

- **Correctness (Intuitive):** Does the receiver (Bob) recover the intended plaintext when decrypting the ciphertext?

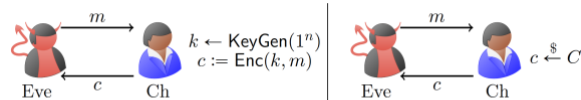
#### Claim

For all  $k, m \in \{0, 1\}^n$ , it holds that  $\text{Dec}(k, \text{Enc}(k, m)) = m$ .

*Proof.* For all  $k, m \in \{0, 1\}^n$ , we have:

$$\begin{aligned} \text{Dec}(k, \text{Enc}(k, m)) &= \text{Dec}(k, (k \oplus m)) \\ &= k \oplus (k \oplus m) \\ &= 0^n \oplus m \\ &= m \end{aligned}$$

Consider the following two interactions between Eve and a challenger.



- Interaction with a *challenger* helps us model what Eve can see during encryption, and what remains hidden.
- We say that an encryption scheme is secure if for any  $m$  chosen by Eve, the above two scenarios seem identical to Eve.

## Comparing Both Security Notions

#### Theorem

If an encryption scheme achieves one-time uniform ciphertext security, then it also achieves one-time perfect security.

We are given that for each  $m \in \mathcal{M}$  (where  $\mathcal{M}$  is the message space), the following distributions are identical:

- $\mathcal{D}_1 := \{c := \text{Enc}(k, m); k \leftarrow \text{KeyGen}(1^n)\}$
- $\mathcal{D}_2 := \{c \leftarrow \mathcal{C}\}$

We want to show that for each  $m_0, m_1 \in \mathcal{M}$ , the following distributions are also identical:

- $\mathcal{D}'_1 := \{c := \text{Enc}(k, m_0); k \leftarrow \text{KeyGen}(1^n)\}$
- $\mathcal{D}'_2 := \{c := \text{Enc}(k, m_1); k \leftarrow \text{KeyGen}(1^n)\}$

## Negligible Functions: Examples

#### Problem

Let  $f(\cdot)$  and  $g(\cdot)$  be a negligible functions. Show that  $f(n) + g(n)$  is negligible.

We need to show that  $\forall c, \exists n_0$ , such that  $\forall n > n_0$ ,  $f(n) + g(n) \leq \frac{1}{n^c}$ .

- Since  $f(\cdot)$  and  $g(\cdot)$  are both negligible functions, we know that  $\exists n_f, n_g$  corresponding to  $c + 1$ , such that  $\forall n > n_f$ ,  $f(n) \leq \frac{1}{n^{c+1}}$  and  $\forall n > n_g$ ,  $g(n) \leq \frac{1}{n^{c+1}}$ .

For a given  $c$ , let  $n_0 = \max(n_f, n_g, 2)$ .  $\forall n > n_0$ :

$$\begin{aligned} f(n) + g(n) &\leq \frac{1}{n^{c+1}} + \frac{1}{n^{c+1}} \\ &\leq \frac{2}{n^{c+1}} \\ &\leq \frac{n}{n^{c+1}} \quad (\text{Since } n \geq n_0 \geq 2) \\ &\leq \frac{1}{n^c} \end{aligned}$$

## Example (Double OTP)

Prove uniform ciphertext security of the following scheme:

- $\text{KeyGen}(1^n) : k_1 \xleftarrow{\$} \{0, 1\}^n, k_2 \xleftarrow{\$} \{0, 1\}^n$  and output  $(k_1, k_2)$
- $\text{Enc}((k_1, k_2), m) : c_1 = k_1 \oplus m, c_2 = k_2 \oplus m$  and output  $(c_1, c_2)$ .
- $\text{Dec}((k_1, k_2), (c_1, c_2))$ : Output  $m = k_1 \oplus c_1$ .

We need to show that for each  $m$ , the following distributions are identical:

- $\{c_1 = k_1 \oplus m, c_2 = k_2 \oplus m; k_1 \leftarrow \text{KeyGen}(1^n), k_2 \leftarrow \text{KeyGen}(1^n)\}$
- $\{(c_1, c_2) \xleftarrow{\$} \{0, 1\}^{2n}\}$

We consider the following set of distributions called **hybrids**.

$\mathcal{H}_1 : \{c_1 = k_2 \oplus m, c_2 = k_2 \oplus m; k_1 \leftarrow \text{KeyGen}(1^n), k_2 \leftarrow \text{KeyGen}(1^n)\}$

$\mathcal{H}_2 : \{c_1 \xleftarrow{\$} \{0, 1\}^n, c_2 = k_2 \oplus m; k_2 \leftarrow \text{KeyGen}(1^n)\}$

$\mathcal{H}_3 : \{c_1 \xleftarrow{\$} \{0, 1\}^n, c_2 \xleftarrow{\$} \{0, 1\}^n\}$

## Encryption: One-Time Perfect Security

### One-Time Perfect Security

We say that an encryption scheme is one-time perfectly secure if  $\forall m_0, m_1 \in \mathcal{M}$  chosen by Eve, the following distributions are identical:

- $\mathcal{D}_1 := \{c := \text{Enc}(k, m_0); k \leftarrow \text{KeyGen}(1^n)\}$
- $\mathcal{D}_2 := \{c := \text{Enc}(k, m_1); k \leftarrow \text{KeyGen}(1^n)\}$

As earlier, from adversary's viewpoint, the ciphertext carries no information about the plaintext.

## Negligible Functions: Examples

#### Problem

Let  $\nu(\cdot)$  be a negligible function and  $p(\cdot)$  be a polynomial s.t.  $p(n) \geq 0$ ,  $\forall n > 0$ . Show that  $\nu(n) \cdot p(n)$  is negligible.

We need to show that  $\forall c, \exists n_0$ , such that  $\forall n > n_0$ ,  $\nu(n) \cdot p(n) \leq \frac{1}{n^c}$ .

- Since  $p(\cdot)$  is a polynomial function, we know that  $\exists n_p, c_p$ , such that,  $\forall n > n_p$ ,  $p(n) \leq n^{c_p}$ .
- Since  $\nu(\cdot)$  is a negligible function, we know that  $\exists n_\nu$  corresponding to  $c + c_p$ , such that  $\forall n > n_\nu$ ,  $\nu(n) \leq \frac{1}{n^{c+c_p}}$ .

