

Modular Forms

$\mathcal{H} = \{z = x + iy \in \mathbb{C} | y > 0\}$, $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{R})$ acts by $z \rightarrow \frac{az+b}{cz+d}$ with factor of automorphy $j(\gamma, z) := cz + d$. Translation matrices: $T^\alpha := \begin{pmatrix} 1 & \alpha \\ 0 & 1 \end{pmatrix} : z \mapsto z + \alpha$.

MF: $f : \mathcal{H} \rightarrow \mathbb{C}$ (various an properties), $f(\gamma\tau) = j(\gamma, \tau)^\kappa f(\tau)$ $\forall \gamma \in \Gamma$, condition at the cusps: If $\ell \in \mathbb{P}^1(\mathbb{R})$ with Γ_ℓ parabolic and $\sigma_\ell \infty = s$ then $\sigma_\ell^{-1} \Gamma \sigma_\ell \cong \langle T^{\alpha_\ell} \rangle$, set $z_\ell := \sigma_\ell^{-1} z = x_\ell + iy_\ell$ and $q_\ell := e(\frac{z_\ell}{\alpha_\ell})$, and then $f|_\kappa \sigma(z) = \sum_{n \in \mathbb{Z}} a_n(y_\ell) q_\ell^n$. Γ commens with $\mathrm{SL}_2(\mathbb{Z})$: $\ell \in \mathbb{P}^1(\mathbb{Q})$, $\sigma_\ell \in \mathrm{SL}_2(\mathbb{Z})$, α_ℓ depends only on ℓ .

When f hol, a_n const, hol at cusp: $a_n = 0 \forall n < 0$. In many cases a_n interesting function of n ($\sigma_{\kappa-1}(n)$ for Eisenstein series, relations with number of points on elliptic curves modulo p for some cusp forms of weight 2). $\dim_{\mathbb{C}} M_\kappa(\Gamma) < \infty \Rightarrow$ relations between coeffs. $S_\kappa(\Gamma) \subseteq M_\kappa(\Gamma)$: vanishing at all cusps, decay exp there. f Mer at cusp: $a_n = 0 \forall n \ll 0$. Defines $M_\kappa^!(\Gamma)$.

For $\kappa \in \frac{1}{2}\mathbb{Z}$ need subgps of

$$\mathrm{Mp}_2(\mathbb{R}) := \{(\gamma, \varphi) \mid \gamma \in \mathrm{SL}_2(\mathbb{R}), \varphi : \mathcal{H} \rightarrow \mathbb{C}, \varphi(z)^2 = j(\gamma, z)\}.$$

As examples, theta functions (more below), Dedekind η (with char). $\frac{1}{\eta}$ related to the partition function.

Shimura (1971) relates MF's of weight $k + \frac{1}{2}$ ($k \in \mathbb{N}$) to MF's of weight $2k$, Shintani (1975) in the other way around. More explicitly, given $f \in S_{2k}(\Gamma)$, he shows that the generating series of certain linear combinations of integrals of f are the Fourier coefficients of $g \in S_{k+1/2}(\tilde{\Gamma})$.

[Sn] Shintani, T., ON THE CONSTRUCTION OF HOLOMORPHIC CUSP FORMS OF HALF-INTEGRAL WEIGHT, Nagoya Math. J., vol 58, 83-126 (1975).

Lattices and Geodesics

$V := M_2(\mathbb{Q})_0$ (trace 0), quad of $\text{sgn}(2, 1)$ with $Q(\lambda) := -N \det \lambda$ and $(\lambda, \mu) := N \text{Tr}(\lambda\mu)$. $G := \text{Spin}(V) \cong \text{SL}_2$ over \mathbb{Q} by conj. Then we have $\mathcal{H} \cong \{\text{negative lines in } V_{\mathbb{R}}\}$ by the map $z \mapsto \mathbb{R}Z^\perp(z)$ for $Z^\perp(z) := \frac{1}{\sqrt{N}y} \begin{pmatrix} x & -|z|^2 \\ 1 & -x \end{pmatrix}$ with $Q(Z^\perp(z)) = -1$, for which the orth comp $\mathbb{R}\Re Z(z) \oplus \mathbb{R}\Im Z(z)$ where $Z(z) := \frac{1}{\sqrt{N}} \begin{pmatrix} z & -z^2 \\ 1 & -z \end{pmatrix}$.

$L \subseteq V$ even lattice ($Q(L) \subseteq \mathbb{Z}$), contained in the dual lattice $L^* := \{\lambda \in V \mid (\lambda, L) \subseteq \mathbb{Z}\}$ with $D_L := L^*/L$. Stable orth grp:

$$\Gamma := \left\{ \gamma \in \text{SL}_2(\mathbb{R}) \mid \gamma L = L, \gamma|_{D_L} = \text{Id}|_{D_L} \right\}, \quad \text{P}\Gamma := \Gamma / \{\pm 1\}.$$

For example, for L spanned by $\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$, $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$, and $\frac{1}{N} \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$ the dual is spanned by $\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$, $\frac{1}{2N} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$, and $\frac{1}{N} \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$, $D_L \cong \mathbb{Z}/2N\mathbb{Z}$, and $\Gamma = \Gamma_0(N)$. Related to integral binary quadratic forms. We set $\pi : \mathcal{H} \rightarrow Y := \Gamma \backslash \mathcal{H}$ and $X = Y \cup \{\text{cusps}\}$, cusps are $\Gamma \backslash \mathbb{P}^1(\mathbb{Q})$. For such ℓ , β_ℓ describes $L \cap \ell$, and then $\varepsilon_\ell := \frac{\alpha_\ell}{\beta_\ell}$ equals $\sqrt{\frac{N|D_\ell|}{8}}$.

For $\lambda \in L^*$ with $m = Q(\lambda) > 0$, set $c_\lambda := \{z \in \mathcal{H} \mid \lambda \perp Z^\perp(z)\}$ —oriented geodesic, as well as $c(\lambda) := \pi(c_\lambda) \subseteq Y$.

