

1 **Running title:** Leaf senescence and climate warming
2 **Shifts in leaf senescence across the Northern Hemisphere in response to seasonal**
3 **warming**

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18 **Summary**

19 Shifts in plant phenology under ongoing warming affect global vegetation dynamics
 20 and carbon assimilation of the biomes. The response of leaf senescence to climate is
 21 crucial for predicting changes in the physiological processes of trees at ecosystem
 22 scale. We used long-term ground observations, phenological metrics derived from
 23 PhenoCam, and satellite imagery of the Northern Hemisphere to show that the timings
 24 of leaf senescence can advance or delay in case of warming occurring at the beginning
 25 (before June) or during (after June) the main growing season, respectively. Flux data
 26 demonstrated that net photosynthetic carbon assimilation converted from positive to
 27 negative at the end of June. These findings suggest that leaf senescence is driven by
 28 carbon assimilation and nutrient resorption at different growth stages of leaves. Our
 29 results provide new insights into understanding and modelling autumn phenology and
 30 carbon cycling under warming scenarios.

31 **Key words:** Climate change, phenology, carbon assimilation, nutrient resorption,
 32 phenocam, flux data

33

34 INTRODUCTION

35 Tree phenology mirrors the timing of budburst, leaf-out, flowering, leaf senescence
 36 and other related biological events (Richardson *et al.* 2013; Piao *et al.* 2019). Shifts in
 37 tree phenology alter the length of the growing season (Cleland *et al.* 2007; Richardson
 38 *et al.* 2018b) and influence the productivity of terrestrial forest ecosystems
 39 (Richardson *et al.* 2010; Zhang *et al.* 2020). Tree phenology also drives water-energy
 40 balances and trophic interactions (Edwards & Richardson 2004; Peñuelas & Filella
 41 2009; Richardson *et al.* 2013; Thackeray *et al.* 2016). Phenological changes in trees
 42 therefore provide a clear, visible signal of, and an important basis for modelling, how
 43 global warming influences terrestrial ecosystems (Xia *et al.* 2015; Chuine & Régnière
 44 2017; Zhang *et al.* 2020). There is now considerable evidence that climate warming
 45 has altered tree phenology (Piao *et al.* 2019; Chen *et al.* 2020; Menzel *et al.* 2020).
 46 For example, advances in the dates of spring leaf-out in response to warming have
 47 been consistently observed over recent decades (Wolkovich *et al.* 2012; Fu *et al.* 2015;
 48 Chen *et al.* 2018). However, responses of autumn leaf senescence in temperate trees
 49 to warming are idiosyncratic. Both advanced and delayed trends in leaf senescence
 50 have been reported under warming conditions (Menzel *et al.* 2006; Jeong *et al.* 2011;
 51 Gill *et al.* 2015). The ecological mechanisms underlying these contradictory warming
 52 responses remain unclear, making it difficult to predict how the effects of global
 53 warming on leaf senescence in trees will impact forest ecosystem functioning in the
 54 future (Richardson *et al.* 2010; Piao *et al.* 2019; Chen *et al.* 2020; Jeong 2020; Zhang
 55 *et al.* 2020).

56 The final stage through which tree leaves pass before death is accompanied by
 57 the degradation of various macromolecules (e.g., chlorophyll and other proteins)
 58 (Woo *et al.* 2013). The main function of leaves at this late stage of the season is to

59 remobilize nutrients (e.g., nitrogen and phosphorus) from aging leaves into perennial
60 trunks, twigs and roots for overwintering and to support growth in the following
61 spring (Vergutz *et al.* 2012). Trees have been shown to delay leaf senescence in order
62 to remobilize more nutrients from old leaves (Estiarte & Penuelas 2015). The progress
63 of leaf senescence therefore depends on whether nutrients have been resorbed to their
64 maximum potential extent. However, the timing of leaf senescence is also determined
65 by the maximum amount of assimilated carbon that can be stored (or sink limitation
66 of photosynthesis) early in the growing season (Paul & Foyer 2001). If warming (or
67 other factors, e.g., elevated carbon dioxide and light levels) speeds up the rate of
68 photosynthesis and subsequently the rate at which this maximum storage capacity is
69 reached, then leaf senescence will be advanced (Fu *et al.* 2014; Zani *et al.* 2020). This
70 is also evidenced by the fact that trees that store nonstructural carbohydrates faster
71 show earlier leaf senescence (Fu *et al.* 2014).

72 Over the past decades, an increasing number of phenological networks have been
73 established to understand the phenological responses to climate change. As the largest
74 phenological database worldwide, Pan European Phenology (PEP725) network
75 (www.pep725.eu) (Templ *et al.* 2018) holds more than 12 million ground
76 phenological records across 256 plant species, most of which spanned the years from
77 1951 to 2015. However, PEP725 network is constrained to a relatively small spatial
78 scale consisting mostly of sites located in Central Europe. In contrast, the extracted
79 phenological metrics from PhenoCam and remote-sensing products cover a large
80 spatial scale, but only cover relatively short-term periods. In addition, eddy
81 covariance technique has been widely applied to assess the photosynthetic carbon
82 uptake and respiration of terrestrial ecosystems (Baldocchi *et al.* 2001). In particular,
83 the FLUXNET (<https://fluxnet.org/data/>) provides a uniform and high-quality dataset

84 of 212 eddy covariance flux towers worldwide. Therefore, it is important to combine
85 different complementary datasets to provide a comprehensive understanding of the
86 climatic response of autumn leaf senescence under global warming.

87 Using 500,000 phenological records for 15 temperate trees at 5,000 sites,
88 phenological metrics derived from PhenoCam and satellite imagery, and 72 sites from
89 FLUXNET network in the Northern Hemisphere (Fig. 1), we carried out detailed
90 analyses of the responses of leaf senescence to warming and aim to disentangle the
91 mechanisms underlying the observed contrasts in the responses of leaf senescence to
92 warming and provide an ecological basis for predicting the trajectory of leaf
93 senescence under future warming. We raise the hypothesis that the timing of leaf
94 senescence is driven by both carbon sink limitation and nutrient resorption. Thus, the
95 timing of leaf senescence is advanced by warmer temperatures in spring and summer,
96 which lead to the carbon storage capacity being filled more rapidly, but is delayed by
97 warmer temperatures in autumn, as a result of an extension in the remobilization of
98 nutrients from old leaves for overwintering. Responses to past climate warming can
99 provide a direct cue as to the likely trajectory of leaf senescence in the future.

100

101 MATERIAL AND METHODS

102 **PEP725 phenological network**

103 Ground observation phenological data were obtained from the Pan European
 104 Phenology (PEP725) network (www.pep725.eu) (Templ *et al.* 2018), one of the
 105 largest phenological database worldwide, which provides open-access *in situ*
 106 phenology observations in Europe collected by citizen scientists and researchers for
 107 science, research and education. The PEP725 network holds more than 12 million
 108 ground phenological records of 46 growth stages across 256 plant species and
 109 cultivars at nearly 20,000 sites across 30 countries in Europe, with a majority of the
 110 sites being located in Germany. The phenological stages were defined according to
 111 the BBCH (Biologische Bundesanstalt, Bundessortenamt und Chemische Industrie)
 112 code (Meier 2001). Although the first phenological record dated back to 1868, most
 113 phenological observations were collected after 1951, the year when the plant
 114 phenology network was launched in Europe. In the PEP725 network, leaf senescence
 115 was coded as 94 (BBCH). The date of leaf senescence is expressed as the day of year
 116 (DOY), which was defined as autumn coloring of leaves (50%). To identify and
 117 exclude outliers, median absolute deviation (MAD) method was used to filter the
 118 records of leaf senescence (Leys *et al.* 2013). Using a conservative criterion, we
 119 removed phenological records deviating by more than 2.5 times MAD (Leys *et al.*
 120 2013). Then we selected 500,000 records of leaf senescence for 15 temperate species
 121 (Table S1) at 5,000 sites with at least 10 years of data between 1951 and 2015 across
 122 central Europe (Fig. 1). In addition, the corresponding records of leaf unfolding for
 123 these 15 species between 1951 and 2015 at each site were collected to determine the
 124 start of the growing season.

125 **PhenoCam network**

126 Repeated photography from digital cameras set up at a fixed ground location has been
127 widely applied to characterize the temporal changes in vegetation phenology in recent
128 decades (Brown *et al.* 2016; Richardson *et al.* 2018a). The PhenoCam network is the
129 largest cooperative database of digital phenocamera imagery. The network provides
130 the dates of phenological transitions between 2000 and 2018 across different biomes
131 in North America (Seyednasrollah *et al.* 2019). In the PhenoCam network, the 50th,
132 75th and 90th percentiles of the Green Chromatic Coordinate (G_{CC}) were calculated to
133 extract the dates of increase and decrease in greenness. The formula for G_{CC} is as
134 follows:

$$G_{CC} = \frac{G_{DN}}{R_{DN} + G_{DN} + B_{DN}}, \quad (1)$$

136 where R_{DN} , G_{DN} and B_{DN} are, respectively, the average red, green and blue digital
137 numbers (DN) across the region of interest. Previous studies have shown that the 90th
138 percentile of the GCC is effective at minimizing day-to-day variation due to weather
139 conditions (e.g. clouds and aerosols) and illumination patterns (Sonnentag *et al.* 2012).
140 Thus, we used the date on which the 90th percentile GCC was observed to represent
141 the date of leaf senescence.

