

Exact formulae for ranks of partitions

International Seminar on Automorphic Forms

Qihang Sun

Université de Lille, Laboratoire Paul Painlevé

29 Oct 2024



Funded by
the European Union



European Research Council
Established by the European Commission

Contents

- 1 Introduction
- 2 Ranks of partitions modulo 2 and 3
- 3 Ranks of partitions modulo $p \geq 5$
- 4 Vanishing Kloosterman sums and Dyson's conjectures

- 1 Introduction
- 2 Ranks of partitions modulo 2 and 3
- 3 Ranks of partitions modulo $p \geq 5$
- 4 Vanishing Kloosterman sums and Dyson's conjectures

Integer partitions

A partition of n : $\Lambda = \{\Lambda_1 \geq \Lambda_2 \geq \dots \geq \Lambda_k > 0\}$, $\sum \Lambda_j = n$.

$p(n)$: number of all partitions of n . $p(0) := 1$

e.g. $p(4) = 5$: $\{4\}, \{3, 1\}, \{2, 2\}, \{2, 1, 1\}, \{1, 1, 1, 1\}$.

Integer partitions

A partition of n : $\Lambda = \{\Lambda_1 \geq \Lambda_2 \geq \dots \geq \Lambda_k > 0\}$, $\sum \Lambda_j = n$.

$p(n)$: number of all partitions of n . $p(0) := 1$

e.g. $p(4) = 5$: $\{4\}$, $\{3, 1\}$, $\{2, 2\}$, $\{2, 1, 1\}$, $\{1, 1, 1, 1\}$.

Generating function:

$$\sum_{n=0}^{\infty} p(n) q^n = \prod_{j=1}^{\infty} \sum_{k=0}^{\infty} q^{jk} = \prod_{j=1}^{\infty} \frac{1}{1 - q^j} = \sum_{n=0}^{\infty} \frac{q^{n^2}}{(1 - q)^2 (1 - q^2)^2 \dots (1 - q^n)^2}$$

Growth rate by Hardy and Ramanujan (1919):

$$p(n) \sim \frac{1}{4n\sqrt{3}} \exp\left(\pi\sqrt{\frac{2n}{3}}\right).$$

Hardy, Ramanujan, and Rademacher

Kronecker symbol (\cdot) ; $e(z) := e^{2\pi iz}$; $s(d, c)$: Dedekind sum.

$$\begin{aligned} A_c(n) &:= \frac{1}{2} \sqrt{\frac{c}{12}} \sum_{\substack{x \pmod{24c} \\ x^2 \equiv -24n+1 \pmod{24c}}} \left(\frac{12}{x} \right) e\left(\frac{x}{12}\right) \\ &= \sum_{d \pmod{c}^*} e^{-\pi i s(d, c)} e\left(\frac{nd}{c}\right). \end{aligned}$$

Hardy and Ramanujan (1919):

$$p(n) \sim \frac{1}{2\pi\sqrt{2}} \sum_{c \leq \alpha\sqrt{n}} A_c(n) \sqrt{n} \cdot \frac{d}{dn} \left(\frac{\sinh\left(\pi\sqrt{\frac{2}{3}}\sqrt{n - \frac{1}{24}/c}\right)}{\sqrt{n - \frac{1}{24}}} \right).$$

Hardy, Ramanujan, and Rademacher

Kronecker symbol (\cdot) ; $e(z) := e^{2\pi iz}$; $s(d, c)$: Dedekind sum.

$$\begin{aligned} A_c(n) &:= \frac{1}{2} \sqrt{\frac{c}{12}} \sum_{\substack{x \pmod{24c} \\ x^2 \equiv -24n+1 \pmod{24c}}} \left(\frac{12}{x} \right) e\left(\frac{x}{12}\right) \\ &= \sum_{d \pmod{c}^*} e^{-\pi i s(d, c)} e\left(\frac{nd}{c}\right). \end{aligned}$$

Hardy and Ramanujan (1919):

$$p(n) \sim \frac{1}{2\pi\sqrt{2}} \sum_{c \leq \alpha\sqrt{n}} A_c(n) \sqrt{n} \cdot \frac{d}{dn} \left(\frac{\sinh\left(\pi\sqrt{\frac{2}{3}}\sqrt{n - \frac{1}{24}/c}\right)}{\sqrt{n - \frac{1}{24}}} \right).$$

Rademacher (1938): $p(n) = \uparrow$ summing c to ∞ .

Why Dedekind sum?

Dedekind eta function:

$$\eta(z) := q^{\frac{1}{24}} \prod_{n=1}^{\infty} (1 - q^n), \quad q = e(z) = e^{2\pi iz}, \quad z \in \mathbb{H}.$$

$$\sum_{n=0}^{\infty} p(n) q^{n - \frac{1}{24}} = \frac{1}{\eta(z)}.$$

Why Dedekind sum?

Dedekind eta function:

$$\eta(z) := q^{\frac{1}{24}} \prod_{n=1}^{\infty} (1 - q^n), \quad q = e(z) = e^{2\pi iz}, \quad z \in \mathbb{H}.$$

$$\sum_{n=0}^{\infty} p(n) q^{n - \frac{1}{24}} = \frac{1}{\eta(z)}.$$

Transformation law:

$$\eta\left(\frac{az + b}{cz + d}\right) = \nu_{\eta}\left(\begin{pmatrix} a & b \\ c & d \end{pmatrix}\right) (cz + d)^{\frac{1}{2}} \eta(z), \quad \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z}).$$

$$\nu_{\eta}\left(\begin{pmatrix} a & b \\ c & d \end{pmatrix}\right) = e\left(-\frac{1}{8}\right) e^{-\pi i s(d, c)} e\left(\frac{a + d}{24c}\right).$$

Contents

- 1 Introduction
- 2 Ranks of partitions modulo 2 and 3
- 3 Ranks of partitions modulo $p \geq 5$
- 4 Vanishing Kloosterman sums and Dyson's conjectures

Dyson's conjectures

$$p(5n+4) \equiv 0 \pmod{5}, \quad p(7n+5) \equiv 0 \pmod{7}, \quad p(11n+6) \equiv 0 \pmod{11}.$$

$$\Lambda = \{\Lambda_1 \geq \Lambda_2 \geq \cdots \geq \Lambda_\kappa > 0\}, \quad \text{rank}(\Lambda) := \Lambda_1 - \kappa.$$

$$N(m, n) := \#\{\Lambda \text{ of } n : \text{rank}(\Lambda) = m\}$$

$$N(a, b; n) := \#\{\Lambda \text{ of } n : \text{rank}(\Lambda) \equiv a \pmod{b}\}$$