For a given  $c$ , let  $n_0 = \max(n_\nu, n_p)$ .  $\forall n > n_0$ :

$$\begin{aligned} \nu(n) \cdot p(n) &\leq \frac{1}{n^{c+c_p}} \cdot n^{c_p} \\ &\leq \frac{1}{n^{c+c_p-c_p}} \\ &\leq \frac{1}{n^c} \end{aligned}$$

## Distributions & Ensembles

- **Recall:**  $X$  is a distribution over sample space  $\mathcal{S}$  if it assigns probability  $p_s$  to the element  $s \in \mathcal{S}$  s.t.  $\sum_s p_s = 1$

### Ensemble

A sequence  $\{X_n\}_{n \in \mathbb{N}}$  is called an ensemble if for each  $n \in \mathbb{N}$ ,  $X_n$  is a probability distribution over  $\{0, 1\}^*$ .

- Generally,  $X_n$  will be a distribution over the sample space  $\{0, 1\}^{\ell(n)}$  (where  $\ell(\cdot)$  is a polynomial)

## Properties of Computational Indistinguishability

- **Notation:**  $\{X_n\} \approx_c \{Y_n\}$  means computational indistinguishability
- **Closure:** If we apply an efficient operation on  $X$  and  $Y$ , they remain computationally indistinguishable. That is,  $\forall$  non-uniform PPT  $M$

$$\{X_n\} \approx_c \{Y_n\} \implies \{M(X_n)\} \approx_c \{M(Y_n)\}$$

**Proof Idea:** If not,  $\mathcal{A}$  can use  $M$  to tell them apart!

- **Transitivity:** If  $X, Y$  are computationally indistinguishable, and  $Y, Z$  are computationally indistinguishable; then  $X, Z$  are also computationally indistinguishable.

## Computationally Indistinguishability: Definition

### Definition (Computationally Indistinguishability)

Two ensembles of probability distributions  $X = \{X_n\}_{n \in \mathbb{N}}$  and  $Y = \{Y_n\}_{n \in \mathbb{N}}$  are said to be **computationally indistinguishable** if for every non-uniform PPT  $\mathcal{A}$  there exists a negligible function  $\nu(\cdot)$  s.t.:

$$\left| \Pr[x \leftarrow X_n; \mathcal{A}(1^n, x) = 1] - \Pr[y \leftarrow Y_n; \mathcal{A}(1^n, y) = 1] \right| \leq \nu(n).$$

- The quantity  $\left| \Pr[x \leftarrow X_n; D(1^n, x) = 1] - \Pr[y \leftarrow Y_n; D(1^n, y) = 1] \right|$  is called the **advantage** or bias of  $\mathcal{A}$  in distinguishing  $X$  and  $Y$ .
- Therefore,  $X$  and  $Y$  are computationally indistinguishable if all non-uniform PPT  $\mathcal{A}$  have negligible advantage in distinguishing them.

## Generalizing Transitivity: Hybrid Lemma

### Lemma (Hybrid Lemma)

Let  $X^1, \dots, X^m$  be distribution ensembles for  $m = \text{poly}(n)$ . If for every  $i \in [m-1]$ ,  $X^i$  and  $X^{i+1}$  are computationally indistinguishable, then  $X^1$  and  $X^m$  are computationally indistinguishable.

This is the hybrid technique, stated more generally, in the computational setting.

Used in most crypto proofs!

## Computational Indistinguishability



- $\mathcal{A}$ 's output can be encoded using just one bit:  
1 = "from  $X$ " and 0 = "from  $Y$ "
- We want  $\mathcal{A}$  to output 1, with "almost similar" probability in both the above scenarios.

$$\Pr[x \leftarrow X; \mathcal{A}(1^n, x) = 1] \approx \Pr[y \leftarrow Y; \mathcal{A}(1^n, y) = 1] \implies$$

$$\left| \Pr[x \leftarrow X; \mathcal{A}(1^n, x) = 1] - \Pr[y \leftarrow Y; \mathcal{A}(1^n, y) = 1] \right| \leq \nu(n).$$

## Computationally Indistinguishability: Definition

### Definition (Computationally Indistinguishability)

Two ensembles of probability distributions  $X = \{X_n\}_{n \in \mathbb{N}}$  and  $Y = \{Y_n\}_{n \in \mathbb{N}}$  are said to be **computationally indistinguishable** if for every non-uniform PPT  $\mathcal{A}$  there exists a negligible function  $\nu(\cdot)$  s.t.:

$$\left| \Pr[x \leftarrow X_n; \mathcal{A}(1^n, x) = 1] - \Pr[y \leftarrow Y_n; \mathcal{A}(1^n, y) = 1] \right| \leq \nu(n).$$

- The quantity  $\left| \Pr[x \leftarrow X_n; D(1^n, x) = 1] - \Pr[y \leftarrow Y_n; D(1^n, y) = 1] \right|$  is called the **advantage** or bias of  $\mathcal{A}$  in distinguishing  $X$  and  $Y$ .
- Therefore,  $X$  and  $Y$  are computationally indistinguishable if all non-uniform PPT  $\mathcal{A}$  have negligible advantage in distinguishing them.

## Pseudorandom Generators (PRG)

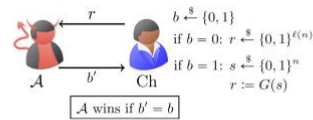
### Definition (Pseudorandom Generator)

A deterministic algorithm  $G$  is called a **pseudorandom generator** (PRG) if:

- $G$  can be computed in polynomial time
- $|G(x)| > |x|$
- $\{x \xrightarrow{\$} \{0, 1\}^n; G(x)\} \approx_c \{U_{\ell(n)}\}$  where  $\ell(n) = |G(0^n)|$

The **stretch** of  $G$  is defined as:  $|G(x)| - |x|$

## Game Based Definition of PRG



$$\Pr[b' = 1 | b = 1] \approx \Pr[b' = 1 | b = 0]$$

$$\left| \Pr[b' = 1 | b = 1] - \Pr[b' = 1 | b = 0] \right| \leq \nu(n)$$

$$\left| \Pr[\mathcal{A}(1^n, r) = 1 | r \xrightarrow{\$} \{0, 1\}^n, r := G(s)] - \Pr[\mathcal{A}(1^n, r) = 1 | r \xrightarrow{\$} \{0, 1\}^{\ell(n)}] \right| \leq \nu(n)$$

## Security of Pseudorandom OTP

### Lemma

Pseudorandom OTP satisfies one-time computational security.