142 **MODIS phenology product**

143 The MODIS land surface phenology product (Collection 6 MCD12Q2) provides
144 annual characteristics of vegetation phenology at a spatial resolution of 500 m
145 between 2001 and 2017 on a global scale (Friedl *et al.* 2019). The phenological
146 metrics were derived from the 8-day Enhanced Vegetation Index (EVI), which is
147 calculated using MODIS nadir BRDF adjusted surface reflectances (NBAR-EVI2).
148 Using this product, penalized cubic smoothing splines were used to fit the 8-day EVI
149 time series and extract the onset of senescence, which was defined as the date when

the fitted NBAR-EVI2 time series last crossed the 90th percentile of the seasonal amplitude. The MCD12Q2 product was downloaded from the Land Processes Distributed Active Archive Center (LPDAAC) (<https://lpdaac.usgs.gov/>). In contrast to temperate and boreal regions, seasonal variations in vegetation dynamics are unclear in tropical and subtropical regions. We therefore excluded tropical and subtropical regions based on a map of terrestrial ecoregions worldwide (Dinerstein *et al.* 2017). Furthermore, we excluded cropland, as well as permanent snow and ice regions, based on the MODIS Landover classification product (MCD12Q1 version 6). The remaining biomes included Tundra, Boreal Forests/Taiga, Temperate Conifer Forests, Temperate Grasslands, Savannas & Shrublands, Mediterranean Forests, Woodlands & Scrub, Deserts & Xeric Shrublands, Temperate Broadleaf & Mixed Forests, Montane Grasslands & Shrublands.

Climate data

Gridded daily mean (T_{mean}), maximum (T_{max}) and minimum (T_{min}) temperatures, precipitation, radiation and humidity data with a spatial resolution of 0.25° in Europe were collected from the database E-OBS (<http://ensembles-eu.metoffice.com>). The period for temperature and precipitation data spans between 1951 and 2015, while radiation and humidity data were available between 1980 and 2015. Gridded CLM/ERAi soil moisture (0-10cm) data between 1980 and 2015 were downloaded from KNMI Climate Explorer (http://climexp.knmi.nl/select.cgi?id=someone@somewhere&field=clm_era_soil01). Global monthly mean temperature data with 0.5° spatial resolution between 2001 and 2018 were downloaded from the Climate Research Unit (CRU TS v4.04, https://crudata.uea.ac.uk/cru/data/hrg/cru_ts_4.04/cruts.2004151855.v4.04/). The E-OBS and CLM/ERAi climate datasets was used to analyze the effect of temperature

175 on leaf senescence recorded *in situ* obtained from the PEP725 database. The CRU
176 climate dataset was applied to analyze the effect of climate on the leaf senescence
177 metrics extracted from the PhenoCam network and the MODIS vegetation phenology
178 (MCD12Q2) product. The bilinear interpolation method was used to extract the
179 climate data of all sites using the “raster” package (Hijmans *et al.* 2015) in R version
180 3.6.1 (R Core Team 2018).

181 The phenological records from the PEP725 database, which spanned the years
182 from 1951 to 2015, covered a much longer period than those from the PhenoCam and
183 MODIS datasets (only available since 2000). In addition, the PEP725 data were
184 relatively more reliable than phenocam- and satellite-derived phenology because its
185 leaf senescence records are taken manually *in situ*. The long-term gridded daily
186 climate data in Europe obtained from the E-OBS database can be used to calculate
187 climate index (e.g., growing degree-days) and further clarify the mechanisms
188 underlying the climatic responses of leaf senescence. We were therefore able to test
189 our hypotheses most directly using the PEP725 network and the corresponding
190 E-OBS climate dataset. The PhenoCam and MODIS phenology products were used to
191 test the robustness and generality of the results obtained from the PEP725 network in
192 our study.

193 ***Flux data***

194 The flux dataset was download from FLUXNET (<https://fluxnet.org/data/>). The
195 FLUXNET is a uniform and high-quality dataset based on regional flux networks
196 worldwide. The FLUXNET2015 dataset (the latest released version) was downloaded
197 from <http://fluxnet.fluxdata.org/data/fluxnet2015-dataset/>, which provides data on the
198 exchange of carbon, water and energy of 212 sites across the globe, including over
199 1500 site-years, most of time series spanned between 2000 and 2014 (Pastorello *et al.*

200 2020). The FLUXNET2015 dataset has been processed using a uniform pipeline to
 201 reduce the uncertainty and improve the consistency across different sites (Pastorello *et*
 202 *al.* 2020), which has been widely applied to study the impact of climate change on
 203 carbon cycling in terrestrial ecosystems (Liu *et al.* 2019; Banbury Morgan *et al.* 2021).
 204 Due to the unclear vegetation carbon dynamics in tropical and subtropical regions, we
 205 only selected 72 sites ($>30^{\circ}\text{N}$) across four vegetation types: Forest, Shrub,
 206 Grassland and Savanna in the Northern Hemisphere (Fig. 1).

207 *Temperature sensitivities*

208 Temperature sensitivity (S_T , change in days per degree Celsius) is expressed as the
 209 slope of a linear regression between the dates of phenological events and the
 210 temperature. This approach has been widely applied to assess phenological responses
 211 to global climate warming (Fu *et al.* 2015; Güsewell *et al.* 2017; Keenan *et al.* 2020).
 212 The S_T of leaf senescence was therefore used to investigate the effects of temperature
 213 during the growing season on leaf senescence. The length of growing season was
 214 defined as the period between the dates of leaf unfolding and leaf senescence for each
 215 species at each site. Using the daily climate data, we calculated the weekly and
 216 monthly mean temperature during the entire season for each species at each site. Then
 217 linear regression models were used to calculate the daily, weekly, and monthly S_T of
 218 leaf senescence throughout the entire season for each species at each site. The linear
 219 regression model was as follows:

$$220 \quad \underline{DOY \sim \beta_0 + \beta_1 t + \varepsilon}, \quad (2)$$

221 where DOY represents the date of leaf senescence; t represents the daily, weekly or
 222 monthly mean temperature; β_0 is the intercept, β_1 represent the S_T of leaf senescence; ε
 223 is the error of the model. In order to compare the effect of temperature on leaf
 224 senescence for different species at different sites, normalized anomalies (relative to

the average) of temperature and leaf senescence dates were used in the linear regressions to calculate the S_T of leaf senescence for each species at each site (Chen *et al.* 2020; Keenan *et al.* 2020).

The mean dates of leaf unfolding and leaf senescence of the 15 studied species across the selected 5,000 sites from the PEP725 network were DOY 120 and DOY 280. In this context, we mainly considered daily, weekly and monthly S_T of leaf senescence from May to September. We applied linear regressions to test the temporal changes in the daily and weekly S_T of leaf senescence. One-way analysis of variance (ANOVA) followed by a Tukey's HSD (honestly significant difference) test was used to examine differences in the monthly S_T of leaf senescence among months. From the calculated daily, weekly and monthly S_T of leaf senescence, we found that responses of leaf senescence to warming changed from negative in May and June to positive between July and September. We therefore divided the entire season into two periods: early season (May-June) and late season (July-September), and further calculated the mean S_T during the two periods to obtain the S_T during the early ($S_{T\text{-Early}}$) and late ($S_{T\text{-Late}}$) season, respectively. The sum of $S_{T\text{-Early}}$ and $S_{T\text{-Late}}$ of leaf senescence was used to represent the overall warming responses of leaf senescence during the whole season while leaves were present.

In addition, linear mixed models (Zuur *et al.* 2009) were used to pool all the data across species and sites and examined the overall S_T of leaf senescence during the early and late season. In the models, the response variable was leaf senescence date, the fixed effect was mean temperature during the early or late season, with species and site included as random intercept terms.

We followed Fu *et al.* (2015) to assess the effects of past climate warming on tree phenology. First, we calculated the mean temperature during the entire season

(May-September) across all the 5,000 sites in Europe from 1951 to 2015. Using a 20-year smoothing window, we then identified the coldest and warmest periods: 1953-1972 and 1992-2011. The mean temperatures across the entire season during 1953-1972 and 1992-2011 were 14.57 ± 0.61 and 15.52 ± 0.70 °C respectively. Finally, we calculated and compared the S_T of leaf senescence during the early, late and entire season between 1953-1972 and 1992-2011. One-way ANOVA was used to test the difference in the S_T of leaf senescence between the two periods.