Prop: If $\frac{m}{N} \in \mathbb{Q}^2$ (split-hyper) then $\text{P}\Gamma_\lambda$ triv, c_λ connects two cusps in $\mathbb{P}^1(\mathbb{Q})$, $c(\lambda) \cong c_\lambda$. Otherwise $\text{P}\Gamma_\lambda$ cyclic, c_λ connects quad irrats in $\mathbb{P}^1(\mathbb{R})$, $c(\lambda) \subseteq Y$ closed geodesic.

Given $h \in D_L$ and $m \in \mathbb{Q}$, set

$$L_{m,h} := \{\lambda \in L + h \mid Q(\lambda) = m, \lambda \neq 0\}.$$

Non-empty only if $m \in \mathbb{Z} + Q(h)$. If $m \neq 0$ then $\Gamma \backslash L_{m,h}$ finite, $\mathfrak{R}_{m,h}$ set of reps.

For $f \in S_{2k}(\Gamma)$ and $m > 0$, set

$$\text{Tr}_{m,h}(f) := \sum_{\lambda \in \mathfrak{R}_{m,h}} \int_{c(\lambda)} f(z)(\lambda, Z(z))^{k-1} dz.$$

If m split-hyper, related to certain central L -values.

Shintani: For $k > 0$, $\sum_{m=1}^{\infty} \text{Tr}_{m,0}(f) q^m \in S_{k+1/2}(\tilde{\Gamma})$.

To get to level 1, we obtain vector-valued modular forms.

Indefinite Theta Functions

$\mathrm{Mp}_2(\mathbb{Z}) = \langle T, S \mid S^2 = (ST)^3 = Z, Z^4 = (I, 1) \rangle$, $S := \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$
with $\sqrt{z} \in \mathcal{H}$ and T with $\varphi = 1$.

Weil rep: $\rho_L : \mathrm{Mp}_2(\mathbb{Z}) \rightarrow \mathrm{GL}(\mathbb{C}[D_L])$ defined by

$$\rho_L(T)\mathfrak{e}_h = \mathfrak{e}(Q(h))\mathfrak{e}_h, \quad \rho_L(S)\mathfrak{e}_h = \frac{1}{\sqrt{i|D_L|}} \sum_{g \in D_L} \mathfrak{e}(-(g, h))\mathfrak{e}_g.$$

$\tau = u + iv \in \mathcal{H}$, $z \in \mathcal{H}$, $k \in \mathbb{N}$:

$$\Theta_{k,L}(\tau, z) := \sqrt{v} \sum_{h \in D_L} \sum_{\lambda \in L+h} (\lambda, Z(z))^k \mathfrak{e}[Q(\lambda)\tau + (\lambda, Z^\perp(z))^2 \frac{iv}{2}] \mathfrak{e}_h.$$

Thm: For fixed $z \in \mathcal{H}$ we have $(\tau \mapsto \Theta_{k,L}(\tau, z)) \in \mathcal{A}_{k+1/2}(\rho_L)$, and if $\tau \in \mathcal{H}$ is fixed then $(z \mapsto \Theta_{k,L}(\tau, z)) \in \mathcal{A}_{-2k}(\Gamma) \otimes_{\mathbb{C}} \mathbb{C}[D_L]$. First part by Borcherds, second is easy.

Main idea: For $f \in S_{2k}(\Gamma)$ we set

$$I_{k,L}(\tau, f) := \int_Y f(z) \Theta_{k,L}(\tau, z) d\mu(z), \quad d\mu(z) = \frac{dx dy}{y^2},$$

which is in $\mathcal{A}_{k+1/2}(\rho_L)$. Need to show that gives Shintani. We already have the expansion, since

$$\Theta_{k,L}(\tau, z) = \sqrt{v} \sum_{h \in D_L} \sum_{m \in \mathbb{Z} + Q(h)} \left[\sum_{\lambda \in L_{m,h}} (\lambda, Z(z))^k e^{-\pi v(\lambda, Z^\perp(z))^2} \right] q^m \mathfrak{e}_h$$

(plus $\sqrt{v}\mathfrak{e}_0$ when $k = 0$), can integrate for each m and h separately.

Shimura: A similar integral, in the other direction.

Proof of Shintani

Set $g(\xi) := e^{-\xi^2/2}$, with decaying anti-symmetric “primitive function” $e(\xi) := -\frac{\text{sgn}(\xi)}{\sqrt{2}}\Gamma\left(\frac{1}{2}, \frac{\xi^2}{2}\right)$ for $\xi \neq 0$. We define

$$\psi_{k,-1}(\lambda, z) := (\lambda, Z(z))^k g\left(\sqrt{2\pi}(\lambda, Z^\perp(z))\right) \quad \text{and}$$

$$\psi_{k-1,0}(\lambda, z) := \frac{(\lambda, Z(z))^{k-1}}{\sqrt{2\pi}} e\left(\sqrt{2\pi}(\lambda, Z^\perp(z))\right).$$

Summand in $\Theta_{k,L}$ is $v^{\frac{1-k}{2}}\psi_{k,-1}(\sqrt{v}\lambda, z)$, and if $L_z := -2iy^2\partial_{\bar{z}}$ is the weight lowering operator then $-L_z\psi_{k-1,0}(\sqrt{v}\lambda, z) = \psi_{k,-1}(\sqrt{v}\lambda, z)$.

