

# Arithmetic of Fourier coefficients of Gan-Gurevich lifts on $G_2$

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## Introduction: modular forms on $G_2$

$G$  = reductive group,  $\pi = \otimes' \pi_v$  AR of  $G(\mathbb{A})$ .

Some  $\pi_\infty$  are more arithmetic than others.

Most arithmetic:  $\pi_\infty$  is a **holomorphic discrete series**, e.g. for  $SL_2$ ,  $Sp_{2g}$ , ...

You can use the relation to Shimura varieties to construct Galois representations, study Fourier expansions, and much more

But not all groups admit holomorphic discrete series!

## Introduction: modular forms on $G_2$

On  $G_2$ , the **quaternionic discrete series** are the most arithmetic.

$\pi_k$ : QDS representation of  $G_2(\mathbb{R})$  of weight  $k \geq 2$ .

### Definition

$\varphi : G_2(\mathbb{Q}) \backslash G_2(\mathbb{A}) \rightarrow \mathbb{C}$  is a **quaternionic modular form** of weight  $k \geq 2$  if  $\varphi$  generates the lowest  $K$ -type in  $\pi_k$  under  $G_2(\mathbb{R})$ .

### Fact

The Fourier coefficients  $c_A(\varphi)$  of a cuspidal QMF  $\varphi$  of weight  $k$  are indexed by totally real cubic rings  $A$  (and these determine  $\varphi$ )

- $A$  free of rank 3 over  $\mathbb{Z}$ ,  $A \otimes \mathbb{R} = \mathbb{R}^3$  as a ring
- e.g.  $A = \mathbb{Z}^3$ ,  $A = \mathcal{O}_E$  with  $E/\mathbb{Q}$  totally real cubic field

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- e.g.  $A = \mathbb{Z}^3$ ,  $A = \mathcal{O}_E$  with  $E/\mathbb{Q}$  totally real cubic field
- \*: actually, only if  $\varphi$  has a nice level (like  $\Gamma_0(N)$ )

# Fourier coefficients and arithmetic

The Fourier coefficients  $c_A(\varphi)$  are arithmetic in nature.

Some examples:

- There exists a natural family of Eisenstein series  $E_{2k}$  of weight  $2k \geq 4$ , such that

$$c_A(E_{2k}) = \zeta_A(1 - 2k)$$

when  $A$  is maximal. (Jiang-Rallis 97, Gan-Gross-Savin 02, Xiong 17)

- For  $k \geq 6$ , there is a basis of level one forms with all coefficients in  $\mathbb{Q}^{\text{cyc}}$  (Pollack 22)

# Gross's Conjecture

Let  $f$  be a cusp form for  $\mathrm{SL}_2(\mathbb{Z})$  of weight  $k$ .

Assuming  $L(1/2, f) \neq 0$ , there exists a cuspidal **Gan-Gurevich lift**  $\varphi$  of  $f$  to  $G_2$

## Conjecture (Gross)

*For all maximal totally real cubic rings  $A$ ,*

$$c_A(\varphi)^2 = L(1/2, f \otimes \rho_A) \mathrm{disc}(A)^{\frac{k-1}{2}}$$

*where  $\rho_A =$  two-dimensional Artin representation associated to  $A$*

- Kim-Yamauchi 24: true when  $A = \mathbb{Z} \times \mathcal{O}_F$  for  $F/\mathbb{Q}$  quadratic
- Today: Gross's conjecture when  $f$  is a CM form (always has level!)

## Main result

- $f = f_\chi$  CM form of weight  $k$ , trivial character, and any level  $N$ , associated to  $K/\mathbb{Q}$  and  $\chi : K^\times \backslash \mathbb{A}_K^\times \rightarrow \mathbb{C}^\times$ .
- Assume:  $L(1/2, \chi) \neq 0$ .
- $\mathcal{A}_{GG}(f_\chi)$  space of “Gan-Gurevich lifts”  $G_2(\mathbb{Q}) \backslash G_2(\mathbb{A}) \rightarrow \mathbb{C}$ .