To test the robustness and generality of results obtained from the PEP725 network, we further calculated the monthly S_T of leaf senescence between May and September during 2000-2018 based on the dates of leaf senescence extracted from the PhenoCam network and MODIS phenology product. Because seasonal cycles in cropland are considerably influenced by human activities, we first excluded those sites in cropland and selected 97 sites (Fig. 1) with at least 5 years of data from the PhenoCam network. Then we calculated the monthly S_T of leaf senescence between May and September during the period 2000-2018 for each site across North America. Because most of the selected sites (61 sites) were located in deciduous broadleaf forests, we did not address the difference in the S_T of leaf senescence among biomes using the PhenoCam network. Instead, we calculated and compared the monthly S_T of leaf senescence between May and September among biomes in the Northern Hemisphere based on the phenological metrics derived from the MODIS phenology product. In contrast to temperate and boreal regions, seasonal variations in vegetation dynamics are unclear in tropical and subtropical regions. We therefore excluded tropical and subtropical regions based on a map of terrestrial ecoregions worldwide (Dinerstein *et al.* 2017). Furthermore, we excluded cropland, as well as permanent snow and ice regions, based on the MODIS Landcover classification product

(MCD12Q1 version 6). The remaining biomes included Tundra, Boreal Forests/Taiga, Temperate Conifer Forests, Temperate Grasslands, Savannas & Shrublands, Mediterranean Forests, Woodlands & Scrub, Deserts & Xeric Shrublands, Temperate Broadleaf & Mixed Forests, Montane Grasslands & Shrublands. One-way ANOVA followed by a Tukey's HSD test was used to test the difference in the monthly S_T of leaf senescence among biomes.

In addition to temperature, autumn phenology is also influenced by other environmental factors (Misson *et al.* 2011; Liu *et al.* 2016; Chen *et al.* 2020). Using partial correlation analysis, we excluded the covariate effects of soil moisture, precipitation, radiation, humidity and further examined the relationship between monthly mean temperature from May to September and leaf senescence dates. To test the effect of drought stress on leaf senescence, we also calculated the partial correlation coefficients between soil moisture and leaf senescence between May and September for each species at each site. Furthermore, we quantified and compared the relative influences of temperature, soil moisture, precipitation, radiation, humidity on leaf senescence date in the growing season using boosted regression trees (BRTs), an ensemble statistical learning method (Elith *et al.* 2008) that has been widely applied to ecological modeling and prediction (Chen *et al.* 2018; Davis *et al.* 2019; Lemm *et al.* 2021). We performed the BRTs using the GBM package (Ridgeway 2007) of R (R Core Team, 2018), where 10-fold cross validation was used to determine the optimal number of iterations. Because gridded soil moisture, solar radiation, humidity data was only available since 1980, the observations between 1980 and 2015 from the PEP725 network were selected for the multiple factor analysis.

Effect of growing degree-days on leaf senescence

299 Using the daily temperature from the E-OBS database, we calculated the accumulated
300 growing degree-days (GDDs) in each month during the growing season from May to
301 September at each site used in the PEP725 dataset. The base temperatures were set as
302 5 °C when calculating the GDDs. Because the temperature responses of leaf
303 senescence changed from negative in May and June to positive between July and
304 September, we divided the entire growing season into two periods, early growing
305 season (May-June) and late growing season (July-September), and calculated the
306 mean accumulated GDDs during each of the two periods. Then linear regression
307 models were used to examine the effects of GDDs on the leaf senescence dates
308 (change in days GDD^{-1}) in years under low and high nighttime temperature conditions
309 during the early and late growing season at each site selected from the PEP725
310 database. The classification of early (or late) seasons with low and high nighttime
311 temperature was based on whether the mean daily T_{\min} during the early (or late)
312 growing season for a given year at a site was, respectively, below or above the
313 long-term average during 1951-2015. One-way ANOVA was used to test for
314 differences in the effect of GDDs on leaf senescence between low and high nighttime
315 temperature conditions during the early and late growing seasons. Using the
316 FLUXNET2015 data, we calculated and compared the differences in the nighttime
317 respiration during the early season (May and June) and the number of frost days (T_{\min}
318 $< 0^{\circ}\text{C}$) in late autumn (October and November) during years with low and high
319 nighttime temperature using one-way ANOVA analysis. The classification of the
320 seasons in years with low and high nighttime temperature was based on whether the
321 mean daily T_{\min} during the early (or late) growing season for a given year at a site was,
322 respectively, below or above the long-term average during 2000-2014.

323 ***Photosynthetic carbon assimilation***

Using the FLUXNET2015 dataset, we examined the temporal changes in the photosynthesis carbon assimilation during the growing season based on the Net Ecosystem Exchange (NEE). The NEE measures the net carbon exchange between ecosystem and atmosphere, which approximately equals to net primary productivity (NPP) when soil respiration approaches zero, but with opposite sign (Chapin *et al.* 2006; Lasslop *et al.* 2010). In our study, the opposite NEE is therefore used to estimate net photosynthetic carbon assimilation. Singular Spectrum Analysis (SSA) was applied to smooth the daily NEE of each year at each site between 2000 and 2014 to minimize the noise. One-way ANOVA analysis was used to compare the net carbon assimilation during the early season (before June) and late season (after June).

All data analyses were conducted using R version 3.6.1 (R Core Team 2018).

336

337 **RESULTS**

Using records of leaf senescence for 15 temperate tree species at 5,000 sites from the PEP725 network, we found the mean S_T of leaf senescence was negative in May and June, while it gradually converted to positive between July and September (Fig. 2a). This suggested that increasing temperatures during early season advanced leaf senescence, but increasing temperatures during the late season delayed leaf senescence (see for example, *Fagus sylvatica* and *Quercus robur* in Figs S1 and S2). In addition, the delaying effects of temperature on leaf senescence started from July generally showed an increasing trend, reaching a maximum in September (Fig. 2a). Based on the daily and weekly S_T of leaf senescence, we also observed a significant increase in S_T throughout the entire season (Fig. S3).

According to the linear mixed models, the overall S_T of leaf senescence during the early and late season across all species and sites were approximately -1.14 and

350 +1.33 days per degree Celsius, while S_T of leaf senescence of the total season was
351 +0.12 days per degree Celsius (Table S2). During the early season, the monthly S_T of
352 leaf senescence between May and June was similar (Table S2). During the late season,
353 the monthly S_T of leaf senescence in September was the strongest among all the
354 months (Table S2). The absolute S_T of leaf senescence in September was stronger
355 than that in May (Table S2).

356 Using the PhenoCam network, we again observed a transition in the S_T of leaf
357 senescence in North America from May to September (Fig. 2b). The effect of
358 temperature on leaf senescence was negative in May and July (Fig. 2b). However, a
359 positive effect was observed in August and September in North America (Fig. 2b),
360 confirming the results from the PEP725 network.

361 Based on phenology metrics extracted from MODIS, we consistently observed a
362 transition in the S_T of leaf senescence from May to September across all biomes
363 except deserts and xeric shrublands in the Northern Hemisphere (Fig. 2c). In May and
364 June, the effects of temperature on leaf senescence were negative across temperate
365 and boreal biomes (Fig. 2c). We also observed negative effects of temperatures in
366 May and June on leaf senescence in tundra, alpine and Mediterranean regions (Fig.
367 2c). The effects of temperature gradually became positive in August or September in
368 these biomes (Fig. 2c). For deserts and xeric shrublands, we similarly observed a
369 negative effect of temperature on leaf senescence in May and June (Fig. 2c). These
370 negative effects were significantly weaker in deserts and xeric shrublands compared
371 to other biomes (Fig. 2c). However, the effects of temperature remained negative
372 throughout the growing season in deserts and xeric shrublands (Fig. 2c). In these
373 environments, the negative effect of temperature on leaf senescence was stronger in
374 August than in June (Fig. 2c). When we mapped the monthly S_T of leaf senescence

375 during the growing season, we also observed a transition in the S_T of leaf senescence
376 during the growing season in the Northern Hemisphere (Fig. S4). Overall, the S_T
377 showed a significant increase from the early to the late season, as indicated by the
378 larger S_T in September than in May (Fig. S4f).

379 After excluding the effects of other climate variables, using partial correlation
380 analysis we also observed a negative response of leaf senescence to temperature during
381 the early season, but a positive response during the late season (Fig. S5). In contrast to
382 temperature, we observed no significant difference in the responses of leaf senescence
383 to soil moisture (Fig. S6). A positive effect of soil moisture on leaf senescence in May
384 and July was observed (Fig. S6). According to the calculated relative influence,
385 temperature had the strongest effect on leaf senescence, followed by soil moisture and
386 radiation (Fig. S7).

387 Using the FLUXNET2015 data, we detected an obvious changing point at the
388 end of June (DOY 180) for the net daily carbon assimilation (Fig. 3). Generally, net
389 carbon assimilation was positive during the early season (before June) but was
390 negative during the late season (after June) (Fig. 3a). This suggested that carbon
391 assimilation mainly occurs before June. The difference in the net carbon assimilation
392 between early season (before June) and late season (after June) in forest was the
393 largest, followed by grassland and savanna (Fig. 3b).

