

# PROJECT CAPSTONE

# Facial Expression Recognition

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## problem statement

- In an increasingly digitalized world, there has been a decreasing focus on soft skills
- Can be an issue in the aspect of Customer Service, in this case in Cafes
- What if we can use facial expression recognition to classify emotions and use this as a measure of Customer Satisfaction?

# methodology



# **exploratory data analysis**

## exploratory data analysis - dataset

- 48x48 pixels, b/w
- Pixels were already in csv string format

# exploratory data analysis - dataset FER2013



# exploratory data analysis - dataset

- 35,000 images,
- 7 classes
- Labelled
- Pre-split into train & test
- Dropped usage column  
did my own train, test & split

	emotion	pixels	Usage
0	0	70 80 82 72 58 58 60 63 54 58 60 48 89 115 121...	Training
1	0	151 150 147 155 148 133 111 140 170 174 182 15...	Training
2	2	231 212 156 164 174 138 161 173 182 200 106 38...	Training
3	4	24 32 36 30 32 23 19 20 30 41 21 22 32 34 21 1...	Training
4	6	4 0 0 0 0 0 0 0 0 0 0 3 15 23 28 48 50 58 84...	Training
...	...	...	...
35882	6	50 36 17 22 23 29 33 39 34 37 37 37 39 43 48 5...	PrivateTest
35883	3	178 174 172 173 181 188 191 194 196 199 200 20...	PrivateTest
35884	0	17 17 16 23 28 22 19 17 25 26 20 24 31 19 27 9...	PrivateTest
35885	3	30 28 28 29 31 30 42 68 79 81 77 67 67 71 63 6...	PrivateTest
35886	2	19 13 14 12 13 16 21 33 50 57 71 84 97 108 122...	PrivateTest

# exploratory data analysis - dataset

- Checked that the '0' pixels were entirely zeros and removed duplicates
- Checked non-zero rows as well, to make sure classified label was the same

	emotion	pixels
6458	0	00000000000000000000000000...
7629	3	00000000000000000000000000...
10423	4	00000000000000000000000000...
11286	0	00000000000000000000000000...
13148	0	00000000000000000000000000...
13402	0	00000000000000000000000000...
13988	5	00000000000000000000000000...
15894	0	00000000000000000000000000...
22198	0	00000000000000000000000000...
22927	6	00000000000000000000000000...
28601	0	00000000000000000000000000...
30002	0	00000000000000000000000000...

	emotion	pixels
13140	6 99 100 100 102 90 35 30 32 30 30 28 33 46 66 8...	
23367	6 99 100 100 102 90 35 30 32 30 30 28 33 46 66 8...	