Dyson's conjectures

$$p(5n+4) \equiv 0 \pmod{5}, \quad p(7n+5) \equiv 0 \pmod{7}, \quad p(11n+6) \equiv 0 \pmod{11}.$$

$$\Lambda = \{\Lambda_1 \geq \Lambda_2 \geq \dots \geq \Lambda_k > 0\}, \quad \text{rank}(\Lambda) := \Lambda_1 - k.$$

$$N(m, n) := \#\{\Lambda \text{ of } n : \text{rank}(\Lambda) = m\}$$

$$N(a, b; n) := \#\{\Lambda \text{ of } n : \text{rank}(\Lambda) \equiv a \pmod{b}\}$$

Dyson (1944) conjectured (proved by Atkin and Swinnerton-Dyer (1953)):

$$5N(a, 5; 5n+4) = p(5n+4), \quad 7N(a, 7; 7n+5) = p(7n+5), \quad \text{for all } a.$$

Generating function: $\zeta_u = e(1/u)$, $q = e(z) = e^{2\pi iz}$,

$$\mathcal{R}(\zeta_u^\ell; q) := 1 + \sum_{n=1}^{\infty} \sum_{m=-\infty}^{\infty} N(m, n) \zeta_u^{\ell m} q^n =: 1 + \sum_{n=1}^{\infty} A\left(\frac{\ell}{u}; n\right) q^n.$$

Ranks of partitions modulo 1 and 2

$$u = 1, \mathcal{R}(1; q) = 1 + \sum p(n)q^n.$$

$$A(1; n) = p(n) = \frac{2\pi e(-\frac{1}{8})}{(24n-1)^{\frac{3}{4}}} \sum_{c=1}^{\infty} \frac{S(1, 1-n, c, \mathfrak{v}_{\eta})}{c} I_{\frac{3}{2}} \left(\frac{\pi\sqrt{24n-1}}{6c} \right).$$

$$u = 2, \mathcal{R}(-1; q) = f(q). \text{ Bringmann and Ono (2006):}$$

$$A\left(\frac{1}{2}; n\right) = \alpha(n) = \frac{2\pi e(-\frac{1}{8})}{(24n-1)^{\frac{1}{4}}} \sum_{2|c>0} \frac{S(0, n, c, \bar{\psi})}{c} I_{\frac{1}{2}} \left(\frac{\pi\sqrt{24n-1}}{6c} \right).$$

$$u = 3, \mathcal{R}(\zeta_3; q) = \gamma(q). \text{ Bringmann (2009):}$$

$$\begin{aligned} A\left(\frac{1}{3}; n\right) &= A\left(\frac{2}{3}; n\right) \\ &= \frac{2\pi e(-\frac{1}{8})}{(24n-1)^{\frac{1}{4}}} \sum_{3|c \leq \sqrt{n}} \frac{S(0, n, c, (\frac{\cdot}{3})\overline{\mathfrak{v}_{\eta}})}{c} I_{\frac{1}{2}} \left(\frac{\pi\sqrt{24n-1}}{6c} \right) + O_{\varepsilon}(n^{\varepsilon}). \end{aligned}$$

Harmonic Maass form

$$\Delta_k := -y^2 \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) + iky \left(\frac{\partial}{\partial x} + \frac{\partial}{\partial y} \right). \quad k \in \mathbb{Z} + \frac{1}{2}$$

Definition 2.1

Smooth $f : \mathbb{H} \rightarrow \mathbb{C}$ is a weight k harmonic Maass form on $\Gamma_0(N)$ with character χ if:

- (1) $f(\gamma z) = \chi(d) \nu_\theta(\gamma)^{2k} (cz + d)^k f(z)$, $\gamma \in \Gamma_0(N)$;*
- (2) $\Delta_k f = 0$;*
- (3) There exists a polynomial $\mathcal{P}(z) = \sum_{n \leq 0} a^+(n) q^n$ with coefficients in \mathbb{C} such that*

$$f(z) - \mathcal{P}(z) = O(e^{-Cy})$$

for some $C > 0$. Analogous conditions are required for all cusps.

$H_k(\Gamma_0(N), \chi \nu_\theta^{2k})$, or $H_k(\Gamma_0(N), \nu)$ for weight $k \in \mathbb{Z} + \frac{1}{2}$ multiplier ν .

Examples of harmonic Maass forms

e.g. holomorphic theta functions $\theta_{\chi,t}(z) := \sum_{n \in \mathbb{Z}} \chi(n) q^{tn^2}$.

(Serre-Stark basis theorem: basis of weight $\frac{1}{2}$ modular forms)

e.g. Maass-Poincaré series. Bringmann and Ono (2006) defined

$$P(s, m, N; z) := \frac{1}{\Gamma(3/2)} \sum_{\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_{\infty} \setminus \Gamma_0(N)} \overline{\psi}(\gamma) (cz + d)^{-\frac{1}{2}} \varphi_{s, \frac{1}{2}}(\tilde{m}\gamma z).$$

e.g. This time we define

$$P_a(z) := \frac{1}{\Gamma(2s)} \sum_{\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_a \setminus \Gamma} \mu(\gamma)^{-1} \overline{w(\sigma_a^{-1}, \gamma)} j(\sigma_a^{-1}\gamma, z)^{-k} \varphi_{s,k}(\tilde{m}\sigma_a^{-1}\gamma z).$$

"Principal part" of harmonic Maass forms:

(Bruinier & Funke, 2004)

$$M(z) = \sum_{n>0} c^+(n)q^n + \sum_{n_0 \leq n \leq 0} c^+(n)q^n + \sum_{n<0} c^-(n)\Gamma(1-k, 4\pi|n|y)q^n.$$

Uniqueness: either holomorphic

or with **principal part** & **non-holomorphic part**

"Principal part" of harmonic Maass forms:

(Bruinier & Funke, 2004)

$$M(z) = \sum_{n>0} c^+(n)q^n + \sum_{n_0 \leq n \leq 0} c^+(n)q^n + \sum_{n<0} c^-(n)\Gamma(1-k, 4\pi|n|y)q^n.$$

