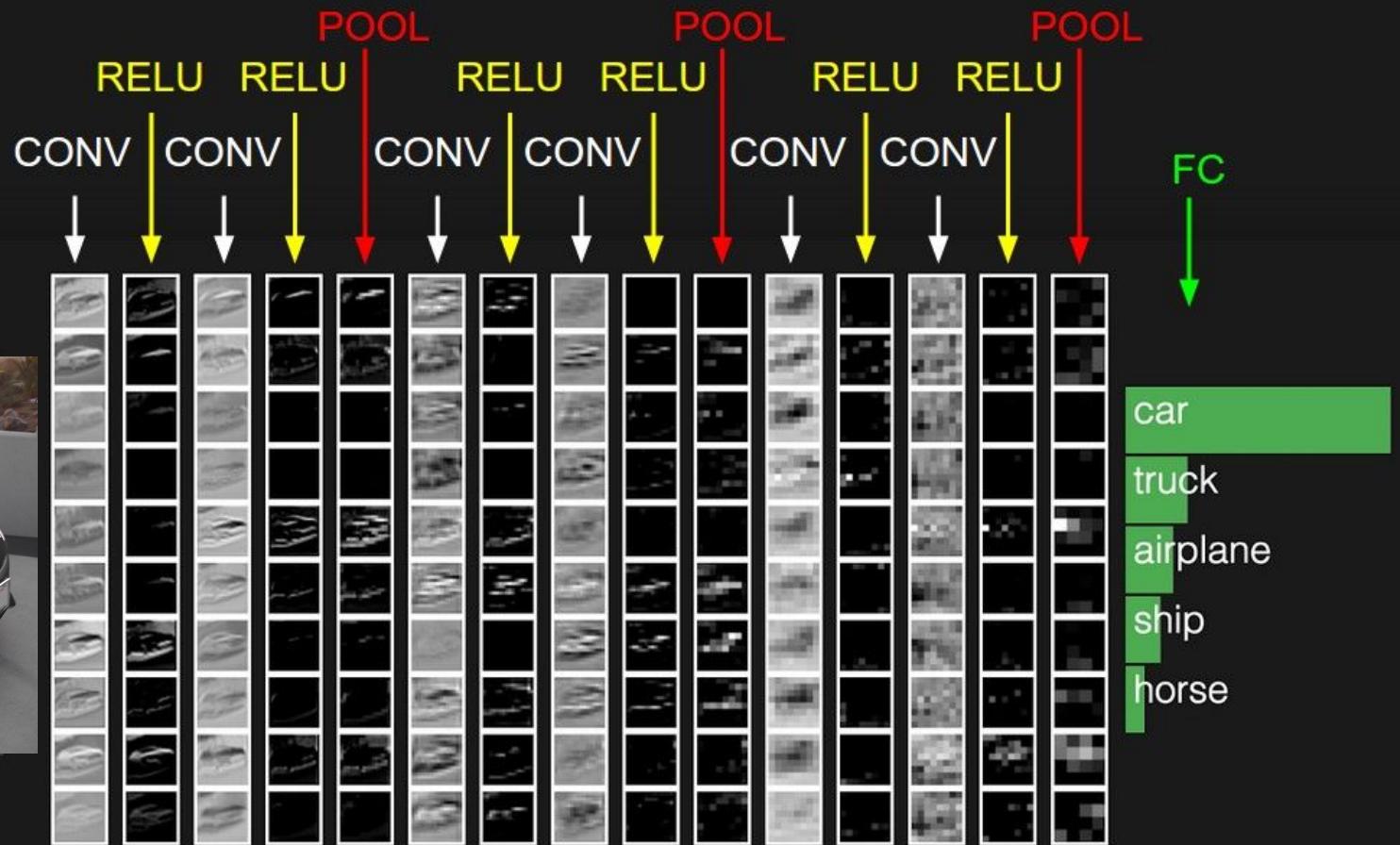
We call the layer convolutional  
because it is related to convolution  
of two signals:

$$f[x,y] * g[x,y] = \sum_{n_1=-\infty}^{\infty} \sum_{n_2=-\infty}^{\infty} f[n_1, n_2] \cdot g[x - n_1, y - n_2]$$

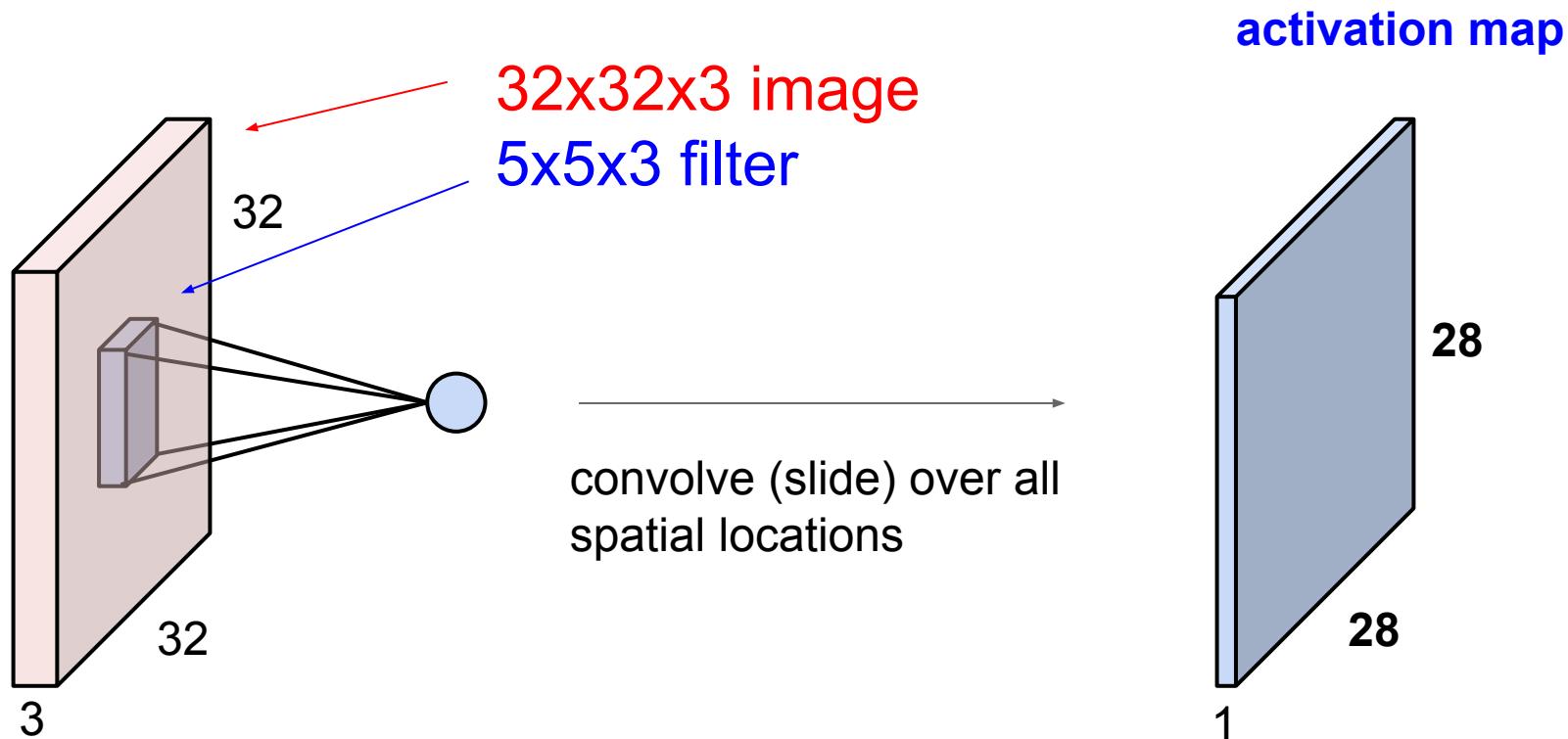


elementwise multiplication and sum of  
a filter and the signal (image)

preview:

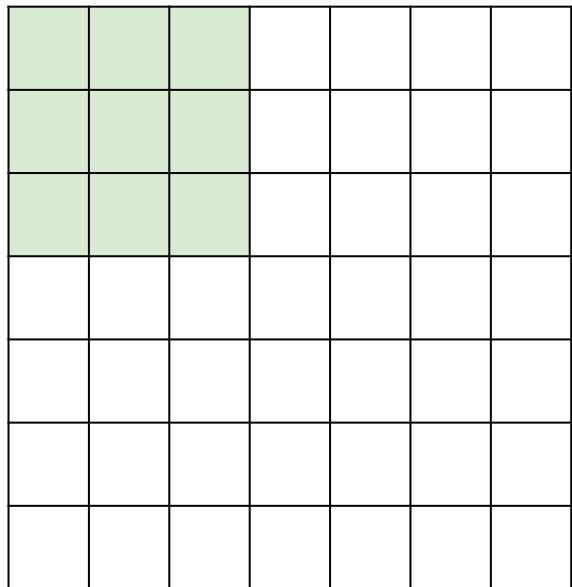


# A closer look at spatial dimensions:



## A closer look at spatial dimensions:

7

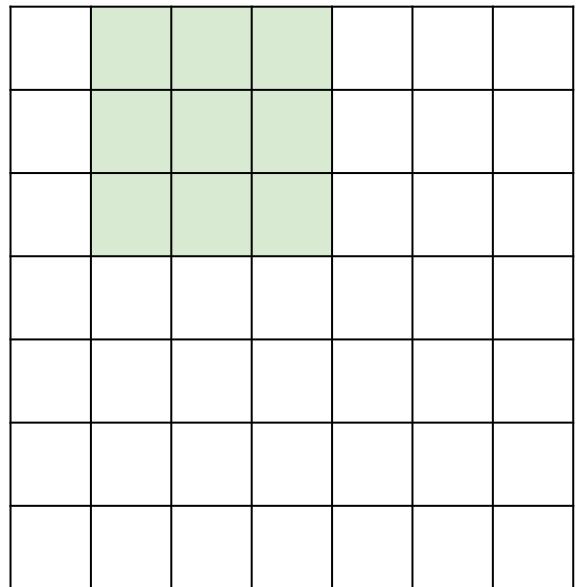


7x7 input (spatially)  
assume 3x3 filter

7

## A closer look at spatial dimensions:

7

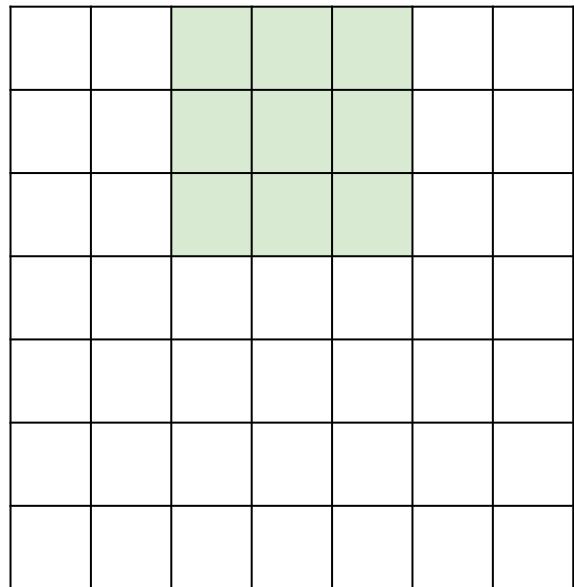


7x7 input (spatially)  
assume 3x3 filter

7

## A closer look at spatial dimensions:

7

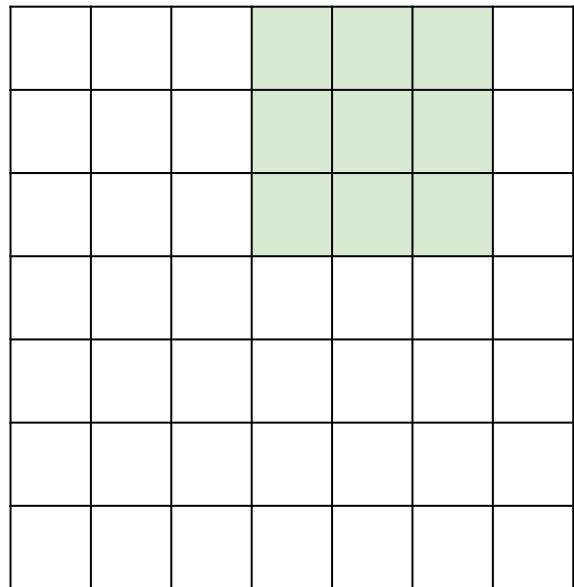


7x7 input (spatially)  
assume 3x3 filter

7

## A closer look at spatial dimensions:

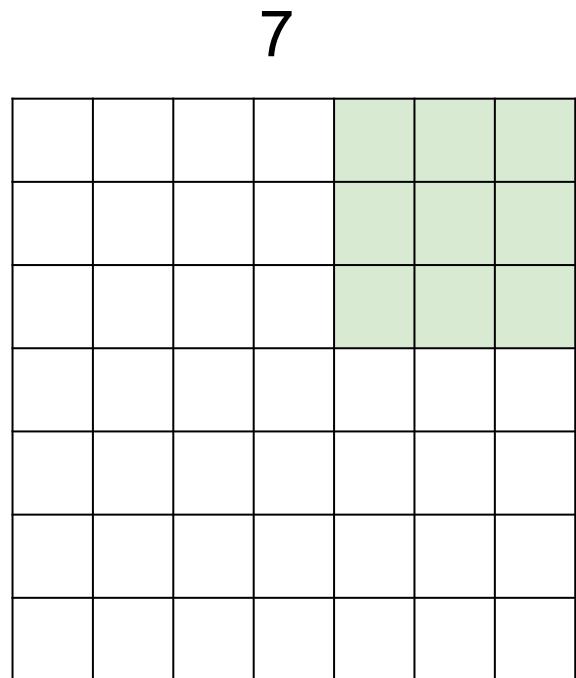
7



7x7 input (spatially)  
assume 3x3 filter

7

## A closer look at spatial dimensions:

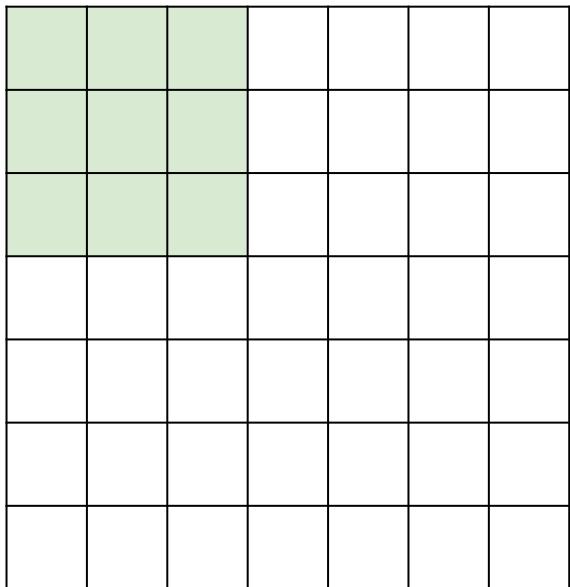


7x7 input (spatially)  
assume 3x3 filter

**=> 5x5 output**

## A closer look at spatial dimensions:

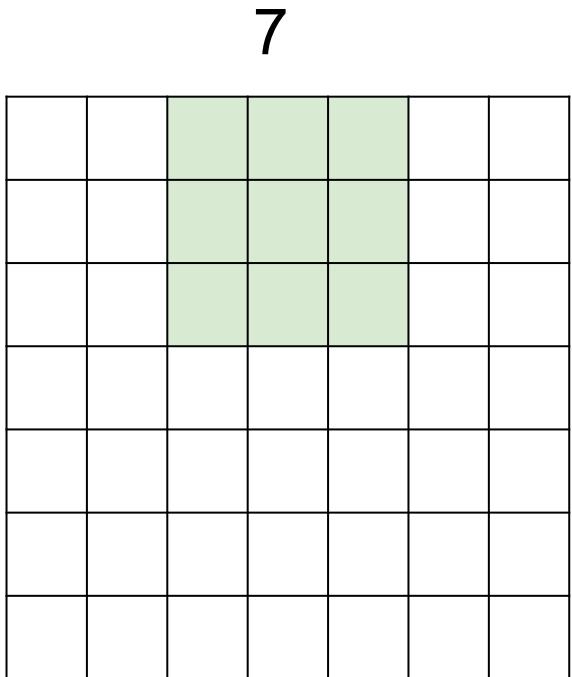
7



7

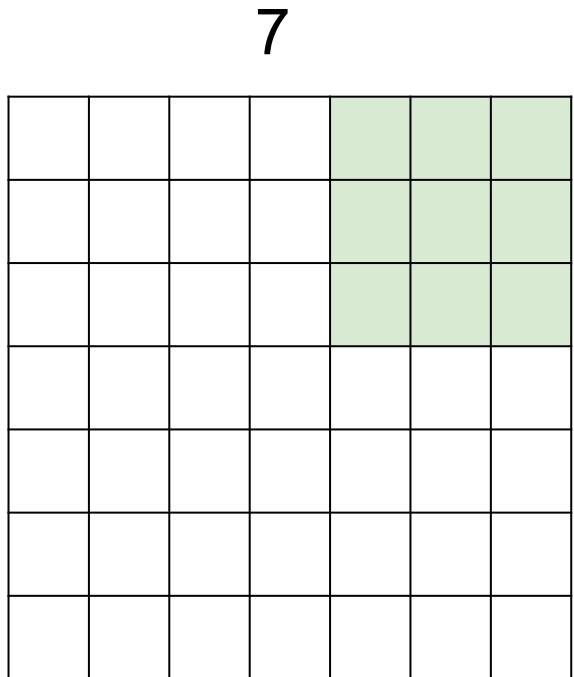
7x7 input (spatially)  
assume 3x3 filter  
applied **with stride 2**

## A closer look at spatial dimensions:



7x7 input (spatially)  
assume 3x3 filter  
applied **with stride 2**

## A closer look at spatial dimensions:

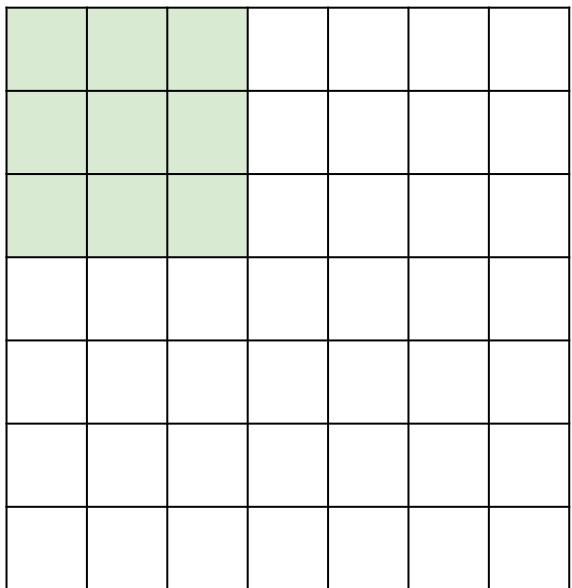


7

7x7 input (spatially)  
assume 3x3 filter  
applied **with stride 2**  
**=> 3x3 output!**

## A closer look at spatial dimensions:

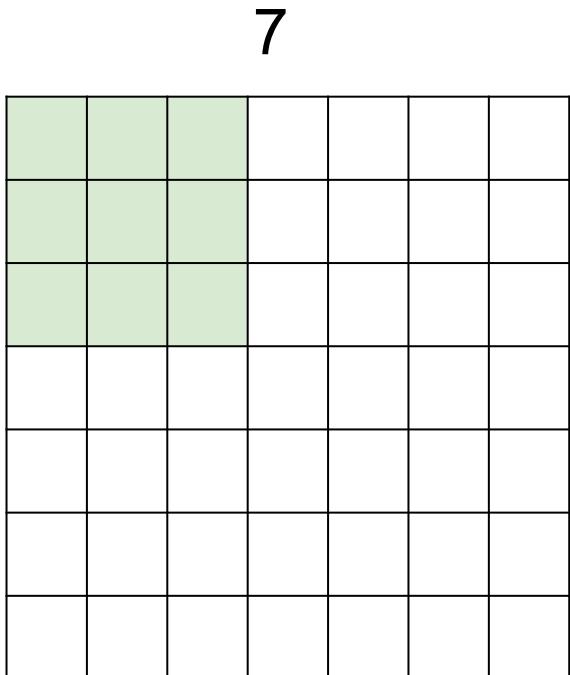
7



7

7x7 input (spatially)  
assume 3x3 filter  
applied **with stride 3?**

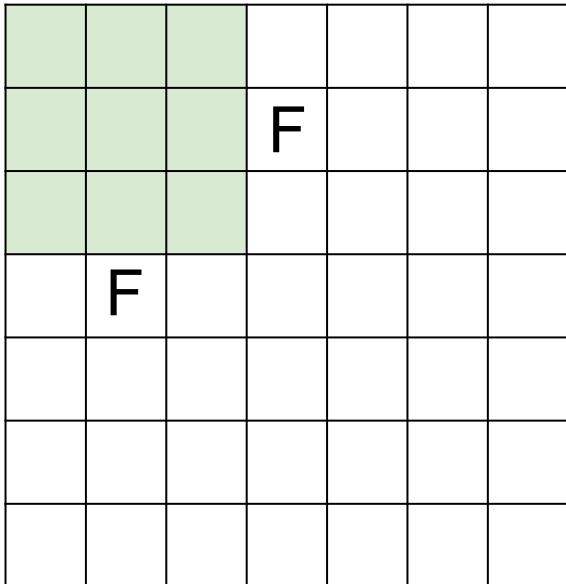
## A closer look at spatial dimensions:



7x7 input (spatially)  
assume 3x3 filter  
applied **with stride 3?**

**doesn't fit!**  
cannot apply 3x3 filter on  
7x7 input with stride 3.

N



N

Output size:  
**(N - F) / stride + 1**

e.g. N = 7, F = 3:

$$\text{stride 1} \Rightarrow (7 - 3)/1 + 1 = 5$$

$$\text{stride 2} \Rightarrow (7 - 3)/2 + 1 = 3$$

$$\text{stride 3} \Rightarrow (7 - 3)/3 + 1 = 2.33 : \backslash$$

# In practice: Common to zero pad the border

0	0	0	0	0	0		
0							
0							
0							
0							

e.g. input 7x7

**3x3 filter, applied with stride 1**

**pad with 1 pixel border => what is the output?**

(recall:)

$$(N - F) / \text{stride} + 1$$

# In practice: Common to zero pad the border

0	0	0	0	0	0		
0							
0							
0							
0							

e.g. input 7x7

**3x3 filter, applied with stride 1**

**pad with 1 pixel border => what is the output?**

**7x7 output!**

(recall:)

$$(N + 2P - F) / \text{stride} + 1$$

# In practice: Common to zero pad the border

0	0	0	0	0	0		
0							
0							
0							
0							

e.g. input 7x7

**3x3 filter, applied with stride 1**

**pad with 1 pixel border => what is the output?**

**7x7 output!**

in general, common to see CONV layers with stride 1, filters of size  $F \times F$ , and zero-padding with  $(F-1)/2$ . (will preserve size spatially)

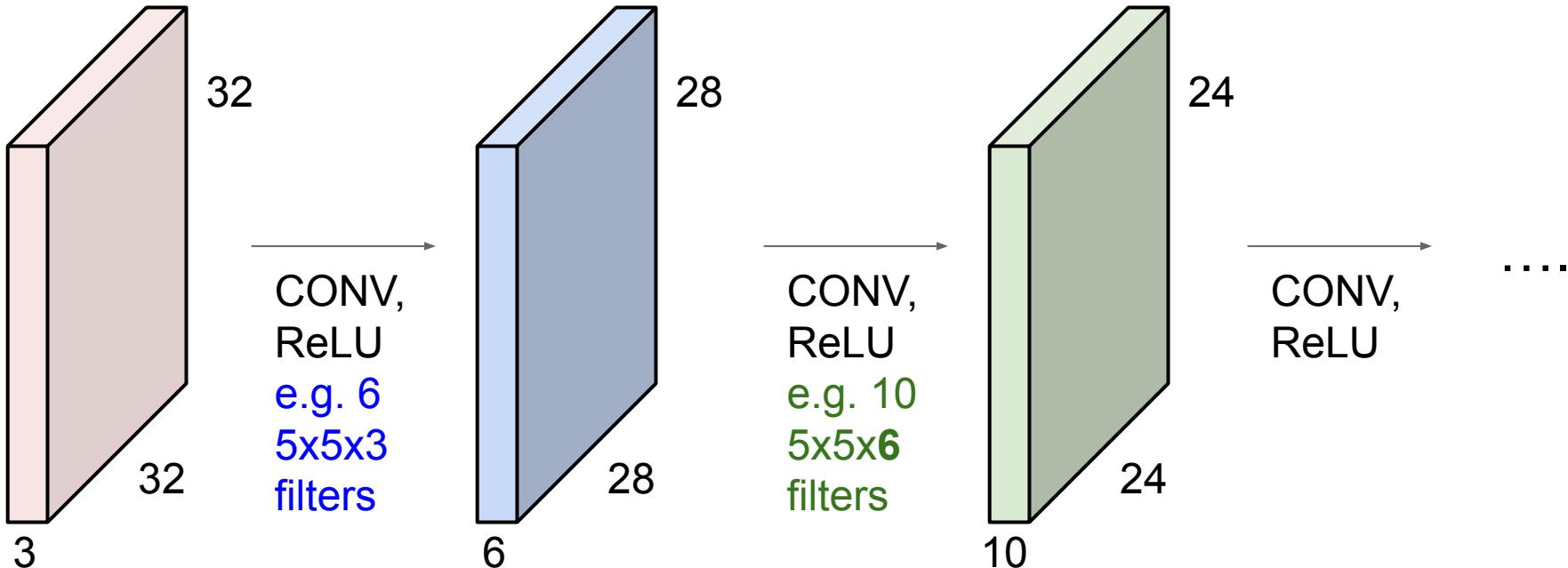
e.g.  $F = 3 \Rightarrow$  zero pad with 1

$F = 5 \Rightarrow$  zero pad with 2

$F = 7 \Rightarrow$  zero pad with 3

## Remember back to...

E.g. 32x32 input convolved repeatedly with 5x5 filters shrinks volumes spatially!  
(32 -> 28 -> 24 ...). Shrinking too fast is not good, doesn't work well.

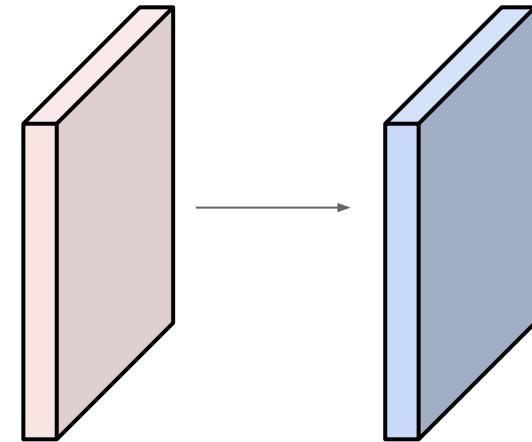


Examples time:

Input volume: **32x32x3**

10 5x5 filters with stride 1, pad 2

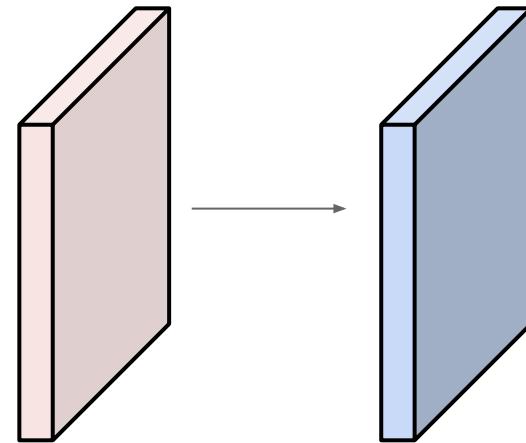
Output volume size: ?



Examples time:

Input volume: **32x32x3**

**10 5x5** filters with stride 1, pad **2**



Output volume size:

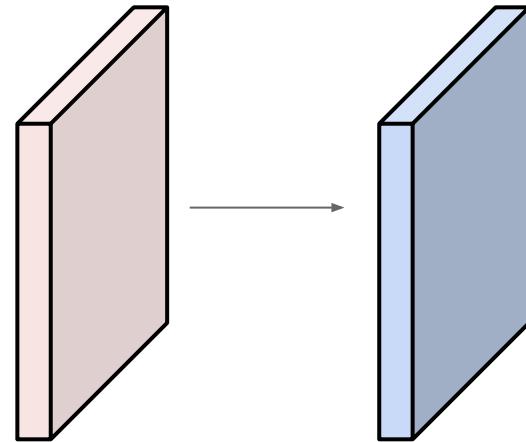
$(32+2*2-5)/1+1 = 32$  spatially, so

**32x32x10**

Examples time:

Input volume: **32x32x3**

10 5x5 filters with stride 1, pad 2

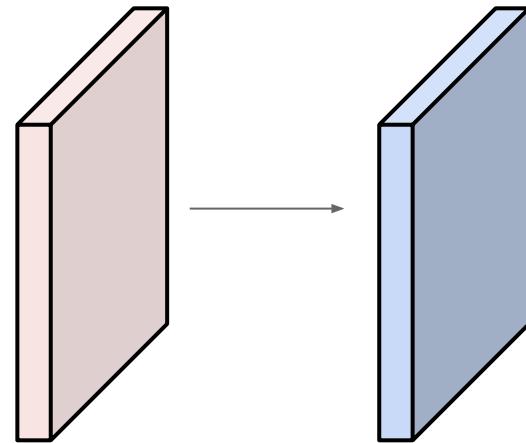


Number of parameters in this layer?