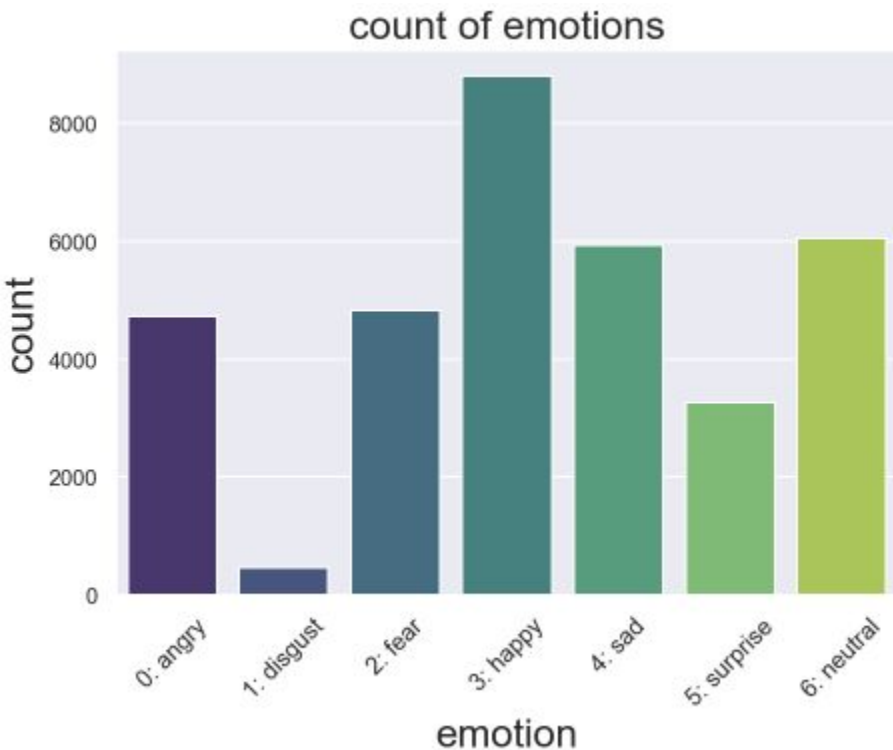


# exploratory data analysis



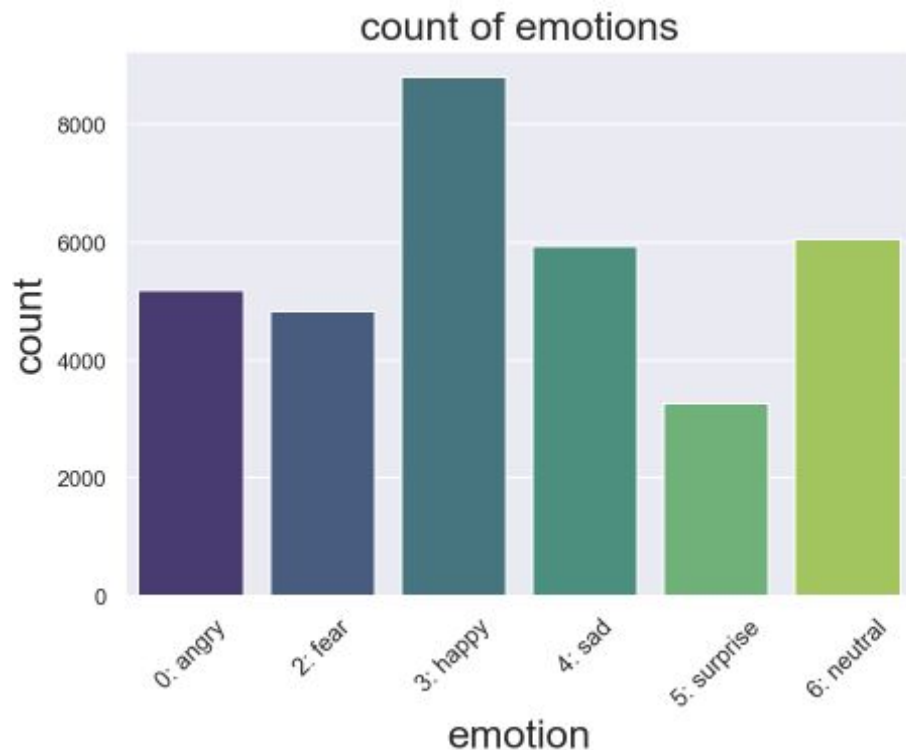
# exploratory data analysis

before



>>>

after



**modelling**

# modelling

1. Custom CNN
2. VGGFace - Base
3. VGGFace - Fine-tuned
4. EfficientNetB0 - Base
5. EfficientNetB0 - Fine-tuned

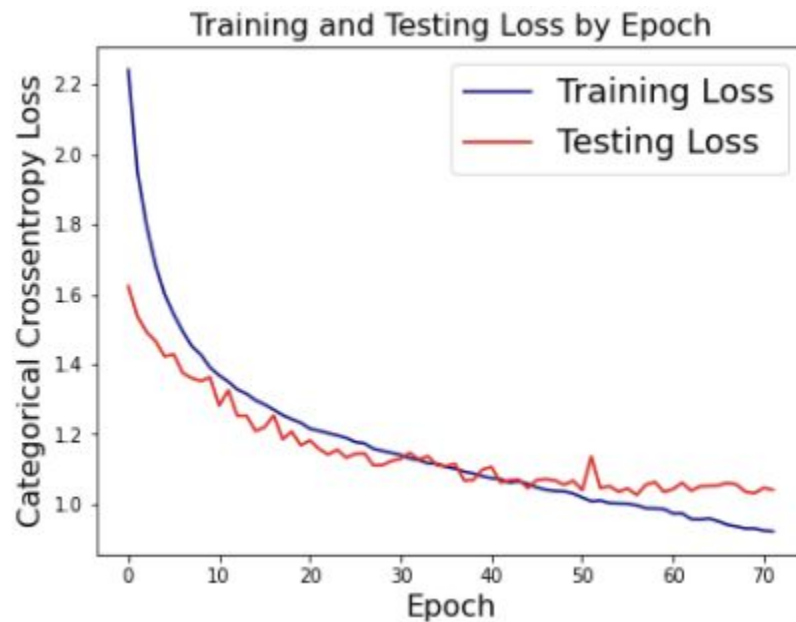
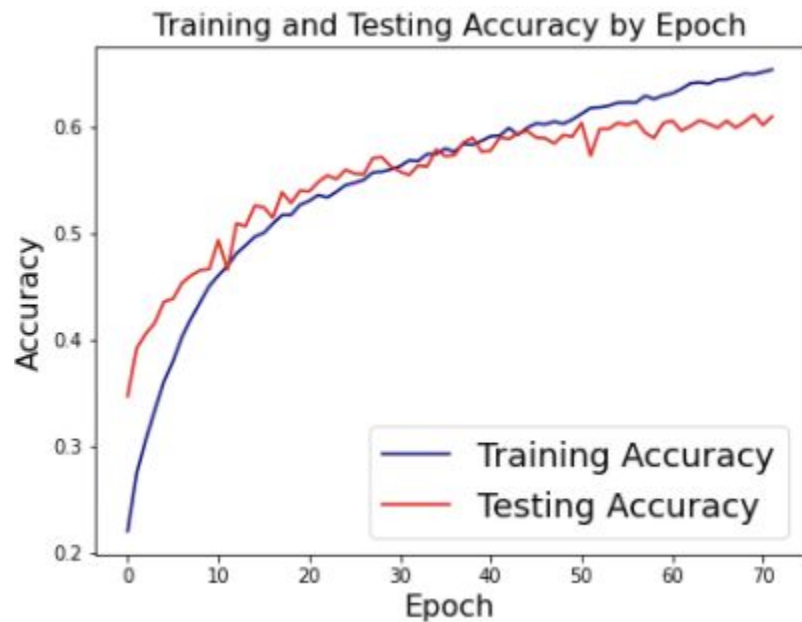
## modelling - custom CNN

- Augmentation
- 3 Conv + 2 Dense + 1 Output
- $\sim$  2mil params
- BatchNormalization at every layer
- MaxPooling 2D at every conv layer
- Dropout on later conv layers & all dense layers
- LR = 0.0001

# modelling - custom CNN

Validation accuracy - 60.65

Validation loss - 1.03



## modelling - VGG & EffNetB0 - preprocessing

- Converted to RGB format
- Image Augmentation:
  - No scaling
  - `featurewise_std_normalization=True`

## modelling - VGG & Effnet Base

- Froze all layers
- Replaced output layer with number of classes
- Input requirements different

```
x = preprocessing.Resizing(224,224)(input)
```