Uniqueness: either holomorphic

or with **principal part** & **non-holomorphic part**

$P_{\mathfrak{a}}$ only has principal part at cusp \mathfrak{a}

"Principal part" of harmonic Maass forms:

(Bruinier & Funke, 2004)

$$M(z) = \sum_{n>0} c^+(n)q^n + \sum_{n_0 \leq n \leq 0} c^+(n)q^n + \sum_{n<0} c^-(n)\Gamma(1-k, 4\pi|n|y)q^n.$$

Uniqueness: either holomorphic

or with **principal part** & **non-holomorphic part**

P_α only has principal part at cusp α

P_α has Fourier coefficient of form $\sum \frac{S(\dots)}{c} \text{Bessel}(\frac{4\pi\sqrt{mn}}{c})$

Proof idea

- Find the correct group and multiplier system.

Proof idea

- Find the correct group and multiplier system.
- Construct Maass-Poincaré series which match the principal parts.

Proof idea

- Find the correct group and multiplier system.
- Construct Maass-Poincaré series which match the principal parts.
- Compute the Fourier expansion of these series.

Proof idea

- Find the correct group and multiplier system.
- Construct Maass-Poincaré series which match the principal parts.
- Compute the Fourier expansion of these series.
- Convergence: estimating sums of KL sums.

Proof idea

- Find the correct group and multiplier system.
- Construct Maass-Poincaré series which match the principal parts.
- Compute the Fourier expansion of these series.
- Convergence: estimating sums of KL sums.

Let's go to the mod 3 case! It's on $\Gamma_0(3)$.

Proof idea

- Find the correct group and multiplier system.
- Construct Maass-Poincaré series which match the principal parts.
- Compute the Fourier expansion of these series.
- Convergence: estimating sums of KL sums.

Let's go to the mod 3 case! It's on $\Gamma_0(3)$.

- $q^{-\frac{1}{24}} \mathcal{R}(\zeta_3; q)$: constant at cusp 0.
- Multiplier system: $(\frac{\cdot}{3}) \overline{\nu_\eta}$.
- Fourier expansion: similar methods.

Same idea as in Bringmann and Ono (2006, 2012).

Convergence matters

"Pattern" with Whittaker function to construct Maass-Poincaré series as harmonic Maass forms:

$$\varphi_{s,k}(z) := |4\pi y|^{-\frac{k}{2}} M_{\frac{k}{2} \operatorname{sgn} y, s-\frac{1}{2}}(|4\pi y|) e(x)$$

Convergence matters

"Pattern" with Whittaker function to construct Maass-Poincaré series as harmonic Maass forms:

$$\varphi_{s,k}(z) := |4\pi y|^{-\frac{k}{2}} M_{\frac{k}{2} \operatorname{sgn} y, s - \frac{1}{2}}(|4\pi y|) e(x)$$

- Construct $P_a(z; s, k)$ using $\varphi_{s,k}$, $k \in \mathbb{Z} + \frac{1}{2}$, $\operatorname{Re} s > 1$.

Convergence matters

"Pattern" with Whittaker function to construct Maass-Poincaré series as harmonic Maass forms:

$$\varphi_{s,k}(z) := |4\pi y|^{-\frac{k}{2}} M_{\frac{k}{2} \operatorname{sgn} y, s - \frac{1}{2}}(|4\pi y|) e(x)$$

- Construct $P_a(z; s, k)$ using $\varphi_{s,k}$, $k \in \mathbb{Z} + \frac{1}{2}$, $\operatorname{Re} s > 1$.
- "Harmonic point" at $s = 1 - \frac{k}{2}$. Rank generating functions: $k = \frac{1}{2}$, so $s = \frac{3}{4} < 1$.

$$\Delta_k \varphi_{s,k}(z) = \left(s(1-s) - \frac{k}{2} \left(1 - \frac{k}{2} \right) \right) \varphi_{s,k}(z)$$

Convergence matters

"Pattern" with Whittaker function to construct Maass-Poincaré series as harmonic Maass forms:

$$\varphi_{s,k}(z) := |4\pi y|^{-\frac{k}{2}} M_{\frac{k}{2} \operatorname{sgn} y, s - \frac{1}{2}}(|4\pi y|) e(x)$$

- Construct $P_{\alpha}(z; s, k)$ using $\varphi_{s,k}$, $k \in \mathbb{Z} + \frac{1}{2}$, $\operatorname{Re} s > 1$.
- "Harmonic point" at $s = 1 - \frac{k}{2}$. Rank generating functions: $k = \frac{1}{2}$, so $s = \frac{3}{4} < 1$.

$$\Delta_k \varphi_{s,k}(z) = \left(s(1-s) - \frac{k}{2} \left(1 - \frac{k}{2} \right) \right) \varphi_{s,k}(z)$$

$P_{\alpha}(z; s, k)$ needs to be convergent at $s = \frac{3}{4}$.

Contents

- 1 Introduction
- 2 Ranks of partitions modulo 2 and 3
- 3 Ranks of partitions modulo $p \geq 5$
- 4 Vanishing Kloosterman sums and Dyson's conjectures

Bringmann's asymptotic formula

Bringmann (2009): not only for mod 3, but for modulus odd $u \geq 3$.

$$A\left(\frac{\ell}{u}; n\right) = \frac{4\sqrt{3}i}{\sqrt{24n-1}} \sum_{c: u|c \leq \sqrt{n}} \frac{B_{\ell,u,c}(-n, 0)}{\sqrt{c}} \sinh\left(\frac{\pi\sqrt{24n-1}}{6c}\right) + O_{u,\varepsilon}(n^\varepsilon) \\ + \frac{8\sqrt{3}\sin(\frac{\pi\ell}{u})}{\sqrt{24n-1}} \sum_{r \geq 0} \sum_{\substack{a \leq \sqrt{n}: \\ v=u \nmid a, \\ \delta_{\ell,v,a,r} > 0}} \frac{D_{\ell,v,a}(-n, m_{\ell,v,a,r})}{\sqrt{a}} \sinh\left(\frac{\pi\sqrt{2\delta_{\ell,v,a,r}(24n-1)}}{a\sqrt{3}}\right)$$

$B_{\ell,u,c}$, $D_{\ell,v,a}$: Kloosterman-type exponential sums.