*Proof.* We need to show that  $\forall m_0, m_1 \in \{0, 1\}^{\ell(n)}$  chosen by an adversary, the following two distributions are computationally indistinguishable:

- 1  $\mathcal{D}_1 := \{c := m_0 \oplus G(k); k \leftarrow \{0, 1\}^n\}$
- 2  $\mathcal{D}_2 := \{c := m_1 \oplus G(k); k \leftarrow \{0, 1\}^n\}$

Consider the following hybrids:

- 1  $\mathcal{H}_1 := \{c := m_0 \oplus G(k); k \leftarrow \{0, 1\}^n\}$
- 2  $\mathcal{H}_2 := \{c := m_0 \oplus r; r \leftarrow \{0, 1\}^{\ell(n)}\}$
- 3  $\mathcal{H}_3 := \{c := m_1 \oplus r; r \leftarrow \{0, 1\}^{\ell(n)}\}$
- 4  $\mathcal{H}_4 := \{c := m_1 \oplus G(k); k \leftarrow \{0, 1\}^n\}$

## Pseudorandomness of $G_{poly}$

- We want to show  $\{G_{poly}(s); s \leftarrow \{0, 1\}^n\} \approx_c \{r \leftarrow \{0, 1\}^{\ell(n)}\}$
- Consider the following hybrid experiments:

Experiment $\mathcal{H}_1$	Experiment $\mathcal{H}_2$	Experiment $\mathcal{H}_{\ell(n)}$
$s = x_0$	$s = x_0$	$s = X_0$
$G(x_0) = x_1    b_1$	$s_1    u_1 = x_1    u_1$	$s_1    u_1 = x_1    u_1$
$G(x_1) = x_2    b_2$	$G(x_1) = x_2    b_2$	$s_2    u_2 = x_2    u_2$
...	...	...
$G(x_{\ell(n)-1}) = x_{\ell(n)}    b_{\ell(n)}$	$G(x_{\ell(n)-1}) = x_{\ell(n)}    b_{\ell(n)}$	$s_{\ell(n)}    u_{\ell(n)} = x_{\ell(n)}    u_{\ell(n)}$
Output $G(s) := b_1 b_2 \dots b_{\ell(n)}$	Output $G(s) := u_1 b_2 \dots b_{\ell(n)}$	Output $G(s) := u_1 u_2 \dots u_{\ell(n)}$

- In order to show that  $G_{poly}$  is a PRG, it suffices to show that  $\mathcal{H}_1 \approx_c \mathcal{H}_{\ell(n)}$ .

## Security of Pseudorandom OTP

- 1  $\mathcal{H}_1 := \{c := m_0 \oplus G(k); k \leftarrow \{0, 1\}^n\}$
- 2  $\mathcal{H}_2 := \{c := m_0 \oplus r; r \leftarrow \{0, 1\}^{\ell(n)}\}$
- 3  $\mathcal{H}_3 := \{c := m_1 \oplus r; r \leftarrow \{0, 1\}^{\ell(n)}\}$
- 4  $\mathcal{H}_4 := \{c := m_1 \oplus G(k); k \leftarrow \{0, 1\}^n\}$

- $\mathcal{H}_1 \approx_c \mathcal{H}_2$ : From the security of PRG, we know that

$$\{G(k); k \leftarrow \{0, 1\}^n\} \approx_c \{r; r \leftarrow \{0, 1\}^{\ell(n)}\}$$

From closure property of computational indistinguishability, we get

$$\{m_0 \oplus G(k); k \leftarrow \{0, 1\}^n\} \approx_c \{m_0 \oplus r; r \leftarrow \{0, 1\}^{\ell(n)}\}$$

## Pseudorandomness of $G_{poly}$

Experiment $\mathcal{H}_1$	Experiment $\mathcal{H}_2$	Experiment $\mathcal{H}_{\ell(n)}$
$s = x_0$	$s = x_0$	$s = X_0$
$G(x_0) = x_1    b_1$	$s_1    u_1 = x_1    u_1$	$s_1    u_1 = x_1    u_1$
$G(x_1) = x_2    b_2$	$G(x_1) = x_2    b_2$	$s_2    u_2 = x_2    u_2$
...	...	...
$G(x_{\ell(n)-1}) = x_{\ell(n)}    b_{\ell(n)}$	$G(x_{\ell(n)-1}) = x_{\ell(n)}    b_{\ell(n)}$	$s_{\ell(n)}    u_{\ell(n)} = x_{\ell(n)}    u_{\ell(n)}$
Output $G(s) := b_1 b_2 \dots b_{\ell(n)}$	Output $G(s) := u_1 b_2 \dots b_{\ell(n)}$	Output $G(s) := u_1 u_2 \dots u_{\ell(n)}$

- $\mathcal{H}_1 \approx_c \mathcal{H}_2$ : From the security of PRG, we know that

$$\{G(s); s \leftarrow \{0, 1\}^n\} \approx_c \{s_1 || u_1; s_1 \leftarrow \{0, 1\}^{n+1}\}$$

Indistinguishability of  $\mathcal{H}_1$  and  $\mathcal{H}_2$  follows from the closure property of computational indistinguishability.

- Similarly,  $\forall i \in [\ell(n) - 1]$ ,  $\mathcal{H}_i \approx_c \mathcal{H}_{i+1}$ .
- By Hybrid lemma,  $\mathcal{H}_1 \approx_c \mathcal{H}_{\ell(n)}$ .