For $m \neq 0$ and h unfolding gives

$$\int_Y f(z) \sum_{\lambda \in L_{m,h}} \psi_{k,-1}(\sqrt{v}\lambda, z) d\mu(z) = \sum_{\lambda \in \mathfrak{R}_{m,h}} \int_{\Gamma_\lambda \setminus \mathcal{H}} f(z) \psi_{k,-1}(\sqrt{v}\lambda, z) d\mu(z),$$

and we multiply by $v^{\frac{1-k}{2}}$ and apply Stokes:

$$\int_{\mathcal{R}} f(z) (-L_z G(z)) d\mu(z) = \oint_{\partial \mathcal{R}} f(z) G(z) dz + \int_{\mathcal{R}} L_z f(z) G(z) d\mu(z).$$

$m < 0 \Rightarrow |\Gamma_\lambda| < \infty$, $\psi_{k,-1}(\sqrt{v}\lambda, z)$ decays at $\partial \mathcal{H}$. For $m > 0$ do the same on $\mathcal{H} \setminus c(\lambda)$, decaying except on $c(\lambda)$, different signs produce $\text{Tr}_{m,h}(f)$. If $m = 0$ then for \mathfrak{L} representing cusps, it is roughly

$$\sum_{\lambda \in \mathfrak{L}} \int_{\Gamma_\lambda \setminus \mathcal{H}} f(z) \psi_{k,-1}(\sqrt{v}\lambda, z) d\mu(z),$$

leaves only vanishing constant term of f . QED.

Regularized Integrals

For $f \in M_{2k}^!(\Gamma)$, the integral $I_{k,L}(\tau, f)$ diverges. For regularization, assume $\Gamma \leq \mathrm{SL}_2(\mathbb{Z})$, latter with the fundamental domain

$$\mathcal{F} := \{z \in \mathcal{H} \mid |x| \leq \tfrac{1}{2}, |z| \geq 1\} \cong Y(1), \quad \mathcal{F}_T := \{z \in \mathcal{F} \mid y \leq T\},$$

and then $\mathcal{F}_T(L) := \bigcup_{\ell \in \mathfrak{L}} \bigcup_{j=0}^{\alpha_\ell-1} \sigma_\ell\{z+j \mid z \in \mathcal{F}_T\} \cong Y$ for $\mathfrak{L} \subseteq \mathbb{P}^1(\mathbb{Q})$ fin set of reps mod Γ . Then the *regularized Shintani lift* $I_{k,L}^{\mathrm{reg}}(\tau, f)$ is

$$\mathrm{CT}_{s=0} \lim_{T \rightarrow \infty} \int_{\mathcal{F}_T(L)} f(z) \Theta_{k,L}(\tau, z) y^{-s} d\mu(z).$$

If $k > 0$ and $f \in M_{2k}^!(\Gamma)$ has no constant terms then the result of Shintani essentially holds, except that the integral $\mathrm{Tr}_{m,h}(f)$ for $m \in N \cdot \mathbb{Q}^2$ diverges as well (will be regularized below).

For evaluating $I_{k,L}^{\mathrm{reg}}(\tau, f)$ we need $\Theta_{k,L}(\tau, z)$ near a cusp. Given ℓ , set $\Theta_{k,\ell}(\tau)$ to be

$$\sum_{h \in D_L} \sum_{0 < m \in \mathbb{Q}} (\iota_\ell(m, h) + \bar{\delta}_{m,0}(-1)^k \iota_\ell(m, -h)) \frac{\mathrm{He}_k(2\sqrt{2\pi m v})}{(2\pi v)^{k/2}} q^m \mathfrak{e}_h.$$

Prop: $(\Theta_{k,L} \mid_{2k,z} \sigma_\ell)(\tau, z_\ell) = \frac{i^k y_\ell^{k+1}}{\sqrt{N} \beta_\ell} \Theta_{k,\ell}(\tau) + O(e^{-C_\ell y_\ell^2}).$

Cor: If f has no constant terms then $I_{k,L}^{\mathrm{reg}}(\tau, f)$ is just the convergent limit $\lim_{T \rightarrow \infty} \int_{\mathcal{F}_T} f(z) \Theta_{k,L}(\tau, z) d\mu(z)$. Otherwise need to subtract, for every $\ell \in \mathfrak{L}$, the function $i^k \Theta_{k,\infty}(\tau) c_\ell(0)^{\frac{T^k}{k}}$ times $\sqrt{\frac{|D_\ell|}{8}} = \frac{\varepsilon_\ell}{\sqrt{N}}$ before taking the limit.

These ideas allow to evaluate (regularized) Shintani lifts of other modular forms, yielding interesting results. We mention the case $k = 0$, as well as lifting harmonic weak Maass forms. We do it for nearly holomorphic MF's. One reason is that we can still use Stokes with an exact differential form.

[BFI]: Bruinier, J. H., Funke, J., Imamoglu, Ö, REGULARIZED THETA LIFTINGS AND PERIODS OF MODULAR FUNCTIONS, J. reine angew. Math., vol 703, 43–93 (2015).

[ANS]: Alfes-Neumann, C., Schwagenscheidt, M., SHINTANI THETA LIFTS OF HARMONIC MAASS FORMS, to appear in Trans. Amer. Math. Soc., <https://arxiv.org/abs/1712.04491>.

Nearly Holomorphic MF's

We say that f is *nearly holomorphic of depth p* if $f(z) = \sum_{l=0}^p \frac{f_l(z)}{y^l}$ with f_l hol, $f_p \neq 0$. This is $\mathrm{SL}_2(\mathbb{R})$ -invariant. Expansion at ∞ if $T^\alpha \in \Gamma$: $f(z) = \sum_{n \in \mathbb{Z}} \sum_{l=0}^p \frac{c(n,l)}{y^l} \mathbf{e}(nz)$, with same conditions for nearly hol at ∞ and nearly weakly hol at ∞ (similar at other cusps).