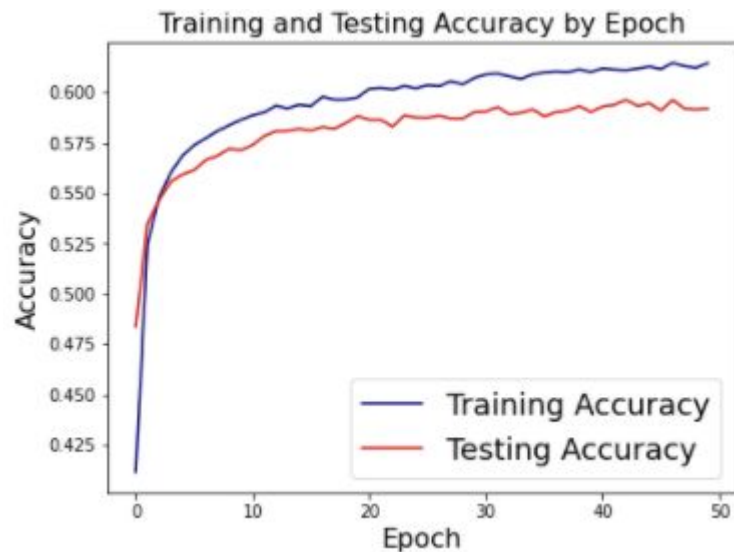


# modelling - VGG & Effnet base

VGG Base

val acc: 58.88

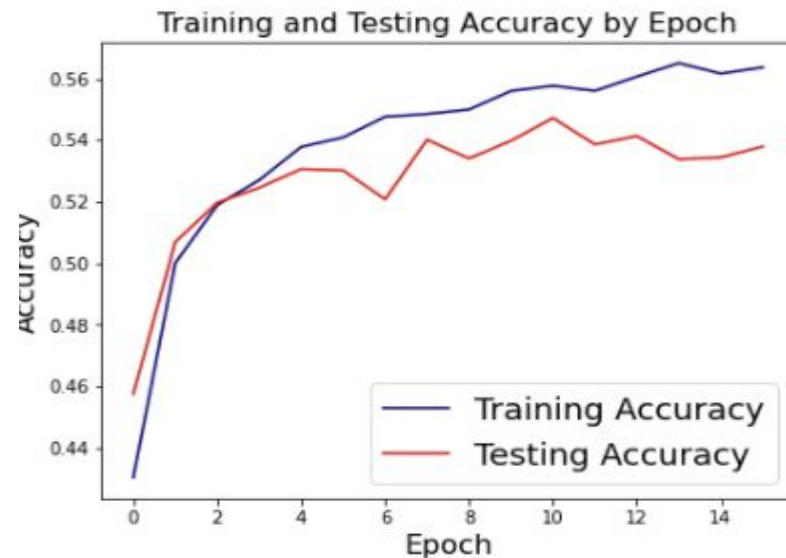
val loss: 1.08



EfficientNetB0

val acc: 54.52

val loss: 1.20



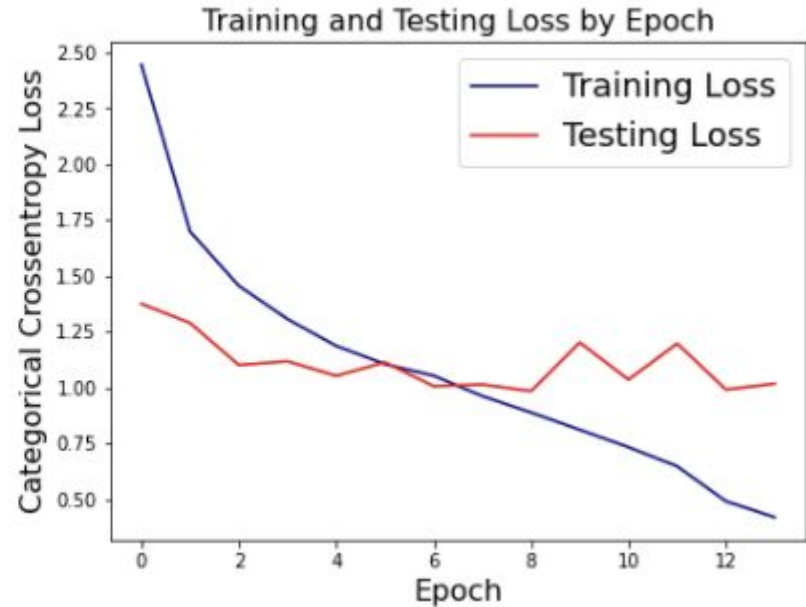
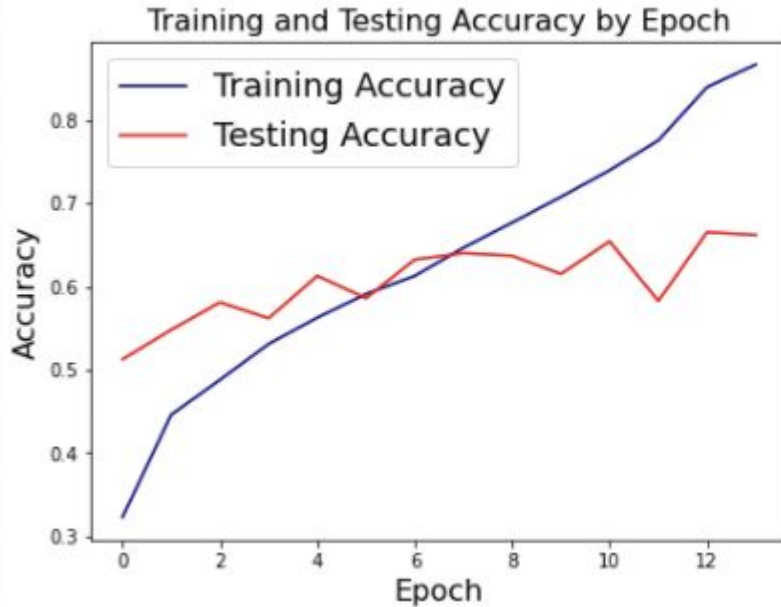
## modelling - VGG fine tuned