## Security of Pseudorandom OTP

- 1  $\mathcal{H}_1 := \{c := m_0 \oplus G(k); k \leftarrow \{0, 1\}^n\}$
- 2  $\mathcal{H}_2 := \{c := m_0 \oplus r; r \leftarrow \{0, 1\}^{\ell(n)}\}$
- 3  $\mathcal{H}_3 := \{c := m_1 \oplus r; r \leftarrow \{0, 1\}^{\ell(n)}\}$
- 4  $\mathcal{H}_4 := \{c := m_1 \oplus G(k); k \leftarrow \{0, 1\}^n\}$

- $\mathcal{H}_2 \equiv \mathcal{H}_3$ :  $\mathcal{H}_2$  is an OTP encryption of  $m_0$  and  $\mathcal{H}_3$  is an OTP encryption of  $m_1$ . Therefore,  $\mathcal{H}_2$  and  $\mathcal{H}_3$  are identical because of the one-time perfect security of OTP.
- $\mathcal{H}_3 \approx_c \mathcal{H}_4$ : Similar to  $\mathcal{H}_1 \approx_c \mathcal{H}_2$ .

$$\mathcal{H}_1 \approx_c \mathcal{H}_2 \equiv \mathcal{H}_3 \approx_c \mathcal{H}_4$$

By hybrid lemma,  $\mathcal{H}_1$  is computationally indistinguishable to  $\mathcal{H}_4$ .

## Contrapositive Point of View

- So far, we have only considered security proofs in the “forward” direction.
- A more classical (although initially potentially confusing) way is to prove security by arriving at a contradiction.
- First, we establish the following definitions.

### Definition (Non-Negligible Functions)

A function  $\nu(n)$  is non-negligible if  $\exists c$ , such that  $\forall n_0, \exists n > n_0$ ,  $\nu(n) \geq \frac{1}{n^c}$ .

### Lemma (Alternate way to state Hybrid Lemma)

Let  $X^1, \dots, X^m$  be distribution ensembles for  $m = \text{poly}(n)$ . Suppose there exists a distinguisher/adversary  $\mathcal{A}$  that distinguishes between  $X^1$  and  $X^m$  with probability  $\mu$ . Then  $\exists i \in [m - 1]$ , such that  $\mathcal{A}$  distinguishes between  $X^i$  and  $X^{i+1}$  with advantage at least  $\mu/m$ .

## One-bit stretch PRG $\implies$ Poly-bit stretch PRG

- We will now show that once you can construct a PRG with tiny stretch (even 1 bit), you can also construct arbitrary polynomial stretch PRG.
- *Intuition*: Iterate the one-bit stretch PRG poly times

### Construction of $G_{poly} : \{0, 1\}^n \rightarrow \{0, 1\}^{\ell(n)}$

Let  $G : \{0, 1\}^n \rightarrow \{0, 1\}^{n+1}$  be a one-bit stretch PRG.

$$\begin{aligned} s &= x_0 \\ G(x_0) &= x_1 || b_1 \\ &\vdots \\ G(x_{\ell(n)-1}) &= x_{\ell(n)} || b_{\ell(n)} \end{aligned}$$

$$G_{poly}(s) := b_1 \dots b_{\ell(n)}$$

## Contrapositive Point of View

- So far, we have proved statements of the following form.  
“If  $G$  is a one-bit stretch PRG, then  $G_{poly}$  is a poly-bit stretch PRG.”
- Let’s now think about the **contrapositive** of these statements.  
“If  $G_{poly}$  is a **not** poly-bit stretch PRG, then  $G$  is **not** a one-bit stretch PRG.”
- If  $G_{poly}$  is not a PRG, then there exists a n.u. PPT adversary  $\mathcal{A}$  who can distinguish between its output on a random input and a uniformly sampled string with some **non-negligible** advantage  $\mu$ .

## Contrapositive Point of View

- We just proved security of  $G_{poly}$  using a sequence of hybrids.
- If we assume that an adversary  $\mathcal{A}$  exists, who has non-negligible advantage in breaking the security of  $G_{poly}$ , then at least one of the steps of our previous proof must break down.
- By hybrid lemma,  $\mathcal{A}$  can distinguish between at least 1 pair of consecutive hybrids (say  $\mathcal{H}_i$  and  $\mathcal{H}_{i+1}$ ) with at least  $\mu/\ell(n)$  advantage.

### Lemma (Alternate way to state Hybrid Lemma)

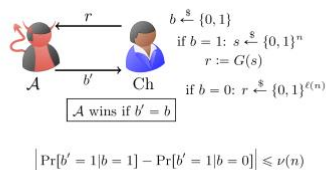
Let  $X^1, \dots, X^m$  be distribution ensembles for  $m = \text{poly}(n)$ . Suppose there exists a distinguisher/adversary  $\mathcal{A}$  that distinguishes between  $X^1$  and  $X^m$  with probability  $\mu$ . Then  $\exists i \in [m-1]$ , such that  $\mathcal{A}$  distinguishes between  $X^i$  and  $X^{i+1}$  with advantage at least  $\mu/m$ .

## Contrapositive Point of View

- We just proved security of  $G_{poly}$  using a sequence of hybrids.
- If we assume that an adversary  $\mathcal{A}$  exists, who has non-negligible advantage in breaking the security of  $G_{poly}$ , then at least one of the steps of our previous proof must break down.
- By hybrid lemma,  $\mathcal{A}$  can distinguish between at least 1 pair of consecutive hybrids (say  $\mathcal{H}_i$  and  $\mathcal{H}_{i+1}$ ) with at least  $\mu/\ell(n)$  advantage.
- In our previous proof, we relied on the security of  $G$  to argue indistinguishability of each pair of consecutive hybrids.
- We will now use  $\mathcal{A}$  that has non-negligible advantage in distinguishing between  $\mathcal{H}_i$  and  $\mathcal{H}_{i+1}$ , to construct another adversary  $\mathcal{B}$  to break security of  $G$ .
- However, since  $G$  is a secure PRG, no such n.u. PPT  $\mathcal{A}$  should exist. This will give us a contradiction and imply that our assumption was incorrect.  $G_{poly}$  is in fact secure.

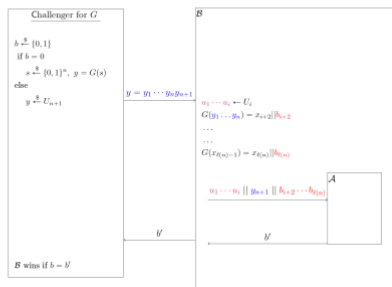
## Proof via Reduction

- How do we construct  $\mathcal{B}$ ?
- We consider the game-based definition of PRG.