Regularized trace: $\lambda \in L^*$ split-hyper with $m = Q(\lambda)$, $c(\lambda)$ goes from $\ell_{-\lambda}$ to ℓ_λ and we define, if f has no constant coefficients,

$$\begin{aligned} \int_{c(\lambda)}^{\mathrm{reg}} f(z)(\lambda, Z(z))^{k-1} dz &:= \int_{c(\lambda) \cap \mathcal{F}_T(L)} f(z)(\lambda, Z(z))^{k-1} dz + \\ &+ i^k (2\sqrt{m})^{k-1} \sum_{n \neq 0} \sum_{l=0}^p c_{\ell_\lambda}(n, l) \left(\frac{2\pi n}{\alpha_{\ell_\lambda}} \right)^{l-k} \Gamma\left(k-l, \frac{2\pi n T}{\alpha_{\ell_\lambda}}\right) + \\ &+ (-i)^k (2\sqrt{m})^{k-1} \sum_{n \neq 0} \sum_{l=0}^p c_{\ell_{-\lambda}}(n, l) \left(\frac{2\pi n}{\alpha_{\ell_{-\lambda}}} \right)^{l-k} \Gamma\left(k-l, \frac{2\pi n T}{\alpha_{\ell_{-\lambda}}}\right). \end{aligned}$$

Incomplete Γ for $n < 0$: If $l < k$ well-def, for $l = k$ use $\mathrm{PV} \int_t^\infty e^{-t} \frac{dt}{t}$, if $\mu = k - l < 0$ write

$$\Gamma(\mu, t) = \frac{(-1)^\mu}{|\mu|!} \left(\Gamma(0, t) + \sum_{a=0}^{|\mu|-1} \frac{a! e^{-t}}{(-t)^{a+1}} \right).$$

This is indep of T since σ_ℓ takes $(\lambda, Z(z))$ to $2\sqrt{m}iy_\ell$ and thus $\frac{d}{dT} = 0$. With constant terms, we also have to subtract $i^k (2\sqrt{Q(\lambda)})^{k-1}$ times $\sum_{l \neq k} c_{\ell_\lambda}(0, l) \frac{T^{k-l}}{l-k} - c(0, k) \log T$ and the same with $\ell_{-\lambda}$ by the same idea. $\mathrm{Tr}_{m,h}^{\mathrm{reg}}(f)$ a similar sum.

For Stokes we need additional primitive functions of higher order of $\psi_{k,-1}$. Set

$$P_\nu(\xi) := \sum_{r=0}^{\lfloor \nu/2 \rfloor} \frac{\xi^{\nu-2r}}{r!(\nu-2r)!2^r}, \quad Q_\nu(\xi) := \sum_{a=0}^{\nu-1} \frac{(\nu-1-a)!}{\nu!} P_{\nu-1-2a}(\xi),$$

with $P_\nu = 0$ if $\nu < 0$ and $Q_{-1} = 1$, as well as

$$h_\nu(\xi) := P_\nu(\xi) \mathbf{e}(\xi) + Q_\nu(\xi) \mathbf{g}(\xi), \quad g_{\kappa,\nu}(\xi; \eta) := (\xi + i\eta)^\kappa h_\nu(\xi),$$

and

$$\psi_{\kappa,\nu}(\lambda, z) := \frac{(\lambda, Z(z))^\kappa}{(2\pi)^{(\nu+1)/2}} h_\nu\left(\sqrt{2\pi}(\lambda, Z^\perp(z))\right).$$

Lemma: $h'_\nu(\xi) = h_{\nu-1}(\xi)$, $-L_z \psi_{\kappa,\nu}(\sqrt{v}\lambda, z) = \psi_{\kappa+1,\nu-1}(\sqrt{v}\lambda, z)$ (if none of the arguments vanish— $h'_\nu(\xi) = h_{\nu-1}(\xi) - \sqrt{2\pi} \cdot P_\nu(0) \cdot \delta_{\xi=0}$ as dist)

Cor: If f is of depth p and $k \geq 0$ then

$$\int_{\mathcal{R}} f(z) \psi_{k,-1}(\sqrt{v}\lambda, z) d\mu(z) = \sum_{\nu=0}^p \oint_{\partial\mathcal{R}} (L_z^\nu f)(z) \psi_{k-\nu-1,\nu}(\sqrt{v}\lambda, z) dz.$$

Noting that $P_\nu(0)$ vanishes for odd ν and equals $\frac{1}{2^b b!}$ when $\nu = 2b$ is even, we can prove:

Prop: For $h \in D_L$ and $m > 0$ not split-hyper we have

$$\lim_{T \rightarrow \infty} v^{\frac{1-k}{2}} \int_{Y_T} f(z) \sum_{\lambda \in L_{m,h}} \psi_{k,-1}(\sqrt{v}\lambda, z) d\mu(z) = \sum_{b=0}^{\lfloor p/2 \rfloor} \frac{\text{Tr}_{m,h}(L^{2b} f)}{(4\pi v)^{bb!}}.$$

Note that for $L^{2b} f$ the weight is $k - 2b$.

For split-hyper m , a summand λ with $Q(\lambda) = m$ will contribute an integral along $c(\lambda) \cap \mathcal{F}_T(L)$. This yields the integral part of $\sum_{b=0}^{\lfloor p/2 \rfloor} \frac{\text{Tr}_{m,h}^{\text{reg}}(L^{2b} f)}{(4\pi v)^{bb!}}$, but there are two other boundary integrals, near ℓ_λ . If $\eta = 2\sqrt{2\pi m v}$ then the one at ∞ gives

$$\frac{(2\sqrt{m})^{k-1}}{-\sqrt{2\pi} \cdot \eta^k} \sum_{n \in \mathbb{Z}} e^{-2\pi n T / \alpha_{\ell_\lambda}} \sum_{l=0}^p \frac{l! c_{\ell_\lambda}(n, l)}{T^{l-k}} \sum_{\nu=0}^l \frac{(-1)^\nu}{(l-\nu)!} \widehat{g_{k-\nu-1,\nu}}\left(\frac{-nT}{\alpha_{\ell_\lambda} \eta}; \eta\right),$$

where we define the Fourier transform

$$\widehat{g_{\kappa,\nu}}(t; \eta) := \int_{-\infty}^{\infty} g_{\kappa,\nu}(\xi; \eta) \mathbf{e}(-\xi t) d\xi.$$

Since we take the limit $T \rightarrow \infty$, we only need their behavior at the limit $t \rightarrow \infty$, as well as the value as $t = 0$ in case f has constant terms. The integral near $\ell_{-\lambda}$ yields a similar contribution, with $(-1)^k$.