- Froze all layers before the 5th conv layer
- Added 2 dense layers
- Dropout Rate 0.7
- $\sim 7$ m trainable params
- LR 0.0001

# modelling - VGG fine tuned

Validation accuracy - 63.81

Validation loss - 0.98



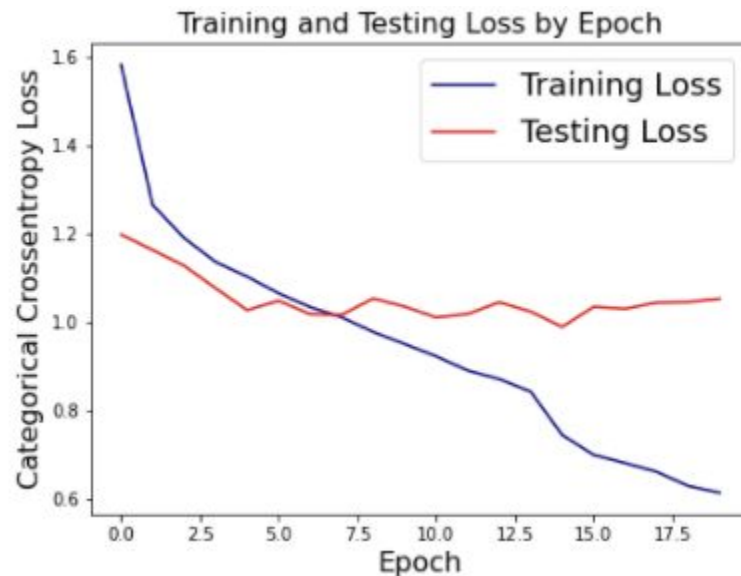
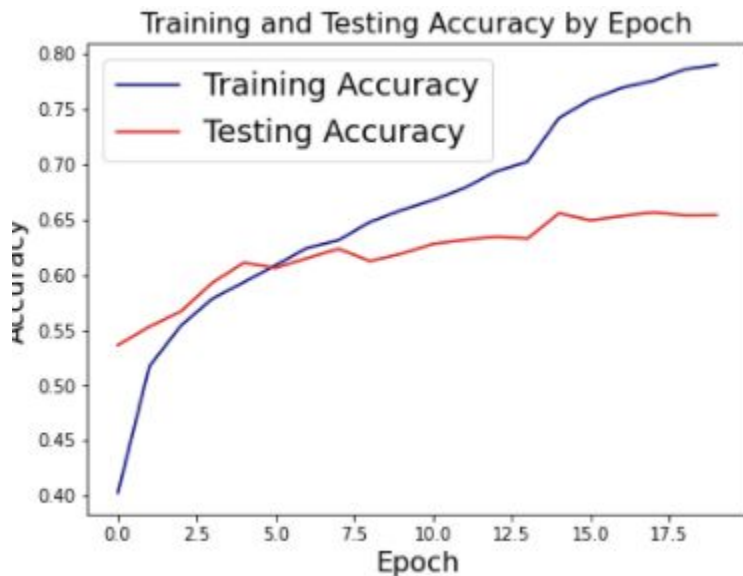
## modelling - EfficientNetB0 fine tuned

- Froze all BN Layers
- Froze up till 7th conv layer
- Added 2 dense layers
- Dropout 0.7