We consider $u = v = p \geq 5$. Why?

Bringmann's asymptotic formula

Bringmann (2009): not only for mod 3, but for modulus odd $u \geq 3$.

$$A\left(\frac{\ell}{u}; n\right) = \frac{4\sqrt{3}i}{\sqrt{24n-1}} \sum_{c: u|c \leq \sqrt{n}} \frac{B_{\ell,u,c}(-n, 0)}{\sqrt{c}} \sinh\left(\frac{\pi\sqrt{24n-1}}{6c}\right) + O_{u,\varepsilon}(n^\varepsilon) \\ + \frac{8\sqrt{3}\sin(\frac{\pi\ell}{u})}{\sqrt{24n-1}} \sum_{r \geq 0} \sum_{\substack{a \leq \sqrt{n}: \\ v=u \nmid a, \\ \delta_{\ell,v,a,r} > 0}} \frac{D_{\ell,v,a}(-n, m_{\ell,v,a,r})}{\sqrt{a}} \sinh\left(\frac{\pi\sqrt{2\delta_{\ell,v,a,r}(24n-1)}}{a\sqrt{3}}\right)$$

$B_{\ell,u,c}$, $D_{\ell,v,a}$: Kloosterman-type exponential sums.

We consider $u = v = p \geq 5$. Why?

- $\Gamma_0(p)$ only has two cusps, ∞ and 0 ;
- $B_{\ell,u,c}$, $D_{\ell,v,a}$, $m_{\ell,v,a,r}$, $\delta_{\ell,v,a,r}$ are a little bit simpler;
- We have transformation laws by Garvan (2019).

Idea: transformation law \rightarrow multiplier system

Believe: Bringmann's formula is exact.

Try: Garvan's transformation law μ_p to build Maass-Poincaré series.

$$\mathcal{G}_1\left(\frac{\ell}{p}; z\right) := \mathcal{N}\left(\frac{\ell}{p}; z\right) + \cdots = \csc\left(\frac{\pi\ell}{p}\right) q^{-\frac{1}{24}} \mathcal{R}(\zeta_p^\ell; q) + \text{non-holo},$$

$$\mathcal{G}_2\left(\frac{\ell}{p}; z\right) := \mathcal{M}\left(\frac{\ell}{p}; z\right) + \varepsilon_2\left(\frac{\ell}{p}; z\right) - T_2\left(\frac{\ell}{p}; z\right),$$

$$\mathcal{G}_1(a, b, p; z) := \cdots, \quad \mathcal{G}_2(a, b, p; z) := \cdots$$

Idea: transformation law \rightarrow multiplier system

Believe: Bringmann's formula is exact.

Try: Garvan's transformation law μ_p to build Maass-Poincaré series.

$$\mathcal{G}_1\left(\frac{\ell}{p}; z\right) := \mathcal{N}\left(\frac{\ell}{p}; z\right) + \cdots = \csc\left(\frac{\pi\ell}{p}\right) q^{-\frac{1}{24}} \mathcal{R}(\zeta_p^\ell; q) + \text{non-holo},$$

$$\mathcal{G}_2\left(\frac{\ell}{p}; z\right) := \mathcal{M}\left(\frac{\ell}{p}; z\right) + \varepsilon_2\left(\frac{\ell}{p}; z\right) - T_2\left(\frac{\ell}{p}; z\right),$$

$$\mathcal{G}_1(a, b, p; z) := \cdots, \quad \mathcal{G}_2(a, b, p; z) := \cdots$$

Theorem 3.1 (Theorem 3.4 in Bringmann and Ono (2010))

$$\left\{ \mathcal{G}_1\left(\frac{\ell}{p}; z\right), \mathcal{G}_2\left(\frac{\ell}{p}; z\right) : 1 \leq \ell < p \right\} \cup \left\{ \mathcal{G}_1(a, b, p; z), \mathcal{G}_2(a, b, p; z) : 0 \leq a < p \right\}$$

is a vector valued Maass form of weight $\frac{1}{2}$ for $\text{SL}_2(\mathbb{Z})$.

Idea: transformation law \rightarrow multiplier system

$$\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_0(p). \quad 0 \leq [A] < p: \quad A \equiv [A] \pmod{p}.$$

Garvan:

$$\mathcal{G}_1\left(\frac{\ell}{p}; \gamma z\right) = \mu(c, d, \ell, p) \overline{\nu_\eta}(\gamma) (cz + d)^{\frac{1}{2}} \mathcal{G}_1\left(\frac{[d\ell]}{p}; z\right)$$

We do: $M_p : \Gamma_0(p) \rightarrow M_{p-1}(\mathbb{C})$ by

$$M_p(\gamma) := \sum_{\ell=1}^{p-1} \mu(c, d, \ell, p) E_{\ell, [d\ell]} \quad \text{and} \quad \mu_p(\gamma) := \overline{\nu_\eta}(\gamma) M_p(\gamma).$$

Idea: transformation law \rightarrow multiplier system

$$\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_0(p). \quad 0 \leq [A] < p: A \equiv [A] \pmod{p}.$$

Garvan:

$$\mathcal{G}_1\left(\frac{\ell}{p}; \gamma z\right) = \mu(c, d, \ell, p) \overline{\nu_\eta}(\gamma) (cz + d)^{\frac{1}{2}} \mathcal{G}_1\left(\frac{[\ell]}{p}; z\right)$$

We do: $M_p: \Gamma_0(p) \rightarrow M_{p-1}(\mathbb{C})$ by

$$M_p(\gamma) := \sum_{\ell=1}^{p-1} \mu(c, d, \ell, p) E_{\ell, [\ell]} \quad \text{and} \quad \mu_p(\gamma) := \overline{\nu_\eta}(\gamma) M_p(\gamma).$$

Recall weight k multiplier system:

$$|\nu| = 1; \quad \nu(-I) = e^{-\pi i k}; \quad \nu(\gamma_1 \gamma_2) = w_k(\gamma_1, \gamma_2) \nu(\gamma_1) \nu(\gamma_2).$$

$$w_k(\gamma_1, \gamma_2) := j(\gamma_2, z)^k j(\gamma_1, \gamma_2 z)^k j(\gamma_1 \gamma_2, z)^{-k}$$