Fourier Transforms

One advantage of making e , and with it h_ν and $g_{\kappa,\nu}$, discontinuous at 0, is that it decays strongly in both directions and Fourier transforms can be taken.

Prop: For any ν we have

$$\widehat{h}_\nu(t) = \sqrt{2\pi} \left(\frac{g(2\pi t)}{(2\pi i t)^{\nu+1}} - \sum_{r=0}^{\nu} \frac{P_{\nu-r}(0)}{(2\pi i t)^{r+1}} \right).$$

This comes from the classical evaluation $\widehat{g}(t) = \frac{g(2\pi t)}{\sqrt{2\pi}}$ with der of dists.

The Fourier transforms $\widehat{g_{\kappa,\nu}}$ are easy to evaluate for $\kappa \geq 0$, since it $g_{\kappa,\nu}$ is h_ν times a polynomial.

Lem: Up to an error term of $o_{\varepsilon,\nu,\kappa,\eta}(e^{-2\pi^2(1-\varepsilon)t^2})$, the value of $\widehat{g_{\kappa,\nu}}(t; \eta)$ for $\kappa \geq 0$ is

$$-\sqrt{2\pi}(i\eta)^{\kappa+1+\nu} \sum_{b=0}^{\lfloor \nu/2 \rfloor} \frac{(-1)^b}{2^b b! (\nu-2b)! \eta^{2b}} \sum_{c=\nu-2b}^{\kappa+\nu-2b} \binom{\kappa}{c+2b-\nu} \frac{c!}{(-2\pi\eta t)^{c+1}}.$$

This uses the fact that multiplying a function of ξ by $\xi + i\eta$ operates like $i(\eta + \frac{\partial_t}{2\pi}) = \frac{i}{2\pi} e^{-2\pi\eta t} \partial_t e^{2\pi\eta t}$ on the Fourier transform.

Lem: For every $\kappa \in \mathbb{Z}$ and $\eta \neq 0$ we have

$$\widehat{g_{\kappa,\nu}}(t; \eta) = -2\pi i e^{-2\pi\eta t} \int_{-\text{sgn}(\eta)\infty}^t e^{2\pi\eta s} \widehat{g_{\kappa+1,\nu}}(s; \eta) ds.$$

Prop: If $\kappa \leq -1$ then the value of $\widehat{g_{\kappa,\nu}}(t; \eta)$ is $-\sqrt{2\pi}(i\eta)^{\nu+1+\kappa}$ times

$$\sum_{b=0}^{\lfloor \nu/2 \rfloor} \frac{(-1)^b}{2^b b! \eta^{2b}} e^{-2\pi\eta t} \sum_{j=0}^{|\kappa|-1} \frac{\Gamma(2b-\nu+|\kappa|-1-j, -2\pi\eta t) (2\pi\eta t)^j}{j!(|\kappa|-1-j)!}$$

plus an error term which is $o_{\varepsilon,\nu,\kappa,\eta}(e^{-2\pi^2(1-\varepsilon)t^2})$ as $t \rightarrow -\text{sgn}(\eta)\infty$, but in the other direction this error term is

$$-\frac{(2\pi)^{|\kappa|} i^{\kappa+\nu-1} \text{sgn } \eta}{(|\kappa|-1)!} J_\nu(\eta) e^{-2\pi\eta t} t^{|\kappa|-1} (1 + o(1)).$$

J_ν grows like a polynomial times $e^{\eta^2/2}$.

Lem: The sum $\sum_{\nu=0}^l \frac{(-1)^\nu}{(l-\nu)!} \widehat{g_{k-\nu-1,\nu}}(t; \eta)$ is

$$-\sqrt{2\pi}(i\eta)^k \frac{\text{He}_l(\eta)}{\eta^l l!} \cdot (-2\pi\eta t)^{l-k} e^{-2\pi\eta t} \Gamma(k-l, -2\pi\eta t),$$

with error $-2\pi(i\eta)^k \frac{(-1)^k \text{sgn } \eta}{(l-k)!} e^{-2\pi\eta t} (-2\pi\eta t)^{l-k} \frac{J_l(\eta)}{\eta^l} (1 + o(1))$ in case $\eta t > 0$ and $l \geq k$ but decreases rapidly otherwise.

Lem: If $l \neq k$ then the sum $\sum_{\nu=0}^l \frac{(-1)^\nu}{(l-\nu)!} \widehat{g_{k-\nu-1,\nu}}(0; \eta)$, evaluated at $t = 0$, is $-\frac{\sqrt{2\pi}(i\eta)^k}{(l-k)!} \left(\frac{\text{He}_l(\eta)}{\eta^l} - \frac{\text{He}_k(\eta)}{\eta^k} \right)$. It is much nastier when $l = k$.

Prop: Take $h \in D_L$, $m > 0$ split-hyper, and $T > 0$ large, and assume that f has no constant terms. Then

$$v^{\frac{1-k}{2}} \int_{Y_T} f(z) \sum_{\lambda \in L_{m,h}} \psi_{k,-1}(\sqrt{v}\lambda, z) d\mu(z)$$

equals the desired sum $\sum_{b=0}^{\lfloor p/2 \rfloor} \frac{\text{Tr}_{m,h}^{\text{reg}}(L^{2b} f)}{(4\pi v)^b b!}$ plus a linear combination of $c(n, l) J_l(2\sqrt{2\pi m v})$ with $n < 0$ and $l \geq k$, appearing only for finitely many values of m . Works also if f has constant terms $c(0, l)$ with $l \neq k$ (correcting terms in $I_{k,L}^{\text{reg}}(\tau, f)$), if $c(0, k) \neq 0$ an extra term of mild growth.

