Lab01-Algorithm Analysis

CS214-Algorithm and Complexity, Xiaofeng Gao, Spring 2019.

- * If there is any problem, please contact TA Mingran Peng. Also please use English in homework.

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- 1. Read Algorithm 1 and Algorithm 2 carefully.

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Algorithm 1: SelectionSort

Input: An array A[1, \dots, n]
Output: A[1, \dots, n] sorted
nonincreasingly

1 i \leftarrow 1;
2 for i \leftarrow 1 to n - 1 do
3 | max \leftarrow A[i]; pos \leftarrow i;
4 | for j \leftarrow i + 1 to n do
5 | | if A[j] > max then
6 | | max \leftarrow A[j];
7 | | | pos \leftarrow j;
8 | swap A[pos] and A[i];
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Algorithm 2: CocktailSort
   Input: An array A[1, \dots, n]
   Output: A[1, \dots, n] sorted
               nonincreasingly
 i \leftarrow 1; j \leftarrow n; sorted \leftarrow false;
 2 while not sorted do
        sorted \leftarrow true;
3
       for k \leftarrow i \ to \ j-1 \ do
 4
           if A[k] < A[k+1] then
 5
                swap A[k] and A[k+1];
 6
                sorted \leftarrow false;
 7
       j \leftarrow j - 1;
8
       for k \leftarrow i downto i + 1 do
9
           if A[k-1] < A[k] then
10
                swap A[k-1] and A[k];
11
                sorted \leftarrow false;
12
       i \leftarrow i + 1;
13
```

Fill in the blanks and explain your answers. You need to answer when the best case and the worst case happen. (Hint: if it's both O(g) and $\Omega(g)$, just answer $\Theta(g)$)

Algorithm	Time Complexity	Space Complexity
$\overline{InsertionSort}$	$O(n^2), \Omega(n)$	$\Theta(1)$
CocktailSort	$O(n^2), \Omega(n)$	$\Theta(1)$
SelectionSort	$\Theta(n^2)$	$\Theta(1)$

Solution.

(i) Analysis of *InsertionSort*

The best case: the array has been sorted non-increasingly. We only need to do n-1 times of comparison. So the time complexity is $\Omega(n)$.

The worst case: the array is in an increasing order. So the times of comparison will be $\sum_{i=0}^{n-1} i = \frac{n(n-1)}{2}$.

space complexity: the extra space only contains the space of constant number of variables, so the space complexity is $\Theta(1)$.

(ii) Analysis of CocktailSort.

We will first show how the algorithm works. Each iteration of CocktailSort is broken up into following two steps.

After the first loop range from i to j-1, the smallest number will be put at the position j-1. After the second loop range from j down-to i+1, the largest number will be put at position i. So this algorithm works properly to sort the array non-increasingly.

The best case: the array has been sorted non-increasingly. We only need to do 2n-3 times of comparison. So the time complexity is $\Omega(n)$.

The worst case: the array is in an increasing order. We can get the times of comparison is $\frac{n(n-1)}{2}$. So the time complexity is $\Omega(n)$.

space complexity: the extra space only contains the space of constant number of variables, so the space complexity is $\Theta(1)$.

(iii) Analysis of SelectionSort Regardless of the order of the array, SelectionSort will take constant times of comparison which is $\frac{n(n-1)}{2}$.

Also it is obviously that the space complexity is $\Theta(1)$.

2. Let us assume that you have learned two type of data structures: **Stack** and **Queue**. **Stack** has two operations: *push* and *pop*, while **Queue** also has two operations: *enqueue* and *dequeue*.

Now you have two **Stacks**, how can you use them to simulate a **Queue**?

- (a) Briefly explain your approach. (Pseudo code is not needed.)
- (b) Give the time complexity of *enqueue* and *dequeue* operations of the simulated **Queue**. Use **potential function** for amortized analysis.

Solution.

(a)

Assume the two stacks are stack1 and stack2.

- (i) enqueue(x):push x to **stack1**;
- (ii) dequeue(x): If both two stacks are empty, then raise an exception.

If **stack2** is empty, then pop all the numbers and push them to **stack2**. Finally pop the top of **stack2**.

(b)

Considering we have n operations, including *enqueue* and *dequeue*.

Let C_{enq} be the cost for enqueue and C_{deq} be the cost for dequeue. Then $C_{eq} = 1$ then C_{dq} is dependent on whether **stack2** is empty. When **stack2** is empty, C_{dq} is 1 and when **stack2** is not empty, C_{dq} is $2size(\mathbf{stack1})+1$.

So we can define a potential function, $\Phi(S_i) = 2size(\mathbf{stack1})$. It is easy to know that $\Phi(S_i) \geq \Phi(S_0)$.

Then amortized cost setting $\hat{C}_i = C_i + \Phi(S_i) - \Phi(S_{i-1})$.

After the operation of *enqueue*, the size of **Stack1** will increase 1.

So the amortized cost of *enqueue* is:

$$\hat{C}_{enq} = C_i + \Phi(S_i) - \Phi(S_{i-1}) = 3$$

The amortized cost of dequeue when Stack2 is not empty is

$$\hat{C}_{deq} = C_i + \Phi(S_i) - \Phi(S_{i-1}) = 1$$

because the size of **Stack1** will remain.

The amortized cost of dequeue when $\mathbf{Stack2}$ is empty is

$$\hat{C}_{deq} = C_i + \Phi(S_i) - \Phi(S_{i-1}) = 2size(\mathbf{Stack1}) + 1 + 0 - 2size(\mathbf{Stack1}) = 1$$

Finally, we have $\sum_{i=1}^{n} C_i \leq \sum_{i=1}^{n} \hat{C}_i = 3n$.

So the amortized cost of each operation can be O(1).

Remark: You need to include your .pdf and .tex files in your uploaded .rar or .zip file.