Theorem (Bakic–Horawa–Li–Huerta–S., in progress)

For all  $\ell|N$ , fix a cubic ring  $A_\ell/\mathbb{Z}_\ell$ , such that

$$\prod_{\ell|N} \epsilon_\ell(A_\ell, \chi_\ell) = -\epsilon(1/2, \chi^3)$$

Then  $\exists$  a QMF  $\varphi \in \mathcal{A}_{GG}(f_\chi)$  s.t. for  $A$  maximal outside  $N$

$$|c_A(\varphi)|^2 = \begin{cases} L(1/2, f_\chi \otimes \rho_A) \operatorname{disc}(A)^{\frac{k-1}{2}} & A \otimes \mathbb{Z}_\ell = A_\ell \ \forall \ell|N \\ 0 & \text{otherwise} \end{cases}$$

# Plan of talk

1. Theory of Gan-Gurevich lifts, and role of epsilon factors
2. A construction of  $\mathcal{A}_{GG}(f_\chi)$
3. Sketch of proof



# 1. Theory of Gan-Gurevich lifts

# Arthur parameters

Langlands philosophy,  $G/F$  reductive group:

$$\{\text{aut. reps of } G\} \longleftrightarrow \left\{ \text{“Galois representations” } L_{\mathbb{Q}} \rightarrow {}^L G \right\}$$

Arthur's conjecture:

$$\mathcal{A}_{\text{disc}}(G) = \bigoplus_{\psi} \mathcal{A}_{\psi}(G)$$

where

$$\psi : L_{\mathbb{Q}} \times \text{SL}_2(\mathbb{C}) \rightarrow {}^L G,$$

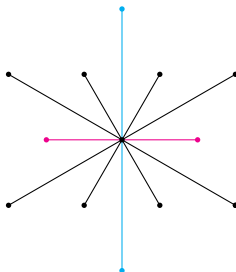
$\psi|_{L_{\mathbb{Q}}}$  is tempered, and  $\psi|_{\text{SL}_2(\mathbb{C})}$  is algebraic

The more nontrivial the  $\text{SL}_2(\mathbb{C})$ , the more nontempered (and degenerate) the representation. e.g. for  $G = \text{GL}_2$ ,  ${}^L G = \text{GL}_2(\mathbb{C})$ :  $\psi|_{\text{SL}_2(\mathbb{C})}$  nontrivial corresponds to the characters

# Theory of Gan-Gurevich lifts: Arthur parameters

$$G = G_2, {}^L G = G_2(\mathbb{C})$$

$$\psi : L_{\mathbb{Q}} \times SL_2(\mathbb{C}) \rightarrow SL_{2,\text{short}}(\mathbb{C}) \times SL_{2,\text{long}}(\mathbb{C}) \rightarrow G_2(\mathbb{C})$$



For any  $\tau =$  cuspidal AR of  $PGL_2$ , consider  $\psi_\tau$  where

$L_{\mathbb{Q}} \rightarrow SL_{2,\text{short}}(\mathbb{C})$  corresponds to  $\tau$ .

Specialize to  $\tau = \tau_\chi$  CM,  $\mathcal{A}_{GG}(f_\chi) := \mathcal{A}_{\psi_{\tau_\chi}}(G_2)$

## Epsilons

Arthur has a precise conjectural description for  $\mathcal{A}_\psi(G)$  in terms of **local packets** and **global multiplicities**.

Arthur's prediction for structure of global GG packet (partially known, Alonso-He-Ray-Roset 23 and BHL-HS24):

$$\mathcal{A}_{GG}(f_\chi) = \bigoplus_{(\epsilon_v)_v} m((\epsilon_v)_v) \bigotimes_v \pi_v^{\epsilon_v}$$

where  $\{\pi_v^+, \pi_v^-\}$  is a local packet depending only on  $\chi_v$ , with  $\pi_v^- = 0$  almost everywhere, and

$$m((\epsilon_v)_v) = \begin{cases} 1 & \prod \epsilon_v = \epsilon(1/2, \chi^3) \\ 0 & \text{else} \end{cases}$$

It is always interesting to see how arithmetic conspires to enforce the multiplicity formula. Here, it comes in via local-global obstruction for existence of Hermitian spaces.

# Epsilons

Arthur's prediction for structure of GG packet:

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$$m((\epsilon_v)_v) = \begin{cases} 1 & \prod \epsilon_v = \epsilon(1/2, \chi^3) \\ 0 & \text{else} \end{cases}$$

Given  $\pi = \bigotimes'_v \pi_v^{\epsilon_v}$ :

- $\varphi \in \pi$  can be a QMF only if  $\epsilon_\infty = -1$ .
- $\varphi \in \pi$  can have  $c_A(\varphi) \neq 0$  only if  $\epsilon_\ell = \epsilon_\ell(A \otimes \mathbb{Z}_\ell, \chi_\ell)$  for all  $\ell | N$ .