The case where $p \geq k$ involves not only more complicated Fourier transforms, but also non-trivial terms with negative indices.

Prop: For every $h \in D_L$ and $0 > m \in \mathbb{Z} + Q(h)$, the limit

$$\lim_{T \rightarrow \infty} v^{\frac{1-k}{2}} \int_{Y_T} f(z) \sum_{\lambda \in L_{m,h}} \psi_{k,-1}(\sqrt{v}\lambda, z) d\mu(z)$$

equals the sum

$$\sum_{\nu=k}^p \frac{4^k \sqrt{\pi} |m|^{\frac{k-1}{2}} h_\nu(2\sqrt{2\pi|m|v}) \text{Tr}_{m,h}^{(k)}(R_{2k-2\nu}^{\nu-k} L_z^\nu f)}{\sqrt{2}(4\sqrt{2\pi|m|v})^\nu (\nu-k)!}.$$

This is because in Stokes the argument of d is no longer smooth, and one must take out the point where $\lambda \perp Z(z)$. The trace is a sum over values at CM points. This decreases like a polynomial times $e^{-4\pi m v}$, and resembles in character the non-holomorphic part of harmonic weak Maass forms.

Lattice Sums and Constant Terms

For the constant terms, we shall need lattice sums of the $g_{\kappa,\nu}$'s:

$$G_{\kappa,\nu}(\omega; c, \eta) := \sum_{0 \neq \xi \in \mathbb{Z} + \omega} g_{\kappa,\nu}(c\xi; \eta), \quad \omega \in \mathbb{R}/\mathbb{Z}, \quad c \in \mathbb{R}^\times.$$

We need only for $\eta = 0$, but evaluate as the limit $\eta \rightarrow 0$, since for $\eta \neq 0$ we can use Poisson summation.

$\frac{te^{\omega t}}{e^t - 1} = \sum_{m=0}^{\infty} B_m(\omega) \frac{t^m}{m!}$ (Bernoulli pols), $B_m := B_m(0)$ (Bernoulli nums), $\mathbb{B}_m : \mathbb{R}/\mathbb{Z} \rightarrow \mathbb{R}$ Bernoulli funcs (same as B_m on $(0, 1)$ except $\mathbb{B}_1(0 + \mathbb{Z}) = 0$). For the latter, $\mathbb{B}_m(\omega) = -\sum_{0 \neq t \in \mathbb{Z}} \frac{m! \mathbf{e}(t\omega)}{(2\pi it)^m}$.

Prop: For $\omega \neq 0$ set $\omega_c := \text{sgn } c \cdot \omega$, and then if $\kappa \geq 0$ then up to an error term of $o_{\varepsilon,\nu,\kappa,\eta}(e^{-2\pi^2(1-\varepsilon)/c^2})$ as $c \rightarrow 0$, $G_{\kappa,\nu}(\omega; c, \eta)$ equals

$$\begin{aligned} & \frac{\sqrt{2\pi}}{|c|} \left[(-1)^{\nu+1} \kappa! P_{\kappa+\nu+1}(i\eta) + \right. \\ & \left. + \sum_{\mu=0}^{\nu} \frac{P_{\nu-\mu}(-i\eta)}{\mu!} \sum_{m=0}^{\kappa+\mu+1} \binom{\kappa+\mu+1}{m} \frac{(i\eta)^{\mu+\kappa+1-m} |c|^m \mathbb{B}_m(\omega_c)}{\kappa+\mu+1} \right]. \end{aligned}$$

For $\omega = 0$ we evaluate as $\lim_{\omega \rightarrow 0} (G_{\kappa,\nu}(\omega; c, \eta) - g_{\kappa,\nu}(c\omega; \eta))$.

For negative κ we need the rational functions

$$F(q, -j) = \sum_{t=1}^{\infty} t^j q^t \quad \text{for } |q| < 1 \quad \text{and } j \in \mathbb{N},$$

the polygamma function $\psi^{(m)} = \frac{d^{m+1}}{dz^{m+1}} \log \Gamma(z)$, the function $Z_m(w)$ vanishing at $w = 0$ and having derivative

$$[(1 - \delta_{m,0})Q_{m-1}(w) + wQ_m(w) + (P_{m-1}(w) + wP_m(w))e^{w^2/2}e(w)]w^{m-1},$$

the integral $\phi_m(\eta) := \int_0^\eta w^{2m} e^{w^2/2} dw$, the combinatorial coefficient $C(M, r) := \frac{2r}{(M-2r)!} + \frac{1-\delta_{2r,M}}{(M-1-2r)!}$ when $0 \leq 2r \leq M$, and the polynomials $\Pi_{\kappa,\nu}(\eta)$ defined by

$$\sum_{\mu=0}^{|\nu|-1} \frac{Q_\nu^{(\mu)}(-i\eta) Q_{-\kappa-\mu-1}(\eta)}{i^{\kappa+\nu+1} i^{\kappa+\mu} \mu!} - \sum_{\mu \geq 0, -\kappa} \frac{P_{\nu-\mu}(-i\eta) (\kappa+\mu)! P_{\kappa+\mu+1}(i\eta)}{i^{\kappa+\nu+1} \mu!}.$$