## Proof by Reduction

- This proof technique is also called **proof by reduction**.



## Proof by Reduction

- If  $y$  is pseudorandom, i.e., sampled as  $y = G(s)$ , then the input to  $\mathcal{A}$  is distributed identically to the output of  $\mathcal{H}_i$ .
- Otherwise, i.e.,  $y$  is (truly) random, and therefore the input to  $\mathcal{A}$  is distributed identically to the output of  $\mathcal{H}_{i+1}$ .
- Hence,  $\mathcal{B}$  has the **same advantage** in distinguishing between the output of  $G$  and a pseudorandom string that  $\mathcal{A}$  has in distinguishing between  $\mathcal{H}_i$  and  $\mathcal{H}_{i+1}$ .
- Moreover, since  $\mathcal{A}$  is n.u. PPT, so is  $\mathcal{B}$ . This is a contradiction!
- Hence,  $G_{\text{poly}(n)}$  is a PRG.

## Proof by Reduction: Key Points

- These are four important things that you must work through for a valid reduction:
  1. **Input Mapping:** How to map the input that “outer adversary”  $\mathcal{B}$  receives from the challenger to an input to the “internal adversary”  $\mathcal{A}$ ?
  2. **Input Distribution:** Does the input mapping provide the right distribution of inputs that  $\mathcal{A}$  expects?
  3. **Output Mapping:** How do we map the output that  $\mathcal{A}$  provides to an output for  $\mathcal{B}$ ?
  4. **Probability:** When we assume existence of  $\mathcal{A}$ , we also assume that  $\mathcal{A}$  wins with non-negligible advantage. What is the probability/advantage that  $\mathcal{B}$  wins, given the mappings above?

## One Way Functions: Definition

### Definition (One Way Function)

A function  $f : \{0, 1\}^* \rightarrow \{0, 1\}^*$  is a **one-way function** (OWF) if it satisfies the following two conditions:

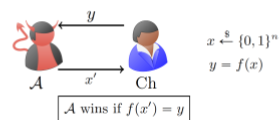
- **Easy to compute:** there is a polynomial-time algorithm  $\mathcal{C}$  s.t.  $\forall x \in \{0, 1\}^*$ ,  $\Pr[\mathcal{C}(x) = f(x)] = 1$ .
- **Hard to invert:** there exists a negligible function  $\nu : \mathbb{N} \rightarrow \mathbb{R}$  s.t. for every non-uniform PPT adversary  $\mathcal{A}$  and  $\forall n \in \mathbb{N}$ :

$$\Pr\left[x \xleftarrow{\$} \{0, 1\}^n, x' \leftarrow \mathcal{A}(1^n, f(x)) : f(x') = f(x)\right] \leq \nu(n).$$

- The above definition is also called **strong** one-way functions.

## One Way Functions: Game Based Definition

It is also instructive to think of that definition in this game-based form.



We say that  $f : \{0, 1\}^* \rightarrow \{0, 1\}^*$  is a one-way function if there exists a negligible function  $\nu : \mathbb{N} \rightarrow \mathbb{R}$  s.t. for every n.u. PPT adversary  $\mathcal{A}$  and  $\forall n \in \mathbb{N}$ :

$$\Pr[\mathcal{A} \text{ wins}] \leq \nu(n).$$



## Noticeable Functions

Let us start by formally defining noticeable functions. These are functions that are **at most polynomially small**.

### Definition (Noticeable Function)

A function  $\nu(n)$  is noticeable if  $\exists c, n_0$  such that  $\forall n > n_0, \nu(n) \geq \frac{1}{n^c}$ .

Note that a non-negligible function is not necessarily a noticeable function. Example:

$$f(n) = \begin{cases} 1 & \text{if } n \text{ is even} \\ 2^{-n} & \text{if } n \text{ is odd} \end{cases}$$

## Weak One Way Functions

### Definition (Weak One Way Function)

A function  $f : \{0, 1\}^* \rightarrow \{0, 1\}^*$  is a *weak one-way function* if it satisfies the following two conditions:

- **Easy to compute:** there is a polynomial-time algorithm  $C$  s.t.  $\forall x \in \{0, 1\}^*,$

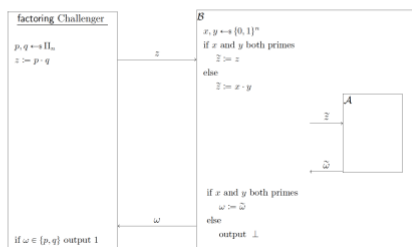
$$\Pr[C(x) = f(x)] = 1.$$

- **Somewhat hard to invert:** there is a noticeable function  $\varepsilon : \mathbb{N} \rightarrow \mathbb{R}$  s.t. for every non-uniform PPT  $\mathcal{A}$  and  $\forall n \in \mathbb{N}$ :

$$\Pr[x \leftarrow \{0, 1\}^n, x' \leftarrow \mathcal{A}(1^n, f(x)) : f(x') \neq f(x)] \geq \varepsilon(n).$$

## Proof via Reduction

**Goal:** Given an adversary  $\mathcal{A}$  that breaks weak one-wayness of  $f_x$  with probability *at least*  $1 - \frac{1}{q(n)}$ , we will construct an adversary  $\mathcal{B}$  that breaks the factoring assumption with noticeable probability



## Weak to Strong OWFs

### Theorem

For any weak one-way function  $f : \{0, 1\}^n \rightarrow \{0, 1\}^n$ , there exists a polynomial  $N(\cdot)$  s.t. the function  $F : \{0, 1\}^{n \cdot N(n)} \rightarrow \{0, 1\}^{n \cdot N(n)}$  defined as

$$F(x_1, \dots, x_N(n)) = (f(x_1), \dots, f(x_N(n)))$$

is *strongly one-way*.