# Examples time:

Input volume: **32x32x3**

**10 5x5** filters with stride 1, pad 2

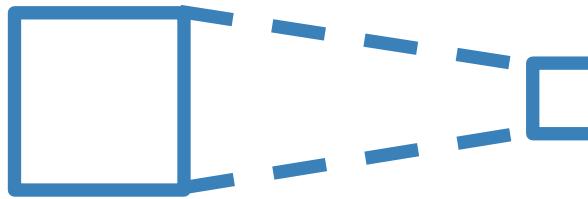


Number of parameters in this layer?

each filter has  $5*5*3 + 1 = 76$  params (+1 for bias)  
 $\Rightarrow 76*10 = 760$

# Receptive Fields

For convolution with kernel size K, each element in the output depends on a  $K \times K$  **receptive field** in the input



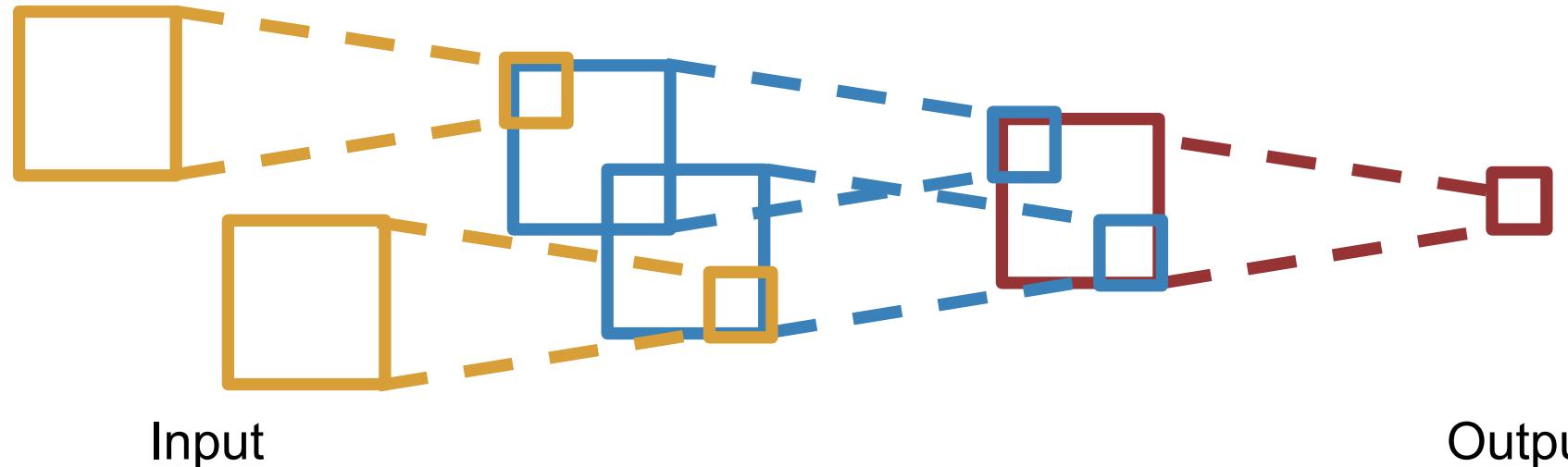
Input

Output

Slide inspiration: Justin Johnson

# Receptive Fields

Each successive convolution adds  $K - 1$  to the receptive field size  
With  $L$  layers the receptive field size is  $1 + L * (K - 1)$



Input

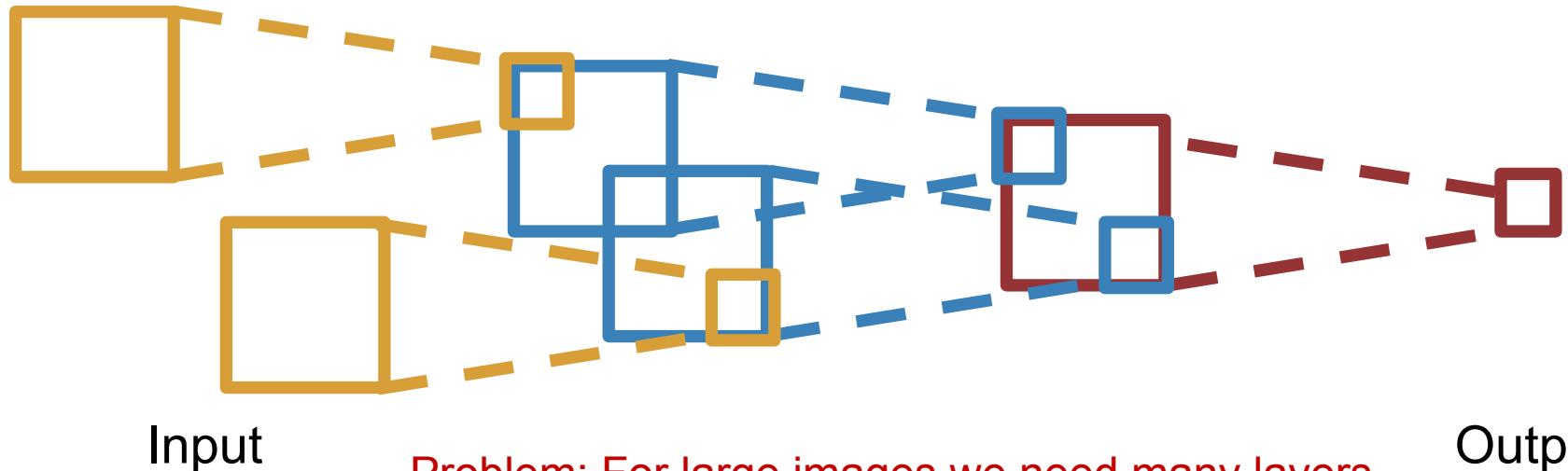
Output

Be careful – “receptive field in the input” vs. “receptive field in the previous layer”

Slide inspiration: Justin Johnson

# Receptive Fields

Each successive convolution adds  $K - 1$  to the receptive field size  
With  $L$  layers the receptive field size is  $1 + L * (K - 1)$



Input

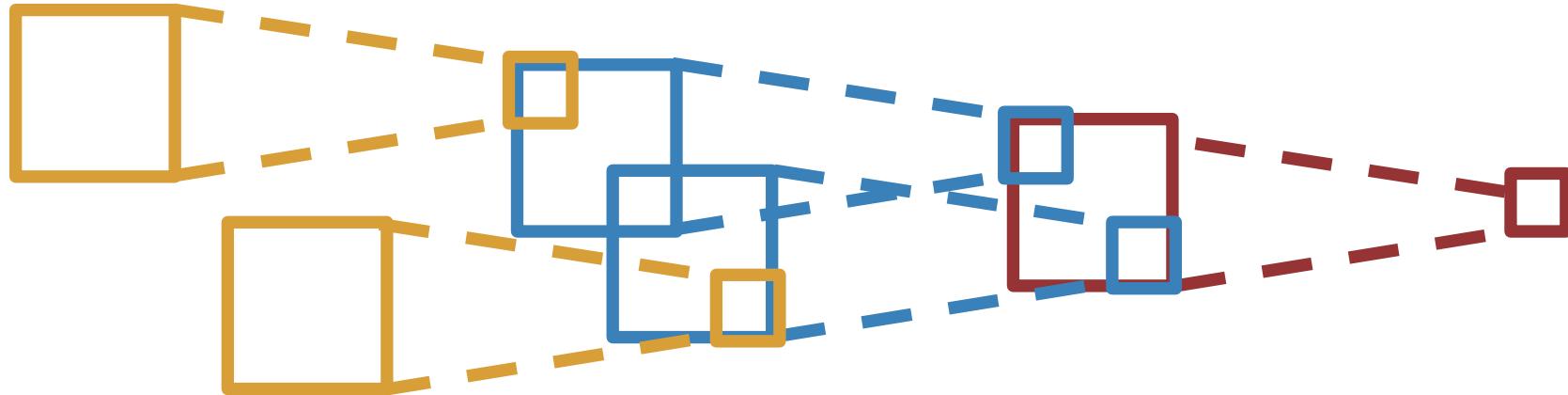
Output

Problem: For large images we need many layers  
for each output to “see” the whole image

Slide inspiration: Justin Johnson

# Receptive Fields

Each successive convolution adds  $K - 1$  to the receptive field size  
With  $L$  layers the receptive field size is  $1 + L * (K - 1)$



Input

Problem: For large images we need many layers  
for each output to “see” the whole image

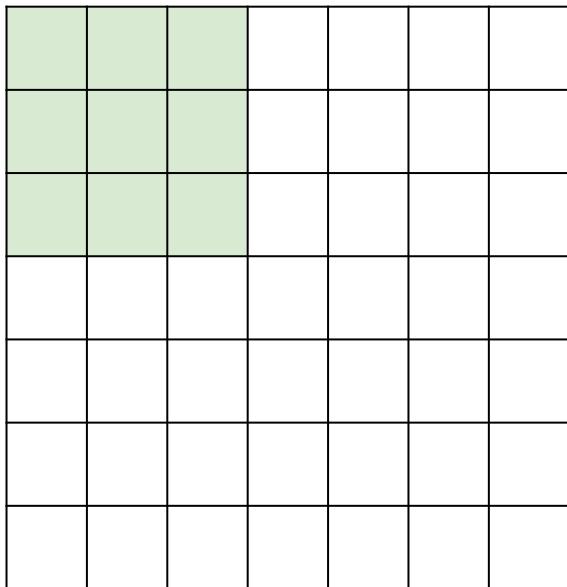
Output

Solution: Downsample inside the network

Slide inspiration: Justin Johnson

## Solution: Strided Convolution

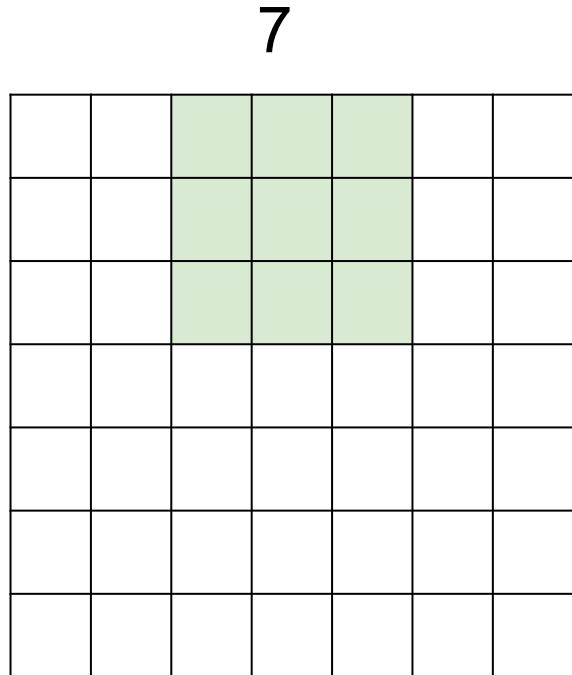
7



7

7x7 input (spatially)  
assume 3x3 filter  
applied **with stride 2**

## Solution: Strided Convolution



7x7 input (spatially)  
assume 3x3 filter  
applied **with stride 2**

=> **3x3 output!**

# Convolution layer: summary

Let's assume input is  $W_1 \times H_1 \times C$

Conv layer needs 4 hyperparameters:

- Number of filters  $K$
- The filter size  $F$
- The stride  $S$
- The zero padding  $P$

This will produce an output of  $W_2 \times H_2 \times K$

where:

- $W_2 = (W_1 - F + 2P)/S + 1$
- $H_2 = (H_1 - F + 2P)/S + 1$

Number of parameters:  $F^2CK$  and  $K$  biases

# Convolution layer: summary

Common settings:

Let's assume input is  $W_1 \times H_1 \times C$

Conv layer needs 4 hyperparameters:

- Number of filters **K**
- The filter size **F**
- The stride **S**
- The zero padding **P**

**K** = (powers of 2, e.g. 32, 64, 128, 512)

- $F = 3, S = 1, P = 1$
- $F = 5, S = 1, P = 2$
- $F = 5, S = 2, P = ?$  (whatever fits)
- $F = 1, S = 1, P = 0$

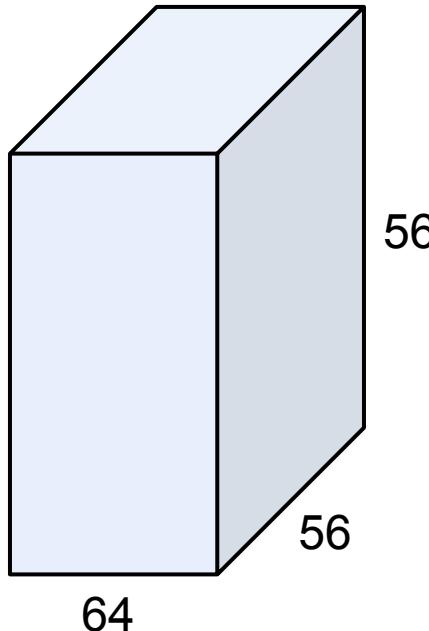
This will produce an output of  $W_2 \times H_2 \times K$

where:

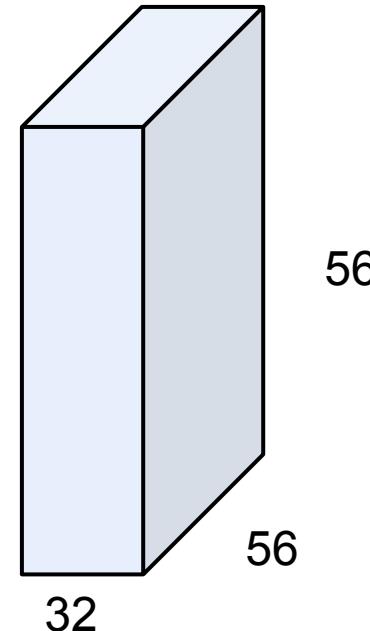
- $W_2 = (W_1 - F + 2P)/S + 1$
- $H_2 = (H_1 - F + 2P)/S + 1$

Number of parameters:  $F^2CK$  and  $K$  biases

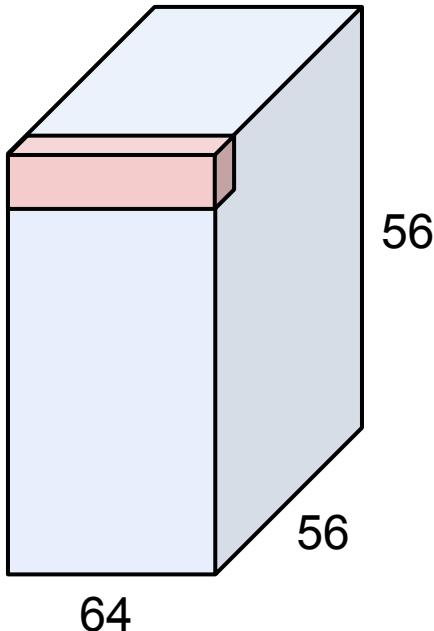
(btw, 1x1 convolution layers make perfect sense)



1x1 CONV  
with 32 filters  
→  
(each filter has size  
1x1x64, and performs a  
64-dimensional dot  
product)



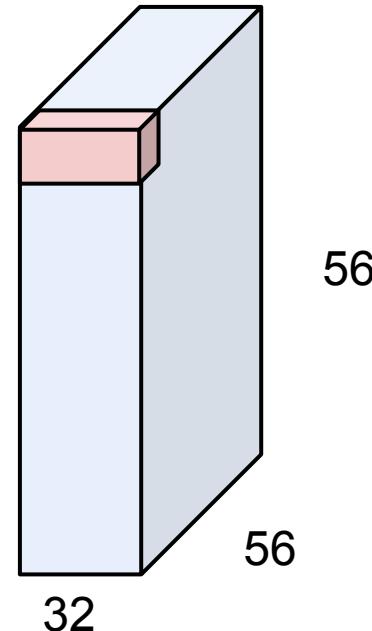
(btw, 1x1 convolution layers make perfect sense)



1x1 CONV  
with 32 filters

→

(each filter has size  
 $1 \times 1 \times 64$ , and performs a  
64-dimensional dot  
product)



# Example: CONV layer in PyTorch

Conv2d

CLASS `torch.nn.Conv2d(in_channels, out_channels, kernel_size, stride=1, padding=0, dilation=1, groups=1, bias=True)`

[SOURCE]

Applies a 2D convolution over an input signal composed of several input planes.

In the simplest case, the output value of the layer with input size  $(N, C_{\text{in}}, H, W)$  and output  $(N, C_{\text{out}}, H_{\text{out}}, W_{\text{out}})$  can be precisely described as:

$$\text{out}(N_i, C_{\text{out}_j}) = \text{bias}(C_{\text{out}_j}) + \sum_{k=0}^{C_{\text{in}}-1} \text{weight}(C_{\text{out}_j}, k) * \text{input}(N_i, k)$$

where  $*$  is the valid 2D cross-correlation operator,  $N$  is a batch size,  $C$  denotes a number of channels,  $H$  is a height of input planes in pixels, and  $W$  is width in pixels.

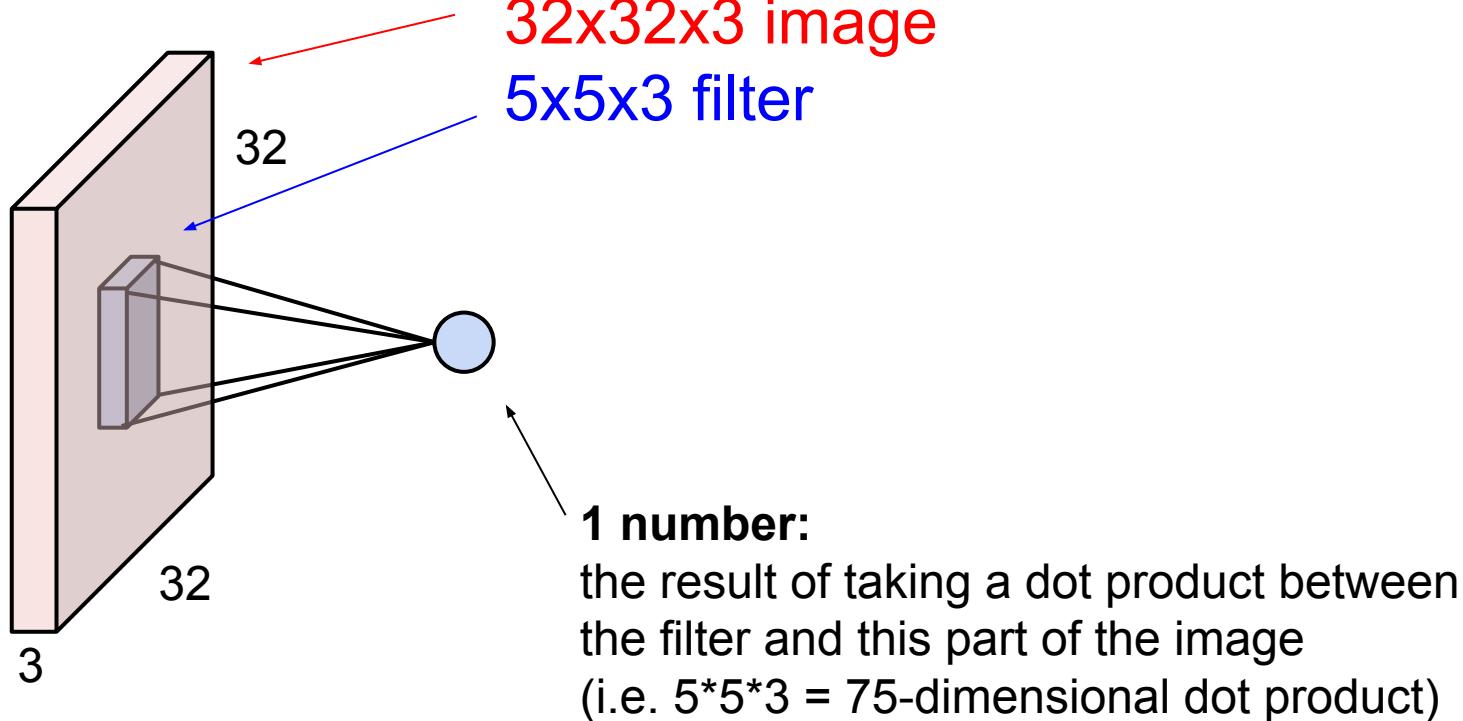
- `stride` controls the stride for the cross-correlation, a single number or a tuple.
- `padding` controls the amount of implicit zero-paddings on both sides for `padding` number of points for each dimension.
- `dilation` controls the spacing between the kernel points; also known as the à trous algorithm. It is harder to describe, but this [link](#) has a nice visualization of what `dilation` does.
- `groups` controls the connections between inputs and outputs. `in_channels` and `out_channels` must both be divisible by `groups`. For example,
  - At `groups=1`, all inputs are convolved to all outputs.
  - At `groups=2`, the operation becomes equivalent to having two conv layers side by side, each seeing half the input channels, and producing half the output channels, and both subsequently concatenated.
  - At `groups= in_channels`, each input channel is convolved with its own set of filters, of size:  $\left\lfloor \frac{C_{\text{out}}}{C_{\text{in}}} \right\rfloor$ .

The parameters `kernel_size`, `stride`, `padding`, `dilation` can either be:

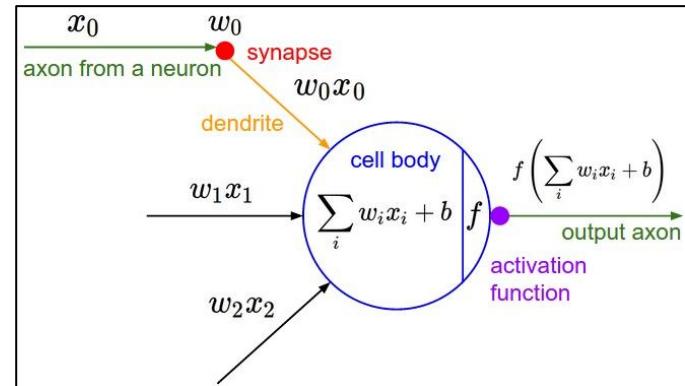
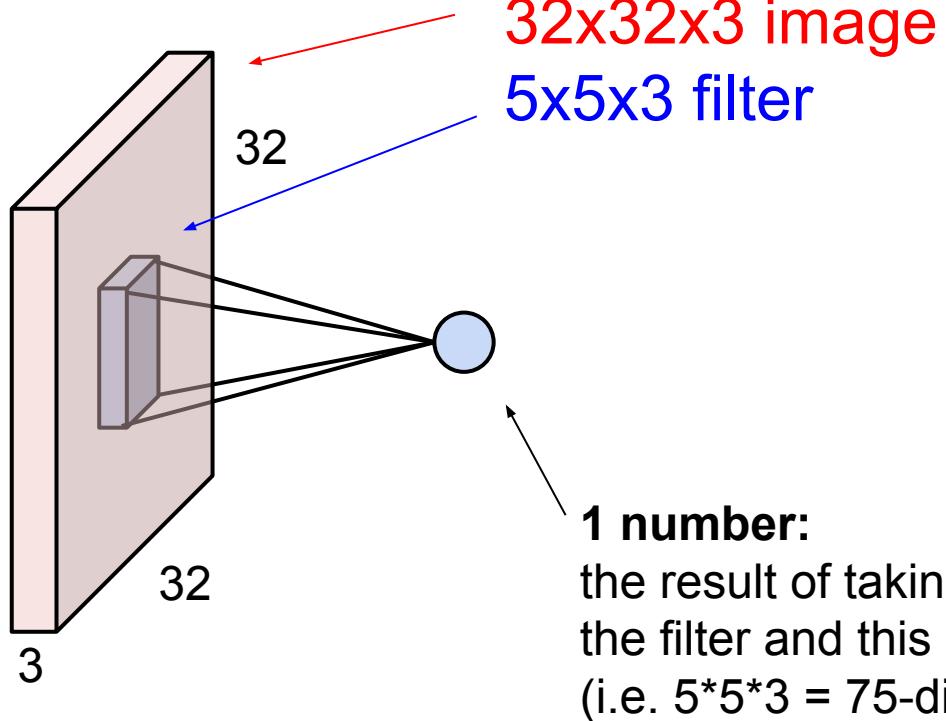
- a single `int` – in which case the same value is used for the height and width dimension
- a `tuple` of two `ints` – in which case, the first `int` is used for the height dimension, and the second `int` for the width dimension

[PyTorch](#) is licensed under [BSD 3-clause](#).

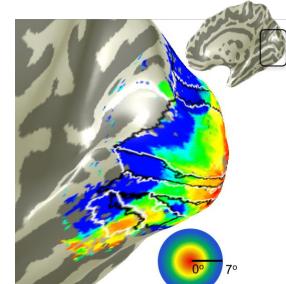
# The brain/neuron view of CONV Layer



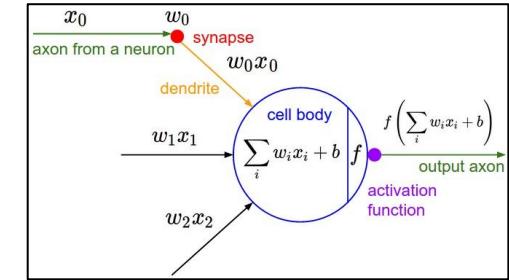
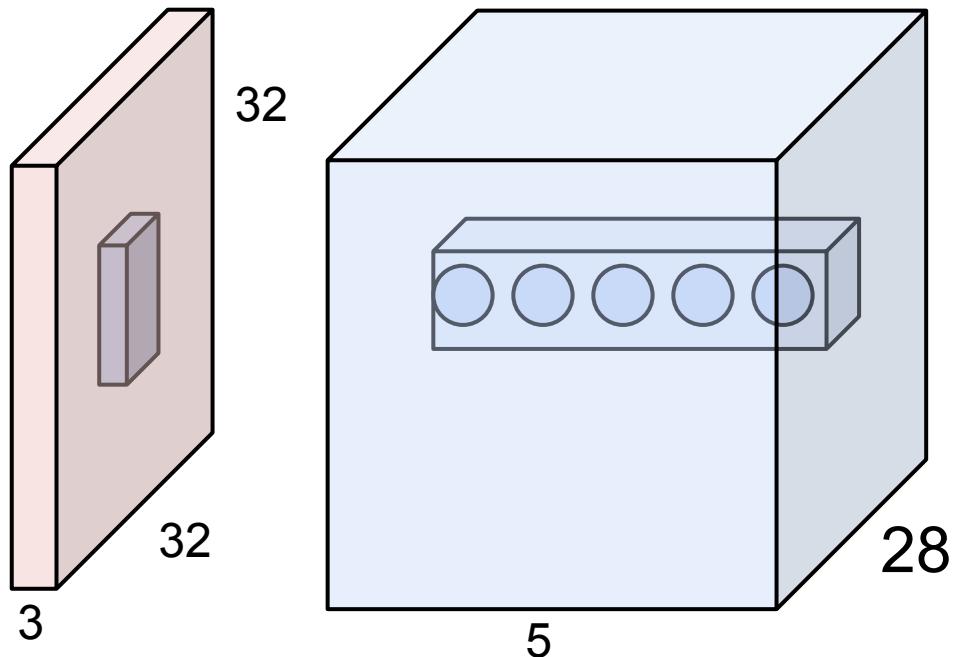
# The brain/neuron view of CONV Layer



It's just a neuron with local connectivity...