Vector-valued "multiplier system"

Definition 3.2

Congruence subgroup Γ of $\mathrm{SL}_2(\mathbb{Z})$, $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \in \Gamma$. We say ξ is a D -dimensional multiplier system if it satisfies:

- ξ is unitary: $\xi(\gamma)^{-1} = \xi(\gamma)^H$;
- $\xi(-I) = e^{-\pi i k} I_D$;
- $\xi(\gamma_1 \gamma_2) = w_k(\gamma_1, \gamma_2) \xi(\gamma_1) \xi(\gamma_2)$.
- For every cusp \mathfrak{a} of Γ , we have $\alpha_{\xi, \mathfrak{a}}^{(\ell)} \in [0, 1)$ such that

$$\xi\left(\sigma_{\mathfrak{a}}\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}\sigma_{\mathfrak{a}}^{-1}\right) = \mathrm{diag}\left\{e(-\alpha_{\xi, \mathfrak{a}}^{(1)}), \dots, e(-\alpha_{\xi, \mathfrak{a}}^{(D)})\right\}$$

We want vector-valued (harmonic) (Maass) forms on (Γ, ξ) have good Fourier expansions on cusp \mathfrak{a} like

$$(\mathbf{V}|_k \sigma_{\mathfrak{a}})(z) = \sum_{\ell=1}^D \sum_{n \in \mathbb{Z}} a_{\mathbf{V}}^{(\ell)}(y, n) e((n - \alpha_{\xi, \mathfrak{a}})x) \mathbf{e}_{\ell}.$$

$\mu_p : \Gamma_0(p) \rightarrow \mathrm{GL}_{p-1}(\mathbb{C})$ is a $p - 1$ dimensional multiplier system.

$$\alpha_\infty = \frac{1}{24},$$

$\mu_p : \Gamma_0(p) \rightarrow \mathrm{GL}_{p-1}(\mathbb{C})$ is a $p-1$ dimensional multiplier system.
 $\alpha_\infty = \frac{1}{24}$, $\alpha_0^{(\ell)} \in [0, 1)$ is decided by

$$e(-\alpha_0^{(\ell)}) = e\left(-\frac{3\ell^2}{2p} - \frac{p}{24}\right) (-1)^\ell.$$

$\mu_p : \Gamma_0(p) \rightarrow \mathrm{GL}_{p-1}(\mathbb{C})$ is a $p-1$ dimensional multiplier system.
 $\alpha_\infty = \frac{1}{24}$, $\alpha_0^{(\ell)} \in [0, 1)$ is decided by

$$e(-\alpha_0^{(\ell)}) = e\left(-\frac{3\ell^2}{2p} - \frac{p}{24}\right) (-1)^\ell.$$

In Bringmann (2009): let $t = \frac{a\ell - [a\ell]}{p} \in \mathbb{Z}$, for $0 < \frac{[a\ell]}{p} < \frac{1}{6}$,

$$\delta_{\ell,p,a,r} = \frac{3}{2} \left(\frac{[a\ell]}{p}\right)^2 - \left(\frac{1}{2} + r\right) \frac{[a\ell]}{p} + \frac{1}{24}, \quad -m_{\ell,p,a,r} = \frac{3}{2}t^2 + \left(\frac{1}{2} + r\right)t.$$

$\mu_p : \Gamma_0(p) \rightarrow \mathrm{GL}_{p-1}(\mathbb{C})$ is a $p-1$ dimensional multiplier system.
 $\alpha_\infty = \frac{1}{24}$, $\alpha_0^{(\ell)} \in [0, 1)$ is decided by

$$e(-\alpha_0^{(\ell)}) = e\left(-\frac{3\ell^2}{2p} - \frac{p}{24}\right) (-1)^\ell.$$

In Bringmann (2009): let $t = \frac{a\ell - [a\ell]}{p} \in \mathbb{Z}$, for $0 < \frac{[a\ell]}{p} < \frac{1}{6}$,

$$\delta_{\ell,p,a,r} = \frac{3}{2} \left(\frac{[a\ell]}{p}\right)^2 - \left(\frac{1}{2} + r\right) \frac{[a\ell]}{p} + \frac{1}{24}, \quad -m_{\ell,p,a,r} = \frac{3}{2} t^2 + \left(\frac{1}{2} + r\right) t.$$

Magic equation: $\frac{3}{2}x^2 - \left(\frac{1}{2} + r\right)x + \frac{1}{24} = 0$.

$x_r \in (0, \frac{1}{2})$ the only solution in this range.

$\triangleright r \triangleleft := \{1 \leq \ell \leq p-1 : \frac{\ell}{p} \in (0, x_r) \cup (1-x_r, 1)\}$

Behavior of \mathcal{G}_1 at cusps ∞ and 0

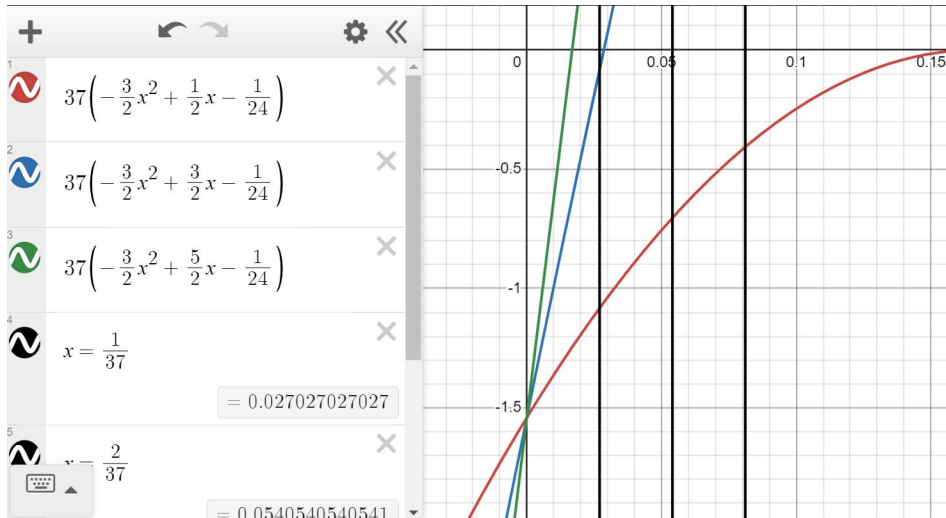
Recall: $\mathcal{G}_1(\frac{\ell}{p}; z) = \csc(\frac{\pi\ell}{p})q^{-\frac{1}{24}}\mathcal{R}(\zeta_p^\ell; q) + \text{non-holo.}$

$$\mathcal{G}_1(\frac{\ell}{p}; \cdot)|_{\frac{1}{2}}\sigma_0 = e(-\frac{1}{8})p^{\frac{1}{4}}\mathcal{G}_2(\frac{\ell}{p}; pz).$$

$$\mathcal{G}_2\left(\frac{\ell}{p}; z\right) = 2q^{-\frac{3}{2}(\frac{\ell}{p})^2 + \frac{\ell}{2p} - \frac{1}{24}} \left(1 + q^{\frac{\ell}{p}} + q^{\frac{2\ell}{p}} + \dots\right)$$