$\implies$  to see  $c_A(\varphi)$ , need  $\prod \epsilon_\ell(A \otimes \mathbb{Z}_\ell, \chi_\ell) = -\epsilon(1/2, \chi^3)$

# Main result

- $f = f_\chi$  CM form of weight  $k$ , trivial character, and any level  $N$ , associated to  $K/\mathbb{Q}$  and  $\chi : K^\times \backslash \mathbb{A}_K^\times \rightarrow \mathbb{C}^\times$ .
- Assume:  $L(1/2, \chi) \neq 0$ .
- $\mathcal{A}_{GG}(f_\chi)$  space of Gan-Gurevich lifts  $G_2(\mathbb{Q}) \backslash G_2(\mathbb{A}) \rightarrow \mathbb{C}$ .

Theorem (Bakic–Horawa–Li–Huerta–S., in progress)

For all  $\ell|N$ , fix a cubic ring  $A_\ell/\mathbb{Z}_\ell$ , such that

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Then  $\exists$  a QMF  $\varphi \in \mathcal{A}_{GG}(f_\chi)$  s.t. for  $A$  maximal outside  $N$ :

$$c_A(\varphi) = \begin{cases} L(1/2, f_\chi \otimes \rho_A) \operatorname{disc}(A)^{\frac{k-1}{2}} & A \otimes \mathbb{Z}_\ell = A_\ell \ \forall \ell|N \\ 0 & \text{otherwise} \end{cases}$$

2. construction of  $\mathcal{A}_{GG}(f_\chi)$

# Exceptional theta correspondences

- A theta correspondence is a construction

$$\Theta : \{\text{ARs of } G\} \rightsquigarrow \{\text{ARs of } H\}$$

for “dual reductive pairs”  $H, G$ .

- Means can embed as mutual centralizers  $H \times G \hookrightarrow \tilde{G}$ , where  $\tilde{G}$  is a group with theta functions (used as a kernel).

$H \times G$	$\tilde{G}$	$H \times G$	$\tilde{G}$
$SO_n \times Sp_{2m}$	$Sp_{2mn}$	$G_2 \times PGL_3$	$E_6$
$U(n) \times U(m)$	$Sp_{2mn}$	$G_2 \times PGSp_6$	$E_7$
		$G_2 \times F_4$	$E_8$



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$H \times G$	$\tilde{G}$	$H \times G$	$\tilde{G}$
$SO_n \times Sp_{2m}$	$Sp_{2mn}$	$G_2 \times PU_3$	$E'_6$
$U(n) \times U(m)$	$Sp_{2mn}$	$G_2 \times PGSp_6$	$E_7$
		$G_2 \times F_4$	$E_8$

# Exceptional theta correspondences and Gan-Gurevich lifts

Gan-Gurevich:

$$\begin{array}{c} \sigma \\ \text{PGL}_2 \rightsquigarrow \text{PGSp}_6 \xrightarrow{\Theta} G_2 \end{array}$$

# Exceptional theta correspondences and Gan-Gurevich lifts

Gan-Gurevich:

$$\begin{array}{ccc} & \sigma & \\ & \text{PGL}_2 \rightsquigarrow \text{PGSp}_6 & \\ & \searrow \Theta & \\ & & G_2 \\ & \nearrow \Theta & \\ \text{PU}_3 & & \end{array}$$

Alternative approach when  $\sigma = \text{CM form associated to } \chi$ , cf.  
[BHL-HS24]

# Exceptional theta correspondences and Gan-Gurevich lifts

Gan-Gurevich:

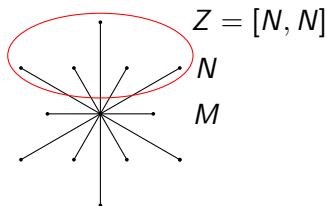
$$\begin{array}{ccccc} & \sigma & & & \\ & & & & \\ \mathrm{PGL}_2 & \rightsquigarrow & \mathrm{PGSp}_6 & \xrightarrow{\Theta} & G_2 \\ & & & \nearrow \Theta & \\ U(1) & \xrightarrow{\Theta_\chi} & \mathrm{PU}_3 & & \\ \mathbb{1} & & & & \end{array}$$