Thm: If $\kappa \leq -1$, $\omega \neq 0$, and $\eta \neq 0$, then $G_{\kappa,\nu}(\omega; c, \eta)$ equals

$$\begin{aligned}
& \sqrt{\pi} \sum_{\mu=0}^{|\kappa|-1} \frac{P_{\nu-\mu}(-i\eta) [\psi^{(|\kappa|-\mu-1)}(1-\omega_c - \frac{i\eta}{|c|}) - (-1)^{\kappa+\mu} \psi^{(|\kappa|-\mu-1)}(\omega_c + \frac{i\eta}{|c|})]}{\sqrt{2}|c|^{|\kappa|-\mu} \mu! (|\kappa|-\mu-1)!} + \\
& + \sqrt{\pi} \frac{P_{\nu+\kappa+1}(-i\eta)(2 \log |c| + \gamma + \log 2)}{\sqrt{2}|c| \cdot (|\kappa| - 1)!} + \frac{\sqrt{2\pi}}{|c|} \sum_{\mu=0}^{|\kappa|-1} \frac{P_{\nu-\mu}(-i\eta) Z_{|\kappa|-\mu-1}(\eta)}{\mu! (-i\eta)^{|\kappa|-\mu-1}} + \\
& + \frac{\sqrt{2\pi}}{|c|} \sum_{\mu=|\kappa|}^{\nu} \frac{P_{\nu-\mu}(-i\eta)}{\mu!} \sum_{m=\kappa}^{\kappa+\mu+1} \binom{\kappa+\mu+1}{m} \frac{(i\eta)^{\kappa+\mu+1-m} |c|^m \mathbb{B}_m(\omega_c)}{\kappa + \mu + 1} + \\
& + \frac{\sqrt{2\pi}}{|c|} i^{\kappa+\nu+1} \Pi_{\kappa,\nu}(\eta) + \frac{\sqrt{2\pi}}{|c|} e^{\eta^2/2} e(\eta) \left[\frac{i Q_{\nu+\kappa+1}(-i\eta)}{(|\kappa| - 1)!} - \sum_{\mu=0}^{|\kappa|-2} \frac{i^{|\kappa|-\mu-1} P_{|\kappa|-\mu-2}(\eta) P_{\nu-\mu}(-i\eta)}{\mu! (|\kappa| - 1 - \mu)} \right] + \\
& + \sum_{j=0}^{|\kappa|-1} \left(\frac{-2\pi}{|c| \operatorname{sgn} \eta} \right)^{j+1} \frac{F(e^{2\pi(i\delta\omega - |\eta/c|)}, -j)}{j!} \left[i^{j+1} e^{\eta^2/2} \frac{Q_{\nu+\kappa+j+1}(-i\eta)}{(|\kappa| - j - 1)!} + \right. \\
& \left. + \sum_{\mu=0}^{|\kappa|-1-j} \frac{P_{\nu-\mu}(-i\eta)}{i^{\kappa+\mu+1} \mu!} \left[\sum_{r=0}^{\lfloor (|\kappa|-\mu-j)/2 \rfloor} \frac{C(|\kappa| - \mu - j, r) \phi_{|\kappa|-\mu-j-r-1}(\eta)}{2^r r! \eta^{|\kappa|-\mu-j-1}} - \frac{\bar{\delta}_{\mu, |\kappa|-j-1} e^{\eta^2/2} P_{|\kappa|-\mu-j-2}(\eta)}{|\kappa| - 1 - j - \mu} \right] \right]
\end{aligned}$$

plus an error of $o_{\varepsilon, \kappa, \nu, \eta}(e^{-2\pi^2(1-\varepsilon)/c^2})$.

In the combination $\sum_{\nu=0}^l \frac{(-1)^\nu}{(l-\nu)!} G_{k-1-\nu, \nu}(\omega; c, \eta)$ there are cancellations, in particular we have:

Lemma: The sum $\sum_{\nu=0}^l \frac{(-1)^\nu \Pi_{k-1-\nu, \nu}(\eta)}{(l-\nu)!}$ equals $-\frac{\operatorname{He}_k(\eta)}{l!(k-l)}$ in case $l < k$ and equals $\sum_{b=1}^l \frac{(-1)^{k+b-1} (l-k+b-1)!}{b!(l-b)!(l-k)!} Q_{2b-1-k}(\eta)$ for $l \geq k$.

There are additional simplifications in the limit $\eta \rightarrow 0$:

Prop: If $\omega \neq 0$ then the value of $\sum_{\nu=0}^l \frac{(-1)^\nu}{(l-\nu)!} G_{k-1-\nu, \nu}(\omega; c, 0)$ is

$$\frac{\sqrt{2\pi}}{|c|(k-l)} \left[P_l(0) |c|^{k-l} \mathbb{B}_{k-l}(\omega_c) - \frac{i^k \operatorname{He}_k(0)}{l!} \right] + o_{\varepsilon, k, l}(e^{-2\pi^2(1-\varepsilon)/c^2})$$

for $l < k$, and in case $l \geq k$ it equals

$$\begin{aligned}
& \frac{\sqrt{2\pi}}{|c|} P_l(0) \left[\frac{\psi^{(l-k)}(1-\omega_c) + (-1)^{l-k} \psi^{(l-k)}(\omega_c) + \delta_{l,k}(2 \log |c| + \gamma + \log 2)}{2|c|^{l-k} (l-k)!} + \right. \\
& \left. + \bar{\delta}_{l,k} \frac{i^{l-k} Q_{l-k-1}(0)}{l-k} \right] + \frac{2\pi}{|c|} Q_l(0) i^{l-k+1} \left[\frac{(-2\pi)^{l-k} F(\mathbf{e}(\omega_c), k-l)}{|c|^{l-k} (l-k)!} + \frac{\delta_{l,k}}{2} \right] + \\
& + \frac{\sqrt{2\pi} i^k}{|c|} \sum_{b=1}^l \frac{(-1)^{k+b-1} (l-k+b-1)!}{b!(l-b)!(l-k)!} Q_{2b-1-k}(0) + o_{\varepsilon, k, l}(e^{-2\pi^2(1-\varepsilon)/c^2}).
\end{aligned}$$