Order for $\mathcal{G}_2(\frac{\ell}{p}; pz)$: $X_r^{(\ell)} \leq 0$

$$X_r^{(\ell)} := \begin{cases} \left\lceil -\frac{3\ell^2}{2p} + (\frac{1}{2} + r)\ell - \frac{p}{24} \right\rceil, & \text{when } 0 < \frac{\ell}{p} < x_r, \\ \left\lceil -\frac{3p}{2}(1 - \frac{\ell}{p})^2 + (\frac{1}{2} + r)p(1 - \frac{\ell}{p}) - \frac{p}{24} \right\rceil, & \text{when } 1 - x_r < \frac{\ell}{p} < 1, \\ 0, & \text{otherwise.} \end{cases}$$



Maass-Poincaré series at ∞ and 0

$$\mathbf{P}_{\infty}(z; p, s, k, m, \mu_p) := \frac{2}{\sqrt{\pi}} \sum_{\ell=1}^{p-1} \sum_{\gamma \in \Gamma_{\infty} \setminus \Gamma_0(p)} \mu_p(\gamma)^{-1} \frac{\varphi_{s,k}(m_{\infty} \gamma z)}{(cz + d)^{\frac{1}{2}} \sin(\frac{\pi \ell}{p})} \mathfrak{e}_{\ell}.$$

Principal part of \mathbf{P}_{∞} at ∞ : $\sum_{\ell=1}^{p-1} \csc(\frac{\pi \ell}{p}) q^{-\frac{1}{24}} \mathfrak{e}_{\ell}.$

Maass-Poincaré series at ∞ and 0

$$\mathbf{P}_{\infty}(z; p, s, k, m, \mu_p) := \frac{2}{\sqrt{\pi}} \sum_{\ell=1}^{p-1} \sum_{\gamma \in \Gamma_{\infty} \setminus \Gamma_0(p)} \mu_p(\gamma)^{-1} \frac{\varphi_{s,k}(m_{\infty} \gamma z)}{(cz + d)^{\frac{1}{2}} \sin(\frac{\pi \ell}{p})} \mathbf{e}_{\ell}.$$

Principal part of \mathbf{P}_{∞} at ∞ : $\sum_{\ell=1}^{p-1} \csc(\frac{\pi \ell}{p}) q^{-\frac{1}{24}} \mathbf{e}_{\ell}.$

$$\mathbf{P}_0(z; p, s, k, \mathbf{X}_r, \mu_p)$$

$$:= \frac{2e(-\frac{1}{8})p^{\frac{1}{4}}}{\sqrt{\pi}} \sum_{\ell \in \triangleright r \triangleleft} \sum_{\substack{\gamma \in \Gamma_0 \setminus \Gamma_0(p) \\ \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix}}} \mu_p(\gamma)^{-1} \overline{w_{\frac{1}{2}}(\sigma_0^{-1}, \gamma)} \frac{\varphi_{s,k}(X_{r,0}^{(\ell)} \sigma_0^{-1} \gamma z)}{(-a\sqrt{p}z - b\sqrt{p})^{\frac{1}{2}}} \mathbf{e}_{\ell},$$

Principal part of \mathbf{P}_0 at 0: $e(-\frac{1}{8})p^{\frac{1}{4}} \sum_{\ell \in \triangleright r \triangleleft} q^{X_{r,0}^{(\ell)}} \mathbf{e}_{\ell}$

Final proof of the exact formula

Lemma 3.3

For \mathbf{X}_r defined above, the function

$$\mathbf{G}(z) := \mathbf{G}_1(z; p) - \mathbf{P}_\infty(z; p, \tfrac{3}{4}, \tfrac{1}{2}, 0, \mu_p) - 2 \sum_{\substack{r \geq 0 \\ x_r^{-1} < p}} \mathbf{P}_0(z; p, \tfrac{3}{4}, \tfrac{1}{2}, \mathbf{X}_r, \mu_p)$$

has constant principal parts at both ∞ and 0 , i.e. $\mathbf{G}(z) \in M_{\frac{1}{2}}(\Gamma_0(p), \mu_p)$.

Lemma 3.4

$$\mathbf{G}(z) = \mathbf{0}.$$

Reason: Serre-Stark basis theorem and μ_p .

Two ingredients needed: 1. Convergence

"Naturally" convergence at $\operatorname{Re} s > 1$, but we need expansion at $s = \frac{3}{4}$.

Estimate sums of *vector-valued* Kloosterman sums?

Thanks Goldfeld and Sarnak (1983) \rightarrow generalize

$$\sum_{\substack{a \leq x: p \nmid a, \\ [al]=L}} \frac{S_{0\infty}^{(\ell)}(m^{(L)}, n, a, \mu_p)}{a\sqrt{p}} = \sum_{\frac{1}{2} < s_j \leq \frac{3}{4}} \tau_{j,0,(L)}^{(\ell)}(m^{(L)}, n) \frac{x^{2s_j-1}}{2s_j-1} \\ + O_{p,\varepsilon} \left(|m_{+0}^{(L)}| n^3 x^{\frac{1}{3}+\varepsilon} \right)$$

The following is then absolutely convergent:

$$\sum_{n_{+\infty} > 0} \left| \sum_{\substack{a > 0: p \nmid a, \\ \ell \in \mathbb{D}}} \sum_{r \leq 1} \left| \frac{m_{+0}^{([al])}}{n_{+\infty}} \right|^{\frac{1}{4}} \frac{S_{0\infty}^{(\ell)}(m^{([al])}, n, a, \mu_p)}{a\sqrt{p}} I_{\frac{1}{2}} \left(\frac{4\pi |m_{+0}^{([al])} n_{+\infty}|^{\frac{1}{2}}}{c} \right) \right| q^{n_{+\infty}}$$

The Fourier expansion of \mathbf{P}_∞ at ∞ gives $S_{\infty\infty}^{(\ell)}(m, n, c, \mu_p)$.