394 Results showed that the timing of leaf senescence was also advanced by greater
395 GDDs during the early season (May-June), but delayed by greater GDDs during the
396 late season (July-September) (Fig. 4ab). We found that during the early season, the
397 negative effect of GDDs on leaf senescence was stronger during years with low
398 nighttime temperatures ($P < 0.001$, Fig. 4a). In addition, during the late season the
399 positive effect of GDDs on leaf senescence was weaker during years with low

400 nighttime temperature ($P < 0.001$, Fig. 4b). When nighttime temperature was higher,
401 nighttime ecosystem respiration was significantly greater during the early season (Fig.
402 4c), while the number of frost days was significantly lower during the late season (Fig.
403 4d).

404 To assess the effects of climate warming on leaf senescence, we used the
405 PEP725 dataset to calculate and compare the S_T of leaf senescence between the
406 coldest and the warmest 20-year periods: 1953-1972 and 1992-2011. We found that
407 both the $S_{T-Early}$ and S_{T-Late} of leaf senescence were significantly higher during
408 1992-2011 than those during 1953-1972 (Fig. 5, $P < 0.05$). However, S_{T-Late} of leaf
409 senescence during 1992-2011 increased more compared to $S_{T-Early}$ of leaf senescence
410 (Fig. 5). This indicated that leaf senescence delayed more with the increasing
411 temperatures during the late season during 1992-2011. For example, between 1953
412 and 1972 leaf senescence of *Fagus sylvatica* at several sites was advanced by
413 increasing temperature during the late season, but was delayed by late season
414 warming between 1992 and 2011 (Fig. S8). The S_T of leaf senescence during the
415 whole growing season, i.e., the sum of $S_{T-Early}$ and S_{T-Late} of leaf senescence, also
416 showed a significant increase (Fig. 5, $P < 0.05$).

417 418 **DISCUSSION**

419 Earlier leaf senescence reduces photosynthetic carbon assimilation and nutrient
420 resorption efficiency (i.e. the proportion of nutrients resorbed from old leaves)
421 (Estiarte & Penuelas 2015). However, trees experiencing late leaf senescence are
422 more at risk from frost (Schwartz 2003; Hartmann *et al.* 2013), which may reduce
423 nutrient resorption (Estiarte & Penuelas 2015). The optimal timing of leaf senescence
424 is therefore likely to be a trade-off between photosynthetic carbon assimilation and
425 autumnal nutrient resorption at different stages in the seasonal functioning of leaves

(Keskitalo *et al.* 2005; Fracheboud *et al.* 2009) (Fig. 6). When trees reach their maximum carbon storage capacity, they will initialize nutrient resorption and senescence. Accordingly, more efficient accumulation of carbohydrates with warmer temperatures in the early season will result in a relatively shorter period being required to reach the maximum carbon storage capacity (Peng *et al.* 2013). Thus, early season warming advances leaf senescence. Using the flux data, we further found net carbon assimilation converted from positive to negative at the end of June (DOY 180). This provides direct physiological evidence that photosynthetic carbon assimilation mainly occurred during the early season (before June). However, warmer temperatures later in the season may reduce the risk of late autumn frost (Vitasse *et al.* 2014), enhancing the activities of photosynthetic enzymes (Shi *et al.* 2014) and reducing the rate of chlorophyll degradation (Fracheboud *et al.* 2009; Estiarte & Penuelas 2015). This may prolong nutrient remobilization from leaves, reduce degradation rate of organelle dismantling, increase leaf longevity and eventually delay the final stage of leaf senescence (Kikuzawa 1995). Therefore, leaf senescence was advanced by warming during the early season, but was delayed by the warming during the late season in temperate regions. However, leaf senescence was advanced by warming throughout both early and late seasons in deserts and xeric shrublands. This may result from drought stress caused by warmer autumns in arid regions increasing evaporative demand (Allen *et al.* 2010; Chen *et al.* 2017) and thus initiating leaf senescence early (Estiarte & Penuelas 2015; Wu *et al.* 2018; Chen *et al.* 2020), supported by the positive correlations between soil moisture and leaf senescence in May and July.

The parameter growing degree-days (GDDs) only takes into account the heat accumulated above the minimum threshold of temperature that must be exceeded for

tree growth (Briere *et al.* 1999; Miller *et al.* 2001) and therefore provides a more accurate physiological assessment of leaf senescence in response to warming (Wu *et al.* 2018; Chen *et al.* 2020). Our observation that leaf senescence was advanced by GDD-based warming during the early growing season, but was delayed by GDD-based warming during the late resorption season, are consistent with the contrasting warming responses of leaf senescence reported previously (Menzel *et al.* 2006; Jeong *et al.* 2011; Gill *et al.* 2015). These results similarly support trade-off of leaf senescence between carbon assimilation and nutrient resorption. We further examined this trade-off by comparing the warming responses of leaf senescence when the nighttime temperature changed, because of the asymmetric effects of nighttime temperature on carbon assimilation during the early growing season and frost avoidance during the late resorption season (Peng *et al.* 2013; Chen *et al.* 2020). In particular, accumulation of carbohydrates is likely to be more efficient when nighttime temperature is low, due to reduced nighttime respiration (Peng *et al.* 2013). As a result, trees will reach their maximum carbon capacity quickly when nighttime temperatures are lower according to such a trade-off assumption. By contrast, trees can be expected to accomplish nutrient resorption rapidly during the late season in order to reduce the risk of frost when nighttime temperatures are lower (Silvestro *et al.* 2019). Consistent with this, we found that the signals of the effects of warming on leaf senescence were stronger or weaker when the nighttime temperature was lower during the early or late season respectively. All of these findings indicate that not only the carbon sink limitation in the early season but also nutrient resorption in the late season should be considered when modelling autumn phenology of temperate trees under future warming scenarios. The results of warming modelling based mainly on sink limitation in the early season predict advancing of leaf senescence (Zani *et al.*

2020). However, our statistical analyses of leaf senescence during the warmest 20-year periods across both seasons suggest that global warming may delay leaf senescence in the future. Nonetheless, seasonal differences in the responses to warming need to be considered when modelling autumn phenology and carbon cycling.

In addition to temperature, photoperiod may influence tree phenology (Körner & Basler 2010). As photoperiod remains unchanged across years for a given location, a relatively conservative climatic response is therefore expected when trees rely on the photoperiod to determine phenology (Basler & Körner 2012; Way & Montgomery 2015; Flynn & Wolkovich 2018). Compared with spring leaf out, leaf senescence has been reported to show a more conservative warming response (Menzel *et al.* 2006). For this reason, autumnal phenological events are commonly considered to be more sensitive to photoperiod compared with spring events (Way & Montgomery 2015). However, such a conservative response to warming may be due to the counterbalancing effects of warming on leaf senescence at different seasons. Additionally, despite the photoperiodic control of leaf senescence (Way & Montgomery 2015; Singh *et al.* 2017), we found that temperature alone had strong predictive power even when photoperiod was not considered, indicating the dominant role of temperature in leaf senescence.

Overall, our findings based on three large and complementary datasets illustrate that the onset of leaf senescence is advanced under early season warming, but delayed when warming occurs in the late stages of the growing season. Although further controlled warming experiments in different seasons should be conducted to test the contrasting seasonal climatic responses of leaf senescence, our study provides new insights into how to accurately predict whether leaf senescence will be delayed or

501 advanced in response to climate warming (Menzel *et al.* 2006). If future warming
502 spans both early and late seasons in temperate regions, as found previously (Menzel *et*
503 *al.* 2006; Gill *et al.* 2015), leaf senescence could be delayed, rather than advanced.

504

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514

515 **AUTHOR CONTRIBUTIONS**

516 LC and JL designed the research. LC performed the data analysis. LC wrote the paper
517 with the inputs of SR, NGS and JL. All authors contributed to the interpretation of the
518 results and approved the final manuscript.

519

520 **DATA ACCESSIBILITY**

521 The ground observation phenological data are available at www.pep725.eu. The
522 phenological metrics derived from digital camera imagery are available at
523 <https://lpdaac.usgs.gov/>. The phenology data extracted from satellite images can be
524 downloaded from https://daac.ornl.gov/cgi-bin/dsviewer.pl?ds_id=1674. The climate

525 data used in this study are available at <http://ensembles-eu.metoffice.com> and
526 https://crudata.uea.ac.uk/cru/data/hrg/cru_ts_4.04/cruts.2004151855.v4.04/.