Prop: For $\omega = 0$, the sum $\sum_{\nu=0}^l \frac{(-1)^\nu}{(l-\nu)!} G_{k-1-\nu,\nu}(0; c, 0)$ takes the value

$$\frac{\sqrt{2\pi}}{|c|(k-l)} \left[\bar{\delta}_{l,k-1} P_l(0) |c|^{k-l} B_{k-l} - \frac{i^k \text{He}_k(0)}{l!} \right] + \delta_{l,k-1} Q_{k-1}(0)$$

if $l < k$, and when $l \geq k$ its value is

$$\begin{aligned} & \frac{\sqrt{2\pi}}{|c|} P_l(0) \left[\frac{\psi^{(l-k)}(1) [1 + (-1)^{l-k}] + \delta_{l,k} (2 \log |c| + \gamma + \log 2)}{2|c|^{l-k} (l-k)!} + \bar{\delta}_{l,k} \frac{i^{l-k} Q_{l-k-1}(0)}{l-k} \right] + \\ & - \bar{\delta}_{l,k} \frac{2\pi}{|c|} Q_l(0) i^{l-k+1} \frac{(-2\pi)^{l-k} B_{l-k+1}}{|c|^{l-k} (l-k+1)!} + \frac{\sqrt{2\pi} i^k}{|c|} \sum_{b=1}^l \frac{(-1)^{k+b-1} (l-k+b-1)!}{b!(l-b)!(l-k)!} Q_{2b-1-k}(0), \end{aligned}$$

both up to the error term $o_{\varepsilon,k,l}(e^{-2\pi^2(1-\varepsilon)/c^2})$.

Set $\Phi_w(\omega)$ to be $-\frac{\mathbb{B}_w(\omega)}{w}$ if $w > 0$ and $-\frac{\psi^{(|w|)}(1-\omega) + (-1)^w \psi^{(|w|)}(\omega)}{2 \cdot |w|!}$ in case $w \leq 0$, completed with argument 1 if $\omega = 0$, and the contribution of ℓ to $\text{Tr}_{0,h}^{\text{reg}}(f)$ is $\sqrt{\frac{|D_\ell|}{8}} = \frac{\varepsilon_\ell}{\sqrt{N}}$ times $\iota_\ell(0, h)$ times $c_\ell(0, 0)(\sqrt{N}\beta_\ell)^k \Phi_k\left(\frac{k_{\ell,h}}{\beta_\infty}\right)$.

Prop: The contribution of the cusp ℓ to the integral

$$v^{\frac{1-k}{2}} \int_{Y_T} f(z) \sum_{\lambda \in L_{0,h}} \psi_{k,-1}(\sqrt{v}\lambda, z) d\mu(z)$$

is $\sqrt{\frac{|D_\ell|}{8}} = \frac{\varepsilon_\ell}{\sqrt{N}}$ times $\iota_\ell(0, h)$ times

$$- \sum_{l=0}^p \frac{l! c_\ell(0, l) \sqrt{N} \beta_\infty T^{k-1-l}}{(2\pi)^{k/2} v^{\frac{k-1}{2}}} \sum_{\nu=0}^l \frac{(-1)^\nu}{(l-\nu)!} G_{k-1-\nu,\nu}\left(\frac{k_h}{\beta_\infty}; \frac{\sqrt{2\pi N v} \beta_\infty}{T}, 0\right).$$

This equals $\sum_{b=0}^{\lfloor p/2 \rfloor} \frac{\text{Tr}_{0,h}^{\text{reg}}(L^{2b} f)}{(4\pi v)^b b!}$ (with weight $k-2b$), plus the correction terms from the regularization, plus additional terms with $l \geq k$. The additional terms involve half-integral powers of $\frac{1}{v}$ if $l \neq k$, and more complicated expressions in case $l = k$. All vanish if f has no constant terms.

The Regularized Shintani Lift

We define

$$I_{k,L}^{\text{nh}}(\tau, f) := \sum_{b=0}^{\lfloor p/2 \rfloor} \sum_{h \in D_L} \sum_{0 \leq m \in \mathbb{Z} + Q(h)} \frac{\text{Tr}_{0,h}^{(\text{reg})}(L^{2b}f)}{(4\pi v)^b b!} q^m \mathbf{e}_h$$

(which is nearly holomorphic of depth $\lfloor \frac{p}{2} \rfloor$). We set $I_{k,L}^{\text{neg}}(\tau, f)$ to be

$$\sum_{h \in D_L} \sum_{m \in \mathbb{Z} + Q(h)} \sum_{\nu=k}^p \frac{4^k \sqrt{\pi} |m|^{\frac{k-1}{2}} h_\nu (2\sqrt{2\pi|m|v}) \text{Tr}_{m,h}^{(k)}(R_{2k-2\nu}^{\nu-k} L_z^\nu f)}{\sqrt{2} (4\sqrt{2\pi|m|v})^\nu (\nu-k)!} q^m \mathbf{e}_h$$

(plus some contribution from the constant terms), and get

Thm (Li-Z): The Shintani lift $\tau \mapsto I_{k,L}(\tau, f)$ of $f \in \widetilde{M}_{2k}^!(\Gamma)$ is given by

$$I_{k,L}(\tau, f) = I_{k,L}^{\text{nh}}(\tau, f) + I_{k,L}^{\text{neg}}(\tau, f) + I_{k,L}^{\text{prin}}(\tau, f) + I_{k,L}^{\text{const}}(\tau, f) + I_{k,L}^{\text{cor}}(\tau, f),$$

where $I_{k,L}^{\text{prin}}(\tau, f)$ is a finite sum of exponentially increasing functions based on $m \in N\mathbb{Q}^2$ with coefficients $c(n, l)$ with $n < 0$ and $l \geq k$, $I_{k,L}^{\text{const}}(\tau, f)$ depends only on the coefficient $c(0, k)$, and $I_{k,L}^{\text{cor}}(\tau, f)$ is a small correction term appearing only with $c(0, k-1)$. For $k=0$ we have to add $\sqrt{v} \int_Y^{\text{reg}} f(z) d\mu(z) \mathbf{e}_0$.

Cor: If $p < k$ then $\tau \mapsto I_{k,L}(\tau, f)$ is nearly holomorphic (no poles) of weight $k + \frac{1}{2}$ and depth $\lfloor \frac{p}{2} \rfloor$.

In particular, this is always the case for anisotropic lattices, where Γ has no cusps at all and no regularization is necessary (then it is cuspidal).