# modelling - VGG & Effnet base

Validation accuracy - 65%

Validation loss - 0.98



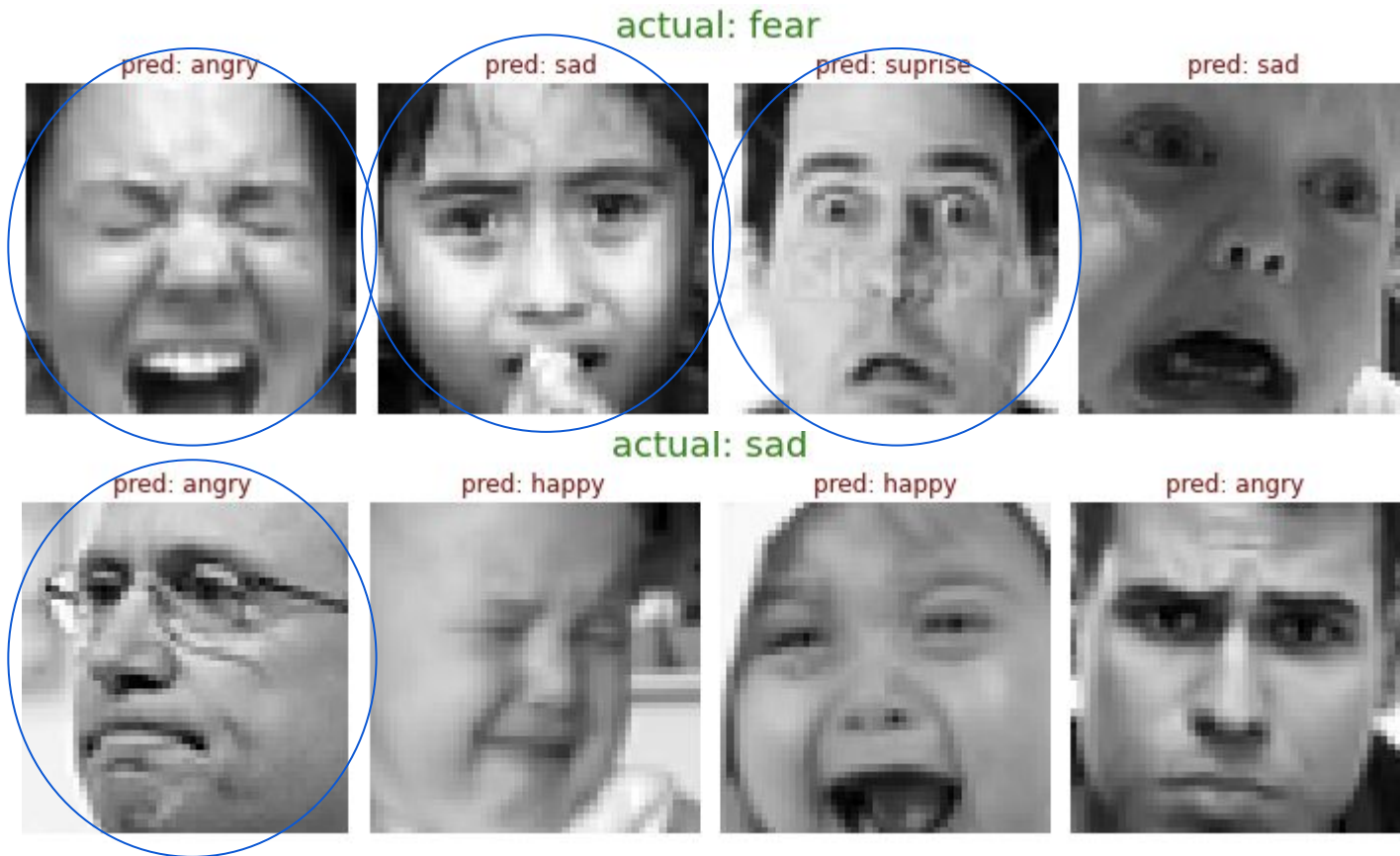
# modelling - summary

Model	Test Accuracy	Train Loss	Unseen Data Accuracy
Custom CNN	60.65%	1.0254	59.61%
VGG Base	58.88%	1.0790	N/A
VGG Model	63.81%	0.9800	N/A
Efficient Net Base	54.52%	1.1996	N/A
Efficient Net Model	65.08%	0.9766	59.61%