The Fourier expansion of \mathbf{P}_0 at ∞ gives $S_{0\infty}^{(\ell)}(X_r^{([a\ell])}, n, a, \mu_p)$.

By the convergence of the expansions at $s = \frac{3}{4}$, we have

Theorem 3.5 (S. (2024))

$$A\left(\frac{\ell}{p}; n\right) = \frac{2\pi e(-\frac{1}{8}) \sin(\frac{\pi\ell}{p})}{(24n-1)^{\frac{1}{4}}} \sum_{c>0: p|c} \frac{S_{\infty\infty}^{(\ell)}(0, n, c, \mu_p)}{c} I_{\frac{1}{2}}\left(\frac{4\pi\sqrt{24n-1}}{24c}\right) \\ + \frac{4\pi \sin(\frac{\pi\ell}{p})}{(n - \frac{1}{24})^{\frac{1}{4}}} \sum_{\substack{r \geq 0 \\ x_r^{-1} < p}} \sum_{\substack{a > 0: p \nmid a, \\ \frac{[a\ell]}{p} \in (0, x_r) \\ \text{or } \frac{[a\ell]}{p} \in (1-x_r, 1)}} \frac{S_{0\infty}^{(\ell)}(\lceil -p\delta_{\ell,p,a,r} \rceil, n, a, \mu_p)}{a \cdot \delta_{\ell,p,a,r}^{-\frac{1}{4}}} I_{\frac{1}{2}}\left(\frac{4\pi\sqrt{\delta_{\ell,p,a,r}(n - \frac{1}{24})}}{a}\right)$$

Two ingredients needed: 2. KL sums match

Bringmann (2009):

$$A\left(\frac{\ell}{p}; n\right) = \frac{4\sqrt{3}i}{\sqrt{24n-1}} \sum_{c: p|c \leq \sqrt{n}} \frac{B_{\ell,u,c}(-n, 0)}{\sqrt{c}} \sinh\left(\frac{\pi\sqrt{24n-1}}{6c}\right) + O_{u,\varepsilon}(n^\varepsilon) \\ + \frac{8\sqrt{3}\sin(\frac{\pi\ell}{p})}{\sqrt{24n-1}} \sum_{r \geq 0} \sum_{\substack{a \leq \sqrt{n}: \\ p \nmid a, \\ \delta_{\ell,p,a,r} > 0}} \frac{D_{\ell,p,a}(-n, m_{\ell,p,a,r})}{\sqrt{a}} \sinh\left(\frac{\pi\sqrt{2\delta_{\ell,p,a,r}(24n-1)}}{a\sqrt{3}}\right)$$

We prove:

$$e(-\frac{1}{8}) \overline{B_{\ell,p,c}(-n, 0)} = \sin(\frac{\pi\ell}{p}) S_{\infty\infty}^{(\ell)}(0, n, c, \mu_p), \\ \overline{D_{\ell,p,a,r}(-n, m_{\ell,p,a,r})} = S_{0\infty}^{(\ell)}\left(\lceil -p\delta_{\ell,a,p,r} \rceil, n, a, \mu_p\right).$$

Dyson's rank conjectures

$$5N(\ell, 5; 5n+4) = p(5n+4), \quad 7N(\ell, 7; 7n+5) = p(7n+5).$$

Relation:

$$u \cdot N(\ell, u; n) = p(n) + \sum_{j=1}^{u-1} \zeta_u^{-\ell j} A\left(\frac{\ell}{u}; n\right).$$

$(\zeta_p^{-\ell j} + \zeta_p^{\ell j})_{1 \leq \ell \leq \frac{p-1}{2}, 1 \leq j \leq \frac{p-1}{2}}$ is an invertible matrix.

Can we show $A(\frac{\ell}{5}; 5n+4) = 0$ and $A(\frac{\ell}{7}; 7n+5) = 0$ for all ℓ and $n \geq 0$?

Dyson's rank conjectures

$$5N(\ell, 5; 5n+4) = p(5n+4), \quad 7N(\ell, 7; 7n+5) = p(7n+5).$$

Relation:

$$u \cdot N(\ell, u; n) = p(n) + \sum_{j=1}^{u-1} \zeta_u^{-\ell j} A\left(\frac{\ell}{u}; n\right).$$

$(\zeta_p^{-\ell j} + \zeta_p^{\ell j})_{1 \leq \ell \leq \frac{p-1}{2}, 1 \leq j \leq \frac{p-1}{2}}$ is an invertible matrix.

Can we show $A(\frac{\ell}{5}; 5n+4) = 0$ and $A(\frac{\ell}{7}; 7n+5) = 0$ for all ℓ and $n \geq 0$?

$$S_{\infty\infty}^{(\ell)}(0, 5n+4, c, \mu_5) = \sum_{d \pmod{c}^*} \frac{e\left(\frac{-3\pi i c' a \ell^2}{10}\right)}{\sin\left(\frac{\pi a \ell}{5}\right)} e^{-\pi i s(d, c)} e\left(\frac{(5n+4)d}{c}\right)$$

$5|c$. What happens if $n \rightarrow n+1$?

Dyson's rank conjectures

$$5N(\ell, 5; 5n+4) = p(5n+4), \quad 7N(\ell, 7; 7n+5) = p(7n+5).$$

Relation:

$$u \cdot N(\ell, u; n) = p(n) + \sum_{j=1}^{u-1} \zeta_u^{-\ell j} A\left(\frac{\ell}{u}; n\right).$$

$(\zeta_p^{-\ell j} + \zeta_p^{\ell j})_{1 \leq \ell \leq \frac{p-1}{2}, 1 \leq j \leq \frac{p-1}{2}}$ is an invertible matrix.

