

## Circular of the Bureau of Standards Volume 92



Filesize: 4.34 MB

### ***Reviews***

*The publication is easy in read through preferable to fully grasp. It is writter in simple phrases instead of hard to understand. You will not sense monotony at at any moment of your respective time (that's what catalogs are for concerning if you request me).*

***(Kevin Bergstrom Sr.)***

## CIRCULAR OF THE BUREAU OF STANDARDS VOLUME 92



To get **Circular of the Bureau of Standards Volume 92** eBook, remember to access the button beneath and download the ebook or have access to other information which are related to CIRCULAR OF THE BUREAU OF STANDARDS VOLUME 92 book.

RareBooksClub. Paperback. Book Condition: New. This item is printed on demand. Paperback. 40 pages. Original publisher: Washington, D. C. : U. S. General Accounting Office, 2001 OCLC Number: (OCoLC)54988451 Subject: Stock exchanges -- Security measures -- United States. Excerpt: . . . should be examined by conducting a yearly risk analysis of the SROs information systems. This risk analysis allows the internal audit staff to develop an audit plan that identifies the critical areas that need to be reviewed that year and less urgent issues that can be deferred until a later date. Although the SROs evaluate the risks in their systems against all of the ARP areas under this approach annually, the SEC officials explained that the SROs are not expected to review all of the key areas of the ARP guidance each year. As discussed in our Federal Information Systems Audit Control Manual ( GAO AIMD-12. 19. 6, January 1999 ), and elsewhere, such an approach is considered appropriate for reviews of this type. Although SEC staff said that they were generally satisfied with the quality Internal Audits Coverage and scope of the reviews the SROs internal auditors had performed, we of ARP Areas Is Unclear could not determine from the documents SEC staff prepared whether these reviews were addressing all the areas contained in the ARP guidance with sufficient frequency. To verify the adequacy of the SROs efforts, ARP staff said that they also perform their own risk analyses for the SROs each year. They then are to review the SROs risk analyses, audit plans, and past audit results to assess whether the SROs independent reviews are addressing the ARP guidelines appropriately. When an SRO has not addressed an issue warranting attention, SEC requests a review of that area. The ARP program staff said they were also...



[Read Circular of the Bureau of Standards Volume 92 Online](#)



[Download PDF Circular of the Bureau of Standards Volume 92](#)

## Other PDFs

**[PDF] America s Longest War: The United States and Vietnam, 1950-1975**

Access the web link beneath to download "America s Longest War: The United States and Vietnam, 1950-1975" PDF document.

[Download ePub »](#)

**[PDF] Stuey Lewis Against All Odds Stories from the Third Grade**

Access the web link beneath to download "Stuey Lewis Against All Odds Stories from the Third Grade" PDF document.

[Download ePub »](#)

**[PDF] Bully, the Bullied, and the Not-So Innocent Bystander: From Preschool to High School and Beyond: Breaking the Cycle of Violence and Creating More Deeply Caring Communities**

Access the web link beneath to download "Bully, the Bullied, and the Not-So Innocent Bystander: From Preschool to High School and Beyond: Breaking the Cycle of Violence and Creating More Deeply Caring Communities" PDF document.

[Download ePub »](#)

**[PDF] Index to the Classified Subject Catalogue of the Buffalo Library; The Whole System Being Adopted from the Classification and Subject Index of Mr. Melvil Dewey, with Some Modifications .**

Access the web link beneath to download "Index to the Classified Subject Catalogue of the Buffalo Library; The Whole System Being Adopted from the Classification and Subject Index of Mr. Melvil Dewey, with Some Modifications ." PDF document.

[Download ePub »](#)

**[PDF] From Kristallnacht to Israel: A Holocaust Survivor s Journey**

Access the web link beneath to download "From Kristallnacht to Israel: A Holocaust Survivor s Journey" PDF document.

[Download ePub »](#)

**[PDF] Children s Educational Book: Junior Leonardo Da Vinci: An Introduction to the Art, Science and Inventions of This Great Genius. Age 7 8 9 10 Year-Olds. [Us English]**

Access the web link beneath to download "Children s Educational Book: Junior Leonardo Da Vinci: An Introduction to the Art, Science and Inventions of This Great Genius. Age 7 8 9 10 Year-Olds. [Us English]" PDF document.

[Download ePub »](#)