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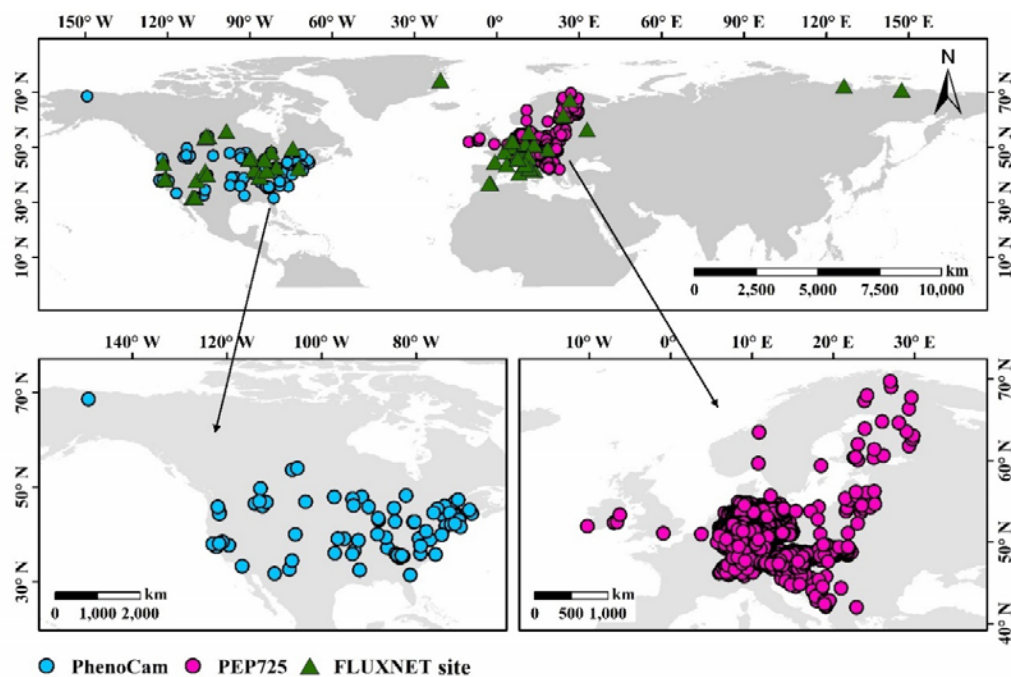
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741 **Fig. 1** Locations of the phenological and FLUXNET sites used in this study.

742 Phenological sites includes 5000 sites across central Europe selected from the Pan

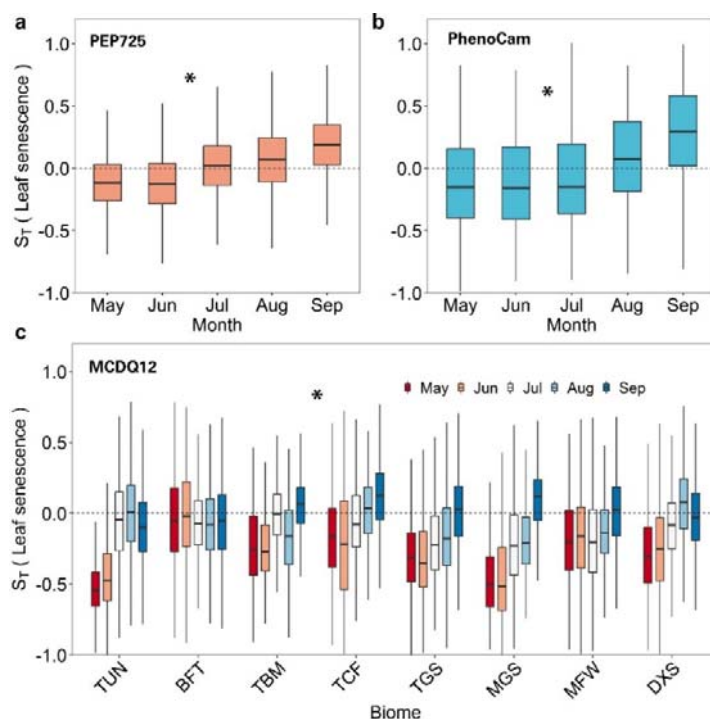
743 European Phenology (PEP725) database and 97 sites located in North America

744 obtained from the PhenoCam network. A total 72 flux sites (>30°N) from the

745 FLUXNET2015 dataset was selected.

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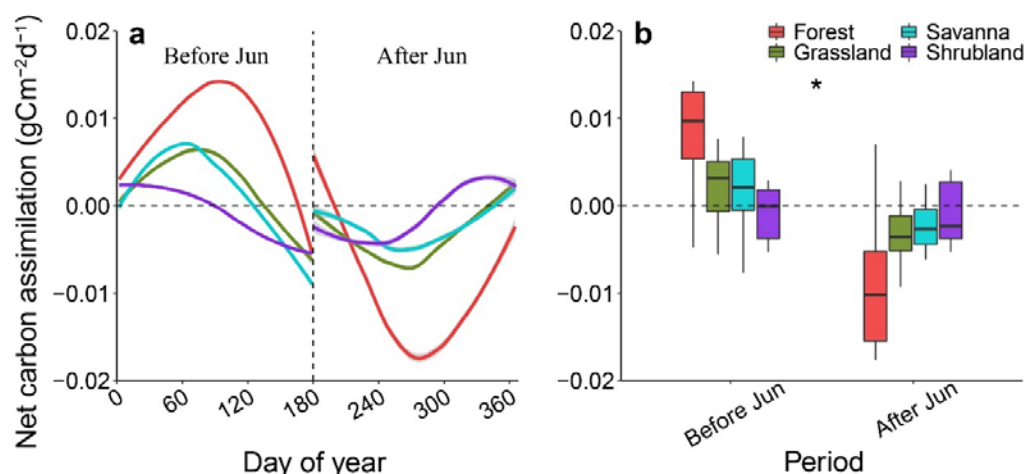
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749 **Fig. 2** Temperature sensitivities (S_T , change in days $^{\circ}\text{C}^{-1}$) of leaf senescence during
750 the growing season between May and September. The calculated S_T was based on (a)
751 records of leaf senescence for 15 temperate tree species at 5,000 sites in Europe, and
752 phenological metrics extracted from (b) the PhenoCam network and (c) the MODIS
753 phenology product (MCD12Q2 version 6) for different biomes. The length of each
754 box indicates the interquartile range, the horizontal line inside each box the median,
755 and the bottom and top of the box the first and third quartiles respectively. The biomes
756 are Tundra (TUN), Boreal Forests/Taiga (BFT), Temperate Broadleaf & Mixed
757 Forests (TBM), Temperate Conifer Forests (TCF), Temperate Grasslands, Savannas
758 & Shrublands (TGS), Montane Grasslands & Shrublands (MGS), Mediterranean
759 Forests, Woodlands & Scrub (MFW), and Deserts & Xeric Shrublands (DXS). The
760 asterisks indicate a significant difference in the S_T during the early (May-June) and
761 the late (July-September) season ($P < 0.05$).

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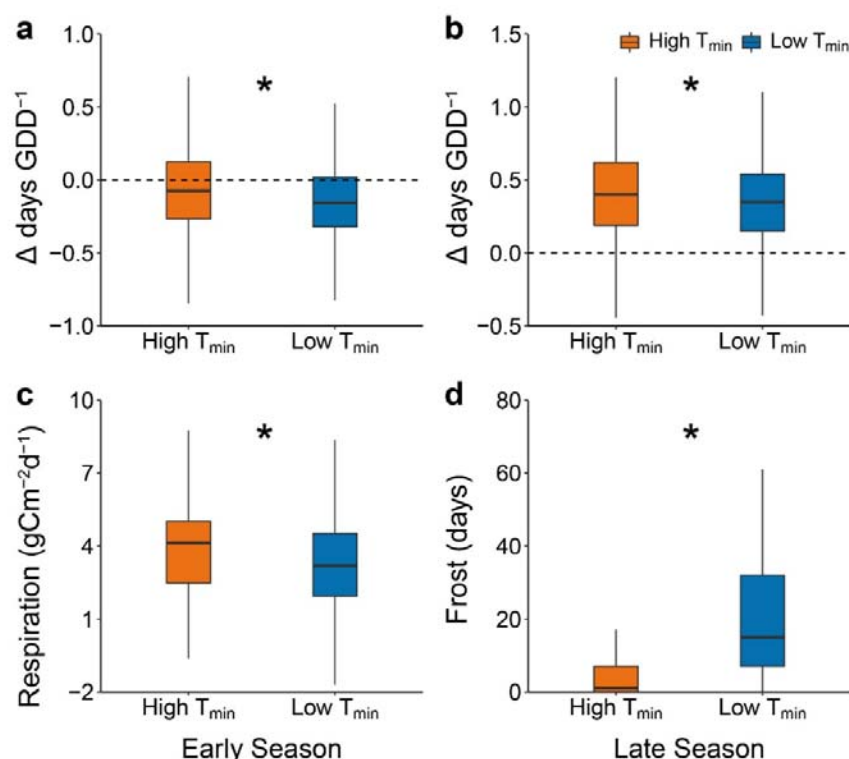


763

764 **Fig. 3** Change in the net daily photosynthetic carbon assimilation ($\text{g Cm}^{-2}\text{d}^{-1}$). The
 765 estimation of the net carbon assimilation was calculated by multiplying the Net
 766 Ecosystem Exchange (NEE) by -1 . The length of each box in (b) indicates the
 767 interquartile range, the horizontal line inside each box the median, and the bottom and
 768 top of the box the first and third quartiles respectively. The asterisks indicate a
 769 significant difference in the net photosynthetic carbon assimilation during the early
 770 season (before June) and late season (after June). Different color lines and boxes
 771 represent different vegetation types. The dashed vertical line indicates the change point
 772 of net photosynthetic carbon assimilation (DOY 180).