# The brain/neuron view of CONV Layer



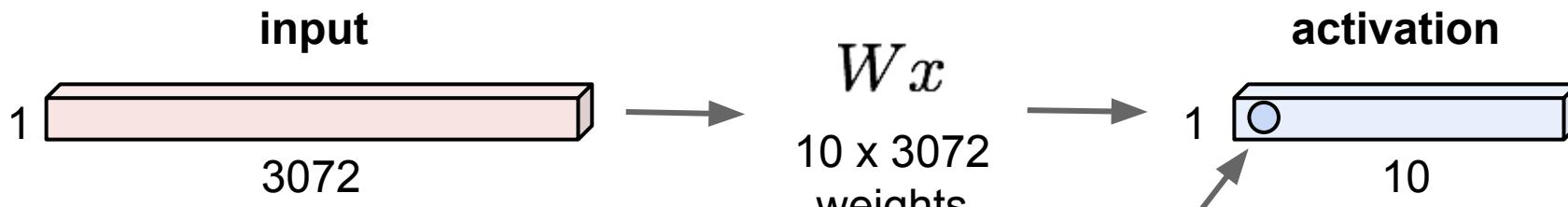
E.g. with 5 filters,  
CONV layer consists of  
neurons arranged in a 3D grid  
( $28 \times 28 \times 5$ )

There will be 5 different  
neurons all looking at the same  
region in the input volume

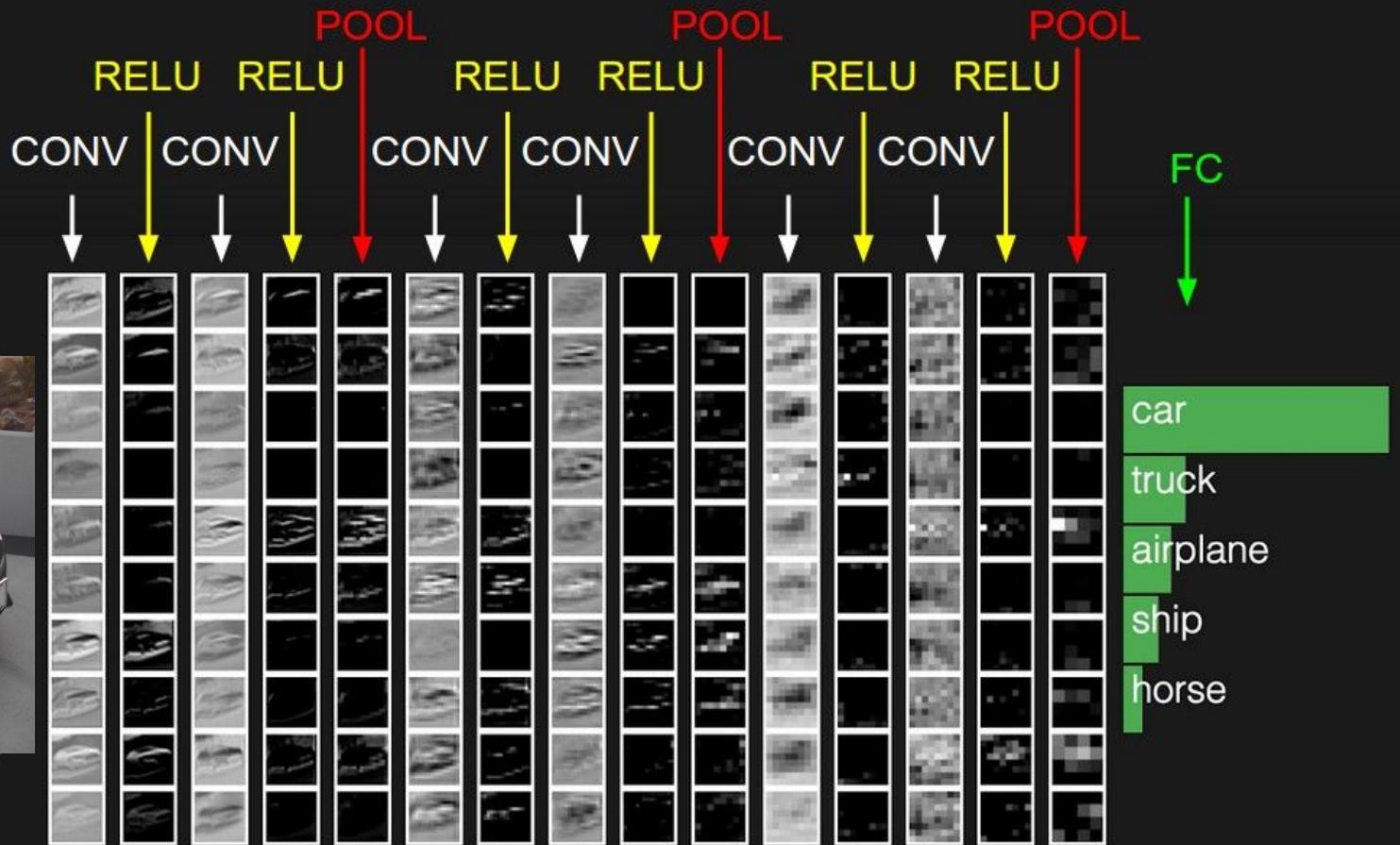
# Reminder: Fully Connected Layer

32x32x3 image -> stretch to  $3072 \times 1$

Each neuron  
looks at the full  
input volume

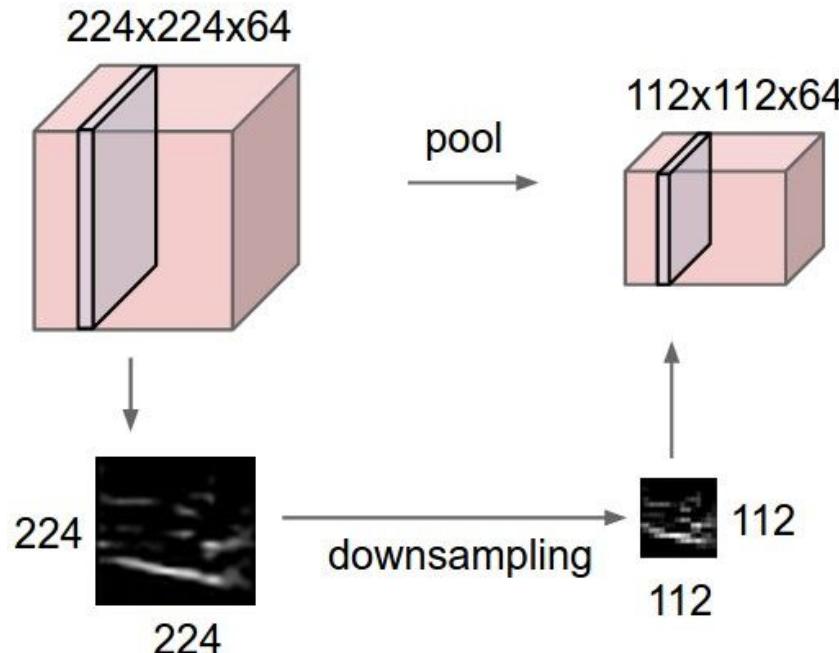


**1 number:**  
the result of taking a dot product  
between a row of  $W$  and the input  
(a 3072-dimensional dot product)

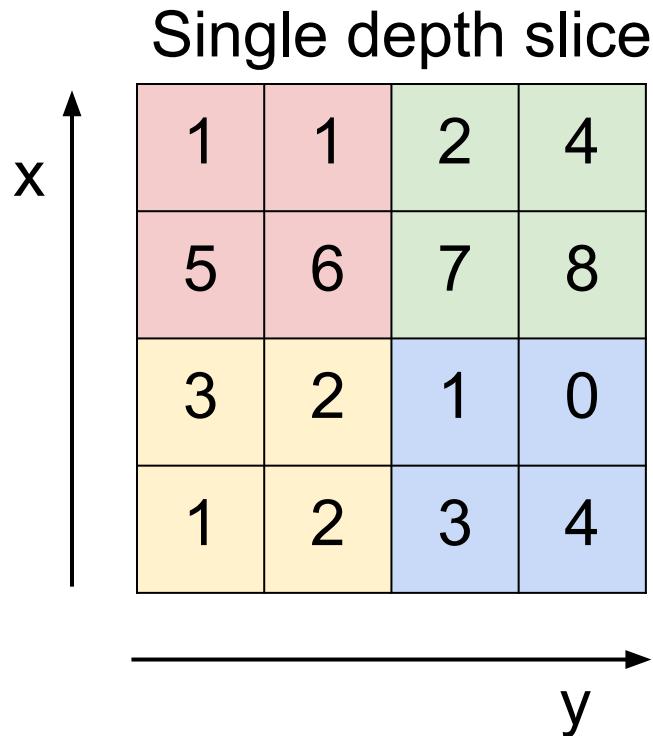


# Pooling layer

- makes the representations smaller and more manageable
- operates over each activation map independently



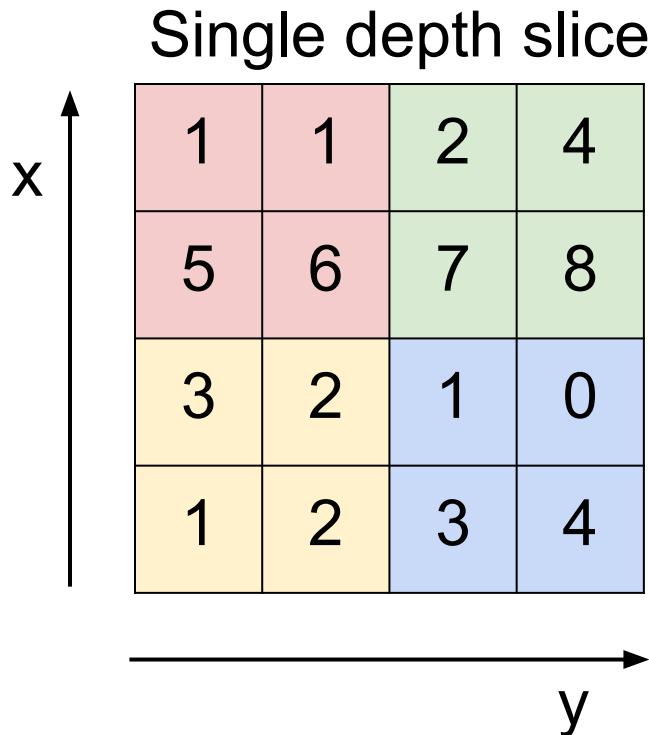
# MAX POOLING



max pool with 2x2 filters  
and stride 2

6	8
3	4

# MAX POOLING



max pool with 2x2 filters  
and stride 2

6	8
3	4

- No learnable parameters
- Introduces spatial invariance

# Pooling layer: summary

Let's assume input is  $W_1 \times H_1 \times C$

Conv layer needs 2 hyperparameters:

- The spatial extent **F**
- The stride **S**

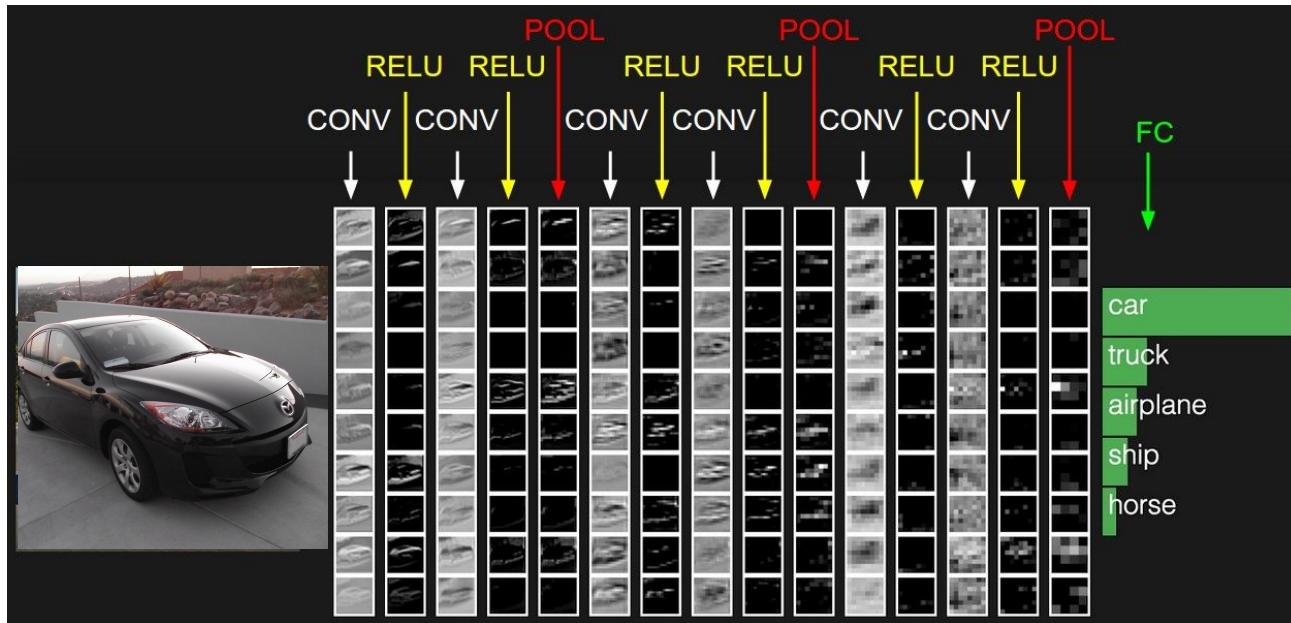
This will produce an output of  $W_2 \times H_2 \times C$  where:

- $W_2 = (W_1 - F)/S + 1$
- $H_2 = (H_1 - F)/S + 1$

Number of parameters: 0

# Fully Connected Layer (FC layer)

- Contains neurons that connect to the entire input volume, as in ordinary Neural Networks



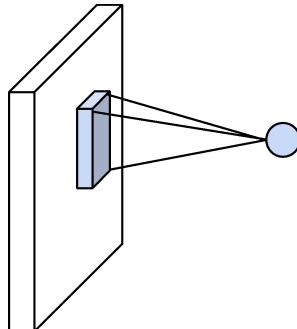
# Summary

- ConvNets stack CONV,POOL,FC layers
- Trend towards smaller filters and deeper architectures
- Trend towards getting rid of POOL/FC layers (just CONV)
- Historically architectures looked like  
 **$[(\text{CONV-RELU})^* \text{N} - \text{POOL?}]^* \text{M} - (\text{FC-RELU})^* \text{K}, \text{SOFTMAX}$**   
where N is usually up to ~5, M is large,  $0 \leq K \leq 2$ .
- But recent advances such as ResNet/GoogLeNet have challenged this paradigm

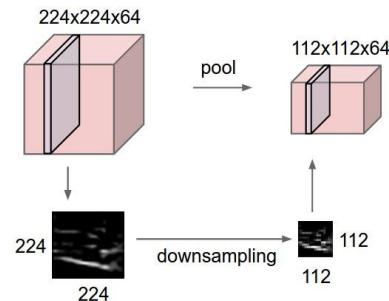
# Lecture 6: CNN Architectures

# Components of CNNs

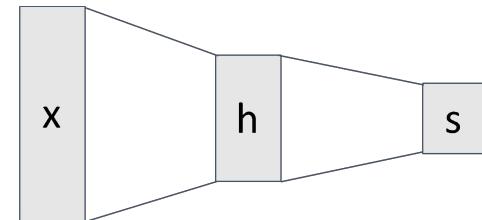
Convolution Layers



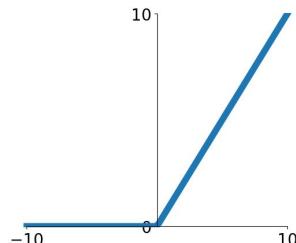
Pooling Layers



Fully-Connected Layers



Activation Function

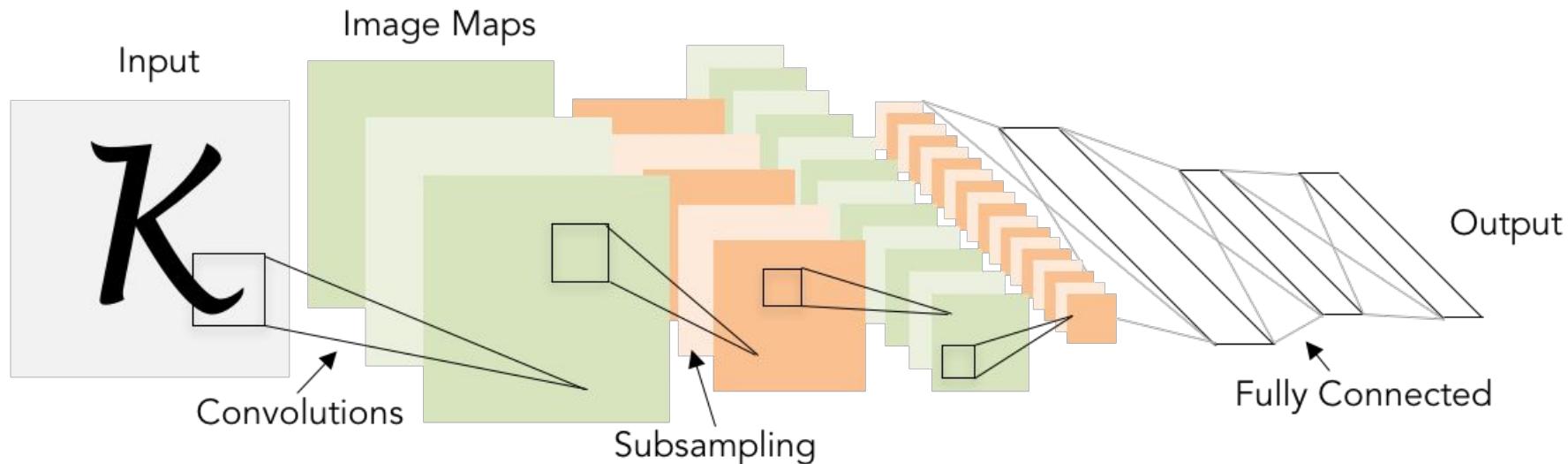


Normalization

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}}$$

# Review: LeNet-5

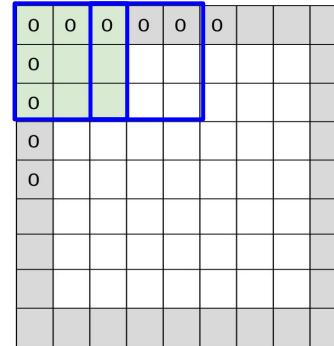
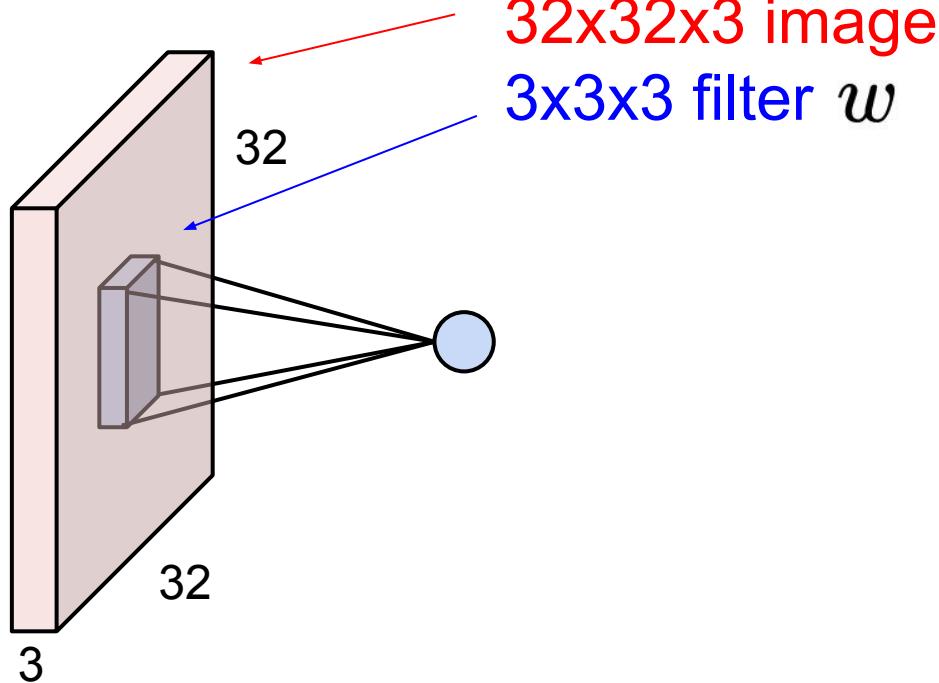
[LeCun et al., 1998]



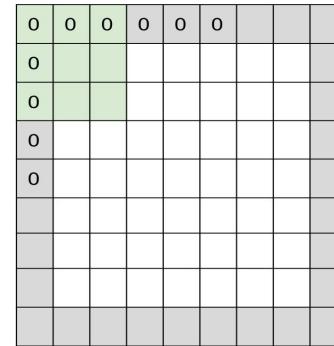
Conv filters were 5x5, applied at stride 1

Subsampling (Pooling) layers were 2x2 applied at stride 2  
i.e. architecture is [CONV-POOL-CONV-POOL-FC-FC]

# Review: Convolution

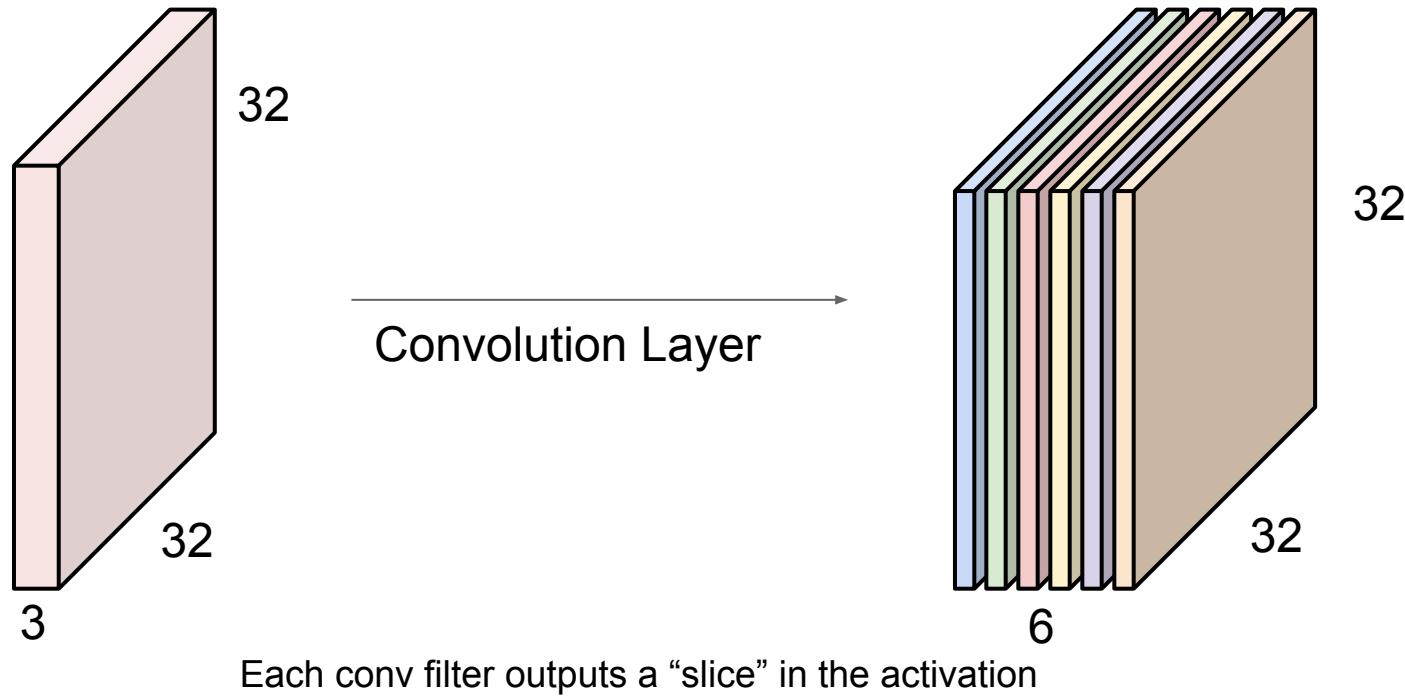


**Stride:**  
Downsample  
output activations

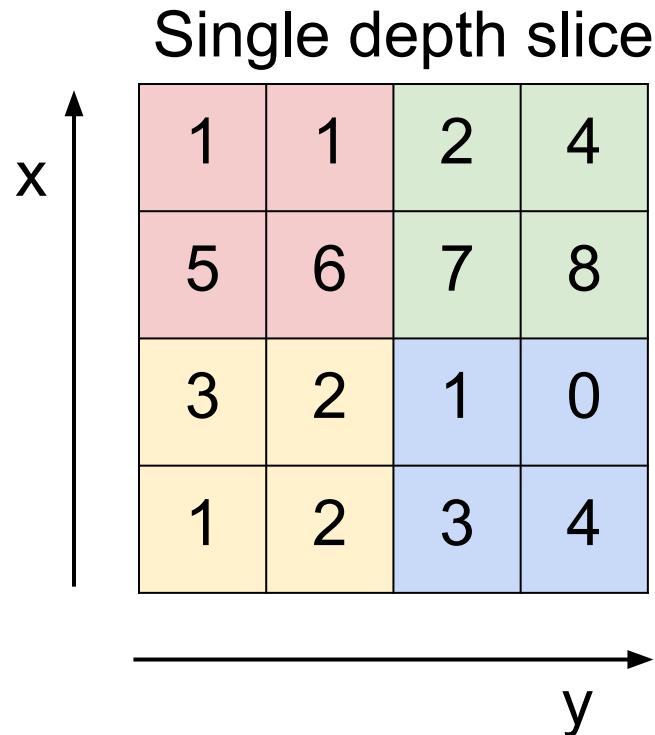


**Padding:**  
Preserve  
input spatial  
dimensions in  
output activations

# Review: Convolution



# Review: Pooling



max pool with 2x2 filters  
and stride 2



6	8
3	4

# Today: CNN Architectures

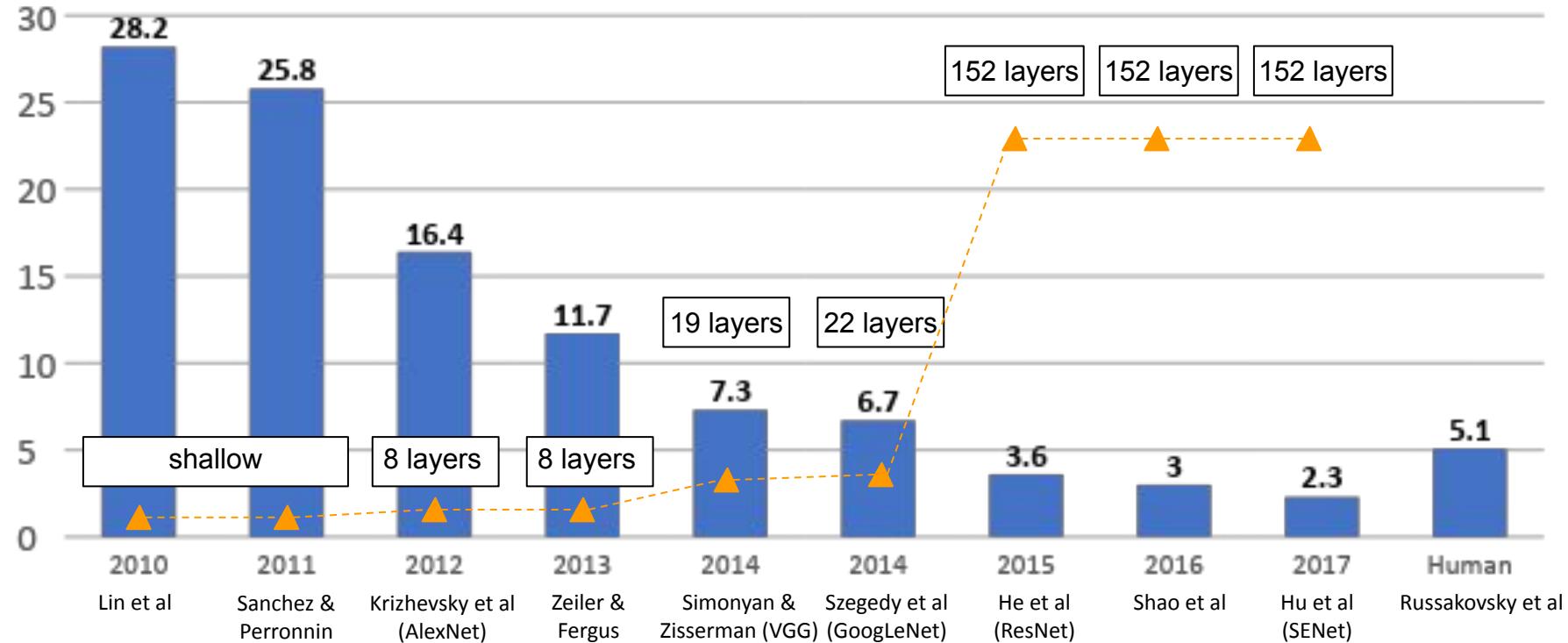
## Case Studies

- AlexNet
- VGG
- GoogLeNet
- ResNet

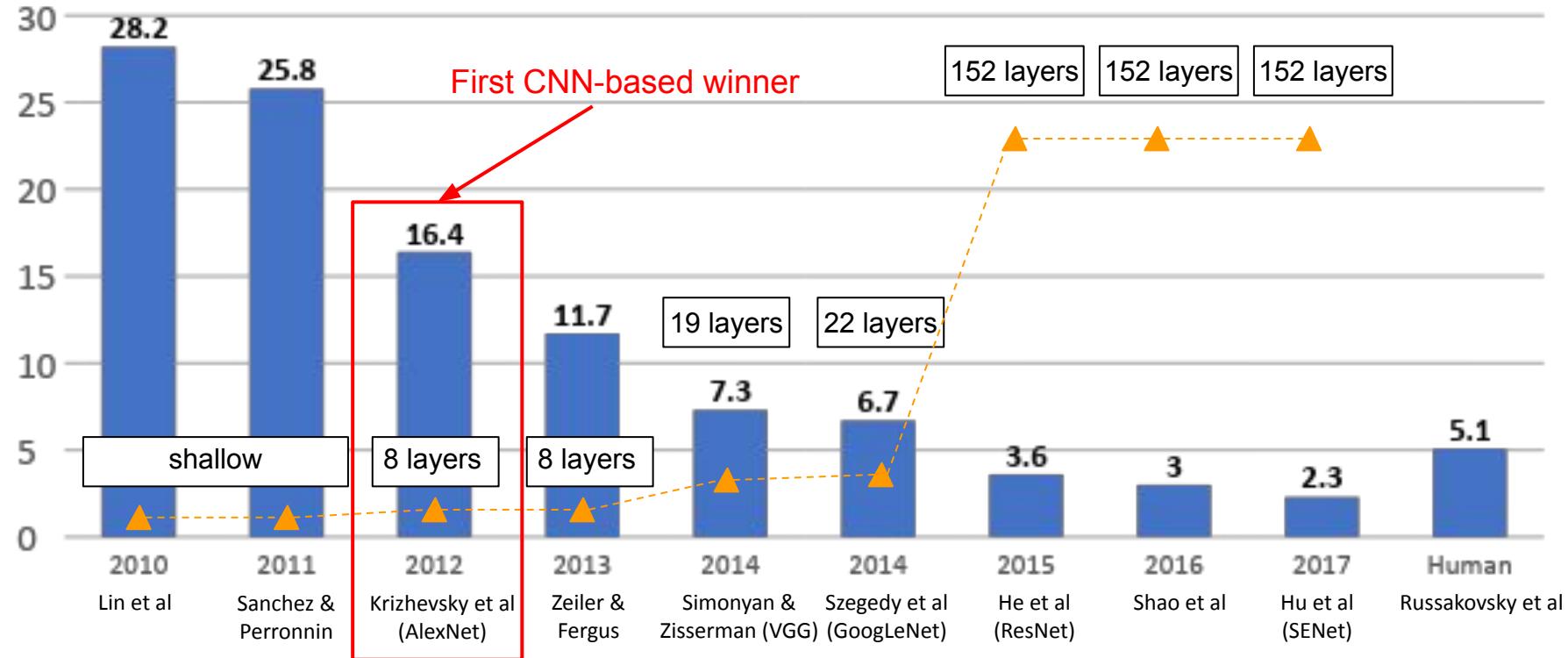
## Also....

