

Tianjin International Engineering Institute

**Formal Languages and Automata**

# Lesson 1: Formal Languages and Automata

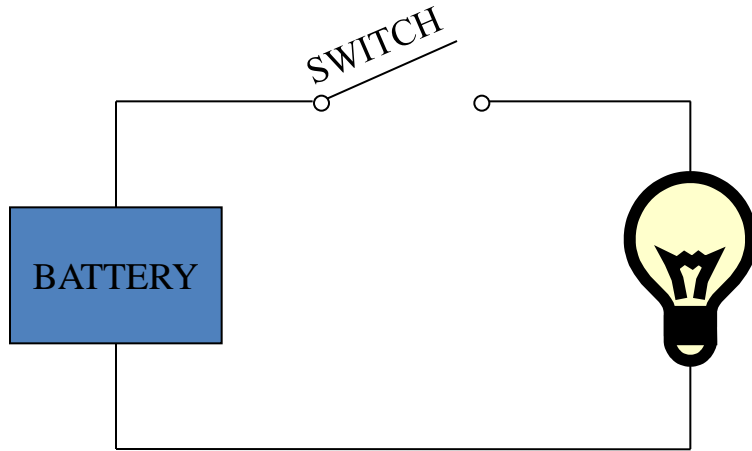
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# What is automata theory

- Automata theory is the study of **abstract computational devices**
- Abstract devices are (simplified) models of real computations
- Computations happen everywhere: On your laptop, on your cell phone, in nature, ...
- Why do we need abstract models?

# A simple computer



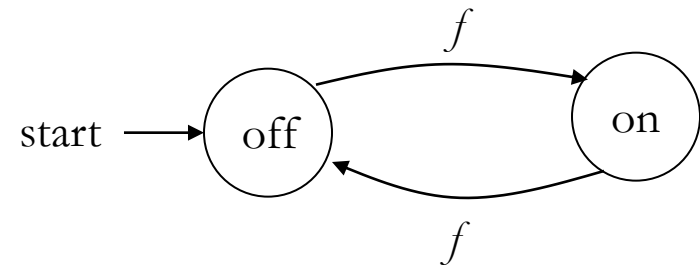
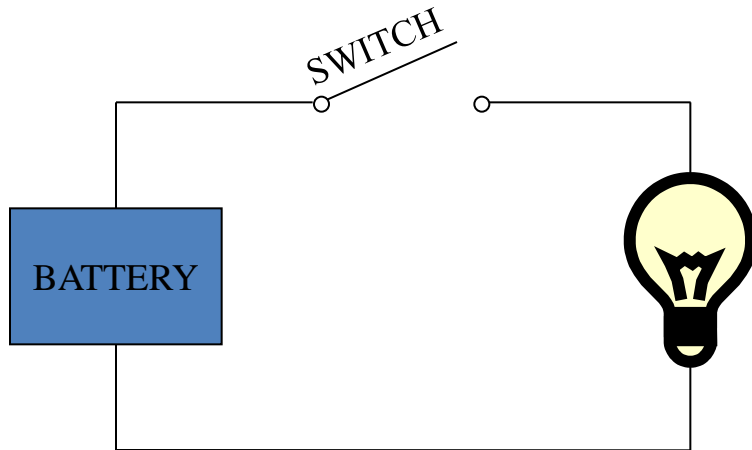
**input:** switch

**output:** light bulb

**actions:** flip switch

**states:** on, off

# A simple “computer”



**input:** switch

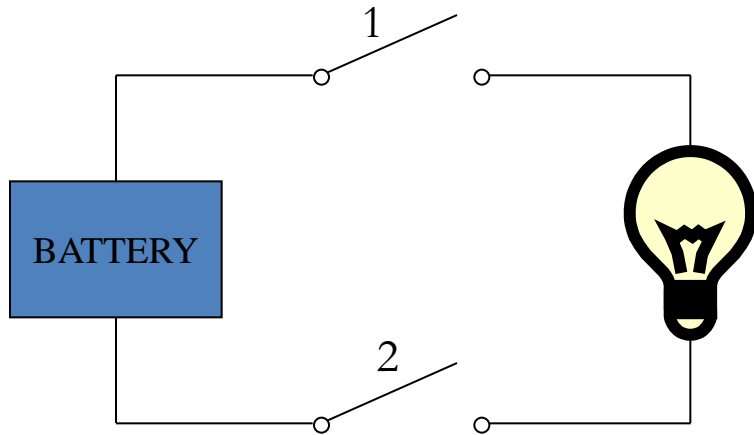
**output:** light bulb

**actions:**  $f$  for “flip switch”

**states:** on, off

bulb is on if and only if  
there was an **odd** number  
of flips

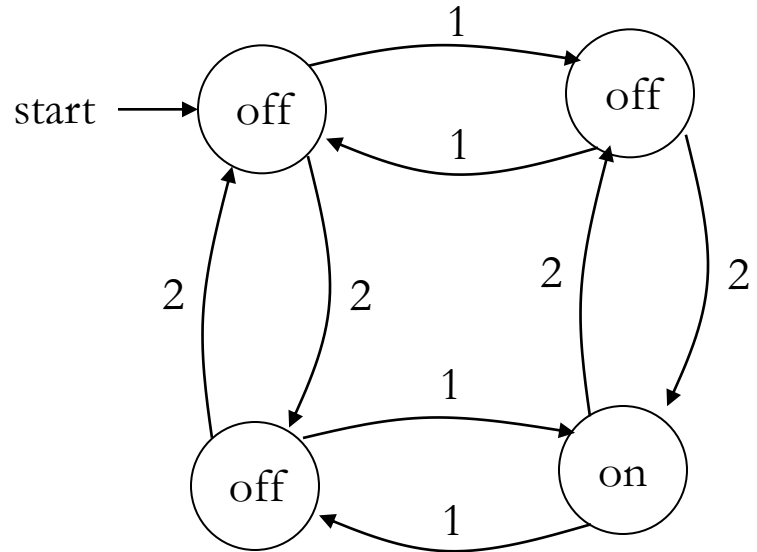
# Another “computer”