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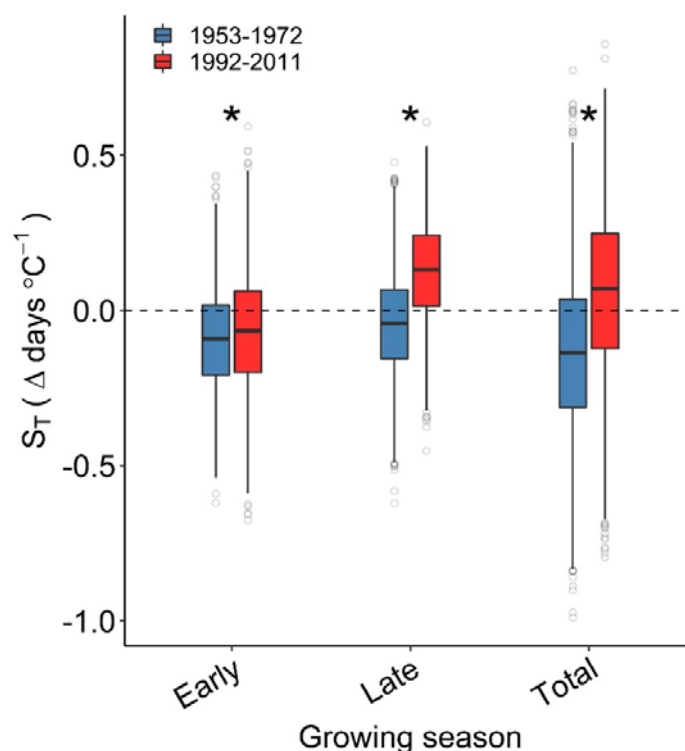


775

776 **Fig. 4 (a, b)** Effects of growing degree-days (GDD) on leaf senescence during the
777 early and late season in 15 temperate tree species at 5,000 sites in Europe between
778 1951 and 2015 using data from the Pan European Phenology (PEP725) network. **(c, d)**
779 Difference in the nighttime respiration during the early season and frost days ($T_{\min} <$
780 0°C) in late autumn (October and November) using the FLUXNET data. The results
781 are represented separately for seasons with low and high nighttime temperatures
782 (daily minimum temperature, T_{\min} , $^{\circ}\text{C}$). The classification of the seasons was based on
783 whether the mean daily T_{\min} during the (early or late) season for a given year was,
784 respectively, below or above its long-term average. [The length of each box indicates
785 the interquartile range, the horizontal line inside each box the median, and the bottom
786 and top of the box the first and third quartiles respectively.] The asterisks indicate a
787 significant difference in seasons with low and high nighttime temperature ($P < 0.05$).

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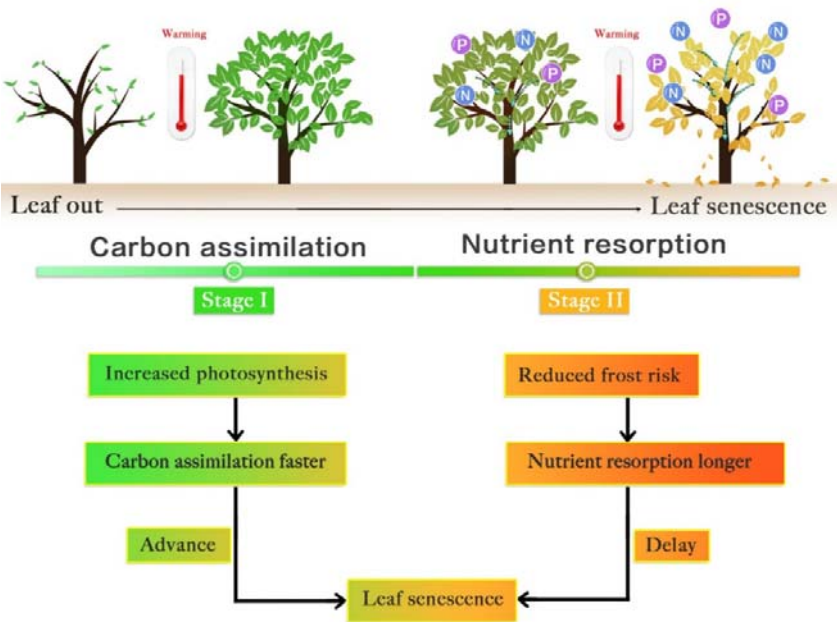


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791 **Fig. 5** Temperature sensitivities (S_T , change in days $^{\circ}\text{C}^{-1}$) of leaf senescence in 15
792 temperate tree species during 1953-1972 and 1992-2011. Calculations of the S_T values
793 were based on the temperature in early (May and June), late (July-September) and
794 entire (May-September) growing seasons. The length of each box indicates the
795 interquartile range, the horizontal line inside each box the median, and the bottom and
796 top of the box the first and third quartiles respectively. The asterisks indicate a
797 significant difference in the S_T between 1953-1972 and 1992-2011 ($P < 0.05$).

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801 **Fig. 6** A schematic diagram of the contrasting responses of leaf senescence to
802 warming during two seasonal stages of tree leaf development, in which photosynthetic
803 carbon assimilation or nutrient resorption (of nitrogen, N and phosphorus, P) take
804 place respectively.

805

SUPPORTING INFORMATION

Table S1 Dates of leaf senescence of the 15 temperate species selected from the PEP725 phenological network. For each species, mean dates of leaf senescence (Mean), lower and upper limit of the 95% confidence interval (CI), and standard deviation (SD) of leaf senescence dates were listed.

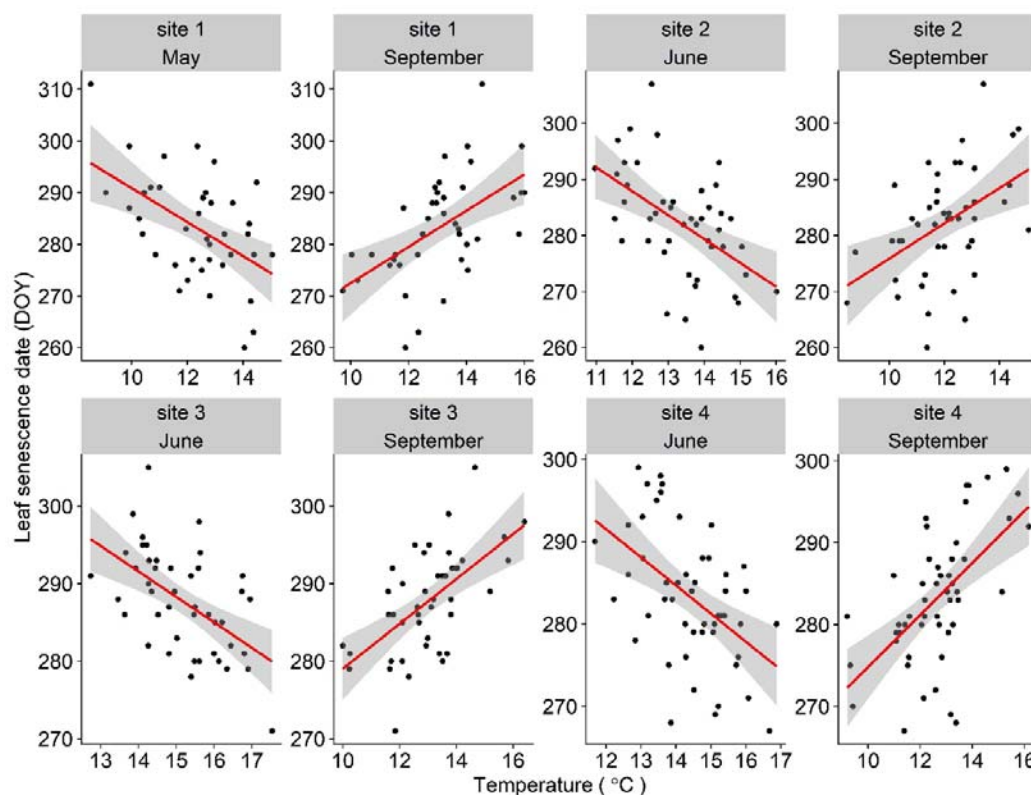
Species	Latin name	Common name	Mean	SD	Lower (95%CI)	Upper (95%CI)
1	<i>Aesculus hippocastanum</i> L.	Horse chestnut	277.0	11.7	276.9	277.0
2	<i>Betula pendula</i> Roth	Silver birch	278.9	12.8	278.9	279.0
3	<i>Fagus sylvatica</i> L.	European beech	282.6	12.1	282.5	282.7
4	<i>Quercus robur</i> L.	English oak	287.9	12.1	287.9	288.0
5	<i>Prunus avium</i> (L.) L.	Sweet cherry	284.7	13.9	284.5	284.9
6	<i>Tilia cordata</i> Mill.	Lime	283.6	13.8	283.1	284.0
7	<i>Acer platanoides</i> L.	Norway maple	263.0	9.5	262.0	263.9
8	<i>Prunus domestica</i> L.	Common plum	288.7	12.2	287.2	290.2
9	<i>Larix decidua</i> Mill.	European larch	294.1	12.8	293.9	294.2
10	<i>Vitis vinifera</i> L.	Grape vine	284.9	10.7	284.5	285.4
11	<i>Malus domestica</i> Borkh.	Apple	279.7	12.7	278.5	281.0
12	<i>Corylus avellana</i> L.	Common hazel	280.6	13.4	279.8	281.4
13	<i>Sorbus aucuparia</i> L.	Rowan	274.3	12.6	273.9	274.8
14	<i>Betula pubescens</i> Ehrh.	White birch	282.8	15.1	281.9	283.7
15	<i>Populus tremula</i> L.	European aspen	271.0	14.5	269.5	272.4