- SENet
- Wide ResNet
- ResNeXT
- DenseNet
- MobileNets
- NASNet
- EfficientNet

# ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners



# ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners



# Case Study: AlexNet

[Krizhevsky et al. 2012]

## Architecture:

CONV1

MAX POOL1

NORM1

CONV2

MAX POOL2

NORM2

CONV3

CONV4

CONV5

Max POOL3

FC6

FC7

FC8

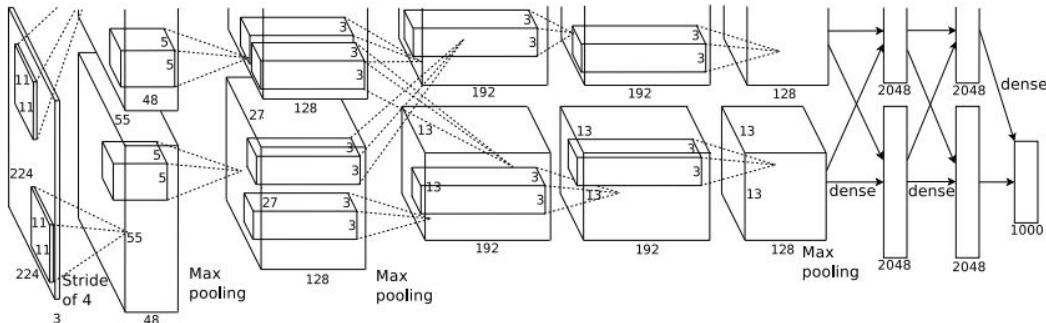
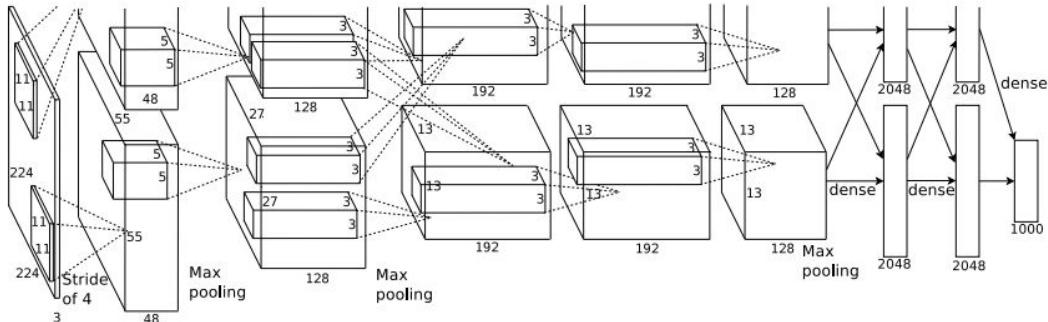


Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

# Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

**First layer (CONV1):** 96 11x11 filters applied at stride 4

=>

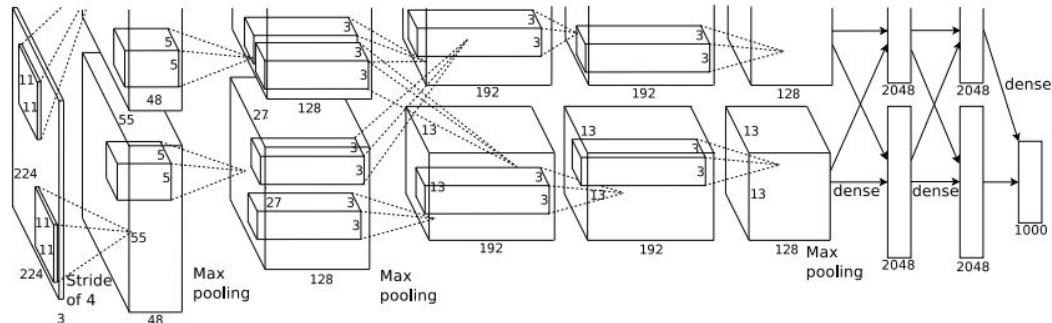
Q: what is the output volume size? Hint:  $(227-11)/4+1 = 55$

$$W' = (W - F + 2P) / S + 1$$

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

# Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

**First layer (CONV1):** 96 11x11 filters applied at stride 4

=>

Output volume [55x55x96]

$$W' = (W - F + 2P) / S + 1$$

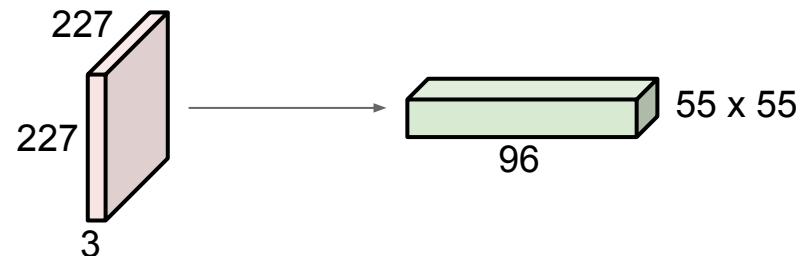
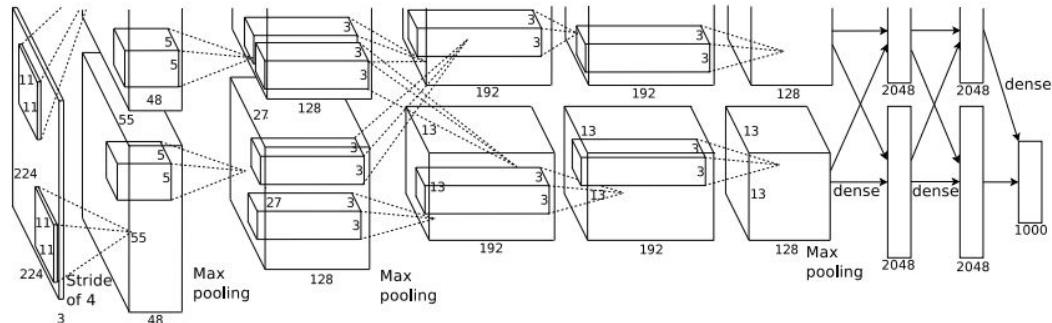


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# Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

**First layer (CONV1):** 96 11x11 filters applied at stride 4

=>

Output volume **[55x55x96]**

Q: What is the total number of parameters in this layer?

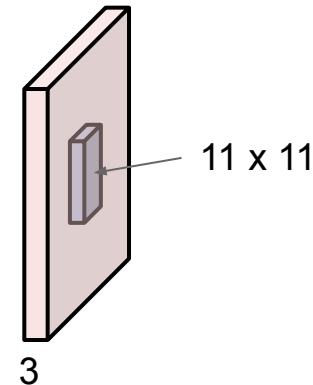
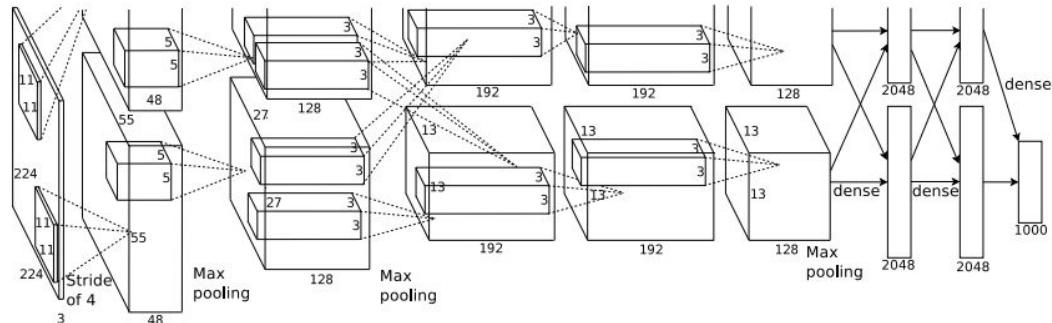


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# Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

**First layer (CONV1):** 96 11x11 filters applied at stride 4

=>

Output volume **[55x55x96]**

Parameters:  $(11 \times 11 \times 3 + 1) \times 96 = 35K$

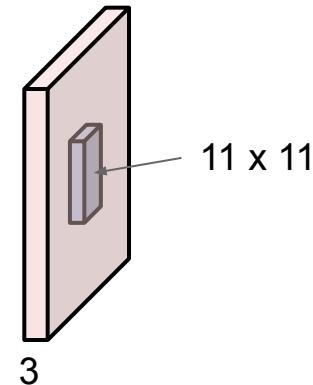
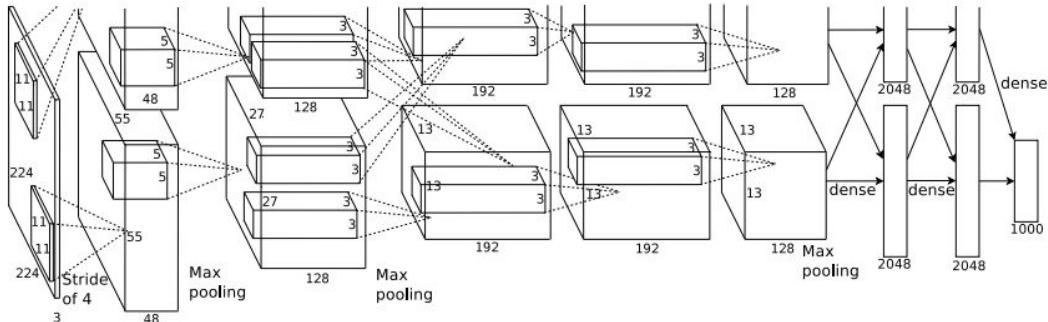


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# Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

After CONV1: 55x55x96

$$W' = (W - F + 2P) / S + 1$$

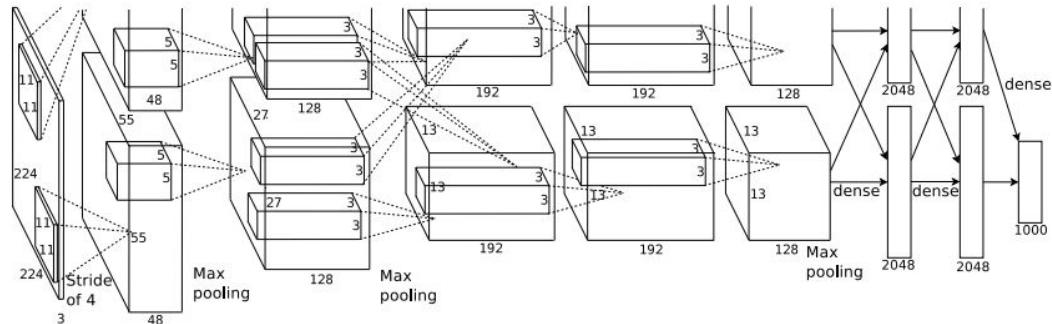
**Second layer (POOL1):** 3x3 filters applied at stride 2

Q: what is the output volume size? Hint:  $(55-3)/2+1 = 27$

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# Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

After CONV1: 55x55x96

$$W' = (W - F + 2P) / S + 1$$

**Second layer (POOL1):** 3x3 filters applied at stride 2

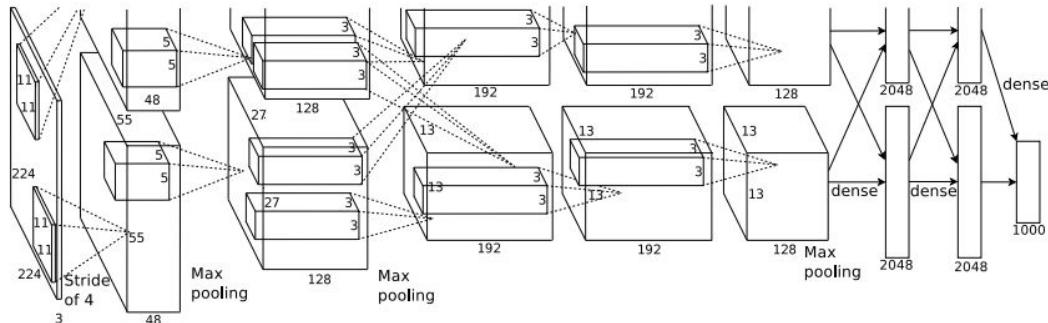
Output volume: 27x27x96

Q: what is the number of parameters in this layer?

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

# Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

After CONV1: 55x55x96

**Second layer (POOL1):** 3x3 filters applied at stride 2

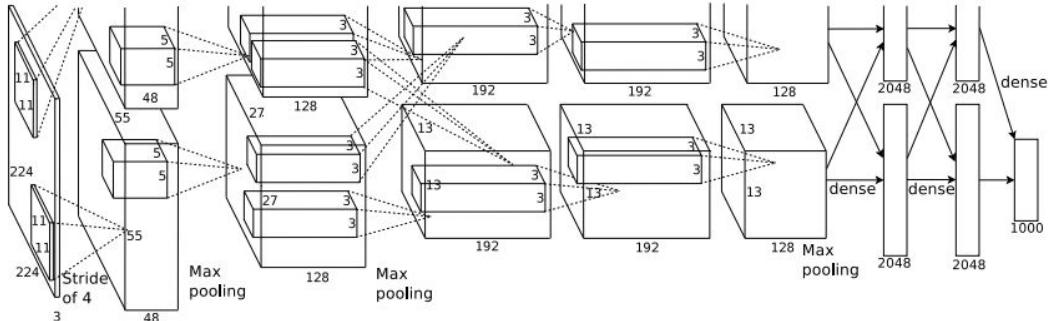
Output volume: 27x27x96

Parameters: 0!

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

# Case Study: AlexNet

[Krizhevsky et al. 2012]



Input: 227x227x3 images

After CONV1: 55x55x96

After POOL1: 27x27x96

1

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

# Case Study: AlexNet

[Krizhevsky et al. 2012]

Full (simplified) AlexNet architecture:

[227x227x3] INPUT

[55x55x96] CONV1: 96 11x11 filters at stride 4, pad 0

[27x27x96] MAX POOL1: 3x3 filters at stride 2

[27x27x96] NORM1: Normalization layer

[27x27x256] CONV2: 256 5x5 filters at stride 1, pad 2

[13x13x256] MAX POOL2: 3x3 filters at stride 2

[13x13x256] NORM2: Normalization layer

[13x13x384] CONV3: 384 3x3 filters at stride 1, pad 1

[13x13x384] CONV4: 384 3x3 filters at stride 1, pad 1

[13x13x256] CONV5: 256 3x3 filters at stride 1, pad 1

[6x6x256] MAX POOL3: 3x3 filters at stride 2

[4096] FC6: 4096 neurons

[4096] FC7: 4096 neurons

[1000] FC8: 1000 neurons (class scores)

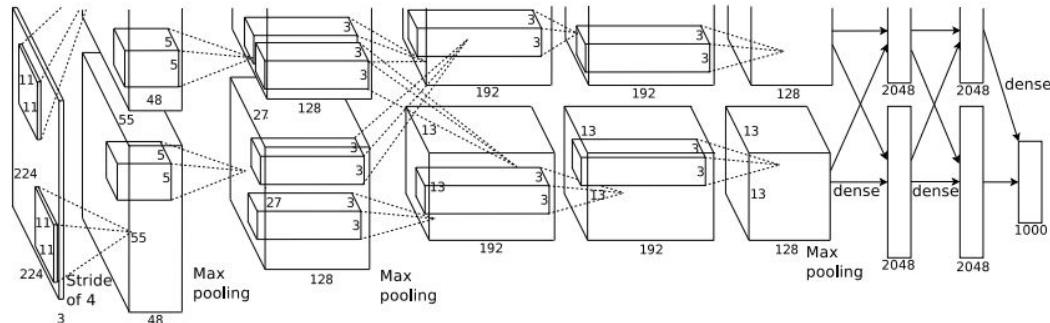


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# Case Study: AlexNet

[Krizhevsky et al. 2012]

Full (simplified) AlexNet architecture:

[227x227x3] INPUT

[55x55x96] CONV1: 96 11x11 filters at stride 4, pad 0

[27x27x96] MAX POOL1: 3x3 filters at stride 2

[27x27x96] NORM1: Normalization layer

[27x27x256] CONV2: 256 5x5 filters at stride 1, pad 2

[13x13x256] MAX POOL2: 3x3 filters at stride 2

[13x13x256] NORM2: Normalization layer

[13x13x384] CONV3: 384 3x3 filters at stride 1, pad 1

[13x13x384] CONV4: 384 3x3 filters at stride 1, pad 1

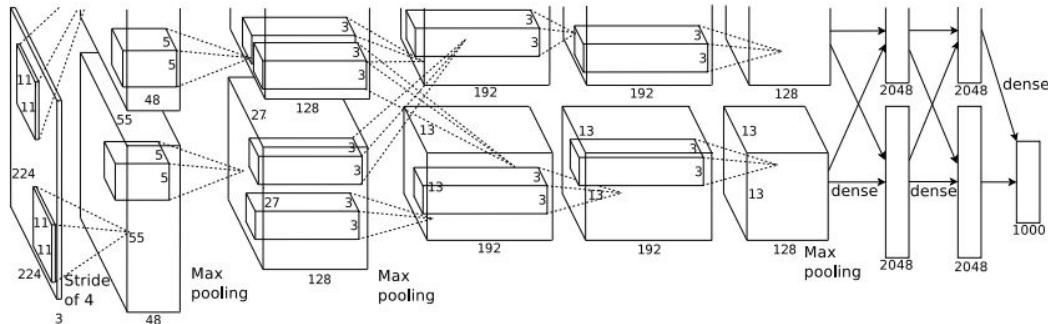
[13x13x256] CONV5: 256 3x3 filters at stride 1, pad 1

[6x6x256] MAX POOL3: 3x3 filters at stride 2

[4096] FC6: 4096 neurons

[4096] FC7: 4096 neurons

[1000] FC8: 1000 neurons (class scores)

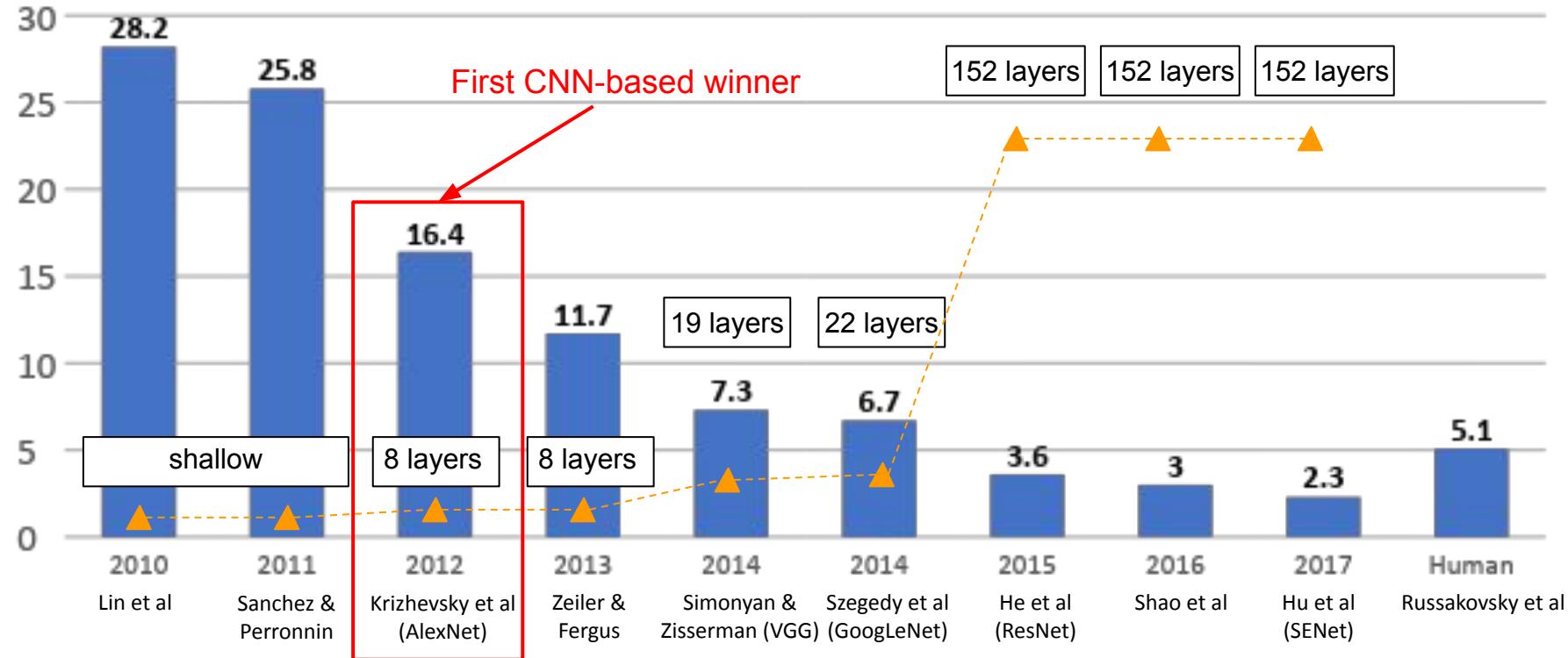


## Details/Retrospectives:

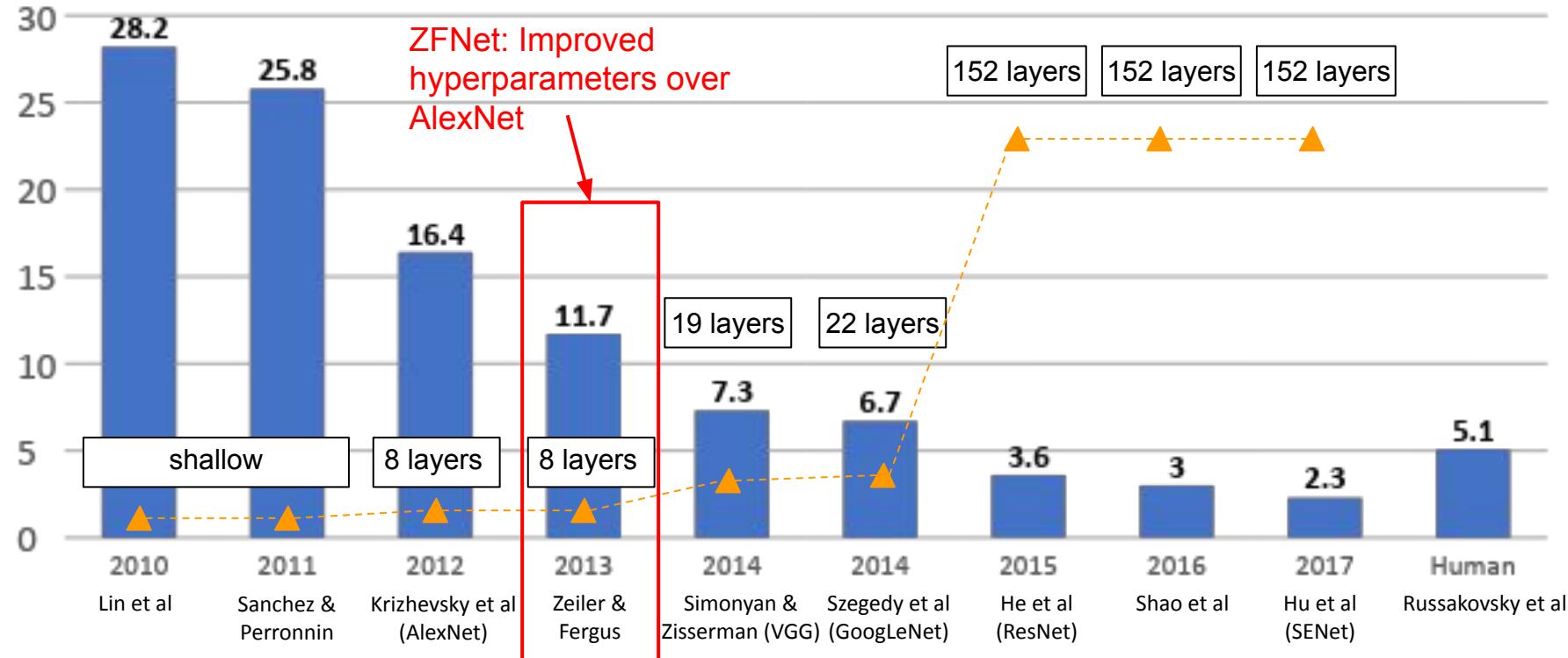
- first use of ReLU
- used LRN layers (not common anymore)
- heavy data augmentation
- dropout 0.5
- batch size 128
- SGD Momentum 0.9
- Learning rate 1e-2, reduced by 10 manually when val accuracy plateaus
- L2 weight decay 5e-4
- 7 CNN ensemble: 18.2% -> 15.4%

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# ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners

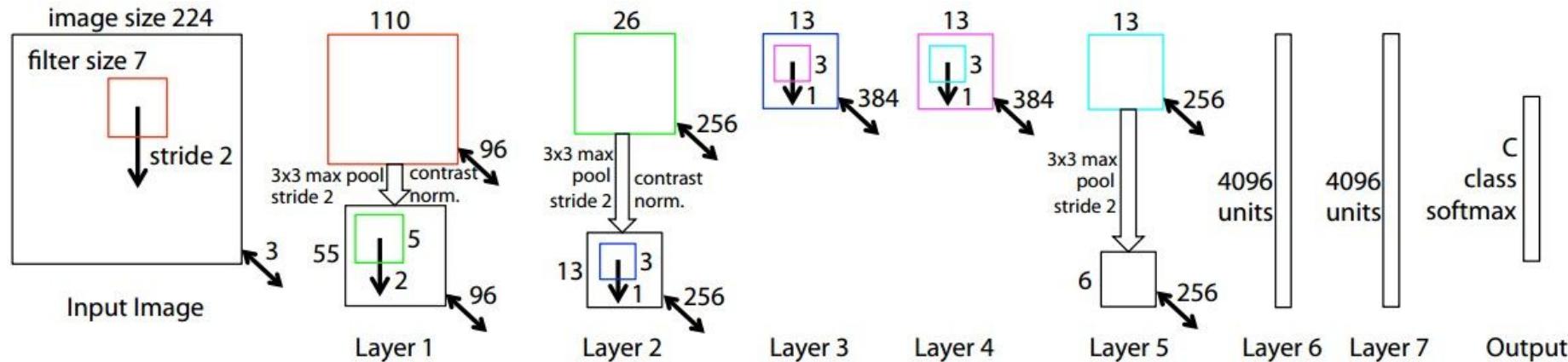


# ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners



# ZFNet

[Zeiler and Fergus, 2013]



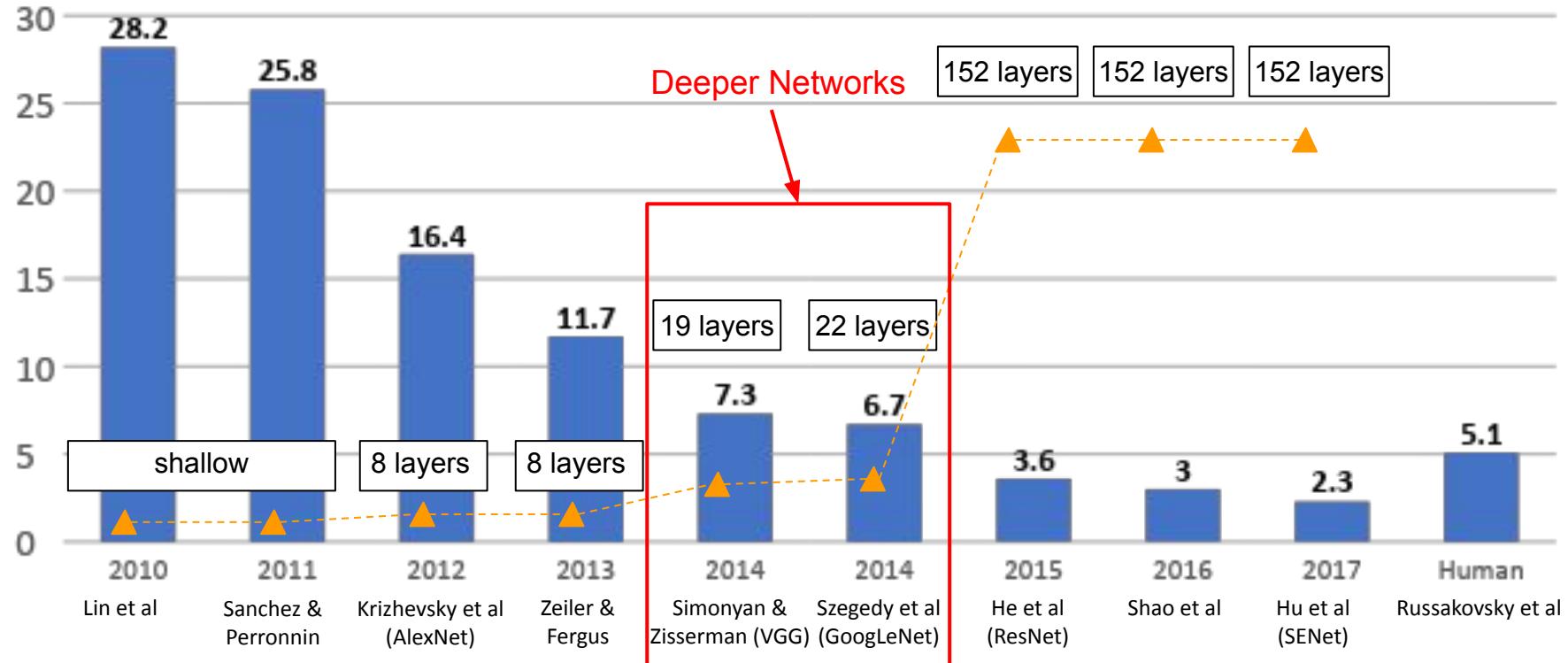
AlexNet but:

CONV1: change from (11x11 stride 4) to (7x7 stride 2)

CONV3,4,5: instead of 384, 384, 256 filters use 512, 1024, 512

ImageNet top 5 error: 16.4%  $\rightarrow$  11.7%

# ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners



# Case Study: VGGNet

[Simonyan and Zisserman, 2014]

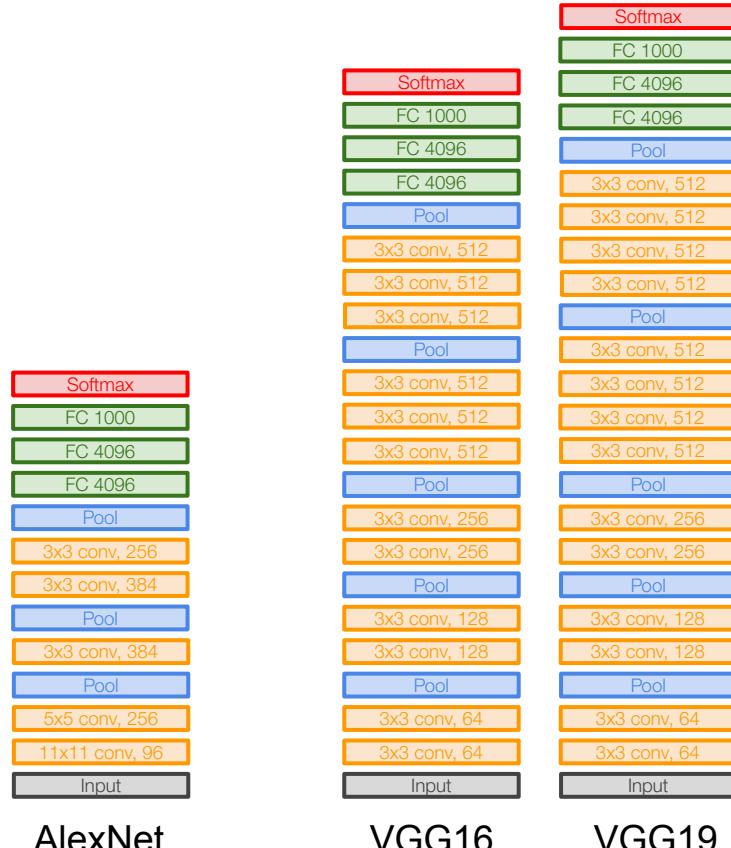
# Small filters, Deeper networks

## 8 layers (AlexNet)

-> 16 - 19 layers (VGG16Net)