## Weak to Strong OWFs: Intuition

- Recall: OWFs only guarantee average-case hardness
- GOOD inputs: hard to invert, BAD inputs: easy to invert
- A OWF is weak when the fraction of BAD inputs is **noticeable**.
- In a strong OWF, the fraction of BAD inputs is **negligible**
- To convert weak OWF to strong, use the weak OWF on **many** (say  $N$ ) inputs independently
- In order to successfully invert the new OWF, adversary must invert ALL the  $N$  outputs of the weak OWF
- If  $N$  is sufficiently large and the inputs are chosen independently at random, then the probability of inverting all of them should be small

## Hard Core Predicate

- A **hard core predicate** for a OWF  $f$ 
  - is a function over its inputs  $\{x\}$
  - its output is a single bit (called “hard core bit”)
  - it can be easily computed given  $x$
  - but “hard to compute” given only  $f(x)$
- **Intuition:**  $f$  may leak many bits of  $x$  but it does not leak the hard-core bit.
- In other words, learning the hardcore bit of  $x$ , even given  $f(x)$ , is “as hard as” inverting  $f$  itself.
- **Think:** What does “hard to compute” mean for a single bit?
  - you can always guess the bit with probability  $1/2$ .

## Hard Core Predicate: Definition

- Hard-core bit cannot be learned or “predicted” or “computed” with probability  $> \frac{1}{2} + \nu(|x|)$  even given  $f(x)$  (where  $\nu$  is a negligible function)

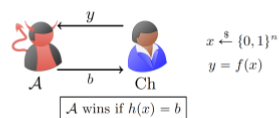
### Definition (Hard Core Predicate)

A predicate  $h : \{0, 1\}^* \rightarrow \{0, 1\}$  is a hard-core predicate for  $f(\cdot)$  if  $h$  is efficiently computable given  $x$  and there exists a negligible function  $\nu$  s.t. for every non-uniform PPT adversary  $\mathcal{A}$  and  $\forall n \in \mathbb{N}$ :

$$\Pr[x \leftarrow \{0, 1\}^n : \mathcal{A}(1^n, f(x)) = h(x)] \leq \frac{1}{2} + \nu(n).$$

## Hard Core Predicate: Game Based Definition

It is also instructive to think of that definition in this game-based form.



We want that for all n.u. PPT adversary  $\mathcal{A}$ , the adversary wins with probability only at most negligible more than  $1/2$ .

$$\Pr[\mathcal{A} \text{ wins}] \leq \frac{1}{2} + \nu(n).$$

## Hard Core Predicate: Construction

- Can we construct hard-core predicates for general OWFs  $f$ ?
- Define  $\langle x, r \rangle$  to be the **inner product** function mod 2. I.e.,

$$\langle x, r \rangle = \left( \sum_i x_i r_i \right) \bmod 2$$

### Theorem (Goldreich-Levin)

Let  $f$  be a OWF. Define function

$$g(x, r) = (f(x), r)$$

where  $|x| = |r|$ . Then  $g$  is a OWF and

$$h(x, r) = \langle x, r \rangle$$

is a hard-core predicate for  $f$

## PRG with 1-bit stretch

- Let  $f : \{0, 1\}^* \rightarrow \{0, 1\}^*$  be a **OWP**
- Let  $h : \{0, 1\}^* \rightarrow \{0, 1\}$  be a hardcore predicate for  $f$
- **Construction:**  $G(s) = f(s) \parallel h(s)$

### Theorem (PRG based on OWP)

$G$  is a pseudorandom generator with 1-bit stretch.

- Think: Proof?
- **Proof Idea:** Use next-bit unpredictability. Since first  $n$  bits of the output are uniformly distributed (since  $f$  is a permutation), any adversary for next-bit unpredictability with non-negligible advantage  $\frac{1}{p(n)}$  must be predicting the  $(n+1)$ th bit with advantage  $\frac{1}{p(n)}$ . Build an adversary for hard-core predicate to get a contradiction.

## Warmup Proof (1)

- **Assumption:** Given  $g(x, r) = (f(x), r)$ , adversary  $\mathcal{A}$  **always** (i.e., with probability 1) outputs  $h(x, r)$  correctly
- Inverter  $\mathcal{B}$ :
  - Compute  $x_i^* \leftarrow \mathcal{A}(f(x), e_i)$  for every  $i \in [n]$  where:

$$e_i = \left( \underbrace{0, \dots, 0}_{(i-1)\text{-times}}, 1, \dots, 0 \right)$$

- Output  $x^* = x_1^* \dots x_n^*$

## Random Functions

There are two ways to define a random function:

- **First method:** A random function  $F$  from  $n$  bits to  $n$  bits is a function selected uniformly at random from all  $2^{n2^n}$  functions that map  $n$  bits to  $n$  bits
- **Second method:** Use a randomized algorithm to describe the function. Sometimes more convenient to use in proofs
  - randomized program  $M$  to implement a random function  $F$
  - $M$  keeps a table  $T$  that is initially empty.
  - on input  $x$ ,  $M$  has not seen  $x$  before, choose a random string  $y$  and add the entry  $(x, y)$  to the table  $T$
  - otherwise, if  $x$  is already in the table,  $M$  picks the entry corresponding to  $x$  from  $T$ , and outputs that
- $M$ 's output distribution identical to that of  $F$ .

## Warmup Proof (2)

- **Assumption:** Given  $g(x, r) = (f(x), r)$ , adversary  $\mathcal{A}$  outputs  $h(x, r)$  with probability  $3/4 + \varepsilon(n)$  (over choices of  $(x, r)$ )
- **Main Problem:** Adversary may not work on "improper" inputs (e.g.,  $r = e_i$  as in previous case)
- **Main Idea:** Split each query into two queries s.t. each query individually looks random
- Inverter  $\mathcal{B}$ :
  - Let  $a := \mathcal{A}(f(x), e_i + r)$  and  $b := \mathcal{A}(f(x), r)$ , for  $r \xleftarrow{\$} \{0, 1\}^n$
  - Compute  $c := a \oplus b$
  - $c = x_i$  with probability at least  $\frac{1}{2} + \varepsilon$  (Union Bound)
  - Repeat and take majority to obtain  $x_i^*$  s.t.  $x_i^* = x_i$  with prob.  $1 - \text{negl}(n)$

## Pseudorandom Functions

- Keep the description of PRF **secret** from  $D$ ?
  - Security by obscurity not a good idea (Kerckoff's principle)
- **Solution:** PRF will be a keyed function. Only the key will be secret, and the PRF evaluation algorithm will be public
- **Security via a Game based definition**
  - Players: a **challenger**  $Ch$  and  $D$ .  $Ch$  is randomized and efficient
  - Game starts by  $Ch$  choosing a random bit  $b$ . If  $b = 0$ ,  $Ch$  implements a random function, otherwise it implements a PRF
  - $D$  send queries  $x_1, x_2, \dots$  to  $Ch$ , one-by-one
  - $Ch$  answers by correctly replying  $F(x_1), F(x_2), \dots$
  - Finally,  $D$  outputs his guess  $b'$  (of  $F$  being random or PRF)
  - $D$  **wins** if  $b' = b$
- **PRF Security:** No  $D$  can win with probability better than  $1/2$ .