**inputs:** switches 1 and 2

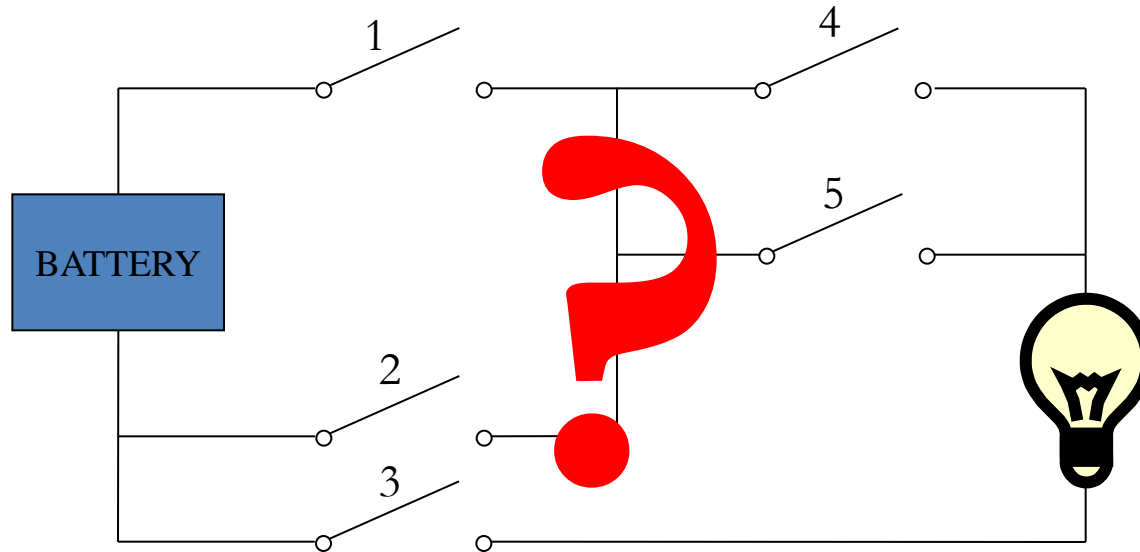
**actions:** 1 for “flip switch 1”  
2 for “flip switch 2”

**states:** on, off



bulb is on if and only if  
**both** switches were flipped  
an **odd** number of times

# A design problem



Can you design a circuit where the light is on if and only if all the switches were flipped **exactly the same number of times**?

# A design problem

- Such devices are difficult to reason about, because they can be designed in an infinite number of ways
- By representing them as abstract computational devices, or **automata**, we will learn how to answer such questions

# These devices can model many things

- They can describe the operation of any “small computer”, like the control component of an alarm clock or a microwave
- They are also used in **lexical analyzers** to recognize well formed expressions in programming languages:

ab1 is a legal name of a variable in C

5u= is not



# Different kinds of automata

- This was only one example of a computational device, and there are others
- We will look at different devices, and look at the following questions:
  - What can a given type of device compute, and what are its limitations?
  - Is one type of device more powerful than another?

# Some devices

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## finite automata

Devices with a finite amount of memory.  
Used to model “small” computers.

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## push-down automata

Devices with infinite memory that can be  
accessed in a restricted way.  
  
Used to model parsers, etc.

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## Turing Machines

Devices with infinite memory.  
  
Used to model any computer.

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## time-bounded Turing Machines

Infinite memory, but bounded running time.  
  
Used to model any computer program that  
runs in a “reasonable” amount of time.

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# Some highlights of the course

- Finite automata
  - We will understand what kinds of things a device with finite memory **can** do, and what it **cannot** do
  - Introduce simulation: the ability of one device to “imitate” another device
  - Introduce nondeterminism: the ability of a device to make arbitrary choices
- Push-down automata
  - These devices are related to **grammars**, which describe the structure of programming (and natural) languages

# Some highlights of the course

- Turing Machines
  - This is a **general model of a computer**, capturing anything we could ever hope to compute
  - Surprisingly, there are many things that we **cannot compute**, for example:

Write a program that, given the code of another program in C, tells if this program ever outputs the word “hello”

- It seems that you should be able to tell just by looking at the program, but it is **impossible** to do!

# Preliminaries of automata theory

- How do we formalize the question

Can device A solve problem B?

- First, we need a formal way of describing the problems that we are interested in solving

# Problems

- Examples of problems we will consider
  - Given a **word**, does it contain a given subword?
  - Given a **number**  $n$ , is it divisible by 7?
  - Given an expression with brackets, e.g.  $( ( ) ( ) )$ , does every left bracket match with a subsequent right bracket?
- All of these have “yes/no” answers.
- There are other types of problems, that ask “**Find this**” or “**How many of that**” but we won’t look at those.