highest Kaggle score - ~71%

**predictions**

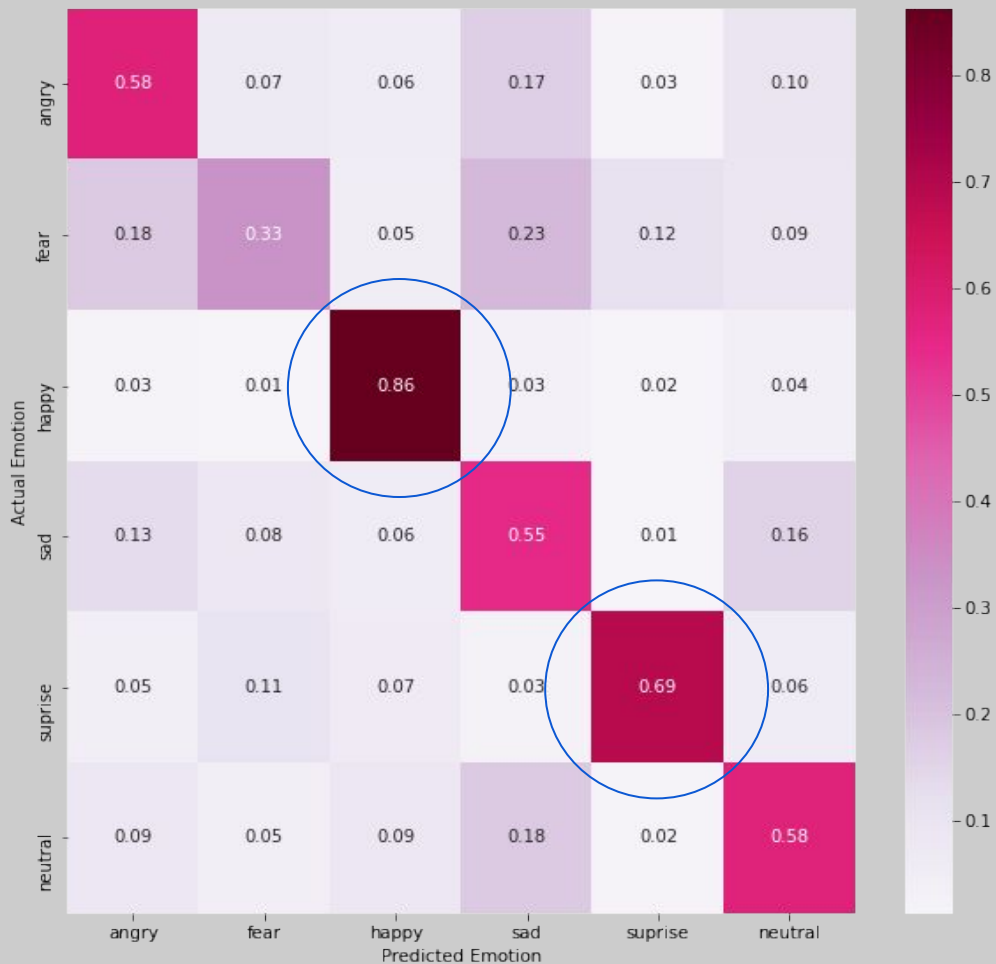
# predictions - test set





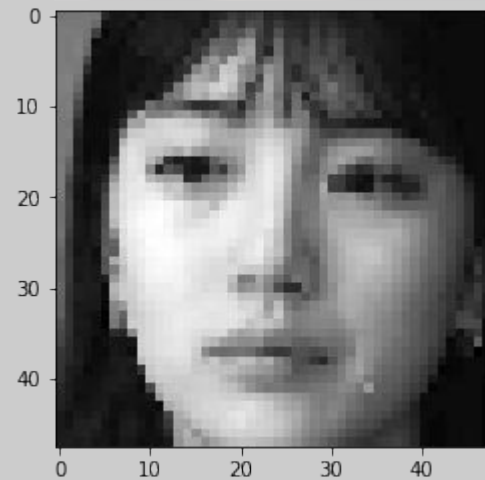
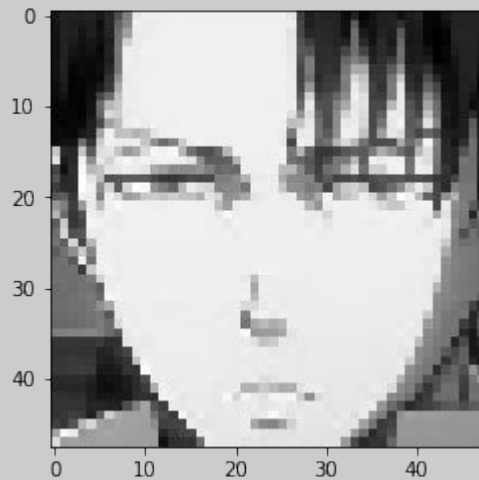
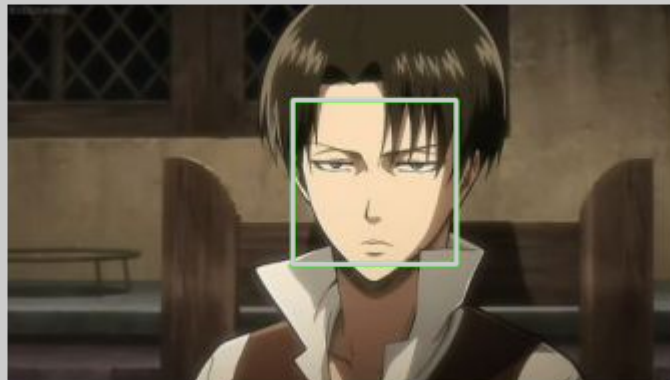
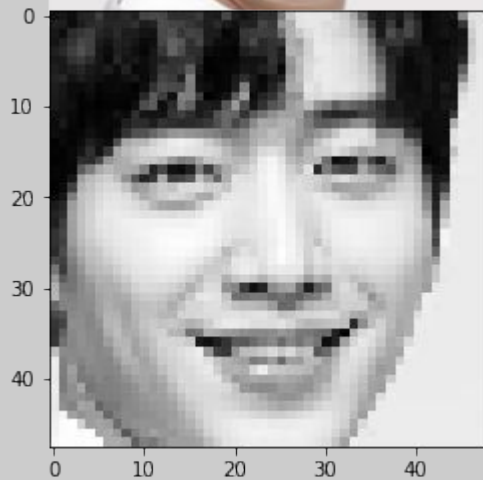
# predictions - test set

emotion	misclassified_count
fear	804
sad	668
neutral	643
angry	546
happy	304
surprise	252

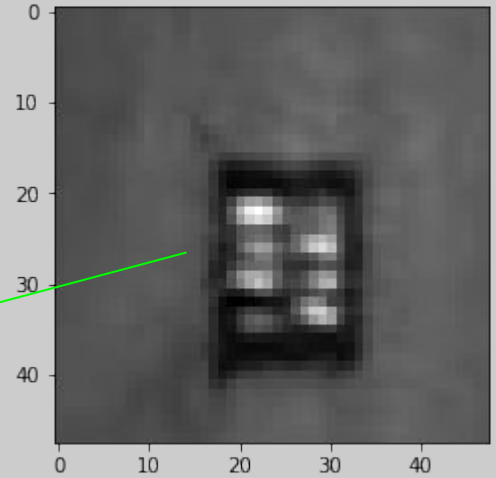


**predictions -  
external data**

# predictions - external data - crop



# predictions - external data - crop



# predictions - external data - crop

	pred	actual	is_same
0	3	0	False
1	0	0	True
2	0	0	True
3	0	0	True
4	3	0	False

True 31

False 21

Name: is\_same, dtype: int64

Prediction Accuracy for Custom CNN: 0.5961538461538461

	pred	actual	is_same
0	5	0	False
1	0	0	True
2	0	0	True
3	0	0	True
4	0	0	True

True 31

False 21

Name: is\_same, dtype: int64

Prediction Accuracy for efficient net: 0.5961538461538461

## conclusions & recommendations

- make use of tuners to find optimal hyperparameters
- image augmentation was not very useful for this dataset
- explore more pretrained models

**thank you**

**Q & A?**