Only 3x3 CONV stride 1, pad 1  
and 2x2 MAX POOL stride 2

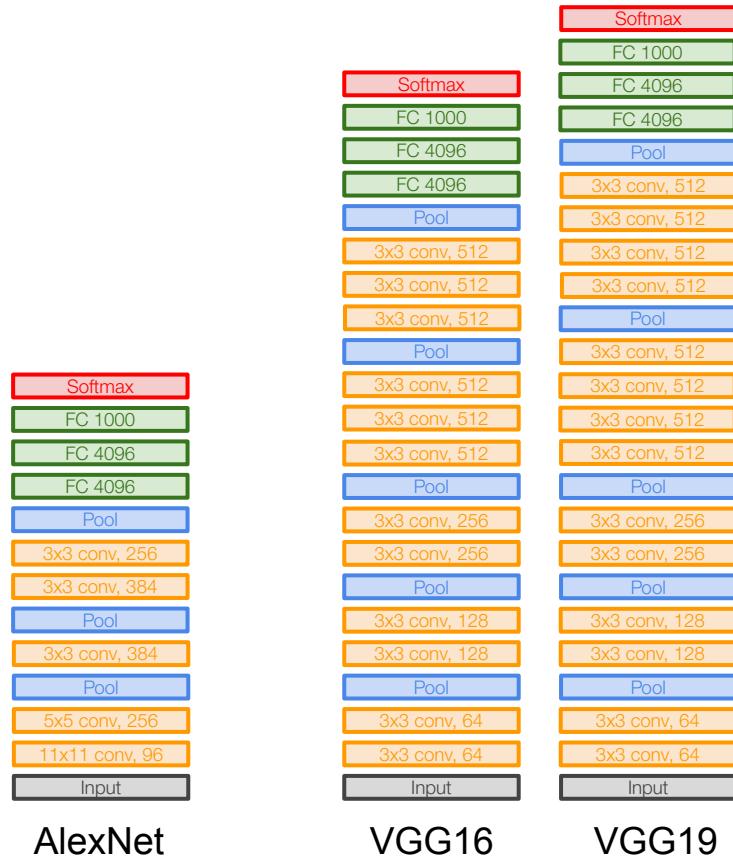
11.7% top 5 error in ILSVRC'13 (ZFNet)  
-> 7.3% top 5 error in ILSVRC'14



# Case Study: VGGNet

[Simonyan and Zisserman, 2014]

Q: Why use smaller filters? (3x3 conv)



AlexNet

VGG16

VGG19

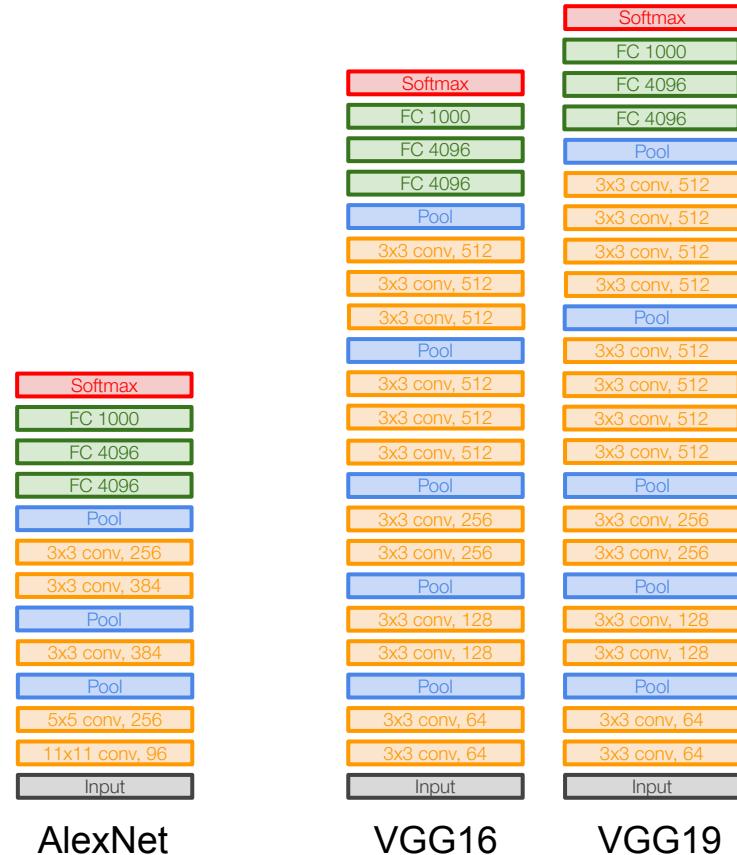
# Case Study: VGGNet

[Simonyan and Zisserman, 2014]

## Q: Why use smaller filters? (3x3 conv)

Stack of three  $3 \times 3$  conv (stride 1) layers  
has same **effective receptive field** as  
one  $7 \times 7$  conv layer

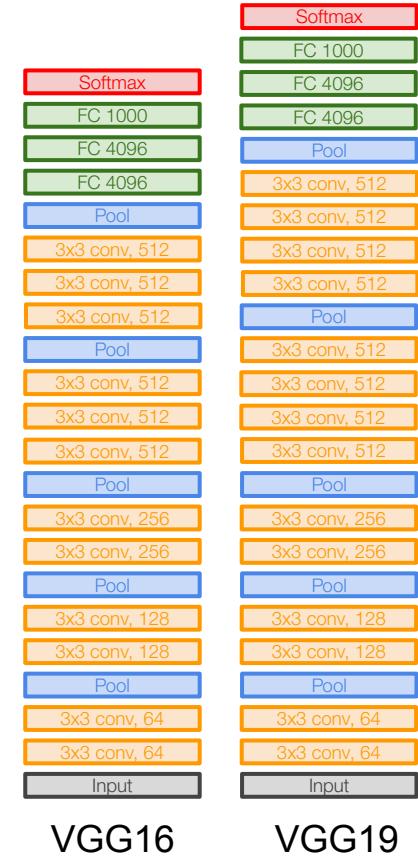
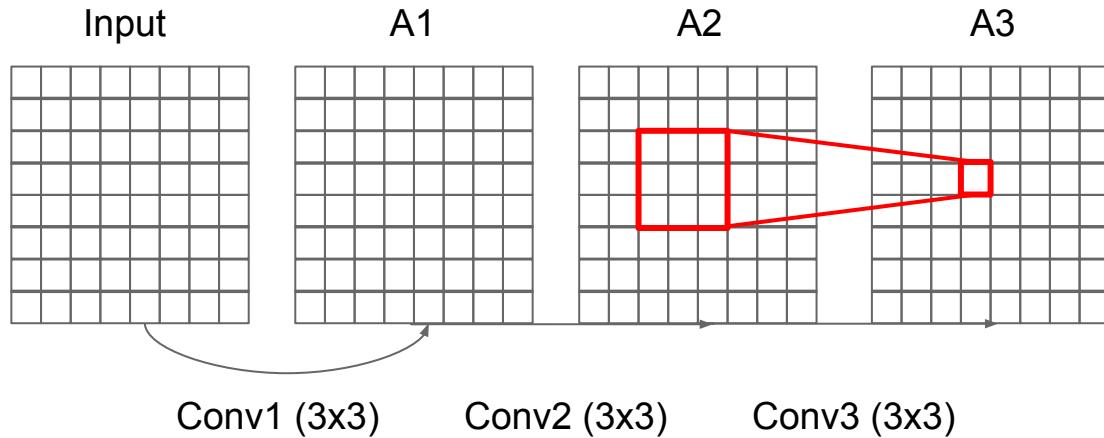
Q: What is the effective receptive field of three 3x3 conv (stride 1) layers?



# Case Study: VGGNet

[Simonyan and Zisserman, 2014]

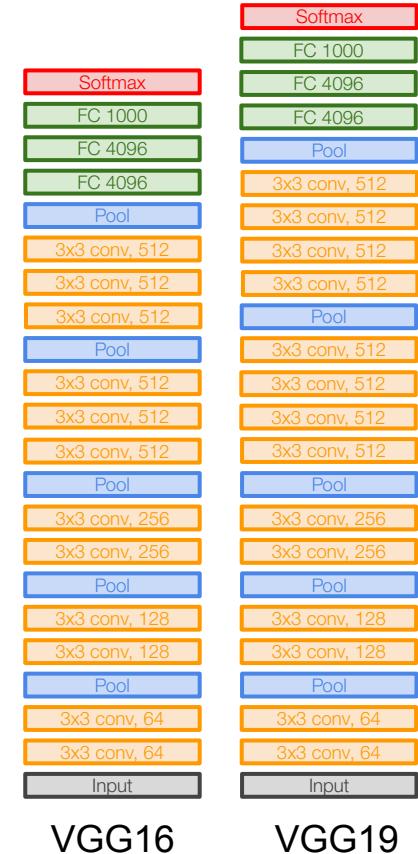
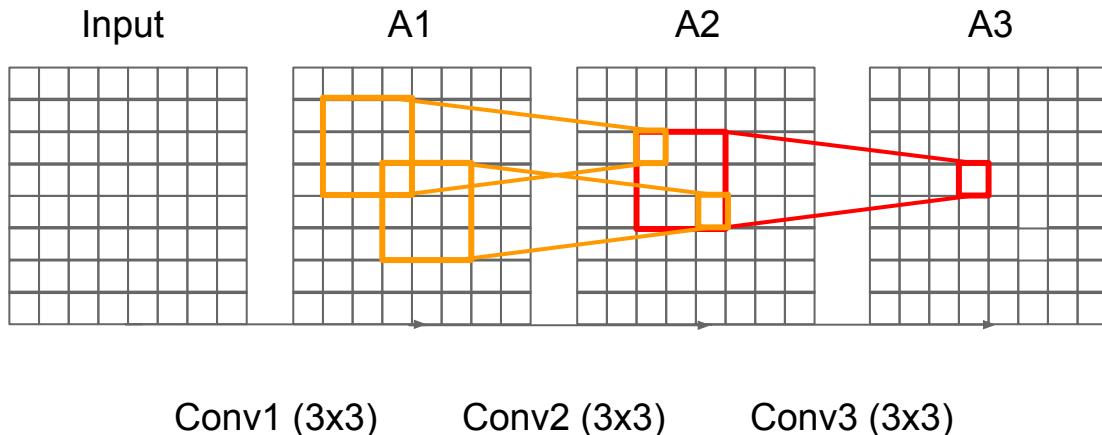
Q: What is the effective receptive field of three 3x3 conv (stride 1) layers?



# Case Study: VGGNet

[Simonyan and Zisserman, 2014]

Q: What is the effective receptive field of three 3x3 conv (stride 1) layers?



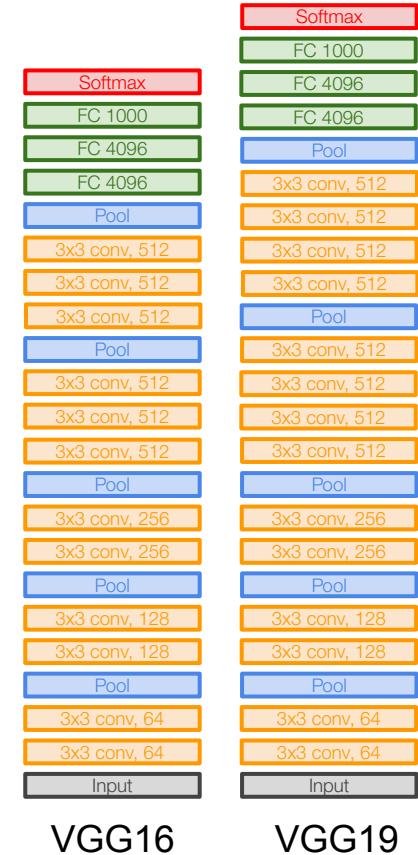
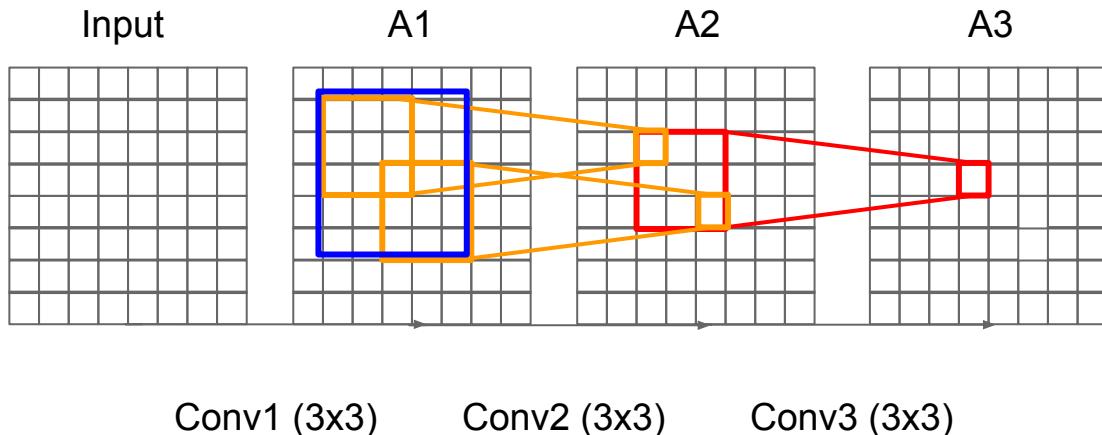
VGG16

VGG19

# Case Study: VGGNet

[Simonyan and Zisserman, 2014]

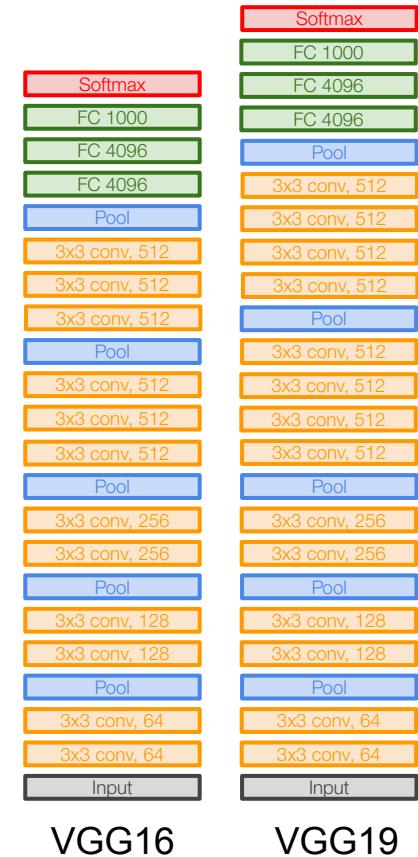
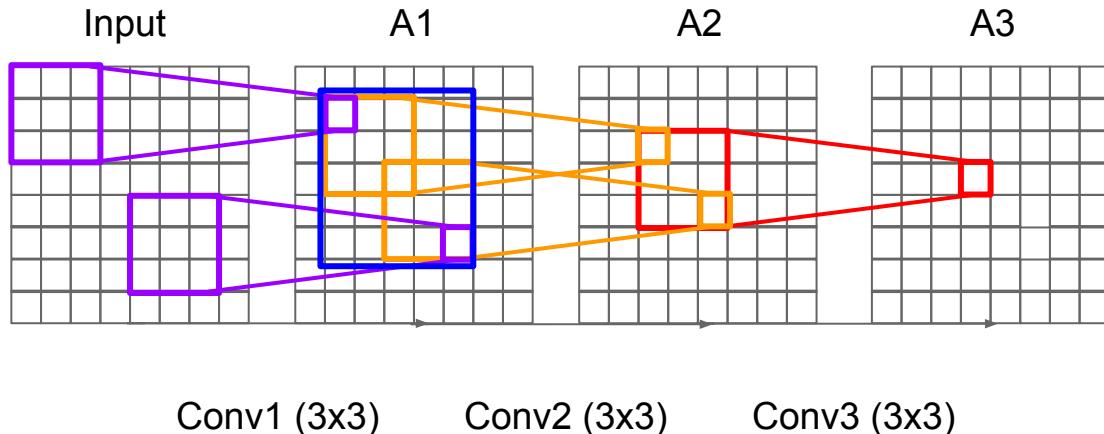
Q: What is the effective receptive field of three 3x3 conv (stride 1) layers?



# Case Study: VGGNet

[Simonyan and Zisserman, 2014]

Q: What is the effective receptive field of three 3x3 conv (stride 1) layers?



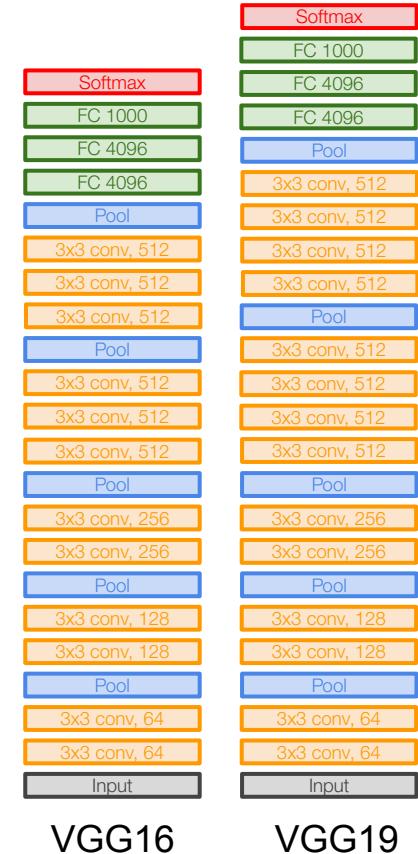
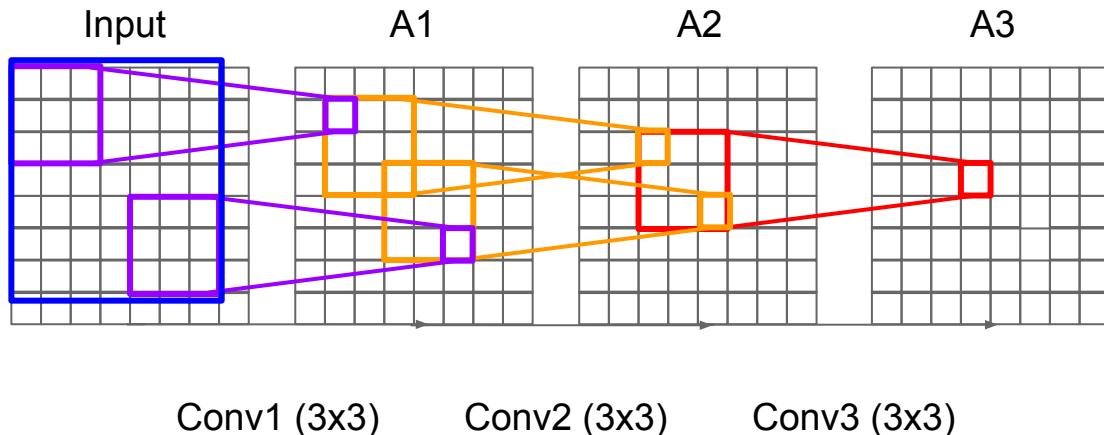
VGG16

VGG19

# Case Study: VGGNet

[Simonyan and Zisserman, 2014]

Q: What is the effective receptive field of three 3x3 conv (stride 1) layers?



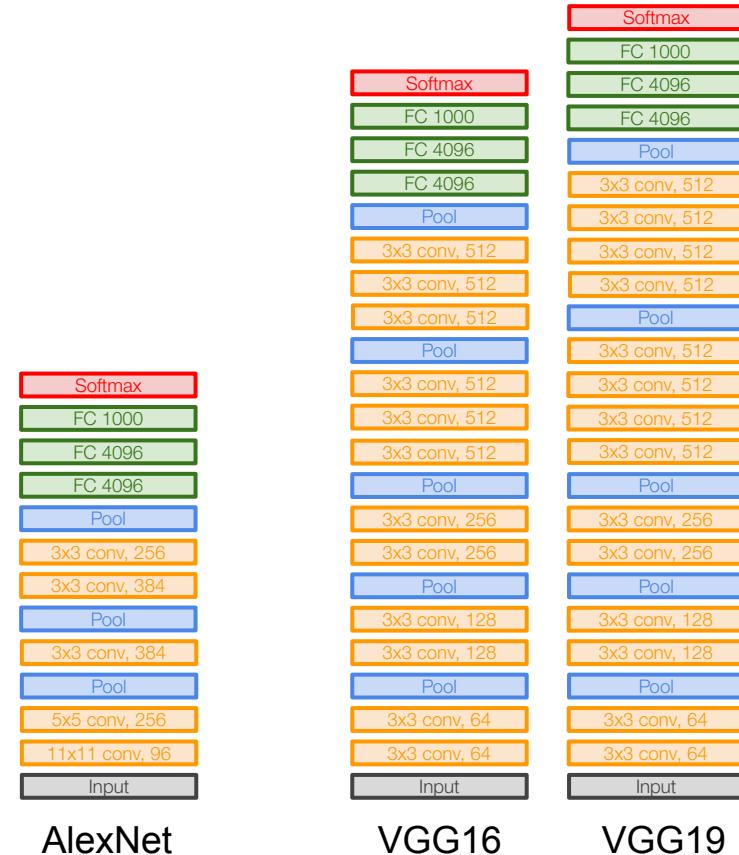
# Case Study: VGGNet

[Simonyan and Zisserman, 2014]

Q: Why use smaller filters? (3x3 conv)

Stack of three 3x3 conv (stride 1) layers  
has same **effective receptive field** as  
one 7x7 conv layer

[7x7]



AlexNet

VGG16

VGG19

# Case Study: VGGNet

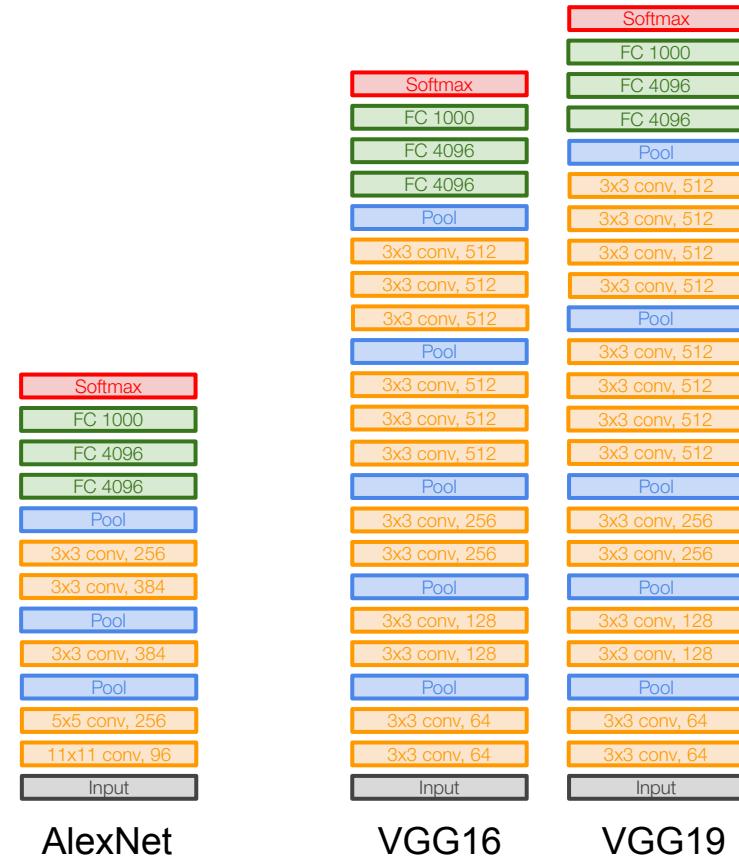
[Simonyan and Zisserman, 2014]

Q: Why use smaller filters? (3x3 conv)

Stack of three 3x3 conv (stride 1) layers  
has same **effective receptive field** as  
one 7x7 conv layer

But deeper, more non-linearities

And fewer parameters:  $3 * (3^2 C^2)$  vs.  
 $7^2 C^2$  for  $C$  channels per layer



AlexNet

VGG16

VGG19

INPUT: [224x224x3] memory: 224\*224\*3=150K params: 0 (not counting biases)

CONV3-64: [224x224x64] memory: 224\*224\*64=3.2M params: (3\*3\*3)\*64 = 1,728

CONV3-64: [224x224x64] memory: 224\*224\*64=3.2M params: (3\*3\*64)\*64 = 36,864

POOL2: [112x112x64] memory: 112\*112\*64=800K params: 0

CONV3-128: [112x112x128] memory: 112\*112\*128=1.6M params: (3\*3\*64)\*128 = 73,728

CONV3-128: [112x112x128] memory: 112\*112\*128=1.6M params: (3\*3\*128)\*128 = 147,456

POOL2: [56x56x128] memory: 56\*56\*128=400K params: 0

CONV3-256: [56x56x256] memory: 56\*56\*256=800K params: (3\*3\*128)\*256 = 294,912

CONV3-256: [56x56x256] memory: 56\*56\*256=800K params: (3\*3\*256)\*256 = 589,824

CONV3-256: [56x56x256] memory: 56\*56\*256=800K params: (3\*3\*256)\*256 = 589,824

POOL2: [28x28x256] memory: 28\*28\*256=200K params: 0

CONV3-512: [28x28x512] memory: 28\*28\*512=400K params: (3\*3\*256)\*512 = 1,179,648

CONV3-512: [28x28x512] memory: 28\*28\*512=400K params: (3\*3\*512)\*512 = 2,359,296

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POOL2: [14x14x512] memory: 14\*14\*512=100K params: 0

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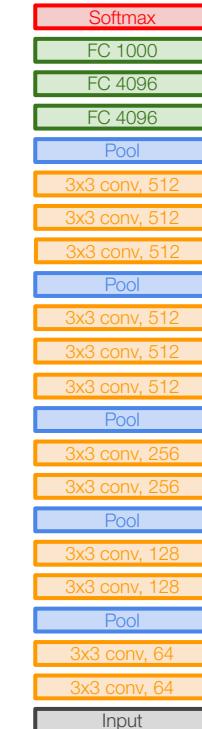
CONV3-512: [14x14x512] memory: 14\*14\*512=100K params: (3\*3\*512)\*512 = 2,359,296

POOL2: [7x7x512] memory: 7\*7\*512=25K params: 0

FC: [1x1x4096] memory: 4096 params: 7\*7\*512\*4096 = 102,760,448

FC: [1x1x4096] memory: 4096 params: 4096\*4096 = 16,777,216

FC: [1x1x1000] memory: 1000 params: 4096\*1000 = 4,096,000



VGG16

INPUT: [224x224x3] memory: 224\*224\*3=150K params: 0 (not counting biases)

CONV3-64: [224x224x64] memory: 224\*224\*64=3.2M params: (3\*3\*3)\*64 = 1,728

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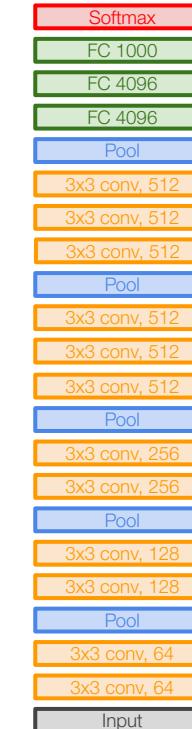
FC: [1x1x4096] memory: 4096 params: 7\*7\*512\*4096 = 102,760,448

FC: [1x1x4096] memory: 4096 params: 4096\*4096 = 16,777,216

FC: [1x1x1000] memory: 1000 params: 4096\*1000 = 4,096,000

**TOTAL** memory: 24M \* 4 bytes ~= 96MB / image (for a forward pass)

**TOTAL** params: 138M parameters



VGG16

INPUT: [224x224x3] memory: 224\*224\*3=150K params: 0 (not counting biases)

CONV3-64: [224x224x64] memory: **224\*224\*64=3.2M** params:  $(3*3*3)*64 = 1,728$

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POOL2: [7x7x512] memory: 7\*7\*512=25K params: 0

FC: [1x1x4096] memory: 4096 params:  $7*7*512*4096 = \textcolor{red}{102,760,448}$

FC: [1x1x4096] memory: 4096 params:  $4096*4096 = 16,777,216$

FC: [1x1x1000] memory: 1000 params:  $4096*1000 = 4,096,000$

Note:

Most memory is in early CONV

Most params are in late FC

**TOTAL memory: 24M \* 4 bytes ~ 96MB / image (only forward! ~\*2 for bwd)**

**TOTAL params: 138M parameters**

INPUT: [224x224x3] memory:  $224 \times 224 \times 3 = 150K$  params: 0 (not counting biases)

CONV3-64: [224x224x64] memory:  $224 \times 224 \times 64 = 3.2M$  params:  $(3 \times 3 \times 3) \times 64 = 1,728$

CONV3-64: [224x224x64] memory:  $224 \times 224 \times 64 = 3.2M$  params:  $(3 \times 3 \times 64) \times 64 = 36,864$

POOL2: [112x112x64] memory:  $112 \times 112 \times 64 = 800K$  params: 0

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POOL2: [56x56x128] memory:  $56 \times 56 \times 128 = 400K$  params: 0

CONV3-256: [56x56x256] memory:  $56 \times 56 \times 256 = 800K$  params:  $(3 \times 3 \times 128) \times 256 = 294,912$

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POOL2: [14x14x512] memory:  $14 \times 14 \times 512 = 100K$  params: 0

CONV3-512: [14x14x512] memory:  $14 \times 14 \times 512 = 100K$  params:  $(3 \times 3 \times 512) \times 512 = 2,359,296$

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FC: [1x1x4096] memory: 4096 params:  $7 \times 7 \times 512 \times 4096 = 102,760,448$

FC: [1x1x4096] memory: 4096 params:  $4096 \times 4096 = 16,777,216$

FC: [1x1x1000] memory: 1000 params:  $4096 \times 1000 = 4,096,000$

TOTAL memory:  $24M \times 4 \text{ bytes} \approx 96\text{MB} / \text{image}$  (only forward!  $\sim 2$  for bwd)