## Next-bit Unpredictability

### Definition (Next-bit Unpredictability)

An ensemble of distributions  $\{X_n\}$  over  $\{0, 1\}^{\ell(n)}$  is next-bit unpredictable if, for all  $0 \leq i < \ell(n)$  and n.u. PPT  $\mathcal{A}$ ,  $\exists$  negligible function  $\nu(\cdot)$  s.t.:

$$\Pr[t = t_1 \dots t_{\ell(n)} \leftarrow X_n : \mathcal{A}(t_1 \dots t_i) = t_{i+1}] \leq \frac{1}{2} + \nu(n)$$

### Theorem (Completeness of Next-bit Test)

If  $\{X_n\}$  is next-bit unpredictable then  $\{X_n\}$  is pseudorandom.

## Pseudorandom Functions: Definition

### Definition (Pseudorandom Functions)

A family  $\{F_k\}_{k \in \{0, 1\}^n}$  of functions, where  $F_k : \{0, 1\}^n \rightarrow \{0, 1\}^n$  for all  $k$ , is pseudorandom if:

- **Easy to compute:** there is an efficient algorithm  $M$  such that  $\forall k, x : M(k, x) = F_k(x)$ .
- **Hard to distinguish:** for every non-uniform PPT  $D$  there exists a negligible function  $\nu$  such that  $\forall n \in \mathbb{N}$ :

$$|\Pr[D \text{ wins GuessGame}] - 1/2| \leq \nu(n).$$

where GuessGame is defined below

## Pseudorandom Functions: Game Based Definition

**GuessGame**( $1^n$ ) incorporates  $D$  and proceeds as follows:

- The games choose a PRF key  $k$  and a random bit  $b$ .
- It runs  $D$  answering every query  $x$  as follows:
  - If  $b = 0$ : (answer using PRF)
    - output  $F_k(x)$
  - If  $b = 1$ : (answer using a random  $F$ )
    - (keep a table  $T$  for previous answers)
    - if  $x$  is in  $T$ : return  $T[x]$ .
    - else: choose  $y \leftarrow \{0, 1\}^n$ ,  $T[x] = y$ , return  $y$ .
- Game stops when  $D$  halts.  $D$  outputs a bit  $b'$

$D$  wins **GuessGame** if  $b' = b$ .

**Remark:** note that for any  $b$  only one of the two functions is ever used.

## PRF from PRG

### Theorem (Goldreich-Goldwasser-Micali (GGM))

*If pseudorandom generators exist then pseudorandom functions exist*

- **Notation:** define  $G_0$  and  $G_1$  as

$$G(s) = G_0(s) \| G_1(s)$$

i.e.,  $G_0$  chooses left half of  $G$  and  $G_1$  chooses right half

- Construction for  $n$ -bit inputs  $x = x_1 x_2 \dots x_n$

$$F_k(x) = G_{x_n}(G_{x_{n-1}}(\dots(G_{x_1}(k))\dots))$$

## Proof Strategy (contd.)

Two layers of hybrids:

- First, define hybrids over the  $n$  levels in the tree. For every  $i$ ,  $H_i$  is such that the nodes up to level  $i$  are random, but the nodes below are pseudorandom.
- Now, hybrid over the nodes in level  $i + 1$  that are “affected” by adversary’s queries, replacing each node one by one with random
- Use PRG security to argue indistinguishability

## Discrete Logarithm Problem: Definition

### Definition (Discrete Logarithm Problem)

Let  $(G, \cdot)$  be a cyclic group of order  $p$  (where  $p$  is a safe prime) with generator  $g$ , then for every non-uniform PPT adversary  $\mathcal{A}$ , there exists a negligible function  $\varepsilon$  such that

$$\Pr[a \leftarrow \{0, \dots, p-1\}, a' \leftarrow \mathcal{A}(g, p, g, g^a) : a = a'] \leq \varepsilon$$

## Computational Diffie-Hellman Assumption: Definition

### Definition (Computational Diffie-Hellman Assumption)

Let  $(G, \cdot)$  be a cyclic group of order  $p$  (where  $p$  is a safe prime) with generator  $g$ , then for every non-uniform PPT adversary  $\mathcal{A}$ , there exists a negligible function  $\varepsilon$  such that

$$\Pr[a, b \leftarrow \{0, \dots, p-1\}, y \leftarrow \mathcal{A}(G, p, g, g^a, g^b) : g^{ab} = y] \leq \varepsilon$$

## Decisional Diffie-Hellman Assumption

- Let  $(G, \cdot)$  be a cyclic group of order  $p$  with generator  $g$ , where  $p$  is an  $n$ -bit safe prime.
- Pick  $b \leftarrow \{0, 1\}$
- If  $b = 0$ , send  $(g, g^a, g^b, g^{ab})$ , where  $a, b \leftarrow \{0, \dots, p-1\}$
- If  $b = 1$ , send  $(g, g^a, g^b, g^r)$ , where  $a, b, r \leftarrow \{0, \dots, p-1\}$
- Adversary has to guess  $b$
- Effectively:  $(g, g^a, g^b, g^{ab}) \approx (g, g^a, g^b, g^r)$ , for  $a, b, r \leftarrow \{0, \dots, p-1\}$  and any  $g$

## Decisional Diffie-Hellman Assumption: Definition

### Definition (Decisional Diffie-Hellman Assumption)

Let  $(G, \cdot)$  be a cyclic group of order  $p$  (where  $p$  is a safe prime) with generator  $g$ , then the following two distributions are computationally indistinguishable:

- $\{a, b \leftarrow \{0, \dots, p-1\} : (G, p, g, g^a, g^b, g^{ab})\}$
- $\{a, b, r \leftarrow \{0, \dots, p-1\} : (G, p, g, g^a, g^b, g^r)\}$

## Key Agreement: Construction (Diffie-Hellman)

- Let  $(G, \cdot)$  be a cyclic group of order  $p$  (where  $p$  is a safe prime) with generator  $g$ .
- Alice picks  $a \leftarrow \{0, \dots, p-1\}$  and sends  $g^a$  to Bob
- Bob picks  $b \leftarrow \{0, \dots, p-1\}$  and sends  $g^b$  to Alice
- Alice outputs  $(g^b)^a$  and Bob outputs  $(g^a)^b$
- Adversary sees:  $(g^a, g^b)$
- Correctness?
- Security? Use DDH to say that  $g^{ab}$  is hidden from adversary’s view
- Think: Is this scheme still secure if the adversary is allowed to modify the messages?