Alternative approach when  $\sigma = \text{CM form associated to } \chi$ , cf. [BHL-HS24]

Easier to understand packet structure (comes from Howe–Piatetskii–Shapiro CAP forms on  $\mathrm{PU}_3$ )

### 3. sketch of proof

# What are Fourier coefficients?



$$\{\text{characters of } N(\mathbb{Q}) \backslash N(\mathbb{A})\} \longleftrightarrow \{\lambda = (a, b, c, d) \in \mathbb{Q}^4\}$$
$$\psi_\lambda \longleftrightarrow \lambda$$

$$\varphi : G_2(\mathbb{Q}) \backslash G_2(\mathbb{A}) \rightarrow \mathbb{C}$$

$$c_\lambda(\varphi) := \int_{[N]} \psi_\lambda^{-1}(n) \varphi(n) dn$$

# What are Fourier coefficients?

$\varphi : G_2(\mathbb{Q}) \backslash G_2(\mathbb{A}) \rightarrow \mathbb{C}$  modular form of weight  $k \geq 2$

$$c_\lambda(\varphi) := \int_{[N]} \psi_\lambda^{-1}(n) \varphi(n) dn$$

- $\varphi$  invariant by  $P(\widehat{\mathbb{Z}}) \implies c_\lambda(\varphi)$  is supported on  $\lambda \in \mathbb{Z}^4$  and depends only on the **cubic ring**  $A_\lambda$ 
  - $A_\lambda = \mathbb{Z}^3$  with multiplication determined by  $\lambda = (a, b, c, d) \in \mathbb{Z}^4$
- More generally have a cubic algebra  $E_\lambda/\mathbb{Q}$
- Turns out  $c_\lambda(\varphi) = 0$  unless  $E_\lambda$  is totally real

## Proof sketch

Periods for  $\Theta(\pi)$  often reduce to periods for  $\pi$ .

For  $\varphi \in \mathcal{A}_{GG}(f_\chi)$  (any level),

$$c_\lambda(\varphi) \sim \int_{[T_E]} \rho(t) dt$$

where:

- $E = E_\lambda$  is totally real cubic étale algebra corresponding to  $\lambda$ .
- $T_E \hookrightarrow \mathrm{PU}_3$  is a torus embedding coming from  $E \hookrightarrow \mathrm{Herm}_{3 \times 3}(\mathbb{Q})$ .
- $\rho$  = thing you're lifting on  $\mathrm{PU}_3$  (Howe-PS CAP forms).



## Proof sketch

Periods for  $\Theta(\pi)$  often reduce to periods for  $\pi$ .

For  $\varphi \in \mathcal{A}_{GG}(f_\chi)$  (any level),

$$c_\lambda(\varphi) \sim \int_{[T_E]} \rho(t) dt$$

$\rho$  is itself a  $\Theta$  lift from  $U(1)$

$$\left| \int_{[T_E]} \rho(t) dt \right|^2 \sim L(1/2, f_\chi \otimes \rho_E) L(1/2, \chi) \Delta_E^{1/2}$$

cf. Yang 97, Borade-Franzel-Girsch-Yao-Yu-Zelingher 24

## Proof sketch

$$|c_\lambda(\varphi)|^2 = L(1/2, f_\chi \otimes \rho_E) L(1/2, \chi) \Delta_E^{1/2} \prod_v |I_v(\varphi_v, \lambda)|^2$$

- Additional  $\Delta_E^{k/2-1}$  comes from evaluating  $I_\infty$  (using Pollack's explicit Whittaker model of minimal representation of  $E_6$ )
- $I_\ell(\varphi_\ell, \lambda)$  is hard to compute in general
  - For  $\ell \nmid N$ , we show  $I_\ell(\varphi_\ell^{\text{sph}}, \lambda) = 1$  if  $A_\lambda \otimes \mathbb{Z}_\ell$  is a maximal order in a cubic étale algebra
  - For  $\ell | N$ , we show you can “rig”  $\varphi_\ell$  so  $I_\ell(\varphi_\ell, \lambda)$  is the indicator function of  $A_\lambda \otimes \mathbb{Z}_\ell = \text{any fixed } A_\ell$

Thanks!