TOTAL params: 138M parameters



VGG16

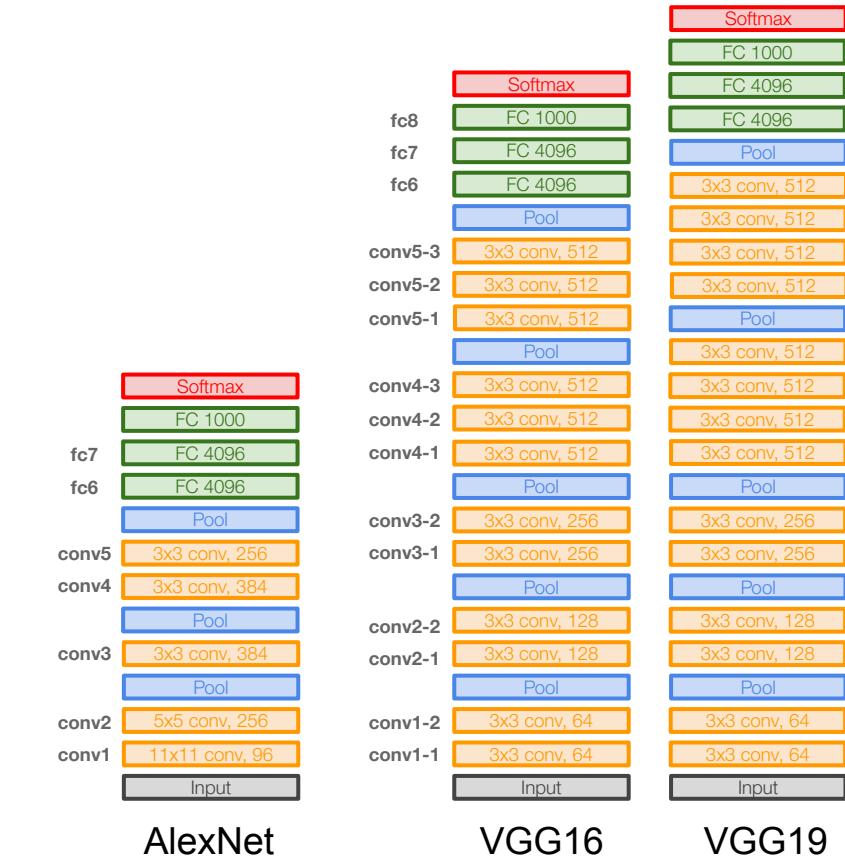
Common names

# Case Study: VGGNet

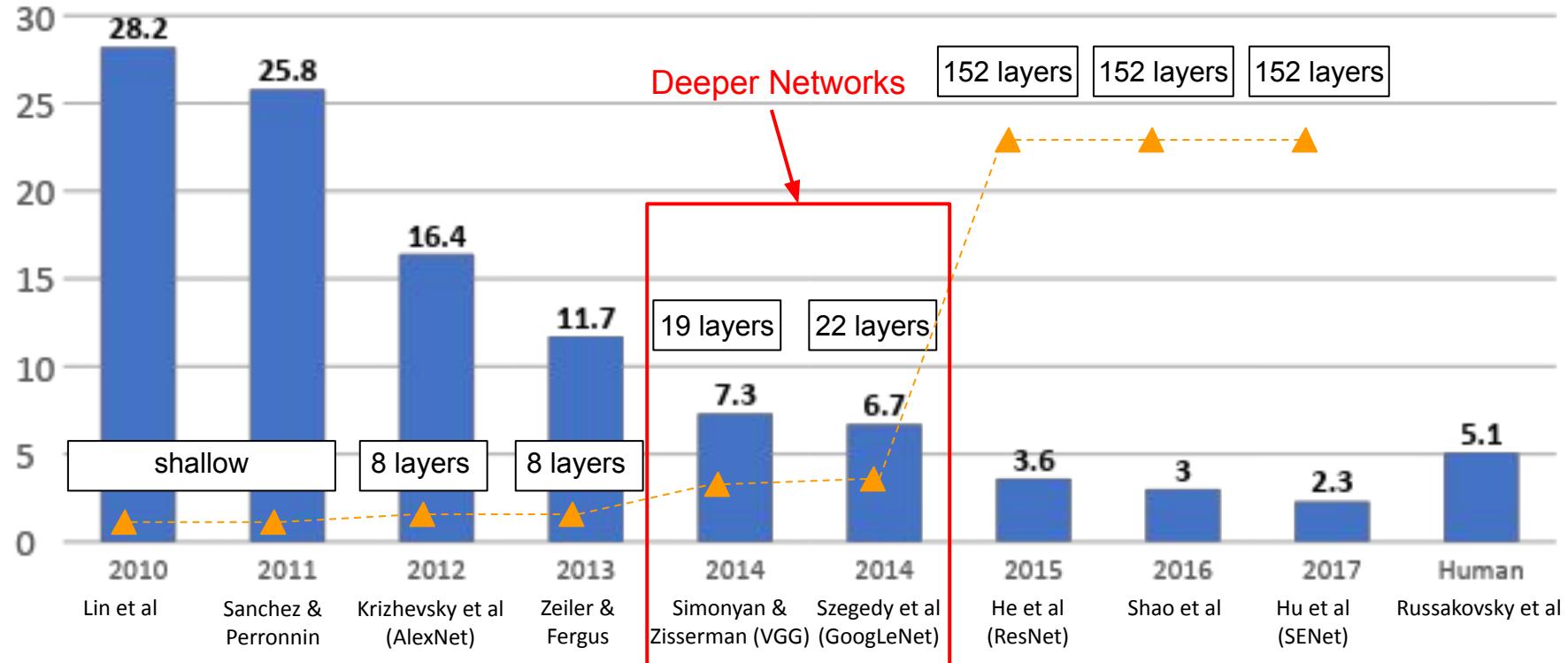
[Simonyan and Zisserman, 2014]

## Details:

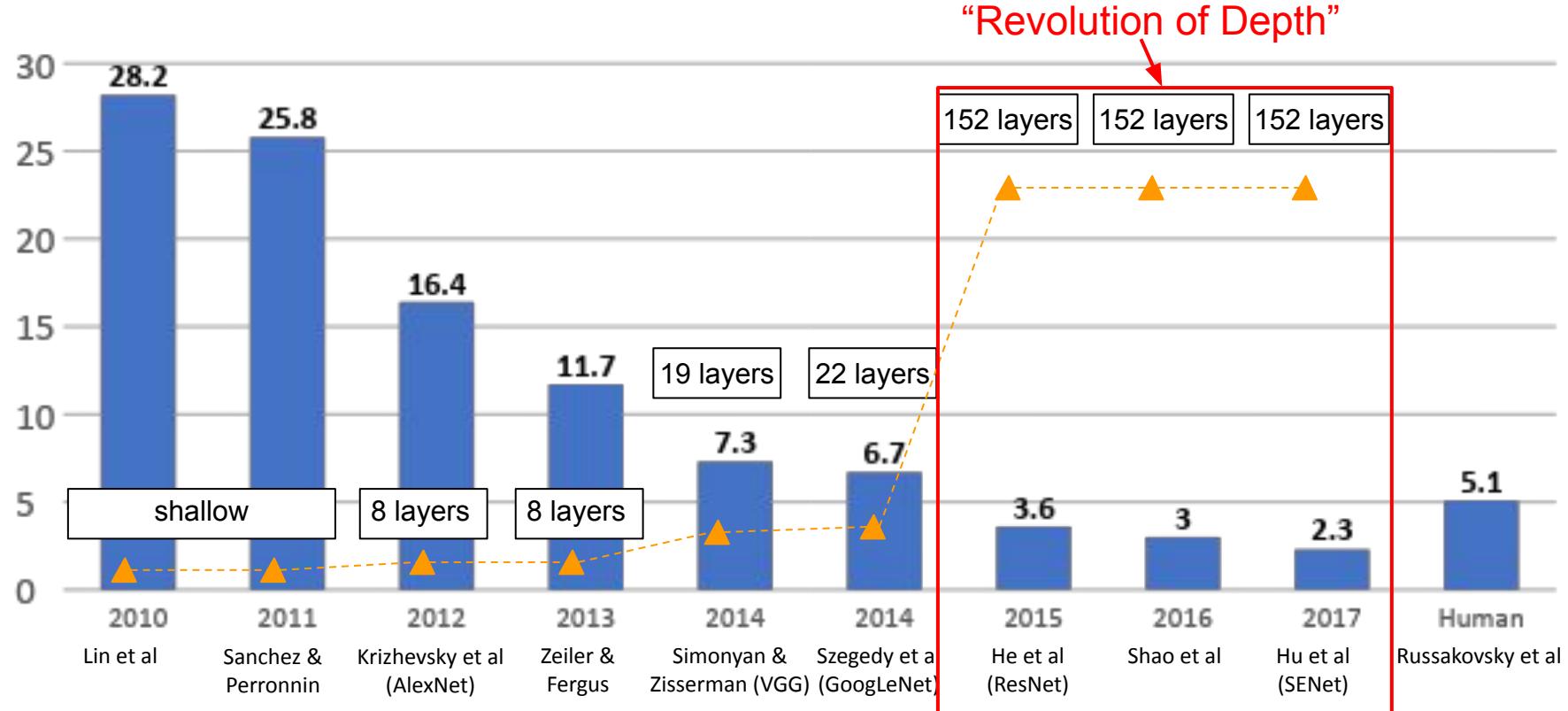
- ILSVRC'14 2nd in classification, 1st in localization
- Similar training procedure as Krizhevsky 2012
- No Local Response Normalisation (LRN)
- Use VGG16 or VGG19 (VGG19 only slightly better, more memory)
- Use ensembles for best results
- FC7 features generalize well to other tasks



# ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners



# ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners

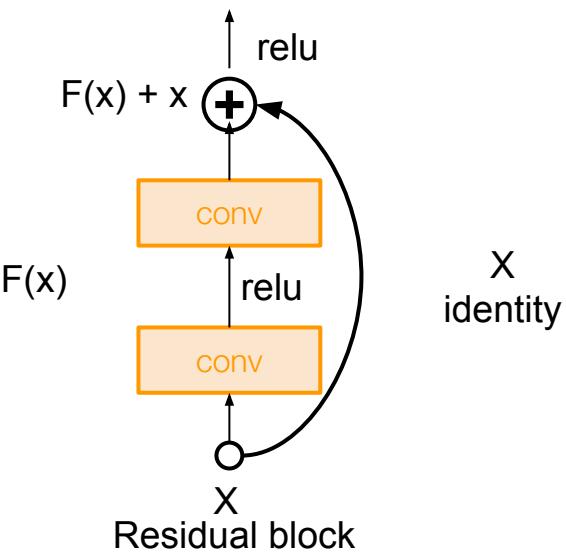


# Case Study: ResNet

[He et al., 2015]

Very deep networks using residual connections

- 152-layer model for ImageNet
- ILSVRC'15 classification winner (3.57% top 5 error)
- Swept all classification and detection competitions in ILSVRC'15 and COCO'15!



# Case Study: ResNet

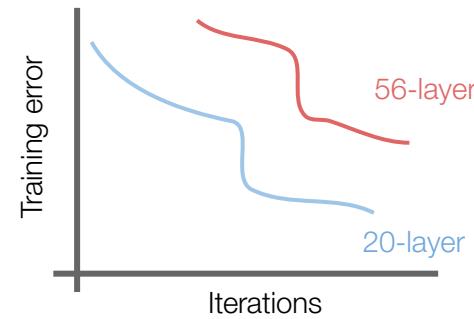
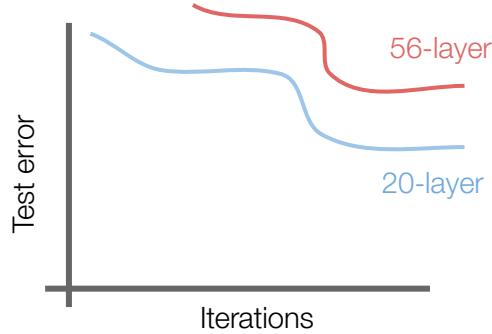
[He et al., 2015]

What happens when we continue stacking deeper layers on a “plain” convolutional neural network?

# Case Study: ResNet

[He et al., 2015]

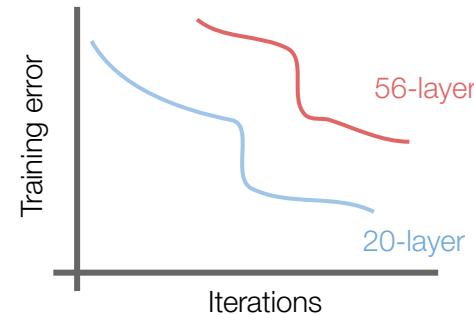
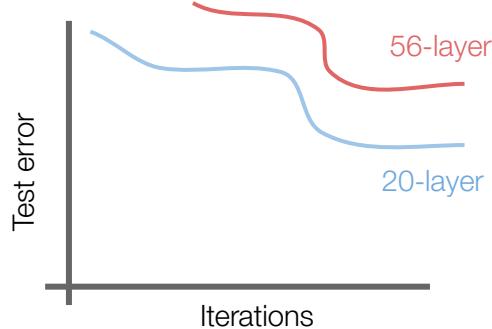
What happens when we continue stacking deeper layers on a “plain” convolutional neural network?



# Case Study: ResNet

[He et al., 2015]

What happens when we continue stacking deeper layers on a “plain” convolutional neural network?



56-layer model performs worse on both test and training error  
-> The deeper model performs worse, but it's not caused by overfitting!

# Case Study: ResNet

[He et al., 2015]

Fact: Deep models have more representation power  
(more parameters) than shallower models.

Hypothesis: the problem is an *optimization* problem,  
**deeper models are harder to optimize**

# Case Study: ResNet

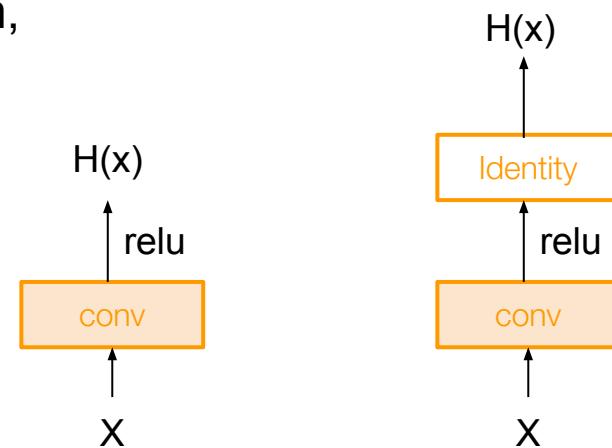
[He et al., 2015]

Fact: Deep models have more representation power (more parameters) than shallower models.

Hypothesis: the problem is an *optimization* problem, deeper models are harder to optimize

What should the deeper model learn to be at least as good as the shallower model?

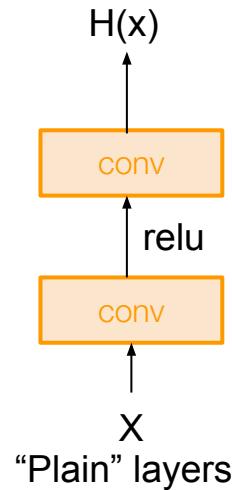
A solution by construction is copying the learned layers from the shallower model and setting additional layers to identity mapping.



# Case Study: ResNet

[He et al., 2015]

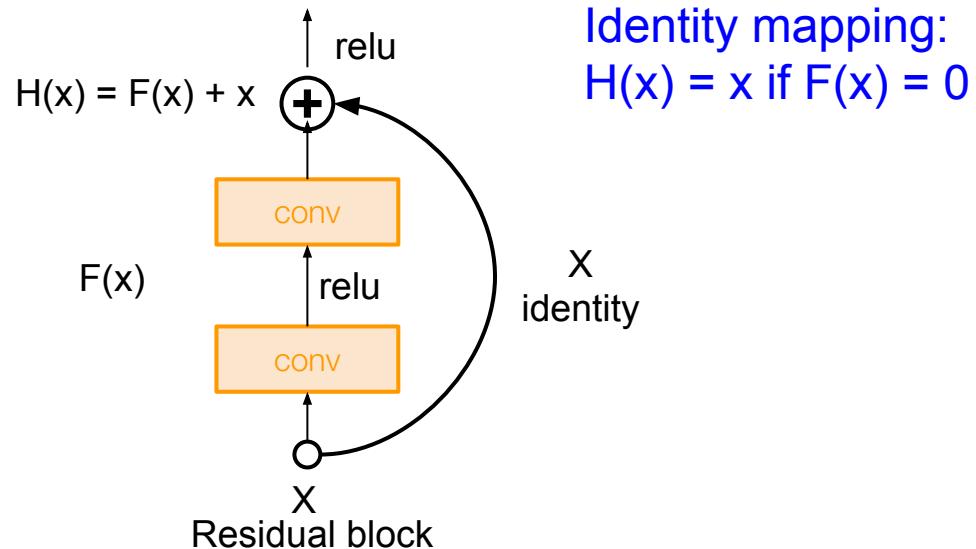
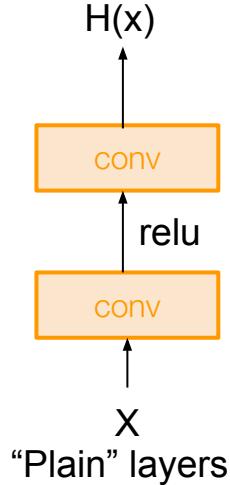
Solution: Use network layers to fit a residual mapping instead of directly trying to fit a desired underlying mapping



# Case Study: ResNet

[He et al., 2015]

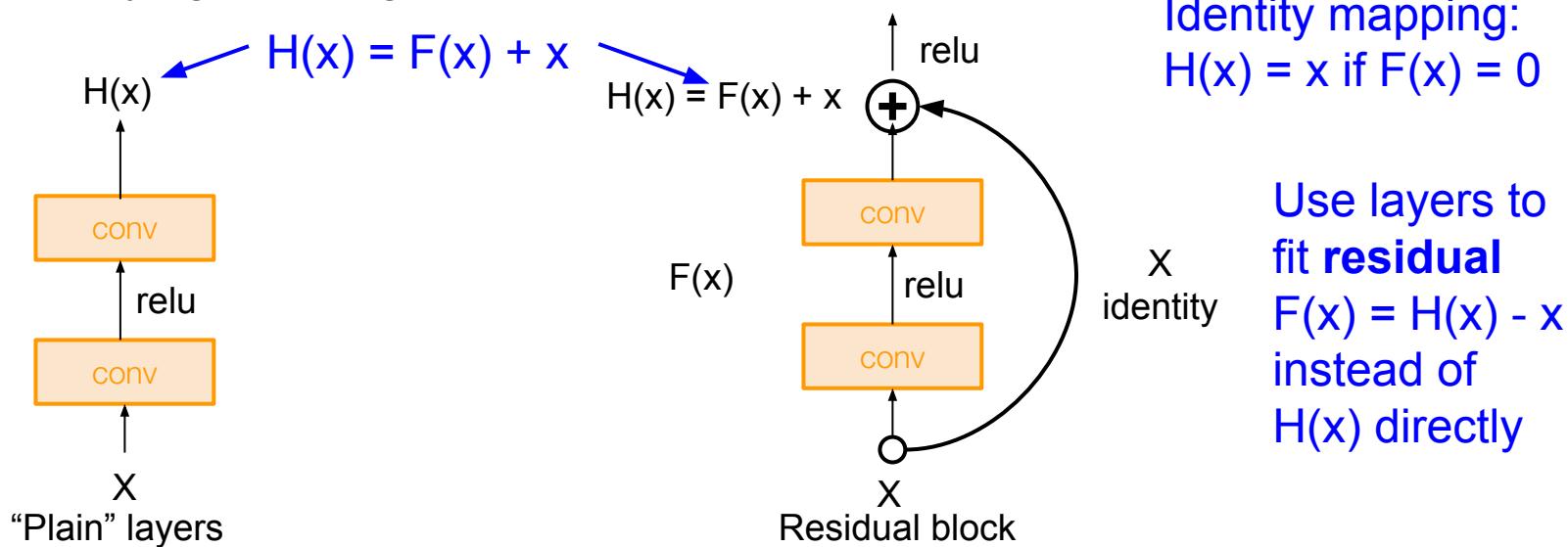
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# Case Study: ResNet

[He et al., 2015]

Solution: Use network layers to fit a residual mapping instead of directly trying to fit a desired underlying mapping

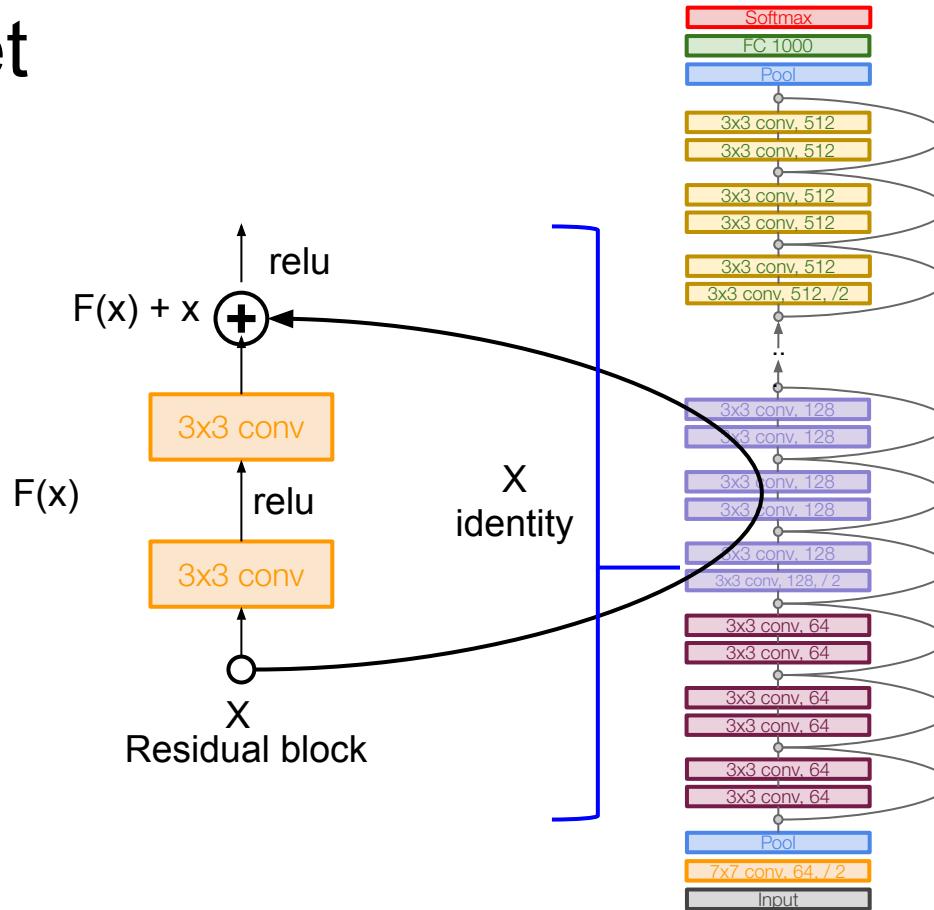


# Case Study: ResNet

[He et al., 2015]

Full ResNet architecture:

- Stack residual blocks
- Every residual block has two 3x3 conv layers

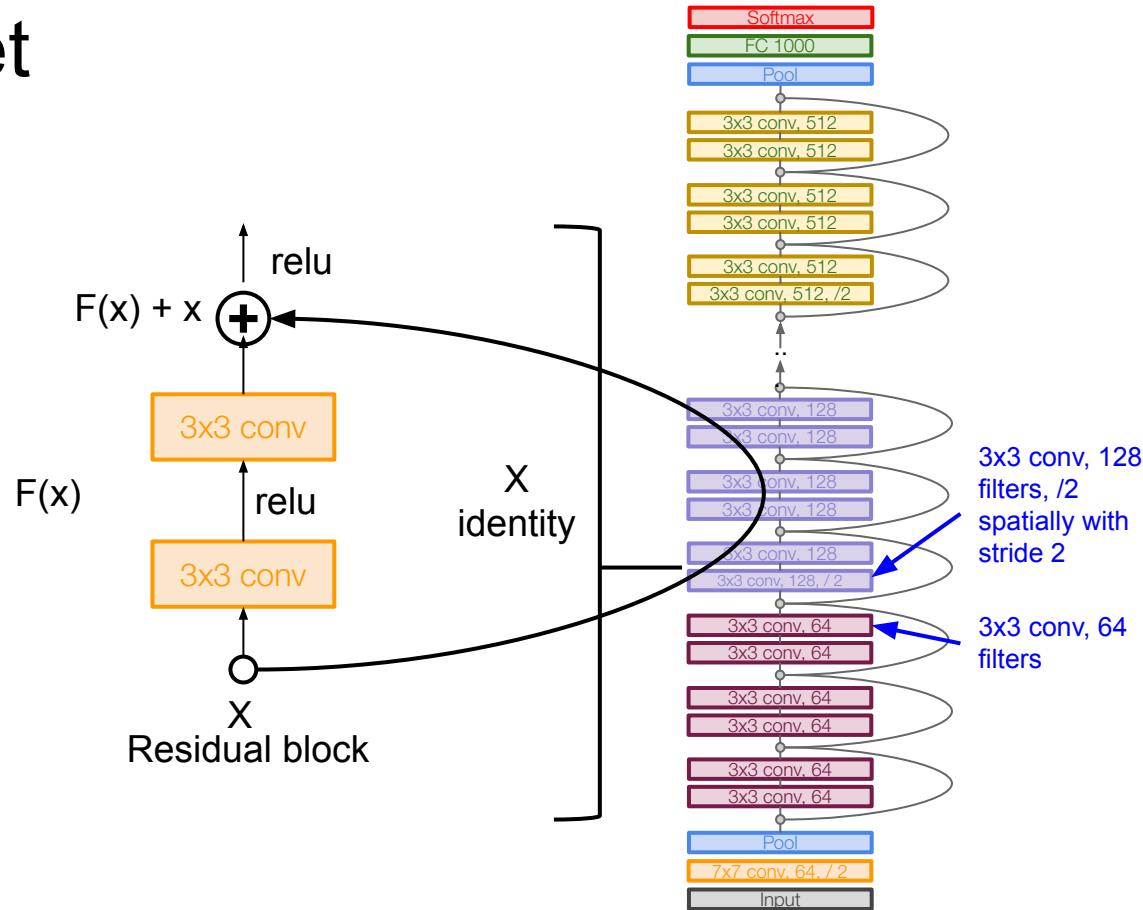


# Case Study: ResNet

[He et al., 2015]

Full ResNet architecture:

- Stack residual blocks
- Every residual block has two 3x3 conv layers
- Periodically, double # of filters and downsample spatially using stride 2 (/2 in each dimension)  
Reduce the activation volume by half.

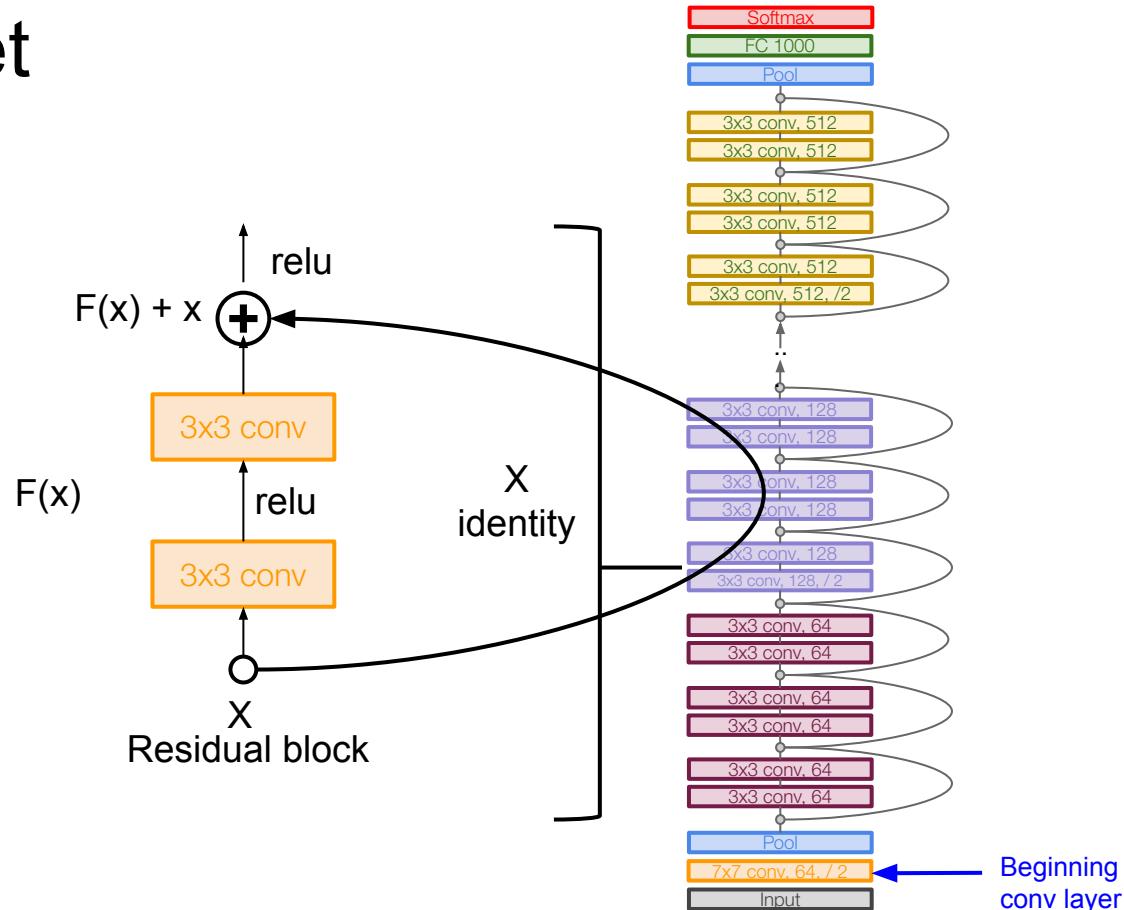


# Case Study: ResNet

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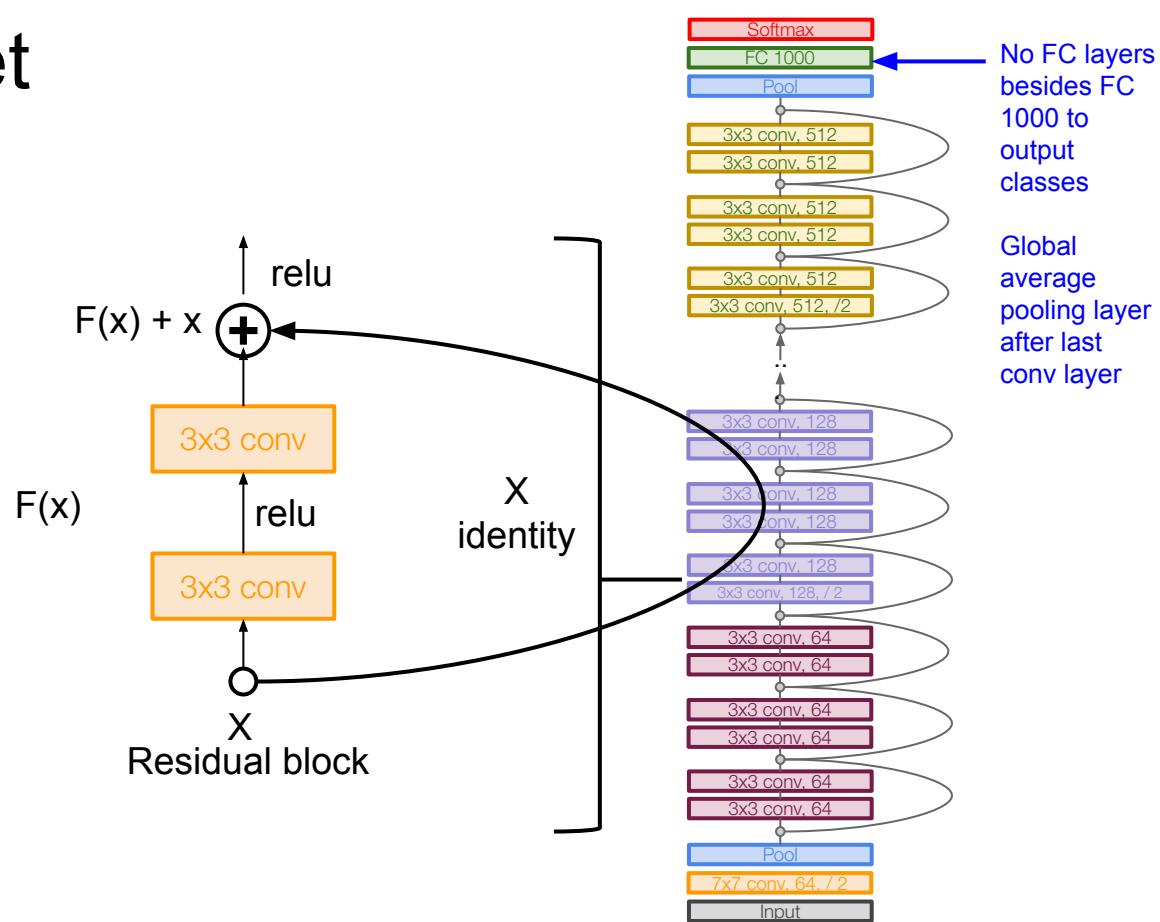