## Multi-message Secure Encryption

### Definition (Multi-message Secure Encryption)

A secret-key encryption scheme  $(\text{Gen}, \text{Enc}, \text{Dec})$  is multi-message secure if for all n.u. PPT adversaries  $\mathcal{A}$ , for all polynomials  $q(\cdot)$ , there exists a negligible function  $\mu(\cdot)$  s.t.:

$$\Pr \left[ \begin{array}{c} s \xleftarrow{\$} \text{Gen}(1^n), \\ \{(m_0^i, m_1^i)\}_{i=1}^{q(n)} \leftarrow \mathcal{A}(1^n), : \mathcal{A}(\{\text{Enc}(m_b^i)\}_{i=1}^{q(n)}) = b \end{array} \right] \leq \frac{1}{2} + \mu(n)$$

- **Think:** Security against *adaptive* adversaries (who may choose message pairs in an adaptive manner based on previously seen ciphertexts)?

## Definition

### • Syntax:

- $\text{Gen}(1^n) \rightarrow (pk, sk)$
- $\text{Enc}(pk, m) \rightarrow c$
- $\text{Dec}(sk, c) \rightarrow m'$  or  $\perp$

All algorithms are polynomial time

- **Correctness:** For every  $m$ ,  $\text{Dec}(sk, \text{Enc}(pk, m)) = m$ , where  $(pk, sk) \leftarrow \text{Gen}(1^n)$

- **Security:** ?

## Encryption using PRFs

Let  $\{f_s : \{0, 1\}^n \rightarrow \{0, 1\}^n\}$  be a family of PRFs

- $\text{Gen}(1^n)$ :  $s \xleftarrow{\$} \{0, 1\}^n$
- $\text{Enc}(s, m)$ : Pick  $r \xleftarrow{\$} \{0, 1\}^n$ . Output  $(r, m \oplus f_s(r))$
- $\text{Dec}(s, (r, c))$ : Output  $c \oplus f_s(r)$

### Theorem (Encryption from PRF)

$(\text{Gen}, \text{Enc}, \text{Dec})$  is a multi-message secure encryption scheme

- **Think:** Proof?

## Security

### Definition ((Weak) Indistinguishability Security)

A public-key encryption scheme  $(\text{Gen}, \text{Enc}, \text{Dec})$  is weakly indistinguishably secure under chosen plaintext attack (weak IND-CPA) if for all n.u. PPT adversaries  $\mathcal{A}$ , there exists a negligible function  $\mu(\cdot)$  s.t.:

$$\Pr \left[ \begin{array}{c} (pk, sk) \xleftarrow{\$} \text{Gen}(1^n), \\ (m_0, m_1) \leftarrow \mathcal{A}(1^n), : \mathcal{A}(pk, \text{Enc}(pk, m_b)) = b \end{array} \right] \leq \frac{1}{2} + \mu(n)$$

- **Think:** Semantic security style definition?

## Proof of Security

Proof via hybrids:

- $H_1$ : Real experiment with  $m_0^1, \dots, m_0^{q(n)}$  (i.e.,  $b = 0$ )
- $H_2$ : Replace  $f_s$  with random function  $f \xleftarrow{\$} \mathcal{F}_n$
- $H_3$ : Switch to one-time pad encryption
- $H_4$ : Switch to encryption of  $m_1^1, \dots, m_1^{q(n)}$
- $H_5$ : Use random function  $f \xleftarrow{\$} \mathcal{F}_n$  to encrypt
- $H_6$ : Encrypt using  $f_s$ . Same as real experiment with  $m_0^1, \dots, m_0^{q(n)}$  (i.e.,  $b = 1$ )

**Think:** Non-adaptive vs adaptive queries

## Security (contd.)

A stronger definition:

### Definition (Indistinguishability Security)

A public-key encryption scheme  $(\text{Gen}, \text{Enc}, \text{Dec})$  is indistinguishably secure under chosen plaintext attack (IND-CPA) if for all n.u. PPT adversaries  $\mathcal{A}$ , there exists a negligible function  $\mu(\cdot)$  s.t.:

$$\Pr \left[ \begin{array}{c} (pk, sk) \xleftarrow{\$} \text{Gen}(1^n), \\ (m_0, m_1) \leftarrow \mathcal{A}(1^n, pk), : \mathcal{A}(pk, \text{Enc}(m_b)) = b \end{array} \right] \leq \frac{1}{2} + \mu(n)$$

- **Think:** IND-CPA is stronger than weak IND-CPA

## Semantic Security

### Definition (Semantic Security)

A secret-key encryption scheme  $(\text{Gen}, \text{Enc}, \text{Dec})$  is semantically secure if there exists a PPT simulator algorithm  $\mathcal{S}$  s.t. the following two experiments generate computationally indistinguishable outputs:

$$\left\{ \begin{array}{c} (m, z) \leftarrow M(1^n), \\ s \leftarrow \text{Gen}(1^n), \\ \text{Output}(\text{Enc}(s, m), z) \end{array} \right\} \approx \left\{ \begin{array}{c} (m, z) \leftarrow M(1^n), \\ \text{Output}(\mathcal{S}(1^n, z)) \end{array} \right\}$$

where  $M$  is a machine that randomly samples a message from the message space and arbitrary auxiliary information.

- Indistinguishability security  $\Leftrightarrow$  Semantic security