816 **Table S2** Results of the linear mixed models for the overall temperature sensitivity
 817 (S_T , change in days per degree Celsius) of leaf senescence during the early (May-June)
 818 and late season (July-September) across all species and site.

Season	Period	S_T	SE	t value	P value
Early	May	-0.87	0.01	-63.31	< 0.001
	Jun	-0.86	0.02	-53.74	< 0.001
	May-Jun	-1.24	0.02	-70.34	< 0.001
Late	Jul	0.42	0.01	33.90	< 0.001
	Aug	0.21	0.02	13.45	< 0.001
	Sep	1.08	0.01	75.1	< 0.001
	Jul-Sep	1.33	0.02	62.63	< 0.001
Total	May-Sep	0.12	0.03	4.69	< 0.001

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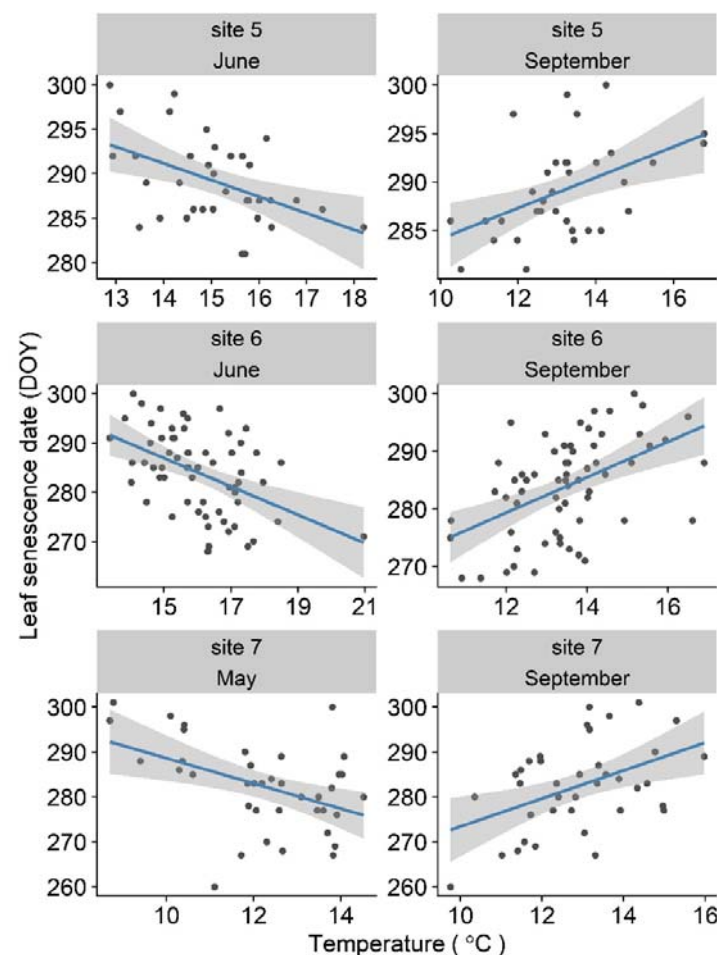
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822 **Fig. S1** Effects of monthly mean temperature during the early (May and June) and late
823 (July-September) on leaf senescence dates of *Fagus sylvatica* at several sites selected
824 from the Pan European Phenology (PEP725) database. The leaf senescence date was
825 expressed as the day of year (DOY). The shaded area indicates the 95% confidence
826 intervals of the fitted regression lines.

827



828

829 **Fig. S2** Effects of monthly mean temperature during the early (May and June) and late
830 (July-September) on leaf senescence dates of *Quercus robur* at several sites selected
831 from the Pan European Phenology (PEP725) database. The leaf senescence date was
832 expressed as the day of year (DOY). The shaded area indicates the 95% confidence
833 intervals of the fitted regression lines.

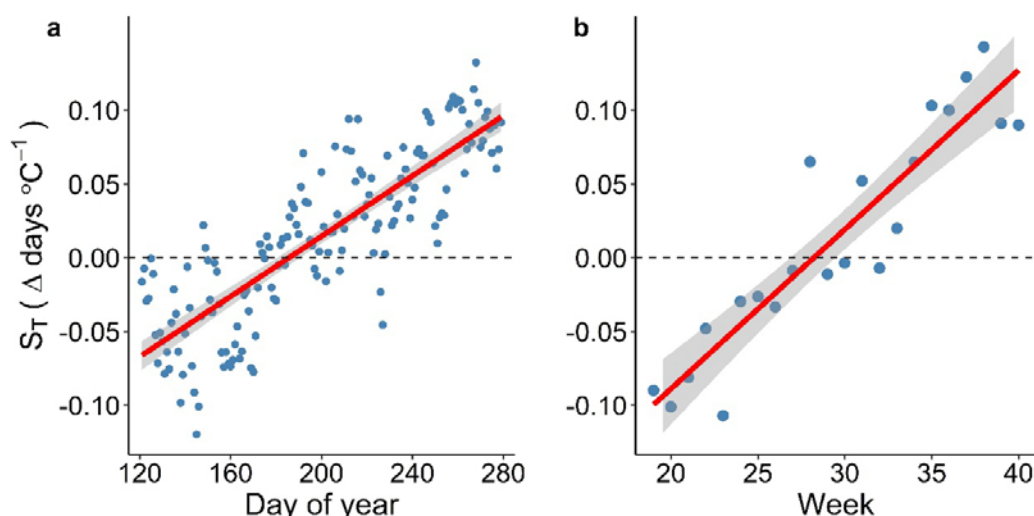
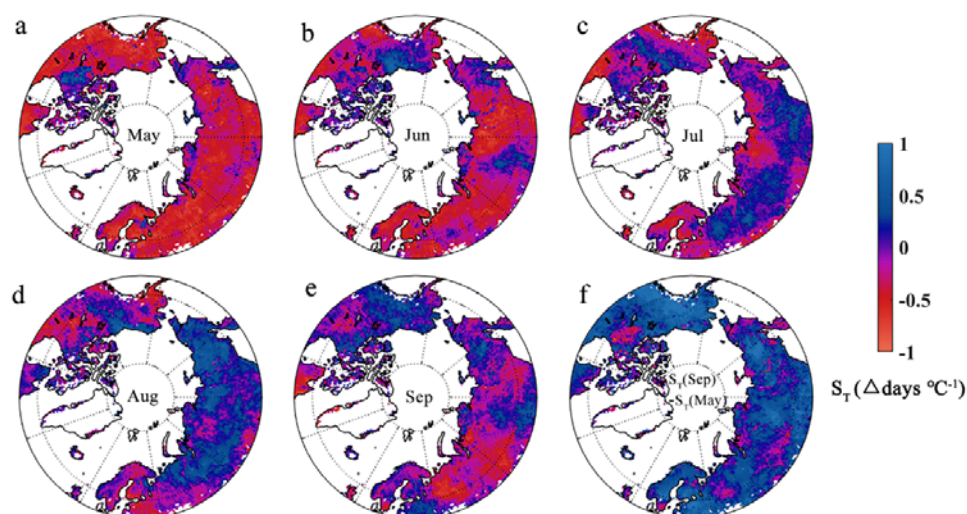


Fig. S3 Daily and weekly temperature sensitivities (S_T , change in days $^{\circ}\text{C}^{-1}$) of leaf senescence during the growing season between May and September. The calculated S_T values were based on records of leaf senescence for 15 temperate tree species at 5,000 sites in Europe. Each point represents the mean daily or weekly S_T of leaf senescence calculated across all 15 species at 5000 sites. The shaded areas indicate the 95% confidence intervals of the fitted regression lines.