# Case Study: ResNet

[He et al., 2015]

Full ResNet architecture:

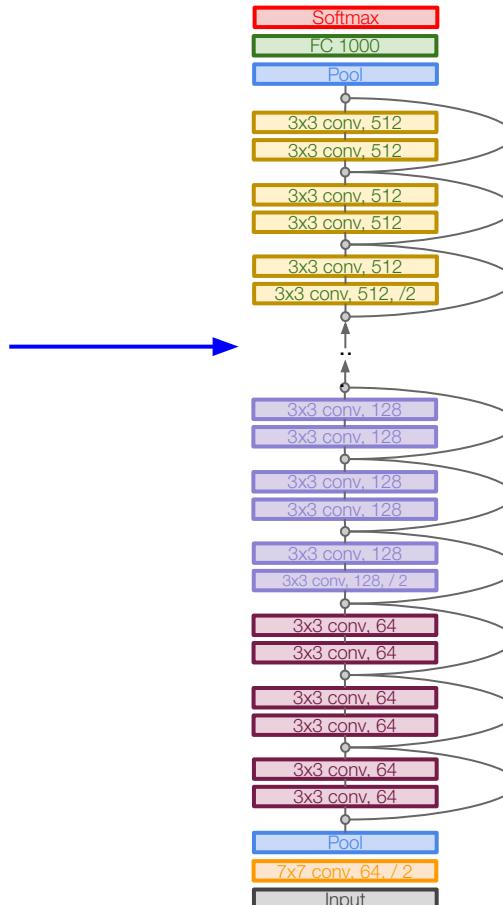
- Stack residual blocks
- Every residual block has two 3x3 conv layers
- Periodically, double # of filters and downsample spatially using stride 2 (/2 in each dimension)
- Additional conv layer at the beginning (stem)
- No FC layers at the end (only FC 1000 to output classes)
- (In theory, you can train a ResNet with input image of variable sizes)



# Case Study: ResNet

[He et al., 2015]

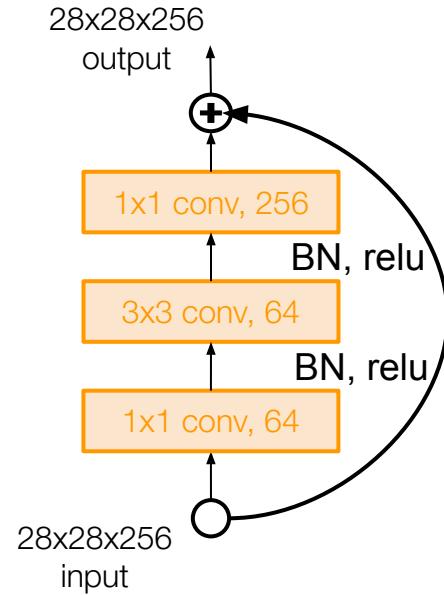
Total depths of 18, 34, 50,  
101, or 152 layers for  
ImageNet



# Case Study: ResNet

[He et al., 2015]

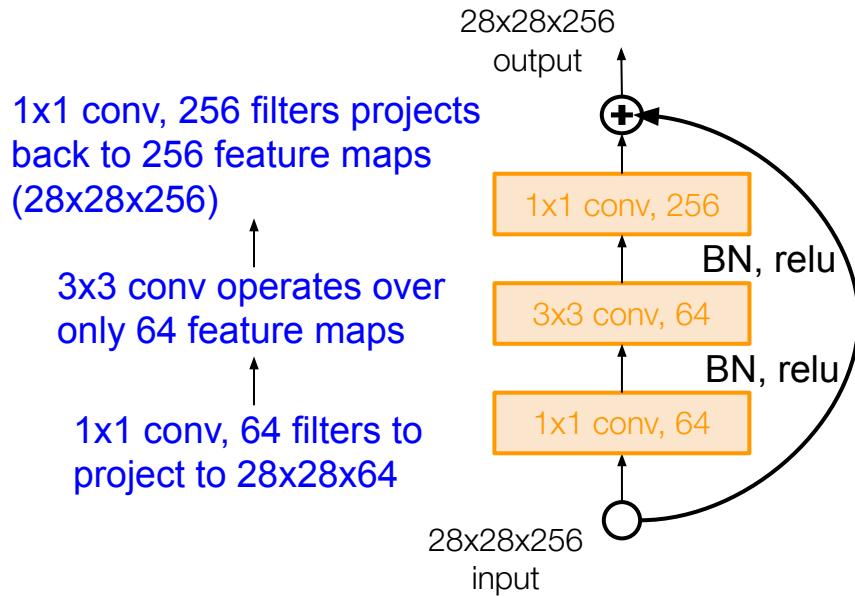
For deeper networks  
(ResNet-50+), use “bottleneck”  
layer to improve efficiency  
(similar to GoogLeNet)



# Case Study: ResNet

[He et al., 2015]

For deeper networks  
(ResNet-50+), use “bottleneck”  
layer to improve efficiency  
(similar to GoogLeNet)



# Case Study: ResNet

[He et al., 2015]

Training ResNet in practice:

- Batch Normalization after every CONV layer
- Xavier initialization from He et al.
- SGD + Momentum (0.9)
- Learning rate: 0.1, divided by 10 when validation error plateaus
- Mini-batch size 256
- Weight decay of 1e-5
- No dropout used

# Case Study: ResNet

[He et al., 2015]

## Experimental Results

- Able to train very deep networks without degrading (152 layers on ImageNet, 1202 on Cifar)
- Deeper networks now achieve lower training error as expected
- Swept 1st place in all ILSVRC and COCO 2015 competitions

### MSRA @ ILSVRC & COCO 2015 Competitions

- **1st places** in all five main tracks
  - ImageNet Classification: “Ultra-deep” (quote Yann) **152-layer** nets
  - ImageNet Detection: **16%** better than 2nd
  - ImageNet Localization: **27%** better than 2nd
  - COCO Detection: **11%** better than 2nd
  - COCO Segmentation: **12%** better than 2nd

# Case Study: ResNet

[He et al., 2015]

## Experimental Results

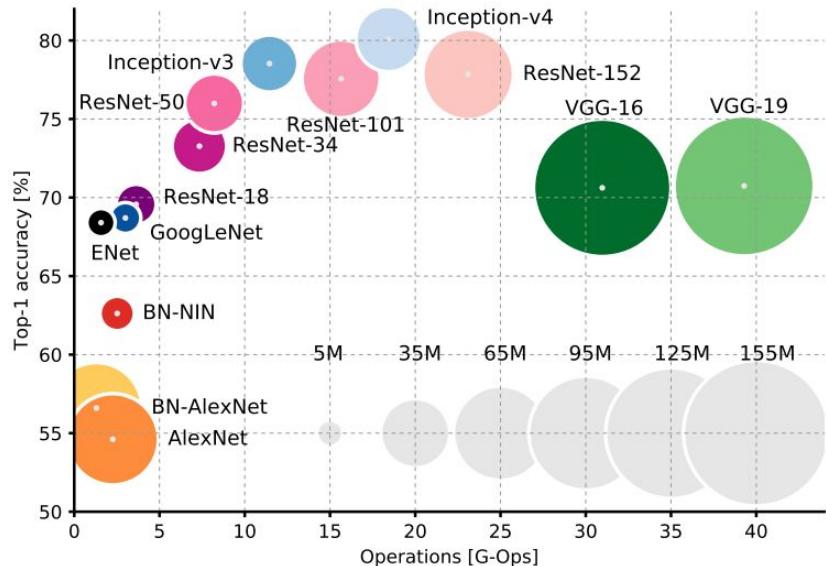
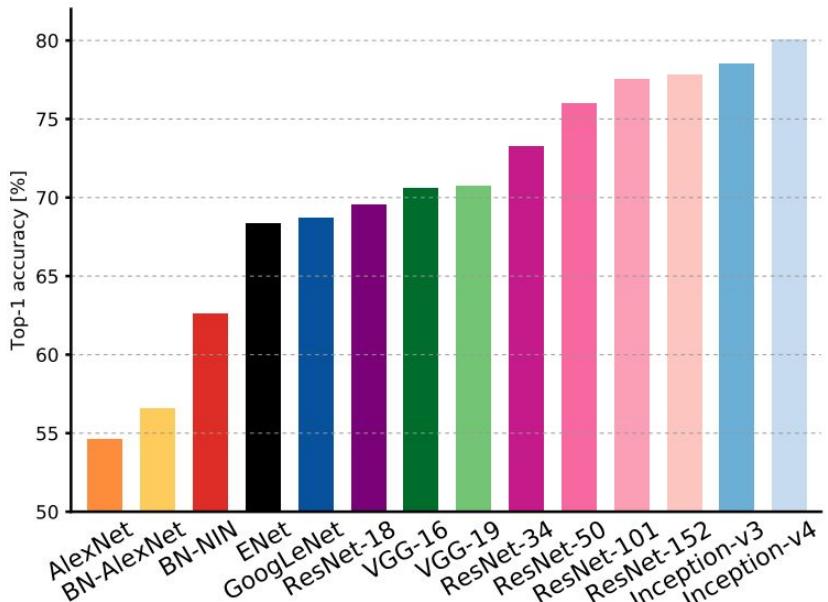
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  - COCO Detection: 11% better than 2nd
  - COCO Segmentation: 12% better than 2nd

ILSVRC 2015 classification winner (3.6% top 5 error) -- better than “human performance”! (Russakovsky 2014)

# Comparing complexity...

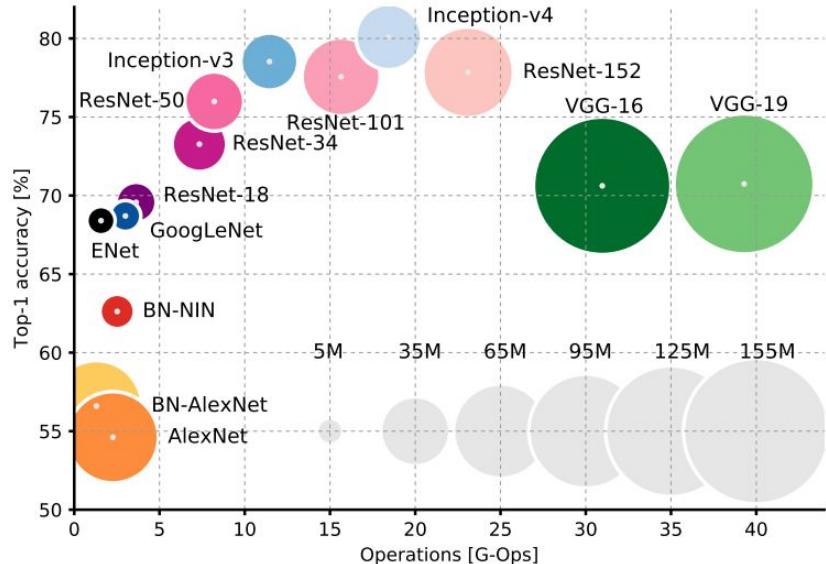
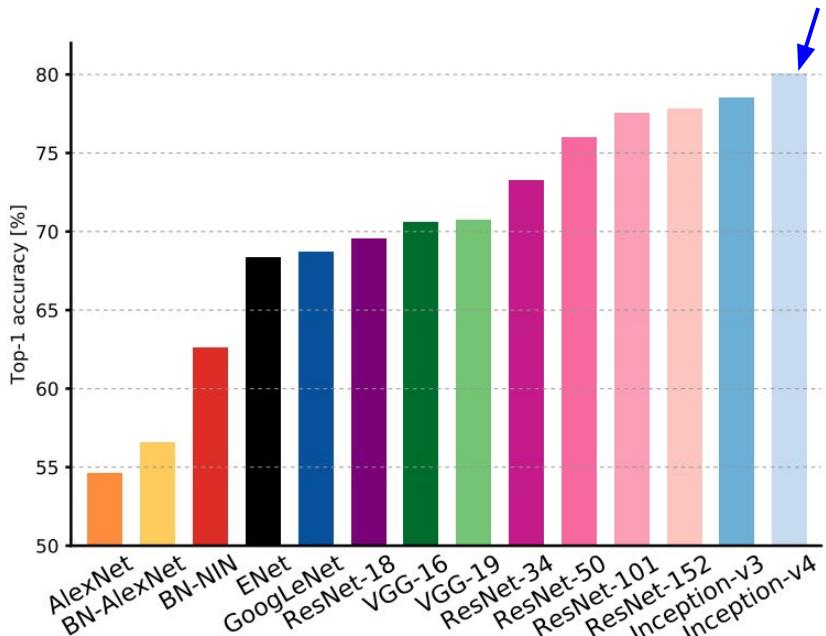


An Analysis of Deep Neural Network Models for Practical Applications, 2017.

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# Comparing complexity...

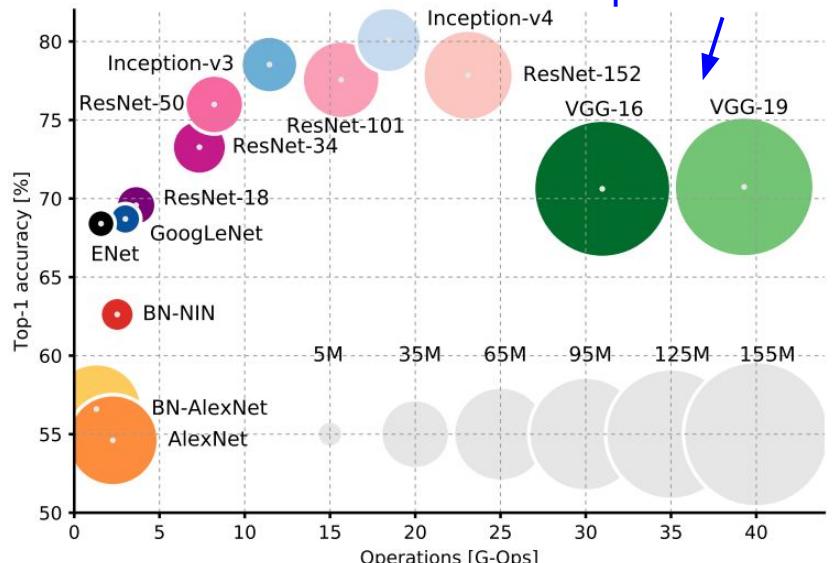
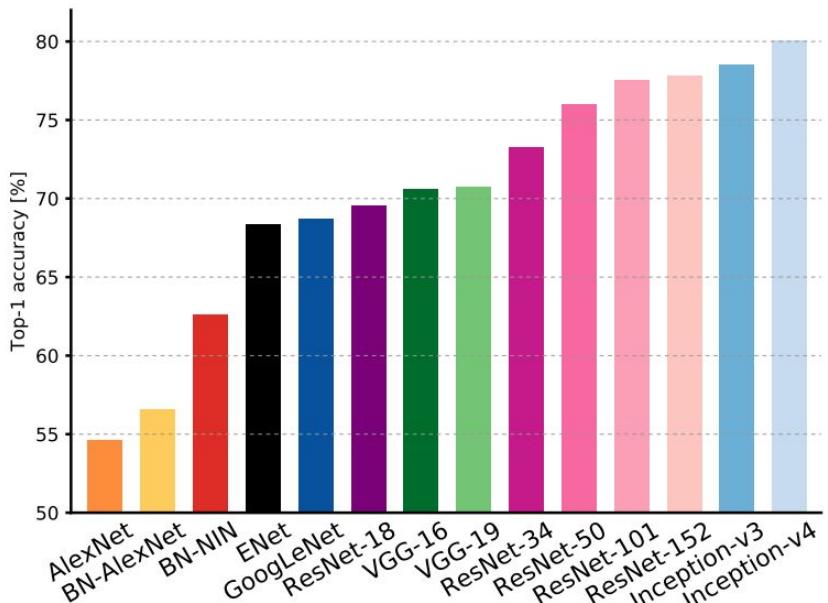
Inception-v4: Resnet + Inception!



An Analysis of Deep Neural Network Models for Practical Applications, 2017.

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# Comparing complexity...

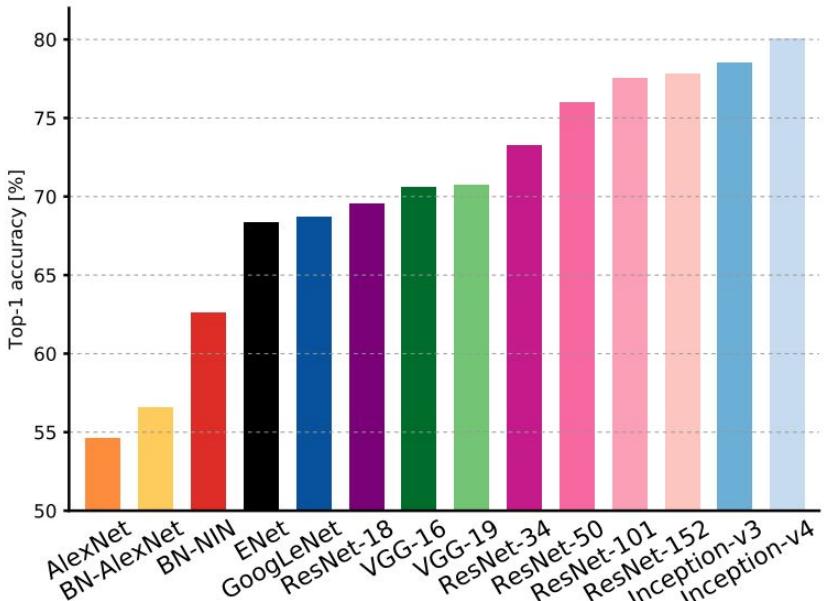


VGG: most parameters, most operations

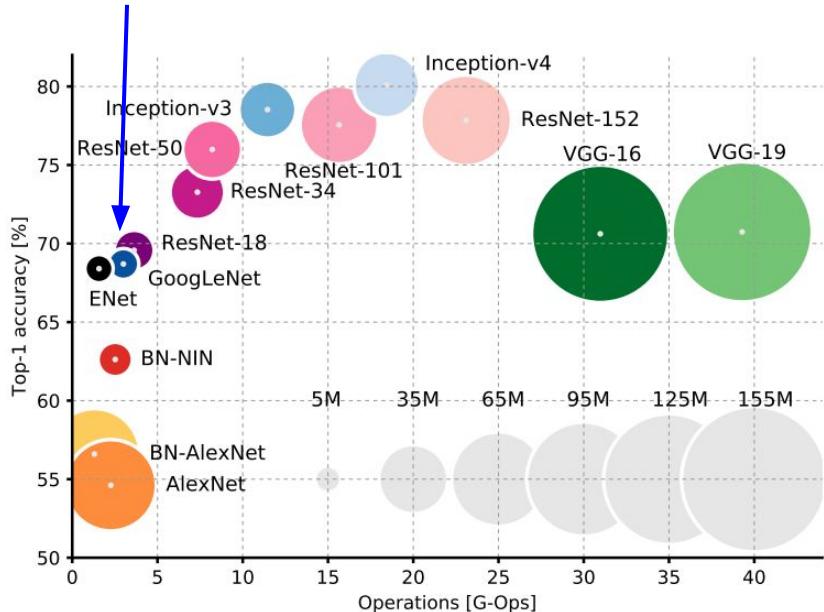
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# Comparing complexity...



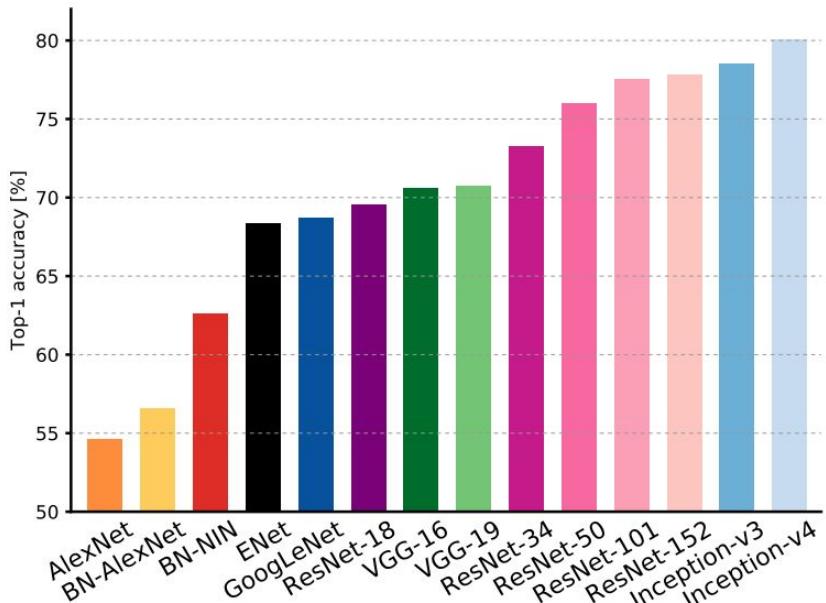
GoogLeNet:  
most efficient



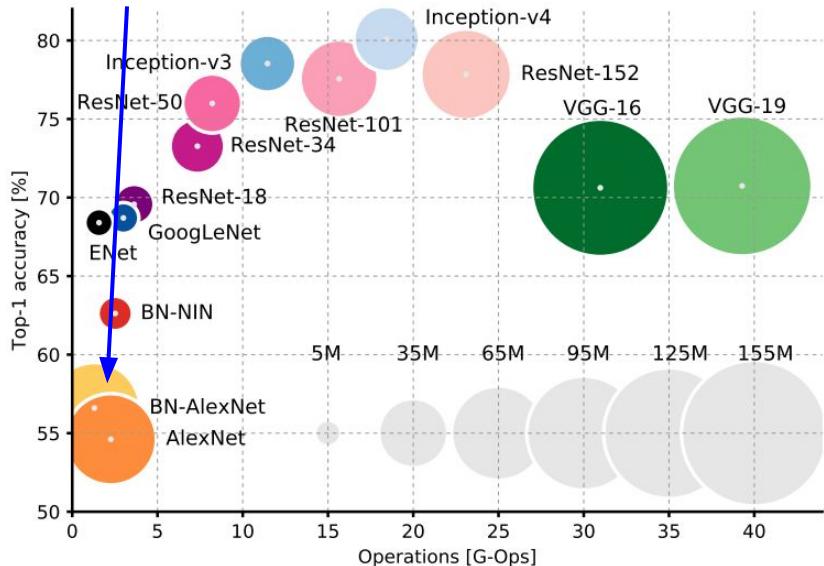
An Analysis of Deep Neural Network Models for Practical Applications, 2017.

Figures copyright Alfredo Canziani, Adam Paszke, Eugenio Culurciello, 2017. Reproduced with permission.

# Comparing complexity...



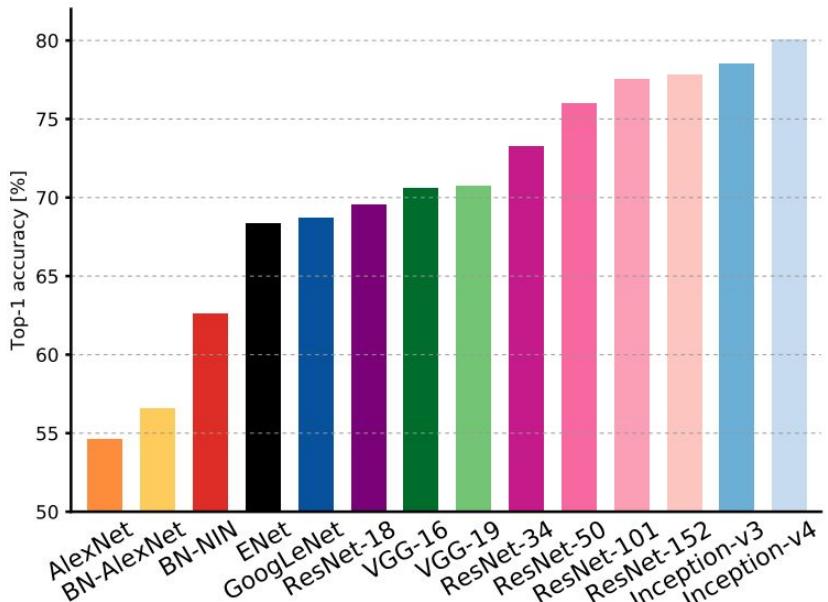
AlexNet:  
Smaller compute, still memory heavy, lower accuracy



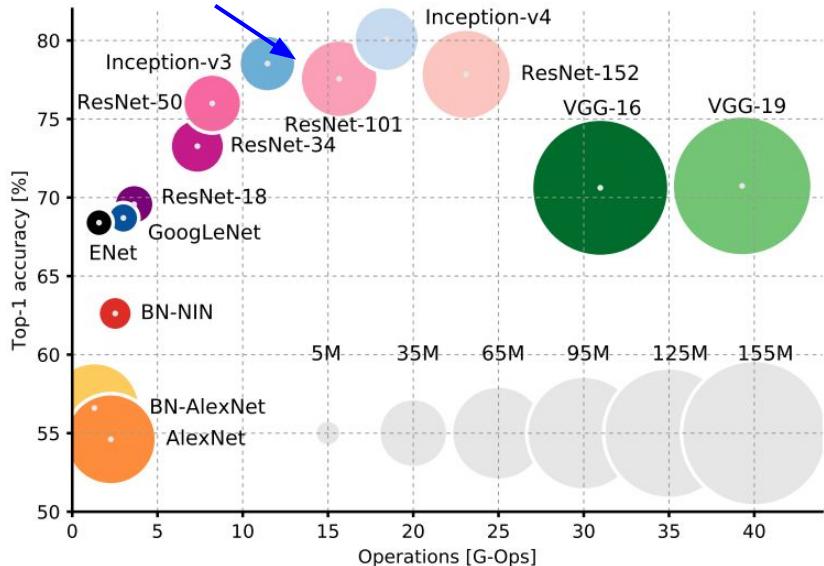
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# Comparing complexity...



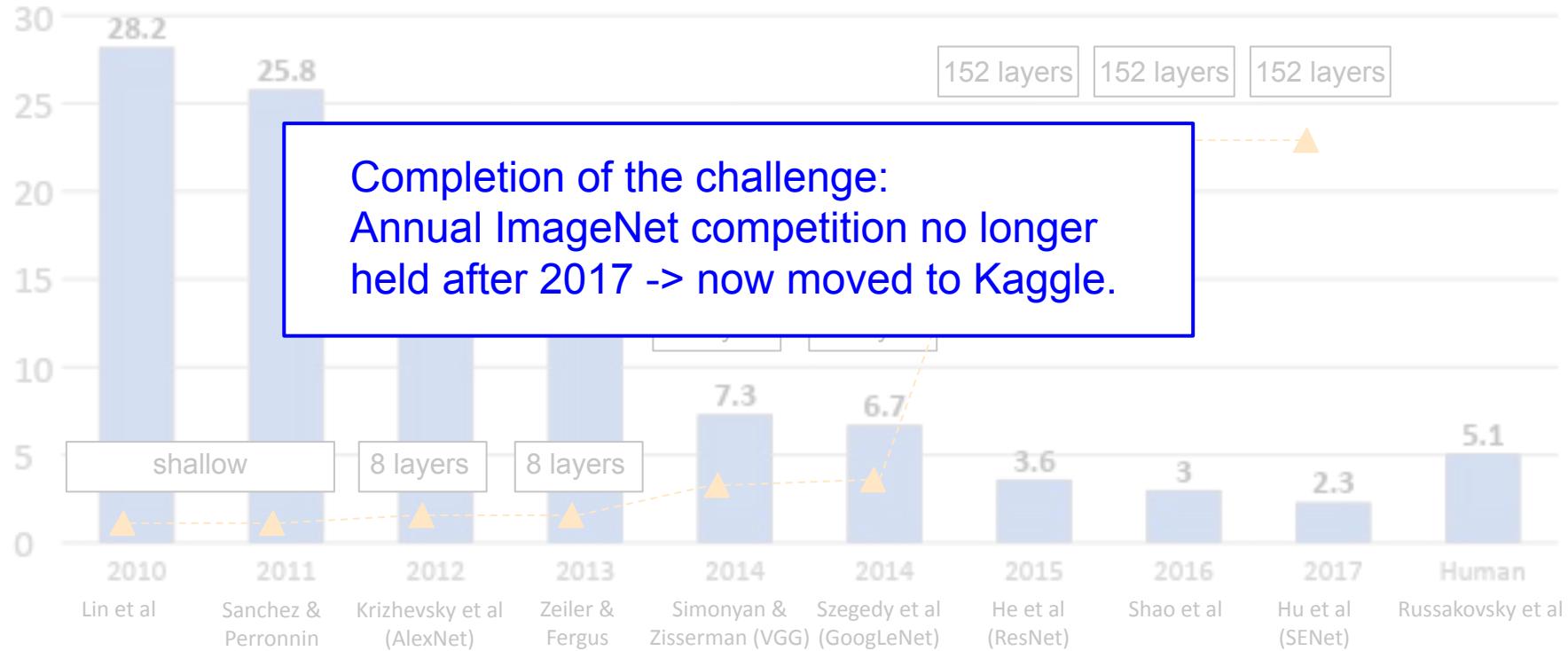
ResNet:  
Moderate efficiency depending on  
model, highest accuracy



An Analysis of Deep Neural Network Models for Practical Applications, 2017.

Figures copyright Alfredo Canziani, Adam Paszke, Eugenio Culurciello, 2017. Reproduced with permission.

# ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners

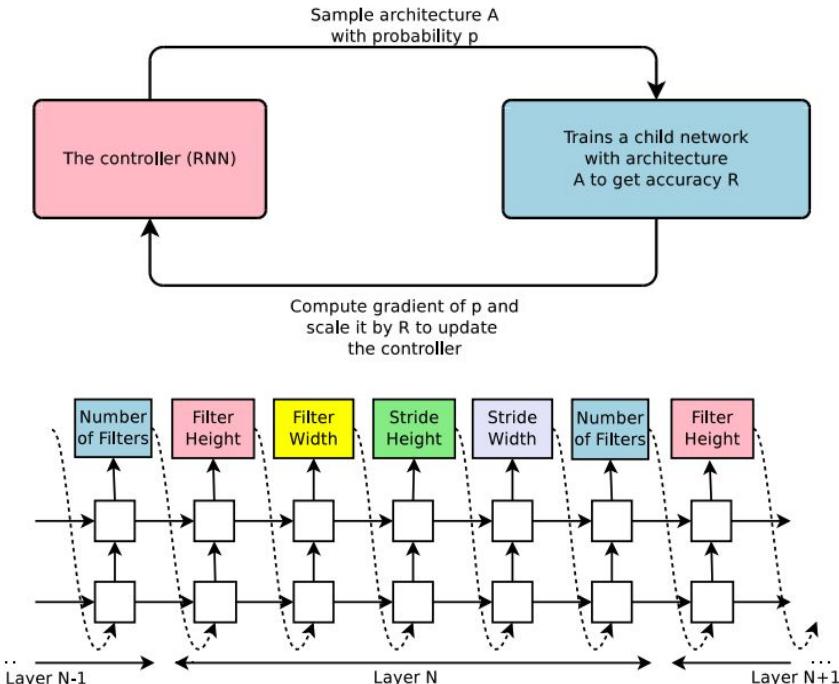


# Learning to search for network architectures...

## Neural Architecture Search with Reinforcement Learning (NAS)

[Zoph et al. 2016]

- “Controller” network that learns to design a good network architecture (output a string corresponding to network design)
- Iterate:
  - 1) Sample an architecture from search space
  - 2) Train the architecture to get a “reward”  $R$  corresponding to accuracy
  - 3) Compute gradient of sample probability, and scale by  $R$  to perform controller parameter update (i.e. increase likelihood of good architecture being sampled, decrease likelihood of bad architecture)



# Summary: CNN Architectures

## Case Studies

- AlexNet
- VGG
- GoogLeNet
- ResNet

## Also....

- SENet
- Wide ResNet
- ResNeXT
- DenseNet
- MobileNets
- NASNet

# Main takeaways

**AlexNet** showed that you can use CNNs to train Computer Vision models.

**ZFNet, VGG** shows that bigger networks work better

**GoogLeNet** is one of the first to focus on efficiency using 1x1 bottleneck convolutions and global avg pool instead of FC layers

**ResNet** showed us how to train extremely deep networks

- Limited only by GPU & memory!
- Showed diminishing returns as networks got bigger

After ResNet: CNNs were better than the human metric and focus shifted to Efficient networks:

- Lots of tiny networks aimed at mobile devices: **MobileNet, ShuffleNet**

**Neural Architecture Search** can now automate architecture design

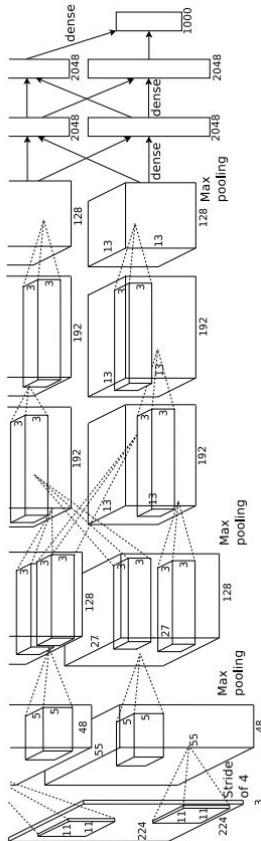
# Summary: CNN Architectures

- Many popular architectures are available in model zoos.
- ResNets are currently good defaults to use.
- Networks have gotten increasingly deep over time.
- Many other aspects of network architectures are also continuously being investigated and improved.

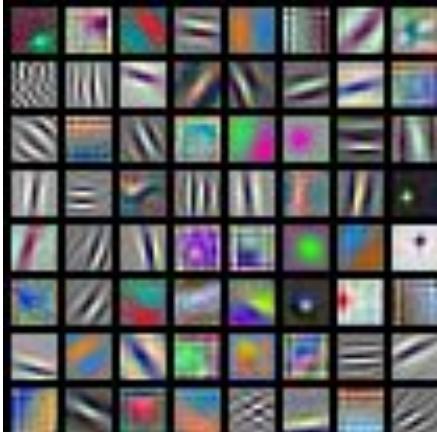
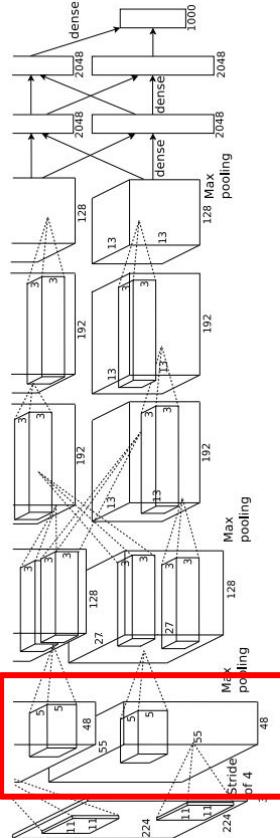
# Transfer learning

You need a lot of data if you want to  
train/use CNNs?

# Transfer Learning with CNNs



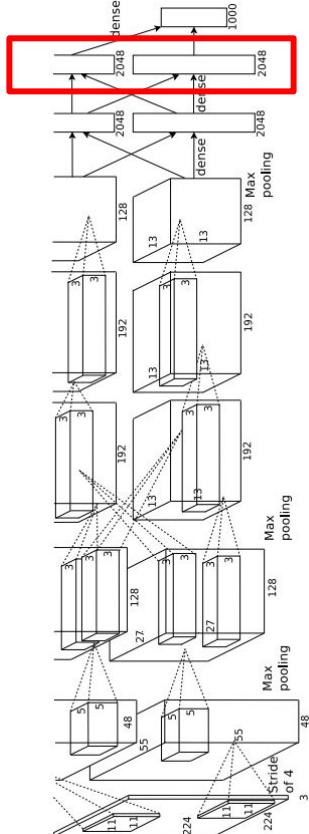
# Transfer Learning with CNNs



AlexNet:  
64 x 3 x 11 x 11

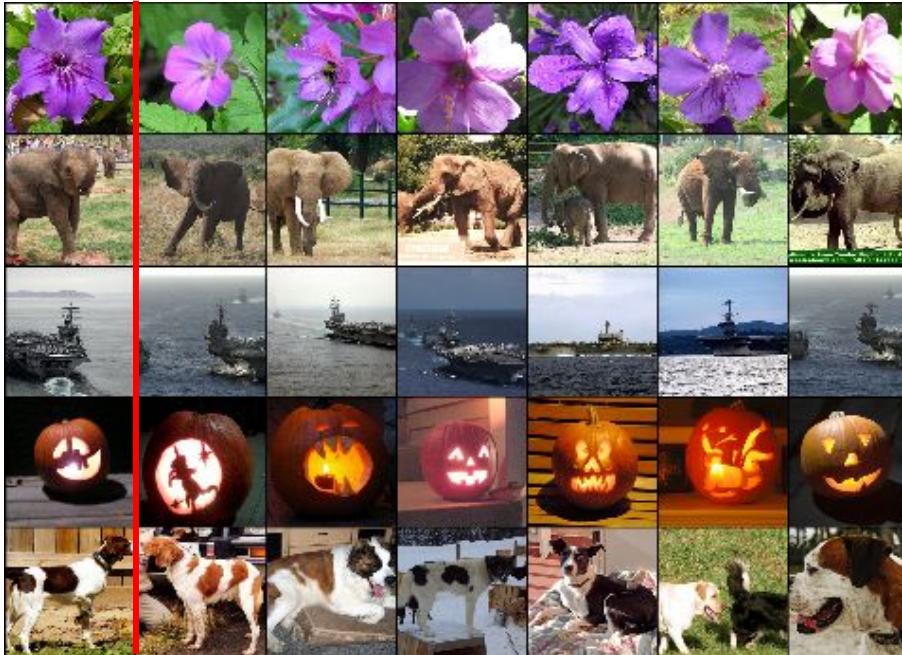
(More on this in Lecture 13)

# Transfer Learning with CNNs



Test image

L2 Nearest neighbors in feature space

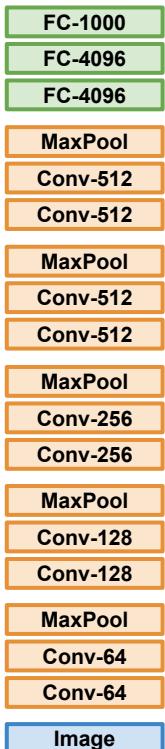


(More on this in Lecture 13)

# Transfer Learning with CNNs

Donahue et al, "DeCAF: A Deep Convolutional Activation Feature for Generic Visual Recognition", ICML 2014  
Razavian et al, "CNN Features Off-the-Shelf: An Astounding Baseline for Recognition", CVPR Workshops 2014

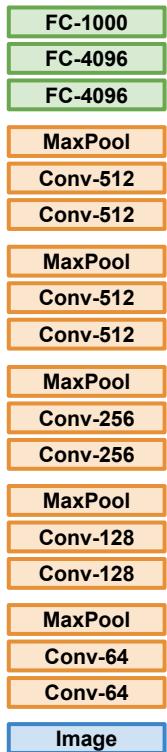
## 1. Train on Imagenet



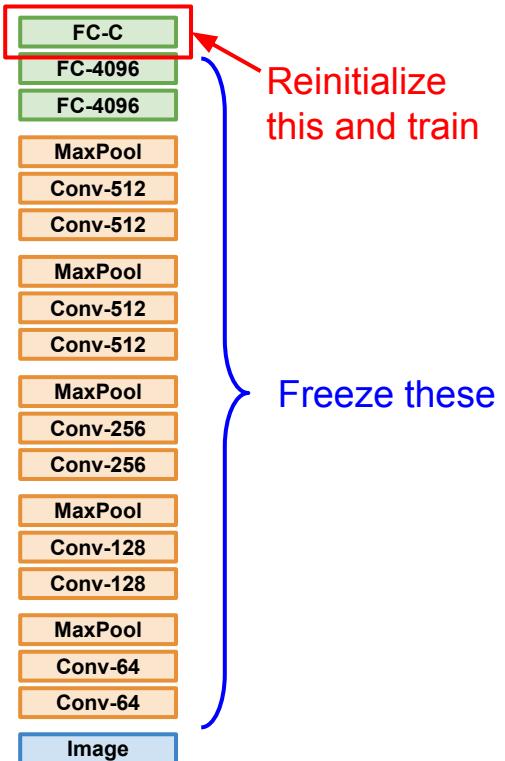
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## 1. Train on Imagenet

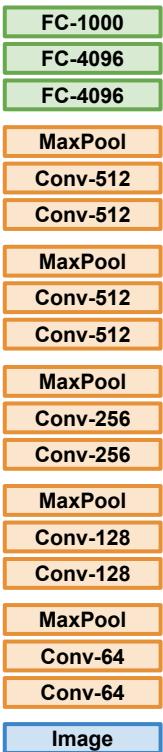


## 2. Small Dataset (C classes)

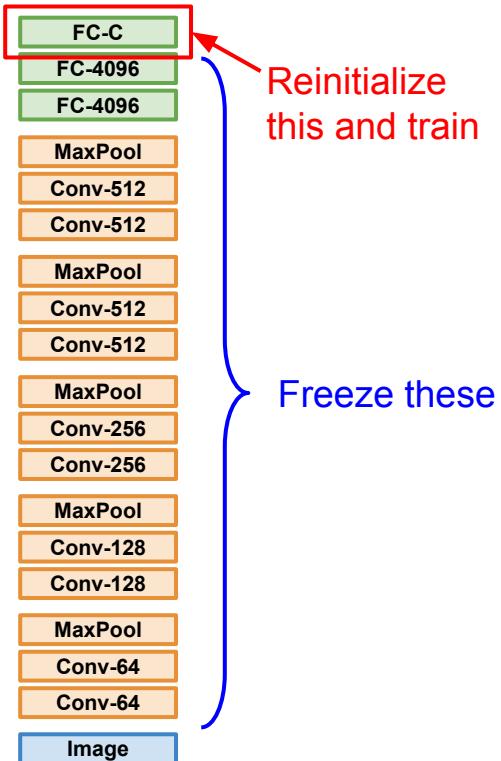


# Transfer Learning with CNNs

1. Train on Imagenet

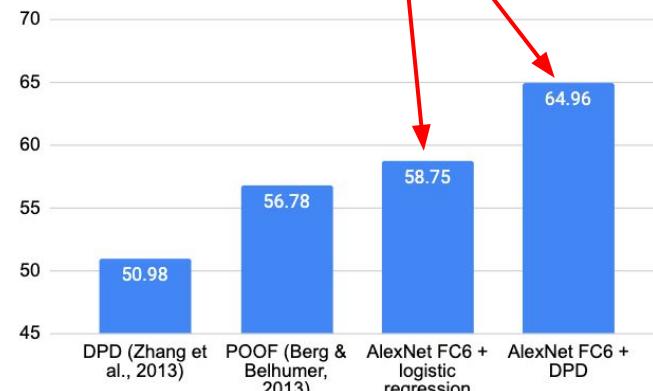


2. Small Dataset (C classes)



Donahue et al, "DeCAF: A Deep Convolutional Activation Feature for Generic Visual Recognition", ICML 2014  
Razavian et al, "CNN Features Off-the-Shelf: An Astounding Baseline for Recognition", CVPR Workshops 2014

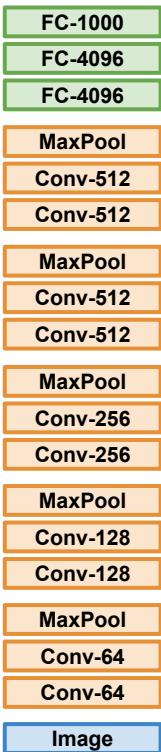
Finetuned from AlexNet



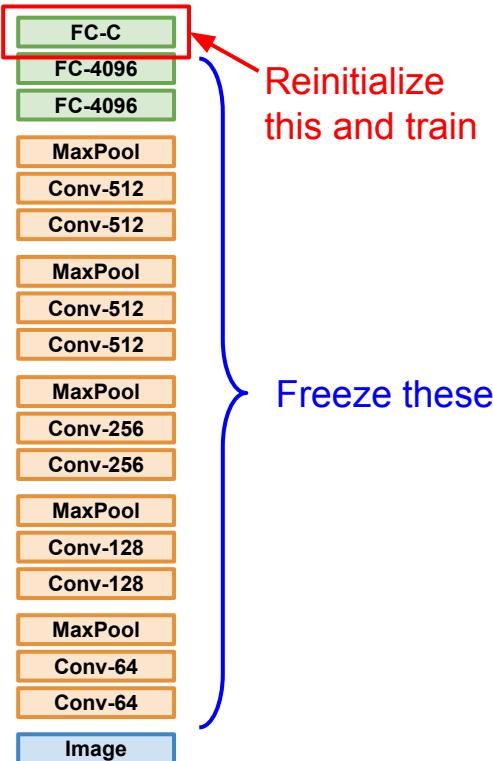
Donahue et al, "DeCAF: A Deep Convolutional Activation Feature for Generic Visual Recognition", ICML 2014

# Transfer Learning with CNNs

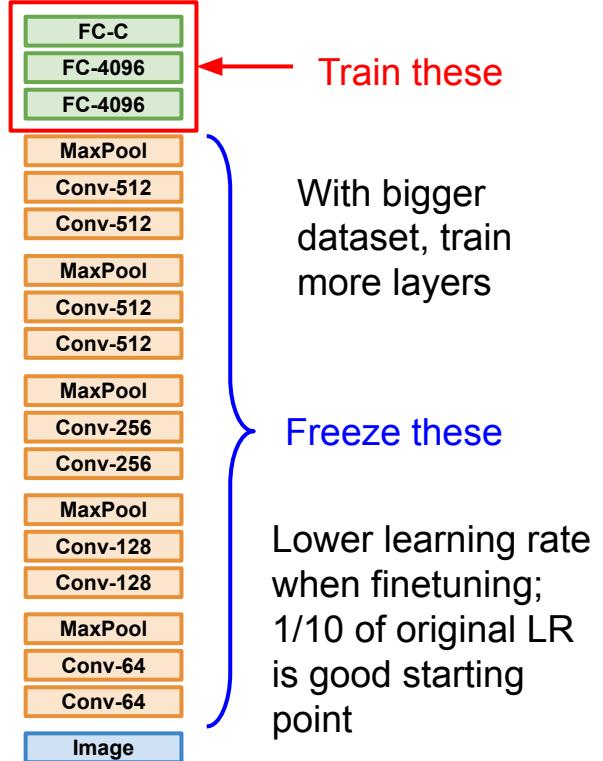
1. Train on Imagenet



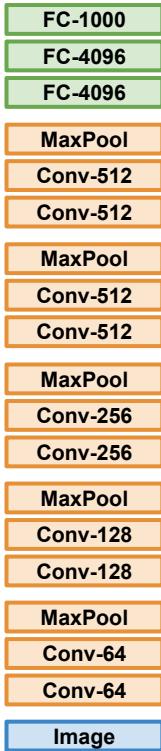
2. Small Dataset (C classes)



3. Bigger dataset



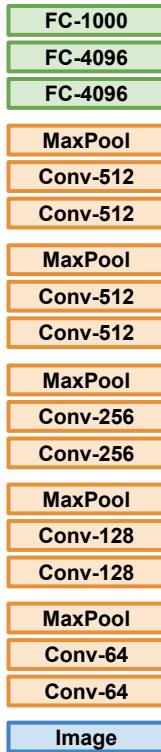
Donahue et al, "DeCAF: A Deep Convolutional Activation Feature for Generic Visual Recognition", ICML 2014  
Razavian et al, "CNN Features Off-the-Shelf: An Astounding Baseline for Recognition", CVPR Workshops 2014



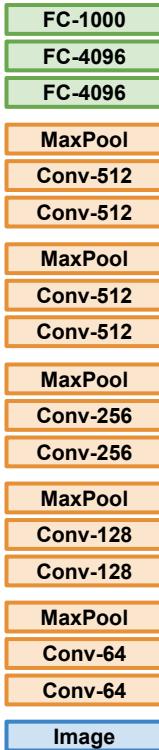
More specific

More generic

	<b>very similar dataset</b>	<b>very different dataset</b>
<b>very little data</b>	?	?
<b>quite a lot of data</b>	?	?



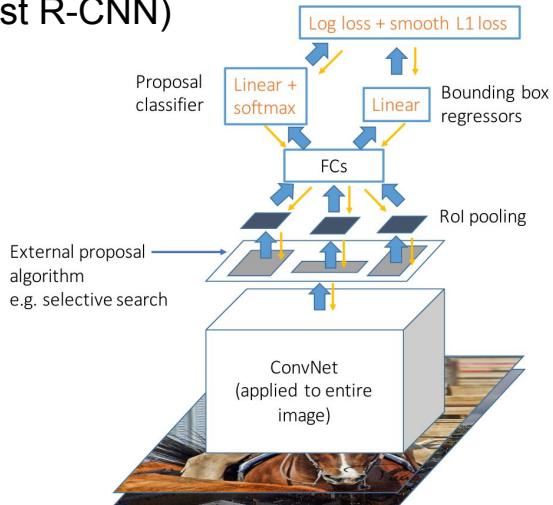
	<b>very similar dataset</b>	<b>very different dataset</b>
<b>very little data</b>	Use Linear Classifier on top layer	?
<b>quite a lot of data</b>	Finetune a few layers	?



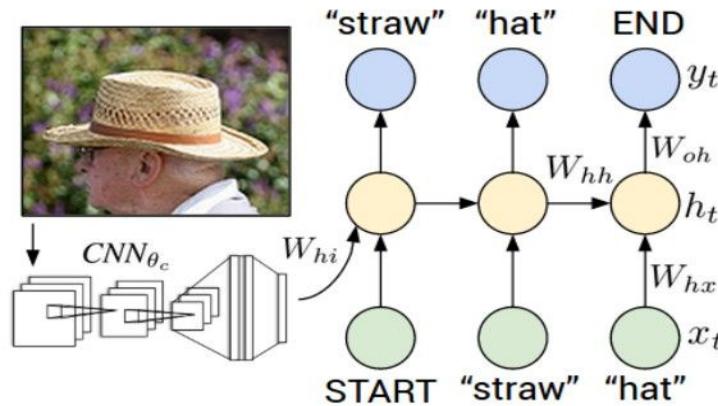
	<b>very similar dataset</b>	<b>very different dataset</b>
<b>very little data</b>	Use Linear Classifier on top layer	You're in trouble... Try linear classifier from different stages
<b>quite a lot of data</b>	Finetune a few layers	Finetune a larger number of layers

# Transfer learning with CNNs is pervasive... (it's the norm, not an exception)

## Object Detection (Fast R-CNN)



## Image Captioning: CNN + RNN

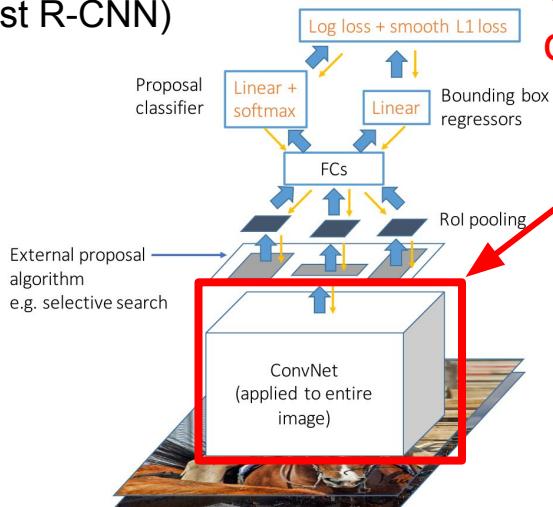


Girshick, "Fast R-CNN", ICCV 2015  
Figure copyright Ross Girshick, 2015. Reproduced with permission.

Karpathy and Fei-Fei, "Deep Visual-Semantic Alignments for Generating Image Descriptions", CVPR 2015  
Figure copyright IEEE, 2015. Reproduced for educational purposes.

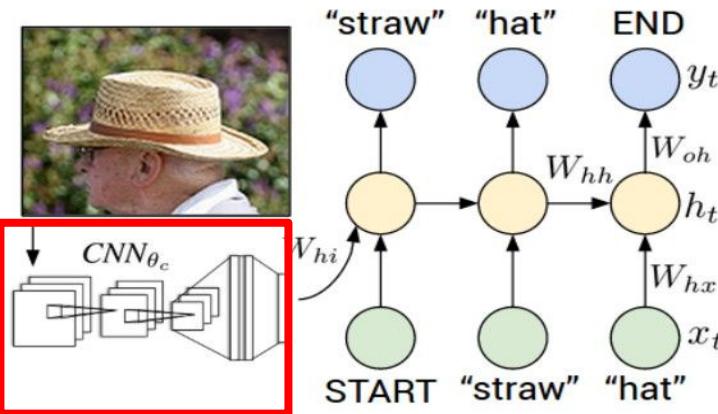
# Transfer learning with CNNs is pervasive... (it's the norm, not an exception)

Object Detection  
(Fast R-CNN)



CNN pretrained  
on ImageNet

Image Captioning: CNN + RNN

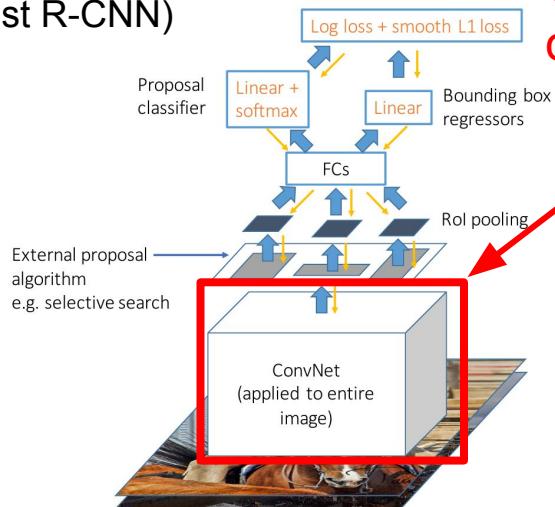


Girshick, "Fast R-CNN", ICCV 2015  
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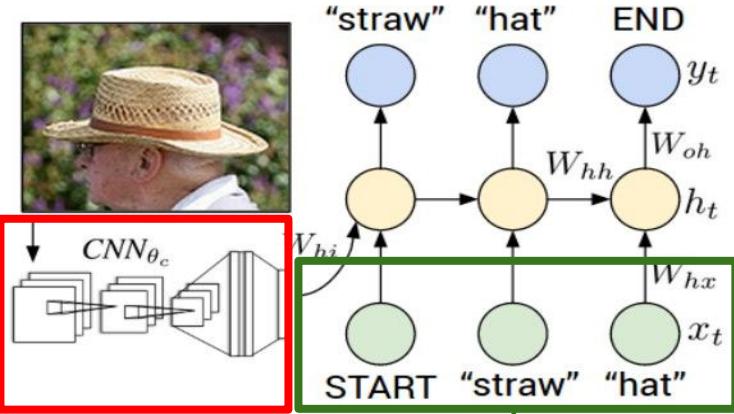
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Object Detection  
(Fast R-CNN)



CNN pretrained  
on ImageNet

Image Captioning: CNN + RNN



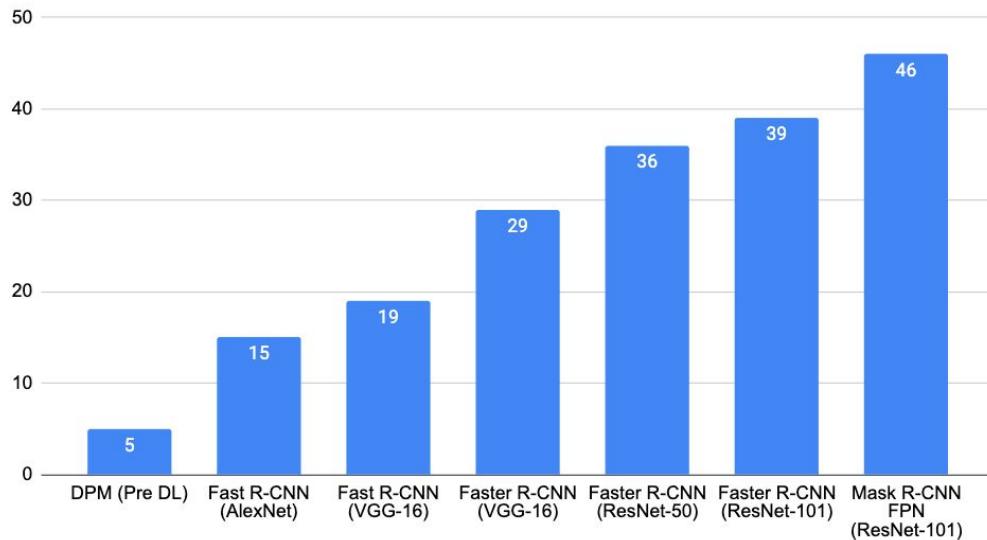
Word vectors pretrained  
with word2vec

Girshick, "Fast R-CNN", ICCV 2015  
Figure copyright Ross Girshick, 2015. Reproduced with permission.

Karpathy and Fei-Fei, "Deep Visual-Semantic Alignments for Generating Image Descriptions", CVPR 2015  
Figure copyright IEEE, 2015. Reproduced for educational purposes.

# Transfer learning with CNNs - Architecture matters

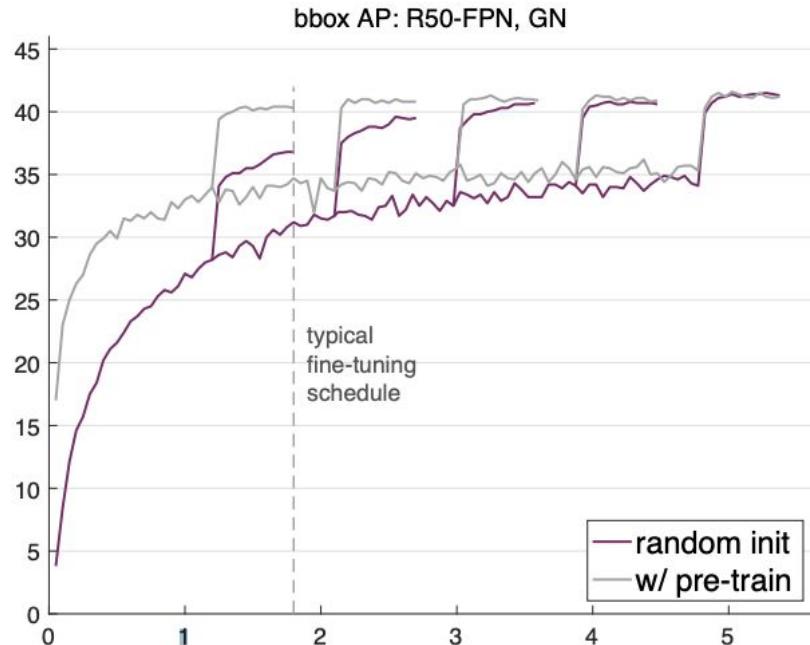
Object detection on MSCOCO



Girshick, "The Generalized R-CNN Framework for Object Detection", ICCV 2017 Tutorial on Instance-Level Visual Recognition

# Transfer learning with CNNs is pervasive...

## But recent results show it might not always be necessary!



Training from scratch can work just as well as training from a pretrained ImageNet model for object detection

But it takes 2-3x as long to train.

They also find that collecting more data is better than finetuning on a related task

He et al, "Rethinking ImageNet Pre-training", ICCV 2019  
Figure copyright Kaiming He, 2019. Reproduced with permission.

# **Takeaway for your projects and beyond:**

Have some dataset of interest but it has < ~1M images?

1. Find a very large dataset that has similar data, train a big ConvNet there
2. Transfer learn to your dataset

Deep learning frameworks provide a “Model Zoo” of pretrained models so you don’t need to train your own

TensorFlow: <https://github.com/tensorflow/models>

PyTorch: <https://github.com/pytorch/vision>