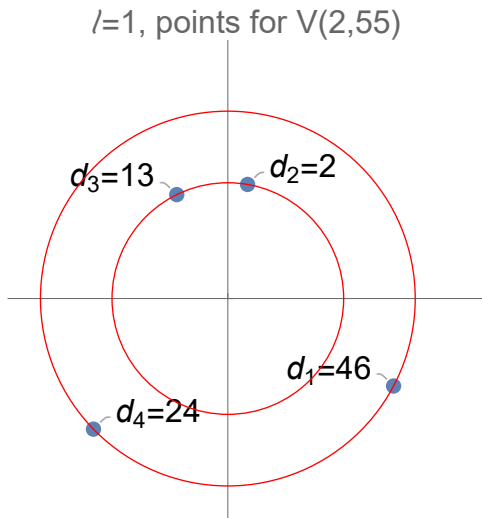
Can we show $A(\frac{\ell}{5}; 5n+4) = 0$ and $A(\frac{\ell}{7}; 7n+5) = 0$ for all ℓ and $n \geq 0$?

$$S_{\infty\infty}^{(\ell)}(0, 5n+4, c, \mu_5) = \sum_{d \pmod{c}^*} \frac{e\left(\frac{-3\pi i c' a \ell^2}{10}\right)}{\sin\left(\frac{\pi a \ell}{5}\right)} e^{-\pi i s(d, c)} e\left(\frac{(5n+4)d}{c}\right)$$

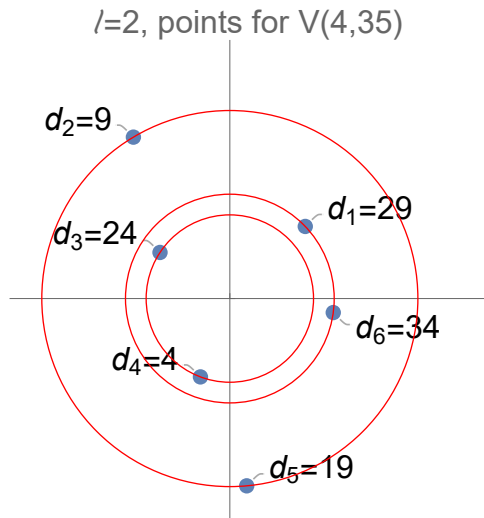
$5|c$. What happens if $n \rightarrow n+1$?

$$(r, \frac{c}{5}) = 1, \quad V(r, c) := \{d(c)^* : d \equiv r \pmod{\frac{c}{5}}\}; \quad |V(r, c)| = 4 \text{ or } 5.$$

Vanishing KL sums: $p = 5$

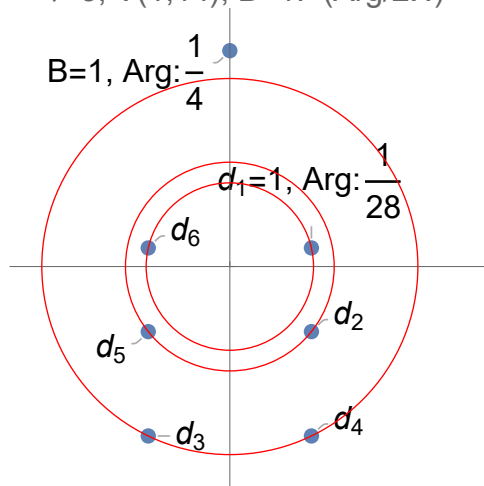


Vanishing KL sums: $p = 7$, case 1



Vanishing KL sums: $p = 7$, case $a\ell \equiv \pm 1 \pmod{7}$

$l=3, V(1,14), B=1. (\text{Arg}/2\pi)$



Properties of KL sums

Theorem 4.1 (S. (2024))

For all $n \geq 0$ and $1 \leq \ell \leq p-1$ when $p = 5, 7$, we have the following vanishing conditions for the Kloosterman sums appearing at my exact formula:

- ① *If $5|c$, we have $S_{\infty\infty}^{(\ell)}(0, 5n+4, c, \mu_5) = 0$.*
- ② *If $7|c$ and $\frac{c}{7} \cdot \ell \not\equiv \pm 1 \pmod{7}$, then $S_{\infty\infty}^{(\ell)}(0, 7n+5, c, \mu_7) = 0$.*
- ③ *If $7|c$, $7 \nmid a$, $a\ell \equiv \pm 1 \pmod{7}$, and $c = 7a$,*

$$e(-\tfrac{1}{8})S_{\infty\infty}^{(\ell)}(0, 7n+5, c, \mu_7) + 2\sqrt{7}S_{0\infty}^{(\ell)}(0, 7n+5, a, \mu_7) = 0.$$

$$u \cdot N(\ell, u; n) = p(n) + \sum_{j=1}^{u-1} \zeta_u^{-\ell j} A\left(\frac{\ell}{u}; n\right).$$

Proves $N(\ell, 5; 5n+4) = \frac{1}{5}p(5n+4)$ & $N(\ell, 7; 7n+5) = \frac{1}{7}p(7n+5)$.

Dyson's conjectures: other rank equalities

$$N(1, 5; 5n + 1) = N(2, 5; 5n + 1);$$

$$N(0, 5; 5n + 2) = N(2, 5; 5n + 2);$$

$$N(2, 7; 7n) = N(3, 7; 7n);$$

$$N(1, 7; 7n + 1) = N(2, 7; 7n + 1) = N(3, 7; 7n + 1);$$

$$N(0, 7; 7n + 2) = N(3, 7; 7n + 2);$$

$$N(0, 7; 7n + 3) = N(2, 7; 7n + 3), \quad N(1, 7; 7n + 3) = N(3, 7; 7n + 3);$$

$$N(0, 7; 7n + 4) = N(1, 7; 7n + 4) = N(3, 7; 7n + 4);$$

$$N(0, 7; 7n + 6) + N(1, 7; 7n + 6) = N(2, 7; 7n + 6) + N(3, 7; 7n + 6).$$

- Setting n as $pn + k$ in our KL sums
- checking $A(\frac{\ell}{p}; pn + k)$ for all the cases...

Thank you!

References:

- 1 Qihang Sun. *Exact formulae for ranks of partitions*, 2024. arXiv:2406.06294.
- 2 Qihang Sun. Vanishing properties of Kloosterman sums and Dyson's conjectures, 2024. arXiv:2406.07469.