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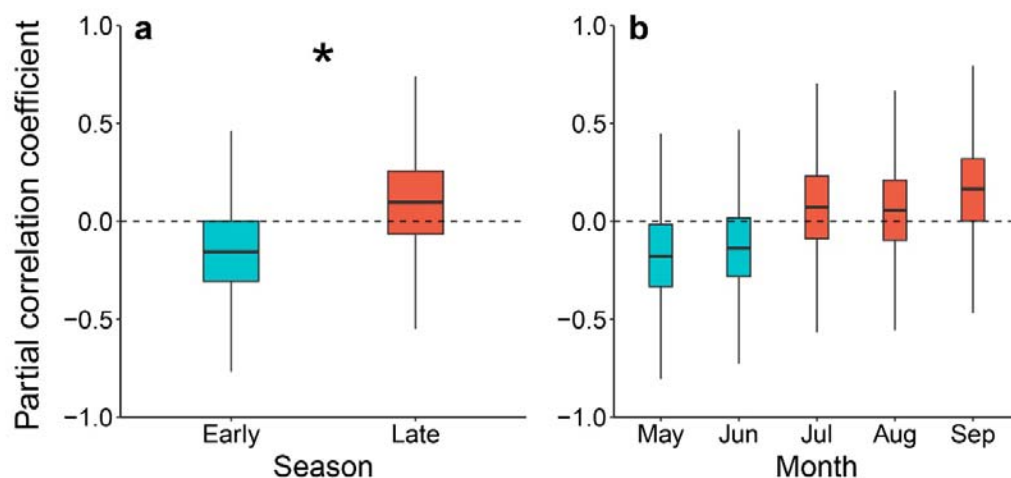
843 **Fig. S4** Spatial distribution of the monthly temperature sensitivities (S_T , change in
844 days $^\circ\text{C}^{-1}$) of leaf senescence between May and September in the Northern
845 Hemisphere. The calculated S_T values were based on phenological metrics extracted
846 from the MODIS phenology product (MCD12Q2 version 6). (a-e), monthly S_T from
847 May to September, (f) difference in the S_T between May and September.

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853 **Fig. S5** Partial correlation coefficients between temperature and leaf senescence
854 dates during the early (May-June) and late season (July-September). The length of
855 each box indicates the interquartile range, the horizontal line inside each box the
856 median, and the bottom and top of the box the first and third quartiles respectively.
857 The asterisk in (a) indicates a significant difference between the early and late season
858 ($P < 0.05$).

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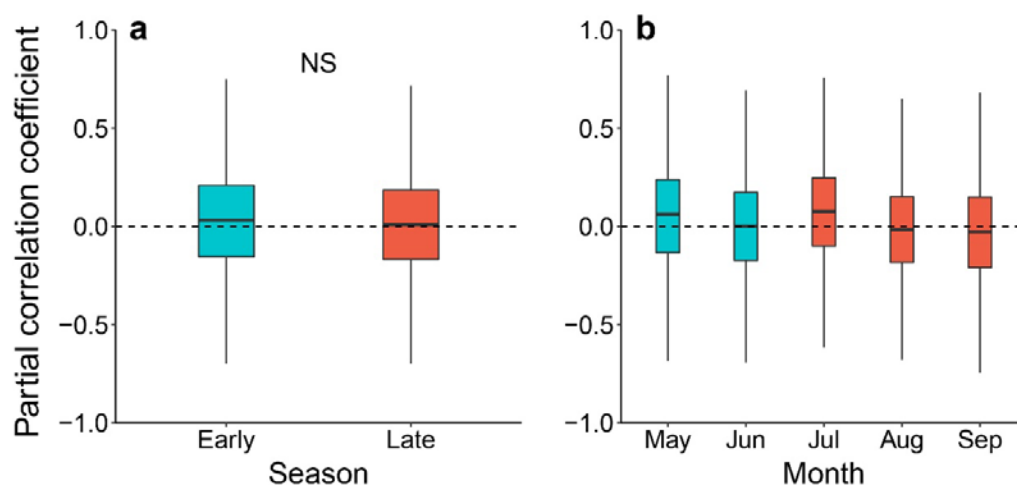
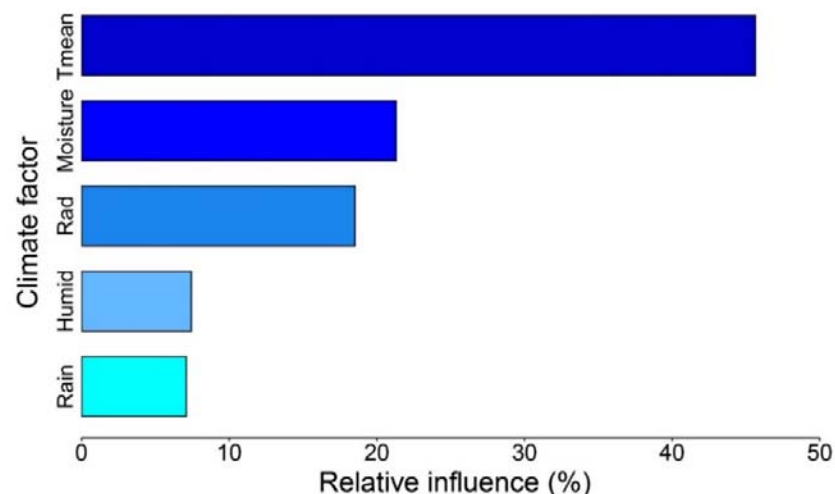


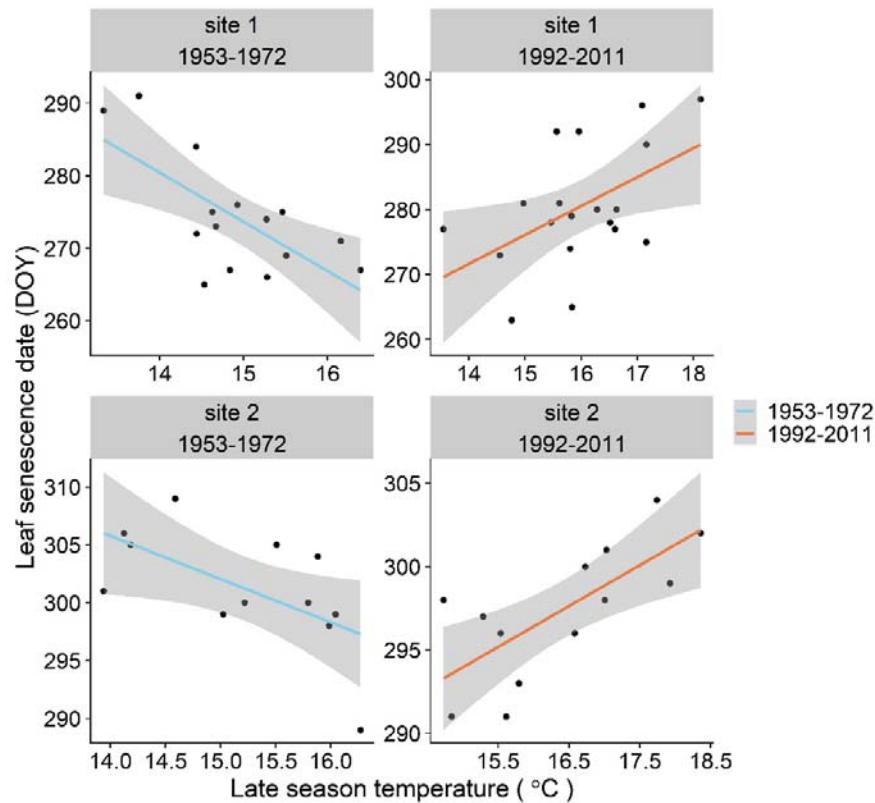
Fig. S6 Partial correlation coefficients between soil moisture and leaf senescence dates during the early (May-June) and late season (July-September). The length of each box indicates the interquartile range, the horizontal line inside each box the median, and the bottom and top of the box the first and third quartiles respectively. The “NS” in (a) indicates no significant difference between the early and late season ($P < 0.05$).



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871 **Fig. S7** Relative influences of climate variables on leaf senescence dates during the
872 growing season. The climate variables include mean temperature, soil moisture,
873 radiation, humidity and precipitation between May and September.

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875

876 **Fig. S8** Effects of mean temperature during the late season (July-September) on leaf
877 senescence dates of *Fagus sylvatica* (European beech) during 1953-1972 and
878 1992-2011 at several sites selected from the Pan European Phenology (PEP725)
879 network. The leaf senescence date was expressed as the day of year (DOY). The shaded
880 area indicates the 95% confidence intervals of the fitted regression lines.

881